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#### MULTIPLICITY THEORY FOR BOOLEAN ALGEBRAS OF L<sup>p</sup>-PROJECTIONS

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### MULTIPLICITY THEORY FOR BOOLEAN ALGEBRAS OF $L^p$ -PROJECTIONS

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As an application of the integral module representation we investigate the multiplicity function in the sense of Bade for Boolean algebras of  $L^p$ -projections. In the case of finite multiplicity and the restriction of the multiplicity function to invariant subspaces we obtain results which have no analogue in the case of arbitrary Boolean algebras of projections.

0. Introduction. Let X be a fixed real Banach space and p a fixed number,  $1 \leq p < \infty$ . An  $L^p$ -projection is a continuous projection  $E: X \to X$  such that  $||x||^p = ||Ex||^p + ||x - Ex||^p$  for all  $x \in X$ .  $L^p$ -projections have been investigated in [3], [4], [5], [8].

We consider a fixed complete Boolean algebra, say  $\mathscr{B}$ , of  $L^p$ projections on X (for definitions, in particular that of the multiplicity function, see §1 below). First, we give a short survey of the integral module representation which was introduced in the thesis of the second-named author ([8]). We use this representation to obtain results concerning the relationship between pairs of closed subspaces invariant w.r.t. *B* - the *B*-cycles. The main result in this connection is the following: If M is a  $\mathcal{B}$ -cycle and x an element of X, then there is a  $z \in X$  such that  $(M + S(x))^- = M \bigoplus$ S(z) whereby S(x) denotes the smallest  $\mathcal{B}$ -cycle containing x. It follows that in the case of finite multiplicity n there are vectors  $x_1, \dots, x_n$  with  $X = S(x_1) \bigoplus \dots \bigoplus S(x_n)$ . This is in marked contrast to the case of arbitrary Boolean algebras of projections, where there is a Banach space X containing vectors  $x_1$  and  $x_2$  such that X = $(S(x_1) + S(x_2))^-$  but in which it is impossible to find vectors  $y_1, y_2$ with  $X = S(y_1) + S(y_2)$  (see [6]).

The case of finite multiplicity will be treated in some detail in §4. It turns out that in this case the space X may be arbitrarily well approximated by finite families of Bochner spaces  $L^{p}(V; \mu)$  with finite-dimensional V.

The last section is devoted to the restriction of the multiplicity to invariant subspaces, i.e. cycles. There seem to be no results in this direction in the general case (cf [7], p. 2289). We shall show that, for  $L^{p}$ -projections, the multiplicity function behaves as one would expect, i.e., the multiplicity of a cycle is less than or equal to that of the whole space. Partial results concerning the multiplicity of adjoints in dual spaces have been excluded in order to avoid the technicalities which are involved (e.g., the dual integral module representation).

#### 1. Preliminaries.

Boolean algebras of  $L^{p}$ -projections. The concept of an  $L^{p}$ -projection was defined in the introduction. The range space of such a projection is called an  $L^{p}$ -summand. For the basic properties of  $L^{p}$ -projections and  $L^{p}$ -summands, the reader is referred to [4]. Note that  $X = X_{1} \bigoplus_{p} X_{2} \bigoplus_{p} \cdots \bigoplus_{p} X_{n}$  is a short way of indicating that the  $X_{i}$  are  $L^{p}$ -summands with  $X_{i} \cap X_{j} = \{0\}(i \neq j)$  and  $X = X_{1} + X_{2} + \cdots + X_{n} - \text{it follows that } ||x_{1} + \cdots + x_{n}||^{p} = ||x_{1}||^{p} + \cdots + ||x_{n}||^{p}$  if  $x_{i} \in X_{i}$  for all i.

It is known that for  $p \neq 2$  every pair of  $L^p$ -projections commute [3]. It follows that the set of all  $L^p$ -projections is in this case a complete Boolean algebra of projections in the sense of Bade [1] (i.e., an increasing net converges to its supremum, in the strong operator topology). We shall consider the more general case in which  $\mathscr{D}$  is a fixed complete Boolean algebra of  $L^p$ -projections for some p, whereby the case p = 2 is not excluded and we do not assume that this algebra contains all the  $L^p$ -projections.

A  $\mathscr{D}$ -summand is an  $L^p$ -summand whose corresponding  $L^p$ -projection lies in  $\mathscr{D}$ . For the general properties of these summands and of Boolean algebras of projections we refer the reader to [4] and [1]. We need the following facts and definitions:

(a) There is a compact Hausdorff extremally disconnected space  $\Omega$  such that the elements of  $\mathscr{B}$  correspond to the clopen subsets of  $\Omega$  ( $\Omega$  is just the Stonean space of  $\mathscr{B}$ ). By  $E_D$  we mean the element of  $\mathscr{B}$  which corresponds to the clopen set D.

(b) The Banach algebra generated by  $\mathscr{B}$ ,  $(\lim \mathscr{B})^-$ , is called the *Cuningham algebra* of  $\mathscr{B}$  and is written  $\mathscr{C}_{\mathscr{A}}$ . It is isometrically isomorphic to  $C(\Omega)$ , the Banach algebra of continuous functions on  $\Omega$  is such a way that  $E_D$  corresponds to  $\chi_D$  for each clopen set D in  $\Omega$ . For  $T \in \mathscr{C}_{\mathscr{A}}$  we write  $\hat{T}$  for the associated function in  $C(\Omega)$ .

(c) For every Borel measurable function on  $\Omega$  there is a (uniquely determined) continuous numerical valued function on  $\Omega$  such that these functions coincide on the complement of a set of first category. Each Borel set in  $\Omega$  is the symmetric difference of a clopen set and a set of first category.

(d) For each  $x \in X$  we define  $\rho_x(D) := ||E_D x||^p$  for all clopen D in  $\Omega$ .  $\rho_x$  can be extended to a regular Borel measure on  $\Omega$  which we also write  $\rho_x$ .

(e) If D is a clopen subset of  $\Omega$  we say that D satisfies the countable chain condition (CCC) if each strictly increasing chain of

clopen sets in D is at most countable (equivalently: each family of disjoint clopen subsets of D is at most countable). In this case we shall also say that the corresponding projection E satisfies the CCC.

It is easy to see that supp  $\rho_x$  satisfies the CCC since  $\rho_x$  is finite. It follows that  $\Omega$  is the supremum of a family of clopen subsets each of which satisfies the CCC. We can thus restrict ourselves to the case where  $\Omega$  and I themselves have this property.

(f) For  $Y \subset X$  there is a smallest projection E such that Ey = y for all  $y \in Y$  (the carrier projection of Y). Note that if  $F \wedge E = 0$  then  $FY = \{0\}$ . If  $Y = \{x\}$ , we shall write  $E_x$  for this projection.

(g) We note that with the notation of (d), (e), (f) the following conditions are equivalent:

- (i)  $\Omega$  satisfies the CCC.
- (ii) there is an  $x \in X$  such that supp  $\rho_x = \Omega$ .
- (iii) there is an  $x \in X$  such that  $E_x = I$ .

Following [8] we call a closed subspace of X which is invariant w.r.t. all projections in  $\mathscr{B}$  a  $\mathscr{B}$ -cycle. It is easily verified that the intersection and the closed linear hull of arbitrary collections of  $\mathscr{B}$ -cycles are again  $\mathscr{B}$ -cycles. It follows that there is for each  $x \in X$  a smallest  $\mathscr{B}$ -cycle containing x. This cycle is the closed linear hull of  $\{Ex \mid E \in \mathscr{B}\}$  and is written S(x).

Multiplicity theory. The cycles of the form S(x) behave in many respects as one-dimensional subspaces (cf. f. ex. [4], Satz D1). The aim of multiplicity theory is to investigate a "dimension" with respect to the projection algebra, using the S(x)'s as building blocks. If the projection algebra is the trivial one, consisting of 0 and *I*, multiplicity theory is simply dimension theory. If, as in our case, the projection algebra consists only of  $L^p$ -projections, multiplicity measures, in some sense, how close *X* behaves as an abstract  $L^p$ space. In § 4, for example, where we discuss the case of finite multiplicity, we shall see that *X* behaves almost as a space  $L^p(V; \mu)$ , which is the natural generalization of the  $L^p$ -spaces. Following Bade ([2]) we define:

DEFINITION 1.1.

(i)  $\mathscr{C}$ : = { $E \mid E \in \mathscr{B}$ , E satisfies the CCC}.

(ii) For  $E \in \mathscr{C}$ , m(E) (the multiplicity of E) is defined by m(E): = inf {Card  $I \mid$  there exists a family  $(x_i)_{i \in I}$  in EX such that  $(\lim (\bigcup_{i \in I} S(x_i))^-) = EX$ }.

(iii)  $m(\cdot)$  is extended to the whole of  $\mathscr{B}$  by means of m(E):=  $\sup \{m(F) \mid F \leq E, F \in \mathscr{C}\}$  for  $E \in \mathscr{B}$ .

(iv) For  $E \in \mathscr{B}$  the multiplicity of E is said to be uniform,

if m(F) = m(E) for all  $F \in \mathscr{B}$ ,  $0 \neq F \leq E$ .

