

Pacific Journal of Mathematics

EQUIDISCONTINUITY OF BORSUK-ULAM FUNCTIONS

LESTER ELI DUBINS AND GIDEON SCHWARZ

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No idempotent function on the unit disc onto its boundary is continuous. The stronger fact that no such function has a modulus of discontinuity smaller than $\sqrt{3}$ is a prototype of the contents of this paper.

A principal purpose of this paper is to report this fact:

THEOREM 1. *Let g be a function on a closed ball B^n in Euclidean n -space into the boundary S^{n-1} of B^n such that g maps each pair of antipodal points of S^{n-1} onto a pair of antipodal points. Then the modulus of discontinuity of g is at least d_n , the diameter of a regular n -simplex inscribed in S^{n-1} . Moreover, there is a g whose modulus attains the bound d_n .*

The modulus (of discontinuity) $\delta(g)$ of a function g from a topological space into a metric space is the infimum of all numbers d such that every point in the domain of g has a neighborhood whose image has a diameter of at most d .

Plainly, Theorem 1 strengthens a well-known result conjectured by Ulam and proved by Borsuk (1933). Rather than provide an independent proof, we find it considerably simpler to use Borsuk's result as a principal stepping stone to Theorem 1. However, self-contained constructive demonstrations are provided first for special cases of Theorem 1, including the classical one in which only idempotent functions g are treated (Corollaries 1 and 2 of Proposition 1). The conclusion that idempotent g 's have a uniform modulus of discontinuity which depends only on the metrization of the boundary is extended to triangulable manifolds with boundary (Corollary 4) and somewhat more generally to g 's that are not quite idempotent (Corollary 5).

Some standard terms and facts facilitate the formulation of Proposition 1, our principal constructive tool.

Though actually a triangulation of a space X consists of a simplicial complex K and a homeomorphism t of the polyhedron $|K|$ onto X , in this paper t is suppressed, and $|K|$ and X are identified.

A function g that maps the vertices of a triangulation K of a polyhedron $|K|$ into a Euclidean space determines a continuous mapping ξ of $|K|$ which is linear on each simplex of K , and coincides with g on the vertices. If g assumes its values in the unit sphere S^{n-1} and ξ is never zero, then φ , the *spherolinear extension* of g ,

is defined for $q \in |K|$ by letting $\varphi(q)$ be the (unique) point where the ray from the origin through $\xi(q)$ intersects S^{n-1} . Of course, if q is represented as a barycenter of vertices q_i of a simplex in K , say $q = \sum a_i q_i$, then $\xi(q) = \sum a_i g(q_i)$ and $\varphi(q) = \xi(q)/\|\xi(q)\|$. When it is necessary to indicate the dependence of ξ and φ on g , K and q , the notation $\xi(g, K)$ and $\varphi(g, K)$, or $\xi(g, K, q)$ and $\varphi(g, K, q)$ is used.

PROPOSITION 1. *Let (K^n, K_s^{n-1}) be a triangulation of a manifold M^n with boundary N^{n-1} and g a function defined on the vertices of K^n into S^{n-1} . Then for some simplex $\sigma^n = (q_0, \dots, q_n)$ in K^n , the convex hull of $g(q_0), \dots, g(q_n)$ contains the origin if $\xi(g, K_s^{n-1})$ vanishes somewhere on N^{n-1} , or else if one of these two conditions holds.*

- (1) *The modulo-2 degree of $\varphi(g, K_s^{n-1})$ is not zero.*
- (2) *M^n is orientable and the degree of $\varphi(g, K_s^{n-1})$ is not zero.*

