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## A DUAL RELATIONSHIP BETWEEN GENERALIZED ABEL-GONČAROV BASES AND CERTAIN PINCHERLE BASES

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## A DUAL RELATIONSHIP BETWEEN GENERALIZED ABEL-GONČAROV BASES AND CERTAIN PINCHERLE BASES

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Recent results on Abel-Gončarov polynomial expansions are applied to study the representability of holomorphic functions as infinite series in a given Pincherle sequence. As a generalization of the ordinary derivative we consider the so-called Gel'fond-Leont'ev derivative  $\mathscr{D}$ . We take the exponential function with respect to the derivative  $\mathscr{D}$  and use a duality principle in order to investigate the completeness of the system  $E_n(z) = z^n E(\lambda_n z)$  in the space  $\mathscr{F}_r$  of functions holomorphic on the interior of the disc of radius  $r \leq \infty$ . Finally we study the uniqueness of the representability of holomorphic functions as infinite series in the system  $E_n$ .

1. Basic facts and definitions. Let  $0 < r \leq \infty$ . We shall be interested in the nuclear Fréchet space  $\mathscr{F}_r$  consisting of all functions holomorphic on the open disk of radius r, equipped with the topology of uniform convergence on compact sets (see [15]). For the topology in the space  $\mathscr{F}_r$ , we can take the norms  $||\cdot||_{r'}$ , 0 < r' < r given by  $||f||_{r'} = \max \{|f(z)|: |z| = r'\}, f \in \mathscr{F}_r$ . It is easily seen that by Cauchy's estimates that system of norms  $\{||\cdot||_{r'}, 0 < r' < r\}$  is equivalent to the system of norms  $\{||\cdot||_{r'}, 0 < r' < r\}$ , where

$$|||f|||_{r'} = \sup_{0 \leq k < \infty} |a_k| r'^k$$
 ,

for  $f \in \mathcal{F}_r$  with Taylor series expansion

$$f(z) = \sum_{k=0}^{\infty} a_k z^k$$
.

We recall that two systems of seminorms  $\{||\cdot||_p, p \in P\}$  and  $\{|||\cdot|||_p, p \in P\}$  are equivalent, if for each  $p \in P$  there exists a constant  $K_p$  depending on p and  $q \in P$  such that  $||\cdot||_p \leq K_p |||\cdot|||_q$ , and if for each  $p' \in P$  there exists a constant  $K_{p'}$  depending on p' and  $q' \in P$  such that  $||\cdot||_p \leq K_p ||| \cdot |||_q$ .

A sequence  $(f_n)_{n=0}^{\infty}$  in  $\mathscr{F}_r$  is complete if the set of all finite linear combinations of the functions  $f_n$  is dense in  $\mathscr{F}_r$ . And  $(f_n)_{n=0}^{\infty}$  is a basis in  $\mathscr{F}_r$  if each  $f \in \mathscr{F}_r$  has a representation

$$f = \sum_{n=0}^{\infty} c_n f_n$$
,

where  $(c_n)_{n=0}^{\infty}$  is a sequence of scalars uniquely determined by f and

the infinite series converges in the topology of  $\mathscr{F}_r$ . Two bases  $(f_n)_{n=0}^{\infty}$  and  $(g_n)_{n=0}^{\infty}$  are equivalent if  $\sum_{n=0}^{\infty} c_n f_n$  converges in  $\mathscr{F}_r$  if and only if  $\sum_{n=0}^{\infty} c_n g_n$  converges in  $\mathscr{F}_r$ . As is well known, the sequence of the functions  $(z^n)_{n=0}^{\infty}$  constitutes a basis for any space  $\mathscr{F}_r(0 < r \leq \infty)$ . A basis  $(f_n)_{n=0}^{\infty}$  is called proper if it is equivalent to  $(z^n)_{n=0}^{\infty}$  (see [1], [4]).

