Pacific Journal of Mathematics

THE STRONG APPROXIMATION THEOREM AND LOCALLY BOUNDED TOPOLOGIES ON F(X)

JO-ANN DEBORAH COHEN

Vol. 87, No. 1

January 1980

THE STRONG APPROXIMATION THEOREM AND LOCALLY BOUNDED TOPOLOGIES ON F(X)

JO-ANN COHEN

To within equivalence, the only valuations on the field F(X) of rational functions over F that are improper on F are the valuations v_p , where p is a prime polynomial of F[X], and the valuation v_∞ , defined by the prime polynomial X^{-1} of $F[X^{-1}]$. It is classic that if F is a finite field, the set \mathscr{P}' defined by, $\mathscr{P}'=\{p:p \text{ is a prime polynomial over } F\} \cup \{\infty\}$, has the Strong Approximation Property, that is, for any finite subset G of \mathscr{P}' , any $q \in \mathscr{P}' \setminus G$, any family $(a_g)_{g \in G}$ of elements of F(X) indexed by G, and any M > 0, there exists a nonzero element h in F(X) such that $v_p(h-a_p) > M$ for all p in G and $v_p(h) \ge 0$ for all p in $\mathscr{P}' \setminus (G \cup \{q\})$. We shall first prove that \mathscr{P}' satisfies this condition when F is infinite as well. We then apply this result to obtain a characterization of all locally bounded topologies on F(X) for which the subfield F is bounded.

1. The strong approximation theorem. Here, \mathscr{P} is the set of prime polynomials in F[X] and \mathscr{P}' is the set $\mathscr{P} \cup \{\infty\}$.

THEOREM 1 (The strong approximation theorem). For any finite subset G of \mathscr{P}' , any $q \in \mathscr{P}' \backslash G$, any family $(a_g)_{g \in G}$ of elements of F(X) indexed by G, and any positive number M, there exists a nonzero h in F(X) such that $v_p(h-a_p) \ge M$ for all $p \in G$ and $v_d(h) \ge 0$ for all $d \in \mathscr{P}' \backslash (G \cup \{q\})$.

Proof. Let $S = \mathscr{P}' \setminus \{q\}$. By [5, Theorem 2.2, p. 322], it suffices to show that for distinct elements r and s in S and M > 0, there exists an h in F(X) such that $v_r(h-1) > M$, $v_s(h) > M$ and $v_d(h) \ge 0$ for all $d \in S \setminus \{r, s\}$.

Case 1. $\infty \notin S$. Then r and s are distinct prime polynomials and so there exist polynomials f and g in F[X] such that $f r^{M+1} + gs^{M+1} = 1$. Define h by, $h = gs^{M+1}$. Then $h - 1 = -fr^{M+1}$ and so $v_r(h-1) \ge M+1 > M$. Furthermore, $v_s(h) \ge M+1 > M$. As h is a polynomial in F[X], $v_d(h) \ge 0$ for all $d \in \mathscr{P}$ and so in particular $v_d(h) \ge 0$ for all $d \in S \setminus \{r, s\}$.

Case 2. $r = \infty$. Then s and q are distinct prime polynomials in F[X]. As v_{∞} and v_s are independent valuations on F(X), there exist polynomials f and g such that $v_{\infty}(f/g-1) > M$ and $v_s(f/g) > M$ [1, Theorem 1, p. 134]. Choose a positive integer t such that $t \deg q > (M+1) \deg s + \deg f + M$. By the division algorithm, there exist polynomials w and z in F[X] such that $q^t = ws^{M+1}g + z$ where $\deg z < (M+1) \deg s + \deg g$. So $fq^t = fws^{M+1}g + fz$ and hence $f/g = fws^{M+1}/q^t + fz/q^tg$. Let h be defined by $h = fws^{M+1}/q^t$. Then $v_s(h) \ge M + 1 > M$ and for all prime polynomials p which are distinct from q, $v_p(h) \ge 0$. So it suffices to show that $v_{\infty}(h-1) > M$.

Observe that $v_{\infty}(f/g - h) = v_{\infty}(fz/gq^t) = \deg g + t \deg q - \deg f - \deg z > \deg g + (M+1) \deg s + \deg f + M - \deg f - (M+1) \deg s - \deg g = M$. Therefore $v_{\infty}(h-1) = v_{\infty}(h-f/g+f/g-1) \ge \min \{v_{\infty}(h-f/g), v_{\infty}(f/g - 1)\} > M$.