Clearly, from the definition,  $m(E) = m(E|_{EX})$  whereby the second multiplicity is calculated in the Boolean algebra  $\mathscr{B} \circ E$ . Also, by (iii), the multiplicity is completely determined by those E which satisfy the CCC. It follows that any procedure for calculating the multiplicity of the identity on spaces where it satisfies the CCC can be used to calculate the multiplicity of an arbitrary projection on an arbitrary space. We shall sometimes use this fact to simplify our proofs.

For a discussion of the properties of the multiplicity function we refer the reader to [2]. In particular the following properties hold:

**PROPOSITION 1.2.** 

 $(i) \quad m(0) = 0.$ 

(ii) *m* is monotone and  $m(\sup_{j \in J} E_j) = \sup_{j \in J} m(E_j)$  for every family  $(E_j)_{j \in J}$  in  $\mathcal{B}$ .

(iii) There is a disjoint partition of  $\mathscr{B}$  into a family  $(E_c)$ , indexed by a set of cardinals, such that each  $E_c$  has uniform multiplicity c (by "disjoint partition" we mean that the  $E_c$  are pairwise disjoint and  $\sup E_c = I$ ). Note that this partition corresponds to a disjoint family  $(\Omega_c)$  of clopen subsets of  $\Omega$  with  $(\cup \Omega_c)^- = \Omega$ .

Suppose that M is a  $\mathscr{B}$ -cycle. By restriction,  $\mathscr{B}$  induces a complete Boolean algebra of  $L^p$ -projections on M. If we denote the multiplicity function on the restricted algebra by  $\tilde{m}$ , it is natural to expect that  $\tilde{m}(E|_M) \leq m(E)$  for  $E \in \mathscr{B}$ . We shall see in §5 that this is indeed true.

Since  $S(x) = \{Tx \mid T \in \mathcal{C}_{\mathcal{A}}\}^-$ , the multiplicity of E corresponds to the dimension of EX as a normed  $\mathcal{C}_{\mathcal{A}}$ -module in the case where E satisfies the CCC. If E does not satisfy the CCC then the dimension of EX is generally larger than the multiplicity of E.

2. The integral module representation. In this chapter we shall describe without proofs the representation of X as a space of vector-valued functions on  $\Omega$  such that the elements of  $\mathscr{B}$  have the form of multiplication by characteristic functions which was introduced in [8]. We shall only treat a special case which is general enough for our purpose, namely that where  $\Omega$  satisfies the countable chain condition. For the general case and a complete description of the construction, see [8], [5].

As before, let  $\mathscr{B}$  be a complete Boolean algebra of  $L^{p}$ -projections on the real Banach space X. We assume that  $\Omega$  satisfies the countable chain condition. Thus there is an element x in X such

that  $Ex \neq 0$  for all  $E \neq 0$  in  $\mathscr{B}$ , wlog ||x|| = 1 (see introduction). Let y be some element of X. Since  $\operatorname{supp} \rho_x = \Omega$ , the measure  $\rho_y$  is absolutely continuous w.r.t.  $\rho_x$ . Thus there is an  $L^1(\rho_x)$ -function  $f^y$ on  $\Omega$  such that  $\rho_y = f^y \rho_x$ . As every  $\rho_x$ -measurable function is almost everywhere equal to some unique continuous function on  $\Omega$ , we can assume that  $f^y$  itself is continuous. Note that  $f^y \ge 0$  and is finite almost everywhere.

We denote by  $C^{p}(\Omega, \rho_{x})$  the space of continuous numerical *p*integrable functions on the measure space  $(\Omega, \rho_{x})$  with the obvious structures of addition and multiplication by  $C(\Omega)$ -functions.  $||g||_{p}$ denotes the  $L^{p}$ -norm of an element in  $C^{p}(\Omega, \rho_{x})$ . Now, if we set  $[y]: = (f^{y})^{1/p}$ , the mapping  $y \mapsto [y]$  maps X into  $C^{p}(\Omega, \rho_{x})$ . This mapping has the following properties:

LEMMA 2.1.

(i)  $||y|| = ||[y]||_p$  for all y in X.

(ii) [Ty] = |T|[y] for T in  $\mathscr{C}_{\mathscr{A}}$  and y in X.

(iii)  $[y + z] \leq [y] + [z]$  for y, z in X.

These are the defining properties of a norm resolution.

By 2.1 (ii) and (iii) the mapping  $y \mapsto [y](k)$  is, for each k in  $\Omega$ , a semi-norm on  $Y_k := \{y \mid y \text{ in } X, [y](k) < \infty\}$ , a linear subspace of X. Let  $X_k$  be the associated Banach space, i.e., the completion of  $Y_k/\{y \mid [y](k) = 0\}$  in the norm  $|| \cdot ||_k$  induced by  $y \mapsto [y](k)$ . This construction provides us with a family of Banach spaces indexed by the points of  $\Omega$ . The *p*-direct integral of this family over  $\Omega$  with respect to  $\rho_x$ ,  $\int_{\Omega}^{p} X_k d\rho_x$ , is the set of all mappings  $f: \Omega \to \bigcup_{\Omega} \cup \{\infty\}$ such that f(k) lies in  $X_k \cup \{\infty\}$  for each k and for which the scalarvalued function  $k \mapsto || f(k) ||_k (|| \infty ||_k := \infty)$  lies in  $C^p(\Omega, \rho_x)$ . A *p*-direct integral is not, in general, closed under addition so that it cannot be given a natural vector space structure. However a subset Z of the *p*-direct integral for which the following properties hold:

DEFINITION 2.2.

(i) For all f, g in Z there is an h in Z with f(k) + g(k) = h(k) wherever f(k) and g(k) lie in  $X_k$ .

(ii) For all f in Z and g in  $C(\Omega)$  there is an h in Z with  $g(k) \cdot f(k) = h(k)$  wherever f(k) lies in  $X_k$ 

can be given a natural  $C(\Omega)$ -module structure since continuity implies that the h of 2.2 is unique.

If, further:

(iii) For each k,  $X_k = \{f(k) \mid f \in \mathbb{Z}, f(k) \neq \infty\}^-$ .

(iv) Z is complete in the norm N(f): =  $||(||f(\cdot)||.)||_{p}$ we call Z an integral module.

If we now map X into  $\int_a^p X_k d
ho_x$  by means of  $y\mapsto \langle y
angle$  whereby

 $\langle y \rangle(k)$ : = the equivalence class of y in  $X_k$  if  $[y](k) < \infty$ : =  $\infty$  if  $[y](k) = \infty$ 

all the structures of X are transferred to the range space so that 2.2 (i), (ii) and (iv) are automatically satisfied and (iii) follows from the definition of the  $X_k$ . We thus have the following representation theorem:

THEOREM 2.3. Let X,  $\mathcal{B}$ ,  $\Omega$  and x be as above.

There is a family of Banach spaces  $X_k$ , indexed by the points of  $\Omega$  such that X is isometrically isomorphic as a  $C(\Omega)$ -module to an integral module in  $\int_{\Omega}^{p} X_k d\rho_k$ . (X is a  $C(\Omega)$ -module by virtue of  $C(\Omega) \cong \mathscr{C}$ ).

The most important advantage of the integral module representation is of course that the operators in  $\mathscr{C}$ , now correspond to the pointwise multiplication by continuous functions. In particular a projection in  $\mathscr{B}$  has the action of restriction to the corresponding set in  $\Omega$ .

Since we are interested in  $\mathscr{B}$ -cycles in X we need to know how these look in the integral module representation. As is to be expected they turn out to have a particularly simple form.

PROPOSITION 2.4. Let  $\langle \cdot \rangle$ :  $X \to \int_{\Omega}^{p} X_{k} d\rho_{x}$  be the representation of 2.3.

If M is a  $\mathcal{B}$ -cycle in X then:

(i)  $M_k$ : = { $\langle y \rangle (k) \mid \langle y \rangle (k) \neq \infty, y \in M$ } is a closed subspace of  $X_k$  for each k.

(ii) If y is an element of X then y is in M if and only if  $\langle y \rangle \langle k \rangle \in M_k \cup \{\infty\}$  far all k.

(iii) For each natural number n the set  $\{k \mid \dim M_k = n\}$  is clopen in  $\Omega$ . In particular the set supp  $M := \{k \mid M_k \neq \{0\}\}^-$  is clopen and corresponds to the carrier projection of M.

Proof.

