

# Pacific Journal of Mathematics

**POLYNOMIAL NEAR-FIELDS?**

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**It is well known that all finite fields can be obtained as homomorphic images of polynomial rings. Hence it is natural to raise the question, which near-fields arise as homomorphic images of polynomial near-rings.**

**It is the purpose of this paper to give the surprising answer: one gets no proper near-fields at all—in dramatic contrast to ring and field theory. Another surprising result is the fact that all near-fields contained in the near-rings of polynomials are actually fields.**

Homomorphic images are essentially factor structures. So we take a commutative ring  $R$  with identity, from the near-ring  $R[x]$  of all polynomials over  $R$  (or the near-ring  $R_0[x]$  of all polynomials without constant term over  $R$ ) and look for ideals  $I$  such that  $R[x]/I$  becomes a near field. With this notation (and containing the one of [1] and [2]) we get our main result:

**THEOREM 1.** *If  $R[x]/I$  (or  $R_0[x]/I$ ) is a near-field then it is isomorphic to  $R/M$  (where  $M$  is a maximal ideal of  $R$ ) and hence a field.*

The proof requires a series of lemmas as well as a number of results on near-fields.

Our first reduction is the one of  $R[x]$  to  $R_0[x]$ .

**LEMMA 1.** *If  $I$  is an ideal of (the near-ring)  $R[x]$  such that  $R[x]/I$  is a near-field, then there exists an ideal  $J$  of  $R_0[x]$  with  $R[x]/I \cong R_0[x]/J$ .*

*Proof.*  $R_0[x] \subseteq I$  implies  $x \in I$ , hence  $R[x] \subseteq I$ , a contradiction. So we have  $R_0[x] \not\subseteq I$  and—since  $I$  must be maximal in order to get a near-field— $R_0[x] + I = R[x]$ . By a version of the isomorphic theorem (which is valid in our case) we get

$$R[x]/I = (R_0[x] + I)/I \cong R_0[x]/(I \cap R_0[x])$$

and  $J := R_0[x] \cap I$  will do the job.

**REMARK 1.** The converse of Lemma 1 does not hold: Take  $J := \{a_2x^2 + a_3x^3 + \cdots + a_nx^n/n \in N, n \geq 2, a_i \in R\}$ . Then  $R_0[x]/J \cong R$  is a (near) field, but the near-ring  $R[x]$  is simple ([2] or [3], 7.89), so there is no  $I \subseteq R[x]$  with  $R[x]/I \cong R$ .

We can therefore reduce our search to get suitable ideals of  $R_0[x]$  which yield near-field factors.

**LEMMA 2.** *Let  $I \leq R_0[x] =: N$ . Then  $R_0[x]/I$  is a near-field iff  $I$  is a maximal  $N$ -subgroup of  $N$ .*

*Proof.*  $\Rightarrow$ : Suppose that  $N/I$  is a near-field. Then  $N/I$  is  $N/I$ -simple by ([3], 8.3). Consider the canonical epimorphism  $h: N \rightarrow N/I$  with kernel  $I$ . If  $M$  is some  $N$ -subgroup strictly between  $I$  and  $N$  then  $h(M)$  turns out to be a proper  $N/I$ -subgroup of  $N/I$ , which is a contradiction. Hence  $I$  is a maximal  $N$ -subgroup of  $N$ .

$\Leftarrow$ : Let  $I$  be a maximal  $N$ -subgroup of  $N$  and take  $h$  as above. If  $M$  is a proper  $N/I$ -subgroup of  $N/I$  then  $h^{-1}(M)$  is an  $N$ -subgroup of  $N$  strictly between  $I$  and  $N$ , which cannot happen. Hence  $N/I$  is  $N/I$ -simple and again by ([3], 8.3) a near-field.

Due to the works of Clay-Doi [2], Brenner [1] and Straus [5] we know quite a bit about maximal ideals of  $R[x]$ . These informations can be used to find all ideals  $I$  of  $R_0[x]$  which are maximal  $R_0[x]$ -subgroups of  $R_0[x]$  and which we call “strictly maximal” ones (from now on).

First we need some

NOTATIONS.

- (i)  $((x^2)) := \{a_2x^2 + \cdots + a_nx^n/n \in N, n \geq 2, a_i \in R\}$ .
- (ii) If  $I \leq R_0[x]$  then  $I_1 := \{a \in R/\text{some } ax + a_2x^2 + \cdots + a_nx^n \in I\}$   
 $I' := \{a \in R/ax \in I\}$ .
- (iii) If  $M \triangleleft R$  then  $Mx := \{mx/m \in M\}$ .

**LEMMA 3.** (i)  $((x^2))$  is an ideal of  $R_0[x]$  with  $R_0[x]/((x^2)) \cong R$ .

(ii)  $I_1$  and  $I'$  are ideals of  $R$  with  $I' \subseteq I_1$ .

*Proof.* Straightforward.

