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## **HERMITIAN LIFTINGS IN ORLICZ SEQUENCE SPACES**

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# HERMITIAN LIFTINGS IN ORLICZ SEQUENCE SPACES

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**Let  $M$  and  $N$  be complimentary Orlicz functions satisfying the  $\Delta_2$ -condition, and let  $l_M$  and  $l_{(M)}$  be the Orlicz sequence spaces associated with  $M$  with the two usual norms. We show that if 2 is not in the associated interval for  $M$ , then every essentially Hermitian operator on  $l_M$  or  $l_{(M)}$  is a compact perturbation of a real diagonal operator.**

**1. Introduction.** If  $B$  is a unital Banach algebra, let  $S = \{f \in B^* : f(e) = 1 = \|f\|\}$  be the state space and for each element  $x \in B$ , and set  $W(x) = \{f(x) : f \in S\}$ . Let  $X$  be a complex Banach space,  $B(X)$  the space of bounded linear operators on  $X$ , and  $C(X)$  the space of compact linear operators on  $X$ . The quotient algebra  $A(X) = B(X)/C(X)$  is called the Calkin algebra and both  $B(X)$  and  $A(X)$  are unital Banach algebras. If  $T \in B(X)$ , the set  $W(T)$  is called the *numerical range* of  $T$ , and the set  $W_e(T) = \bigcap_{K \in C(X)} W(T + K)$  is called *essential numerical range* of  $T$ . An operator  $T \in B(X)$  is called *Hermitian* if  $W(T) \subseteq \mathbb{R}$ , the real line, and *essentially Hermitian* if  $W_e(T) \subseteq \mathbb{R}$ .

Clearly any compact perturbation of a Hermitian operator  $T \in B(X)$  is essentially Hermitian, but the converse is by no means obvious. The converse is easy if  $X$  is a Hilbert space, and has been shown to be true if  $X = l_p$ ,  $1 \leq p < \infty$ , (cf. [1] and [4]). In this paper, we show the converse is true for those Orlicz sequence spaces  $X$  for which 2 is not in the so called associated interval. This term is defined below.

**2. Orlicz sequence spaces.** We refer the reader to [3] and [6] for references on Orlicz spaces. In [3], Orlicz function spaces are considered, and many of the results translate directly into the sequence space setting.

In this paper, assume that  $M$  is a continuous, strictly increasing, convex function defined on  $[0, \infty)$ , with  $M(0) = 0$ , and  $\lim_{t \rightarrow \infty} M(t) = \infty$ . Any function  $M$  satisfying these properties is called an Orlicz function. The complementary function will be denoted by  $N$ . We assume  $M$  and  $N$  both satisfy the  $\Delta_2$ -condition; that is, there exists  $K_0 > 0$  such that  $M(2t) \leq K_0 M(t)$  and  $N(2t) \leq K_0 N(t)$  for all  $t$ . By [5, Prop. 2.9], this means there exists  $K_1 \geq 1$  such that

$$(1) \quad 1 \leq \frac{tM'(t)}{M(t)} \leq K_1 \quad \text{and} \quad 1 \leq \frac{tN'(t)}{N(t)} \leq K_1$$

for all  $t$ .

Since we are assuming the  $\Delta_2$ -condition, we may further assume that  $p \equiv M'$  and  $q \equiv N'$  are continuous and strictly increasing (cf. [5], Prop. 2.15). Recall also that  $p$  and  $q$  are inverse functions of each other.

The following are equivalent norms on the Orlicz sequence spaces:

$$\|\bar{a}\|_M = \|\{a_n\}\|_M = \inf \left\{ k: \sum_{n=1}^{\infty} M\left(\frac{|a_n|}{k}\right) \leq 1 \right\} .$$

$$\|\bar{a}\|_{(M)} = \|\{a_n\}\|_{(M)} = \sup \left\{ \left| \sum_{n=1}^{\infty} a_n b_n \right| : \sum_{n=1}^{\infty} N(|b_n|) \leq 1 \right\} .$$

Note that  $\|\bar{a}\|_M = 1$  if and only if  $\sum_{n=1}^{\infty} M(|a_n|) = 1$ . Denote by  $l_M$  and  $l_{(M)}$  the Orlicz sequence spaces endowed with the  $\|\cdot\|_M$  and  $\|\cdot\|_{(M)}$  norms, respectively. The dual space  $l_M^*$  is isometrically isomorphic to  $l_{(N)}$  (cf. [6], Prop. 4.b.1), and the dual space  $l_{(M)}^*$  is isometrically isomorphic to  $l_N$  (cf. [3], p. 135). Because both  $M$  and  $N$  are assumed to satisfy the  $\Delta_2$ -condition,  $l_M$  (and  $l_N$ ) are uniformly convex [7, Thm. 1] and thus reflexive (condition (iv) in Theorem 11 of [7] is extraneous in the case of sequence spaces as has been noted in [2, Theorem. 3]).