Proof. If ξ vanishes at $q \in \sigma^n \in K^n$, then σ^n fulfills the conclusion of the theorem. If ξ vanishes nowhere on N^{n-1} , consider first case (1). For $\sigma^{n-1} \in K^n$, let $F(\sigma^{n-1})$ be the image of σ^{n-1} under φ . Defining the (modulo 2-) sum of a finite collection of subsets of S^{n-1} to be the closure of the set of points that belong to oddly many of the subsets of the collection, let $F'(\sigma^n)$ be defined for each σ^n in K^n as the sum of the sets $F(\sigma^{n-1})$ as σ^{n-1} ranges over the faces of σ^n . The sum of F' over all σ^n in K^n is clearly equal to the sum of F over all σ^{n-1} in K_s^{n-1} . Since the degree of $\varphi(g, K_s^{n-1})$ is odd, the latter sum is all of S^{n-1} . This implies that there is a σ^n in K^n with the asserted property, as becomes evident by the following observation. If an n -simplex in E^n excludes the origin, its boundary is intersected in precisely two points by any ray from the origin that intersects it but none of its $(n-2)$ -faces; so, if $\sigma^n = (q_0, \dots, q_n) \in K^n$, and the convex hull of $g(q_0), \dots, g(q_n)$ does not contain the origin, $F'(\sigma^n)$ is a set of dimension $n-2$ or less. For the remaining case in which ξ vanishes nowhere on N^{n-1} and condition (2) holds, replace the set-valued F by the real-valued cochain F^* where $F^*(\sigma^{n-1})$ is the signed $(n-1)$ -volume of $F(\sigma^{n-1})$, note that the sum of the new F' over all σ^n in K^n is a nonzero multiple of the volume of S^{n-1} , and verify that $F'(\sigma^n) = 0$ if the convex hull of $g(q_0), \dots, g(q_n)$ does not contain the origin. \square

REMARK. If M^n is not orientable, condition (1) cannot be replaced by the weaker condition that the degree be not zero even when N^{n-1} is orientable. For example, for a Möbius strip realized in the complex plane as the annulus $1 \leq |Z| \leq 2$ with Z and $-Z$

identified when $|Z| = 2$, let $g(Z) = Z/\bar{Z}$. As is easily verified, g is a continuous mapping of the strip onto its boundary S^1 , and its restriction to S^1 is of degree 2. For fine-meshed triangulations (K^2, K_s^1) of the strip, the degree of $\varphi(g, K_s^1)$ is also 2, yet, by continuity of g , $g(q_0)$, $g(q_1)$ and $g(q_2)$ are too close together to fulfill the conclusion of Proposition 1.

In Proposition 1 and its proof, the unit sphere in any Minkowski-space can be substituted for S^{n-1} . In Lemma 1, however, which provides the link with the metric character of the corollaries below, it is essential that E^n be Euclidean.

LEMMA 1. *Every subset of the unit sphere in E^n whose convex hull contains the origin has a diameter of at least d_n , where $d_n = (2 + 2n^{-1})^{1/2}$ is the diameter of a regular n -simplex inscribed in the sphere.*

Proof. As is well-known, the subset must contain $n + 1$ points v_0, \dots, v_n , not necessarily distinct, whose convex hull contains the origin. Let a_0, \dots, a_n be nonnegative numbers, not all zero, such that $\sum a_i v_i = 0$. Denote inner products by $\langle \cdot, \cdot \rangle$, and obtain the equality

$$0 = \|\sum a_i v_i\|^2 = \sum_{i \neq j} a_i a_j \langle v_i, v_j \rangle + \sum a_i^2$$

which, together with the inequality

$$0 \leq \sum_{i \neq j} (a_i - a_j)^2 = 2n \sum a_i^2 - 2 \sum_{i \neq j} a_i a_j$$

implies

$$\sum_{i \neq j} a_i a_j (\langle v_i, v_j \rangle + n^{-1}) \leq 0.$$

Therefore, for some $i \neq j$,

$$\langle v_i, v_j \rangle \leq -n^{-1}.$$

For these i, j ,

$$\|v_i - v_j\|^2 \geq 2 + 2n^{-1} = d_n^2.$$

□

COROLLARY 1. *Let L^n be any manifold whose boundary is S^{n-1} . The moduli of all functions of L^n to its boundary, which leave each point on the boundary fixed, are no less than d_n .*

Proof. As is not difficult to verify, there are arbitrarily fine-meshed triangulations K^n of L^n such that the corresponding spherolinear extension of the identity mapping on the boundary vertices

of K^n is simply the identity mapping on the boundary of L^n . Proposition 1 and Lemma 1 now apply. \square

COROLLARY 2. *Let f be a mapping of S^2 into S^1 . If f maps every pair of antipodal points of S^2 onto a pair of antipodes of S^1 , then its modulus $\delta(f)$ is at least $\sqrt{3}$.*