**LEMMA 4.** *Let  $I$  be a strictly maximal ideal of  $R_0[x]$  and  $h: R \rightarrow R/I'$  the canonical epimorphism. We define  $h'$  as follows:  $h': R_0[x] \rightarrow (R/I')_0[x]$*

$$a_nx^n + \cdots + a_1x \longmapsto h(a_n)x^n + \cdots + h(a_1)x.$$

*Then  $J := h'(I)$  is a strictly maximal ideal in  $(R/I')_0[x] = h'(R_0[x])$  and  $J'$  is the zero ideal in  $R/I'$ .*

*Proof.* By ([4], 4.6),  $h'$  is a near-ring epimorphism and we get

$R_0[x]/I \cong h'(R_0[x])/h'(I) = (R/I')_0[x]/J$ . So  $J$  must be strictly maximal in  $(R/I')_0[x]$ , by arguments as in Lemma 2. Observe that  $(I')_0[x] \subseteq I$ .

Now suppose that  $r' \in R/I'$  is in  $J'$ . Then  $r'x \in J = h'(I)$  and there is some  $i \in I$  with  $h'(i) = r'x$ . Let  $i = a_1x + \cdots + a_nx^n$ . Then  $h'(i) = h(a_1)x + \cdots + h(a_n)x^n = r'x$ , whence  $-rx + a_1x + \cdots + a_nx^n \in \text{Ker } h' = (I')_0[x] \subseteq I$  for some  $r \in R$  with  $h(r) = r'$ . Hence  $rx$  must be in  $I$ , so  $r \in I'$  and consequently  $r'$  is the zero element of  $R/I'$ . This shows that  $J'$  is the zero ideal of  $R/I'$ .

By using the second isomorphism theorem, we therefore can confine our attention to strictly maximal ideals  $I$  with  $I' = \{0\}$ . But then the worst cases are behind of us:

**LEMMA 5.** *Let  $I$  be a strictly maximal ideal in  $R_0[x]$  with  $I' = \{0\}$ . Then  $R$  is an integral domain.*

*Proof.* Let  $a, b \in R$ ,  $a \neq 0$ ,  $b \neq 0$  and  $ab = 0$ . Then  $ax \circ bx = abx = 0 \in I$ . If both  $ax \notin I$ ,  $bx \notin I$  then  $(ax + I) \circ (bx + I) = abx + I = I$ ; a contradiction to the fact that a near-field has no divisors of zero. So we get  $ax \in I$  or  $bx \in I$ , whence  $a \in I'$  or  $b \in I'$ , a contradiction.  $R$  is therefore an integral domain.

By ([3], 8.9), the characteristic of a near-field is either 0, a prime  $\neq 2$  or  $= 2$ . We treat these 3 cases separately, and start with:

**LEMMA 6.** *Let  $I$  be a strictly maximal ideal of  $R_0[x]$  with  $I' = \{0\}$  and  $\text{Char } R_0[x]/I = 0$ . Then there exists a maximal ideal  $M$  of  $R$  with  $R_0[x]/I = R/M$ .*

*Proof.* By Lemma 5,  $R$  is an integral domain. It is easy to see that in our case  $\text{Char } R = \text{Char } R_0[x] = \text{Char } R_0[x]/I = 0$ , hence  $R$  is infinite.

*Case 1.*  $((x^2)) \subseteq I$ . Since  $I_1$  cannot be  $= R$  (otherwise  $I = R_0[x]$ ),  $I_1$  is contained in a maximal ideal  $M$  of  $R$ .  $I = ((x^2)) + I_1x \subseteq ((x^2)) + Mx$  which is a proper ideal of  $R_0[x]$ . But  $I$  is a strictly maximal ideal, hence  $I = ((x^2)) + Mx$  and  $R_0[x]/I \cong (\{ax/a \in R/M\}, +, 0) \cong (R/M, +, \cdot)$ .

*Case 2.*  $((x^2)) \not\subseteq I$ . Since  $I$  is a strictly maximal ideal we get  $I + ((x^2)) = R_0[x]$ . Then  $I_1 = R$  and we can select a polynomial  $i = b_nx^n + \cdots + b_1x \in I$  with  $b_1 \neq 0$  and  $n$  minimal for being a polynomial in  $I$  with nonzero coefficient of  $x$ . If  $r \in R$  then  $i \circ (rx) - rx \circ i \in I - I = I$ . But  $i \circ (rx) - rx \circ i = b_{n-1}(r^n - r^{n-1})x^{n-1} + \cdots + b_2(r^n - r^2)x^2 +$

$b_1(r^n - r)x$ . Since  $R$  is an integral domain, hence embeddable into a field, the set of all  $s \in R$  with  $s^n = s$  has cardinality  $\leq n$ . Since  $R$  is infinite, we can take  $r \in R$  so that  $r^n \neq r$ . Then  $i \circ (rx) - rx \circ i$  is a polynomial in  $I$  with nonzero coefficient of  $x$  and a degree  $\leq n - 1$  which is a contradiction. So Case 2 cannot occur.

Hence we have proved our Theorem 1 in the case when  $\text{Char } R_0[x]/I = 0$ . Now we consider the case of characteristic  $p \neq 2$ .