For each Orlicz function define the following two numbers:

$$(2) \quad \alpha_M = \sup \left\{ p: \sup_{0 < \lambda, t \leq 1} \frac{M(\lambda t)}{M(\lambda)t^p} < \infty \right\}$$

$$(3) \quad \beta_M = \inf \left\{ p: \inf_{0 < \lambda, t \leq 1} \frac{M(\lambda t)}{M(\lambda)t^p} > 0 \right\} .$$

It is easy to see that  $1 \leq \alpha_M \leq \beta_M \leq \infty$ , and that  $\beta_M < \infty$  if and only if  $M$  satisfies the  $\Delta_2$ -condition near 0 (cf. [6, Theorem 4.a.9]). Let  $\alpha_N$  and  $\beta_N$  be the values defined as above for the complementary function  $N$ . Then it is known that  $\alpha_M^{-1} + \beta_N^{-1} = 1$  and  $\alpha_N^{-1} + \beta_M^{-1} = 1$  (cf. [6, Theorem 4.b.3]). Hence if  $M$  and  $N$  satisfy the  $\Delta_2$ -condition, we have  $1 < \alpha_M \leq \beta_M < \infty$  and  $1 < \alpha_N \leq \beta_N < \infty$ . The interval  $[\alpha_M, \beta_M]$  is called the *associated interval* for  $M$ .

If  $2 < \alpha_M \leq \beta_M < \infty$ ,  $r$  and  $s$  can be chosen so that  $2 < r < \alpha_M \leq \beta_M < s < \infty$ . Then from (2) there is a constant  $K_4 < \infty$  such that

$$(4) \quad \sup_{0 < \lambda, t \leq 1} \frac{M(\lambda t)}{M(\lambda)t^r} = K_4 .$$

Using (1), (2) and the fact that  $M(\lambda) = \int_0^\lambda p(t)dt \leq \lambda p(\lambda)$  we have

$$(5) \quad \sup_{0 < \lambda, t \leq 1} \frac{p(\lambda t)}{p(\lambda)t^{r-1}} \leq \sup_{0 < \lambda, t \leq 1} \frac{K_1 M(\lambda t)}{\lambda t \lambda^{-1} M(\lambda)t^{r-1}} = K_1 K_4 = Q_1 < \infty.$$

Similarly, using (3) and (1), it follows that

$$(6) \quad \inf_{0 < \lambda, t \leq 1} \frac{p(\lambda t)}{p(\lambda)t^{s-1}} = Q_2 > 0.$$

These inequalities will be used later.

### 3. Vector states on $B(l_M)$ and $B(l_{(M)})$ .

**THEOREM 3.1.** *If  $\bar{a} = \{a_n\}$  is a unit vector in  $l_M$ , let  $\bar{a}' = \{a'_n\}$ , where  $a'_n = kp(|a_n|) \operatorname{sgn} a_n$  and  $k = \|\{p(|a_n|)\}\|_{(N)}^{-1}$ . Then the mapping  $A \rightarrow \langle A\bar{a}, \bar{a}' \rangle$  defines a state on  $B(l_M)$ . Furthermore, there is a  $K_2 > 0$  such that  $K_2 \leq k \leq 1$  for all unit vectors  $\bar{a} \in l_M$ .*

*Proof.*  $\bar{a}'$  is a unit vector in  $l_{(N)}$  by the definition of  $k$ . Now  $\|\bar{a}\|_M = 1$  implies  $\sum_{n=1}^{\infty} M(|a_n|) = 1$ , and this is the same as  $\sum_{n=1}^{\infty} M(q(p(|a_n|))) = 1$ . By [3, Theorem 10.4],

$$\begin{aligned} \langle \bar{a}, \bar{a}' \rangle &= \sum_{n=1}^{\infty} a_n k p(|a_n|) \operatorname{sgn} \bar{a}_n = k \sum_{n=1}^{\infty} |a_n| p(|a_n|) \\ &= k \sum_{n=1}^{\infty} |p(|a_n|)| q(p(|a_n|)) = k \|\{p(|a_n|)\}\|_{(N)} = 1. \end{aligned}$$

Hence  $A \rightarrow \langle A\bar{a}, \bar{a}' \rangle$  defines a vector state on  $B(l_M)$  for each unit vector  $\bar{a} \in l_M$ .