(i) That  $M_k$  is a subspace is clear, since  $\langle ay + bz \rangle \langle k \rangle = a \langle y \rangle \langle k \rangle + b \langle z \rangle \langle k \rangle$  for a, b in R, y, z in M. It remains to show that  $M_k$  is

closed. Suppose that  $y^k$  is in  $X_k$  with  $\langle y_n \rangle \langle k \rangle \to y^k$  for some sequence  $\{y_n\}$  in M. We suppose that in addition  $||\langle y_{n+1}\rangle \langle k \rangle - \langle y_n \rangle \langle k \rangle||_k = [y_{n+1} - y_n](k) \leq 1/2^{n+1}$  for each n. Set  $z_1 = y_1$ . Since  $[y_2 - y_1](k) \leq 1/4$  there is a clopen set  $D_1$  containing k on which  $[y_2 - y_1] \leq 1/2$ . Set  $z_2 = y_1 + E_{D_1}(y_2 - y_1)$ . Then  $[z_2 - z_1] \leq 1/2$  and  $\langle z_2 \rangle \langle k \rangle = \langle y_2 \rangle \langle k \rangle$ . By induction we obtain a sequence  $\{z_n\}$  in M with  $[z_{n+1} - z_n] \leq 1/2^n$  and  $\langle z_n \rangle \langle k \rangle = \langle y_n \rangle \langle k \rangle$  for each n. Thus  $\{z_n(l)\}$  converges uniformly on  $\Omega$ , in particular  $\{z_n\}$  converges in M to z with  $\langle z \rangle \langle l \rangle = \lim \langle z_n \rangle \langle l \rangle = 0$  for each  $2 = y_n \rangle \langle k \rangle$  for all n we have  $\langle z \rangle \langle k \rangle = \lim \langle y_n \rangle \langle k \rangle = y^k$ . Thus  $M_k$  is closed.

(ii) Suppose that y is in X and that  $\langle y \rangle \langle k \rangle \in M_k \cup \{\infty\}$  for each k. Let  $\varepsilon > 0$  and  $E_D$  be such that  $||E_D y - y|| < \varepsilon$  and  $\langle y \rangle \langle k \rangle \in M_k$  for k in D. Then there is for each k in D a  $z_k$  in M with  $\langle z_k \rangle \langle k \rangle = \langle y \rangle \langle k \rangle$ . Let  $D_k \subseteq D$  be a clopen set containing k on which  $[z_k - y] < \varepsilon$ . Then D is covered by finitely many  $D_k$ 's, say  $D_1, \dots, D_n$  corresponding to  $k_1, \dots, k_n$ . Wlog we may assume that the  $D_i$ 's are disjoint. Put  $z = E_{D_1} z_{k_1} + \dots + E_{D_n} z_{k_n} \in M$ . Then

Thus  $||z - y|| \leq 2\varepsilon$ . Since  $\varepsilon$  was arbitrary and M is closed we have  $y \in M$ .

The 'only if' part follows immediately from the definition of  $M_k$ .

(iii) The continuity of [y-z] for y, z in M implies that if  $||\langle y \rangle(k) - \langle z \rangle(k)||_k > \varepsilon$  for some k then  $||\langle y \rangle(l) - \langle z \rangle(l)||_l > \varepsilon$  for all l in some clopen neighbourhood of k. Using this and a standard argument invoking the compactness of the unit ball in a finite-dimen-If  $y, z_1, \dots, z_m$  are in M and  $d(\langle y \rangle (k))$ , sional space we obtain:  $\lim \{\langle z_1 \rangle \langle k \rangle, \cdots \langle z_m \rangle \langle k \rangle\} > \varepsilon$  for some k then  $d(\langle y \rangle \langle l \rangle, \lim \{\langle z_1 \rangle \langle l \rangle, \cdots, \langle z_m \rangle \langle k \rangle\} > \varepsilon$  $\langle z_{m} \rangle (l) \} > \varepsilon$  for l in some clopen neighbourhood of k. It follows that if  $\langle z_1 \rangle \langle k \rangle, \dots, \langle z_m \rangle \langle k \rangle$  are linearly independent for some k then  $\langle z_1 \rangle (l), \dots, \langle z_m \rangle (l)$  are linearly independent in some neighbourhood of k. Thus for each n the set  $\{k \mid \dim M_k \ge n\}$  is open. Let  $k_0$  be a point in the closure of this set and suppose that dim  $M_{k_0} = m < n$ . Let  $z_1, \dots, z_m$  in M be m elements such that  $\langle z_1 \rangle \langle k_0 \rangle, \dots, \langle z_m \rangle \langle k_0 \rangle$  is a basis for  $M_{k_0}$ . Let  $A = \{z \mid z \in M, [z] \leq 3, d(\langle z \rangle \langle k \rangle, \lim \{\langle z_1 \rangle \langle k \rangle, \cdots,$  $\langle z_m \rangle(k) \} \ge [z](k)/2 \ge 1/2$  wherever  $[z](k) \ne 0 \}$ . We order the elements of A by means of  $z \leq z'$  if and only if  $\langle z \rangle \langle k \rangle = \langle z' \rangle \langle k \rangle$  wherever  $\langle z \rangle(k) \neq 0$ . If  $\{z_{\alpha}\}_{\alpha \in A}$  is a totally ordered chain in A then it converges to an element  $z_0$  in M which also satisfies the defining conditions of A. Clearly  $z_0$  is an upper bound for the chain so that we

can apply Zorn's Lemma to obtain a maximal element in A, say z. Since  $\langle z_1 \rangle \langle k_0 \rangle, \dots, \langle z_m \rangle \langle k_0 \rangle$  span  $M_{k_0}, \langle z \rangle \langle k_0 \rangle = 0$ . But  $[z](k) \ge 1$  where  $\langle z \rangle \langle k \rangle \neq 0$  so, by the continuity of [z](k), we have that  $\langle z \rangle \langle k \rangle = 0$  on some clopen neighborhood D of  $k_0$ . Let k be a point in D with  $\dim M_k \ge n$ . Then there is a z' in M with [z'](k) = 2 and  $d(\langle z' \rangle \langle k \rangle, lin \{\langle z_1 \rangle \langle k \rangle, \dots, \langle z_m \rangle \langle k \rangle\}) > 3/2 > [z'](k)/2$ . By continuity there is a clopen set  $D_0 \subseteq D$  containing k such that  $E_{D_0}z'$  lies in A. But then  $z + E_{D_0}z'$  lies in A and is strictly larger than z. Since this is a contradiction it follows that  $\dim M_{k_0} \ge n$ . Thus  $\{k \mid \dim M_k \ge n\}$  is clopen for all n. Since  $\{k \mid \dim M_k = n\} = \{k \mid \dim M_k \ge n\} \setminus \{k \mid \dim M_k \ge 1\}$  and is therefore clopen. That it corresponds to the carrier projection of M is trivial.

By applying 2.4 (i) to X itself we immediately obtain:

COROLLARY 2.5. For all  $k \in \Omega$ , and  $y^k$  in  $X_k$  there is a  $y \in X$  with  $\langle y \rangle \langle k \rangle = y^k$ , i.e., the completion in the definition of  $X_k$  is superfluous.

The Zorn's Lemma argument of 2.4 (iii) occurs over and over again when working with integral modules. In order to save repeating it each time it is formulated in the following lemma for which we need a preparatory definition.

DEFINITION 2.6. Let X,  $\mathcal{B}$  be as above and n a natural number.

$$QS(X^n, \mathscr{B}): = \{(x_1, \cdots, x_n; F) \mid x_i \in X, F \in \mathscr{B} \text{ and } E_{x_i} = F \text{ for all } i\}.$$

THE EXISTENCE LEMMA 2.7. Let A be a subset of  $QS(X^n, \mathscr{B})$ for which the elements of X which occur are bounded in norm and let  $E \in \mathscr{B}$  satisfy the countable chain condition. If

(i) For each F in  $\mathcal{B}$ ,  $0 \leq F \leq E$  there is an  $a := (a_1, \dots, a_n; F_a)$  in A with  $0 \leq F_a \leq F$ .

(ii) Whenever  $\{F_{\alpha}\} \nearrow F \leq E$  and a is an element of  $QS(X^{*}, \mathscr{B})$  such that  $F_{\alpha}a: = (F_{\alpha}a_{1}, \dots, F_{\alpha}a_{n}; F_{\alpha}F_{\alpha})$  is in A for all  $\alpha$  then Fa is also in A.

(iii) Whenever a and a' are in A and  $F_aF_{a'} = 0$  then  $a + a' := (a_1 + a'_1, \dots, a_n + a'_n; F_a + F_{a'})$  is in A. Then there is an element a in A with  $F_a = E$ .

*Proof.* We order the elements of A by means of  $a \leq a'$  if and only if  $F_a \leq F_{a'}$  and  $a_i = F_a a'_i$  for all i. Let  $A_E$  be the set of all

a in A with  $F_a \leq E$ . A strictly increasing totally ordered chain in  $A_E$  can be at most countable since E satisfies the countable chain condition, say  $a^1, \dots, a^m, \dots$ . By the boundedness in norm of the elements occurring in  $A, a_i^1, a_i^2, \dots, a_i^m, \dots$ , is a Cauchy sequence for each *i* and converges in X to an element  $a_i$ . Clearly  $a: = (a_1, \dots, a_n; \sup F_{a^m})$  is in  $QS(X^n, \mathscr{B})$  and  $F_{a^m}a = a^m$  for each natural number *m*. By (ii) *a* is in A and is an upper bound for the chain  $a^1, \dots, a^m, \dots$ . Since  $F_{a^m} \leq E$  for each *m*, *a* is in  $A_E$ . By Zorn's Lemma  $A_E$  contains a maximal element. By (i) and (iii) the projection in this element must be *E*.