**LEMMA 7.** *Let  $I$  be a strictly maximal ideal of  $R_0[x]$  with  $\text{Char } R_0[x]/I \neq 2$ . Then there exists a maximal ideal  $M$  of  $R$  with  $I = Mx + ((x^2))$ , hence  $R_0[x]/I \cong R/M$ .*

*Proof.* First we show:  $x^2 \in I$ . Since  $x \notin I$ ,  $-x \notin I$ . If  $x^2 \notin I$  we have:  $(x^2 + I) \circ (-x + I) = -((x^2 + I) \circ (x + I)) = -(x^2 + I) = -x^2 + I$  by ([3], 8.10(b)). But  $(x^2 + I) \circ (-x + I) = x^2 \circ (-x) + I = x^2 + I$ . So we have  $2x^2 \in I$ . Since  $(p, 2) = 1$  there are  $a, b \in \mathbb{Z}$  with  $1 = a \cdot p + b \cdot 2$ .  $x^2 = (a \cdot p + b \cdot 2)x^2 = apx^2 + 2bx^2 \in I$  because  $px^2 \in I$  as a result of  $\text{Char } R_0[x]/I = p$ . This is contradiction, hence  $x^2 \in I$ . Then we have  $x^{2^n} = x^2 \circ x^n \in I$  for all  $n \in \mathbb{N}$ .

Now we show:  $x^n \in I$  for all  $n \in \mathbb{N}$  and  $n \geq 2$ . Let  $n \geq 2$ . Then  $x^2 \circ (x^n + x^{n-1}) = x^{2n} + 2x^{2n-1} + x^{2n-2} \in I$ , and we get  $2x^{2n-1} \in I$  because  $x^{2n} \in I$  for  $n \geq 1$ . As above, we have  $x^{2n-1} \in I$ . Hence we have:  $x^n \in I$  for  $n \geq 2$ . And as a result of this we have  $((x^2)) \subseteq I$  and, similarly to the proof of Lemma 6, we have  $I = Mx + ((x^2))$  where  $M$  is a maximal ideal of  $R$ . Therefore  $R_0[x]/I \cong R/M$ .

So it remains the case that  $\text{Char } R_0[x]/I = 2$ , which—as usual—causes the most trouble.

**LEMMA 8.** *Let  $I$  be a strictly maximal ideal in  $R_0[x]$  with  $\text{Char } R_0[x]/I = 2$ . Then  $(2R)_0[x] \subseteq I$ .*

*Proof.* Since  $x + I \in R_0[x]/I$  we have  $2x + I = I$ . Hence  $2x \in I$ . But for all  $f \in R_0[x]$   $2x \circ f = 2f \in I$ , hence  $(2R)_0[x] \subseteq I$ .

**LEMMA 9.** *Let  $I$  be a strictly maximal ideal in  $R_0[x]$  with  $\text{Char } R_0[x]/I = 2$ . Also, let  $h: R \rightarrow R/2R$  be the canonical epimorphism and  $h': R_0[x] \rightarrow (R/2R)_0[x]: a_n x^n + \cdots + a_1 x \rightarrow h(a_n)x^n + \cdots + h(a_1)x$ . Then  $R_0[x]/I \cong (R/2R)_0[x]/h'(I)$ .*

The proof is similar to the one of Lemma 4 and therefore omitted.

In view of this result, we only have to look at the case:  $\text{Char } R = \text{Char } R_0[x]/I = 2$ ,  $R$  an integral domain and  $I' = \{0\}$ .

We now treat the infinite case:

LEMMA 10. *Let  $I$  be a strictly maximal ideal in  $R_0[x]$  with  $\text{Char } R = \text{Char } R_0[x]/I = 2$ ,  $R$  an infinite integral domain and  $I' = \{0\}$ . Then there exists a maximal ideal  $M$  of  $R$  with  $I = ((x^2)) + Mx$ , hence  $R_0[x]/I = R/M$ .*

*Proof.* Suppose there is no maximal ideal  $M$  of  $R$  with  $I = ((x^2)) + Mx$ . Then we get  $I_1 = R$ , otherwise  $I_1$  would be in a maximal ideal  $M_1$  of  $R$  and  $I \subseteq ((x^2)) + M_1x$ .

Let  $U := \{a_n x^n + \dots + a_1 x \in I/n \in N, a_1 \neq 0\}$ . Clearly  $U \neq \{0\}$ , since  $I_1 = R$ . Let  $m$  be the minimum of the degrees of nonzero polynomials in  $U$ . Since  $I' = \{0\}$ ,  $m$  is  $\geq 2$ . Let  $e \in R \setminus \{0, 1\} \neq \emptyset$ . Let  $b_m x^m + \dots + b_1 x \in U \subseteq I$ .  $(b_m x^m + \dots + b_1 x) \circ (ex) + e^m x \circ (b_m x^m + \dots + b_1 x) = b_{m-1}(e^m + e^{m-1})x^{m-1} + \dots + b_1(e^m + e)x \in I$ . Since  $m$  is minimal,  $b_1(e^m + e) = 0$ . We get  $e^m + e = 0$ ,  $e^{m-1} + 1 = 0$ , because  $R$  is an integral domain. But  $1^{m-1} + 1 = 0$ , so we get for all  $e \in R \setminus \{0\}$   $e^{m-1} + 1 = 0$ .

So  $m - 2 \geq 1$ ; consequently  $e^{m-1} = e \cdot e^{m-2} = 1$  and hence  $e^{m-2}$  is the inverse of  $e$  in  $R$ .  $R$  is then a field with  $e^{m-1} = 1$  for all  $e \in R \setminus \{0\}$ , hence with infinitely many roots of unity, a contradiction.

