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# THERE ARE 2<sup>c</sup> NONHOMEOMORPHIC CONTINUA IN $\beta R^n - R^n$

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### THERE ARE 2<sup>c</sup> NONHOMEOMORPHIC CONTINUA IN $\beta R^n - R^n$

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In this paper it is shown that for  $n \ge 3$ ,  $\beta R^n - R^n$  contains  $2^c$  nonhomeomorphic continua. In the proof we will also construct c continua in  $\beta R^3 - R^3$  with nonisomorphic first Cech cohomology groups and  $2^c$  compacta in  $\beta R^8 - R^3$  no two of which have the same shape.

Introduction. Much work has been done in the study of the Stone-Čech compactification of the natural numbers. Some of these results have been applied to the study of  $\beta X - X$  for other topological spaces X, as in the proof of Frolik's result that  $\beta X - X$  is not homogeneous for a nonpseudocompact space X (see [9]). Shape theory has offered new methods for examining  $\beta X$  and  $\beta X - X$  that utilize the intrinsic topological properties of  $\beta X$ , as is illustrated in this paper in the case of  $\beta R^n$ . Using the fact that shape factors through Čech cohomology, we will construct c continua in  $\beta R^3 - R^3$ , no two of which have the same shape. Then, a particular embedding of subsets of the continua into  $\beta R^3$  will exhibit 2° compacta in  $\beta R^3 - R^3$ with different shapes. An easy modification of the compacta will yield 2° nonhomeomorphic continua in  $\beta R^3 - R^3$ , the proof of which utilizes the properties of shape dimension as developed by J. Keesling [5]. From this it follows that for  $n \ge 3$  there are  $2^{\circ}$  nonhomeomorphic continua in  $\beta R^n - R^n$ .

Preliminaries. Let  $\beta X$  denote the Stone-Čech compactification of a space X. For references, see Gillman and Jerison [2], or Walker [9].  $H^*(X)$  will denote the *n*-dimensional Čech cohomology of X with coefficients in Z based on the numerable covers of X. Also,  $[X, S^1]$ will denote all homotopy classes of maps from X into  $S^1$ , with the group structure induced by the group structure on  $S^1$ . Since  $S^1$  is a  $K(Z, 1), H^1(X)$  is isomorphic to  $[X, S^1]$ . Finally, let  $\prod A_i$  be the group  $\prod_{i \in Z} A_i / \sum_{i \in Z} A_i$ .

The following theorems will be used in this paper:

THEOREM 1 (Lemma 1.7 of [1]). For X normal and connected, there is an exact sequence  $0 \to C(X)/C^*(X) \to [\beta X, S^1] \to [X, S^1] \to 0$ where C(X) is the additive group of real valued continuous functions on X, and  $C^*(X)$  is the subgroup of bounded real continuous functions.

**THEOREM 2** (Theorem 1.6 of [5]). Let  $n \ge 1$  be an integer. Let

X be a locally compact,  $\sigma$ -compact space such that for every compact set  $K \subseteq X$  there is a compact set  $L \subseteq X - K$  such that dim  $L \ge n$ . Then the shape dimension of  $\beta X$ , Sd  $\beta X \ge n$  and Sd $(\beta X - X) \ge n$ .

THEOREM 3 (Corollary 1.9 of [5]). Let X be a Lindelöf space and let K be a compact set contained in  $\beta X$  X. Then dim K =Sd K.

THEOREM 4 (Theorem 1.12 of [4]). Suppose that X is realcompact and that K is a continuum contained in  $\beta X - X$ . Then if f(K) = Y is any continuous maps which is a shape equivalence, f is a homeomorphism.

#### Main Theorems.

**THEOREM 5.** There are c subcontinua of  $\beta R^3 - R^3$  which have nonisomorphic first Čech cohomology groups.

*Proof.* Consider the collection  $\{P_a: a \in \mathcal{N}\}$ , where each  $P_a$  is a sequence of prime numbers such that there are an infinite number of distinct primes in  $P_a$ , and each prime occurs an infinite number of times; if  $a, b \in \mathcal{N}$  with  $a \neq b$ , then there is a prime occuring in  $P_a$  which is not in  $P_b$ , or a prime in  $P_b$  which is not in  $P_a$ ; and card  $\mathcal{M} = c$ . Let  $\sum_a$  be the solenoid corresponding to the sequence  $P_a$ , and let  $B_a = H^1(\sum_a)$ . We know that  $B_a$  is isomorphic to  $\{m/p_1p_2\cdots p_k: m \in Z, p_i \in P_a\}$ .