Note that it is not essential for the validity of the existence lemma that  $\mathscr{B}$  consists of  $L^p$ -projections (although that is of course the case in the present paper). It suffices that the norm boundedness implies that every sequence in which each element is an extension of the previous one is Cauchy. In practice we shall only use the cases n = 1 and n = 2 and shall normally only verify (i), leaving it to the reader to check that (ii) and (iii) are trivially satisfied. If the elements of A are characterized by some property then verifying (i) corresponds to showing that the set of projections for which it holds is co-final in every ultrafilter containing E. The existence lemma then allows us to conclude that the property holds for E itself.

3. Distance functions. If y is an element of a Banach space Y and M a subspace, the distance of y from M, d(y, M) is  $\inf_{m \in M} ||y + m||$ . This well-known distance function suggests the following definition of the distance between two subspaces of Y:

DEFINITION 3.1. The distance between two subspaces  $M_1$ ,  $M_2$  of a Banach space Y,  $d(M_1, M_2)$  is  $\inf \{||m_1 + m_2|| | m_1 \in M_1, m_2 \in M_2, \max \{||m_1||, ||m_2||\} = 1\}$ , whereby we define the infimum of the empty set to be unity.

Clearly, if  $d(M_1, M_2) = d$ , we have  $||m_1 + m_2|| \ge d ||m_1||$  and  $\ge d ||m_2||$  for  $m_1 \in M_1$ ,  $m_2 \in M_2$ . This distance function takes values in [0, 1].

**PROPOSITION 3.2.** Let  $M_1$ ,  $M_2$  be closed subspaces of Y.

(i) If  $M_1 \cap M_2 \neq \{0\}$  then  $d(M_1, M_2) = 0$ .

(ii)  $d(M_1, M_2) > 0$  if and only if  $M_1 \cap M_2 = \{0\}$  and  $M_1 + M_2$  is closed.

Proof.(i) Trivial.(ii) The 'only if' part is trivial and the 'if' part follows from

the closed graph theorem.

We are, of course, interested in the case where  $M_1$  and  $M_2$  are  $\mathscr{B}$ -cycles in a Banach space X. ( $\mathscr{B}$  as in the foregoing section.) We have seen in the last section how  $M_1$  and  $M_2$  define families  $(M_1)_k$  and  $(M_2)_k$  of subspaces of the spaces  $X_k$  in a given integral module representation. The next proposition relates  $d(M_1, M_2)$  to the distance between these subspaces.

PROPOSITION 3.3. Let  $M, M_1$  and  $M_2$  be  $\mathscr{B}$ -cycles in a Banach space X and  $y \in X$ .

(i)  $d_k(M_1, M_2)$ : =  $d((M_1)_k, (M_2)_k)$  is continuous as a function of k.

(ii)  $d(M_1, M_2) = \inf_{\Omega} d_k(M_1, M_2).$ 

(iii)  $d_k(S(y), M)$  vanishes on a nonvoid clopen subset of  $\Omega$  if and only if  $S(y) \cap M \neq \{0\}$ .

Note. 3.3 (iii) does not necessarily hold for two arbitrary  $\mathcal{B}$ -cycles.

#### Proof.

(i) Suppose for some k in  $\Omega$ ,  $d_k(M_1, M_2) < a \in \mathbb{R}$ . Then there is also some  $\varepsilon > 0$  such that  $d_k(M_1, M_2) < a(1 - \varepsilon)$ . By the definition of the distance function there are elements  $m \in (M_1)_k$ ,  $m' \in (M_2)_k$  with  $\max\{||m||_k, ||m'||_k\} = 1$  and  $||m + m'||_k < a(1 - \varepsilon)$ . Let  $m_1 \in M_1$ ,  $m_2 \in M_2$  be such that  $\langle m_1 \rangle (k) = m$ ,  $\langle m_2 \rangle (k) = m'$ . By continuity there is a clopen set D containing k with  $||\langle m_1 \rangle (l)||_l > ||m||_k - \varepsilon$ ,  $||\langle m_2 \rangle (l)||_l > ||m'||_k - \varepsilon$  and  $||\langle m_1 \rangle (l) + \langle m_2 \rangle (l)||_l < a(1 - \varepsilon)$  for all l in D. But then  $a(1 - \varepsilon) > ||\langle m_1 \rangle (l) + \langle m_2 \rangle (l)||_l \ge d_l(M_1, M_2) \cdot \mu_1 > (1 - \varepsilon)d_l(M_1, M_2)$  whereby  $\mu_1 = \max\{||\langle m_1 \rangle (l)||_l, ||\langle m_2 \rangle (l)||_l\} > 1 - \varepsilon$ . It follows that  $d_l(M_1, M_2) < a$  for l in D.

On the other hand suppose that every neighborhood of k contains a point l where  $d_l(M_1, M_2) < a$ . By the preceding part of the proof there is a clopen set  $D_1$  containing l and elements  $m_1 \in M_1$ ,  $m_2 \in M_2$ with  $\|\langle m_1 \rangle(l') + \langle m_2 \rangle(l')\|_{l'} < a \max\{\|\langle m_1 \rangle(l')\|_{l'}, \|\langle m_2 \rangle(l')\|_{l'}\}$  and  $\max \{ || \langle m_1 \rangle(l') ||_{l'}, || \langle m_2 \rangle(l') ||_{l'} \} > 1/2 \text{ for } l' \text{ in } D_l. \text{ Let } D \text{ be the } \}$ clopen set  $\{l \mid d_l(M_1, M_2) < a\}^-$ . We apply the existence lemma to  $A:=\{(m_{_1},\,m_{_2};\,E_{_C})\mid m_{_1}\in M_{_1},\,m_{_2}\in M_{_2},\,\,(m_{_1},\,m_{_2};\,E_{_C})\in QS(X^2\mathscr{B})\,||\langle m_{_1}
angle(l)\,+\,$  $\langle m_{\scriptscriptstyle 2} 
angle(l) ||_{\scriptscriptstyle l} \leq a \max \left\{ || \langle m_{\scriptscriptstyle 1} 
angle(l) ||_{\scriptscriptstyle l}, \; || \langle m_{\scriptscriptstyle 2} 
angle(l) ||_{\scriptscriptstyle l} 
ight\} \quad ext{ and } \quad$  $\max \{ || \langle m_1 \rangle(l) ||_l,$  $||\langle m_2 
angle (l)||_l \geq 1/2$  for l in C} and  $E_D$ . The conditions (ii) and (iii) are trivially satisfied and (i) we havejust shown. Thus there is a pair  $\tilde{m}_1, \tilde{m}_2$  such that these inequalities hold everywhere in D, in particular also at k. But then  $a \max \{ || \langle \widetilde{m}_1 \rangle \langle k \rangle ||_k, || \langle \widetilde{m}_2 \rangle \langle k \rangle ||_k \} \geq$  $||\langle \widetilde{m}_1 \rangle(k) + \langle \widetilde{m}_2 \rangle(k)||_k \geq d_k (M_1, M_2) \max \{||\langle \widetilde{m}_1 \rangle(k)||_k, ||\langle \widetilde{m}_2 \rangle(k)||_k \}.$ It follows that  $d_k(M_1, M_2) \leq a$ . Thus the component-wise distance

function is both upper and lower semicontinuous and therefore continuous.

(ii) Let  $d:=\inf_{\Omega} d_k(M_1, M_2)$ . If  $m_1 \in M_1$ ,  $m_2 \in M_2$  and max  $\{||m_1||, ||m_2||\} = 1$  then

so that  $d(M_1, M_2) \ge d$ .

On the other hand since  $d_k(M_1, M_2)$  is continuous and  $\Omega$  compact, there is a point k in  $\Omega$  such that  $d_k(M_1, M_2) = d$ . Let  $1 > \varepsilon > 0$ . As in (i) we can find elements  $m_1 \in M_1$ ,  $m_2 \in M_2$  so that max  $\{||\langle m_l \rangle(l)||_1, ||\langle m_2 \rangle(l)||_l\}$  (= say  $||\langle m_1 \rangle(l)||_l \rangle > 1 - \varepsilon$  and  $||\langle m_1 \rangle(l) + \langle m_2 \rangle(l)||_l < d(1 + \varepsilon)$  for l in some clopen set D containg k. But then:

$$egin{aligned} rac{d(1+arepsilon)}{(1-arepsilon)} \max \left\{ ||E_{_D}m_1||, ||E_{_D}m_2|| 
ight\} &\geq rac{d(1+arepsilon)}{(1-arepsilon)} \mid|E_{_D}m_1|| \ &= rac{d(1+arepsilon)}{(1-arepsilon)} \left( \int_{_D} ||\langle m_1
angle (l) \,||_l^{_P} d
ho_x 
ight)^{^{1/p}} &> \left( \int_{_D} (d(1+arepsilon))^{^p} d
ho_x 
ight)^{^{1/p}} \ &> \left( \int_{_D} ||\langle m_1
angle (l) \,+ \, \langle m_2
angle (l) \,||_l^{^p} d
ho_x 
ight)^{^{1/p}} = ||E_{_D}(m_1+m_2)|| \ &\geq d(M_1,\,M_2) \max \left\{ ||E_{_D}m_1||,\,||E_{_D}m_2|| 
ight\}. \end{aligned}$$

So  $d(M_1, M_2) < d(1 + \varepsilon)/(1 - \varepsilon)$  (note  $||E_D m_1|| \neq 0$ ). Since  $\varepsilon$  was arbitrary subject to  $1 > \varepsilon > 0$ ,  $d(M_1, M_2) = d$ .

