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# **GRAPH-DENSE LINEAR TRANSFORMATIONS**

GEORGE GOLIGHTLY

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## GRAPH-DENSE LINEAR TRANSFORMATIONS

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This paper extends a decomposition process of [Richard Arens, Operational calculus of linear relations, Pacific J. Math., 11 (1961), 9-23] for closed linear relations, T, on a Hilbert space to the setting in which T is a linear function from a dense linear subspace of a separable normed linear complete space  $S_1$  to an innear product space  $S_2$ . This decomposition is used in showing that such a function contracted to a suitable dense linear subspace of its initial set is the contraction of a closed linear function from a dense linear subspace of  $S_1$  to  $S_2$ . In particular, in case the initial set of T is  $S_1$ , it is shown that the contraction of T to a suitable dense linear subspace of  $S_1$  is the contraction of a continuous linear function from  $S_1$  to  $S_2$ .

It will be supposed in the following that  $(S_1, N_1)$  is a normed complex linear complete space, that  $(S_2, Q_2)$  is a complex complete inner product space with  $N_2$  the norm for  $S_2$  corresponding to  $Q_2$ , and that T is a linear function from the  $N_1$ -dense linear subspace S of  $S_1$  to  $S_2$ .

DEFINITION. The set of all points x of  $S_1$  such that there is a sequence z having  $N_1$ -limit x such that T[z] has  $N_2$ -limit 0 will be denoted by Z'(T) and the set of all points x of  $S_2$  such that there is a sequence z having  $N_1$ -limit 0 such that T[z] has  $N_2$ -limit x will be denoted by Z''(T). Moreover, P''(T) will denote the  $Q_2$ -orthogonal projection of  $S_2$  onto the closed linear space Z''(T). The statement that T is graph-dense means that T is dense in the subspace  $S \times$ T(S) of the normed linear space  $S_1 \times S_2$ .

Note 1. One notes that Z''(T) is  $\{0\}$  only in case T is the contraction to S of a closed linear function from a dense linear subspace of  $S_1$  to  $S_2$ . Moreover, in case T(S) lies in Z''(T), T is graph-dense. Indeed, suppose T(S) lies in Z''(T) and (x, y) is in  $S \times T(S)$ . Since y - Tx is in Z''(T), there is a sequence z having  $N_1$ -limit 0 such that T[z] has  $N_2$ -limit y - Tx. Hence, x is the  $N_1$ -limit of x + z and y the  $N_2$ -limit of T[x + z].

Note 2. We note the following properties of Z'(T) and Z''(T).

- (i)  $T(S \cap Z'(T))$  lies in Z''(T).
- $(\text{ ii }) \quad T^{\scriptscriptstyle -1}(Z^{\prime\prime}(T)) \text{ lies in } Z^{\prime}(T).$
- (iii) In case B is a continuous linear function from  $S_1$  to  $S_2$ ,

Z''(B + T) is Z''(T).

(iv) In case B is a continuous linear function from  $S_2$  to  $S_2$ , Z'(T) lies in Z'(BT) and the closure of B(Z''(T)) lies in Z''(BT).

(v) In case B is reversibly continuous from  $S_1$  onto  $S_1$ , Z''(TB) is Z''(T) and Z'(TB) is  $B^{-1}(Z'(T))$ .

We shall establish (iv). Suppose x is in Z'(T) and z is a sequence having  $N_1$ -limit x such that T[z] has  $N_2$ -limit 0. Then BT[z] has  $N_2$ -limit 0. Hence, x is in Z'(BT).

Suppose y is in Z''(T) and z is a sequence having  $N_1$ -limit 0 such that T[z] has  $N_2$ -limit y. Then BT[z] has  $N_2$ -limit By; hence, By is in Z''(BT). Since Z''(BT) is closed and includes B(Z''(T)), the closure of B(Z''(T)) lies in Z''(BT).

THEOREM 1. The linear function (1 - P''(T))T is the contraction to S of a closed linear function from a dense linear subspace of  $S_1$  to  $S_2$  and P''(T)T is graph-dense.