The solenoid  $\sum_a$  may be described as follows: let  $P_a = \{p_1, p_2, p_3, \cdots\}$ .  $\sum_a$  is the intersection of a decreasing tower of solid tori  $\{T_n\}$  in  $R^3$  with the properties that (i)  $T_{n+1} \subseteq T_n$  for every  $n \in Z^+$ ; (ii)  $\lim_{n\to\infty}$  [length of cross section of  $T_n$ ] = 0; and (iii)  $T_{n+1}$  is wrapped  $p_n$  times around the hole of  $T_n$ . Also, let  $p, q \in T_1$  so that the distance from p to q is maximal, and specify that  $T_n$  passes through p and q for every n.

Position  $\sum_{a}$  in  $\mathbb{R}^{3}$  so that p = (0, 0, 0) and q = (0, 0, 1). Define  $f: \mathbb{R}^{3} \to \mathbb{R}^{3}$  by f(x, y, z) = (x, y, z + 1), and let  $A = \bigcup_{n \geq 0} f^{n}(\sum_{a})$ . Hence, A is the union of a countable number of copies of  $\sum_{a}$  placed end to end. Now  $H^{1}(A) = \prod_{n \geq 0} H^{1}(f^{n}(\sum_{a})) = \prod H^{1}(\sum_{a})$  (the countable infinite product of copies of  $H^{1}(\sum_{a})$ ), and so we have  $H^{1}(A) = \prod B_{a}$ .

Let  $A_n = \bigcup_{i \ge n} f^i(\sum_a)$ , i.e.,  $A_n$  is the closure of A with the first n copies of  $\sum_a$  deleted. Since A and  $A_n$  are closed subsets of  $R^3$ ,  $\beta A$  and  $\beta A_n$  are contained in  $\beta R^3$ . Also,  $A_n$  is connected implies that  $\beta A_n$  is connected. Hence,  $\beta A - A = \bigcap_{n \ge 0} \beta A_n$  is a continuum in  $\beta R^3 - R^3$ . Let  $A^* = \beta A - A$ . We now wish to compute  $H^1(A^*)$ .

By Theorem 1, there is an exact sequence  $0 \to C(X)/C^*(X) \to$ 

 $[\beta X, S^1] \rightarrow [X, S^1] \rightarrow 0$ , where C(X) is the additive group of real continuous functions on X, and  $C^*(X)$  is the subgroup of bounded functions. Since  $A^* = \bigcap_{n \ge 0} \beta A_n$ , by the continuity of Čech cohomology,  $H^1(A^*) = \lim_{n \to \infty} H^1(\beta A_n)$ , where the bonding maps are induced by inclusion,  $i_n^*: H^1(\beta A_n) \rightarrow H^1(\beta A_{n+1})$ . For each n, we have the following commutative diagram:

This diagram gives rise to the following exact sequence:  $0 \rightarrow \lim_{i \to \infty} C(A_n)/C^*(A_n) \rightarrow \lim_{i \to \infty} [\beta A_n, S^1] \rightarrow \lim_{i \to \infty} [A_n, S^1] \rightarrow 0$ . Since  $[X, S^1] \cong \overrightarrow{H^1}(X)$ , we have  $\lim_{i \to \infty} [\beta A_n, S^1] \cong \lim_{i \to \infty} H^1(\beta A_n) \cong H^1(A^*)$ , and  $\lim_{i \to \infty} [A_n, S^1] \cong \lim_{i \to \infty} H^1(A_n)$ , where the bonding maps are  $i_n^*$ . Hence, we have the following exact sequence:

$$0 \longrightarrow \lim_{\longrightarrow} C(A_n)/C^*(A_n) \longrightarrow H^1(A^*) \longrightarrow \lim_{\longrightarrow} H^1(A_n) \longrightarrow 0 .$$

We will now evaluate these direct limits.