(iii) It is straightforward to show that the spaces  $(S(y))_k$  are one-dimensional at most and equal to  $\lim (\langle y \rangle \langle k \rangle)$  where  $\langle y \rangle \langle k \rangle$  is finite and nonzero. Thus, if  $d_k(S(y), M) = 0$  on a clopen set  $D \neq \phi$ then, by 3.2 (ii)  $(S(y))_k \cap M_k \neq \{0\}$  and so  $\langle y \rangle \langle k \rangle \in M_k \cup \{\infty\}$  on D. By 2.5 (ii)  $E_D y \in M$ . Since the distance between  $\{0\}$  and any other subspace is 1,  $E_D y \neq 0$ . Thus  $S(y) \cap M \neq \{0\}$ .

On the other hand, if  $S(y) \cap M \neq \{0\}$  let z be an element in the intersection with  $z \neq 0$  and  $1 \leq ||\langle z \rangle \langle k \rangle ||_k \leq 2$  on supp z. Then  $\langle z \rangle \langle k \rangle$  is a nonzero element in  $(S(y))_k \cap M_k$  for all k in supp z. By 3.2 (i)  $d_k(S(y), M) = 0$  on supp z.

4. The case of finite multiplicity. In this section we consider the case where  $m(I) < \infty$ . It turns out that in this situation the spaces behave as a sort of continuous analogue to the Bochner spaces  $L^{p}(V; \mu)$  with finite-dimensional V. In particular, X can be split up in a very simple manner in contrast to the situation for arbitrary Boolean algebras of projections with finite multiplicity (cf. the counterexample in [6]).

LEMMA 4.1. Let  $\mathscr{B}$  be a complete Boolean algebra of  $L^p$ -projections on X,  $M \subset X$  a  $\mathscr{B}$ -cycle. For each  $x \in X$ , there is a  $z \in X$  with

$$(M + S(x))^{-} = M + S(z)$$
.

*Proof.* Let  $D: = \{k \mid d_k(M, S(x)) \neq 0\}^-$ , a clopen set in  $\Omega$ . We apply the existence lemma to  $E_D$  and

$$egin{aligned} A &:= \{(z,\,F) \,|\, (z,\,F) \in QS(X,\,\mathscr{B}) \;, \;\; d(M,\,S(z)) \geqq 1/4 \;, \ F(M+\,S(z)) &= F((M+\,S(x))^{-}) \} \;. \end{aligned}$$

That (ii) and (iii) are satisfied is easily verified. Now suppose that  $0 \leq F = E_c \leq E_D$ . Then there is a point  $k \in C$  with  $d_k(M, S(x)) \neq 0$ . Then  $M_k \subseteq M_k + \ln(\langle x \rangle \langle k \rangle)$  so that there is a point  $y_k \in M_k + \ln(\langle x \rangle \langle k \rangle)$  with  $||y_k|| = 1$  and  $d(M_k, \ln(y_k)) > 1/3$ . Let  $m \in M$  and  $a \in \mathbb{R}$  such that  $y_k = \langle m + ax \rangle \langle k \rangle$  (note that  $a \neq 0$ ). Then there is, by the continuity of d. (M, S(m + ax)) and  $[m + ax](\cdot)$  a clopen set  $C_0 \subset C$  containing k such that  $d_1(M, S(m + ax)) > 1/4$  and [m + ax](l) > 1/2 for  $l \in C_0$ . Let  $z_0 = E_{C_0}(m + ax)$ . Clearly  $(z_0, E_{C_0}) \in QS(X, \mathscr{B})$  and  $d(M, S(z_0)) \geq 1/4$ . But  $E_{C_0}x = (z_0 - E_{C_0}m)/a \in M + S(z_0)$ . It follows that  $E_{C_0}(M + S(z_0)) = E_{c_0}((M + S(x))^{-})$ . Thus (i) also holds. By the existence lemma there is a  $(z, E_D) \in A$ , i.e., an element  $z \in X$  with  $E_D(M + S(z)) = E_D((M + S(x))^{-})$ .

But  $(M + S(x))^- = E_D((M + S(X))^-) + M = E_D(M + S(z)) + M = M + S(z)$  since  $d_k(M, S(x)) = 0$  outside D implies  $(I - E_D)(S(x)) \subset M$ .

THEOREM 4.2. Let  $\mathscr{B}$  be a complete Boolean algebra of  $L^p$ -projections on the real Banach space X.

(i) If  $\Omega$  satisfies the CCC and I has finite multiplicity, say n, then there exist  $x_1, \dots, x_n$  in X such that

$$X = S(x_1) \bigoplus \cdots \bigoplus S(x_n)$$

For any  $x \in X$  with  $Ex \neq 0$  for all  $E \in \mathscr{B}$ ,  $E \neq 0$ , the Banach spaces  $X_k$  in the integral module representation of X w.r.t. x are finitedimensional, and  $n = \max_{k \in \mathcal{Q}} (\dim X_k)$ .

(ii) Conversely, if there is an  $x \in X$  with  $E_x = I$  such that the  $X_k$  in the corresponding integral module representation are finitedimensional with  $n := \max_{k \in \Omega} (\dim X_k)$  then m(I) is finite and equal to n.

Proof.

(i) The first part of the proposition follows from 4.1 by induc-

tion on n.

Let  $y \mapsto \langle y \rangle$  be the integral module representation of X w.r.t. x. Each  $y \in X$  can be written as  $y_1 + \cdots + y_n$  with  $y_i \in S(x_i)$  for each i. Thus  $\langle y \rangle = \langle y_1 \rangle + \cdots + \langle y_n \rangle$  so that  $X_k = [S(x_1)]_k + \cdots + [S(x_n)]_k$  for k in  $\Omega$ . It follows that  $\max_{k \in \Omega} (\dim X_k) \leq n$ .

However suppose that  $\max_{k\in\Omega} (\dim X_k) < n$ . This means that for each  $k\in\Omega$  there is an  $x_i$  with  $\langle x_i\rangle\langle k\rangle=0$ . Let  $D_i:=\{k \mid \langle x_i\rangle\langle k\rangle=0\}$ 0} for  $i=1, \dots, n$ . Then  $\bigcup_{i=1}^n D_i=\Omega$  so that  $\{k \mid \langle x_n\rangle\langle k\rangle\neq 0\}\subset \bigcup_{i=1}^{n-1} D_i$ . Since this set is open it is contained in  $\bigcup_{i=1}^{n-1} C_i$  whereby  $C_i:=(D_i)^0$ for  $i=1, \dots, n-1$  (note that  $\Omega$  is extremally disconnected). Let  $y_i:=x_i+E_{C_i}x_n$  for these i. Then  $(I-E_{C_i})y_i=x_i$  and  $E_{C_i}y_i=E_{C_i}x_n$ . It follows that  $S(y_1)+\dots S(y_{n-1})\supset S(x_1)+\dots+S(x_n)=X$ . This is in contradiction to the fact that X has multiplicity n.

(ii) Suppose that dim  $X_k = m$  for some  $k \in \Omega$ . Let  $\hat{x}_1, \dots, \hat{x}_m$  be a basis of  $X_k, x_1, \dots, x_m$  elements in X with  $\langle x_i \rangle \langle k \rangle = \hat{x}_i$  for each i and  $M := [S(x_1) + \dots + S(x_m)]^-$ . Then  $M_k = X_k$  and by the continuity of the dimension  $M_{k'} = X_{k'}$  for k' in some clopen neighbourhood D of k. Then  $E_D X = E_D M$ , and  $E_D$  has finite multiplicity less than or equal to m. Thus there is a neighbourhood of each point k such that the corresponding projection has multiplicity not greater than n. It follows from 1.2 (ii) that  $m(I) \leq n$  and then the equality follows from (i).

Note. It follows from 4.2 that  $m(E_D) = \max_{k \in D} (\dim X_k)$  for each clopen D in  $\Omega$  and thus that uniform multiplicity n of I implies dim  $X_k = n$  for all k in  $\Omega$ .

We now investigate the restriction of the multiplicity function to cycles in the case where I has finite multiplicity.

THEOREM 4.3. Let X be a Banach space,  $\mathscr{B}$  a complete Boolean algebra of  $L^p$ -projections on X. Suppose that I has finite multiplicity n, that  $\Omega$  satisfies the CCC and that M is a  $\mathscr{B}$ -cycle. We denote the multiplicity in  $\mathscr{B} \mid_{\mathcal{M}}$  by  $\tilde{m}$ .

(i)  $\widetilde{m}(E|_{\mathfrak{M}}) \leq m(E)$  for all  $E \in \mathscr{B}$ .