Since  $\|\{p(|a_n|)\}\|_{(N)} \geq 1$ , it follows that  $k \leq 1$ . Using (1) and the equality above,  $\sum |a_n| p(|a_n|) = \|\{p(|a_n|)\}\|_{(N)}$ , it follows that  $\|\{p(|a_n|)\}\|_{(N)} \leq K_1$ . Thus  $K_1^{-1} \leq k \leq 1$ . Take  $K_2 = K_1^{-1}$  and the proof is complete.

**THEOREM 3.2.** *If  $\bar{a} = \{a_n\}$  is a unit vector in  $l_{(M)}$ , let  $\bar{a}'' = \{a''_n\}$ , where  $a''_n = p(k|a_n|) \operatorname{sgn} a_n$  and  $k > 0$  is chosen so that  $\sum N(p(k|a_n|)) = 1$ . Then the mapping  $A \rightarrow \langle A\bar{a}, \bar{a}'' \rangle$  defines a state on  $B(l_{(M)})$ . Furthermore, there is a  $K_3 \geq 1$  such that  $1 \leq k \leq K_3$  for all unit vectors  $\bar{a} \in l_{(M)}$ .*

*Proof.* The proof is similar to that of Theorem 3.1. In this case, note that

$$\|\{a''_n\}\|_N = 1 = \left\| \left\{ \frac{1}{k} q(|a''_n|) \right\} \right\|_{(M)} = \|\{a_n\}\|_{(M)}.$$

It follows that  $\langle \bar{a}, \bar{a}'' \rangle = 1/k \|\{q(|a''_n|)\}\|_{(M)} = 1$ . So  $A \rightarrow \langle A\bar{a}, \bar{a}'' \rangle$  defines a vector state on  $B(l_{(M)})$  for each unit vector  $\bar{a} \in l_{(M)}$ . Also  $K_1^{-1} \leq k^{-1} \leq 1$ , so take  $K_3 = K_1$  and the proof is complete.

4. Essentially Hermitian operators on  $l_M$  or  $l_{(M)}$ . Let  $A$  be an operator on  $l_M$  or  $l_{(M)}$  and define

$$r_i(A) = \max \{ |\operatorname{Im} z| : z \in W(A) \} .$$

Let  $\mathcal{P}$  be the set of projections onto the span of a subset of the canonical basis vectors for  $l_M$  or  $l_{(M)}$ . If  $P \in \mathcal{P}$ , define  $P^\perp = I - P$ , where  $I$  is the identity operator.

Our first result in this section is trivially true in the  $l_p$  spaces  $p \neq 2$ ,  $1 < p < \infty$ , and is also true for the Orlicz spaces under consideration here. But due to the state structure in  $l_M$  the result must be proved. Recall that throughout this paper  $M$  and  $N$  satisfy the  $\Delta_2$ -condition and hence that  $l_M$  is reflexive and uniformly convex.

LEMMA 4.1. *There is a constant  $c > 0$  so that  $r_i(PAP) < cr_i(A)$  for all  $P \in \mathcal{P}$  and  $A \in B(l_M)$ .*

*Proof.* Suppose for a given  $A \in B(l_M)$  and  $P \in \mathcal{P}$  with  $P^\perp$  infinite dimensional that there exists a vector  $\sigma = \{\sigma_n\}$  in  $l_M$  for which  $r_i(PAP) \equiv \delta = \operatorname{Im} \langle PAP\sigma, \sigma' \rangle$ . From Theorem 3.1, it follows that  $\sigma' = \{kp(|\sigma_n|) \operatorname{sgn} \sigma_n\}$  where  $k = \|\{p(|\sigma_n|)\}\|_{(\bar{N})}^{-1}$  and that

$$r_i(PAP) = k \operatorname{Im} \langle A\hat{\sigma}, \{p(|\hat{\sigma}_n|) \operatorname{sgn} \hat{\sigma}_n\} \rangle$$