Since  $A_n$  differs from  $A_{n+1}$  by a set of compact closure,  $i_n^*: C(A_n)/C^*(A_n) \to C(A_{n+1})/C^*(A_{n+1})$  is an isomorphism. Hence,  $\lim C(A_n)/C^*(A_n)$  is isomorphic to  $C(A_1)/C^*(A_1)$ . Since  $C(A_1)/C^*(A_1)$  $\stackrel{\longrightarrow}{is}$  a torsion free divisible group,  $C(A_1)/C^*(A_1)$  is isomorphic to a direct sum of copies of Q, the rational numbers. Therefore,  $\lim C(A_n)/C^*(A_n) \cong \bigoplus_{i \in Q} Q_i$ .

Now consider  $\lim_{\to} H^1(A_n)$ . As before,  $H^1(A_n)$  is isomorphic to  $\prod_{i=1}^{n} B_i$ , the countable infinite product of copies of  $B_a$ . The bonding map  $i_n^* \colon H^1(A_n) \to H^1(A_{n+1})$  is defined by

$$i_n^*((x_1, x_2, x_3, \cdots)) = (x_2, x_3, \cdots) \quad (x_i \in B_a) \; .$$

Now  $\lim_{\to} H^1(A_n)$  is isomorphic to  $(\sum_{n} H^1(A_n))/S = (\sum_{n} (\prod_{n} B_a))/S$ , where S is the subgroup generated by  $i_n^*(y_n) - y_n$ ,  $y_n \in H^1(A_n)$ . (See [7], page 29.) Define a map  $g: \prod_{n} B_a \to (\sum_{n} (\prod_{n} B_a))/S$  by  $g(a) = (a, 0, 0, \cdots) + S$ . One can verify that g is an onto homomorphism with kernel  $\sum_{n} B_a$ . Hence, g induces an isomorphism  $(\sum_{n} (\prod_{n} B_a))/S \cong (\prod_{n} B_a)/(\sum_{n} B_a) = \prod_{n} B_a$ , and so  $\lim_{n} H^1(A_n) \cong \prod_{n} B_a$ .

By these two evaluations, we get the following exact sequence:  $0 \to \bigoplus_{c} Q \to H^{1}(A^{*}) \to \prod B_{a} \to 0$ . Since  $\bigoplus_{c} Q$  is divisible, the sequence splits (see [7]), and  $H^{1}(A^{*}) \cong \prod B_{a} \bigoplus (\bigoplus_{c} Q)$ . Thus we have constructed a continuum  $A^{*}$  in  $\beta R^{3} - R^{3}$  with  $H^{1}(A^{*}) \cong \prod B_{a} \otimes (\bigoplus_{c} Q)$ .

Now for  $a, b \in A, a \neq b, H^{1}(A^{*})$  is not isomorphic to  $H^{1}(B^{*})$ . This

follows from the fact every element of  $H^1(A^*)$  is divisible by a prime p if and only if  $p \in P_a$ . Hence, we have constructed c continua in  $\beta R^3 - R^3$  with nonisomorphic first Čech cohomology groups. Since two spaces with nonisomorphic Čech cohomology groups have different shapes, we have the following corollary.

COROLLARY 1. There are c continua in  $\beta R^3 - R^3$ , no two of which have the same shape.

THEOREM 6. There are 2° compacts in  $\beta R^3 - R^3$ , no two of which have the same shape.

*Proof.* Theorem 6 is a continuation of Theorem 5. Suppose  $\mathcal{N}, A$ , and  $A^*$  are as in the proof of Theorem 5. For each  $a \in \mathcal{M}$ , we have constructed a continuum  $A^*$  in  $\beta R^3 - R^3$  such that for  $a \neq b$ ,  $\operatorname{Sh}(A^*) \neq \operatorname{Sh}(B^*)$ . Now for each subset of  $\mathcal{N}$  of cardinality c, we will construct a compactum in  $\beta R^3 - R^3$  such that if  $S_1, S_2 \subseteq \mathcal{N}, S_1 \neq S_2$ , and card  $S_1 = \operatorname{card} S_2 = c$ , then the corresponding compacta will have different shapes. Since there are 2° subsets of  $\mathcal{N}$  of cardinality c, this will exhibit 2° nonshape equivalent compacta in  $\beta R^3 - R^3$ .

