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## **SOME ABSTRACT GENERALIZATIONS OF THE LJUSTERNIK-SCHNIRELMANN-BORSUK COVERING THEOREM**

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# SOME ABSTRACT GENERALIZATIONS OF THE LJUSTERNIK-SCHNIRELMANN-BORSUK COVERING THEOREM

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**Ljusternik and Schnirelmann and independently Borsuk proved the following well known result: Let  $H_1, \dots, H_k$  be closed subsets of the sphere  $S^n$  such that  $\bigcup_{i=1}^k H_i = S^n$  and  $H_i \cap (-H_i) = \emptyset$  for  $i = 1, \dots, k$ , then  $k \geq n + 2$ .**

**In this paper, this result is considered from an abstract topological viewpoint: We develop methods for the proof of generalizations of this result in the context of the genus in the sense of A. S. Švarc.**

**1. Introduction** The main concept, which is used in this paper, is the "genus" in the sense of A. S. Švarc (cf. [6, 7]).

**DEFINITION 1.** (cf. [6, 7, 8]; for another way to introduce this notion cf. [6, 7].) Let  $M$  be a topological Hausdorff space,  $p$  a prime number and  $f: M \rightarrow M$  a free  $\mathbb{Z}_p$ -action (i.e.,  $f$  is continuous,  $f^p = id$  and  $f(x) \neq x$  for all  $x \in M$ ). Then

$$\mathcal{C}(M, f) := \{G \subset M \mid \text{There exist disjoint closed sets } G_0, \dots, G_{p-1} \subset M \\ \text{with } \bigcup_{i=0}^{p-1} G_i = G \text{ and } f^i(G_0) = G_i \text{ for } i = 1, \dots, p-1\},$$

and the genus  $g(M, f)$  is defined by

$$g(M, f) := \min \{\text{card } \mathcal{C} \mid \mathcal{C} \subset \mathcal{C}(M, f), \bigcup \mathcal{C} = M\}.$$

The genus has several very nice properties (cf. [6, 7, 8]). It is closely related to the earlier notions of the Ljusternik-Schnirelmann category [5] and the Yang index [9]. In general, it is difficult to compute the genus, but there are various estimates in terms of the dimension, connectivity, or (co-)homology of the space.

As for the Ljusternik-Schnirelmann-Borsuk result, it is interesting that, independently of the prime number  $p$  and the action  $f$ , we always have  $g(S^n, f) = n + 1$  (this result is mainly due to Krasnosel'skii [4]). Thus, in the Ljusternik-Schnirelmann-Borsuk theorem, we could replace the estimate  $k \geq n + 2$  by  $k \geq g(S^n, -id) + 1$ , and with this estimate, the result holds in a trivial way in a much more general setting.

**THEOREM.** (cf. [9, 8].) *Let  $M$  be a Hausdorff space,  $f: M \rightarrow M$  a free  $\mathbb{Z}_2$ -action (i.e., a fixed-point-free involution) and let  $M_1, \dots, M_k \subset M$*

be closed sets such that  $\bigcup_{i=1}^k M_i = M$  and  $M_i \cap f(M_i) = \emptyset$  for  $i = 1, \dots, k$ . Then  $k \geq g(M, f) + 1$ .

On the other hand, the analogous question for  $Z_p$ -actions with  $p \geq 3$  seems to be much more complicated. I formulate it only for normal spaces, since I have no idea how one could treat the general case of Hausdorff spaces.

*Problem 1.* Let  $M$  be a normal topological space,  $p \geq 3$  a prime number,  $f: M \rightarrow M$  a free  $Z_p$ -action and  $M_1, \dots, M_k \subset M$  closed sets such that  $\bigcup_{i=1}^k M_i = M$  and  $M_i \cap f(M_i) = \emptyset$  for  $i = 1, \dots, k$ . What is the best estimate of  $g(M, f)$  in terms of  $k$  and  $p$ ?

There is some motivation for this problem. If one could prove an estimate  $g(M, f) \leq r(k, p)$  with  $r(k, p) = o(p)$  for every fixed  $k$ , this would imply that the following long standing conjecture in asymptotic fixed point theory is true (cf. [8]).

*Conjecture.* Let  $E$  be a normed space,  $H \subset E$  a nonempty closed convex set and  $f: H \rightarrow H$  a continuous map such that  $f^{m_0}(H)$  is relatively compact for some  $m_0 \in \mathbb{N}$ . Then  $f$  has a fixed point (?).

At present, instead of the needed  $o(p)$ -estimate, only a  $O(p)$ -estimate is known: In [8],  $g(M, f) \leq (p-1)/2(k-2)$  was proved for compact spaces  $M$ , a result which will be slightly improved in this paper.

The main result of this paper (Theorem 2) is a reduction of Problem 1 to the equivalent problem of computing the genus of nice space  $L_{k,p}$  with nice actions  $\mathcal{P}_{k,p}$  on it. It will be shown that  $g(M, f) \leq g(L_{k,p}, \mathcal{P}_{k,p})$ , where  $(L_{k,p}, \mathcal{P}_{k,p})$  is a prototype for  $(M, f)$  in Problem 1.

To date, only for  $p = 2$  or for  $k = 3$  have the values of  $g(L_{k,p}, \mathcal{P}_{k,p})$  been computed and only rough estimates are available for the general case. But the spaces  $L_{k,p}$  and the actions  $\mathcal{P}_{k,p}$  seem to be nice enough to allow numerical computations of  $g(L_{k,p}, \mathcal{P}_{k,p})$  for small numbers  $k$  and  $p$  (e.g.,  $k, p \leq 7$ ), which might suggest the general result one should expect. My own (a little vague) conjecture is  $g(L_{k,p}, \mathcal{P}_{k,p}) = k - s(k, p)$  with  $s(k, p) \in \{1, 2, 3\}$ .