M. Arsove [1], in a series of papers, has considered Pincherle sequences  $(f_n)_{n=0}^{\infty}$  in which  $f_n$  has the form

$$f_n(z) = z^n \psi_n(z)$$
,  $n = 0, 1, 2, \cdots$ 

where each function  $\psi_n \in \mathscr{F}_r$  and  $\psi_n(0) = 1$ . Recently, in [1] Arsove and in [4] Dubinsky studied linear Pincherle sequences (see also [9])

$$f_n(z)=z^n\Bigl(1-rac{z}{z_n}\Bigr)$$
,  $n=0,1,2,\cdots$ .

In this paper we investigate the problem of determining when a system

$$E_n(z)=z^nE(\lambda_n z)$$
,  $n=0, 1, 2, \cdots$ 

is complete in  $\mathscr{F}_r$ , when it is not complete and when it is a basis, even a proper basis in  $\mathscr{F}_r$ . Here  $(\lambda_n)_{n=0}^{\infty}$  is a sequence of scalars and E is a generalized exponential function corresponding to a so-called Gel'fond-Leont'ev derivative  $\mathscr{D}$  (see [8]).

Let  $(d_k)_{k=1}^{\infty}$  denote a nondecreasing sequence of positive numbers. The Gel'fond-Leont'ev derivative  $\mathscr{D}$  is defined by

$$\mathscr{D}f(z)=\sum_{k=1}^\infty d_ka_kz^{k-1}$$
 ,

where

$$f(\pmb{z}) = \sum_{k=0}^\infty a_k \pmb{z}^k$$
 .

As in [2] or [7] we suppose that the sequence  $(d_k)_{k=1}^{\infty}$  satisfies the following condition

(1.1)  $(d_{k+1}/d_k)_{k=1}^{\infty}$  is nonincreasing and has limit 1.

Then it follows

$$\lim_{k o\infty} d_k^{1/k} = 1$$
 .

Thus if f has radius of convergence c(f) then

$$\mathscr{D}f(z) = \sum_{k=1}^{\infty} d_k a_k z^{k-1}$$

has also radius of convergence c(f).

The operator  $\mathscr{D}$  corresponds to the ordinary derivative when  $d_k = k$   $(k = 1, 2, \cdots)$  and to the shift operator  $\mathscr{S}$  when  $d_k = 1$   $(k = 1, 2, \cdots)$ .  $\mathscr{S}$  is defined by

$$\mathscr{S}f(z) = \sum_{k=1}^{\infty} a_k z^{k-1}$$

The operators  $\mathscr{D}^*$   $(n = 1, 2, \cdots)$  are the successive iterates of  $\mathscr{D}$  and we have

$${\mathscr D}^n f(z) = \sum_{k=n}^\infty rac{e_{k-n}}{e_k} a_k z^{k-n}$$
 ,

where  $e_0 = d_0 = 1$  and  $e_n = (d_1 d_2 \cdots d_n)^{-1}$  for  $n \ge 1$ .

We write

$$E(z) = \sum_{k=0}^{\infty} e_k z^k$$

and note that this function bears the same relationship to the operator  $\mathscr{D}$  that the exponential function bears to the ordinary differentiation. This means

$$E(0) = 1$$
 and  $\mathscr{D}E(z) = E(z)$ .

Let R = c(E), then, by the monotonicity of the sequence  $(d_k)_{k=1}^{\infty}$  we have (see [2])

$$R=\lim_{k
ightarrow\infty}d_k=\sup_{1\le k<\infty}d_k$$
 .

The *E*-type of a function  $f(z) = \sum_{k=0}^{\infty} a_k z^k$  is the number

$$au_{\scriptscriptstyle E}(f) = \limsup_{k o \infty} |a_k/e_k|^{{\scriptscriptstyle 1/k}} \; .$$

If  $R < \infty$  then

Now we define for a sequence  $(\lambda_k)_{k=0}^{\infty}$  of scalars the polynomials  $Q_n(z; \lambda_0, \dots, \lambda_{n-1})$  by  $Q_0(z) \equiv 1$  and

$$Q_{\mathbf{n}}(\mathbf{z};\,\lambda_0,\,\cdots,\,\lambda_{n-1})=e_{\mathbf{n}}\mathbf{z}^{\mathbf{n}}-\sum_{k=0}^{n-1}e_{\mathbf{n}-k}\lambda_k^{\mathbf{n}-k}Q_k(\mathbf{z};\,\lambda_0,\,\cdots,\,\lambda_{k-1})\;.$$

