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A HOMOGENEOUS EBERLEIN COMPACT SPACE WHICH IS NOT METRIZABLE

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We give an example of a first countable, hereditarily normal, homogeneous Eberlein compact space which is not metrizable. This answers a question of A. V. Arhangel'skiĭ.

1. Introduction. A compact Hausdorff space is called *Eberlein* compact, if it is homeomorphic to a weakly compact subset of a Banach space. For information concerning Eberlein compact spaces, see [1], [3], [5] and [7].

If X is Eberlein compact, then X is metrizable if X satisfies the countable chain condition, [2], [5], or if X is linearly orderable, [4]. In view of these facts, the following question due to Arhangel'skii [3, p. 91 problem 5], is quite natural: is there a nonmetrizable homogeneous¹ Eberlein compact space? The aim of this paper is to construct such an example which in addition is zerodimensional, first countable and hereditarily normal. The symbol " $X \approx Y$ " means that X and Y are homeomorphic spaces. I am indebted to Mary Ellen Rudin for spotting some inaccuracies in an earlier version of this paper.

2. Preliminaries. A family \mathscr{F} of subsets of a topological space X is called *separating* provided that for any distinct $x, y \in X$ there is an $F \in \mathscr{F}$ such that either $x \in F$ and $y \notin F$ or $y \in F$ and $x \notin F$. The family \mathscr{F} is called *point-finite* if each $x \in X$ belongs to at most finitely many elements of \mathscr{F} . It is called σ -point-finite if $\mathscr{F} = \bigcup_{n=1}^{\infty} \mathscr{F}_n$, where each \mathscr{F}_n is point-finite.

The following purely topological characterization of Eberlein compacta, due to Rosenthal [8], is convenient for topologists.

THEOREM 2.1. A compact Hausdorff space is Eberlein compact iff it has a σ -point-finite separating family of open F_{σ} -subsets.

Let C denote the usual Cantor set in [0, 1] (notice that $0 \in C$) and let X be any space. Topologize $X \times C$ in the following way:

(a) a basic neighborhood of a point $\langle x, 0 \rangle$ has the form

$$(U imes C) - (\{x\} imes D)$$
 ,

where $U \subset X$ is open, contains x and $D \subset C - \{0\}$ is compact;

¹ A space X is called *homogeneous* provided that for any two points $x, y \in X$ there is an autohomeomorphism $h: X \to X$ with h(x) = y.

(b) a basic neighborhood of a point $\langle x,c\rangle$ where c>0 has the form

$$\{x\} \times U$$
,

where $U \subset C - \{0\}$ is an open neighborhood of c.

The topological space we obtain in this way will be denoted by X(C). Observe that the projection $\pi: X(C) \to X$ onto the first coordinate is continuous. In addition, the function $f: X \to X(C)$ defined by $f(x) = \langle x, 0 \rangle$ is an embedding.

LEMMA 2.2. (1) X(C) is compact Hausdorff iff X is compact Hausdorff,

(2) X(C) is first countable iff X is first countable,

(3) X(C) is Eberlein compact iff X is Eberlein compact.

Proof. (1) We only need to show that X(C) is compact if X is. Let \mathscr{U} be an open cover of X(C) by basis elements. Finitely many elements of \mathscr{U} cover $X \times \{0\}$ and the remaining part of X(C) consists of finitely many compact sets. We conclude that \mathscr{U} has a finite subcover.

Observe that (2) is trivial and that for (3) we only need to show that X(C) is Eberlein compact if X is Eberlein compact (closed subsets of Eberlein compacta are Eberlein compact). To this end, let $\mathscr{F} = \bigcup_{n=1}^{\infty} \mathscr{F}_n$ be a separating family of open \mathscr{F}_o -subsets of X such that for all n the family \mathscr{F}_n is point-finite. In addition, let $\{C_m: m = 1, 2, \cdots\}$ be a countable basis for $C - \{0\}$ consisting of compact open sets. For all $n, m \in N$ define

$$\widetilde{\mathscr{F}}_n = \{F \times C \colon F \in \mathscr{F}_n\}$$
,

and

$$\mathscr{C}_m = \{\{x\} \times C_m : x \in X\}$$

respectively. Observe that both $\widetilde{\mathscr{F}}_n$ and \mathscr{C}_m are point-finite, that \widetilde{F}_n consists of open F_{σ} -subsets of X(C) and that \mathscr{C}_m consists of clopen (= closed and open) subsets of X(C). Since trivially,

$$\mathscr{G} = \bigcup_{n=1}^{\infty} \widetilde{\mathscr{F}}_n \cup \bigcup_{m=1}^{\infty} \mathscr{C}_m$$

is separating, Theorem 2.1 implies that X(C) is Eberlein compact.