Proof. Embed the range-space S^1 of f as a great circle on S^2 , and let M^2 be one of the closed hemispheres bounded by S^1 . If K^2 is a triangulation of M^2 whose induced triangulation K_s^1 of S^1 is symmetric around the origin, Proposition 1 will apply to the restriction g of f to M^2 , once it is shown that $\hat{g} = \varphi(g, K_s^1)$ is of odd degree. Since \hat{g} is a continuous mapping of S^1 into itself, its degree is the winding number ω of the point $\hat{g}(t)$ as t goes once around S^1 . To evaluate ω , fix some $t_0 \in S^1$, and let $\alpha(t)$, for $t \in S^1$, be the angle accumulated by $\hat{g}(s)$ as s varies continuously from t_0 to t . Since g , and hence \hat{g} , preserve antipodality, $\hat{g}(-t_0) = \hat{g}(t_0)$, and therefore $\alpha(-t_0)$ is an odd multiple of π , say πr . Using the antipodality once again, the total change in $\alpha(t)$ is twice as much, that is, $2\pi r$, when t goes once around the circle. Hence $\omega = r$ is odd, and by Proposition 1, and Lemma 1 with $n = 2$, $\delta(g) \geq d_2 = \sqrt{3}$. Since g is a restriction of f , $\delta(f)$ is not less. \square

Plainly, Corollaries 1 and 2 are special cases of Theorem 1. A tool for inferring the lower boundedness of the moduli for the family of functions treated in Theorem 1 from the discontinuity of its members is provided by the following proposition, which possibly has applications elsewhere.

PROPOSITION 2. *Let \mathcal{R} be a set of functions defined on a subpolyhedron N of a polyhedron M into the Euclidean sphere S^{n-1} that satisfies these two conditions:*

(1) *For each $f \in \mathcal{R}$ and $\varepsilon > 0$ there is a triangulation (K, K') of (M, N) with mesh less than ε such that either $\xi(f, K', q) = 0$ for some $q \in N$ or $\varphi(f, K') \in \mathcal{R}$;*

(2) *No extension g of any $f \in \mathcal{R}$ to M is continuous. Then every extension of each $f \in \mathcal{R}$ to M has a modulus no less than the diameter of a regular n -simplex inscribed in S^{n-1} .*

Proof. Let g be an extension of an $f \in \mathcal{R}$ to M and, for $\varepsilon > 0$, let (K, K') be a triangulation of (M, N) as in (1). If $\xi(g, K)$ were never zero on M , $\varphi(g, K)$ would be a continuous extension of $\varphi(f, K')$ to M . But by (1), $\varphi(f, K') \in \mathcal{R}$, and, hence by (2), it has no continuous extension to M . Consequently, $\xi(g, K, q) = 0$ for some

$q \in M$. If $\sum_0^n a_i q_i$ is the barycentric representation of q , then the convex hull of $g(q_0), \dots, g(q_m)$ contains the origin. Now Lemma 1 applies. \square

Proof of the inequality in Theorem 1. Let $M = B^n$ be identified with a closed hemisphere of S^n , and let N be its boundary S^{n-1} . For \mathcal{R} the set of all antipodality-preserving functions on S^{n-1} into itself, condition (1) of Proposition 2 holds for any ε -meshed triangulations that are invariant under the map $q \rightarrow -q$ on S^{n-1} . Clearly, every extension g of every $f \in \mathcal{R}$ to all of B^n has in turn a unique antipodality-preserving extension G to the entire S^n . If g were continuous, G would be too. But, by Satz II of Borsuk (1933), there is no such G . Consequently, condition (2) holds as well, and Proposition 2 applies. \square

COROLLARY 3. *The modulus of each mapping g of S^n into S^{n-1} that maps every pair of antipodal points of S^n onto antipodal points of S^{n-1} is no less than d_n .*

Proof. Theorem 1 applied to the restriction g' of g to any closed hemisphere of S^n yields $\delta(g) \geq \delta(g') \geq d_n$. \square

Scholium 1. The bounds in Theorem 1 and in Corollaries 1, 2, and 3 are attained.

Proof. For Theorem 1 and Corollary 1, inscribe a regular n -simplex in S^{n-1} , let g map each interior point of L^n to the closest (or one of the closest) of its vertices, and on the boundary, let g be the identity. The modulus of g is easily seen to be d_n . For Corollaries 2 and 3, embed S^n in E^{n+1} as the boundary of the unit ball B^{n+1} . Choose a hyperplane through the origin. It divides S^n into two open hemispheres H_1 and H_2 and intersects B^{n+1} in an n -ball B^n . Inscribe in B^n two mutually antipodal regular n -simplices σ^n and $-\sigma^n$. Let f map each point of H_1 to the vertex of σ^n closest to it, and each point of H_2 to the closest vertex of $-\sigma^n$. On the common boundary S^{n-1} of the two hemispheres let f be the identity. The modulus of f on each of the two closed hemispheres separately is clearly d_n since there, f is just the function g above for $L^n = B^n$, transferred via a homeomorphism of the domains and an isometry of the ranges. For the modulus at a point p on the common boundary of the hemispheres, note that the closest to p among the vertices v_0, \dots, v_n of σ^n , and the closest among their antipodes are never as far as d_n apart: in fact, for any p in S^n , if v_i is closest to p among the former, $\langle v_i, p \rangle > 0$, therefore $\langle -v_i, p \rangle < 0$, and