It is easily seen that

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(1.3) 
$$e_n z^n = \sum_{k=0}^n e_{n-k} \lambda_k^{n-k} Q_k(z; \lambda_0, \cdots, \lambda_{k-1})$$

The polynomials  $Q_n(z; \lambda_0, \dots, \lambda_{n-1})$  are called the Gončarov polynomials belonging to the operator  $\mathscr{D}$  (see [2]). They reduce to the ordinary Gončarov polynomials if  $d_k = k$   $(k = 1, 2, \dots)$  and the remainder polynomials if  $d_k = 1$   $(k = 1, 2, \dots)$ .

One verifies easily that

(1.4) 
$$\mathscr{D}^{k}Q_{n}(\lambda_{k};\lambda_{0},\cdots,\lambda_{n-1})=\delta_{nk} \qquad (\text{see } [2]).$$

Therefore the polynomials  $Q_n(z; \lambda_0, \dots, \lambda_{n-1})$  are biorthogonal to the linear functionals

$$\mathscr{L}_n(f) = \mathscr{D}^n f(\lambda_n)$$
.

Now we consider the problem under which conditions the polynomials  $Q_n(z; \lambda_0, \dots, \lambda_{n-1})$  constitute a basis in  $\mathcal{F}_r$ , i.e.,

$$f(z) = \sum_{n=0}^{\infty} \mathscr{D}^n f(\lambda_n) Q_n(z; \lambda_0, \cdots, \lambda_{n-1})$$

for each  $f \in \mathcal{F}_r$  and the infinite series converges in the topology of  $\mathcal{F}_r$ .

In this connection the Whittaker constant  $W(\mathscr{D})$  belonging to the operator  $\mathscr{D}$  plays an important role. We can introduce the Whittaker constant  $W(\mathscr{D})$  by

$$W(\mathscr{D}) = \left(\sup_{1\leq n<\infty} H_n^{1/n}
ight)^{-1}$$
,

where

$$H_n = \max |Q_n(0; \lambda_0, \cdots, \lambda_{n-1})|$$
  $(n = 1, 2, \cdots)$ 

and the maximum is taken over all sequences  $(\lambda_k)_{k=0}^{n-1}$  whose terms lie on the unit circle (see Buckholtz and Frank [2]).

The Whittaker constant satisfies the inequality (see [2])

$$(1.5) \hspace{1.5cm} 0 < rac{d_1}{2} \leq \mathit{W}(\mathscr{D}) < d_{\scriptscriptstyle 1} \; .$$

In [7], Frank and Shaw investigated the above problem and the following theorem is an easy consequence of their Theorem A in [7]:

THEOREM A. Let  $(\lambda_n)_{n=0}^{\infty}$  be a sequence of complex numbers such that

$$|\lambda_n| \leq rac{e_{n+1}}{e_n}s$$
,  $n = 0, 1, 2, \cdots$ 

for a real number s > 0. Then the Gončarov polynomials constitute a basis in any space  $\mathcal{F}_r$  for

$$r > rac{s}{W(\mathscr{D})}$$
 .

The following theorem, which is again an easy consequence of a theorem due to Buckholtz and Frank [3], shows that Theorem A is sharp in a certain sense:

**THEOREM** B. Let r and s be positive numbers such that

$$rac{s}{W(\mathscr{D})}>r\;.$$

Then there exists a holomorphic function F of radius of convergence r such that  $\mathscr{D}^{n}F$  has a zero in  $|z| \leq (e_{n+1}/e_{n})$  s for all but finitely many n.

In the following we will use Theorem A and Theorem B and two duality principles for  $\mathscr{T}_r$  in order to investigate the behavior of the Pincherle sequences

$$E_n(z) = z^n E(\lambda_n z) \qquad n = 0, 1, 2, \cdots,$$

where E is the exponential function belonging to the operator  $\mathscr{D}$ and  $(\lambda_n)_{n=0}^{\infty}$  is a given sequence of scalars.