3. The example. Let X be any space. Define $X_1 = X$ and $X_{n+1} = X_n(C)$. The projection from X_{n+1} onto its first coordinate is

denoted by $f_{n,x}$. Put

$$\widetilde{X} = \lim \left(X_n, f_{n,X} \right)$$

(i.e., $\widetilde{X} = \{x \in \prod_{n=1}^{\infty} X_n : f_{n,X}(x_{n+1}) = x_n \text{ for all } n \in N\}$). Let $\pi_{n,X} : \widetilde{X} \to X_n$ be the projection. Observe that

$$\pi_{n,X} = f_{n,X} \circ \pi_{n+1,X} .$$

LEMMA 3.1. (1) If $A \subset X$, then $\widetilde{A} \approx \pi_{1,X}^{-1}(A) \subset \widetilde{X}$. (2) $\widetilde{X}_n \approx \widetilde{X}$ for all $n \in N$.

Proof. Obvious.

We claim that \tilde{C} is homogeneous, nonmetrizable and Eberlein compact. By a repeated application of Lemma 2.2(3) it follows that each C_n is Eberlein compact. Consequently, by Theorem 2.1,

$$\prod_{n=1}^{\infty} C_n$$

is Eberlein compact which implies that \tilde{C} is Eberlein compact, being a closed subspace of $\prod_{n=1}^{\infty} C_n$. Similarly, each C_n is first countable and consequently, \tilde{C} is first countable. It is clear that \tilde{C} is not metrizable, since it maps onto the nonmetrizable space C_2 (C_2 is not metrizable since it contains an uncountable family of pairwise disjoint nonempty open subsets). Obviously, \tilde{C} is zerodimensional.

THEOREM 3.2. \tilde{C} has the property that all of its nonempty clopen subspaces are homeomorphic (hence \tilde{C} is strongly homogeneous in the sense of [8]).

Proof. By induction on n we will show that $\pi_{n,C}^{-1}(U) \approx \widetilde{C}$ for all nonempty clopen $U \subset C_n$. This is clearly true for n = 1 since all nonempty clopen subsets of C are homeomorphic to C which implies that

$$\pi^{-1}_{1,C}(U) pprox \widetilde{U} pprox \widetilde{C}$$
 .

for all clopen $U \subset C_1$ (Lemma 3.1(1)). Now suppose the statement to be true for *n* and take a nonempty clopen $U \subset C_{n+1}$ arbitrarily. If $U \cap (C_n \times \{0\}) = \emptyset$ then U is homeomorphic to C by definition of the topology of C_{n+1} . Consequently, by Lemma 3.1(1), (2) it then follows that

$$\pi_{n+1,C}^{-1}(U) \approx \widetilde{U} \approx \widetilde{C}$$
.

Therefore assume that $U \cap (C_n \times \{0\}) \neq \emptyset$. By definition of the

 \Box

topology of C_{n+1} there is a finite $F \subset C_n$ and for each $x \in F$ a clopen $S_x \subset C$ not containing 0 such that $F \times \{0\} \subset V = U \cap (C_n \times \{0\})$ while moreover

$$E = (V \times C) - (\bigcup_{x \in F} \{x\} \times S_x) \subset U$$
.