So there is a maximal ideal  $M$  of  $R$  with  $I = ((x^2)) + Mx$ .

In particular, if  $R$  is a field, we get  $I = ((x^2))$ .

We still have to look at the case:  $\text{Char } R = 2$ ,  $R$  a finite integral domain,  $I' = \{0\}$ . But a finite integral domain is a field. So for our  $R$  we have either  $R = \mathbb{Z}_2$  or  $R = GF(2^n)$  with  $n \geq 2$ .

First some preparations:

LEMMA 11. *Let  $F$  be a field with  $\text{Char } F = 2$ ,  $|F| > 2$ . Let  $I$  be a strictly maximal ideal in  $F_0[x]$ . If  $x^m \in I$  then  $x^{m+i} \in I$  for  $m + i \geq 4$  where  $i \in N$ .*

*Proof.*  $x^{2m+1} + x^{m+2} = (x^m + x)^3 + x^3 + x^{3m} \in I$ . Since  $|F| > 2$ , it is possible to choose  $a$  with  $a \neq 0$ ,  $a \neq 1$ . From  $(x^m + ax)^3 + (ax)^3 \in I$  we get  $ax^{2m+1} + a^2 x^{m+2} \in I$ . But  $ax \circ (x^{2m+1} + x^{m+2}) = ax^{2m+1} + ax^{m+2} \in I$ . By adding of these two polynomials we get  $(a^2 + a)x^{m+2} \in I$ . Since  $a^2 + a \neq 0$ , we have  $x^{m+2} \in I$ . So we have:  $x^m, x^{m+2}, x^{m+4}, x^{m+6}, \dots \in I$ .

But  $x^{2m} = x^m \circ x^2 \in I$ , we also have  $x^{2m+2} \in I$ .  $x^{2m+2} = (x^{m+1}) \circ x^2 \in I$ , so we have either  $x^2 \in I$  or  $x^{m+1} \in I$  since  $F_0[x]/I$  is a near-field and has no zero-divisor.

If  $x^{m+1} \in I$  we get:  $x^{m+i} \in I$  for all  $i \in N$ .

If  $x^2 \in I$  then  $x^4 + x^5 = (x^2 + x)^3 + x^3 + x^6 \in I$ . Hence then  $x^5 \in I$ .

So we have:  $x^2, x^4, x^6, \dots \in I, x^5, x^7, x^9, \dots \in I$ .

Hence  $x^{m+i} \in I$  for  $m + i \geq 4$ , where  $i \in N$ .

**LEMMA 12.** *Let  $I \neq F_0[x]$  be an ideal of  $F_0[x]$ , when  $F$  is a field of characteristic 2. If there is an  $n \geq 2$ , so that  $x^m \in I$  for all  $m \geq n$ , then  $I \subseteq ((x^2))$ .*

*Proof.* Suppose  $I \not\subseteq ((x^2))$ . Then there is some  $f \in I \setminus ((x^2))$ . Without loss of generality, we can assume  $f = x + a_2x^2 + \dots + a_{n-1}x^{n-1}$ .

$$f \circ x^{n-1} = x^{n-1} + a^2(x^{n-1})^2 + \dots + a_{n-1}(x^{n-1})^{n-1} \in I$$

$$x^{n-1} = f \circ x^{n-1} + a_2(x^{n-1})^2 + \dots + a_{n-1}(x^{n-1})^{n-1} \in I$$

since the degrees of second, third,  $\dots$  terms are  $\geq n$ . Therefore we can reduce  $n$  and we get:  $x^{n-2}, x^{n-3}, \dots, x^2 \in I$ . But then  $x = f + a_2x^2 + \dots + a_{n-1}x^{n-1} \in I$ , a contradiction. Hence  $I \subseteq ((x^2))$ .

**LEMMA 13.** *Let  $I$  be a maximal ideal in  $F_0[x]$ , when  $F$  is a field of characteristic 2 and  $|F| > 2$ . If there is some  $n \in N$  with  $n \geq 2$ , so that  $x^m \in I$  for all  $m \geq n$ , then  $I = ((x^2))$ .*

*Proof.* Use Lemma 12.

**LEMMA 14.** *Let  $I$  be a strictly maximal ideal in  $F_0[x]$ , when  $F$  is a field of characteristic 2 and  $|F| > 2$ . If there is an  $n \in N$  with  $n \geq 2$ ,  $x^n \in I$ , then  $I = ((x^2))$ .*

*Proof.* According to Lemma 11 we have:  $x^m \in I$  for all  $m \geq \max(n, 4)$ . Lemma 13 will do the rest of the job.

**LEMMA 15.** *Let  $F$  be a field of characteristic 2 and  $I$  a strictly maximal ideal of  $F_0[x]$ . Then there is an odd number  $t$  with  $x^t + \dots + a_1x \in I$ .*

*Proof.* Since  $I \neq \{0\}$ , there is a  $k \in N$  with  $x^{2k} + \dots + b_1x \in I$ , otherwise our assertion is already proved.

