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**ROOT LOCOLOGIES AND IDEMPOTENTS OF LIE AND  
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**Locological spaces are introduced. The  $G$ -locology for a subset  $R$  of a group  $G$  leads to the symmetric  $G$ -topology of  $R$ . The connected components of  $R$  correspond to ideals of any normal finite dimensional  $G$ -graded nonassociative algebra  $A$  which, for  $A$  an idempotent Lie algebra with set  $R$  of roots, are the central primitive idempotents of  $A$ .**

**0. Introduction.** The underlying ideas in this paper are that "ideals" in a Lie algebra or graded nonassociative algebra  $A$  correspond to "open sets" in the set  $R$  of roots of  $A$ ; and "direct sums" correspond to "disjoint unions of open sets."

The first section is devoted to making these ideas precise, in the language of *locologies* and topologies for  $R$ .

The second section is devoted to the development of a theory of decompositions of idempotent nonassociative algebras 1 as sums  $1 = E_1 + \cdots + E_n$  of pairwise orthogonal central primitive idempotents; and to showing for idempotent Lie algebras that the central primitive idempotents correspond to the connected components  $R_1, \dots, R_n$  of  $R$  discussed in Section 1.

The third section is devoted to relating the open set structure of  $R$  to the ideal structure of a Lie algebra  $L$  not assumed to be idempotent, taking as starting point Theorem 1.21.

**1. Locological spaces and root locologies.** Let  $R$  be a set,  $k$  a set with a specified point  $0 \in k$  called the *origin* of  $k$ ,  $H$  a collection of functions from  $R$  into  $k$ . Suppose that  $H$  contains the *zero function* which maps all elements of  $R$  into 0. Suppose, furthermore, that for each  $a \in R$ ,  $x(a) \neq 0$  for some  $x \in H$ . For  $X \subset H$ , let  $R(X) = \{a \in R \mid x(a) = 0 \text{ for all } x \in X\}$ . Then the collection  $\mathcal{C} = \{R(X) \mid X \subset H\}$  of subsets of  $R$  contains  $R$  and  $\emptyset$ ; and is closed under intersections since

$$R\left(\bigcup_{i \in I} X_i\right) = \bigcap_{i \in I} R(X_i).$$

We call  $R(X)$  the *locus of zeros* of  $X$ . The collection  $\mathcal{C}$  is a locology for  $R$  in the sense of the following definition.

**DEFINITION 1.1.** A *locology* for a set  $R$  is a collection  $\mathcal{C}$  of subsets of  $R$  such that

(1)  $\phi \in \mathcal{C}$  and  $R \in \mathcal{C}$ ;

(2)  $\mathcal{C}$  is closed under intersections, that is,  $\mathcal{I} \subset \mathcal{C}$  implies  $\bigcap_{S \in \mathcal{I}} S \in \mathcal{C}$ .

A *locological space* is a set  $R$  together with a locology  $\mathcal{C}$  for  $R$ .  $\square$

If, in the above example,  $H$  also separates the points of  $R$ , we can imbed  $R$  in the set  $F(H, k)$  of functions from  $H$  to  $k$  by regarding  $a \in R$  as the function  $a: H \rightarrow k$  such that  $a(x) = x(a)$  for  $x \in H$ . Thus,  $R(X)$  so imbedded is  $R(X) = \{a \in R \mid a(x) = 0 \text{ for all } x \in X\}$ . Let us suppose furthermore that  $k$  is a group with product  $+$  (not necessarily commutative) and identity equal to the origin 0. Then the sets  $R(X)$  satisfy the following conditions,  $a + b$  and  $-a$  denoting pointwise product and inverse of  $a, b \in R$  and  $a \in R$  respectively.

(1) if  $a, b \in R(X)$ , then  $a + b \in R(X)$  if  $a + b \in R$ ,  $a - b \in R(X)$  if  $a - b \in R$ , and  $(-a) + b \in R(X)$  if  $(-a) + b \in R$ ;

2. if  $a \in R(X)$  and  $-a \in R$ , then  $-a \in R(X)$ .

Thus,  $R(x)$  is closed and symmetric in the  $G$ -locology for  $R$  in the sense of the following definition,  $G$  being the group  $G = F(R, k)$ .

**DEFINITION 1.2.** Let  $R$  be subset of a group  $G$  with product  $ab(a, b \in G)$ . Then a subset  $S$  of  $R$  is  *$G$ -closed* if  $(S^2 \cup SS^{-1} \cup S^{-1}S) \cap R \subset S$ , and  $S$  is *symmetric* if  $S^{-1} \cap R \subset S$ . Here,  $ST = \{ab \mid a \in S, b \in T\}$ ,  $S^2 = SS$ ,  $S^{-1} = \{a^{-1} \mid a \in S\}$  for  $S, T \subset G$ . The collection  $\mathcal{C}$  of  $G$ -closed (respectively symmetric  $G$ -closed) subsets of  $R$  is called the  *$G$ -locology* (respectively *symmetric  $G$ -locology*) of  $R$ .  $\square$

The  $G$ -locology (respectively symmetric  $G$ -locology) for a subset  $R$  of a group  $G$  obviously satisfies the axioms for a locology for  $R$ .

We now assume that  $R$  is an arbitrary locological space with locology  $\mathcal{C}$ . The elements of  $\mathcal{C}$  are called the *closed* sets of  $R$ , their complements the *open* sets of  $R$ . Note that  $R$  and  $\phi$  are both open and closed. For any subset  $S$  of  $R$ ,  $\mathcal{C}_S = \{A \cap S \mid A \in \mathcal{C}\}$  is a locology for  $S$ , called the *relative locology* on  $S$ . The closed and open sets of  $S$  are called the *relatively closed* and *open* sets of  $S$  respectively. If  $S$  is closed,  $\mathcal{C}_S = \{A \in \mathcal{C} \mid A \subset S\}$ . The *closure* of a subset  $S$  of  $R$  is the intersection  $\bar{S}$  of all closed sets of  $R$  containing  $S$ . Note that  $\bar{S}$  is closed, contains  $S$  and is contained in every closed set containing  $S$ . We say that a subset  $S$  of  $R$  is *connected* if  $S = S_1 \cup S_2$  where  $S_1$  and  $S_2$  are disjoint and relatively closed in  $S$  implies that  $S = S_1$  or  $S = S_2$ .

**PROPOSITION 1.3** *Let  $S$  be connected. Then  $\bar{S}$  is connected.*

*Proof.* For  $A, B$  closed,  $\bar{S} \subset A \cup B$  and  $\bar{S} \cap A \cap B = \phi$ , we must

show that  $\bar{S} \subset A$  or  $\bar{S} \subset B$ . But this follows from the fact that  $A$  and  $B$  are closed and, since  $S$  is connected,  $S \subset A$  or  $S \subset B$ .  $\square$

For  $x \in R$ ,  $C(x)$  is the union of all connected subsets of  $R$  which contain  $x$ .

**THEOREM 1.4.** *For  $x \in R$ ,  $C(x)$  is closed and connected and contains  $x$ . For  $x, y \in R$ , either  $C(x) = C(y)$  or  $C(x) \cap C(y) = \phi$ .*

*Proof.* Since  $\{x\}$  is connected,  $C(x)$  contains  $x$ . Suppose that  $C(x) \subset A \cup B$  and  $C(x) \cap A \cap B = \phi$  with  $A, B$  closed. We may assume with no loss of generality that  $x \in A$ . Then every connected set  $S$  containing  $x$  is contained in  $A$ , so that  $C(x) \subset A$ . Thus,  $C(x)$  is connected. Since  $\overline{C(x)}$  is connected,  $\overline{C(x)} = C(x)$  and  $C(x)$  is closed. Suppose that  $C(x) \cap C(y) \ni z$ . Then  $C(z) \supset C(x)$ ,  $C(z) \supset C(y)$ , whence  $C(x) = C(z) = C(y)$ .  $\square$

The above theorem shows that the sets  $C(x)$  are the maximal connected subsets of  $R$ . We call  $C(x)$  the *connected component* of  $R$  containing  $x$ .