Case 3. $s = \infty$. Then r and q are distinct prime polynomials. Let f be a polynomial such that $v_r(f-1) > M$. Choose a positive integer t such that $t \deg q > (M+1) \deg r + M$. By the division algorithm, there exist polynomials w and z in F[X] such that $q^t f = wr^{M+1} + z$ where $\deg z < (M+1) \deg r$. Then $f = wr^{M+1}/q^t + z/q^t$. Let h be defined by $h = z/q^t$. Then $v_r(f-h) = v_r(wr^{M+1}/q^t) \ge M + 1 > M$ and so $v_r(h-1) = v_r(h-f+f-1) \ge \min \{v_r(h-f), v_r(f-1)\} > M$. Furthermore,

 $v_{\infty}(h) = t \deg q - \deg z > (M+1) \deg r + M - (M+1) \deg r = M$. Finally for $d \in \mathscr{P} \setminus \{q\}, v_d(h) \ge 0$.

Case 4. $\infty \in S \setminus \{r, s\}$. Then r, s and q are distinct prime polynomials in F[X]. By Case 1, there exists a polynomial f in F[X] such that $v_r(f-1) > M$ and $v_s(f) > M$. Choose t so large such that $t \deg q > (M+1)(\deg r + \deg s)$ and let w and z be polynomials in F[X] such that $fq^t = wr^{M+1}s^{M+1} + z$ where $\deg z < (M+1)(\deg r + \deg s)$. Then $f = wr^{M+1}s^{M+1}/q^t + z/q^t$. Define h by $h = z/q^t$. Then $v_r(f-h) = v_r(wr^{M+1}s^{M+1}/q^t) \ge M+1 > M$ and similarly $v_s(f-h) > M$. Hence $v_r(h-1) > M$ and $v_s(h) > M$. Furthermore for all polynomials p in $\mathscr{P} \setminus \{q\}, v_p(h) \ge 0$. So it suffices to show that $v_{\infty}(h) \ge 0$. As $v_{\infty}(h) = t \deg q - \deg z > (M+1)(\deg r + \deg s) - (M+1)(\deg r + \deg s) = 0$, $v_{\infty}(h) \ge 0$.

2. Locally bounded topologies on F(X). Let R be a ring and let \mathscr{T} be a ring topology on R (that is, \mathscr{T} is a topology on R making $(x, y) \to x - y$ and $(x, y) \to xy$ continuous from $R \times R$ to R). A subset S of R is bounded for \mathscr{T} if given any neighborhood V of 0, there exists a neighborhood U of 0 such that $SU \subseteq V$ and $US \subseteq V$. \mathscr{T} is a locally bounded topology on R if there is a bounded neighborhood of 0 for \mathscr{T} . A norm $|| \cdot \cdot ||$ on a field K is a function from K to the nonnegative reals satisfying ||x|| = 0 if and only if x = 0, $||x - y|| \le ||x|| + ||y||$ and $||xy|| \le ||x|| ||y||$ for all x, y in K. Observe that a subset of K is bounded in norm if and only if it is bounded for the topology defined by the norm; in particular the topology defined by a norm is a locally bounded topology.

A subset I of a field K is an almost order of K if (1) 0, $1 \in I$, (2) $-I \subseteq I$, (3) $II \subseteq I$, (4) there exists a nonzero element h in I such that $h(I + I) \subseteq I$, and (5) for each $x \in K^*$, there exist y, z in I^* such that $x = yz^{-1}$.

LEMMA 1 [6, Theorems 5 and 6]. If \mathscr{T} is a nondiscrete, locally bounded ring topology on a field K, then there is an almost order I of K that is a bounded neighborhood of zero. Conversely, if I is an almost order of K, then there exists a unique nondiscrete, locally bounded ring topology \mathscr{T} on K for which I is a bounded neighborhood of zero. Furthermore, the topology \mathscr{T} defined by I is Hausdorff if and only if $I \neq K$.

In [7] we investigated locally bounded topologies on the quotient fields of certain Dedekind domains. We recall the results of that paper.