*Proof.* Suppose x is in P''(T)T(S). There is a sequence z having  $N_1$ -limit 0 such that T[z] has  $N_2$ -limit x. Hence, since P''(T)x is x, P''(T)T[z] has  $N_2$ -limit x. Then, since P''(T)T(S) lies in Z''(P''(T)T), P''(T)T is graph-dense.

Suppose x is in Z''((1 - P''(T))T). If j is a positive integer, there is a point  $z_j$  of S such that  $N_1(z_j) < 1/j$  and  $N_2(x - (1 - P''(T))Tz_j) < 1/j$ . Since  $P''(T)Tz_j$  is in Z''(T), there is for each positive integer j a point  $w_j$  of S such that  $N_1(w_j) < 1/j$  and  $N_2(P''(T)Tz_j - Tw_j) < 1/j$ . Hence,  $N_2(x - T(z_j - w_j)) \le N_2(x - (1 - P''(T))Tz_j) + N_2(P''(T)Tz_j - Tw_j) < 2/j$  and  $N_1(z_j - w_j) < 2/j$ . Thus, x is in Z''(T). Since x is  $\lim (1 - P''(T))T[z]$ , P''(T)x is 0. Hence, x is 0. Thus, by Note 1, (1 - P''(T))T is the contraction to S of a closed linear function from a dense linear subspace of  $S_1$  to  $S_2$ .

DEFINITION. In the setting that  $N_1$  arises from an inner product  $Q_1$  for  $S_1$  we note that the closure in  $S_1 \times S_2$  of (1 - P''(T))T is the common part of the closure of T in  $S_1 \times S_2$  and the orthogonal complement in  $S_1 \times S_2$  of  $\{0\} \times Z''(T)$ , which is referred to in [2] as "the operator part" of the closure of T. We refer to (1 - P''(T))T as the closed part, and to P''(T)T as the graph-dense part, of T. In particular, in case S is  $S_1$ , (1 - P''(T))T is referred to as the continuous part of T.

Note 3. In analogy with Lemma 5.2 of [1], we have that, if  $T^*$  is the set to which (r, s) belongs only in case r is in  $S_2$  and  $Q_2(r, T \cdot)$  is continuous from S to the plane and s is  $Q_2(r, T \cdot)$ , i.e.,  $T^*$  is the adjoint of T, and C is the closed part of T, then  $T^*$  is

the contraction of  $C^*$  to the orthogonal complement of Z''(T) in  $S_2$ . Indeed, if P''(T)r is not 0, there is a sequence z having  $N_1$ -limit 0 such that T[z] has  $N_2$ -limit P''(T)r; hence  $\lim Q_2(r, T[z])$  is  $N_2(P''(T)r)^2$ . Hence, r is not in the initial set of  $T^*$ . If r is in the orthogonal complement in  $S_2$  of Z''(T),  $Q_2(r, T \cdot) = Q_2(r, C \cdot)$ ; hence, (r, s) belongs to  $T^*$  only in case (r, s) belongs to  $C^*$ .

In particular, it should be noted that if the initial set of T is  $S_1$ ,  $T^*$  is continuous. Suppose, now, that L is a continuous linear function from  $S_2$  to  $S_2$ . Then in order that LT be continuous it is necessary and sufficient that the final set of  $L^*$  lie in the initial set of  $T^*$ . Suppose LT is continuous and y is in  $S_2$ . Then the function f on S such that f(x) is  $Q_2(Tx, L^*y)$  is continuous and  $L^*y$  is in the initial set of  $T^*$ . Conversely, suppose  $L^*(S_2)$  lies in the initial set of  $T^*$ . Then the initial set of  $(LT)^*$  is  $S_2$ . Hence, LT is continuous.