**COROLLARY 1.5.**  *$R$  can be decomposed as a disjoint union  $R = \bigcup_{i \in I} R_i$  where the  $R_i (i \in I)$  are the connected components of  $R$ .*  $\square$

The connected components  $R_i$  of  $R$  are closed.

**COROLLARY 1.6.** *Suppose that  $R = \bigcup_{i \in I} R_i$  (disjoint union) where  $R_i$  is nonempty, open and connected for all  $i$ . Then*

(1) *the  $R_i$  are the connected components of  $R$ ;*

(2) *each open and closed subset  $S$  of  $R$  is a union  $S = \bigcup_{i \in I} R_i$  of certain of the  $R_i$ ; and every such union is open and closed.*

In particular, the collection  $\mathcal{D}$  of open and closed subsets of  $R$  is closed under unions and intersections and is therefore a topology for  $R$ .

*Proof.* We first prove part of (2), namely, that each union  $S = \bigcup_{i \in I} R_i$  of a subcollection  $R_i (i \in I)$  of the  $R_i$  is open and closed. Since the  $R_i$  are open,  $S$  is open since  $S^c = \bigcap_{i \in I} R_i^c$  is closed—as the intersection of closed sets. Similarly,  $S^c = \bigcup_{j \notin I} R_j$  is open. Thus,  $S$  is also closed. Taking  $I = \{i\}$ , we have shown in particular that each  $R_i$  is open and closed, as is its complement  $R_i^c$  in  $R$ . This having been shown, we now note that for (1), it suffices to show that  $C = R_i$  for any connected set  $C$  containing  $R_i$ . This follows

easily from the connectedness of  $C$  and the fact that  $R_i, R_i^c$  are closed and disjoint,  $C \subset R_i \cup R_i^c$  and  $C \cap R_i$  is nonempty. For the remaining direction of (2), it suffices to show that whenever  $R_i \cap S \neq \phi$ ,  $S$  contains  $R_i$ . This follows directly from the fact that  $S, S^c$  are closed and disjoint,  $R_i$  is connected,  $R_i \subset S \cup S^c$  and  $R_i \cap S \neq \phi$ .  $\square$

We now specialize our considerations to a fixed subset  $R$  of a group  $G$ . We regard  $R$  as locological space with the  $G$ -locology for  $R$ , and refer to  $R$  with this locology as a  $G$ -locological space. For  $S \subset R$ , we denote the complement of  $S$  in  $R$  by  $S^c$ . We say that  $S$  is  $G$ -open if  $S^c$  is  $G$ -closed.

**THEOREM 1.7.** *Let  $S$  be a  $G$ -closed set of  $R$ . Then*

$$(SS^c \cup S^cS \cup S^{-1}S^c \cup S^cS^{-1} \cup S(S^c)^{-1} \cup (S^c)^{-1}S) \cap R \subset S^c$$

*Proof.* Let  $a \in S, b \in S^c$ . Then we have  $b = a^{-1}(ab) = (ba)a^{-1} = a(a^{-1}b) = (ba^{-1})a = (ab^{-1})a^{-1} = a(b^{-1}a)^{-1}$ . Let  $d$  be any one of the elements  $ab, ba, a^{-1}b, ba^{-1}, ab^{-1}, b^{-1}a$ . Since  $S$  is closed,  $b \notin S$  and  $b \in (S^{-1}d \cup dS^{-1} \cup Sd \cup dS \cup d^{-1}S \cup Sd^{-1})$ , it follows that  $d \notin S$ . Thus,  $d \in R$  implies  $d \in S^c$ .  $\square$

In general, the collection  $\mathcal{D}$  of open and closed sets in a locological space  $R$  is not closed under finite unions and intersections. For example, if  $R$  is the disjoint union of nonempty sets  $A, B, C, D$ , then  $\mathcal{D} = \{\phi, R, A, B, A^c, B^c\}$  where the closed sets of  $R$  are  $\phi, R, A, B, C, D, A^c, B^c, (A \cup B)^c$ . However,  $\mathcal{D}$  is closed under finite unions and intersections for  $G$ -locological spaces  $R$ .

**THEOREM 1.8.** *Let  $\mathcal{D}$  be the collection of subsets  $S$  of  $R$  which are both  $G$ -open and  $G$ -closed. Let  $S, T \in \mathcal{D}$ . Then*

- (1) *for  $a \in S, b \notin S$ , we have  $ab \notin R, a^{-1}b \notin R, ab^{-1} \notin R$ ;*
- (2)  *$S \cup T$  and  $S \cap T$  are in  $\mathcal{D}$ .*

*Proof.* (1) follows from Theorem 1.7 because, since  $S$  and  $S^c$  are both closed, we have  $(SS^c \cup S^{-1}S^c \cup SS^{c^{-1}}) \cap R \subset S \cap S^c = \phi$ . For (2), it suffices to prove that  $S \cup T$  is closed and open for all  $S, T \in \mathcal{D}$ , since  $S \in \mathcal{D}$  implies  $S^c \in \mathcal{D}$  and  $(S \cap T)^c = S^c \cup T^c$ . Moreover,  $S \cup T$  is clearly open, since  $S$  and  $T$  are open. We claim that  $S \cup T$  is closed. Thus, let  $a, b \in S \cup T$ . Then one of the following cases result:

- (1)  $(a, b \in S)$  or  $(a, b \in T)$ ;
- (2)  $(a \in S, a \notin T, b \in T, b \notin S)$  or  $(b \in S, b \notin T, a \in T, a \notin S)$ .

In case (1),  $\{ab, a^{-1}b, ab^{-1}\} \cap R \subset S \cup T$ . In case (2), the same is true by the first assertion of the theorem which we have already proved.  $\square$

**COROLLARY 1.9.** *Let  $S, T \in \mathcal{D}$  and let  $a \in S, b \in T$ . Then either  $a, b \in S \cap T$  or  $R$  contains none of the elements  $ab, a^{-1}b, ab^{-1}$ .*

*Proof.* Suppose that  $S \cap T$  does not contain both of  $a, b$ . Then either  $a \in S$  and  $b \notin S$  or  $a \notin T$  and  $b \in T$ . In either case,  $ab \notin R, a^{-1}b \notin R$  and  $ab^{-1} \notin R$  by Theorem 1.8.  $\square$

**COROLLARY 1.10.** *If  $\mathcal{D}$  is finite, then  $R = R_1 \cup \dots \cup R_n$  (disjoint union) where the  $R_i$  are the minimal nonempty elements of  $\mathcal{D}$  (respectively, the minimal nonempty symmetric elements of  $\mathcal{D}$ ).*

*Proof.* Let the  $R_i$  be the connected components of  $R$  in the topology  $\mathcal{D}$  for  $R$  (respectively, in the topology  $\mathcal{D}_1 = \{S \in \mathcal{D} \mid S \text{ is symmetric}\}$  for  $R$ ).  $\square$

**DEFINITION 1.11.** The *open components* (respectively the *symmetric open components*) of  $R$  are the minimal nonempty elements of  $\mathcal{D}$  (respectively  $\mathcal{D}_1$ ).  $\square$