Let K be the quotient field of a Dedekind domain R that is not a field, \mathscr{P} the set of nonzero prime ideals of R and \mathscr{P}_{∞} a set $\{|\cdot\cdot|_{i}, \cdots, |\cdot\cdot|_{n}\}$ of n mutually inequivalent proper absolute values on K such that for each $i \in [1, n]$ and each $p \in \mathscr{P}$, the topology \mathscr{T}_{i} defined by $|\cdot\cdot|_{i}$ is distinct from the topology \mathscr{T}_{p} defined by the valuation v_{p} . Let \mathscr{P}' be defined by $\mathscr{P}' = \mathscr{P} \cup \mathscr{P}_{\infty}$. For each subset S of \mathscr{P}' , we define O(S) by $O(S) = \{x \in K: v_{p}(x) \ge 0 \text{ for all } p \in S \cap \mathscr{P}$ and $|x|_{i} \le 1$ for all $|\cdot\cdot|_{i} \in S \cap \mathscr{P}_{\infty}\}$.

We placed the following conditions on K, R and \mathscr{P}' :

I. Class Number Condition (CC). The class number of K over R is finite.

II. Approximation Condition (AC). For any finite subset G of \mathscr{P}' , any $\gamma \in \mathscr{P}' \backslash G$, any family $(a_g)_{g \in G}$ of elements of K indexed by G, and any positive numbers M and e, there exists a nonzero element h in K such that $v_p(h - a_p) \ge M$ for all $p \in G \cap \mathscr{P}$, $|h - a_{1 \cdots 1_k}|_2 \le e$ for all $| \cdots |_2 \in G \cap \mathscr{P}_{\infty}$ and $h \in O(\mathscr{P}' \backslash (G \cup \{\gamma\}))$.

III. Discreteness Condition (DC). The only ring topology on K for which $O(\mathscr{P}')$ is a neighborhood of zero is the discrete topology.

IV. Euclidean Condition (EC). There exist positive numbers β_1, \dots, β_n such that if $a, b \in R$ with $b \neq 0$, then there exist q, r in R satisfying a = bq + r, $|r|_i \leq |b|_i\beta_i$ for all i in [1, n].

LEMMA 2 [7, Lemma 2]. If S is a nonempty, proper subset of \mathscr{P}' , then O(S) is an almost order for a unique, Hausdorff, nondiscrete, locally bounded ring topology \mathscr{T}_S on K.

LEMMA 3 [7, Theorem 3, Statement 3]. Let \mathscr{T} be a Hausdorff, nondiscrete, locally bounded ring topology on K with the following property.

V. Boundedness Condition (BC). For any M > 0, the set $O(\mathscr{S}) \cap \{y \in K: |y|_i \leq M \text{ for all } |\cdot\cdot|_i \in \mathscr{P}_{\infty}\}$ is a bounded set for \mathscr{T} .

If \mathscr{P}_{∞} has exactly one element, then $\mathscr{T} = \mathscr{T}_s$ for some nonempty, proper subset S of \mathscr{P}' .

THEOREM 2. Let F be a field and X an indeterminate over F. Let \mathscr{P} be the set of all prime polynomials over F, v_{∞} the valuation on F(X) defined by $v_{\infty}(f/g) = \deg g - \deg f$ and let $\mathscr{P}_{\infty} = \{|\cdot\cdot|_{\infty}\}$ where $|y|_{\infty} = 2^{-v_{\infty}(y)}$ for all y in F(X). Then F(X), F[X] and $\mathscr{P}' = \mathscr{P} \cup \mathscr{P}_{\infty}$ satisfy (CC), (AC), (DC) and (EC). Moreover, if \mathscr{T} is a Hausdorff, nondiscrete, locally bounded ring topology on F(X) for which the subfield F is bounded, then \mathscr{T} satisfies (BC) and hence $\mathscr{T} = \mathscr{T}_s$ for some nonempty, proper subset S of \mathscr{P}' .