It may be seen that in case C is a closed linear function, D is a graph-dense linear function, T is C + D, and the final set of D is orthogonal in  $S_2$  to the final set of C, then Z''(D) includes Z''(T). Indeed, if x is in Z''(T) and z is a sequence having  $N_1$ -limit 0 such that T[z] has  $N_2$ -limit x

$$(1 - P''(D))x = \lim ((1 - P''(D))T[z]) = \lim C[z].$$

Hence, (1 - P''(D))x is in Z''(C). Since C is closed, Z''(C) is  $\{0\}$ . Thus, x is in Z''(D). In particular, in case S is  $S_1, Z''(D)$  is Z''(T), D is the graph-dense, and C the continuous, part of T.

In case  $S_2$  is the plane and T is not continuous, then the kernel of T is dense. We note that in this setting Z''(T) is the plane, so that T is graph-dense.

**THEOREM 2.** Suppose S is  $S_1$ . Then Z''(T) is finite dimensional only in case the contraction of T to a dense linear subspace W of  $S_1$ , having finite dimensional algebraic complement in  $S_1$ , is the contraction to W of a continuous linear function from  $S_1$  to  $S_2$ .

**Proof.** Suppose Z''(T) is finite dimensional, C is the continuous, and D the graph-dense, part of T, and W is the kernel of D with closure  $\overline{W}$  in  $S_1$ . Then T is C on  $S_1$ . Suppose  $\overline{W}$  is not  $S_1$ . Suppose that U is an algebraic complement in  $S_1$  of  $\overline{W}$ . Then U is finite dimensional. Suppose  $P_1$  is the algebraic projection of  $S_1$  onto Uwith respect to  $\overline{W}$ , so that  $P_1$  is the identity on U and 0 on  $\overline{W}$ . We note that  $P_1$  is continuous. Indeed, with the evident interpretation of  $Z''(P_1)$ , we have  $Z''(P_1) = Z''(1 - P_1)$ ; hence,  $Z''(P_1)$  lies in  $U \cap \overline{W}$ . Suppose that  $P_2$  is the orthogonal projection of  $S_2$  onto the orthogonal complement in  $S_2$  of the finite dimensional subspace,  $D(\overline{W})$ . Since  $D(\overline{W}) \cap D(U)$  is {0},  $D(\overline{W})$  is not  $D(S_1)$ . Hence,  $P_2D$  is not 0. Since  $P_1$  is continuous with finite dimensional final set,  $DP_1$  is continuous. We have the identity

$$P_2D = P_2DP_1 + P_2D(1 - P_1) = P_2DP_1$$
.

Hence,  $P_2D$  is continuous. We note that  $Z''(P_2D)$  is  $\{0\}$ ,  $P_2(Z''(D))$  lies in  $Z''(P_2D)$ , and that  $D(S_1)$  lies in Z''(D). Hence,  $P_2D(S_1)$  is  $\{0\}$ . This is a contradiction. Thus,  $\overline{W}$  is  $S_1$ .

Suppose that W is a dense linear subspace of  $S_1$ , W has finite dimensional algebraic complement in  $S_1$ , and the contraction of T to W is the contraction to W of a continuous linear function A. Then  $(T-A)(S_1)$  is finite dimensional. Since Z''(T-A) lies in the closure of  $(T-A)(S_1)$  and  $(T-A)(S_1)$  is finite dimensional Z''(T-A)is finite dimensional. Noting that Z''(T-A) is Z''(T), we have that Z''(T) is finite dimensional. Hence, the proof is complete.

Suppose  $(S_1, N_1)$  is  $(S_2, N_2)$  and S is  $S_1$ . In case the continuous part of T is self-adjoint with respect to  $Q_2$ , then Z''(T) lies in Z'(T). Indeed, Z'(T) is the kernel of the continuous part C of T, and Z''(T) lies in the orthogonal complement of  $C(S_1)$ .

THEOREM 3. Suppose S is  $S_1$ , C is the continuous part of T, and D is the graph-dense part of T. Then for x in  $S_1$   $N_2(Tx) \ge N_2(Cx)$ . Moreover, in case  $(S_1, N_1)$  is  $(S_2, N_2)$  and Z''(T) lies in Z'(T), for each positive integer  $p \ N_2(T^px) \ge N_2(C^px)$ .