2. Completeness of the system  $\{z^n E(\lambda_n z)\}$ . Let  $E \in \mathscr{F}_R$  $(0 < R < \infty)$  with the power series expansion

$$E(z) = \sum\limits_{k=0}^\infty e_k z^k \quad ext{and} \quad \limsup_{k o\infty} |\,e_k\,|^{{\scriptscriptstyle 1/k}} = rac{1}{R} \;.$$

We suppose that  $e_0 = 1$  and  $e_k > 0$  for  $k = 1, 2, \cdots$ .

In the sequel, we will always require that the sequence  $(e_k)_{k=0}^{\infty}$  satisfies the following conditions:

(2.1a)  $(e_{k-1}/e_k)_{k=1}^{\infty}$  is nondecreasing;

(2.1b)  $(e_k^2/e_{k-1}e_{k+1})_{k=1}^{\infty}$  is nonincreasing and has limit 1 (compare (1.1)).

From condition (2.1a) we have

$$\lim_{k\to\infty} (e_k/e_{k-1}) = \frac{1}{R}$$

since  $E \in \mathcal{F}_R$ .

THEOREM 1. Let  $(\lambda_n)_{n=0}^{\infty}$  be a sequence of complex numbers such that

$$|\lambda_n| \leq rac{e_{n+1}}{e_n}s$$
  $n=0, 1, 2, \cdots$ 

for a real number s > 0. Then the system  $\{z^n E(\lambda_n z)\}_{n=0}^{\infty}$  is complete in any space  $\mathscr{F}_r$  for  $R/r \geq s/W(\mathscr{D})$ .

*Proof.* Here we use the following well known form of the Hahn-Banach theorem: A subset  $G \subseteq \mathscr{F}_r$  is dense in  $\mathscr{F}_r$  if and only if for each continuous linear functional L on  $\mathscr{F}_r$  such that L(g) = 0 for each  $g \in G$  it follows that L = 0.

Let  $\{(e_k)_{k=0}^{\infty}, r\}$  denote the space of all holomorphic functions  $h(z) = \sum_{k=0}^{\infty} h_k z^k$  with the property

$$\limsup_{k o \infty} |h_k/e_k|^{{\scriptscriptstyle 1/k}} < r \; .$$

This means that the functions  $h \in \{(e_k)_{k=0}^{\infty}, r\}$  are holomorphic on the disk  $|z| \leq R/r$ , since

$$\limsup_{k o \infty} |h_k/e_k|^{{\scriptscriptstyle 1/k}} = R \limsup_{k o \infty} |h_k|^{{\scriptscriptstyle 1/k}} < r$$
 ,

and on the other hand that the function

$$h_{\scriptscriptstyle E}(z) = \sum_{k=0}^{\infty} rac{h_k}{e_k} z^{-k-1}$$

is holomorphic for  $|z| \ge r$ .

A duality between  $\mathscr{F}_r$  and  $\{(e_k)_{k=0}^{\infty}, r\}$  is defined by the bilinear forms

$$\langle 2.2
angle \qquad \langle g,\,h
angle = rac{1}{2\pi i} \int_{\tau} g(z) h_{\scriptscriptstyle E}(z) dz \; ,$$

where  $g \in \mathscr{F}_r$ ,  $h \in \{(e_k)_{k=0}^{\infty}, r\}$  and  $\gamma$  is a circle contained in the intersection of the domain of holomorphy of g with the domain of holomorphy of  $h_E$ .

Formula (2.2) gives the general form of the continuous linear functionals on  $\mathcal{F}_r$  (see [6] or [12]).