where  $\hat{\sigma} = \{\hat{\sigma}_n\}$  satisfies  $P\hat{\sigma} = \sigma$  and  $P^\perp\hat{\sigma} = 0$ . Clearly  $\|\hat{\sigma}\| \leq 1$ . We wish to perturb  $\hat{\sigma}$  into a unit vector  $\gamma$  for which  $\operatorname{Im} \langle A\gamma, \gamma' \rangle \geq c\delta$  for some  $c > 0$ ,  $c$  independent of  $\sigma$ ,  $P$  and  $A$ . Since  $l_M$  is reflexive the basis  $\{e_i\}$  is shrinking [6]. Furthermore the sequences  $\{e_i\}$  and  $\{Ae_i\}$  converge weakly to zero. From this it follows that for given  $\varepsilon > 0$ , there exists an  $N$  so that

$$|\langle A(\hat{\sigma} + re_N), (\hat{\sigma} + re_N)' \rangle - k' \langle A\hat{\sigma}, \{p(|\hat{\sigma}_n|) \operatorname{sgn} \hat{\sigma}_n\} \rangle - k' \langle Are_N, p(r)e'_N \rangle| < \varepsilon$$

where  $0 \leq r < 1$  is chosen so that  $\|\hat{\sigma} + re_N\| = 1$  and  $k' = \|\{p(\hat{\sigma}_n), p(r)\}\|_{(\bar{N})}^{-1}$ . From Theorem 3.1,  $K_2 \leq k'/k$ . Hence it follows that

$$\begin{aligned} & \operatorname{Im} \langle A(\hat{\sigma} + re_N), (\hat{\sigma} + re_N)' \rangle \\ & \geq \operatorname{Im} [k' \langle A\hat{\sigma}, \{p(|\hat{\sigma}_n|) \operatorname{sgn} \hat{\sigma}_n\} \rangle + k' \langle Are_N, p(r)e'_N \rangle] - \varepsilon . \end{aligned}$$

So

$$r_i(A) \geq \frac{k'}{k} [k \operatorname{Im} \langle A\hat{\sigma}, \{p(|\hat{\sigma}_n|) \operatorname{sgn} \hat{\sigma}_n\} \rangle + k \operatorname{Im} \langle Are_N, p(r)e'_N \rangle] - \varepsilon .$$

Now if  $|\operatorname{Im} \langle Ae_N, e'_N \rangle| \geq K_2\delta/2$ , the lemma is proved with  $c = K_2/2$ . So assume  $|\operatorname{Im} \langle Ae_N, e'_N \rangle| < K_2\delta/2$  ( $K_2$  as in Theorem 3.1). In this

case, note that the quantities  $r$  and  $k p(r) K_2$  are less than or equal to 1 since  $p(r) K_2 k < p(r) k' = p(r) / \|\{p(\hat{\sigma}), p(r)\}\|_{(N)}$  and it follows that

$$\begin{aligned} r_i(A) &\geq \frac{k'}{k} [\delta - k r p(r) K_2 \delta / 2] - \varepsilon \\ &\geq \frac{k'}{k} [\delta / 2] - \varepsilon \geq K_2 \delta / 2 - \varepsilon \end{aligned}$$

and the lemma still holds with  $c = K_2 / 2$ .

Consider next the case  $P \in \mathcal{P}$  with  $P^\perp$  finite dimensional. Then  $P$  eventually “looks like” the identity. Suppose for such  $P$ ,  $r_i(PAP) > c r_i(A)$  with  $c$  as above. Then there exists a unit vector  $\sigma$  such that

$$\text{Im} \langle PAP\sigma, \sigma' \rangle > c r_i(A)$$

and due to the continuity of the inner product assume  $\sigma$  has finite support. The projection  $P$  can now be altered to a projection  $P'$  for which  $P'^\perp$  is infinite dimensional and  $\text{Im} \langle P'AP'\sigma, \sigma' \rangle > c r_i(A)$ . But this is impossible and so the lemma is valid for all projections.