Let  $S \subseteq \mathscr{N}$  such that card S = c. There is a one-to-one correspondence between elements of S and real numbers r such that  $0 \leq r < 2\pi$ . So each element a of S corresponds to a unique  $r_a \in [0, 2\pi)$ . Let  $h_{r_a}: \mathbb{R}^3 \to \mathbb{R}^3$  be a rotation of the y - z plane  $r_a$  radians. Define  $A_r = h_{r_a}(A)$ , where A is as defined above. As before,  $H^1(A_r^*) = \prod B_a \bigoplus (\bigoplus_c Q)$ , where  $A_r^* = \beta A_r - A_r$ . Let  $C_s = \overline{\bigcup_{a \in S} A_r^*}$ . Then  $C_s$  is a compact subset of  $\beta \mathbb{R}^3 - \mathbb{R}^3$ .

Claim.  $A_r^*$  is an isolated component of  $C_s$ .

Proof of Claim. Let  $N_i$ , i = 1, 2, be a neighborhood of the ray  $h_{r_a}(\{(0, 0, z): z \in R^+\})$  of radius 2, 3, respectively. By construction,  $A_r \subseteq N_1$ . Define a function  $f: \overline{N_1} \cup (R^3 - N_2) \rightarrow [0, 1]$  by  $f(\overline{N_1}) = 0$  and  $f(R^3 - N_2) = 1$ . Since  $R^3$  is normal, there is a continuous extension of f, say  $\overline{f}$ , to all of  $R^3$ . Then  $\overline{f}$  has a continuous extension,  $\beta \overline{f}$ , to all of  $\beta R^3$ . Since  $\beta \overline{f}(A_r) = f(A_r) = 0$ , we have  $\beta \overline{f}(\overline{A_r}) = 0$ , and so  $\beta \overline{f}(A_r^*) = 0$ . For  $b \in S$ ,  $b \neq a$ ,  $\beta \overline{f}(B_r^*) = 1$ , since for some neighborhood about the origin, points in  $B_r$  not in this neighborhood are in  $R^3 - N_2$ . Thus,  $\beta \overline{f}(\overline{\bigcup_{b \in S - \{a\}} \overline{B_r^*}) = 1$ . By normality, there exist open sets U and V in  $\beta R^3$  with  $U \cap V = \emptyset$ ,  $A_r^* \subseteq U$ , and  $(\overline{\bigcup_{b \in S - \{a\}} \overline{B_r^*}) \subseteq V$ . Hence,  $A_r^*$  is an isolated component of  $C_S = (\overline{\bigcup_{b \in S - \{a\}} \overline{B_r^*}) \cup A_r^*$ .

Note that these are the only isolated components, for if  $X \subseteq C_s - \bigcup_{a \in S} A_r^*$ , then any open set containing X also contains points

of  $\bigcup_{a \in S} A_r^*$ , since every point of X is a limit point of  $\bigcup_{a \in S} A_r^*$ .

Now, for  $S_1, S_2 \subseteq \underline{A}$  with  $S_1 \neq S_2$  and card  $S_1 = \text{card } S_2 = c$ , the shape of  $C_{S_1}$  is different from the shape of  $C_{S_2}$ . This follows from the fact that if  $\operatorname{Sh}(C_{S_1}) = \operatorname{Sh}(C_{S_2})$ , then each isolated component of  $C_{S_1}$  is shape equivalent to an isolated component of  $C_{S_2}$ . Either  $S_1 - S_2 \neq \emptyset$ , or  $S_2 - S_1 \neq \emptyset$ , so without loss of generality assume that  $S_1 - S_2 \neq \emptyset$ , and let  $a \in S_1 - S_2$ . Then  $A_r^*$  is an isolated component of  $C_{S_2}$ , which is not shape equivalent to any isolated component of  $C_{S_2}$ , which implies that  $\operatorname{Sh}(C_{S_1}) \neq \operatorname{Sh}(C_{S_2})$ .