Proof. As F[X] is a principal ideal domain, (CC) holds. By Theorem 1, (AC) holds. Furthermore, (DC) holds. Indeed, as $O(\mathscr{P}') = F$, if \mathscr{T} is a ring topology on F(X) for which $O(\mathscr{P}')$ is a neighborhood of zero, then the set $F \cap FX = \{0\}$ is a neighborhood of zero for \mathscr{T} . Thus \mathscr{T} is discrete. By the division algorithm, (EC) holds with $\beta_1 = 1$. So it suffices to prove that (BC) holds when \mathscr{T} is a locally bounded topology on F(X) for which the subfield Fis bounded.

Notice that for M > 0, $O(\mathscr{P}) \cap \{y \in F(X) : |y|_{\infty} \leq M\} = \{y \in F[X]: \deg y \leq N\}$ where N = lnM/ln2. Consequently, if \mathscr{T} is a locally bounded topology on F(X) for which the subfield F is bounded, then \mathscr{T} satisfies (BC) and therefore by Lemma 3, $\mathscr{T} = \mathscr{T}_s$ for some nonempty, proper subset S of \mathscr{P}' .

COROLLARY [7, Corollary 4]. If F is a finite field and \mathcal{T} is a Hausdorff, nondiscrete, locally bounded topology on F(X), then there exists a nonempty, proper subset S of \mathscr{T}' such that $\mathcal{T} = \mathcal{T}_s$.

The following theorem is a generalization of Theorem 2 of [3].

THEOREM 3. Let \mathscr{T} be a Hausdorff, nondiscrete, locally bounded ring topology on F(X) for which the subfield F is bounded. The following statements are equivalent.

 1° T is a field topology.

 2° \mathscr{T} is the supremum of finitely many valuation topologies \mathscr{T}_{p} where $p \in \mathscr{P}'$.

 3° There exists a nonzero element a in F(X) such that $\lim_{n\to\infty} a^n = 0.$

 4° T is defined by a norm.

Proof. Let S be a nonempty, proper subset of \mathscr{P}' such that $\mathscr{T} = \mathscr{T}_s$.

To show that 1° implies 2°, it suffices to show that S is finite. As \mathscr{T} is a field topology and O(S) + 1 is a neighborhood of 1 in \mathscr{T} , there exists a y in $O(S) \setminus \{0\}$ such that $(yO(S) + 1)^{-1} \subseteq O(S) + 1$. If S is infinite, pick $p \in S \cap \mathscr{P}$ such that $v_p(y) = 0$. By Theorem 1, there exists a z in F(X) such that $v_p(z + y^{-1}) > 0$ and $z \in O(S \setminus \{p\})$. Then $v_p(z) = v_p(z + y^{-1} - y^{-1}) \ge \min \{v_p(z + y^{-1}), v_p(y^{-1})\} \ge 0$ and so $z \in O(S)$. Hence $yz + 1 \in yO(S) + 1$ and $v_p(yz + 1) = v_p(y(z + y^{-1})) =$ $v_p(y) + v_p(y + z^{-1}) > 0$. Therefore, $v_p((yz + 1)^{-1}) < 0$. But $(yz + 1)^{-1} \in$ O(S) + 1 and $v_p(w) \ge 0$ for all $w \in O(S) + 1$. Contradiction! Therefore S is finite.

To prove that 2° implies 3° , we note that if S is any nonempty, finite subset of \mathscr{P}' and a is any nonzero element of F(X) such that $|a|_{\infty} < 1$ when $|\cdot \cdot|_{\infty} \in S$ and $v_p(a) > 0$ for all p in $S \cap \mathscr{P}$, then $\lim_{n\to\infty} a^n = 0$ in \mathscr{T}_S . The existence of such an element is guaranteed by Theorem 1. The statement 3° implies 4° is a special case of Cohn's theorem [4, Theorem 6.1]. Finally the proof that 4° implies 1° is the same as that for normed algebras found on p. 77 of [2].

References

Received May 15, 1978.

NORTH CAROLINA STATE UNIVERSITY RALEIGH, NC 27650

^{1.} N. Bourbaki, Algèbre Commutative, Ch. 5-6, Hermann, Paris, 1964.

^{2.} ____, Topologie Générale, Ch. 9, Paris, Hermann, 1958.

J. Cohen, Locally bounded topologies on F(X), Pacific J. Math., 70 (1977), 125-132.
P. M. Cohn, An invariant characterization of pseudo-valuations on a field, Proc. Cambridge Phil. Soc., 50 (1954), 159-177.