*Proof.* If x is in  $S_1$ ,  $N_2(Tx)^2 = N_2(Cx)^2 + N_2(Dx)^2 \ge N_2(Cx)^2$ . Suppose  $(S_1, N_1)$  is  $(S_2, N_2)$  and Z''(T) lies in Z'(T). Then CD is 0. Hence, for each positive integer p,  $(C + D)^p = \sum_{j=0}^p D^j C^{p-j}$ . If x is in  $S_1$ ,

$$egin{aligned} N_2(T^{\,p}x)^2 &= Q_2(\sum_{j=0}^p D^j C^{\,p-j}x,\,\sum_{j=0}^p D^j C^{\,p-j}x) = N_2(C^{\,p}x)^2 \ &+ N_2(\sum_{j=1}^p D^j C^{\,p-j}x)^2 \ge N_2(C^{\,p}x)^2 \;. \end{aligned}$$

We note in the setting of Theorem 3 that in case A is a continuous linear function from  $S_1$  to  $S_2$  which agrees with T on a dense linear subspace of  $S_1$ , then for each x in  $S_1$   $N_2(Ax) \ge N_2(Cx)$ .

The following lemma to Theorem 4 is proved by Kato [4, Lemma 411, p. 278].

LEMMA. Suppose (B, N) is a normed complex linear complete space with dual space,  $(B^*, N^*)$ , and that  $(w_p, w'_p)_{p=1}^{\infty}$  is a sequence in  $B \times B^*$  such that if p is a positive integer  $N(w_p) = 1$ ,  $N^*(w'_p) =$  $1, w'_p(w_p) = 1$ , and for  $j < p, w_p$  is in the kernel of  $w'_j$ . Then if  $(a_p)_1^m$  is a complex-sequence and  $1 \le k \le m$ ,  $|a_k| \le 2^{k-1}N(\sum_1^m a_p w_p)$ . THEOREM 4. Suppose that T is graph-dense and  $(S_1, N_1)$  is separable. Then for each continuous linear function C from  $S_1$  to  $S_2$  such that  $C(S_1)$  lies in the closure of  $T(S_1)$  and positive number  $\varepsilon$ , there is a dense linear subspace S' of  $S_1$  such that for x in S'  $N_2(Tx - Cx) \leq \varepsilon N_1(x)$ .

*Proof.* Suppose that  $(S_1, N_1)$  is separable and infinite dimensional and e is an  $S_1$ -sequence, the term-set of e is linearly independent, E is the linear span of the term-set of e, and E is dense in  $S_1$ . Then, with  $(S_1^*, N_1^*)$  denoting the dual space of  $(S_1, N_1)$ , there is a sequence  $(w_p, w'_p)_1^{\infty}$  in  $S_1$  satisfying the hypotheses of the lemma such that the linear span of the term-set of  $(w_{p})_{1}^{\infty}$  is E. Indeed, if  $(w_n, w'_n)_1^n$  is an *n*-term sequence in  $S_1 \times S_1^*$  such that if  $1 \leq j < j$  $p \leq n, N_1(w_p) = 1, N_1^*(w'_p) = 1, w'_p(w_p) = 1, w'_p(w_p) = 0, \text{ and the linear}$ span of the term-set of  $(w_p)^n$  is the linear span of the term-set of  $(e_n)_1^n$ , then since the common part of the set of kernels of terms of  $(w'_{p})^{n}_{1}$  has n-dimensional algebraic complement in  $S_{1}$ , there is a point  $w_{n+1}$  of norm 1 in the linear span of the term-set of  $(e_{v})_{1}^{n+1}$  and in the common part of the set of kernels of terms of  $(w'_p)_1^n$ . Moreover, there is a point  $w'_{n+1}$  of norm 1 in  $S_1^*$  such that  $w'_{n+1}(w_{n+1}) = 1$ . Note that  $w_{n+1}$  is not in the linear span of the term-set of  $(w_p)_1^n$ . Thus,  $(w_p, w'_p)_1^{n+1}$  is a sequence in  $S_1 \times S_1^*$  such that if  $1 \leq j , <math>N_1(w_p) = 1$ ,  $N_1^*(w'_p) = 1$ ,  $w'_j(w_p) = 0$ , and the linear span of the term-set of  $(w_p)_1^{n+1}$  is the linear span of the term-set of  $(e_p)_1^{n+1}$ .