**LEMMA 4.2.** *If  $2 < \alpha_M$ , then there is a constant  $c_M$  such that  $\sup_{P \in \mathcal{P}} \|PAP^\perp\| \leq c_M r_i(A)$  for all  $A \in B(l_M)$ .*

*Proof.* Let  $A \in B(l_M)$  be fixed, and let  $\sup_{P \in \mathcal{P}} \|PAP^\perp\| = \alpha$ . Assume, without loss of generality, that the supremums of the above expression are attained; that is, there exists some  $P \in \mathcal{P}$  and fixed unit vectors  $\bar{a} \in l_M$  and  $\bar{b}' \in l_{(N)}$  satisfying  $\alpha = \langle PAP^\perp \bar{a}, \bar{b}' \rangle$ . Letting  $\bar{b}$  be associated with  $\bar{b}'$  as above (i.e.,  $\langle \bar{b}, \bar{b}' \rangle = 1$ ,  $\|\bar{b}\| = 1$ ) assume  $P^\perp \bar{a} = \bar{a}$ ,  $P\bar{b} = \bar{b}$ . So  $\bar{a}$  and  $\bar{b}$  have disjoint supports. Let  $\hat{\sigma} = c\bar{a} + d\bar{b}$ , where  $c$  and  $d$  are chosen so that  $\|\hat{\sigma}\|_M = 1$  and  $c \text{sgn } d = i|c|$ . Since  $\sum_{n=1}^\infty M(|c||a_n| + |d||b_n|) = 1$  and  $M$  is convex, we must have  $|d| \geq 1 - |c| \geq 0$ .

Now it follow that

$$\begin{aligned} r_i(A) &\geq |\text{Im} \langle A\bar{\sigma}, \bar{\sigma}' \rangle| \\ &= |\text{Im} \{ \langle PAP^\perp \bar{\sigma}, \bar{\sigma}' \rangle + \langle P^\perp AP^\perp \bar{\sigma}, \bar{\sigma}' \rangle + \langle P^\perp AP\bar{\sigma}, \bar{\sigma}' \rangle \\ &\quad + \langle PAP\bar{\sigma}, \bar{\sigma}' \rangle \}| \\ &\geq |\text{Im} \{ \langle PAP^\perp \bar{\sigma}, \bar{\sigma}' \rangle + \langle P^\perp AP\bar{\sigma}, \bar{\sigma}' \rangle \}| - 2c r_i(A), \end{aligned}$$

where the last inequality follows from Lemma 4.1. Hence letting  $c' = 2c + 1$  we have

$$\begin{aligned} c' r_i(A) &\geq |\text{Im} \{ \langle PAP^\perp \bar{\sigma}, \bar{\sigma}' \rangle + \langle P^\perp AP\bar{\sigma}, \bar{\sigma}' \rangle \}| \\ &= \left| \text{Im} \left\{ \sum_{n=1}^\infty (PAP^\perp \bar{a})_n c k_1 p(|db_n|) \text{sgn } \overline{db_n} \right. \right. \end{aligned}$$

$$\begin{aligned}
 (7) \quad & + \sum_{n=1}^{\infty} (P^{\perp}AP\bar{b})_n dk_1 p(|ca_n|) \operatorname{sgn} \overline{ca_n} \Big\{ \\
 & = \left| \operatorname{Im} \left\{ \sum_{n=1}^{\infty} (PAP^{\perp}\bar{a})_n k_2 p(|b_n|) \operatorname{sgn} \bar{b}_n \cdot c \operatorname{sgn} \bar{d} \frac{k_1}{k_2} \frac{p(|db_n|)}{p(|b_n|)} \right. \right. \\
 & \quad + \sum_{n=1}^{\infty} (P^{\perp}APb)_n k_3 p(|a_n|) \operatorname{sgn} \overline{(P^{\perp}APb)_n} \\
 & \quad \left. \left. \times d \operatorname{sgn} \bar{c} \frac{k_1}{k_3} \frac{p(|ca_n|)}{p(|a_n|)} \frac{\operatorname{sgn} \bar{a}_n}{\operatorname{sgn} \overline{(P^{\perp}APb)_n}} \right\} \right|
 \end{aligned}$$

where  $k_1, k_2$  and  $k_3$  are the positive weights associated with  $\bar{\sigma}', \bar{b}', \bar{a}'$  as in Theorem 3.1.

