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A COMMUTATIVITY THEOREM FOR RINGS WITH DERIVATIONS

B. FELZENSZWALB AND ANTONIO GIAMBRUNO

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Let R be a prime ring with no nonzero nil ideals and suppose that d is a derivation of R such that $d(x^n) = 0$, $n = n(x) \ge 1$, for all $x \in R$. It is shown that either d = 0 or R is an infinite commutative domain of characteristic $p \ne 0$ and $p \setminus n(x)$ if $d(x) \ne 0$.

Let R be an associative ring. Recall that an additive mapping d of R into itself is a derivation if d(xy) = d(x)y + xd(y) for all $x, y \in R$.

In [2] it was shown that if R is a prime ring and d is a derivation of R such that $d(x^n) = 0$ for all $x \in R$, where $n \ge 1$ is a fixed integer, then either d = 0 or R is an infinite commutative domain of characteristic $p \ne 0$ where $p \setminus n$. Moreover, the following question was raised:

If R is a ring with no nonzero nil ideals and d is a derivation of R such that $d(x^n) = 0$, $n = n(x) \ge 1$, for all $x \in R$, can we conclude that R must be rather special or d = 0?

If d is an inner derivation (i.e., if there exists an element $a \in R$ such that d(x) = ax - xa) Herstein's hypercenter theorem [3] asserts that under the above conditions d must be zero. This is not always the case for arbitrary derivations. Take for instance a commutative domain A of characteristic $p \neq 0$ and let d be the usual derivation on the polynomial ring A[X]; then $d(f^p) = 0$ for all $f \in A[X]$, but $d \neq 0$.

We shall prove the following

THEOREM. Let R be a prime ring with no nonzero nil ideals and let d be a derivation of R such that

 $d(x^n) = 0$, $n = n(x) \ge 1$, for all $x \in R$.

Then either d = 0 or R is an infinite commutative domain of characteristic $p \neq 0$ and $p \setminus n(x)$ if $d(x) \neq 0$.

For primitive rings the above theorem was proved in [2]; however the proof we give here is independent.

Notice that the conclusion of the theorem is false if one removes the assumption of primeness. In fact, let $R = A[X] \bigoplus M_2(A)$ where A is a commutative domain of characteristic $p \neq 0$ and $M_2(A)$ is the ring of 2×2 matrices over A. Let d be the derivation of R defined as follows: d is the usual derivation on the polynomial ring A[X]and d = 0 on $M_2(A)$. Then R has no nil ideals, $d(r^p) = 0$ for all $r \in R$, but $d \neq 0$ and R is not commutative.

We begin with a slight generalization of a result of Posner [4, Lemma 3].

LEMMA. Let R be a prime ring with a derivation $d \neq 0$ and let U be a nonzero ideal of R. If d(u)u = ud(u), for all $u \in U$, then R is commutative.

Proof. Let $u, v \in U$; since d(u)u = ud(u), d(v)v = vd(v) and d(u + v)(u + v) = (u + v)d(u + v) we get

(1)
$$d(u)v + d(v)u = ud(v) + vd(u)$$
.

Thus, since u and uv lie in U, arguing as above we have that

$$d(u)uv + d(uv)u = ud(uv) + uvd(u) = ud(u)v + u(ud(v) + vd(u)).$$

Hence, from (1) and the fact that d(u)u = ud(u) it follows that d(uv)u = u(d(u)v + d(v)u). In other words, d(u)(vu - uv) = 0 for all $u, v \in U$. From this we obtain

$$0 = d(u)(vxu - uvx) = d(u)v(xu - ux)$$

for all $u, v \in U$ and $x \in R$. Since R is a prime ring we conclude that $U = Z(U) \cap K$ where Z(U) is the center of U and $K = \{u \in U/d(u) = 0\}$. If U = K then the primeness of R forces d = 0, a contradiction; hence U = Z(U) is commutative and, so, R is commutative.

We now prove the theorem stated above

Proof of the Theorem. To prove the theorem it is enough to show that if $d \neq 0$, then R is commutative. In fact, if this is the case, then $nx^{n-1}d(x) = d(x^n) = 0$, $n = n(x) \ge 1$, for all $x \in R$. Since $d \neq 0$ it follows that R is of characteristic $p \neq 0$ (and $p \setminus n(x)$ if $d(x) \neq 0$); thus, $d(x^p) = px^{p-1}d(x) = 0$ for all $x \in R$. If R is finite then R is a field and all its elements are pth powers, forcing d = 0; hence R is infinite.

We also note that given $x, y \in R$, there exists $k \ge 1$ such that $d(x^k) = d(y^k) = 0$. In fact it is enough to consider k = nm where $d(x^n) = 0$ and $d(y^m) = 0$.

Henceforth we assume $d \neq 0$. Our object is to show that R is commutative.

Let J be the Jacobson radical of R. Suppose first that $J \neq 0$. We shall prove that d(x)x = xd(x), for all $x \in J$, by Lemma 1 the result will follow.