**COROLLARY 1.12.** *Let  $\mathcal{D}$  be finite and express  $R$  as the disjoint union  $R = R_1 \cup \dots \cup R_n$  of its open (respectively symmetric open) components. Then a subset  $S$  of  $R$  is closed if and only if  $S \cap S_i$  is closed for  $1 \leq i \leq n$ .*

*Proof.* If  $S$  is closed, then  $S \cap R_i$  is closed since  $R_i$  is closed for  $1 \leq i \leq n$ . Suppose, conversely, that  $S \cap R_i$  is closed for  $1 \leq i \leq n$ . Let  $a, b \in S = S \cap R_1 \cup \dots \cup S \cap R_n$ . If  $a, b \in S \cap R_i$  for some  $i$ , then  $\{ab, a^{-1}b, ab^{-1}\} \cap R \subset S \cap R_i$  since  $S \cap R_i$  is closed ( $1 \leq i \leq n$ ). Thus, suppose that  $a \in S \cap R_i, b \in S \cap R_j$  with  $i \neq j$ . Then  $a \in R_i$  and  $b \notin R_i$ , so that  $\{ab, a^{-1}b, ab^{-1}\} \cap R = \emptyset$  by Theorem 1.8, since  $R_i$  is open and closed. It follows that  $(S^2 \cup S^{-1}S \cup SS^{-1}) \cap R \subset S$  and  $S$  is closed.  $\square$

The above corollary determines the locology of  $R$  in terms of the locology of its open components  $R_1, \dots, R_n$  for  $\mathcal{D}$  finite.

**COROLLARY 1.13.** *For  $\mathcal{D}$  finite, the set of connected components of  $R$  (in the  $G$ -locology) is the union of the sects of connected components of the open (respectively symmetric open) components  $R_1, \dots, R_n$  of  $R$ .*  $\square$

For the remainder of this section, we specialize to  $G$ -locological spaces  $R$  where  $R$  is the set of roots of a  $G$ -graded nonassociative algebra  $A$ ,  $G$  being a group. Here a *nonassociative algebra* is a vector space  $A$  over a field  $k$  and a product  $xy \in A$  ( $x, y \in A$ ) which is bilinear in the sense that

- (1)  $(x + y)z = xz + yz$  ( $x, y, z \in A$ );
- (2)  $x(y + z) = xy + xz$  ( $x, y, z \in A$ );
- (3)  $(cx)y = c(xy) = x(cy)$  ( $x, y \in A, c \in k$ ).

A *subalgebra* of  $A$  is a subspace  $B$  of  $A$  such that  $B^2 \subset B$ ; and an *ideal* of  $A$  is a subspace  $B$  of  $A$  such that  $AB \subset B$  and  $BA \subset B$ . Here,  $BC$  is the span of  $\{bc \mid b \in B, c \in C\}$  and  $B^2 = BB$ . A  $G$ -graded *nonassociative algebra*,  $G$  being a group, is a nonassociative algebra  $A$  together with a  $G$ -grading of  $A$ , that is, a collection  $\{A_a \mid a \in G\}$  of subspaces of  $A$  indexed by  $G$  such that

- (1)  $A = \sum_{a \in G} A_a$  (direct sum of subspaces);
- (2)  $A_a A_b \subset A_{ab}$  for all  $a, b \in G$ .

The *set of roots* of  $A$  with respect to the  $G$ -grading of  $A$  is  $R = \{a \in G \mid a \neq 1, A_a \neq 0\}$  where 1 is the identity of  $G$  and 0 is the null space of  $A$ . The elements of  $R$  are called *roots*. We let  $H = A_1$ ,  $A_S = \sum_{a \in S} A_a$  and  $H_S = \sum_{a \in S} H_a H_{a^{-1}} + H_{a^{-1}} H_a$  for  $S \subset R$ .

We let  $\langle B \rangle$  be the subalgebra of  $A$  generated by  $B$  for any subset  $B$  of  $A$ .

**DEFINITION 1.14.** We say that the  $G$ -graded nonassociative algebra  $A$  is *normal* if

- (1) for each  $a \in G$  and  $S \subset G$ ,  $A_a A_S = 0 = A_S A_a$  implies that  $A_a \langle A_S \rangle \subset \langle A_S \rangle$  and  $\langle A_S \rangle A_a \subset \langle A_S \rangle$ ;
- (2)  $A_1 \langle A_S \rangle \subset \langle A_S \rangle$ ,  $\langle A_S \rangle A_1 \subset \langle A_S \rangle$  for all  $S \subset G$ ;
- (3)  $A_1(A_a A_{a^{-1}}) \subset A_a A_{a^{-1}}$  for all  $a \in G$ ;
- (4)  $A_S B \subset B$  and  $BA_S \subset B$  and  $A_S \subset B$  imply that  $\langle A_S \rangle \subset B$  for all  $S \subset G$ .

Note that graded Lie algebras and associative algebras are normal.

**THEOREM 1.15.** Let  $A$  be normal and let  $S$  be a subset of  $R$ . Then

- (1) for  $S$  closed,  $H_{S^*}$  is an ideal of  $H$  and  $\langle A_S \rangle = A_S + H_{S^*}$  where  $S^* = S \cap S^{-1}$ ;
- (2) for  $S$  open and symmetric,  $\langle A_S \rangle$  is an ideal of  $A$  and  $\langle A_S \rangle = A_S + A_{S^*}^2$ ;
- (3) for  $S$  open and closed,  $\{RS \cup RS^{-1} \cup SR \cup S^{-1}R\} \cap R \subset S$ ,  $\{S^c S \cup S^c S^{-1} \cup SS^c \cup S^{-1}S^c\} \cap R = \phi$  and  $\{RS \cup RS^{-1} \cup SR \cup S^{-1}R\} \cap$

$$\{RS^\circ \cup R(S^\circ)^{-1} \cup S^\circ R \cup (S^\circ)^{-1}R\} \cap R = \phi;$$

(4) for  $S$  open, closed and symmetric,  $\langle A_S \rangle = A_S + H_{S^*}$  is an ideal of  $A$ .

*Proof.* For (1), suppose that  $S$  is closed. By normality,  $H_{S^*}$  is an ideal of  $A_1 = H$ . Clearly,  $A_S H_{S^*} \cup H_{S^*} A_S \subset A_S$ . Finally,  $A_S A_S \subset A_S + H_{S^*}$  since  $S^2 \cap R \subset S$ . The first part of (3) follows from Theorem 1.7 for  $S$  open and closed, since  $(S^2 \cup SS^{-1} \cup S^{-1}S) \cap R \subset S$ ; and the second and third parts follow from the first applied to both  $S$  and  $S^\circ$ . Clearly, (4) follows from (1) and (2). For (2), assume that  $S$  is open and symmetric and let  $B = A_S + A_S^2$ . Let  $a \in S^\circ$ . Since  $S$  is symmetric,  $a^{-1} \notin S$ . By (3),  $(S^\circ S \cup SS^\circ) \cap R = \phi$ . Thus,  $A_a A_S = 0 = A_S A_a$ . By normality, therefore,  $(A_1 + A_a) \langle A_S \rangle \subset \langle A_S \rangle$  and  $\langle A_S \rangle (A_1 + A_a) \subset \langle A_S \rangle$  for all  $a \in S^\circ$ . Thus,  $\langle A_S \rangle$  is an ideal of  $A$ . It now remains only to show that  $\langle A_S \rangle = B$ , that is, that  $B = A_S + A_S^2$  is a subalgebra of  $A$ . For this, it suffices, by normality, to show that  $A_S B \cup B A_S \subset B$ ; for then  $\langle A_S \rangle \subset B$  by normality, since  $A_S \subset B$ , so that  $\langle A_S \rangle = B$ . Since  $B = A_S + A_S^2$ , to show  $A_S B \cup B A_S \subset B$  reduces to showing that  $A_S A_S^2 \cup A_S^2 A_S \subset A_S + A_S^2$ . Therefore, consider  $D = A_a(A_b A_c)$  where  $a, b, c \in S$ . If  $a + b + c \in S$  or  $a + b + c \notin R$ , then  $D \subset B$ . Thus, assume that  $a + b + c \in S^\circ$ . Since  $S^\circ$  is closed,  $a \notin S^\circ$ , and  $a = (a + b + c) - (b + c)$ , we have  $b + c \notin S^\circ$ . But then either  $b + c \in S$ , in which case  $D \subset A_S^2$ ; or  $b + c \notin R$ , in which case  $D = A_a(0) = 0$ . Thus, in all cases,  $D \subset B$ . Thus,  $A_S A_S^2 \subset B$ . Similarly,  $A_S^2 A_S \subset B$ , and it follows that  $\langle A_S \rangle \subset B$ , therefore  $\langle A_S \rangle = B$ .  $\square$