Suppose that T is graph-dense and  $0 < \varepsilon < .01$ . If j is a positive integer, there is a point  $x_j$  of  $S_1$  such that  $N_1(x_j - w_j) < (\varepsilon/10)^j$  and  $N_2(Tx_j) < (\varepsilon/10)^j$ . Suppose that A is the linear function on E such that  $A(\sum_{i=1}^{n} a_j w_j) = \sum_{i=1}^{n} a_i x_j$ . Then

$$egin{aligned} &N_{ ext{i}}\Big(A\left(\sum\limits_{1}^{m}a_{j}w_{j}
ight)-\sum\limits_{1}^{m}a_{j}w_{j}\Big)&\leq\sum\limits_{1}^{m}|a_{j}|N_{ ext{i}}(x_{j}-w_{j})\ &\leq\sum\limits_{1}^{m}2^{j-1}N_{ ext{i}}\Big(\sum\limits_{1}^{m}a_{j}w_{j}\Big)\,(arepsilon/10)^{j}&\leq\Big(N_{ ext{i}}\left(\sum\limits_{1}^{m}a_{j}w_{j}
ight)\!\Big/2\Big)\!\Big(\sum\limits_{1}^{m}(arepsilon/5)^{j}\,\Big)\ &\leq(arepsilon/5)N_{ ext{i}}\left(\sum\limits_{1}^{m}a_{j}w_{j}
ight)\,. \end{aligned}$$

Hence, A has only one continuous linear extension B to  $S_1$  and the operator norm of B-1 does not exceed .01. Hence B is reversibly continuous with final set  $S_1$ . Since the linear span, X, of the termset of x is B(E), X is dense in  $S_1$ . Note that

$$N_2 \Big( TA \Big( \sum_{1}^m a_j w_j \Big) \Big) = N_2 \Big( \sum_{1}^m a_j T(x_j) \Big) \leq \sum_{1}^m |a_j| N_2 (Tx_j)$$

$$\begin{split} & \leq \sum_{1}^{m} 2^{j-1} N_{\mathbf{1}} \Big( \sum_{1}^{m} a_{j} w_{j} \Big) (\varepsilon/10)^{j} \leq (1/2) \Big( \sum_{1}^{m} (\varepsilon/5)^{j} \Big) N_{\mathbf{1}} \left( \sum_{1}^{m} a_{j} w_{j} \right) \\ & \leq (\varepsilon/5) N_{\mathbf{1}} \left( \sum_{1}^{m} a_{j} w_{j} \right) \,. \end{split}$$

Suppose x is in X.

$$N_{ ext{s}}(TAA^{- ext{i}}x) \leq (arepsilon/5)N_{ ext{i}}(A^{- ext{i}}x) \leq (arepsilon/5)(1/(1-arepsilon/5))N_{ ext{i}}(x) \leq arepsilon N_{ ext{i}}(x)$$
 .

Suppose that C is a continuous linear function from  $S_1$  to  $S_2$ such that  $C(S_1)$  lies in the closure of  $T(S_1)$  and  $\varepsilon > 0$ . Then T-Cis graph-dense. Indeed, Z''(T-C) is Z''(T), the closure of  $T(S_1)$ ; moreover,  $(T-C)(S_1)$  lies in the closure of  $T(S_1)$ . There is a dense linear subspace X of  $S_1$  such that for x in  $X N_2((T-C)x) \leq \varepsilon N_1(x)$ .

THEOREM 5. Suppose that  $(S_1, N_1)$  is separable. There is a dense linear subspace X of  $S_1$  such that the contraction of T to X is the contraction to X of a closed linear function from a dense linear subspace of  $S_1$  to  $S_2$ . In case S is  $S_1$ , then there is a dense linear subspace X of  $S_1$  such that the contraction of T to X is the contraction to X of a continuous linear function from  $S_1$  to  $S_2$ .