2. The reduction of Problem 1. Let  $N := \{1, 2, 3, \dots\}$  and  $\mathbf{R}^N := \{x: N \rightarrow \mathbf{R} \mid x(n) = 0 \text{ for almost every } n \in N\}$ , equipped with the usual Euclidean topology. Let  $E_i \in \mathbf{R}^\infty$ ,  $E_i(n) := \delta_{in}$  for all  $n \in N$ ,

and for  $q \in N$ ,  $I \subset \{1, \dots, q\}$  and  $i \in \{1, \dots, q\}$  let

$$\begin{aligned}\Delta_{q-1} &:= \text{co} \{E_1, \dots, E_q\}, \\ \Delta_{q-1}^I &:= \text{co} \{E_j \mid j \in I\}, \\ \Delta_{q-1;i} &:= \Delta_{q-1}^{\{1, \dots, q\} \setminus \{i\}} = \text{co} \{E_j \mid j \in \{1, \dots, q\} \setminus \{i\}\}, \\ \partial \Delta_{q-1} &:= \bigcup_{i=1}^q \Delta_{q-1;i}.\end{aligned}$$

Thus  $\Delta_{q-1}$  is the closed  $(q-1)$ -dimensional simplex spanned by  $E_1, \dots, E_q$  and  $\Delta_{q-1}^I$  and  $\Delta_{q-1;i}$  are (closed) faces of  $\Delta_{q-1}$ . We denote by  $[\sigma]$  the barycenter of a simplex  $\sigma$ .

Now we are able to state our first theorem:

**THEOREM 1.** *Let  $M$  be a normal space,  $k \in N$ ,  $p$  a prime number,  $f: M \rightarrow M$  a free  $\mathbb{Z}_p$ -action, and  $M_1, \dots, M_k \subset M$  closed sets such that  $\bigcup_{i=1}^k M_i = M$  and  $M_i \cap f(M_i) = \emptyset$  for  $i = 1, \dots, k$ . Then there exists a continuous map  $h: M \rightarrow \partial \Delta_{k-1}$  such that  $h(M_i) \subset \Delta_{k-1;i}$  and*

$$h(f(h^{-1}(\Delta_{k-1;i}))) \subset \bigcup_{\substack{j=1 \\ j \neq i}}^k \text{co} \{[\Delta_{k-1}^K] \mid \{i\} \subset K \subset \{1, \dots, k\} \setminus \{j\}\},$$

in particular  $h(f(h^{-1}(\Delta_{k-1;i}))) \cap \Delta_{k-1;i} = \emptyset$  for  $i = 1, \dots, k$ .

*Proof.* Because of  $M_i \cap f(M_i) = \emptyset$  and the normality of the space  $M$ , there exist open  $N_i \subset M$  with  $M_i \subset N_i$  and  $N_i \cap f(N_i) = \emptyset$  ( $i = 1, \dots, k$ ). For  $I, J \subset \{1, \dots, k\}$ , let  $W_{I,J} := \bigcap_{i \in \{1, \dots, k\} \setminus I} M_i \setminus \bigcup_{j \in J} N_j$ .

We want to define  $h: M \rightarrow \partial \Delta_{k-1}$  such that for  $\emptyset \neq J \subset I \subset \{1, \dots, k\}$  we have

$$(1) \quad h(W_{I,J}) \subset \text{co} \{[\Delta_{k-1}^K] \mid J \subset K \subset I\}$$

(i.e., roughly speaking,  $h$  maps  $W_{I,J}$  into the traverse  $\text{Tr}(\Delta_{k-1}^I)$  in the complex  $\Delta_{k-1}^I$ ; cf. [2]). The existence of such a map  $h$  can be proved as follows:

We proceed by induction on  $\text{card } I$ , starting with the trivial case  $\text{card } I = 0$ , i.e.,  $I = \emptyset$ . In this case we have  $J = \emptyset$  and hence

$$W_{I,J} = \bigcap_{i \in \{1, \dots, k\}} M_i = \emptyset$$

(observe that  $f(\bigcap_{i \in \{1, \dots, k\}} M_i) \cap M_j \subset f(M_j) \cap M_j = \emptyset$  for every  $j \in \{1, \dots, k\}$  and hence  $\bigcap_{i \in \{1, \dots, k\}} M_i = \emptyset$ ).

Let  $n \in \{0, \dots, k-2\}$  and assume that we could define  $h$  on

$$M^{(n)} := \bigcup_{\substack{I \subset \{1, \dots, k\} \\ \text{card } I \leq n}} \bigcap_{i \in \{1, \dots, k\} \setminus I} M_i$$

such that (1) holds for  $\emptyset \neq J \subset I \subset \{1, \dots, k\}$  with  $\text{card } I \leq n$  and such that  $h$  is continuous on  $M^{(n)}$ .

Since for  $I_1, I_2 \subset \{1, \dots, k\}$  with  $I_1 \neq I_2$  and  $\text{card } I_1 = \text{card } I_2 = n + 1$ , we have

$$\bigcap_{i \in \{1, \dots, k\} \setminus I_1} M_i \cap \bigcap_{i \in \{1, \dots, k\} \setminus I_2} M_i = \bigcap_{i \in \{1, \dots, k\} \setminus (I_1 \cap I_2)} M_i \subset M^{(n)},$$

it suffices to extend  $h$  independently to all the sets  $M^{(n)} \cup \bigcap_{i \in \{1, \dots, k\} \setminus I} M_i$  with  $\text{card } I = n + 1$  according to our conditions. The union of all these extensions will be an extension of  $h$  to  $M^{(n+1)}$  with all the desired properties.