From (5) and (6), the inequality (7) continues as

$$\begin{aligned}
 (8) \quad c'r_i(A) & \geq \left| \operatorname{Im} \left\{ \sum_{n=1}^{\infty} (PAP^{\perp}\bar{a})_n k_2 p(|b_n|) \operatorname{sgn} \bar{b}_n \cdot c \operatorname{sgn} \bar{d} \cdot \frac{k_1}{k_2} Q_2 |d|^{s-1} \right\} \right| \\
 & \quad - \sum_{n=1}^{\infty} (P^{\perp}AP\bar{b})_n k_3 p(|a_n|) \operatorname{sgn} \overline{(P^{\perp}AP\bar{b})_n} \cdot |d| \cdot \frac{k_1}{k_3} Q_1 |c|^{r-1}
 \end{aligned}$$

where each term in the second series is nonnegative. Since  $c \operatorname{sgn} \bar{d} = |c| i$  it follows from (5) that

$$\begin{aligned}
 (9) \quad c'r_i(A) & \geq \langle PAP^{\perp}\bar{a}, \bar{b}' \rangle R'_2 |c| |d|^{s-1} - \langle P^{\perp}AP\bar{b}, \bar{a}'' \rangle R'_1 |d| |c|^{r-1} \\
 & \geq \{R'_2 |c| |d|^{s-1} - R'_1 |d| |c|^{r-1}\} \alpha \\
 & \geq \{R_2 |c| |d|^{s-1} - R_1 |d| |c|^{r-1}\} \alpha
 \end{aligned}$$

where

$$R'_2 = \frac{k_1}{k_2} Q_2, \quad R'_1 = \frac{k_1}{k_3} Q_1, \quad R_2 = K_2 Q_2, \quad R_1 = K_2^{-1} Q_1$$

and  $\bar{a}'' = \{k_3 p(|a_n|) \operatorname{sgn} \overline{(P^{\perp}AP\bar{b})_n}\}$ . Notice that the constants  $R_2$  and  $R_1$  are independent of the vectors  $\bar{\sigma}, \bar{a}$  and  $\bar{b}$ . Now choose  $|c|$  so small that

$$\frac{(1 - |c_0|)^{s-2}}{|c_0|^{r-2}} > 2 \frac{R_1}{R_2}.$$

Then  $R_2(1 - |c_0|)^{s-2} > 2R_1|c_0|^{r-2}$ , so  $R_2(1 - |c_0|)^{s-2} - R_1|c_0|^{r-2} > R_1|c_0|^{r-2}$ . Finally, choose  $c$  such that  $|c| = |c_0|$ . Recalling that  $|d| \geq 1 - |c_0|$ , it follows that

$$\begin{aligned}
 (10) \quad R_2 |c| |d|^{s-1} - R_1 |d| |c|^{r-1} & = |c_0| |d| (R_2 |d|^{s-2} - R_1 |c_0|^{r-2}) \\
 & \geq |c_0| |d| (R_2 (1 - |c_0|)^{s-2} - R_1 |c_0|^{r-2}) \\
 & \geq |c_0| |d| |R_1 |c_0|^{r-2} \\
 & \geq R_1 |c_0|^{r-1} (1 - |c_0|).
 \end{aligned}$$

Hence by (9) and (10), we may take  $c_M = c'[R_1|c_0|^{r-1}(1 - |c_0|)]^{-1}$  and the lemma is proved.

**LEMMA 4.3.** *If  $2 < \alpha_M$ , then there exists a constant  $c_M$  such that  $\sup_{P \in \mathcal{O}} \|PAP^\perp\| \leq c_M r_i(A)$  for all  $A \in B(l_M)$ .*

*Proof.* The proof is almost identical with the proof of Lemma 4.2, with  $\bar{b}'$  replaced with  $b''$  (of Theorem 3.2).

**THEOREM 4.4.** *If  $2 \notin [\alpha_M, \beta_M]$ , then there exists a constant  $c_M$  such that  $\sup_{P \in \mathcal{O}} \|PAP^\perp\| \leq c_M r_i(A)$  for all  $A \in B(l_M)$  or  $B(l_{(M)})$ .*

*Proof.* If  $2 < \alpha_M$ , the conclusion follows from Lemmas 4.2 and 4.3. If  $1 < \alpha_M \leq \beta_M < 2$ , then consider the transpose operator  $A^t \in B(l_{(N)})$  or  $B(l_N)$ . From the above relations between  $\alpha_M$ ,  $\beta_N$  and  $\beta_M$ ,  $\alpha_N$ , and since  $2 < \alpha_N \leq \beta_N < \infty$ , the conclusion follows from Lemmas 4.2 and 4.3.