DEFINITION 1.16. If  $A^2 = 0$ ,  $A$  is *abelian*. If  $A$  has no ideals other than  $A$  and  $0$ ,  $A$  is *simple*.  $\square$

COROLLARY 1.17. For  $A$  simple and nonabelian and normal,  $H_S = H$  for every nonempty symmetric open set  $S$  of  $R$ .

*Proof.* By Theorem 1.15,  $A_S + A_S^2$  must equal  $A$ , so that  $H = H_S$ .  $\square$

COROLLARY 1.18. Let  $A$  be normal and let  $S, T$  be open and closed sets of  $R$ . Then  $A_{S \cap T} + H_{(S \cap T)^*}$  and  $\langle A_S \rangle \cap \langle A_T \rangle = A_{S \cap T} + H_{S^*} \cap H_{T^*}$  are ideals of  $A$ .

*Proof.* This follows directly from Theorem 1.8 and 1.15.  $\square$

Some of our observations can now be summarized as follows. The proof is straight forward.



**THEOREM 1.19.** *Let  $A$  be finite dimensional and normal, let  $R_1, \dots, R_n$  be the open components of  $R$ , let  $A_i = A_{R_i} + H_{R_i}^*$  ( $1 \leq i \leq n$ ) and let  $I$  be the sum of all ideals of  $A$  which are contained in  $H$ . Then*

- (1) *the  $A_i$  are ideals of  $A$  ( $1 \leq i \leq n$ ) and  $A = H + A_1 + \dots + A_n$ ;*
- (2)  *$I$  is an ideal of  $A$  contained in  $H$  and  $IA_a = 0 = A_a I$  for all  $a \in R$ ;*
- (3)  *$\bar{A} = \bar{H} \oplus \bar{A}_1 \oplus \dots \oplus \bar{A}_n$  (direct sum) where  $\bar{A} = A/I$ ,  $\bar{H} = H + I/I$  and  $\bar{A}_i = A_i + I/I$  ( $1 \leq i \leq n$ ).*  $\square$

Finally, we specialize to the context of a finite dimensional Lie algebra  $L$  over a field  $k$  with split Cartan subalgebra  $H$ . Let  $G$  be the group  $G = F(H, k)$  with a product  $a + b$  ( $a, b \in G$ ) defined by  $(a + b)(h) = a(h) + b(h)$  ( $h \in H$ ). Then the Cartan decomposition  $L = \sum_{a \in G} L_a$  is a  $G$ -grading for  $L$  such that  $H = L_0$ . Let  $R$  be the corresponding set of roots with the  $G$ -locology, so that  $L = H + \sum_{a \in R} L_a$ .

Corollary 1.18 and Theorem 1.19 can now be refined as follows.

**COROLLARY 1.20.** *Let  $S, T \in \mathcal{D}$ . Then*

- (1)  *$[L_S, L_T] \subset L_{S \cap T} + H_{S \cap T^*}$  where  $T_* = T \cup (-T)$ ;*
- (2) *for  $S$  and  $T$  symmetric,  $a \in S$ ,  $b \in T$ , we have  $[L_a, L_b] = [H_a, L_b] = [L_a, H_b] = [H_a, H_b] = 0$  unless  $a, b \in S \cap T$ .*

*Proof.* Since  $(S + T) \cap R \subset (R + S) \cap (R + T) \cap R \subset S \cap T$  by Theorem 1.7, we have  $[L_S, L_T] \subset L_{S \cap T} + H_{S \cap T^*}$ . Suppose next that  $S$  and  $T$  are symmetric,  $a \in S$  and  $b \in T$ . If  $a + b = 0$  or  $a - b = 0$ , then  $a, b \in S \cap T$  by symmetry. Thus, suppose that  $a + b \neq 0$  and  $a - b \neq 0$ . Then  $a + b \notin R$ ,  $a - b \notin R$  and  $-a + b \notin R$  unless  $a, b \in S \cap T$ , by Corollary 1.9. Since  $[H_a, L_b] = [[L_a, L_{-a}], L_b] = [[L_a, L_b], L_{-a}] + [L_a, [L_{-a}, L_b]]$ , it follows that  $[H_a, L_b] = 0$  unless  $a, b \in S \cap T$  or  $a, -b \in S \cap T$ ; that is, unless  $a, b \in S \cap T$ . And since  $[H_a, H_b] = [[H_a, L_b], L_{-b}] + [L_b, [H_a, L_{-b}]]$ , it follows that  $[H_a, H_b] = 0$  unless either  $a, b \in S \cap T$  or  $a, -b \in S \cap T$ ; that is unless  $a, b \in S \cap T$ .  $\square$

**COROLLARY 1.21.** *Let  $R_1, \dots, R_n$  be the symmetric open components of  $R$  and let  $L_i = L_{R_i} + H_{R_i}$  ( $1 \leq i \leq n$ ). Then  $L = H + L_1 + \dots + L_n$ ,  $[L_i, L_i] \subset L_i$ ,  $[L_i, L_j] = 0$  for  $1 \leq i, j \leq n$  and  $i \neq j$  and  $L^\infty = L_1 + \dots + L_n$ .*

*Proof.* Since  $R = R_1 \cup \dots \cup R_n$  (disjoint union of symmetric

open and closed sets), this follows directly from Corollary 1.20 and the fact proved in Winter [4] that  $L^\infty = \sum_{a \in R} [L_a, L_{-a}] + \sum_{a \in R} L_a$ .  $\square$

Before turning to the next section, we mention that the set  $\mathcal{D}$  of open and closed (respectively symmetric open and closed) sets of a  $G$ -locology for  $R$  determine a topology  $\langle \mathcal{D} \rangle$  for  $R$  as defined below. Our use of this topology has been restricted to the case where  $\mathcal{D}$  is finite, in which case  $\mathcal{D} = \langle \mathcal{D} \rangle$ . That  $\langle \mathcal{D} \rangle$  is, in general, a topology for  $R$  is evident.

**DEFINITION 1.22.** The set  $\langle \mathcal{D} \rangle$  of unions of subsets of  $\mathcal{D}$  is called the  $G$ -topology (respectively *symmetric  $G$ -topology*) for  $R$ .  $\square$

**2. Idempotent nonassociative algebras and Lie algebras.** In this section, all nonassociative algebras are finite dimensional.