Let $x \in J$ and $y \in R$; let $n \ge 1$ be such that

$$d((1 + x)^{-1}y^n(1 + x)) = d(y^n) = 0$$
.

Then,

$$d((1 + x)(1 + x)^{-1}y^n(1 + x)) = d(y^n + y^nx) = y^nd(x)$$
.

On the other hand,

$$\begin{split} d((1+x)(1+x)^{-1}y^n(1+x)) \\ &= d((1+x)^{-1}y^n(1+x) + x(1+x)^{-1}y^n(1+x)) \\ &= d(x)(1+x)^{-1}y^n(1+x) \; . \end{split}$$

Therefore,

$$d(x)(1 + x)^{-1}y^n(1 + x) = y^n d(x)$$
.

Multiplying this last equality from the right by $(1 + x)^{-1}$, we get

$$d(x)(1+x)^{-1}y^n = y^n d(x)(1+x)^{-1}$$
 .

Thus $d(x)(1 + x)^{-1}$ commutes with some power of every element in R and so $d(x)(1 + x)^{-1}$ is in the hypercenter of R. By [3], since R has no nil ideals, the hypercenter of R coincides with the center of R. Hence $d(x)(1 + x)^{-1}$ is central and so, on commuting it with x, we obtain d(x)x = xd(x). This establishes the theorem when $J \neq 0$.

Thus we may assume, henceforth, that R is a semisimple ring.

We claim that R has no zero-divisors. In fact, let $a \neq 0$ in R and let $\lambda = \{y \in R | ya = 0\}$. If $y \in \lambda$ and $x \in R$, there exists $n \ge 1$ such that

$$d((ax + axy)^n) = d((ax)^n) = 0$$
.

Since $ya = (axy)^2 = 0$ it follows that

$$(ax)^n d(y) = d((ax)^n y) = 0.$$

This says that d(y) annihilates on the right a suitable power of every element in the right ideal aR. By [1], since R is semisimple, we have aRd(y) = 0. Hence, since R is prime and $a \neq 0$, we conclude that d(y) = 0. In other words, d vanishes on λ , a left ideal of R. By the primeness of R, it is easy to check that this forces d = 0, unless $\lambda = 0$. Thus, R has no zero-divisors.

We go on with the final steps of the proof by showing that if R is a domain then R is commutative. As before it is enough to show that d(x)x = xd(x) for all $x \in R$.

Let $x \neq 0$ in R and let $A = C_R(x^n)$ be the centralizer of x^n in R, where $n \geq 1$ is such that $d(x^n) = 0$. If $a \in A$, then

$$0 = d(ax^n - x^n a) = d(a)x^n - x^n d(a);$$

that is, A is invariant under d and we may consider d as a derivation on A.

Now, A is a domain whose center, Z(A), is nonzero for $0 \neq x^n \in Z(A)$. By localizing A at $Z(A) \setminus \{0\}$ we obtain a domain $Q \supset A$ whose center is a field containing x^n ; in particular, x is invertible in Q. As it is well known, d extends uniquely to a derivation on Q (which we shall also denote by d) as follows:

$$d(az^{-1}) = d(a)z^{-1} + ad(z)z^{-2}$$
 , $a \in A$, $z \in Z(A) \setminus \{0\}$

Moreover, by our basic hypothesis on d, we have that $d(q^m) = 0$, $m = m(q) \ge 1$, for all $q \in Q$.

Let $q \in Q$ and let $m \ge 1$ be such that

$$d(q^m) = d(x^{-1}q^m x) = 0$$
.

Then,

$$d(x)x^{-1}q^mx = d(x(x^{-1}q^mx)) = d(q^mx) = q^md(x)$$
.

Multiplying this equality from the right by x^{-1} , we obtain

$$d(x)x^{-1}q^m = q^m d(x)x^{-1}$$
.

In other words, $d(x)x^{-1}$ lies in the hypercenter of Q. As before, by [3], it follows that $d(x)x^{-1}$ lies in the center of Q and so, we conclude that d(x)x = xd(x). This completes the proof of the theorem.

We finish with the following

COROLLARY. Let R be a prime ring with no nonzero nil ideals. If d is a derivation of R such that $d(u^n) = 0$, $n = n(u) \ge 1$, for all $u \in U$, where U is a nonzero ideal of R, then either d = 0 or R is an infinite commutative domain of characteristic $p \neq 0$ and $p \setminus n(u)$ if $d(u) \neq 0$.

Proof. Suppose $d \neq 0$. Let

$$\delta(U) = \{ u \in U/d^i(u) \in U, \text{ for all } i \ge 1 \}$$
.

Then, $\delta(U)$ is an ideal of R invariant under d. Moreover, by hypothesis, some power of every element in U lies in $\delta(U)$. Since R has no nonzero nil ideals, we must have $\delta(U) \neq 0$.

Now, as an ideal of R, $\delta(U)$ is also a prime ring with no nonzero nil ideals. By the above theorem, the conclusion holds in $\delta(U)$. Since R is prime, the result follows.

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