Thus we choose a fixed  $I_0 \subset \{1, \dots, k\}$  with  $\text{card } I_0 = n + 1$ . We define the extension of  $h$  to  $M^{(n)} \cup \bigcap_{i \in \{1, \dots, k\} \setminus I_0} M_i$  by induction on  $\text{card } J$ , where  $J \subset I_0$ : We start with  $\text{card } J = n + 1$ , i.e.,  $J = I_0$ , and define

$$h(x) := [A_{k-1}^0] \quad \text{for all } x \in W_{I_0, I_0}.$$

Since  $M^{(n)} \cap W_{I_0, I_0} = \emptyset$ , this extension is justified and of course continuous.

Let  $m \in \{2, \dots, n + 1\}$  and assume that we have defined  $h$  on

$$M_{I_0}^{(m)} := M^{(n)} \cup \bigcup_{\substack{J \subset I_0 \\ \text{card } J \geq m}} W_{I_0, J}$$

such that (1) holds for all  $\emptyset \neq J \subset I \subset \{1, \dots, k\}$  with  $\text{card } I \leq n$  or  $\text{card } J \geq m$  and  $I = I_0$  and such that  $h$  is continuous on  $M_{I_0}^{(m)}$ .

Since for  $J_1, J_2 \subset I_0$  with  $J_1 \neq J_2$  and  $\text{card } J_1 = \text{card } J_2 = m - 1$  we have

$$W_{I_0, J_1} \cap W_{I_0, J_2} = W_{I_0, J_1 \cup J_2} \subset M_{I_0}^{(m)},$$

it suffices to extend  $h$  independently to all the sets  $M_{I_0}^{(m)} \cup W_{I_0, J}$  with  $\text{card } J = m - 1$  according to our conditions. The union of all these extensions will be an extension of  $h$  to  $M_{I_0}^{(m-1)}$  with all the desired properties.

Accordingly, let  $J_0 \subset I_0$  with  $\text{card } J_0 = m - 1$ . Then we have

$$\begin{aligned} & W_{I_0, J_0} \cap M_{I_0}^{(m)} \\ &= W_{I_0, J_0} \cap \left( M^{(n)} \cup \bigcup_{\substack{J \subset I_0 \\ \text{card } J \geq m}} W_{I_0, J} \right) \\ &= (W_{I_0, J_0} \cap M^{(n)}) \cup \bigcup_{\substack{J \subset I_0 \\ \text{card } J \geq m}} (W_{I_0, J_0} \cap W_{I_0, J}) \\ &= \bigcup_{\substack{I \subset \{1, \dots, k\} \\ \text{card } I \leq n}} \left( W_{I_0, J_0} \cap \bigcap_{i \in \{1, \dots, k\} \setminus I} M_i \right) \cup \bigcup_{\substack{J \subset I_0 \\ \text{card } J \geq m}} W_{I_0, J \cup J_0} \end{aligned}$$

$$\begin{aligned}
 &= \bigcup_{\substack{I \subset \{1, \dots, k\} \\ \text{card } I \leq n}} W_{I \cap I_0, J_0} \cup \bigcup_{\substack{J \subset I_0 \\ \text{card } J \geq m}} W_{I_0, J \cup J_0} \\
 &= \bigcup_{\substack{J_0 \subset I \subset I_0 \\ \text{card } I \leq n}} W_{I, J_0} \cup \bigcup_{\substack{J_0 \subset J \subset I_0 \\ \text{card } J \geq m}} W_{I_0, J} ,
 \end{aligned}$$

and hence

$$\begin{aligned}
 h(W_{I_0, J_0} \cap M_{I_0}^{(m)}) &= \bigcup_{\substack{J_0 \subset I \subset I_0 \\ \text{card } I \leq n}} h(W_{I, J_0}) \cup \bigcup_{\substack{J_0 \subset J \subset I_0 \\ \text{card } J \geq m}} h(W_{I_0, J}) \\
 &\subset \bigcup_{\substack{J_0 \subset I \subset I_0 \\ \text{card } I \leq n}} \text{co} \{[\Delta_{k-1}^K] | J_0 \subset K \subset I\} \cup \bigcup_{\substack{J_0 \subset J \subset I_0 \\ \text{card } J \geq m}} \text{co} \{[\Delta_{k-1}^K] | J \subset K \subset I_0\} \\
 &\subset \text{co} \{[\Delta_{k-1}^K] | J_0 \subset K \subset I_0\} .
 \end{aligned}$$

Since every closed convex subset of a finite dimensional normed space is an AR(normal), we can extend  $h|_{W_{I_0, J_0} \cap M_{I_0}^{(m)}}$  continuously to  $W_{I_0, J_0}$  such that

$$h(W_{I_0, J_0}) \subset \text{co} \{[\Delta_{k-1}^K] | J_0 \subset K \subset I_0\} .$$

By this iterative construction, we finally obtain an extension of  $h$  to the set  $M_{I_0}^{(1)}$ , which is equal to  $M^{(n)} \cup \bigcap_{i \in \{1, \dots, k\} \setminus I_0} M_i$ , since for every  $x \in M$  there is a  $j \in \{1, \dots, k\}$  with  $x \notin N_j$ .