$(x^{2k} + \dots + b_1x + x)^3 + x^3 = (x^{2k} + \dots + b_1x)^3 + (x^{2k} + \dots + b_1x)^2x + (x^{2k} + \dots + b_1x)x^2 \in I$ . We get  $x^{4k+1} + \dots + x^{2k+2} + \dots \in I$ . For  $n \geq 1$ ,  $4k+1$  is greater than  $2k+2$  and so there is a polynomial of degree  $4k+1$  (an odd number) in  $I$ .

**LEMMA 16.** *Let  $F$  be a finite field of characteristic 2 and  $I$  a strictly maximal ideal of  $F_0[x]$ . Then the near-field  $F_0[x]/I$  is finite.*

*Proof.* We know from Lemma 15 that there is an odd number

$t$  with  $x^t + \dots + a_1x \in I$ .

We show: For all  $n \geq 6t$  there is some  $x^n + \dots + b_1x \in I$ .

For all  $l \geq 1$ ,  $(x^t + \dots + a_1x + x^{t+l})^3 + (x^{t+l})^3 \in I$ . Hence  $(x^{t+l})^2(x^t + \dots + a_1x) + (x^{t+l})(x^t + \dots + b_1x)^2 \in I$ , whence  $x^{3t+2l} + \dots + x^{3t+l} + \dots \in I$ . Since  $(x^t + \dots + a_1x)^3 = x^{3t} + \dots \in I$ , there are polynomials of following degrees in  $I$ :  $3t, 3t+2, 3t+4, \dots$ . Since  $3t$  is odd, we have: For all odd numbers  $k \geq 3t$ , there is some normed polynomial of degree  $k$  in  $I$ .

$$(x^t + \dots + a_1x)^6 = x^{6t} + \dots \in I.$$

$$(x^t + \dots + a_1x)^2 = x^{2t} + \dots + e_1x \in I.$$

$$(x^{2t+l} + x^{2t} + \dots + e_1x)^3 + (x^{2t+l})^3 \in I.$$

Hence  $(x^{2t+l})^2(x^{2t} + \dots) + (x^{2t+l})(x^{2t} + \dots)^2 \in I$ , whence  $x^{6t+2l} + \dots + x^{6t+l} + \dots \in I$ . Therefore there are also polynomials of following degrees in  $I$ :  $6t, 6t+2, 6t+4, \dots$ .

We get: For all  $k \geq 6t$  there exists some polynomial  $x^k + \dots + b_1x \in I$ . Hence  $|F_0[x]/I| \leq |F|^{6t}$ , which is finite.

LEMMA 17. Let  $F$  be  $GF(2^n)$ ,  $n \geq 2$  and  $I$  a strictly maximal ideal of  $F_0[x]$ . Then  $I = ((x^2))$ .

*Proof.* Lemma 16 tells us that  $K := F_0[x]/I$  is a finite near-field. By 8.34 of [3], all finite near-fields (except 7 exceptional cases of orders  $5^2, 11^2, 7^2, 23^2, 11^2, 29^2, 59^2$ ) are Dickson near-fields. Our  $K$  cannot be exceptional, so it is a Dickson near-field. In this case, we know from 3.3 of [6] that the center  $C(K) := \{f \in K/f \circ g = g \circ f \text{ for all } g \in K\}$  is closed with respect to addition.

Since, by the well-known rules how to calculate in  $GF(2^n)$ ,  $x + I$  and  $x^{2^n} + I$  belong to  $C(K)$ , so does their sum  $x + x^{2^n} + I$ . So we get  $(x^{2^n} + x + I) \circ (x^{2^n-1} + I) = (x^{2^n-1} + I) \circ (x^{2^n} + x + I)$ .  $(x^{2^n-1})^{2^n} + x^{2^n-1} + I = (x^{2^n} + x)^{2^n-1} + I = (x^{2^n})^{2^n-1} + (x^{2^n})^{2^n-2} + \dots + x^{2^n}x^{2^n-2} + x^{2^n-1} + I = x^{(2^n-1)2^n} + \sum_{k=1}^{2^n-2} x^{2^n k + (2^n-1-k)} + x^{2^n-1} + I$ . Hence  $\sum_{k=1}^{2^n-2} x^{2^n k + (2^n-1-k)} \in I$ . But  $2^n k + (2^n - 1 - k) = (2^n - 1)k + (2^n - 1) = (2^n - 1)(k + 1)$ , so  $\sum_{k=1}^{2^n-2} x^{(2^n-1)(k+1)} = \sum_{k=1}^{2^n-2} (x^{2^n-1})^{k+1} = (\sum_{k=1}^{2^n-2} x^{k+1}) \circ x^{2^n-1} \in I$ . Since  $K$  is a near-field, either  $\sum_{k=1}^{2^n-2} x^{k+1} \in I$  or  $x^{2^n-1} \in I$ . If  $x^{2^n-1} \in I$ , we are through, for we get  $I = ((x^2))$  by Lemma 14. So we may assume that  $\sum_{k=1}^{2^n-2} x^{k+1} = x^{2^n-1} + \dots + x^2 \in I$ .