Hence, there are  $2^{\circ}$  compacts in  $\beta R^3 - R^3$  no two of which have the same shape. Since there are at most  $2^{\circ}$  compacts in  $\beta R^3$ , there are exactly  $2^{\circ}$  compacts in  $\beta R^3 - R^3$  no two of which have the same shape.

COROLLARY 2. For  $n \ge 3$ , there are  $2^{\circ}$  compacts in  $\beta R^n - R^n$ , no two of which have the same shape.

**THEOREM** 7. There are  $2^{\circ}$  nonhomeomorphic continua in  $\beta R^3 - R^3$ .

*Proof.* As in the proof of Theorem 6, let  $S \subseteq \mathscr{N}$  such that card S = c;  $A_r = h_{r_a}(A)$ ; and  $C_s = \overline{\bigcup_{a \in S} A_r^*}$ .

Consider a plane P tangent to each solenoid of  $\bigcup_{a \in S} A_r$ , and let  $P^* = \beta P - P \subseteq \beta R^3 - R^3$ . Let  $X = C_S \cup P^*$ . One can easily verify that X is a continuum. Now suppose  $C_T = \bigcup_{b \in T} B_r^*$  is the result of a collection of solenoids corresponding to the subset T of  $\mathcal{A}$ , where card T = c and  $T \neq S$ . Then  $Y = C_T \cup P^*$  is a continuum of  $\beta R^3 - R^3$ .

We will show that X and Y are not homeomorphic. The method will be as follows. If h is a homeomorphism from X onto Y, then  $h(C_S) = C_T$  which implies that  $C_S$  and  $C_T$  are homeomorphic, contradicting the fact that  $C_S$  and  $C_T$  have different shapes by Theorem 6, and therefore are not homeomorphic.

Claim 1. Let  $x \in \beta R^2 - R^2$ , and V an open set of  $\beta R^2 - R^2$  containing x. Then there exists a closed set F containing x, such that  $F \subseteq V$  and F has dimension 2.

Proof of Claim 1. Since V is an open set in  $\beta R^2 - R^2$ ,  $V = U \cap (\beta R^2 - R^2)$ , where U is open in  $\beta R^2$ . There is a set W, open in  $\beta R^2$ , such that  $x \in W$  and  $\overline{W} \subseteq U$ . Let  $D = cl_{R^2}(W \cap R^2)$ . Now

$$\operatorname{Cl}_{{}^{eta R^2}}(\operatorname{Cl}_{R^2}\!(W\cap R^2))=ar W\subseteq U$$
 ,

so that the set  $\beta D - D = \operatorname{Cl}_{\beta R^2}(\operatorname{Cl}_{R^2}(W \cap R^2)) - \operatorname{Cl}_{R^2}(W \cap R^2)$  is a closed subset of V in  $\beta R^2 - R^2$ .

For any compact subset C of D, D-C is open in  $D=\operatorname{Cl}_{R^2}(W\cap R^2)$ .

Since  $W \cap R^2$  is open in  $R^2$ , D - C contains a subset Z that is open in  $R^2$ . Let N be a basic open set in  $R^2$  such that  $\overline{N} \subseteq Z$ . Since dim  $\overline{N} = 2$ , by Theorem 2 Sd( $\beta D - D$ )  $\geq 2$ . By Theorem 3,

$$\dim(\beta D - D) \ge 2 \; .$$

(See also [8].) Since dim $(\beta D - D) \leq 2$ , it follows that dim $(\beta D - D) = 2$ . Hence,  $F = \beta D - D$  is a closed subset of V containing x of dimension 2.

Claim 2. If 
$$x \in A_r^*$$
 such that  $x \notin P^*$ , then  $h(x) \in C_r$ .

Proof of Claim 2. The claim follows from the fact that any neighborhood of a point in  $Y - C_T \subseteq P^*$  has dimension 2, by Claim 1, while x has neighborhoods of dimension  $\leq 1$ .

Claim 3. If  $x \in A_r^* \cap P^*$ , then  $h(x) \in C_T$ .

Proof of Claim 3. We will show that x is a limit point of  $A_r^* \cap (X - P^*)$ . Then by Claim 2, since  $h(A_r^* \cap (X - P^*)) \subseteq C_r$ , it follows that  $h(x) \in C_r$ .