This shows that we can extend  $h$  continuously to  $M^{(n+1)}$  such that (1) holds for  $\emptyset \neq J \subset I \subset \{1, \dots, k\}$  with  $\text{card } I \leq n+1$  and such that

$$\begin{aligned}
 h(M^{(n+1)}) &\subset \bigcup_{\substack{I \subset \{1, \dots, k\} \\ \text{card } I \leq n+1}} \bigcup_{\emptyset \neq J \subset I} \text{co} \{[\Delta_{k-1}^K] | J \subset K \subset I\} \\
 &\subset \bigcup_{i=1}^k \bigcup_{\substack{I \subset \{1, \dots, k\} \setminus \{i\} \\ \text{card } I \leq n+1}} \bigcup_{\emptyset \neq J \subset I} \text{co} \{[\Delta_{k-1}^K] | J \subset K \subset I\} \\
 &\subset \bigcup_{i=1}^k \Delta_{k-1:i} = \partial \Delta_{k-1} .
 \end{aligned}$$

Thus we have proved the existence of a continuous map  $h: M \rightarrow \partial \Delta_{k-1}$ , which fulfills (1) for all  $\emptyset \neq J \subset I \subset \{1, \dots, k\}$ . We have to prove that (1) implies  $h(M_i) \subset \Delta_{k-1:i}$  and

$$h(f(h^{-1}(\Delta_{k-1:i}))) \subset \bigcup_{\substack{j=1 \\ j \neq i}}^k \text{co} \{[\Delta_{k-1}^K] | \{i\} \subset K \subset \{1, \dots, k\} \setminus \{j\}\}$$

for  $i = 1, \dots, k$ .

Let  $I_i := \{1, \dots, k\} \setminus \{i\}$ . Then we have

$$\begin{aligned}
 h(M_i) &\subset \bigcup_{\emptyset \neq J \subset I_i} h(W_{I_i, J}) \subset \bigcup_{\emptyset \neq J \subset I_i} \text{co} \{[\Delta_{k-1}^K] | J \subset K \subset I_i\} \\
 &\subset \Delta_{k-1}^{I_i} = \Delta_{k-1:i} .
 \end{aligned}$$

In addition,

$$\begin{aligned}
h(M \setminus N_i) &= \bigcup_{\substack{j=1 \\ j \neq i}}^k h(M_j \setminus N_i) \\
&\subset \bigcup_{\substack{j=1 \\ j \neq i}}^k \text{co} \{[\Delta_{k-1}^K] \mid \{i\} \subset K \subset \{1, \dots, k\} \setminus \{j\}\} \subset \partial \Delta_{k-1} \setminus \Delta_{k-1:i}
\end{aligned}$$

and hence

$$\begin{aligned}
h(f(h^{-1}(\Delta_{k-1:i}))) &\subset h(f(N_i)) \subset h(M \setminus N_i) \\
&\subset \bigcup_{\substack{j=1 \\ j \neq i}}^k \text{co} \{[\Delta_{k-1}^K] \mid \{i\} \subset K \subset \{1, \dots, k\} \setminus \{j\}\} .
\end{aligned}$$

For every  $k \in N$  and every prime number  $p$  we define

$$\begin{aligned}
L_{k,p} &:= \{(x_1, \dots, x_p) \in (\partial \Delta_{k-1})^p \mid \text{If } m, n \in \{1, \dots, p\}, n \equiv m + 1 \pmod{p} \\
&\text{and } x_m \in \Delta_{k-1:i}, \text{ then } x_n \notin \Delta_{k-1:i}\}
\end{aligned}$$

and

$$\begin{aligned}
\tilde{L}_{k,p} &:= \{(x_1, \dots, x_p) \in (\partial \Delta_{k-1})^p \mid \text{If } m, n \in \{1, \dots, p\}, n \equiv m + 1 \pmod{p} \\
&\text{and } x_m \in \Delta_{k-1:i}, \text{ then} \\
&x_n \in \bigcup_{\substack{j=1 \\ j \neq i}}^k \text{co} \{[\Delta_{k-1}^K] \mid \{i\} \subset K \subset \{1, \dots, k\} \setminus \{j\}\} \} .
\end{aligned}$$

Obviously,  $\tilde{L}_{k,p} \subset L_{k,p}$ , and the map  $\varphi_{k,p}: L_{k,p} \rightarrow L_{k,p}$ ,  $\varphi_{k,p}(x_1, \dots, x_p) := (x_2, \dots, x_p, x_1)$  is a free  $Z_p$ -action on  $L_{k,p}$  and on  $\tilde{L}_{k,p}$ . Now we can prove

**THEOREM 2.** *Let  $M$  be a normal space,  $k \in N$ ,  $p$  a prime number and  $f: M \rightarrow M$  a free  $Z_p$ -action. Let  $M_1, \dots, M_k \subset M$  be closed sets such that  $\bigcup_{i=1}^k M_i = M$  and  $M_i \cap f(M_i) = \emptyset$  for  $i = 1, \dots, k$ . Then we have  $g(M, f) \leq g(\tilde{L}_{k,p}, \varphi_{k,p}) = g(L_{k,p}, \varphi_{k,p})$ .*

*Proof.* By Theorem 1, there exists a continuous map  $h: M \rightarrow \partial \Delta_{k-1}$  such that  $h(M_i) \subset \Delta_{k-1:i}$  and such that

$$\begin{aligned}
h(f(h^{-1}(\Delta_{k-1:i}))) &\subset \bigcup_{\substack{j=1 \\ j \neq i}}^k \text{co} \{[\Delta_{k-1}^K] \mid \{i\} \subset K \subset \{1, \dots, k\} \setminus \{j\}\} \\
&\subset \partial \Delta_{k-1} \setminus \Delta_{k-1:i} .
\end{aligned}$$

Let  $P: M \rightarrow \tilde{L}_{k,p}$ ,  $P(x) := (h(x), h(f(x)), \dots, h(f^{p-1}(x)))$ . Obviously,  $P$  is an equivariant map (i.e.,  $P \circ f = \varphi_{k,p} \circ P$ ) and hence  $g(M, f) \leq g(\tilde{L}_{k,p}, \varphi_{k,p}) \leq g(L_{k,p}, \varphi_{k,p})$  (cf. [7, 8]).