The multiplicative group of  $GF(2^n)$  is cyclic. Therefore there is some  $c \in GF(2^n)$  of order  $2^n - 1$ . We know:  $c \neq 0$ ,  $c \neq 1$ .  $c^{2^n-1} = 1$  and for all  $l < 2^n - 1$   $c^l \neq 1$  and for all  $l, j \leq 2^n - 1$ ,  $l \neq j$ :  $c^l + c^j \neq 0$ . Since  $c^{2^n-1}x^{2^n-1} + \dots + cx^2 = (x^{2^n-1} + \dots + x^2) \circ (cx) \in I$ ,  $c^{2^n-1}x^{2^n-1} + \dots + c^{2^n-1}x^2 = c^{2^n-1}x \circ (x^{2^n-1} + \dots + x^2) \in I$ , we get  $(c^{2^n-1} + c^{2^n-2})x^{2^n-2} + \dots$



+  $(c^{2^n-1} + c^2)x^2 \in I$ . Also  $(c^{2^n-1} + c^{2^n-2})c^{2^n-2}x^{2^n-2} + \dots + (c^{2^n-1} + c^2)c^2x^2 = ((c^{2^n-1} + c^{2^n-2})x^{2^n-2} + \dots + (c^{2^n-1} + c^2)x^2) \circ (cx) \in I$  and  $(c^{2^n-1} + c^{2^n-2})c^{2^n-2}x^{2^n-2} + \dots + (c^{2^n-1} + c^2)c^{2^n-2}x^2 = (c^{2^n-2}x) \circ ((c^{2^n-1} + c^{2^n-2})x^{2^n-2} + \dots + (c^{2^n-1} + c^2)x^2) \in I$ . Hence  $(c^{2^n-1} + c^{2^n-3})(c^{2^n-2} + c^{2^n-3})x^{2^n-3} + \dots + (c^{2^n-1} + c^2)(c^{2^n-2} + c^2)x^2 \in I$ . If we continue this procedure, we finally arrive at  $(c^{2^n-1} + c^2)(c^{2^n-2} + c^2) \dots (c^3 + c^2)x^2 \in I$  where the coefficient of  $x^2 \neq 0$ . So  $x^2 \in I$  and we get  $I = ((x^2))$  again by Lemma 14.

Our last case is  $R = \mathbf{Z}_2$ . This case is rather complicated and so the way is longer. Brenner has shown in [1] that there are only two maximal ideals in  $\mathbf{Z}_2[x]$ . One of them is  $T :=$  the subgroup generated by  $\{1, x + x^2, x^3, x + x^4, x + x^5, x^6, x + x^7, x + x^8, x^9, \dots\}$ . The other one is  $V$ , the subgroup generated by  $\{1, x + x^2, x + x^3, x + x^4, \dots\}$ . We define  $T_0, V_0$  as follows:  $T_0 := T \cap (\mathbf{Z}_2)_0[x]$  and  $V_0 := V \cap (\mathbf{Z}_2)_0[x]$ .  $T_0$  and  $V_0$  are easily shown to be ideals in  $(\mathbf{Z}_2)_0[x]$ . They are even strictly maximal ideals as will be demonstrated in the following. Together with  $((x^2))$ , there are just three strictly maximal ideals in  $(\mathbf{Z}_2)_0[x]$ .

LEMMA 18. *Let  $I$  be a strictly maximal ideal in  $(\mathbf{Z}_2)_0[x]$  with  $x^2 \in I$ , then  $I = ((x^2))$ .*

*Proof.* Since  $x^2 \in I$ ,  $x^{2k} = x^2 \circ x^k \in I$  for all  $k \in \mathbf{N}$ . Hence  $(x^4 + x)^3 + x^3 \in I$ , whence  $x^9 \in I$ . But  $x^9 = x^3 \circ x^3$  so  $x^3 \in I$  since  $(\mathbf{Z}_2)_0[x]/I$  has no divisors of zero. Therefore  $x^{6k} + x^{4k+3} + x^{2k+6} + x^9 = (x^{2k} + x^3)^3 \in I$ , from which we get that  $x^{4k+3} \in I$  for all  $k \in \mathbf{N}$ . Also,  $(x^{2k} + x)^3 + x^3 \in I$  gives us  $x^{4k+1} \in I$  for all  $k \in \mathbf{N}$ . All  $x^4$  and  $x^{4k+2} = x^2 \circ x^{2k+1}$  are also in  $I$ , so, putting altogether,  $x^n \in I$  for  $n \geq 2$ , which means  $I = ((x^2))$ .

LEMMA 19. *Let  $I$  be a strictly maximal ideal in  $(\mathbf{Z}_2)_0[x]$  with  $x^2 \notin I$ ,  $x^3 \in I$ . Then  $I = T_0$ .*