(ii) M = X if and only if  $m(E) = \tilde{m}(E|_{M})$  for all  $E \in \mathscr{B}$ . In particular, if I and  $I|_{M}$  have uniform multiplicity and  $E_{M} = I$ , M = X if and only if  $m(I) = \tilde{m}(I|_{M})$ .

(iii) If  $I|_{\mathcal{M}}$  has finite uniform multiplicity  $\tilde{k}, E_{\mathcal{M}} = I$  and  $x_1, \dots, x_{\tilde{k}}$  are elements of M such that  $M = S(x_1) \bigoplus \dots \bigoplus S(x_{\tilde{k}})$ , then there are  $x_{\tilde{k}+1}, \dots, x_n$  such that  $X = S(x_1) \bigoplus \dots \bigoplus S(x_n)$ .

Proof.

(i) The same proof as 4.2 (i) gives  $\widetilde{m}(E|_{\mathfrak{M}}) = \max_{k \in D} (\dim M_k) \leq \max_{k \in D} (\dim X_k) = m(E)$  (whereby D is the clopen subset of  $\Omega$  as-

sociated with E).

(ii) M = X if and only if  $M_k = X_k$  for all  $k \in \Omega$ . Since dim  $X_k$  is finite, it suffices that dim  $M_k = \dim X_k$  for each k and, since  $\Omega$  is totally disconnected and  $k \mapsto \dim M_k$ ,  $k \mapsto \dim X_k$  are continuous, that  $\sup_{k \in D} (\dim M_k) = \sup_{k \in D} (\dim X_k)$  for all clopen D. This is clearly equivalent to  $\widetilde{m}(E|_M) = m(E)$  for each  $E \in \mathscr{B}$ .

(iii) Let  $D_{\tilde{k}+1} = \{k \mid \dim X_k \ge \tilde{k} + 1\}$ . Apply the existence lemma to  $E_{D\tilde{k}+1}$  and the set  $\{(y, E_y) \mid d(S(y), M) \ge 1/2\}$  to obtain  $x_{\tilde{k}+1}$  with  $E_{x\tilde{k}+1} = E_{D\tilde{k}+1}$  and  $d(S(x_{\tilde{k}+1}), M) \ge 1/2$ . Let  $D_{\tilde{k}+2} = \{k \mid \dim X_k \ge \tilde{k}+2\}$ and apply the existence lemma to  $E_{D\tilde{k}+2}$  and  $\{(y, E_y) \mid d(S(y), M \bigoplus S(x_{\tilde{k}+1})) \ge 1/2\}$  to obtain  $X_{\tilde{k}+2}$ . Continue until  $x_n$ . Since dim  $M_k = \tilde{k}$ for all k and supp  $\langle x_{\tilde{k}+r} \rangle = D_{\tilde{k}+r}$  for  $1 \le r \le n - \tilde{k}$  we have dim  $[S(x_1) \bigoplus \cdots \bigoplus S(x_n)]_k = \dim X_k$  for all k and thus  $X = S(x_1) \bigoplus \cdots \bigoplus S(x_n)$ .

We now show that in the case of finite multiplicity, the space X may be arbitrarily well approximated by sums of Bochner spaces  $L^{p}(V; \mu)$ .

Let  $(S, \Sigma, \mu)$  be a finite measure space and V a finite-dimensional Banach space with  $n: = \dim V$ . By  $\mathscr{B}_{\Sigma}$  we mean the Boolean algebra on  $L^{p}(V; \mu)$  which consists of the characteristic projections  $E_{D}, f \mapsto f X_{D}$  for  $D \in \Sigma$ .  $\mathscr{B}_{\Sigma}$  is obviously a  $\sigma$ -complete algebra of  $L^{p}$ -projections. Since  $(S, \Sigma, \mu)$  is finite,  $I \in \mathscr{B}_{\Sigma}$  satisfies the CCC and  $\sigma$ -completeness is equivalent to completeness.

LEMMA 4.4.  $I \in \mathscr{B}_{\Sigma}$  has finite uniform multiplicity and  $m(I) = \dim V$ .

*Proof.* Let  $e_1, \dots, e_n$  be a basis of  $V, e_1, \dots, e_n \in L^p(V; \mu)$  the corresponding constant functions. For  $D \in \Sigma$  with  $\mu(D) > 0$  it is easy to see that  $E_D L^p(V; \mu) (\cong L^p(V; \mu \mid_D)) = S(\chi_D e_1) + \dots + S(\chi_D e_n)$  so that  $m(E_D) \leq n$  for all  $E_D$ .

On the other hand, suppose that for some  $E_D \neq 0$ ,  $S(f_1) \oplus \cdots \oplus S(f_k) = E_D L^p(V; \mu)$  with k < n.

Then either (a) the set  $\{\omega \in D \mid f(\omega) \notin \ln \{f_1(\omega), \dots, f_k(\omega)\}\}$  has zero measure for all  $f \in E_D L^p(V; \mu)$  or (b) there is an  $f \in E_D L^p(V; \mu)$ and a measurable set  $C \subset D$ ,  $\mu(C) > 0$  such that  $f(\omega) \notin \ln \{f_1(\omega), \dots, f_k(\omega)\}$  for  $\omega \in C$ .

(Note that both (a) and (b) are independent of the choice of representatives.)

If (a) holds, let K be the unit ball of V and  $v_1, \dots, v_r$  a finite family of elements in K with  $K \subset \bigcup_{i=1}^r B(v_i, 1/4)$ . The set  $G := \bigcup_{i=1}^r \{\omega \mid \omega \in D, v_i(\omega)(=v_i) \notin \lim \{f_1(\omega), \dots, f_k(\omega)\}\}$  has by (a) zero measure. Thus there is a point  $\omega \notin G$ . But then  $v_i \in \lim \{f_1(\omega), \dots, f_k(\omega)\}$ for all i and thus  $d(v, \lim \{f_1(\omega), \dots, f_k(\omega)\}) \leq 1/4$  for all  $v \in K$ . This of course contradicts the fact that  $k < \dim V$ .

However if (b) holds, then there is an  $\varepsilon > 0$  and  $C_{\varepsilon} \subset C$ ,  $\mu(C_{\varepsilon}) > 0$ with  $d(f(\omega), \lim \{f_1(\omega), \dots, f_k(\omega)\}) \ge \varepsilon$  for  $\omega \in C_{\varepsilon}$ . But then  $||\chi_{\varepsilon}f - \sum_{i=1}^k g_i f_i||^p \ge \varepsilon^p \mu(C_{\varepsilon})$  for all  $g_1, \dots, g_k$  in the Cunningham algebra of  $\mathscr{B}_{\Sigma}$  which contradicts the fact that  $E_D L^p(V; \mu) = S(f_1) \bigoplus \dots \bigoplus S(f_k)$ . Thus  $m(E_D) = n$  for all  $E_D \in \mathscr{B}_{\Sigma}, E_D \neq 0$ .

LEMMA 4.5. Let X,  $\mathcal{B}$ ,  $\Omega$  etc. satisfy the conditions of Theorem 4.2.

(i) For each k in  $\Omega$  and each  $\varepsilon > 0$  there is a clopen neighbourhood D of k such that  $\delta(X_k, X_l) \leq \varepsilon$  for l in D.

Here  $\delta(X_k, X_l)$  denotes the Banach-Mazur distance of  $X_k$  from  $X_l$  i.e.,  $\delta(X_k, X_l) := \inf \{ \log || \varphi || \cdot || \varphi^{-\wedge} || | \varphi : X_k \to X_l \text{ isomorphism} \}$  with  $\inf \emptyset := \infty$ .

(ii) For each k in  $\Omega$  and each  $\varepsilon > 0$  there is a clopen neighbourhood D of k such that  $\delta(L^{p}(X_{k}, (D, \rho_{x}|_{D})), E_{D}X) \leq \varepsilon$ .

Proof.

(i) Suppose  $\varepsilon$  and k are given and choose an  $1 > \varepsilon' > 0$  such that  $\log(1+\varepsilon')/(1-\varepsilon') < \varepsilon$ . Since X and  $\mathscr{B}$  satisfy the conditions of Theorem 4.2,  $X_k$  has finite dimension say n. Then there is a clopen neighbourhood  $D_n$  of k such that  $X_l$  has dimension n for l in  $D_n$  (2.4 (iii)). Let  $y_1^*, \dots, y_n^*$  be a base of  $X_k$  with  $||y_1^*||_k = 1$  for all i and let r > 0 be a number such that  $||\sum_{i=1}^{n} \lambda_i y_i^*||_k \ge r \max |\lambda_i|$  for all real numbers  $\lambda_1, \dots, \lambda_n$ . (It is in fact possible to choose a base for which r can be put equal to 1.)