*Proof.* Suppose D is the graph-dense part of T. Then there is a dense linear subspace X of  $S_1$  such that for x in  $S_1$   $N_2(Dx) \leq N_1(x)$ . Suppose that B is the continuous linear extension to  $S_1$  of the contraction of D to X. Then T is B + (T - D) on X, the contraction to X of a closed linear function. In case S is  $S_1$ , then T - D is the continuous part of T and T is continuous on X.

Note 4. In case S is  $S_1$  and X is a dense linear subspace of  $S_1$  such that the contraction of T to X is the contraction of the continuous linear function B from  $S_1$  to  $S_2$ , then the initial set of the common part of T and B is a dense linear subspace of  $S_1$  lying properly in no linear subspace Y of  $S_1$  such that the contraction of T to Y is continuous.

Note 5. Suppose that (S', Q') is a Hilbert space, M is a closed linear subspace of S', and W is a dense algebraic complement in S' of M. Then if  $\phi$  is the algebraic projection of S' onto W with respect to M and P is the orthogonal projection of S' onto M, 1-Pis the continuous part of  $\phi$ . We note that W is a dense linear subspace of S' such that the contraction of  $\phi$  to W is continuous.

Such a dense proper linear subspace W of S' having closed algebraic complement in S' is one for which there is an inner

product  $Q_w$  such that  $(W, Q_w)$  is complete and the identity function from (S', Q') to  $(W, Q_w)$  is continuous. Indeed, if M is a closed algebraic complement of W in S' and P is the orthogonal projection of S' onto M, we may take  $Q_w$  to be  $Q'((1 - P) \cdot, \cdot)$ . Conversely, if W is a dense proper linear subspace of S' for which there is such an inner product  $Q_w$ , then the set M of all limits in S' of sequences z having limit 0 with respect to the norm  $N_w$  for W corresponding to  $Q_w$  is a closed algebraic complement in S' of W and, with Pthe orthogonal projection of S' onto  $M, Q_w$  is equivalent on W to  $Q'((1 - P) \cdot, \cdot)$ .

Note that the formula  $Q(x, y) = Q'(x, y) + Q'(\phi x, \phi y)$  defines an inner product Q for S' such that (i) the identity function from (S', Q) to (S', Q') is continuous and (ii)  $\phi$  is continuous with respect to the norm N for S' corresponding to Q.

Of course, in this example, each power of  $\phi$  is continuous on  $\phi(S')$ . More generally, it may be seen that, if L is a linear function and Q is an inner product for S' such that (i) the identity function from (S', Q) to (S', Q') is continuous and (ii) L is continuous with respect to the norm N for S' corresponding to Q, then there is an N'-dense linear subspace X of S' such that the contraction of each power of L to X is continuous from (S', Q') to (S', Q'). Indeed, the condition that there be an inner product Q for S' for which (i) and (ii) hold is shown in [3, Theorem 1, p. 2] to be equivalent to the existence of a positive number b such that for x in S' $\sum_{p=0}^{\infty} N'(L^p x)^2/b^p$  converges. In this case, with  $l^2(S')$  the space of all S'-sequences y for which  $\sum_{p=0}^{\infty} N'(y_p)^2$  converges,  $\widetilde{Q}$  the inner product for  $l^2(S')$  given by  $\widetilde{Q}(x, y) = \sum_{p=0}^{\infty} Q'(x_p, y_p)$ , and  $\widetilde{L}$  the linear function from S' to  $l^2(S')$  given by  $\widetilde{L}(x)_p = L^p(x)/(b)^{p/2}$ , an application of Theorem 5 to  $\tilde{L}$  yields a nonnegative number c and an N'-dense linear subspace X of S' such that for x in  $X \sum_{p=0}^{\infty} N'(L^p x)^2/b^p =$  $\widetilde{Q}(\widetilde{L}x, \widetilde{L}x) \leq c\widetilde{N}'(x)^2.$ 

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