Conversely,  $g(L_{k,p}, \varphi_{k,p}) \leq g(\tilde{L}_{k,p}, \varphi_{k,p})$  follows from the fact that  $L_{k,p}$  can be covered by the closed subsets  $\tilde{M}_i := \{(x_1, \dots, x_p) \in L_{k,p} \mid x_i \in \Delta_{k-1:i}\}$  ( $i = 1, \dots, k$ ), which obviously have the property

$\hat{M}_i \cap \varphi_{k,p}(\hat{M}_i) = \emptyset$ , and hence the estimate  $g(M, f) \leq g(\tilde{L}_{k,p}, \varphi_{k,p})$  applies to  $(L_{k,p}, \varphi_{k,p})$  instead of  $(M, f)$ .

REMARKS. 1. Theorem 2 reduces Problem 1 to the following equivalent problem:

*Problem 2.* Let  $k \in N$  and  $p$  a prime number. What is the value of  $g(L_{k,p}, \varphi_{k,p}) = g(\tilde{L}_{k,p}, \varphi_{k,p})$ ?

The end of the proof of Theorem 2 shows that, in fact, the value of  $g(L_{k,p}, \varphi_{k,p})$  gives the *best* estimate for  $g(M, f)$ .

2. Since the  $\tilde{L}_{k,p}$  are finite dimensional compact sets, Theorem 2 shows that for Problem 1 one cannot expect a better estimate for finite dimensional compact spaces  $M$  than for the larger class of normal spaces.

3. Computing  $g(L_{k,p}, \varphi_{k,p})$ : First results. I can give here the exact value of  $g(L_{k,p}, \varphi_{k,p})$  only for the special cases  $p = 2$  and  $k = 3$ . For the rest, only rough estimates are available.

THEOREM 3. (cf. [9] and [8], Satz 8.) Let  $k \in N$ . Then  $g(L_{k,2}, \varphi_{k,2}) = k - 1$ .

*Proof.* Let  $M_i := \{(x_1, x_2) \in L_{k,2} \mid x_1 \in \Delta_{k-1,i}\}$  ( $i = 1, \dots, k$ ). Then we have  $M_i \cap \varphi_{k,2}(M_i) = \emptyset$  and hence  $M_k \subset \bigcup_{i=1}^{k-1} \varphi_{k,2}(M_i)$ , which implies

$$L_{k,2} = \bigcup_{i=1}^k M_i = \bigcup_{i=1}^{k-1} M_i \cup M_k = \bigcup_{i=1}^{k-1} (M_i \cup \varphi_{k,2}(M_i)).$$

Since  $M_i \cup \varphi_{k,2}(M_i) \in \mathcal{S}(L_{k,2}, \varphi_{k,2})$ , we have  $g(L_{k,2}, \varphi_{k,2}) \leq k - 1$ .

It is a well known fact that the sphere  $S^{k-2}$  can be covered by closed sets  $M_1, \dots, M_k$  such that  $M_i \cap (-M_i) = \emptyset$  for  $i = 1, \dots, k$  (cf. [1]). Thus, by Theorem 2 we have  $g(L_{k,2}, \varphi_{k,2}) \geq g(S^{k-2}, -id) = k - 1$ .

A less trivial result is

THEOREM 4. Let  $p \geq 3$  be a prime number. Then

$$g(L_{3,p}, \varphi_{3,p}) = \begin{cases} 1 & \text{if } p = 3 \\ 2 & \text{if } p \geq 5. \end{cases}$$

*Proof.* I. Obviously,  $L_{3,3} \neq \emptyset$  and hence  $g(L_{3,3}, \varphi_{3,3}) \geq 1$ . On the other hand, for every  $x \in L_{3,3}$ , the set  $M_1 := \{(x_1, x_2, x_3) \in L_{3,3} \mid x_1 \in \Delta_{2,1}\}$  contains exactly one of the points  $x$ ,  $\varphi_{3,3}(x)$ ,  $\varphi_{3,3}^2(x)$ , which shows



that  $\varphi_{3,3}^j(M_1) \cap \varphi_{3,3}^k(M_1) = \emptyset$  for  $j, k = 0, 1, 2, j \neq k$  and  $\bigcup_{j=0}^2 \varphi_{3,3}^j(M_1) = L_{3,3}$ . Hence  $g(L_{3,3}, \varphi_{3,3}) \leq 1$ .

II. Let  $p \geq 5$ . To show that  $g(L_{3,p}, \varphi_{3,p}) \geq 2$ , we consider the space  $S^1 \subset \mathbb{C}$  with the  $\mathbb{Z}_p$ -action  $f: S^1 \rightarrow S^1$ ,  $f(z) = e^{((p-1)/p)\pi i} z$ . We cover  $S^1$  by the sets  $M_j = \{e^{i\alpha} | 2\pi(j-1)/3 \leq \alpha \leq 2\pi j/3\}$  for  $j = 1, 2, 3$ . By the definition of  $f$ , it follows that  $M_j \cap f(M_j) = \emptyset$ . Hence, by Theorem 2, we have  $2 = g(S^1, f) \leq g(L_{3,p}, \varphi_{3,p})$ .