Let  $y_1, \dots, y_n$  be elements of X such that  $\langle y_i \rangle \langle k \rangle = y_i^*$  for each *i*. Since the unit ball of  $X_k$  is totally bounded it can be covered by finitely many balls of radius  $r\varepsilon'/4n$ , say those with centers  $z_1, \dots, z_m$ . Finally, if  $\langle y_i \rangle \langle l \rangle \neq \infty$ , let  $\varphi_l \colon X_k \to X_l$  be the linear map which maps  $y_i^*$  into  $\langle y_i \rangle \langle l \rangle$  for each *i*. Due to the continuity of the norm resolution  $||\varphi_l(z)||_l$  is continuous as a function of *l* for each *z* in  $X_k$ . Thus there is a clopen set *D* containing *k* and contained in  $D_n$  such that:

(a)  $||z_i||_k - ||\varphi_i(z_i)||_l < \varepsilon'/4 \ i = 1, \cdots, m,$ 

(b)  $1-\varepsilon' < ||\langle y_i \rangle(l)||_l < 1+\varepsilon'$   $i=1, \cdots, n$ , for all l in D.

But then for each  $z \in X_k$ ,  $||z||_k = 1$  there is a  $z_i$  with  $||z - z_i||_k < r\varepsilon'/4nz - z_i = \sum_{j=1}^n \lambda_j y_j^*$  for suitable  $\lambda_j$ 's in R and from  $||z - z_i||_k < r\varepsilon'/4n$  it follows that  $\lambda_j < \varepsilon'/4n$  for all j. Thus

$$|||\varphi_{l}(z)||_{l} - ||z||_{k}| \leq |||\varphi_{l}(z)||_{l} - ||\varphi_{l}(z_{i})||_{l} + |||\varphi_{l}(z_{i})||_{l} - ||z_{i}||_{k}| + |||z_{i}||_{k} - ||z||_{k}|$$

$$egin{aligned} &< || arphi_l(z\!-\!z_i) ||_l\!+\!|| z\!-\!z_i ||_k\!+\!(arepsilon'/4) < || \sum_{A} \stackrel{n}{\lambda}_j \langle y_j 
angle (l) ||_l\!+\!(rarepsilon'/4n)\!+\!(arepsilon'/4) \ &< n(arepsilon'/4n)(1+arepsilon')+(rarepsilon'/4n)+(arepsilon'/4) < arepsilon' \ . \end{aligned}$$

In particular, since  $\varepsilon' < 1$  each  $\varphi_i$  is an isomorphism and

$$||arphi_l|| \leq 1 + arepsilon'$$
 ,  $||arphi_l^{-1}|| \leq 1/(1 - arepsilon')$  .

But then

$$\delta(X_k,\,X_l) \leq \log ||arphi_l|| \cdot ||arphi_l^{-1}|| \leq \log rac{1+arepsilon'}{1-arepsilon'} < arepsilon \; .$$

(ii) Suppose  $\varepsilon$  and k are given and that  $\varepsilon'$  is a positive number which we shall determine later. As in (i) we can determine a clopen set D containing k such that  $\delta(X_k, X_l) \leq \varepsilon'$  for l in D. With the notation of (i) let  $\overline{y}_i$  be the elements of  $L^p(X_k, (D, \rho_x|_D))$  which have the constant value  $y_i^*$  on D. Consider the map  $\varphi$  which maps every element of the form  $\sum_{A}^{n} f_i y_i$  in  $E_D X$  into  $\sum_{A}^{n} f_i \overline{y}_i$  in  $L^p(X_k, (D, \rho_x|_D))$ where the  $f_i$ 's are continuous functions on  $\Omega$  which vanish outside D. Then  $\varphi$  is clearly well-defined and linear and its domain and range are dense subsets of  $E_D X$  and  $L^p(X_k, (D, \rho_x|_D))$  respectively. Since

$$\left\|\sum_{A}^{n}f_{i}y_{i}
ight\|^{p}=\int_{D}\left\|\sum_{A}^{n}f_{i}\langle l
angle\langle y_{i}
angle\langle l
angle
ight\|_{1}^{p}d
ho_{x}$$

and also

$$\left\|\sum_{A}^{n}f_{i}ar{y}_{i}\|^{p}=\int_{D}\left\|\sum_{A}^{n}f_{i}(l)y_{i}^{*}
ight\|_{k}^{p}d
ho_{x}$$

we have

$$igg| \left\| \left\| \sum_{A}^{n} f_{i} y_{i} \, \right\|^{p} - \left\| \left\| \sum_{A}^{n} f_{i} \overline{y}_{i} \, \right\|^{p} 
ight\| \leq \int_{D} \left| \left\| \left\| \sum_{A}^{n} f_{i}(l) \langle y_{i} 
angle(l) 
ight\|_{l}^{p} 
ight| - \left\| \left\| \sum_{A}^{n} f_{i}(l) y_{i}^{*} 
ight\|_{k}^{p} 
ight| d
ho_{x} \; .$$

But

$$\sum\limits_{A}^{n} f_{i}(l) \langle y_{i} 
angle (l) = \mathcal{P}_{l} \Big( \sum\limits_{A}^{n} f_{i}(l) y_{i}^{st} \Big) \,.$$

It follows that

$$\left|\left|\left|\left|\sum\limits_{A}^{n}f_{i}(l)\langle y_{i}
angle(l)
ight|
ight|_{l}-\left|\left|\left|\sum\limits_{A}^{n}f_{i}(l)y_{i}^{*}
ight|
ight|
ight|_{k}
ight|\leqarepsilon'\left|\left|\left|\sum\limits_{A}^{n}f_{i}(l)y_{i}^{*}
ight|
ight|
ight|_{k}
ight|$$

and thus that

$$igg| \left\| \sum_A^n f_i(l) \langle y_i 
angle(l) 
ight\|_l^p - \left\| \sum_A^n f_i(l) y_i^st 
ight\|_k^p 
ight| \leq p arepsilon' (1 + arepsilon')^{p-1} \ \cdot \left\| \sum_A^n f_i(l) y_i^st 
ight\|_k^p \,.$$

Thus

$$\left\|\sum_{A}^{n}f_{i}y_{i}\right\|^{p}-\left\|\sum_{A}^{n}f_{i}\bar{y}_{i}\right\|^{p}
ight\|\leq parepsilon'(1+arepsilon')^{p-1}\left\|\sum_{A}^{n}f_{i}\bar{y}_{i}\right\|^{p}$$

and

$$\left| \left\| \sum_{A}^{n} f_{i} y_{i} \right\| - \left\| \sum_{A}^{n} f_{i} \overline{y}_{i} \right\| \right| \leq \frac{\varepsilon' (1+\varepsilon')^{p-1}}{(1-\varepsilon' (1+\varepsilon')^{p-1})} \left\| \sum_{A}^{n} f_{i} \overline{y}_{i} \right\|.$$

For sufficiently small  $\varepsilon'$  we thus have that  $\varphi$  is 1-1 and

 $\log ||\varphi|| \, ||\varphi^{-1}|| \leq \varepsilon \; .$ 

We can thus extend  $\varphi$  to an isomorphism between  $E_D X$  and  $L^p(X_k, (D, \rho_x|_D))$  for which this inequality also holds.

We are now in a position to prove the promised result, that it can be arbitrarily well approximated by sums of Bochner spaces. The reader will note that we actually prove rather more than is contained in the statement of the theorem, we have however chosen this formulation for the sake of clarity.

THEOREM 4.6. Let X be a Banach space and a complete Boolean algebra of  $L^p$ -projections on X, satisfying the CCC, with m(I) = n, finite. Then, for each  $\varepsilon > 0$ , there is a finite family of finite measure spaces  $(S_j, \sum_j, \mu_j)_{1 \le j \le r}$  and a family  $(V_j)_{1 \le j \le r}$  of Banach spaces of dimension less than or equal to n, such that, for Y: = $L^p(V_1, \mu_1) \bigoplus_p L^p(V_2, \mu_2) \bigoplus_p, \dots, \bigoplus_p L^p(V_r, \mu_r) \delta(Y, X) \le \varepsilon$ .

Proof. By Lemma 4.5 we can find for each point k a clopen neighbourhood D such that  $(L^p(X_k, (D, \rho_x|_D)), E_D X) \leq \varepsilon$ . Since  $\Omega$  is compact there is a finite set  $k_1, \dots, k_r$  such that the corresponding  $D_j$ 's cover  $\Omega$ , Wlog we may assume that the  $D_j$ 's are disjoint. Set  $(S_j, \sum_j, \mu_j) = (D_j$ , Borel sets on  $D_j, \rho_w|_{D_j}$ ) and  $V_j = X_{kj}$  for each j. dim  $V_j = \dim X_{kj} \leq n$ . Since  $X = E_{D_1} X \bigoplus_p E_{D_2} X \bigoplus_p, \dots, \bigoplus_p E_{D_r} X$ it follows immediately that  $\delta(Y, X) \leq \varepsilon$  by composing the individual isomorphisms.