Now let  $L \in \mathscr{F}'_r$  such that  $L(E_n) = 0$  for  $n = 0, 1, 2, \cdots$ , where  $E_n(z) = z^n E(\lambda_n z)$ . Then there exists a function  $h \in \{(e_k)_{k=0}^{\infty}, r\}$  such that

$$egin{aligned} L(E_n) &= rac{1}{2\pi i} \int_{ au} z^n E(\lambda_n z) h_{\scriptscriptstyle E}(z) dz = rac{1}{2\pi i} \int_{ au} \Big( \sum_{k=0}^\infty e_k \lambda_n^k z^{k+n} \Big) \Big( \sum_{k=0}^\infty rac{h_k}{e_k} z^{-k-1} \Big) dz \ &= \sum_{k=n}^\infty rac{e_{k-n}}{e_k} \lambda_n^{k-n} h_k = \mathscr{D}^n h(\lambda_n) \;. \end{aligned}$$

By condition (2.1a) and inequality (1.5) we have

$$|\lambda_n| \leq rac{e_{n+1}}{e_n} s \leq e_1 s < rac{s}{W(\mathscr{D})} \leq rac{R}{r}$$
 ,

which implies that  $E_n \in \mathscr{F}_r$  for  $n = 0, 1, 2, \cdots$ .

Since

$$H = \left(\lim_{k o\infty}\, \sup \, |\,h_k\,|^{_{1/k}}
ight)^{\!\!-1} > rac{R}{r}$$

the assumption  $R/r \ge s/W(\mathscr{D})$  implies that the corresponding Gončarov-polynomials constitute a basis in  $\mathscr{T}_{II}$  (see Theorem A). By the uniqueness-property of a basis we have  $h \equiv 0$  if  $\mathscr{D}^{*}h(\lambda_n) = 0$ for  $n=0, 1, 2, \cdots$ . Now it follows L=0, which completes our proof.

In the next theorem we show that Theorem 1 is sharp in a certain sense:

THEOREM 2. Let r and s be positive numbers such that  $se_1 < R/r < s/W(\mathscr{D})$ . Then there exists a sequence of complex numbers  $(\lambda_n)_{n=0}^{\infty}$  with the property

$$|\lambda_n| \leq \frac{e_{n+1}}{e_n}s$$

such that the functions  $E_n(z) = z^n E(\lambda_n z)$  are in  $\mathscr{F}_r$  but are not complete in  $\mathscr{F}_r$ .

*Proof.* We have to show that there exists a continuous linear functional  $L_0 \neq 0$  on  $\mathscr{T}_r$  such that  $L_0(E_n) = 0$  for  $n = 0, 1, 2, \cdots$ , where

$$E_n(z) = z^n E(\lambda_n z)$$
 for  $n = 0, 1, 2, \cdots$ 

and  $(\lambda_n)_{n=0}^{\infty}$  is a suitable sequence of complex numbers. In view of the proof of Theorem 1 it suffices to show that there exists a function

$$h_{\scriptscriptstyle 0} \in \{(e_k)_{k=0}^{\scriptscriptstyle \infty}, \ r\}$$

such that  $\mathscr{D}^n h_0(\lambda_n) = 0$  for  $n = 0, 1, 2, \cdots$  and  $h_0 \neq 0$ .

In order to find such a function  $h_0$  we apply Theorem B: by our assumption

$$\frac{R}{r} < \frac{s}{W(\mathscr{D})}$$

we can find a number  $H_0$  such that

$$rac{R}{r} \,{<}\, H_{\scriptscriptstyle 0} \,{<}\, rac{s}{W(\mathscr{D})}$$
 ,

and by Theorem B there exists a function  $\tilde{h}_0$  with  $c(\tilde{h}_0) = H_0$  such that  $\mathscr{D}^n \tilde{h}_0$  has a zero in

$$|z| \leq \frac{e_{n+1}}{e_n}s$$

for all but finitely many n.

This implies that we can find a sequence  $(\lambda_n)_{n=0}^{\infty}$  of complex numbers with

$$|\lambda_n| \leq \frac{e_{n+1}}{e_n} s$$

for  $n = 0, 1, 2, \cdots$  such that  $|\mathscr{D}^n \tilde{h}_0(\lambda_n)| < \infty$  for  $0 \le n \le N$  (take for instance  $\lambda_n = 0$  for  $0 \le n \le N$ ) and  $\mathscr{D}^n \tilde{h}_0(\lambda_n) = 0$  for n > N, where N is a suitable natural number. Since  $e_1 s < R/r$ , we have  $|\lambda_n| \le (e_{n+1}/e_n) s \le e_1 s < R/r$ , which implies that  $E_n \in \mathscr{F}_r$  for n =0, 1, 2,  $\cdots$ .