**DEFINITION 2.1.** In a nonassociative algebra  $A$ , an *idempotent* is a subalgebra  $E$  of  $A$  such that  $E = E^2 \neq 0$ . If  $E \supsetneq E_1$ ,  $E_1$  is *proper* in  $E$ . If  $E_1 E_2 = 0 = E_2 E_1$ ,  $E_1$  and  $E_2$  are *orthogonal*. If an idempotent  $E$  cannot be written as  $E = E_1 + E_2$  where  $E_1$  and  $E_2$  are proper orthogonal idempotents in  $E$ , then  $E$  is a *primitive* idempotent. The *identity* of  $A$  is  $1_A = A^{(\infty)} = \bigcap_{i=1}^{\infty} A^{(i)}$ ; where  $A^{(1)} = A^2$  and  $A^{(i+1)} = A^{(i)2}$  for all  $i$ . An idempotent  $E$  of  $A$  is *central* if either  $1_A = E$  or  $1_A = E + F$  where  $E$  and  $F$  are orthogonal idempotents. If  $A = A^2 \neq 0$ ,  $A$  is an *idempotent algebra*. And  $A$  is *primitive* if  $A$  is a primitive idempotent of  $A$ .

Note that  $1_A = 0$  if and only if  $A$  is *solvable* in the sense that  $A^{(i)} = 0$  for some  $i$ . For  $A$  nonsolvable,  $1_A$  is an idempotent of  $A$  and  $1_A$  contains every idempotent  $E$  of  $A$ . If  $A = A^2 \neq 0$ , then  $A = 1_A$ , in which case  $A$  is an idempotent algebra. If  $E$  is a central idempotent of  $A$ , we have  $1_A E = E 1_A = E$ , since  $1_A = E + F$  where  $(E + F)E = E(E + F) = E$ .

It is possible to align our language even more closely with the classical theory of idempotents by noting that each central idempotent  $E$  of  $A$  determines a unique minimal central idempotent, called  $1_A - E$ , such that  $1_A - E$  and  $E$  are orthogonal and such that  $1_A = E + (1_A - E)$ . For if  $1_A = E + F = E + G$  where  $F$  and  $G$  are central idempotents orthogonal to  $A$ , then  $1_A = L_A^2 = E + FG = E + F \cap G = E + (F \cap G)^{(\infty)} = E + H$  where  $H$  is the central idempotent  $(F \cap G)^{(\infty)}$  contained in  $F \cap G$ .

**THEOREM 2.2.** A nonassociative algebra  $A$  has only finitely many central primitive idempotents  $E_1, \dots, E_n$ . They are pairwise orthogonal and their sum is  $1_A = E_1 + \dots + E_n$ . Every central

idempotent  $E$  of  $A$  is the sum  $E = \sum_{E_i \neq 0} E_i$  of those  $E_i$  not orthogonal to  $E$ . In particular,  $A$  has only finitely many central idempotents.

*Proof.* We claim first that any central idempotent  $E$  of  $A$  can be written as  $E = E_1 + \cdots + E_m$  where the  $E_i$  are pairwise orthogonal central primitive idempotents. We use induction on the dimension of  $E$ . If  $E$  is primitive (as when  $E$  has dimension 1), we take  $E = E_1$ . Otherwise, we can write  $E = F + G$  where  $F$  and  $G$  are proper orthogonal idempotents. Since  $E$  is central, so are  $F$  and  $G$ . By induction, we may write both  $F$  and  $G$ , and therefore also  $E$ , as sum  $E = E_1 + \cdots + E_m$  of pairwise orthogonal central primitive idempotents, as claimed. Since either  $1_A = E$  or  $1_A = E + F$  where  $[E, F] = 0$  and  $F$  is a central idempotent, we can write  $F = E_{m+1} + \cdots + E_n$  and  $1_A = E_1 + \cdots + E_n$  where the  $E_i$  are pairwise orthogonal central primitive idempotents for  $1 \leq i \leq n$ . Let  $P$  be any central primitive idempotent. Then  $P = 1_A P = P 1_A = P E_1 + \cdots + P E_n = E_1 P + \cdots + E_n P$  and  $P E_i \cup E_i P \subset P \cap E_i$  for all  $i$ . Thus,  $P E_i \neq 0$  for some  $i$ , say  $i = 1$ , without loss of generality. We claim that  $P = E_1$ , since  $P E_1 \neq 0$ . We have  $P = P^{(\infty)} = P_1 + \cdots + P_n$  where  $P_j = (P \cap E_j)^{(\infty)}$ . Since  $P_i^2 = P_i$  and  $P_j P_i = 0 = P_i P_j$  for  $i \neq j$ ,  $P = P_j$  for some  $j$ . Thus,  $P \subset E_j$ . Since  $P E_1 \neq 0$ , we have  $j = 1$  and  $P \subset E_1$ . If  $P = 1_A$ , then  $1_A = P = E_1$ , and we are done. Otherwise, write  $1_A = P + Q$  where  $P$  and  $Q$  are orthogonal central idempotents. Then  $E_1 = E_1 1_A = E_1 P + E_1 Q = P + E_1 \cap Q = P + P'$  where  $P' = (E_1 \cap Q)^{(\infty)}$ . Thus,  $P' = 0$  and  $E_1 = P$ ; for otherwise  $P'$  is an idempotent orthogonal to  $P$  and  $E_1$  is not primitive.  $\square$

**THEOREM 2.3.** *Let  $G$  be the connected component of the identity of the automorphism group  $\text{Aut } A$  of a nonassociative algebra  $A$ . Then  $G$  and its Lie algebra  $\dot{G}$  stabilize each central idempotent of  $A$ . If the characteristic is 0, the central idempotents are stable under the derivations of  $A$ . And if  $A$  is a Lie algebra of characteristic 0, the central idempotents are ideals of  $A$ .*

*Proof.* The subgroup  $H$  of elements of  $G$  which stabilize each central idempotent of  $A$  is closed. Furthermore,  $G$  permutes the central idempotents of  $A$ . Since there are only finitely many, by Theorem 2.2,  $G:H$  is finite. But then  $H$  is open, since  $H$  and its finitely many cosets are closed. Thus,  $H$  is open and closed, so that  $G = H$  by the connectedness of  $G$ . Thus, the central idempotents of  $A$  are stable under  $G$ , therefore under  $\dot{G}$ . In characteristic 0,  $\dot{G} = \text{Der } A$ , where  $\text{Der } A$  is the algebra of derivations of  $A$ . If  $A$  is a Lie algebra of characteristic 0, we therefore have  $\text{ad } A \subset$

Der  $A \subset \dot{G}$ , so that the central idempotents of  $A$  are ad  $A$ -stable, that is, they are ideals of  $A$ .  $\square$

**COROLLARY 2.4.** *Let the central idempotents of  $A$  be  $E_1, \dots, E_n$ . Then for any idempotent ideal  $I$  of  $1_A$ ,  $I = I_1 + \dots + I_n$  where  $I_i$  is an idempotent of  $E_i$  ( $1 \leq i \leq n$ ). If  $A$  is a Lie algebra, these  $I_i$  can be taken to be ideals of  $1_A$ .*

*Proof.*  $I = 1_A I = \sum_{i=1}^n E_i I \subset \sum_{i=1}^n E_i \cap I \subset I$  and  $I = \sum_{i=1}^n I_i$  where  $I_i = (E_i \cap I)^{(\infty)}$ . Note that  $I_i$  is an ideals of  $1_A$  if  $A$  is a Lie algebra.  $\square$

**COROLLARY 2.5.** *Suppose that  $L$  is a Lie algebra. Then the Cartan subalgebras  $H$  of  $1_L = L^{(\infty)}$  are the subalgebras  $H = H_1 + \dots + H_n$  where the central primitive idempotents are  $E_1, \dots, E_n$  are  $H_i$  is a Cartan subalgebra of  $E_i$  for  $1 \leq i \leq n$ . For each such  $H$ ,  $H_i = E_i \cap H$  for  $1 \leq i \leq n$ .*