5. The restriction of the multiplicity function. Let M be a fixed  $\mathscr{B}$ -cycle in X.  $\mathscr{B}$  induces a complete Boolean algebra of  $L^{p}$ -projections  $\widetilde{\mathscr{B}} := \mathscr{B} \mid_{\mathcal{M}}$  on M, and it is natural to ask whether it is possible to compare the respective multiplicity functions. If we

denote by  $\widetilde{m}$  the "restricted" multiplicity function, one would expect that  $\widetilde{m}(E|_{\mathfrak{M}}) \leq m(E)$  for  $E \in \mathscr{B}$ . It turns out that this is true for our situation, but there seems to be no result in this direction for the general case of Boolean algebras of projections. To avoid notational complications we will restrict ourselves to the case where  $\mathscr{B}$  satisfies the CCC (note that this is then also true for  $\widetilde{\mathscr{B}}$  since the representation space of  $\widetilde{\mathscr{B}}$  is a subspace of  $\Omega$ ).

The following problem crops up: Is it possible given a fixed family of cycles generated by elements of X to find the same number of elements in M such that the cycles generated by these elements approximate the same elements in M? The case of finite multiplicity is already settled (cf. § 4) whereas the general case needs a certain amount of technical preliminaries.

LEMMA 5.1.

(1) Let x be an element of X such that  $E_x = I$ ,  $S(x) \cap M = 0$ , ||x|| = 1. Then there is an  $m \in M$  such that  $[x-m](k) \leq 3d_k(S(x), M)$ for  $k \in \Omega$ . If m' is any element of M such that  $||E_Dx - m'|| \leq \varepsilon$ (where  $\varepsilon \geq 0$ ,  $D \subset \Omega$  clopen), then we have  $||E_Dm - m'|| \leq 4\varepsilon$ .

(2) For every  $x \in X$  there is an  $m \in M$  such that  $||Ex - m'|| \leq \varepsilon(E \in \mathscr{B}, \varepsilon \geq 0, m' \in M)$  implies  $||Em - m'|| \leq 4\varepsilon$ .

Note. In (1)  $d_k(S(x), M)$  is taken in the integral module representation with respect to this x.

Proof.

(1) We shall work in the integral module representation of X with respect to x. Let  $\Omega'$  be the open dense set  $\{k \mid d_k(S(x), M) > 0\}$ . For  $k \in \Omega'$ , select an  $m_k$  in M with  $[m_k - x](k) \leq 2d_k(S(x), M)$ . By continuity there is a clopen neighbourhood  $D_k$  of k on which we have  $[m_k - x](l) \leq 3d_1(S(x), M)$  for l in  $D_k$ . By applying the existence lemma we obtain an element m in M for which  $[m - x](k) \leq 3d_k(S(x), M)$  for all k in  $(\Omega)^- = \Omega$ .  $[E_D x - m'] \leq \varepsilon$  implies  $\varepsilon^p \geq \int_{\Omega} [E_D x - m']^p(k) d\rho_x \geq \int_{\Omega} [x - m']^p(k) d\rho_x$ . On the other hand  $[x - m'](k) \geq d_k(S(x), M)[x](k) = d_k(S(x), M)$  (all  $k \in \Omega$ ) so that

But this implies  $||E_{\scriptscriptstyle D}m-m'|| \leq ||E_{\scriptscriptstyle D}m-E_{\scriptscriptstyle D}x||+||E_{\scriptscriptstyle D}x-m'|| \leq 4\varepsilon.$ 

(2) If  $E_x$  means the carrier projection of x,  $E_M$  the projection in  $\mathscr{B}$  with  $S(E_M x) \cap M = 0$ ,  $S((I - E_M)x) \subset M$  (cf. [2], 4.7) we have, with  $E_0: = E_x \circ E_M$ ,  $S(E_0 x) \cap E_0 M = 0$ ,  $S((I - E_0)x) \subset M$ ,  $E_{E_0 x} = E_0$ . We apply (1) to the Banach space  $E_0 X$ , the Boolean algebra  $\mathscr{B}|_{E_0 X}$ , the  $\mathscr{B}|_{E_0 X}$ -cycle  $E_0 M$ , and the distinguished element  $E_0 x/||E_0 x||$  (if  $E_0 x =$ 0, we have  $S(x) \subset M$  so that we may choose x = m). We get an  $m_0 \in M$  for which the conclusion of (1) is valid. Define m: = $m_0 ||E_0 x|| + (I - E_0)x \in M$ . Suppose  $m' \in M$ ,  $\varepsilon \ge 0$ ,  $E \in \mathscr{B}$  are given with  $||Ex - m'|| \le \varepsilon$ . It follows that  $\varepsilon^p \ge ||EE_0 x - E_0 m'||^p + ||(I - E_0)Ex - (I - E_0)m'||^p$ . By (1), we have  $||Em_0 - E_0(m'/||E_0 x||)|| \le 4 ||E(E_0 x / E_0 x - E_0 m'||$ . It follows that

It follows that

$$egin{aligned} ||Em-m'||^p &= ||E_0Em-E_0m'||^p + ||(I-E_0)Em-(I-E_0)m'||^p \ &= ||E(||E_0x||m_0)-E_0m'||^p + ||(I-E_0)Ex-(I-E_0)m'||^p \ &\leq 4^p \, ||EE_0x-E_0m'||^p + ||(I-E_0)(Ex-m)||^p \ &\leq 4^p [||E_0(Ex-m')||^p + ||(I-E_0)(Ex-m')||^p] \ &\leq 4^p \, ||Ex-m'||^p \leq 4^p arepsilon^p \ . \end{aligned}$$

LEMMA 5.2. Let  $(x_i)_{i \in I}$  be an infinite family of elements in X. There is a family  $(m_j)_{j \in J}$  in M such that  $\operatorname{Cz} I = \operatorname{Cz} J$ ,  $(\lim \bigcup_{i \in I} S(x_i))^- \cap M \subset (\lim \bigcup_{j \in J} S(m_j))^-$ .

**Proof.** Let J be the set of all  $(i_1, \dots, i_r; a_1, \dots, a_r)$  where  $i_1, \dots, i_r$  is a finite subset of I and  $a_1, \dots, a_r$  are rational numbers. It is clear that  $\operatorname{Cz} I = \operatorname{Cz} J$ . For  $j = (i_1, \dots, i_r; a_1, \dots, a_r)$ , let  $m_j$  be that element in M which is associated with  $x = a_1 x_{i_1} + \dots + a_r x_{i_r}$  as in Lemma 5.1 (2).

Let m' be an element of  $(\lim \bigcup_{i \in I} S(x_i))^- \cap M, \varepsilon > 0$ . It is possible, by the definition of  $S(x_i)$ , to find elements  $x_{i_1}, \dots, x_{i_r}$ , operators  $\sum_{\lambda=1}^{n_0} a_{\lambda}^{\circ} E_{\lambda}^{\circ}(\rho = 1, \dots, r)$  such that the  $a_{\lambda}^{\circ}$  are rational and  $||\sum_{r=1}^{r} \sum_{\lambda=1}^{n_0} a_{\lambda}^{\circ} E_{\lambda}^{\circ} x_{i_{\rho}} - m'|| \leq \varepsilon$ . An easy computation shows that we may write  $\sum_{r=1}^{r} \sum_{\lambda=1}^{n_0} a_{\lambda}^{\circ} E_{\lambda}^{\circ} x_{i_{\rho}}$  as  $\sum_{\lambda=1}^{l} \hat{E}_{\lambda}(b_{1}^{1}x_{i_{1}} + \dots + b_{r}^{1}x_{i_{r}})$  whereby the family  $(\hat{E}_{\lambda})_{\lambda=1,\dots,l}$  is a disjoint partition of I and the  $b_{\rho}^{\lambda}$  are rational numbers. With  $j_{\lambda}:=(i_{1},\dots,i_{r};b_{\lambda}^{1},\dots,b_{r}^{1}), m_{\lambda}:=m_{j_{\lambda}}, \varepsilon_{\lambda}:=$  $||\hat{E}_{\lambda}(b_{1}^{1}x_{i_{1}} + \dots + b_{r}^{1}x_{i_{r}}) - \hat{E}_{\lambda}m'||$  it follows that  $||\hat{E}_{\lambda}m_{\lambda} - \hat{E}_{\lambda}m'|| \leq 4\varepsilon$  and therefore that  $||\sum_{\lambda=1}^{l} \hat{E}_{\lambda}m_{\lambda} - m'||^{p} = \sum_{\lambda=1}^{l} ||\hat{E}_{\lambda}m_{\lambda} - \hat{E}_{\lambda}m'||^{p} \leq 4^{p} \sum_{\lambda=1}^{l} \varepsilon_{\lambda}^{p} = 4^{p} \sum_{\lambda=1}^{l} \hat{E}_{\lambda}(b_{1}^{1}x_{i_{1}} + \dots + b_{r}^{2}x_{i_{r}}) - m'||^{p} \leq 4^{p}\varepsilon^{p}$ . Thus we have  $m' \in (\lim \bigcup_{j \in J} S(m_{j}))^{-}$ .

THEOREM 5.3.  $\widetilde{m}(E|_{M}) \leq m(E)$  for all  $E \in \mathscr{B}$ .

Proof. By the usual techniques we can restrict ourselves to the

case when E = I and  $\Omega$  satisfies the CCC. But then 5.3 is a consequence of 4.2 and 5.2 in the case of m(E) finite and infinite, respectively.

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