**REMARK.** Theorem 4.4 implies that Hermitian elements in  $B(l_M)$  or  $B(l_{(M)})$ ,  $2 \notin [\alpha_M, \beta_M]$ , must be diagonal with respect to the canonical basis. Results of this type were first obtained by Tam (see [8]).

**THEOREM 4.5.** *If  $A \in B(l_M)$  or  $B(l_{(M)})$ , then  $\|A - \text{diam } A\| \leq 8 \sup_{P \in \mathcal{O}} \|PAP^\perp\|$ .*

The proof of this result requires nothing special about the function  $M$ . Indeed, below, we sketch the proof which in detail can be found in [1], Lemmas 3, 4, 5 and 6. Since  $l_M$  is reflexive, the canonical basis  $\{e_i\}$  is unconditionally monotone and shrinking. From those facts it can be verified that there are diagonal operators  $u_k \in B(l_M)$  for which  $\bar{u}_k u_k = 1$  and for which the

$$\lim_{n \rightarrow \infty} \sum_{k=1}^n \frac{1}{n} (\bar{u}_k A u_k) = \text{diag } A,$$

with the limit being taken in the  $w^*$  topology of  $B(l_M)$ . With this and the  $w^*$ -lower-semicontinuity of the norm it follows that

$$\begin{aligned} \|\text{diag } A - A\| &\leq \limsup_{n \rightarrow \infty} \left\| \sum_{k=1}^n \bar{u}_k A u_k - A \right\| \\ &\leq \limsup_{n \rightarrow \infty} \max_{1 \leq k \leq n} \|A u_k - u_k A\| \\ &\leq \sup \{ \|SA - AS\| : S \text{ is a diagonal operator in } \\ &\quad B(l_M), \|S\| = 1 \}. \end{aligned}$$

Finally, by a result of Arveson [1, Lemma 6], this quantity is shown to be  $\leq 8 \sup_{P \in \mathcal{O}} \|PAP^\perp\|$ . This completes a sketch of the proof of the theorem.



**THEOREM 4.6.** *Let  $2 \in [\alpha_M, \beta_M]$ . If  $A$  is an essentially Hermitian operator in  $B(l_M)$  or  $B(l_{(M)})$ , then there is a real diagonal operator  $D$  and a compact operator  $K$  such that  $A = D + K$ .*

*Proof.* We show that  $A - \text{Re diag } A$  is compact. Suppose that  $\text{diag } A = \text{Re diag } A$ , since  $\text{Im diag } A$  must be compact for essentially Hermitian operators. Recall that  $P_n^\pm$  is the projection onto  $\text{span}\{e_{n+1}, e_{n+2}, \dots\}$ . If  $r_i((A - \text{re diag } A)P_n^\pm)$  is not convergent to zero as  $n \rightarrow \infty$ , it is simple to construct a sequence of mutually disjoint norm one vectors  $v_n$  for which  $\inf_n |\text{Im} \langle (A - \text{Re diag } A)v_n, v_n' \rangle| = k > 0$ . If  $\text{glim}$  denotes Banach limit, then  $\phi(\cdot) \equiv \text{glim} \langle \cdot, v_n, v_n' \rangle$  is a state on the Calkin algebra for which  $\text{Im } \phi(A) = k > 0$ . This contradicts the hypothesis that  $A$  is essentially Hermitian. Hence by Theorems 4.4 and 4.5 it follows that  $\|(A - \text{Re diag } A)P_n^\pm\| \rightarrow 0$  as  $n \rightarrow \infty$ . This means that, in the uniform norm,

$$\lim_{n \rightarrow \infty} (A - \text{Re diag } A)P_n = A - \text{Re diag } A.$$

Since each  $P_n$  is compact, the theorem is proved.

**5. Concluding remarks.** It is conjectured that if  $2 \in [\alpha_M, \beta_M]$  the main result does not hold in general. The reason is this: if  $2 \in [\alpha_M, \beta_M]$  then  $l_M$  contains a subspace isomorphic to  $l_2$ , and indeed the subspace can even be complemented. However even with the assumption that  $l_M$  contains a complemented subspace isomorphic to  $l_2$  we have been unable to establish the conjecture. The existence of the isomorphism is simply not enough; in fact there is a modular Orlicz sequence space, isomorphic to  $l_2$ , which contains only diagonal Hermitian operators.

The analogous result to Theorem 4.5 in Orlicz function spaces, even in  $L_p$ ,  $1 \leq p < \infty$ , is another matter altogether and it is posed as an open problem.

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