$-v_i$ is certainly not closest to p among the latter. Hence, some $-v_j$ with $j \neq i$ is closest. Since $\langle v_i, v_j \rangle = -n^{-1}$,

$$\|v_i - (-v_j)\|^2 = 2 + 2\langle v_i, v_j \rangle = 2(n-1)/n < d_n^2.$$

Consequently, the modulus of f on all of S^n is still d_n . \square

The values obtained for the minima of the moduli are, of course, contingent on the metric on the range spaces. The existence of a positive lower bound, however, is a topological fact, valid for any metrization. Since the next two corollaries of Proposition 1 deal with mappings into range spaces where no one metric seems distinguished, it is the topological fact that is asserted there. A simple lemma about the behavior of moduli under composition is used in its proof.

LEMMA 2. *Let h be a uniformly continuous mapping from a metric space Y to a metric space Z . For every $d > 0$ there is a $t > 0$, such that for any function g on any topological space X into Y , $\delta(h \circ f) \geq d$ implies $\delta(f) \geq t$.*

Proof. Choose $t > 0$ so that $\rho_Y(y_1, y_2) < t$ implies $\rho_Z[h(y_1), h(y_2)] < d$. Then any set in X whose image under $h \circ f$ has a diameter at least d , has an image under g whose diameter is no less than t . \square

COROLLARY 4. *Let M^n be a triangulable manifold with boundary N^{n-1} . For any metrization of N^{n-1} , there is a $t > 0$ such that the modulus $\delta(f)$ of any function f of M^n onto N^{n-1} that leaves each point of N^{n-1} fixed is at least t .*

Proof. Let V be a subset of N^{n-1} that is homeomorphic to an open $(n-1)$ -ball and hence to $S^{n-1} - \{p\}$, for an arbitrary $p \in S^{n-1}$. Define a continuous mapping h of N^{n-1} onto S^{n-1} that maps V homeomorphically onto $S^{n-1} - \{p\}$, and on the rest of N^{n-1} , let $h = p$. The composition $h \circ f$ is a function on M^n onto S^{n-1} , and its restriction to N^{n-1} is h . Since h covers every point of S^{n-1} , except p , precisely once, the degree of h is 1. For all sufficiently fine-meshed triangulations (K^n, K_s^{n-1}) of (M^n, N^{n-1}) the degree of $\varphi(h, K_s^{n-1})$ is also 1, by the following lemma.

LEMMA 3. *For a continuous mapping h of any polyhedron N^{n-1} into S^{n-1} , there is a $\delta > 0$ such that, for any triangulation K_s^{n-1} of N^{n-1} with $\text{Mesh}(K_s^{n-1}) < \delta$, h and its sphero-linear extension $\varphi(h, K_s^{n-1})$ nowhere take on antipodal values and, consequently, are homotopic.*

Proof. By uniform continuity, there is a $\delta > 0$ such that, for p and q in N^{n-1} , $\rho(p, q) < \delta$ implies $\|h(p) - h(q)\|^2 < 2$ or, equivalently, the inner product of $h(p)$ and $h(q)$ is positive. If $\text{Mesh}(K_s^{n-1}) < \delta$, $p \in \sigma^{n-1} \in K_s^{n-1}$ and $q \in \sigma^{n-1}$, then $\langle h(p), h(q) \rangle > 0$. Since this inequality holds in particular for every pair of vertices p and q of σ^{n-1} , the sphero-linear extension $\varphi(h, K_s^{n-1})$ is well-defined and $\langle \varphi(h, K_s^{n-1}, p), h(q) \rangle > 0$ for all $p \in \sigma^{n-1}$ and any $q \in \sigma^{n-1}$. Since $h(p)$ and $\varphi(h, K_s^{n-1}, p)$ have positive inner products with the same vector $h(q)$, they are not antipodal. Therefore, the origin is not a convex combination of $h(p)$ and $\varphi(h, K_s^{n-1}, p)$. As is now routine to verify, $[th + (1-t)\varphi(h, K_s^{n-1})]/\|[th + (1-t)\varphi(h, K_s^{n-1})]\|$ is a homotopy of h and $\varphi(h, K_s^{n-1})$. \square