*Proof.* Each such  $H$  is a Cartan subalgebra of  $1_L$ , since  $(1_L)_0(\text{ad } H) = \sum_{i=1}^n (E_i)_0(\text{ad } H) = \sum_{i=1}^n (E_i)_0(\text{ad } H_i) = \sum_{i=1}^n H_i = H$ . Conversely, let  $H$  be a Cartan subalgebra of  $1_L = L^{(\infty)}$ . Let  $H_i = E_i \cap (H + \sum_{j \neq i} E_j)$  for  $1 \leq i \leq n$ , and note that  $H \subset H_1 + \dots + H_n$  since  $H \subset E_1 + \dots + E_n$ . We may conclude that  $H_i \subset (E_i)_0(\text{ad } H_i) \subset (E_i)_0(\text{ad } H) = E_i \cap H \subset H$  for  $1 \leq i \leq n$ , so that  $H = H_1 + \dots + H_n$ . But then  $H_i = E_i \cap H = (E_i)_0(\text{ad } H) = (E_i)_0(\text{ad } H_i)$  and  $H_i$  is a Cartan subalgebra of  $E_i$  for  $1 \leq i \leq n$ .  $\square$

Note that the Cartan subalgebra  $H$ , in the above theorem, is split if and only if  $H_i$  is split for  $1 \leq i \leq n$ . In the proofs of Theorems 2.6 and 3.3, we make use of  $[H_i, H_j] = 0$  for  $i \neq j$  to conclude that  $R(X_i \cup X_j) = R(H_1 \cup \dots \cup H_n) = R(H_1 + \dots + H_n) = R(H)$ .

**THEOREM 2.6.** *Let  $H$  be a split Cartan subalgebra of an idempotent Lie algebra  $L$ , and let  $R = R_1 \cup \dots \cup R_n$  be the decomposition of the set  $R$  of roots of  $H$  into its connected components  $R_i$  ( $1 \leq i \leq n$ ) in the symmetric  $G$ -locology for  $R$  where  $G = F(H, k)$ . Then*

- (1)  $R_i$  is open and closed for  $1 \leq i \leq n$ ;
- (2) the ideals  $E_i = \langle L_{R_i} \rangle = L_{R_i} + H_{R_i}$  ( $1 \leq i \leq n$ ) are the central primitive idempotents of  $L$  so that  $L = E_1 + \dots + E_n$ ,  $[E_i, E_j] = 0$  for  $i \neq j$ ;
- (3)  $L$  is primitive if and only if  $R$  is connected.

*Proof.* Let  $E_1, \dots, E_m$  be the central primitive idempotents of

$L$  and  $H_i = H \cap E_i$  ( $1 \leq i \leq m$ ). By Theorem 2.2 and Corollary 2.5,  $L = E_1 + \cdots + E_m$ ,  $H_i$  is a split Cartan subalgebra of  $E_i$  ( $1 \leq i \leq m$ ) and  $H = H_1 + \cdots + H_m$ . Let  $X_i = \bigcup_{j=1}^m H_j - H_i$  and  $R_i = R(x_i)$  ( $1 \leq i \leq m$ ). We claim that the  $R_i$ , which are closed, are also open; and that the  $R_i$  are, in fact, the connected components of  $R$ . Note first that  $R_i \cap R_j = R(X_i \cup X_j) = R(H) = \phi$  for  $i \neq j$ . Next, let  $a \in R$ , so that  $0 \neq L_a(\text{ad } H) = \sum (E_i)_a(\text{ad } H_i)$  and  $0 \neq (E_i)_a(\text{ad } H_i)$  for some  $i$ . Then  $0 = (E_i)_a(\text{ad } H_j)$  since  $[E_i, E_j] = 0$ , so that  $a(H_j) = 0$  for  $i \neq j$ . Thus,  $a \in R(X_i) = R_i$ . It follows that  $R = R_1 \cup \cdots \cup R_m$  (disjoint union of closed sets). Furthermore,  $a(H_i) \neq 0$ , and we see easily that  $R_i$  therefore is also  $R_i = R - R(H_i)$ , an open set ( $1 \leq i \leq m$ ). Moreover, we see that  $R_i = \{a \in R \mid (L_i)_a(\text{ad } H) \neq \{0\}\}$  ( $1 \leq i \leq m$ ). Since  $R_i \cap R_j = \phi$  for  $i \neq j$ , it follows that  $E_i$  contains  $L_{R_i}$  and  $E_i \cap L_{R_j} = 0$  for  $1 \leq i, j \leq m$  and  $i \neq j$ . Since  $R_i$  is open, closed and symmetric,  $F_i = L_{R_i} + H_{R_i}$  is an ideal of  $L$  ( $1 \leq i \leq m$ ). Since  $E_i \supset \langle L_{R_i} \rangle = F_i$ , since  $F_i^2 = F_i$  ( $1 \leq i \leq m$ ) and since  $L = L^2 = L_R + H_R = F_1 + \cdots + F_m$ , the  $F_i$  are central idempotents of  $L$ . It follows easily from Theorem 2.2 that  $E_i = F_i$ , so that  $E_i = L_{R_i} + H_{R_i}$  ( $1 \leq i \leq m$ ). For (1) and (2), it now remains only to show that  $R_i$  is connected. Thus, suppose that  $R_i = S \cup T$  (disjoint union) where  $S, T$  are relatively closed and symmetric in  $R_i$ . Since  $S$  and  $T$  are relatively closed and symmetric in  $R_i$ , and disjoint,  $S$  and  $T$  are relatively open in  $R_i$ . It follows that, in the Lie algebra  $L_i = L_{R_i} + H$ ,  $S$  and  $T$  are open, closed and symmetric. Thus,  $[L_S, L_T] = 0$  by Corollary 1.2, since  $S \cap T = \phi$ . It follows that  $E_i = L_{R_i} + H_{R_i} = E + F$  where  $E = L_S + H_S$ ,  $F = L_T + H_T$ ,  $E^2 = E$ ,  $F^2 = F$ ,  $EF = 0$ . Since  $E_i$  is primitive,  $E_i = E$  or  $F_i = F$  and  $T = \phi$  or  $S = \phi$ . It follows that  $R_i$  is connected ( $1 \leq i \leq m$ ). In particular  $m = n$ . Now (3) follows from (1) and (2), and all assertions have been established.  $\square$

**COROLLARY 2.7.** *For a Lie algebra  $L$  with split Cartan subalgebra  $H$  and set  $R$  of roots, if  $L$  is semisimple (characteristic 0) or classical (characteristic  $p > 0$ ), then the connected components  $R_i$  of  $R$  in the symmetric  $G$ -locology are the irreducible root systems of  $R$  in the sense of Bourbaki [1].*  $\square$

In the proof of Theorem 2.6, it is actually shown that the  $R_i$  are open and closed in the locology  $\{R(x) \mid X \subset H\}$  which, a priori, is a coarser locology than the symmetric  $G$ -locology. On the other hand, the  $R_i$  are also the connected components of  $R$  in the symmetric  $G$ -topology of  $R$ .

**3. Ideal structure and locology of a Lie algebra and its root spaces.** In this section, we consider a finite dimensional Lie algebra

$L$  with split Cartan subalgebra  $H$  and corresponding set  $R$  of roots with the symmetric  $G$ -locology of 1.2, 1.20.