*Proof.* By Lemma 16 and the information in the proof of Lemma 17, we know  $(\mathbf{Z}_2)_0[x]/I$  is a finite Dickson near-field of characteristic 2, so it has order  $2^t$  (by 8.13 of [3]). Since  $x^2 + I \neq 0 + I$ , the order  $k$  of  $x^2 + I$  divides  $2^t - 1$ . So we have  $x^{2k} + I = (x^2 + I) \circ (x^2 + I) \circ \dots \circ (x^2 + I) = x + I$  and  $k/2^t - 1$ . Hence  $k$  is odd, whence  $3/2^k + 1$ . Let  $2^k + 1 =: 3j$ . For all  $s \in \mathbf{N}$ ,  $s \geq 3$ , we get  $x^3 \circ (x^s + x^{s-1}) \in I$  whence  $x^{3s-1} + x^{3s-2} \in I$  and  $x^3 \circ (x^s + x^{s-2}) \in I$  whence  $x^{3s-2} + x^{3s-4} \in I$ . Hence  $x^{3s-1} \equiv x^{3s-2} \equiv x^{3s-3} \equiv x^{3s-5} \equiv \dots \equiv x^5 \equiv x^4 \pmod{I}$ . In particular,  $x \equiv x^{2^k} = x^{3j-1} \equiv x^4$  and we get  $x^n + x \in I$  for all  $n \in \mathbf{N}$ ,  $3 \nmid n$ ,  $n \geq 4$ . Also, from  $(x^2 + I) \circ (x^2 + I) = x^4 + I = x + I$  we get  $x^2 + I = x + I$  by 8.10.a of [3]. Hence all the additive generators of  $T_0$  are in  $I$ , whence  $T_0 \subseteq I$ . But  $T_0$  is a subgroup of  $(\mathbf{Z}_2)_0[x]$  of order 2, hence  $T_0 = I$ .

LEMMA 20. *Let  $I$  be a strictly maximal ideal of  $(\mathbb{Z}_2)_0[x]$  with  $x^2 \notin I$ ,  $x^3 \notin I$ ,  $x^2 + x^3 \in I$ . Then  $I = V_0$ .*

*Proof.* Since  $x^2 + x^3 \in I$ , also  $(x^2 + x^3) \circ (x^2 + x) \in I$ , whence  $x^{2^{s+1}} + x^{s+2} \in I$  and  $(x^2 + x^3) \circ (x^2 + x^3) \in I$ , implying that  $x^{2^{s+2}} + x^{s+4} \in I$ . From the first result we get  $x^5 \equiv x^4$ ,  $x^7 \equiv x^5$ ,  $x^9 \equiv x^6 \pmod{I}$  and from the second we derive  $x^8 \equiv x^7$ ,  $x^{10} \equiv x^8$ ,  $x^{12} \equiv x^9$ ,  $\dots \pmod{I}$ , so (since also  $(x^2 + x^3) \circ x^2 = x^4 + x^6 \in I$ ) we get  $x^4 \equiv x^5 \equiv x^6 \equiv \dots \pmod{I}$ . Since  $x^2 \notin I$ , there is some  $k \in N$  with  $x^{2^k} + x \in I$  (same reason as in the proof of Lemma 19). Hence  $x \equiv x^{2^k} \equiv x^4 \pmod{I}$ . Also  $(x^{2^k} + x) \circ x^2 \in I$ , whence  $x^2 \equiv x^{2^{k+1}} \equiv x^4 \pmod{I}$ . Since  $x^2 + x^3 \in I$ , we get  $x^2 \equiv x^3 \pmod{I}$ , and therefore  $x \equiv x^2 \equiv x^3 \equiv x^4 \equiv \dots \equiv x^n \equiv \dots \pmod{I}$ . Thus for all  $n \in N$   $x^n + x \in I$ , hence  $V_0 \subseteq I$ . But  $V_0$  is a subgroup of index 2 in  $(\mathbb{Z}_2)_0[x]$ , so  $V_0 = I$ .

LEMMA 21. *Let  $I$  be a strictly maximal ideal of  $(\mathbb{Z}_2)_0[x]$ . Then  $I$  is either  $= ((x^2))$  or  $= T_0$  or  $= V_0$ .*

*Proof.* Suppose  $I \neq ((x^2))$ ,  $I \neq T_0$ ,  $I \neq V_0$ . Applying Lemmas 18, 19 and 20 we have:  $x^2 \notin I$ ,  $x^3 \notin I$ ,  $x^2 + x^3 \notin I$ . As in the proof of Lemma 17, let  $C(K)$  be the center of  $K := (\mathbb{Z}_2)_0[x]/I$ . Obviously  $x + I \in C(K)$ ,  $x^2 + I \in C(K)$ , hence  $x + I + x^2 + I = x + x^2 + I \in C(K)$ . So  $(x^2 + x + I) \circ (x^3 + I) = (x^3 + I) \circ (x^2 + x + I)$ , hence  $x^6 + x^3 + I = x^6 + x^5 + x^4 + x^3 \in I$  and  $x^5 + x^4 \in I$ . Also,  $(x^5 + x^4) \circ (x^2 + x) = x^{10} + x^9 + x^6 + x^5 + x^8 + x^4 \in I$ . Since  $(x^5 + x^4) \circ x^2 = x^{10} + x^8 \in I$  and  $x^5 + x^4 \in I$ , we have  $x^9 + x^6 \in I$ . But  $I = x^9 + x^6 + I = (x^3 + x^2 + I) \circ (x^3 + I)$ , implying that either  $x^3 + x^2 \in I$  or  $x^3 \in I$ , both being contradictions.