Now define a polynomial  $p_0$  by

$$p_{\scriptscriptstyle 0}(z) = \sum_{n=0}^N \mathscr{D}^n \widetilde{h}_{\scriptscriptstyle 0}(\lambda_n) Q_n(z; \lambda_0, \ \cdots, \ \lambda_{n-1}) \; .$$

Then  $p_0$  is a polynomial of degree not greater than N and has the property

$$\mathscr{D}^n p_0(\lambda_n) = \mathscr{D}^n \widetilde{h}_0(\lambda_n) \quad ext{for} \quad 0 \leq n \leq N \ ,$$

and  $\mathscr{D}^n p_0(\lambda_n) = 0$  for n > N (see part 1).

We set now

$$h_{\scriptscriptstyle 0} = \widetilde{h}_{\scriptscriptstyle 0} - p_{\scriptscriptstyle 0}$$
 ,

then

$$\mathscr{D}^n h_0(\lambda_n) = 0$$
 for  $n = 0, 1, 2, \cdots$ 

and  $c(h_0) = H_0$ .

If we write

$$h_{\scriptscriptstyle 0}(z) = \sum\limits_{k=0}^\infty h_{\scriptscriptstyle 0,k} z^k$$
 ,

then

 $\limsup_{k o \infty} |h_{{\scriptscriptstyle 0},k}\!/\!e_k|^{{\scriptscriptstyle 1/k}} < r$  ,

since  $H_0 > R/r$ . This means  $h_0 \in \{(e_k)_{k=0}^{\infty}, r\}$ . So if we set

$$L_{\scriptscriptstyle 0}(g) = \langle g,\, h_{\scriptscriptstyle 0} 
angle = rac{1}{2\pi i} \int_{ au} g(z) (h_{\scriptscriptstyle 0})_{\scriptscriptstyle E}(z) dz \;,$$

then  $L_0(E_n) = 0$  for  $n = 0, 1, 2, \cdots$  and  $L_0 \neq 0$ .

The desired conclusion now follows again from the Hahn-Banach theorem.

3. Uniqueness of the representation by the system  $\{z^{n}E(\lambda_{n}z)\}$ . The purpose of this part is to derive conditions under which the system  $\{z^{n}E(\lambda_{n}z)\}$  constitutes a basis in its closed linear hull in a certain space  $\mathscr{F}_{r}$ . In order to do this we use a dual relationship between basis theory and interpolation theory developed by M. M. Dragilev, V. P. Zaharjuta and Ju. F. Korobeinik in 1974 (see [4]):

Let X be a nuclear Fréchet space with a topology given by a family of seminorms  $\{||\cdot||_p, p \in P\}$ ; let X' be the strong dual space. We consider two sequence spaces generated by a sequence  $\{x_n\}_{n=0}^{\infty}$  of nonzero elements of X:

$$\mathscr{C} = \left\{ c = (c_n)_{n=0}^\infty \colon |c|_p \colon = \sum_{n=0}^\infty |c_n| ||x_n||_p < \infty \text{ , for each } p \in P 
ight\}$$

with the topology determined by the family of seminorms  $\{|c|_p, p \in P\}$ , and

$$\mathscr{C}' = \left\{ c' = (c'_n)_{n=0}^{\infty} \text{: there exists a } p \in P \text{ with } |c'|_p' \text{:} = \sup_n \frac{|c'_n|}{||x_n||_p} < \infty \right\}$$

with the topology of the strong dual with respect to duality, given by the formula

$$\langle c,\,c'
angle = \sum\limits_{n=0}^{\infty} c_n c'_n\;.$$

THEOREM C. (See [4], [12].) Let X be a nuclear Fréchet space. A sequence  $\{x_n\}_{n=0}^{\infty}$  constitutes a basis in its closed linear hull in X if and only if for each sequence  $(t_n)_{n=0}^{\infty} \in \mathcal{E}'$  there exists  $x' \in X'$  such that

$$x'(x_n) = t_n$$
 for  $n = 0, 1, 2, \cdots$ .