**THEOREM 3.1.** *Let  $L = L_1 + \cdots + L_n$  (sum of ideals) where  $[L_i, L_j] = 0$  for  $1 \leq i, j \leq n$  and  $i \neq j$ . Then*

(1)  $H = H_1 + \cdots + H_n$  and  $R = R_1 \cup \cdots \cup R_n$  (disjoint) where  $H_i = H \cap L_i$  and  $R_i = \{a \in R \mid (L_i)_a(\text{ad } H) \neq 0\}$  for  $1 \leq i \leq n$ ;

(2)  $R_i$  is open and closed,  $H_i$  is a Cartan subalgebra of  $L_i$  and  $L_i = H_i + L_{R_i}$  for  $1 \leq i \leq n$ ;

(3)  $L^\infty = \sum L_i^\infty$ ,  $L_i^\infty = L_{R_i} + H_{R_i}$  and  $[L, L_i^\infty] = L_i^\infty$  for  $1 \leq i \leq n$ .

*Proof.* As in the proof of Theorem 2.6, we see that  $H = H_1 + \cdots + H_n$ ,  $R = R_1 \cup \cdots \cup R_n$  (disjoint),  $R_i$  is open and closed and  $H_i$  is a Cartan subalgebra of  $L_i$  for  $1 \leq i \leq n$ . For  $a \in R_i$ , we have  $a \notin R_j$  and therefore  $(L_j)_a(\text{ad } H) = 0$  for  $i \neq j$ . It follows that the decomposition of  $L_i$  under  $\text{ad } H$  is  $L_i = H_i + \sum_{a \in R_i} L_a = H_i + L_{R_i}$ . Clearly  $L^\infty = L_1^\infty + \cdots + L_n^\infty$ , since  $[L_i, L_j] = 0$  for  $i \neq j$ . Since  $L_i \supset L_{R_i}$  and  $[L, L_i^m] = L_i^{m+1}$  for all  $m$ , we have  $L_i \supset L_{R_i}$ ,  $L_i^2 = [L, L_i] \supset L_{R_i}$ ,  $\dots$ . Thus  $L_i^\infty \supset L_{R_i}$ . Since  $L_{R_i} + H_{R_i}$  is an ideal of  $L_i$  and  $L_i/(L_{R_i} + H_{R_i})$  is nilpotent, we also have  $L_i \subset L_{R_i} + H_{R_i}$ , so that  $L_i = L_{R_i} + H_{R_i}$  for  $1 \leq i \leq n$ . That  $[L, L_i^\infty] = L_i^\infty$  is clear since  $L = L_1 + \cdots + L_n$  and  $[L_i, L_j] = 0$  for  $i \neq j$ .

The following theorem is proved in Winter [3] and, under a stronger hypothesis, in Winter [2].

**THEOREM 3.2.** *Let  $L$  be a Lie algebra,  $I$  an ideal of  $L$ . Suppose that either the characteristic  $p$  of  $L$  is 0 or  $(\text{ad}_I I)^p \subset \text{ad}_I I$ . Then  $I_0(\text{ad}(H \cap I))$  is a Cartan subalgebra of  $I$  for every Cartan subalgebra  $H$  of  $L$ .  $\square$*

**THEOREM 3.3.** *Let  $I$  be an ideal of  $L$  and suppose that  $I_0(\text{ad } H \cap I)$  is a Cartan subalgebra of  $I$ . Let  $I = I_1 + \cdots + I_n$  (sum of ideals) where  $[I_i, I_j] = 0$  for  $1 \leq i, j \leq n$  and  $i \neq j$ . Then*

(1)  $H_I = H_1 + \cdots + H_n$  and  $R_i = R_1 \cup \cdots \cup R_n \cup S$  (disjoint) where  $H_I = H \cap I$ ,  $R_i = \{a \in R \mid I_a(H_I) \neq 0\}$ ,  $S = R_I(H_I)$  and  $R_i = \{a \in R - S \mid I_a(H_I) \neq 0\}$  for  $1 \leq i \leq n$ ;

(2)  $R_i$  is relatively open and closed in  $R_I - S$ ,  $H_i + I_{is}$  is a Cartan subalgebra of  $I_i$  and  $I_i = (H_i + I_{is}) + I_{R_i}$  for  $1 \leq i \leq n$ .

*Proof.*  $I_0(\text{ad } H_I) = H_I + I_S$  is a Cartan subalgebra of  $I$  by Theorem 3.2. We have  $H_I = I_0(\text{ad } H) = \sum_{i=1}^n I_{i0}(\text{ad } H) = \sum_{i=1}^n H_i$ . Letting  $X_i = \bigcup_{j=1}^n H_j - H_i$  and  $\hat{R}_i = R_I(X_i)$  for  $1 \leq i \leq n$ , we have  $\hat{R}_i \cap \hat{R}_j = R_I(X_i \cup X_j) = R(H_1 \cup \cdots \cup H_n) = R(H_1 + \cdots + H_n) = R_I(H_I) = S$

for all  $i \neq j$ . Here, we use the fact that  $[h_i, h_j] = 0$  ( $h_i \in H_i$ ) for all  $i \neq j$  implies that  $a(h_1 + \cdots + h_n) = a(h_1) + \cdots + a(h_n)$ . Let  $R_i$  be the complement of  $S$  in  $\widehat{R_i}$ , so that  $R_i \cap R_j = \emptyset$  for  $i \neq j$ . For  $a \in R_I - S$ , we have  $0 \neq I_{ia}(H_I) = I_{ia}(\text{ad } H_i)$  for some  $i$ ; and therefore  $a(H_j) = 0$  for  $j \neq i$ ; and therefore  $a(H_i) \neq 0$  and  $a \in \widehat{R_i} - S = R_i$ . It follows that  $R_I = R_1 \cup \cdots \cup R_n \cup S$  (disjoint), with  $R_i$  relatively open and closed in  $R_I - S$ . It also follows that  $I_i = I_{i0}(\text{ad } H_I) + \sum_{a \in R_i} I_a = (H_i + I_{iS}) + I_{R_i}$ . As in the proof of Theorem 3.1,  $K = H_I + I_S$  is Cartan subalgebra of  $I$  implies that  $K_i = K \cap I_i = H_i + I_{iS}$  is a Cartan subalgebra of  $I_i$  for  $1 \leq i \leq n$ .  $\square$

We can now improve Corollary 1.21 and use it and Theorem 3.3 to prove that if  $H_\infty = H \cap L^\infty$  is a Cartan subalgebra of  $L$ , the connected components  $R_i$  of  $R$  in the symmetric  $G$ -locology are both open and closed. Whether this is true when  $H_\infty$  is not a Cartan subalgebra of  $L^\infty$  is an open question, the answer of which is probably negative.

**THEOREM 3.4.** *Let  $R_1, \dots, R_n$  be the connected components of  $R$ , in the symmetric  $G$ -locology, and let  $L_i = L_{R_i} + H_{R_i}$  ( $1 \leq i \leq n$ ). Then  $[L_i, L_i] \subset L_i$ ,  $[L_i, L_j] = 0$  for  $i \neq j$  and  $L^\infty = L_1 + \cdots + L_n$ .*

*Proof.* Choose a decomposition  $R = R_1 \cup \cdots \cup R_n$  (disjoint) with  $n$  maximal satisfying all of the following conditions:

- (1) The  $R_i$  are closed, nonempty, pairwise disjoint;
- (2) every connected subset of  $R$  is contained in some  $R_i$ ;
- (3) the conclusion of the Theorem 3.4 holds.