It remains to prove that  $g(L_{3,p}, \varphi_{3,p}) \leq 2$ . For every  $x = (x_1, \dots, x_p) \in L_{3,p}$ , we define

$$T_x := \{(a_1, \dots, a_p) \in \{1, 2, 3\}^p | x_j \in \Delta_{2;a_j} \text{ for } j = 1, \dots, p\}.$$

For  $a, b \in \{1, 2, 3\}$ ,  $a \neq b$ , let

$$r(a, b) := \begin{cases} 1 & \text{if } b \equiv a + 1 \pmod{3} \\ 2 & \text{if } b \equiv a + 2 \pmod{3} \end{cases},$$

and for each  $j \in \{1, \dots, p\}$ , let

$$j^+ := \begin{cases} j+1 & \text{if } j \leq p-1 \\ 1 & \text{if } j = p \end{cases} \quad \text{and} \quad j^- := \begin{cases} j-1 & \text{if } j \geq 2 \\ p & \text{if } j = 1 \end{cases}.$$

Then, for  $x \in L_{3,p}$ , we define

$$v(x) := \frac{1}{3} \sum_{i=1}^p r(a_{j_i}, a_{j_i^+}),$$

where  $(a_1, \dots, a_p)$  is an arbitrary element of  $T_x$ . We have to show that this definition does not depend on the special choice of  $(a_1, \dots, a_p) \in T_x$ . Let  $(a_1, \dots, a_p), (b_1, \dots, b_p) \in T_x$  and let  $j_1, \dots, j_l \in \{1, \dots, p\}$  with  $j_1 < j_2 < \dots < j_l$  such that  $a_{j_k} \neq b_{j_k}$  for  $k = 1, \dots, l$ , but  $a_j = b_j$  for  $j \in \{1, \dots, p\} \setminus \{j_1, \dots, j_l\}$ . Then, by the definition of  $L_{3,p}$ , we have

$$a_{j_k^+} = a_{j_k^-} = b_{j_k^+} = b_{j_k^-} \in \{1, 2, 3\} \setminus \{a_{j_k}, b_{j_k}\} \quad \text{for } k = 1, \dots, l.$$

Hence we have, setting  $J := \{j_1^-, \dots, j_l^-, j_1, \dots, j_l\}$ ,

$$\begin{aligned} \frac{1}{3} \sum_{j=1}^p r(a_j, a_{j^+}) &= \frac{1}{3} \sum_{j \in \{1, \dots, p\} \setminus J} r(a_j, a_{j^+}) + l \\ &= \frac{1}{3} \sum_{j \in \{1, \dots, p\} \setminus J} r(b_j, b_{j^+}) + l = \frac{1}{3} \sum_{j=1}^p r(b_j, b_{j^+}). \end{aligned}$$

Obviously,  $v(x) \in \mathbb{N}$ ,  $p/3 \leq v(x) \leq 2p/3$  and  $v(x) = v(\varphi_{3,p}(x))$  for all  $x \in L_{3,p}$ . Furthermore, all the sets  $W_n := v^{-1}(n)$  ( $n \in \mathbb{N}$ ) are closed. Since  $L_{3,p}$  is the finite, disjoint union of the closed sets  $W_n$  ( $n \in \mathbb{N}$ ,  $p/3 \leq n \leq 2p/3$ ), which are invariant under  $\varphi_{3,p}$ , it suffices to show that  $g(W_n, \varphi_{3,p}) \leq 2$  for all  $n \in \mathbb{N}$ ,  $p/3 \leq n \leq 2p/3$ .

We assume that there exists such an  $n$  with  $g(W_n, \mathcal{P}_{3,p}) \geq 3$ . Without loss of generality, we may assume that  $g(W_n, \mathcal{P}_{3,p}) = 3$ , otherwise we could replace  $W_n$  by a subset  $\tilde{W}_n$  with  $\mathcal{P}_{3,p}(\tilde{W}_n) = \tilde{W}_n$  and  $g(\tilde{W}_n, \mathcal{P}_{3,p}) = 3$ .

Let  $h: \partial \mathcal{A}_2 \rightarrow S^1 (\subset C)$  be a homeomorphism such that

$$h(\mathcal{A}_{2,j}) = \left\{ e^{i\alpha} \mid (j-1)\frac{2\pi}{3} \leq \alpha \leq j\frac{2\pi}{3} \right\} \quad \text{for } j = 1, 2, 3.$$

We want to construct a map  $P: W_n \rightarrow S^1$  via a homotopy argument, such that  $P$  is equivariant with respect to  $\mathcal{P}_{3,p}$  and  $f: S^1 \rightarrow S^1$ ,

$$f(z) := e^{((2\pi i)/p)n} z, \quad \text{i.e.,} \quad P(\mathcal{P}_{3,p}(x)) = e^{((2\pi i)/p)n} P(x) = f(P(x))$$

for all  $x \in W_n$ . This will imply that  $g(W_n, \mathcal{P}_{3,p}) \leq g(S^1, f) = 2$  in contradiction to  $g(W_n, \mathcal{P}_{3,p}) = 3$  (cf. [7] and [8], Hilfssatz 10).