Let U be an open set in  $\beta R^3 - R^3$  containing x. There is a set W, open in  $\beta R^3 - R^3$  such that  $x \in W \subseteq \overline{W} \subseteq U$ . Now,  $W = (\beta R^3 - R^3) \cap V$ , where V is open in  $\beta R^3$ . Since V is an open set containing  $x \in A_r^* = \beta A_r - A_r$ ,  $V \cap A \neq \emptyset$ . This implies that V intersects an infinite number of solenoids of  $A_r$ .

Let  $x_n \in A_r \cap V \cap (R^3 - P)$  such that  $|x_n| \to \infty$  as  $n \to \infty$ . This is possible since  $V \cap R^3$  is open, and  $A_r \cap P$  is a countable set. Let  $y \in \beta(\{x_n : n \ge 1\}) - \{x_n : n \ge 1\} \subseteq \beta R^3 - R^3$ . Since  $x_n \in A_r$  for every  $n, y \in \beta A_r - A_r$ . Now, define f on  $P \cup \{x_n : n \ge 1\}$  by f(P) = 0 and  $f(x_n) = 1$  for every n. Since  $P \cup \{x_n : n \ge 1\}$  is closed in  $R^3$ , there is a continuous extension of f to all of  $R^3$ , say  $\overline{f}$ . Then  $\overline{f}$  can be extended continuously to  $\beta R^3$ , say by  $\beta \overline{f}$ . Now  $\beta \overline{f}(x_n) = 1$  for every n implies that  $\beta \overline{f}(y) = 1$ . Since  $\beta \overline{f}(P) = 0$ ,  $\beta \overline{f}(\overline{P}) = 0$ . Hence,  $y \notin \beta P$ . Also,  $x_n \in V$  for every n, which implies that  $y \in \overline{V} - V$ , and hence  $y \in \overline{W} \subseteq U$ . Therefore,  $U \cap (A_r^* - P^*) \neq \emptyset$ , which implies that x is a limit point of  $A_r^* \cap (X - P^*)$ . Hence,  $h(x) \in C_T$ .

By Claim 2 and Claim 3,  $h(A_r^*) \subseteq C_T$  for every  $A_r^*$ . Then  $h(\bigcup A_r^*) \subseteq C_T$ , which implies  $h(\overline{\bigcup A_r^*}) \subseteq \overline{C_T} = C_T$ , and  $h(C_S) \subseteq C_T$ . Similarly,  $h^{-1}(C_T) \subseteq C_S$ , which implies  $C_T \subseteq h(C_S)$ . Therefore,  $h(C_S) = C_T$  and  $C_S$  and  $C_T$  are homeomorphic. This contradicts Theorem 6, since  $\operatorname{Sh}(C_S) \neq \operatorname{Sh}(C_T)$ . Hence, X and Y are not homeomorphic.

By Theorem 6, there are  $2^{\circ}$  choices for X, and since no two of them are homeomorphic, there are  $2^{\circ}$  nonhomeomorphic continua in  $\beta R^3 - R^3$ .

COROLLARY 3. For  $n \ge 3$ ,  $\beta R^n - R^n$  contains 2° nonhomeomorphic continua.

COROLLARY 4. Let X and Y be as in the proof of Theorem 7. Then there does not exist a continuous map  $f: X \rightarrow Y$  that is a shape equivalence. In particular, X and Y are not homotopic.

*Proof.* By Theorem 4, if f is a continuous map,  $f: X \to Y$ , which is a shape equivalence, then f is a homeomorphism, contradicting Theorem 7.

Note that Corollary 4 does not imply that X and Y are not shape equivalent, since there are shape morphisms that are not induced by continuous functions.

The problem appears much more nontrivial in the cases n = 1, 2. Since solenoids cannot be embedded in  $\mathbb{R}^2$ , the same argument fails in the case n = 2. In fact, the method of Theorem 5 fails in general for  $\mathbb{R}^2$ , since the cohomology of a continuum in the plane is either 0 or a direct sum of copies of Z, the integers. The solution in the case of n = 1 appears even more difficult, and is yet unsolved.

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