To complete the proof of Corollary 4, first note that the composition $h \circ f$ is a g to which Proposition 1 applies, then apply Lemma 1 to obtain $\delta(h \circ f) \geq d_n$, and finally Lemma 2 with $d = d_n$. \square

REMARK. The assumption that f is the identity on N^{n-1} is used in the proof of Corollary 4 only to imply:

(*) The restriction of $h \circ f$ to N^{n-1} covers $S^{n-1} - \{p\}$ precisely once.

But (*) also follows from the weaker assumption that for an open $(n-1)$ -ball $V \subset N^{n-1}$, f is the identity on V and the complement of V is invariant under g . This proves the following generalization of Corollary 4.

COROLLARY 5. *Let M^n be a triangulable manifold with boundary N^{n-1} , ρ a metric for N^{n-1} and V a subset of N^{n-1} that is homeomorphic to an open $(n-1)$ -ball. Then there is a $t > 0$ depending only on V and ρ that satisfies this condition: If f is a function on M^n into N^{n-1} that is the identity on V , and the complement of V is invariant under f , then the modulus of f exceeds t . In particular, no such f is continuous.*

The derivation of the minimum of the moduli of idempotent functions on a manifold onto its boundary can also be extended beyond the case where the boundary is a sphere. This extension is an easy consequence of Corollary 1.

COROLLARY 6. *Let L^n be a manifold whose boundary is S^{n-1} , M an arbitrary manifold (without boundary), and ρ a metric on $S^{n-1} \times M$, such that, for $s_i \in S^{n-1}$ and $m_i \in M$,*

$$\rho[(s_1, m_1), (s_2, m_2)] \geq \rho[(s_1, m_1), (s_2, m_1)] = \|s_1 - s_2\|.$$

Then the minimum of the moduli of all idempotent functions on $L^n \times M$ onto its boundary $S^{n-1} \times M$ is d_n .

For example, if a solid torus is realized in E^3 by rotating a closed unit disc in the plane around a line disjoint from it ($n = 2$, $L^2 = B^2$, $M = S^1$), then each idempotent function on the solid torus onto its boundary has a modulus no less than $\sqrt[3]{3}$, and there is at least one such function whose modulus is $\sqrt[3]{3}$.

At a lecture where this paper was presented, Ed Spanier asked whether Proposition 2 can be applied to extensions to B^4 of the Hopf map $f_H: S^3 \rightarrow S^2$ (see e.g., Dugundji (1966) p. 408), or more generally, to the extensions of a mapping f to a superspace M of its domain N to which it has no continuous extension. An answer to his question is included in the following corollary.

COROLLARY 7. *Let f be a continuous mapping defined on a subpolyhedron N of a polyhedron M into the Euclidean sphere S^{n-1} that has no continuous extension to M . Then the modulus of every function of that extends f to M is at least d_n , and there is an extension that attains the bound.*

Proof. Let \mathcal{R} be the class of all continuous mappings of N into S^{n-1} that are homotopic to f . Condition (1) of Proposition 2 follows by applying Lemma 3 to f ; and condition (2) is a consequence of the homotopy extension property for subpolyhedra (see e.g., Spanier (1966) p. 118). For the attainment of the bound, inscribe a regular n -simplex $[p_0, \dots, p_n]$ in S^{n-1} , extend f to a continuous function h defined on an open neighborhood W of N in M , and define g on M by: on N , let $g = f$; for $x \in W - N$, $g(x)$ is (one of) the p_i closest to $h(x)$; for $x \in M - W$, $g(x) = p_0$. Since the image of the open set $M - N$ under g is a subset of $\{p_0, \dots, p_n\}$, the modulus at any $x \in M - N$ is at most d_n . At any $x \in N$, the modulus is no greater, as can be seen by an argument similar to the conclusion of the proof of Scholium 1. □

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Received February 22, 1980. Research of the first author was supported by National Science Foundation Grant No. MCS-77-01665, and research by the second author was done while holding a visiting appointment at the University of California, Berkeley.

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