Since  $g(W_n, \mathcal{P}_{3,p}) = 3$ , there exist closed subsets  $W_n^{(j,k)}, W_n^{(j)}$  ( $j = 1, 2, 3; k = 0, \dots, p-1$ ) such that  $W_n^{(j)} = \bigcup_{k=0}^{p-1} W_n^{(j,k)}$ ,  $\bigcup_{j=1}^3 W_n^{(j)} = W_n$ ,  $W_n^{(j,k_1)} \cap W_n^{(j,k_2)} = \emptyset$  for  $k_1, k_2 = 0, \dots, p-1$ ,  $k_1 \neq k_2$  and  $\mathcal{P}_{3,p}^k(W_n^{(j,0)}) = W_n^{(j,k)}$  for  $k = 1, \dots, p-1$  ( $j = 1, 2, 3$ ). We have to construct a special homotopy

$$H: (W_n^{(1)} \cup W_n^{(2)} \cup W_n^{(3,0)}) \times [0, 1] \longrightarrow S^1:$$

(a) We define

$$\begin{aligned} H(x, t) &:= h(x_1) \quad \text{for } (x, t) = ((x_1, \dots, x_p), t) \\ &\in ((W_n^{(1)} \cup W_n^{(2)} \cup W_n^{(3,0)}) \times \{0\}) \cup (W_n^{(1,0)} \times [0, 1]), \end{aligned}$$

and

$$H(x, 1) := f^k(H(\mathcal{P}_{3,p}^{p-k}(x), 1)) = e^{((2\pi i)/p)n} h(x_{p+1-k})$$

for  $x = (x_1, \dots, x_p) \in W_n^{(1,k)}$  with  $k \in \{1, \dots, p-1\}$ . Thus,  $H_1(\cdot) := H(\cdot, 1)$  is equivariant on  $W_n^{(1)}$ .

(b) Let  $d_1: W_n^{(1)} \times [0, 1] \rightarrow (0, 2\pi)$ ,

$$d_1(x, t) := \arg \left( \frac{H(\mathcal{P}_{3,p}(x), t)}{H(x, t)} \right) \quad \text{for } (x, t) \in W_n^{(1)} \times \{0, 1\}$$

and

$$d_1(x, t) := t d_1(x, 1) + (1-t) d_1(x, 0) \quad \text{for } (x, t) \in W_n^{(1)} \times (0, 1).$$

Observe that we used here the fact that for  $x = (x_1, \dots, x_p) \in W_n^{(1)}$  we have  $x_2 \neq x_1$ , which implies  $H(\mathcal{P}_{3,p}(x), 0) = h(x_2) \neq h(x_1) = H(x, 0)$ . Now we can define

$$H(x, t) := H(\mathcal{P}_{3,p}^{p-k}(x), t) \prod_{m=1}^k e^{i d_1(\mathcal{P}_{3,p}^{p-m}(x), t)}$$

for  $(x, t) \in W_n^{(1,k)} \times (0, 1)$ ,  $k \in \{1, \dots, p-1\}$ .

(c)  $H$  is now given in particular on  $(W_n^{(1)} \times [0, 1]) \cup (W_n^{(2,0)} \times \{0\})$ . By a well known homotopy extension theorem (cf. [3], p. 14), we can extend  $H$  continuously to the set  $(W_n^{(1)} \cup W_n^{(2,0)}) \times [0, 1]$  such that  $H((W_n^{(1)} \cup W_n^{(2,0)}) \times [0, 1]) \subset S^1$ . Furthermore, we can define for  $x \in W_n^{(2,k)}$  with  $k \in \{1, \dots, p-1\}$ :

$$H(x, 1) := f^k(H(\varphi_{3,p}^{p-k}(x), 1)) = e^{((2\pi t)/p)nk} H(\varphi_{3,p}^{p-k}(x), 1).$$

(d) Let  $d_2: (W_n^{(1)} \cup W_n^{(2)}) \times [0, 1] \rightarrow (0, 2\pi)$  be defined analogously to  $d_1$ . Since, for  $x \in W_n^{(1)} \cup W_n^{(2)}$ ,  $(a_1, \dots, a_p) \in T_x$  and  $s \in \{1, \dots, p\}$ , we have

$$\left| \frac{2\pi}{3} \sum_{m=1}^s r(a_m, a_{m+}) - \sum_{m=1}^s d_2(\varphi_{3,p}^{m-1}(x), 0) \right| \leq \frac{2\pi}{3},$$

which implies

$$\sum_{m=1}^p d_2(\varphi_{3,p}^{m-1}(x), 0) = \frac{2\pi}{3} \sum_{m=1}^p r(a_m, a_{m+}) = 2\pi n,$$

it follows for every  $(x, t) \in (W_n^{(1)} \cup W_n^{(2)}) \times [0, 1]$  that

$$\begin{aligned} \sum_{m=1}^p d_2(\varphi_{3,p}^{p-m}(x), t) &= t \sum_{m=1}^p d_2(\varphi_{3,p}^{p-m}(x), 1) + (1-t) \sum_{m=1}^p d_2(\varphi_{3,p}^{p-m}(x), 0) \\ &= t \sum_{m=1}^p \frac{2\pi}{p} n + (1-t) 2\pi n = 2\pi n. \end{aligned}$$

Hence, for  $(x, t) \in W_n^{(1)} \times [0, 1]$  and  $k \in \{1, \dots, p-1\}$ , we have

$$\begin{aligned} H(\varphi_{3,p}^{p-k}(x), t) &\prod_{m=1}^k e^{i d_2(\varphi_{3,p}^{p-m}(x), t)} \\ &= H(x, t) \prod_{m=k+1}^p e^{i d_1(\varphi_{3,p}^{p-m}(x), t)} \prod_{m=1}^k e^{i d_2(\varphi_{3,p}^{p-m}(x), t)} \\ &= H(x, t) \prod_{m=k+1}^p e^{i d_2(\varphi_{3,p}^{p-m}(x), t)} \prod_{m=1}^k e^{i d_2(\varphi_{3,p}^{p-m}(x), t)} \\ &= H(x, t) \prod_{m=1}^p e^{i d_2(\varphi_{3,p}^{p-m}(x), t)} = H(x, t) e^{i 2\pi n} = H(x, t). \end{aligned}$$

This justifies the definition

$$H(x, t) := H(\varphi_{3,p}^{p-k}(x), t) \prod_{m=1}^k e^{i d_2(\varphi_{3,p}^{p-m}(x), t)}$$

for  $(x, t) \in W_n^{(2,k)} \times (0, 1)$ ,  $k \in \{1, \dots, p-1\}$ .