For each $x \in F$ let $h_x: C - S_x \to C$ be a homeomorphism such that in a fixed neighborhood of 0 each h_x is the identity. Define $h: E \to V \times C$ by

Clearly, h is a homeomorphism. Therefore

$$\pi_{{n+1,C}}^{-1}(E)pprox \pi_{n}^{-1}(V)pprox \widetilde{C}$$
 ,

by induction hypothesis. Put G = U - E. Then G is a clopen subset of C_{n+1} which misses $C_n \times \{0\}$. If $G = \emptyset$ then we are done, and if $G \neq \emptyset$ then observe that

$$\pi_{n+1,C}^{-1}(G) \thickapprox \widetilde{C}$$

since $G \approx C$ (cf. the above remarks). Consequently, $\pi_{n+1,C}^{-1}(U)$ is the disjoint union of two clopen copies of \tilde{C} , hence is itself homeomorphic to \tilde{C} since C is the disjoint union of two clopen copies of itself. This completes the induction.

Now let $A \subset \widetilde{C}$ be clopen and nonempty. There is clearly an index $n \in N$ and a nonempty clopen $B \subset C_n$ such that

 $\pi_{\mathbf{n},\mathbf{C}}^{-1}(B) = A .$

 \square

Therefore $A = \pi_{n,C}^{-1}(B) \approx \widetilde{C}$.

The above theorem shows that \tilde{C} is homogeneous, for any zerodimensional strongly homogeneous first countable space X is homogeneous. This is well-known and for completeness sake we will include the trivial proof. Take $x, y \in X$. Since X is first countable, there is a clopen neighborhood basis $\{V_n: n \in N\}$ for x and a clopen neighborhood basis $\{W_n: n \in N\}$ for y such that

 $(1) \quad V_{1} = W_{1} = X,$

(2) V_{n+1} is properly contained in V_n , and

(3) W_{n+1} is properly contained in W_n .

For each $n \in N$ let $h_n: V_n - V_{n+1} \to W_n - W_{n+1}$ be any homeomorphism. The function $h: X \to X$ defined by

$$egin{cases} h(x) = y \ h(a) = h_n(a) & ext{if} \quad a \in V_n - V_{n+1} \end{cases}$$

is clearly a homeomorphism mapping x onto y.

REMARK 3.3. It is not by accident that our example is first countable. By [5, 4.3] every Eberlein compact space is first countable at a dense set of points, consequently, a homogeneous Eberlein compact space must be first countable. Notice however that we used the first countability of \tilde{C} to show it is homogeneous.

4. \tilde{C} is hereditarily normal. In this section we will show that \tilde{C} is a continuous image of a compact linearly orderable topological space. This implies that \tilde{C} is hereditarily normal (even monotonically normal).

Let $L_1 = C$ and let $L_2 = C \times C$ with topology generated by the lexicographical ordering. Let $g_1: L_2 \to L_1$ be the projection onto the first coordinate. Observe that g_1 is order preserving. Let $\psi_1: L_1 \to C_1$ be the identity and let $h: C \to C$ be an arbitrary onto map such that

$$h(0) = 0$$
 and $h(1) = 0$.

Define $\psi_2 \colon L_2 \to C_2$ by

 $\psi_2(\langle a, b \rangle) = \langle a, h(b) \rangle$.

Because h(0) = 0 = h(1), ψ_2 is continuous.

It is easily seen that the diagram

$$egin{array}{ccc} L_1 & \xleftarrow{g_1} & L_2 \ \psi_1 & & & \downarrow \psi_2 \ C_1 & \xleftarrow{f_{1,C}} C_2 \end{array}$$

commutes. Suppose that we have defined L_n and ψ_n . Let $L_{n+1} = L_n \times C$ with topology generated by the lexicographical ordering and let $g_n: L_{n+1} \to L_n$ be the projection. Define $\psi_{n+1}: L_{n+1} \to C_{n+1}$ by $\psi_{n+1}(\langle a, b \rangle) = \langle a, h(b) \rangle$, where h is defined as above. Observe that g_n is order preserving and that

$$(1) f_{n,C} \circ \psi_{n+1} = \psi_n \circ g_n .$$

Put $L = \lim_{K \to 0} (L_n, g_n)$. Since the maps g_n are all order preserving, L can be ordered in a natural way (It is easy to describe the ordering of L. Alternatively, the orderability theorems given in [6] or [9] are also easily applied.). By (1), the space L maps onto \tilde{C} so that \tilde{C} is hereditarily normal.

As was pointed out to me by Dave Lutzer, it is also easily seen

that \tilde{C} is hereditarily paracompact, since L is a first countable compact LOTS and L maps onto \tilde{C} .

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