(In this case one says that the interpolation problem  $(X', \{x_n\}_{n=0}^{\infty})$  is solvable).

In the sequel we use Theorem C for the system  $E_n(z) = z^n E(\lambda_n z)$  considered in part 2.

THEOREM 3. Let  $(\lambda_n)_{n=0}^{\infty}$  be a sequence of complex numbers such that

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$$|\lambda_n| \leq \frac{e_{n+1}}{e_n}s$$
  $n = 0, 1, 2, \cdots$ 

for a real number s > 0. Then the system  $\{z^{n}E(\lambda_{n}z)\}_{n=0}^{\infty}$  constitutes a basis in  $\mathscr{F}_{r}$  for any r > 0 with the property  $R/r \geq s/W(\mathscr{D})$ .

*Proof.* In order to apply the above principle we remark that Theorem C says that  $\{E_n\}_{n=0}^{\infty}$  constitutes a basis in its closed linear hull if and only if for each sequence  $(t_n)_{n=0}^{\infty}$  with the property

$$|t_n| \leq K ||E_n||_{r'} \quad n = 0, 1, 2, \cdots,$$

where r' < r and K is a constant only depending on r', there exists a continuous linear functional  $L \in \mathscr{F}'_r$  represented by a function  $h \in \{(e_k)_{k=0}^{\infty}, r\}$  such that

$$L(E_n)=\langle E_n,\,h
angle=\mathscr{D}^nh(\lambda_n)=t_n$$
 ,

for  $n = 0, 1, 2, \cdots$ .

We take a sequence  $(t_n)_{n=0}^{\infty}$  such that inequality (3.1) holds. Since the systems of norms  $(||\cdot||_{r'}, r' < r)$  and  $(|||\cdot|||_{r'}, r' < r)$  are equivalent in  $\mathscr{F}_r$ , inequality (3.1) can be replaced by

$$(3.2) |t_n| \leq Kr'^n \sup_{k} (e_k |\lambda_n|^k r'^k) n = 0, 1, 2, \cdots.$$

This follows from the fact that

$$E_n(z)=z^n\sum\limits_{k=0}^{\infty}e_k \lambda_n^k z^k$$

and by the definition of the norms  $||| \cdot |||_{r'} (r' < r)$ . Now we obtain

$$\limsup_{n o \infty} |t_n|^{1/n} \leq r' \limsup_{n o \infty} \left[ \sup_k \left( e_k |\lambda_n|^k r'^k 
ight) 
ight]^{1/n} \, .$$

By inequality (1.5) and the assumption  $R/r \ge s/W(\mathscr{D})$  we have  $|\lambda_n| < R/r$  for  $n = 0, 1, 2, \cdots$ . This means  $E_n \in \mathscr{F}_r$  for  $n = 0, 1, 2, \cdots$ , and

$$\sup_k \, (e_k \, | \, \lambda_n \, |^k r'^k) \leq \sup_k \left( e_k \Bigl( rac{R}{r} \Bigr)^k r'^k \Bigr) \, .$$

Since (R/r)r' < R, we have

$$\sup_k \left( e_k \!\! \left( rac{R}{r} 
ight)^{\!\! k} r'^k 
ight) \! < K_{\scriptscriptstyle E}$$
 ,

where  $K_E$  is a constant depending on E.

This implies

$$\limsup_{n\to\infty} |t_n|^{1/n} \leq r' .$$

Now we obtain

$$\limsup_{n\to\infty} |e_n t_n|^{1/n} \leq (\limsup_{n\to\infty} e_n^{1/n}) \left(\limsup_{n\to\infty} |t_n|^{1/n}\right) \leq \frac{r'}{R} \ .$$

By Theorem A the Gončarov-polynomials  $Q_n(z; \lambda_0, \dots, \lambda_{n-1})$  constitute a basis in  $\mathscr{F}_{R/r'}$ , since

$$rac{R}{r'} > rac{R}{r} \geqq rac{s}{W(\mathscr{D})}$$
 .