LEMMA 22. *Let  $I$  be a strictly maximal ideal of  $(\mathbb{Z}_2)_0[x]$ . Then  $(\mathbb{Z}_2)_0[x]/I \cong \mathbb{Z}_2$ .*

*Proof.* Applying Lemma 21, we know  $I$  is either  $= ((x^2))$  or  $= T_0$  or  $= V_0$ . But  $[(\mathbb{Z}_2)_0[x]: ((x^2))] = [(\mathbb{Z}_2)_0[x]: T_0] = [(\mathbb{Z}_2)_0[x]: V_0] = 2$ . So we have in all of these three cases:  $(\mathbb{Z}_2)_0[x]/I \cong \mathbb{Z}_2$ .

This completes the proof of Theorem 1.

As a byproduct, we have a complete knowledge of all strictly maximal ideals in polynomial near-rings:

COROLLARY. *Let  $I$  be a strictly maximal ideal of  $R_0[x]$ . Then there exists a maximal ideal  $M$  of  $R$  with  $I = ((x^2)) + Mx$ , unless  $R = \mathbb{Z}_2$ . In this case,  $I$  might as well be  $= T_0$  or  $= V_0$ .*

In particular, for a field  $R \neq \mathbf{Z}_2$ , there is just one strictly maximal ideal, namely  $((x^2))$ .

G. Pilz suggested to investigate near-fields which are contained in  $R[x]$ . Since all near-fields with the exception of a trivial one ([3], 8.1—we exclude this one from our considerations) are zero-symmetric, we only need to search them in  $R_0[x]$ .

**LEMMA 23.** *Let  $R$  be an integral domain and  $F$  a near-field in  $R_0[x]$ . Then there is a subfield  $K$  of  $R$  such that  $F = \{ax/a \in K\}$ .*

*Proof.* Straightforward.

**LEMMA 24.** *Let  $F$  be a near-field in  $R_0[x]$ ,  $0 \neq f = a_n x^n + \cdots + a_1 x \in F$ . Then  $a_2, a_3, \dots, a_n \in \mathfrak{P}(R)$  (prim-radical of  $R$ ) and  $a_1$  is a unit in  $R$ .*

*Proof.* We use the following epimorphisms:  $h: R \rightarrow R/M$  where  $M$  is a prime ideal of  $R$ ,  $h': R_0[x] \rightarrow (R/M)_0[x]$ :

$$a_n x^n + \cdots + a_1 x \longmapsto h(a_n) x^n + \cdots + h(a_1) x.$$

In  $(R/M)_0[x]$  we can apply Lemmas 2, 3 and get:  $h(a_2) = h(a_3) = \cdots = h(a_n) = 0$ . So we have  $a_2, \dots, a_n \in \mathfrak{P}(R)$ .

Since  $f \neq 0$ ,  $a_1$  cannot be  $= 0$ , otherwise  $f$  has no inverse in  $F$ .

Suppose  $a_1$  were not a unit, so  $a_1$  is in a maximal ideal  $M_1$  of  $R$ . Let  $h: R \rightarrow R/M_1$  and  $h': R_0[x] \rightarrow (R/M_1)_0[x]$  be as above and we get  $h'(a_n x^n + \cdots + a_1 x) = h(a_1) x = 0$ , a contradiction to the fact that  $h'(F) = \{ax/a \in K\}$  for some subfield  $K$  of  $h(R)$ .

**THEOREM 2.** *Let  $F$  be a near-field contained in  $R_0[x]$ ,  $F_1 := \{a_1 / \text{some } a_n x^n + \cdots + a_1 x \in F\}$ . Then  $F \cong F_1 x$ .*

*Proof.* Define  $h: F \rightarrow F_1 x$ .

$$a_n x^n + \cdots + a_1 x \longmapsto a_1 x$$

$h$  is surjective. We show it is injective, too. Let  $f_1, f_2 \in F$  with  $f_1 = a_n x^n + \cdots + a_1 x$  and  $f_2 = b_n x^n + \cdots + b_1 x$ . Then  $f_1 - f_2 = \cdots + (a_2 - b_2)x^2 + 0x \in F$ . But then  $f_1 - f_2 = 0$  by Lemma 24. Hence  $f_1 = f_2$  and  $h$  is 1-1.

It is easy to show that  $h$  is a near-ring homomorphism, so  $h$  is a near-ring isomorphism.

**EXAMPLES.** Take  $R := \mathbf{Z}_2[t]/(t^4 + t^2 + 1)$ . Then  $K_1 := \{0, x\}$ ,  $K_2 := \{0, x, t^2 x, (t^2 + 1)x\}$  and  $K_3 := \{0, x, (t^2 + t + 1)x^2 + t^2 x, (t^2 + t + 1)x^2 +$

$(t^2 + 1)x\}$  are examples of subnear-fields of  $R_0[x]$ . Note that  $K_3$  contains non-linear polynomials.

*Application.* Let  $P$  be a planar near-ring with identity which is either contained in some  $R_0[x]$  or a factor of  $R_0[x]$ . Then  $P$  is a field and isomorphic to a subfield or a factorfield of  $R$ . This holds because a planar near-ring with identity is accurately a near-field, as can be easily seen.

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Received December 15, 1979. I would like to thank Günter Pilz for his unselfish help and encouragement and to "Österreichischen Forschungsfonds" for the financial assistance (Project number 3479).

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