^{5.} J. Goldhaber and G. Ehrlich, Algebra, The MacMillan Company, London, 1970.

^{6.} Hans-Joachim Kowalsky and Hansjürgen Dürbaum, Arithmetische Kennzeichung von Korpertopologien, J. Reine Angew. Math., (1953), 135-152.

^{7.} E. Nichols and J. Cohen, Locally bounded topologies on global fields, Duke Math. J., 44 (1977), 853-862.

^{8.} O. T. O'Meara, Introduction to Quadratic Forms, Springer-Verlag, Berlin, 1963.

PACIFIC JOURNAL OF MATHEMATICS

EDITORS

DONALD BABBITT (Managing Editor) University of Galifornia Los Angeles, California 90024

HUGO ROSSI University of Utah Salt Lake City, UT 84112 J. Dugundji

Department of Mathematics University of Southern California Los Angeles, California 90007

R. FINN AND J. MILGRAM Stanford University Stanford, California 94305

C. C. MOORE AND ANDREW OGG

University of California Berkeley, CA 94720

ASSOCIATE EDITORS

E. F. BECKENBACH

B. H. NEUMANN F. WOLF

K. YOSHIDA

SUPPORTING INSTITUTIONS

UNIVERSITY OF BRITISH COLUMBIA CALIFORNIA INSTITUTE OF TECHNOLOGY UNIVERSITY OF CALIFORNIA MONTANA STATE UNIVERSITY UNIVERSITY OF NEVADA, RENO NEW MEXICO STATE UNIVERSITY OREGON STATE UNIVERSITY UNIVERSITY OF OREGON UNIVERSITY OF SOUTHERN CALIFONIA STANFORD UNIVERSITY UNIVERSITY OF HAWAII UNIVERSITY OF TOKYO UNIVERSITY OF UTAH WASHINGTON STATE UNIVERSITY UNIVERSITY OF WASHINGTON

Printed in Japan by International Academic Printing Co., Ltd., Tokyo, Japan

Pacific Journal of MathematicsVol. 87, No. 1January, 1980

Spiros Argyros, <i>A decomposition of complete Boolean algebras</i>	1
Gerald A. Beer, <i>The approximation of upper semicontinuous multifunctions</i> <i>by step multifunctions</i>	11
Ehrhard Behrends and Richard Evans, <i>Multiplicity theory for Boolean</i>	
algebras of L^p -projections	21
Man-Duen Choi, The full C*-algebra of the free group on two	
generators	41
Jen-Chung Chuan, Axioms for closed left ideals in a C*-algebra	49
Jo-Ann Deborah Cohen, <i>The strong approximation theorem and locally</i>	
bounded topologies on $F(X)$	59
Eugene Harrison Gover and Mark Bernard Ramras, <i>Increasing sequences of</i>	
Betti numbers	65
Morton Edward Harris, Finite groups having an involution centralizer with	
a 2-component of type PSL(3, 3)	69
Valéria Botelho de Magalhães Iório, <i>Hopf C*-algebras and locally compact</i>	
groups	75
Roy Andrew Johnson, Nearly Borel sets and product measures	97
Lowell Edwin Jones, <i>Construction of Z_p-actions on manifolds</i>	111
Manuel Lerman and Robert Irving Soare, <i>d-simple sets, small sets, and</i>	
degree classes	135
Philip W. McCartney, <i>Neighborly bushes and the Radon-Nikodým property</i>	
for Banach spaces	157
Robert Colman McOwen, Fredholm theory of partial differential equations	1.50
on complete Riemannian manifolds	169
Ernest A. Michael and Carl Preston Pixley, <i>A unified theorem on continuous</i>	107
selections	187
Ernest A. Michael, <i>Continuous selections and finite-dimensional sets</i>	189
Vassili Nestoridis, Inner functions: noninvariant connected	100
components	199
Bun Wong, A maximum principle on Clifford torus and nonexistence of	011
proper holomorphic map from the ball to polydisc	211
Steve Wright, <i>Similarity orbits of approximately finite C</i> *-algebras	223
Kenjiro Yanagi, On some fixed point theorems for multivalued	222
mappings	233
Wieslaw Zelazko, A characterization of LC-nonremovable ideals in	2.41
commutative Banach algebras	241