Consider the polynomials

$$e_n^{-1}Q_n(z; \lambda_0, \cdots, \lambda_{n-1});$$

since

$$e_n^{-1}Q_n(z;\lambda_0,\ \cdots,\ \lambda_{n-1})=z^n-\sum_{k=0}^{n-1}rac{e_{n-k}}{e_n}\lambda_k^{n-k}Q_k(z;\lambda_0,\ \cdots,\ \lambda_{k-1})$$
 ,

(see 1.3) it follows that the bases  $\{e_n^{-1}Q_n(z; \lambda_0, \dots, \lambda_{n-1})\}_{n=0}^{\infty}$  and  $\{z^n\}_{n=0}^{\infty}$ are equivalent in  $\mathscr{F}_{R/r'}$ , i.e.,

$$\sum_{n=0}^{\infty} c_n e_n^{-1} Q_n(z; \lambda_0, \cdots, \lambda_{n-1})$$

converges in  $\mathscr{F}_{R/r'}$  if and only if  $\sum_{n=0}^{\infty} c_n z^n$  converges in  $\mathscr{F}_{R/r'}$  (see [14], pg. 188).

Now since  $\limsup_{n\to\infty} |e_n t_n|^{1/n} \leq r'/R$ , we have  $\sum_{n=0}^{\infty} e_n t_n z^n$  converges in  $\mathscr{F}_{R/r'}$ , and therefore  $\sum_{n=0}^{\infty} t_n Q_n(z; \lambda_0, \dots, \lambda_{n-1})$  converges in  $\mathscr{F}_{R/r'}$ ; in other words: there exists a function  $h \in \mathscr{F}_{R/r'}$  such that

$$\mathscr{D}^n h(\lambda_n) = t_n$$
  $n = 0, 1, 2, \cdots$ 

The fact that  $h \in \mathscr{F}_{R/r'}$  implies that for

$$h(z)=\sum\limits_{k=0}^{\infty}h_kz^k$$

we have

$$\limsup_{k o\infty} |h_k|^{{\scriptscriptstyle 1/k}} \leq rac{r'}{R} < rac{r}{R}$$

and hence

$$\limsup_{\scriptscriptstyle k o \infty} \, \left| rac{h_k}{e_k} 
ight|^{\scriptscriptstyle 1/k} < r \; .$$

This means  $h \in \{(e_k)_{k=0}^{\infty}, r\}$ ; now by the representation of the continuous linear functionals on  $\mathscr{F}_r$  we see that there exists a continuous linear functional  $L \in \mathscr{F}'_r$  such that

$$L(E_n) = \langle E_n, h \rangle = \mathscr{D}^n h(\lambda_n) = t_n \qquad n = 0, 1, 2, \cdots$$

Theorem C implies that the system  $\{E_n\}_{n=0}^{\infty}$  constitutes a basis in its closed linear hull in  $\mathscr{F}_r$ , by Theorem 1 the system  $\{E_n\}_{n=0}^{\infty}$  is complete in  $\mathscr{F}_r$ , so  $\{E_n\}_{n=0}^{\infty}$  constitutes a basis in  $\mathscr{F}_r$  and the proof of Theorem 3 is finished.

By [14] it follows that the system  $\{z^{n}E(\lambda_{n}z)\}_{n=0}^{\infty}$  constitutes a basis in  $\mathscr{F}_{r}$  which is equivalent to the canonical basis  $\{z^{n}\}_{n=0}^{\infty}$ . We remark that under the assumptions of Theorem 2 the system  $\{z^{n}E(\lambda_{n}z)\}_{n=0}^{\infty}$  does not constitute a basis for  $\mathscr{F}_{r}$ , because the system  $\{z^{n}E(\lambda_{n}z)\}_{n=0}^{\infty}$  is even not complete in  $\mathscr{F}_{r}$ .

Some other results of this kind can be found in [11], [12] or [13] (see also the references in [11]). But these are all sufficient conditions for a system  $\{z^n f(\lambda_n z)\}_{n=0}^{\infty}$  to be a basis in  $\mathscr{F}_r$  and there is no similar result to Theorem 2.

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