(e) To obtain  $H$  on  $(W_n^{(1)} \cup W_n^{(2)} \cup W_n^{(3,0)}) \times [0, 1]$ , we apply the same homotopy extension theorem as in (c). Finally, we obtain

$P: W_n \rightarrow S^1$  by

$$P(x) := \begin{cases} H(x, 1) & \text{for } x \in W_n^{(1)} \cup W_n^{(2)} \cup W_n^{(3,0)} \\ f^k(H(\varphi_{3,p}^{p-k}(x), 1)) & \text{for } x \in W_n^{(3,k)} \text{ with } k \in \{1, \dots, p-1\}. \end{cases}$$

For  $k \geq 4$  and  $p \geq 3$ , only estimates of  $g(L_{k,p}, \varphi_{k,p})$  are known, which seem to be not best possible in most cases. However, we can prove a new result, which yields, in conjunction with Theorem 2, a slight improvement of Satz 10 in [8]:

**THEOREM 5.** *Let  $p \geq 3$  be a prime number and  $k \in \{3, 4, 5, \dots\}$ . Then we have*

$$g(L_{k,p}, \varphi_{k,p}) = g(\tilde{L}_{k,p}, \varphi_{k,p}) \leq \frac{p-1}{2}(k-3) + \begin{cases} 1 & \text{if } p = 3 \\ 2 & \text{if } p \geq 5. \end{cases}$$

*Proof.* Let  $M_i := \{(x_1, \dots, x_p) \in \tilde{L}_{k,p} \mid x_i \in \Delta_{k-1:i}\}$  and  $F_i := \bigcup_{j=0}^{p-1} \varphi_{k,p}^j(M_i)$  ( $i = 1, \dots, k-3$ ), and let

$$G := \tilde{L}_{k,p} \cap \left( \bigcup_{j=k-2}^k \Delta_{k-1:j} \right)^p.$$

Then we have

$$\tilde{L}_{k,p} = \bigcup_{i=1}^{k-3} F_i \cup G.$$

As a consequence of Theorems 2 and 4, we have

$$g(G, \varphi_{k,p}) \leq \begin{cases} 1 & \text{if } p = 3 \\ 2 & \text{if } p \geq 5. \end{cases}$$

Furthermore, in the proof of Satz 10 in [8], it was shown that  $g(F_i, \varphi_{k,p}) \leq (p-1)/2$ . It follows that

$$\begin{aligned} g(\tilde{L}_{k,p}, \varphi_{k,p}) &\leq \sum_{i=1}^{k-3} g(F_i, \varphi_{k,p}) + g(G, \varphi_{k,p}) \\ &\leq \frac{p-1}{2}(k-3) + \begin{cases} 1 & \text{if } p = 3 \\ 2 & \text{if } p \geq 5. \end{cases} \end{aligned}$$

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Richard Neal Ball, <i>Topological lattice-ordered groups</i> .....	1
Stephen Berman, <i>On the low-dimensional cohomology of some infinite-dimensional simple Lie algebras</i> .....	27
R. P. Boas and Gerald Thomas Cargo, <i>Level sets of derivatives</i> .....	37
James K. Deveney and John Nelson Mordeson, <i>Splitting and modularly perfect fields</i> .....	45
Robert Hugh Gilman and Ronald Mark Solomon, <i>Finite groups with small unbalancing 2-components</i> .....	55
George Grätzer, Andras Hajnal and David C. Kelly, <i>Chain conditions in free products of lattices with infinitary operations</i> .....	107
Benjamin Rigler Halpern, <i>Periodic points on tori</i> .....	117
Dean G. Hoffman and David Anthony Klarner, <i>Sets of integers closed under affine operators—the finite basis theorem</i> .....	135
Rudolf-Eberhard Hoffmann, <i>On the sobrification remainder <math>^s X - X</math></i> .....	145
Gerald William Johnson and David Lee Skoug, <i>Scale-invariant measurability in Wiener space</i> .....	157
Michael Keisler, <i>Integral representation for elements of the dual of <math>ba(S, \Sigma)</math></i> .....	177
Wayne C. Bell and Michael Keisler, <i>A characterization of the representable Lebesgue decomposition projections</i> .....	185
Wadi Mahfoud, <i>Comparison theorems for delay differential equations</i> .....	187
R. Daniel Mauldin, <i>The set of continuous nowhere differentiable functions</i> .....	199
Robert Wilmer Miller and Mark Lawrence Teply, <i>The descending chain condition relative to a torsion theory</i> .....	207
Yoshiomi Nakagami and Colin Eric Sutherland, <i>Takesaki's duality for regular extensions of von Neumann algebras</i> .....	221
William Otis Nowell, <i>Tubular neighborhoods of Hilbert cube manifolds</i> .....	231
Mohan S. Putcha, <i>Generalization of Lentin's theory of principal solutions of word equations in free semigroups to free product of copies of positive reals under addition</i> .....	253
Amitai Regev, <i>A primeness property for central polynomials</i> .....	269
Saburou Saitoh, <i>The Rudin kernels on an arbitrary domain</i> .....	273
Heinrich Steinlein, <i>Some abstract generalizations of the Ljusternik-Schnirelmann-Borsuk covering theorem</i> .....	285