We claim that the  $R_i$  are the connected components of  $R$ , that is, that each  $R_i$  is connected. If  $R_n$  is not connected, then  $R_n = R'_n \cup R'_{n+1}$  (nonempty, closed, disjoint) and each connected subset of  $R_n$  is either in  $R'_n$  or in  $R'_{n+1}$ . In the context of the Lie algebra  $L_n = L_{R_n} + H_{R_n}$ ,  $R'_n$  and  $R'_{n+1}$  are relatively closed and open, so that  $L_n = L_a + L_b$  with  $L_a^2 \subset L_a$ ,  $L_b^2 \subset L_b$ ,  $[L_a, L_b] = 0$  where  $L_a = L_{R'_n} + H_{R'_n}$  and  $L_b = L_{R'_{n+1}} + H_{R'_{n+1}}$ . Thus,  $R_1, \dots, R_{n-1}, R'_n, R'_{n+1}$  satisfies conditions (1), (2), (3), a contradiction. We must conclude that  $R_n$  (and, similarly,  $R_i$  for all  $i$ ) is connected as asserted. Note that the assertion  $L^\infty = L_1 + \cdots + L_n$  is verified as in Corollary 1.21.  $\square$

**COROLLARY 3.5.** *Suppose that  $H_\infty = H \cap L^\infty$  is a Cartan subalgebra of  $L^\infty$ . Then*

- (1) *the connected components  $R_i$  ( $1 \leq i \leq n$ ) of  $R$  are both open and closed;*
- (2)  *$H_{R_i}$  is a Cartan subalgebra of  $L_{R_i} + H_{R_i} = L_i$  ( $1 \leq i \leq n$ )*

and  $L^\infty = L_1 + \cdots + L_n$  (sum of ideals of  $L$ ) where  $[L_i, L_j] = 0$  for  $i \neq j$ .

*Proof.* We have (2) by Theorem 3.4 and the hypothesis. Thus, by Theorem 3.3,  $R_i$  is open and closed in  $R_I - S = R - S = R - R(H_\infty) = R - \phi = R$ .  $\square$

Finally, we note that Theorem 3.4 is in the direction of a converse to Theorem 3.1. It provides a decomposition  $L^\infty = L_1 + \cdots + L_n$  where  $L_i = L_{R_i} + H_{R_i}$  and the  $R_i$  are the connected components of  $R$ . It follows immediately that the same is true if the  $R_1, \dots, R_n$  are pairwise disjoint and every connected component of  $R$  is contained in one of the  $R_i$  as is the case when  $R = R_1 \cup \cdots \cup R_n$  is disjoint union of open and closed sets (the situation which immerges in Theorem 3.1). Although it may not be possible to lift such a decomposition  $L^\infty = L_1 + \cdots + L_n$  to a decomposition  $L = \bar{L}_1 + \cdots + \bar{L}_n$  of  $L$  (compare with the hypothesis of Theorem 3.1), the following lifting is possible when  $H$  is abelian.

**THEOREM 3.6.** *Let  $H$  be abelian and let  $L^\infty = L_1 + \cdots + L_n$  with  $L_i = L_{R_i} + H_{R_i}$ ,  $R = R_1 \cup \cdots \cup R_n$  (disjoint) and  $[L_i, L_i] \subset L_i$ ,  $[L_i, L_j] = 0$  for all  $i \neq j$ . Then there is a Lie algebra  $\hat{L}$  containing  $L$  as ideal and decomposition  $\hat{L} = \hat{L}_1 + \cdots + \hat{L}_n$  (sum of ideals such that  $[\hat{L}_i, \hat{L}_j] = 0$  for  $i \neq j$  and  $\hat{L}_i \cap L = L_i$  ( $1 \leq i \leq n$ )).*

*Proof.*  $L$  is ideal of  $M = (\text{Der } L) \oplus L$  (semidirect) where  $[D, x] = D(x)$  for  $D \in \text{Der } L$ ,  $x \in L$ . Let  $h \in H$  and define  $D_i: L \rightarrow L$  so that  $D_i$  is linear,  $D_i(H) = 0$ ,  $D_i|_{L_{R_i}} = \text{ad } h|_{L_{R_i}}$ .  $D_i(L_{R_i}) = 0$  for  $i \neq j$ . One easily verifies that  $D_i \in \text{Der } L$  ( $1 \leq i \leq n$ ). Since  $D_i$  depends on  $h$ , we use the notation  $D_i = D_i(h)$ . The span  $\hat{H}_0$  of  $\{D_i(h) | 1 \leq i \leq n, h \in H\}$  is a commutative subalgebra of  $\text{Der } L$  and we let  $\hat{L} = \hat{H}_0 + L$  and  $\hat{H} = \hat{H}_0 + H$ . Clearly  $\hat{H}$  is a Cartan subalgebra of  $\hat{L}$ . Let  $\hat{H}_i = \{x \in \hat{L} | [x, L_j] = 0 \text{ for all } i \neq j \text{ and } [x, H] = 0\}$ . We claim that  $\hat{H} = \hat{H}_1 + \cdots + \hat{H}_n$ . Clearly,  $\hat{H}_1 + \cdots + \hat{H}_n$  contains  $\hat{H}_0$ . Let  $h \in H$  and  $x = h - (D_1(h) + \cdots + D_n(h))$ . Then  $[x, L_i] = 0$  for  $1 \leq i \leq n$ . Furthermore,  $[x, H_0] = 0$ . Finally,  $[x, \hat{H}_0] = 0$ . It follows that  $x$  centralizes  $\hat{L}$ . In particular,  $x \in \hat{L}_0(\text{ad } \hat{H}) = \hat{H}$ . It follows that  $x \in \hat{H}_i$  for all  $i$  and that  $h = x + D_1(h) + \cdots + D_n(h) \in \hat{H}_1 + \cdots + \hat{H}_n$ . Thus,  $H \subset \hat{H}_1 + \cdots + \hat{H}_n$ , so that  $\hat{H} \subset \hat{H}_1 + \cdots + \hat{H}_n$ . Since  $[\hat{H}_1, H] = 0$  and  $[\hat{H}_i, L_j] = 0$  for  $i \neq j$ , we have  $[\hat{H}_i, D_i(H)] = 0$  and  $[\hat{H}_i, D_j(H)] = 0$  for  $i \neq j$ , so that  $[\hat{H}_i, \hat{H}_0] = 0$ . It follows that  $\hat{H}_i \subset \hat{L}_0(\text{ad } \hat{H}) = \hat{H}$  ( $1 \leq i \leq n$ ). Thus,  $\hat{H} = \hat{H}_1 + \cdots + \hat{H}_n$ . Let  $\hat{L}_i = \hat{H}_i + L_i$  ( $1 \leq i \leq n$ ). It is then evident that  $\hat{L} = \hat{L}_1 + \cdots + \hat{L}_n$  is a decomposition satisfying the asserted conditions.  $\square$



Clearly, the  $R_i$  in Theorem 3.6 are open and closed in  $R$  in the locology defined by  $\hat{H}$ .

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<b>Mariano Giaquinta, Jindrich Necas, O. John and J. Stará,</b> <a href="#">On the regularity up to the boundary for second order nonlinear elliptic systems</a> . . .	1
<b>Siegfried Graf,</b> <a href="#">Realizing automorphisms of quotients of product <math>\sigma</math>-fields</a> . . .	19
<b>Alfred Washington Hales and Ernst Gabor Straus,</b> <a href="#">Projective colorings</a> . . .	31
<b>Sandra Hayes,</b> <a href="#">The weak Nullstellensatz for finite-dimensional complex spaces</a> . . .	45
<b>Gerald Norman Hile and Murray Harold Protter,</b> <a href="#">The Cauchy problem and asymptotic decay for solutions of differential inequalities in Hilbert space</a> . . .	57
<b>Robert D. Little,</b> <a href="#">Projective space as a branched covering with orientable branch set</a> . . .	89
<b>Jaroslav Mach,</b> <a href="#">On the proximality of Stone-Weierstrass subspaces</a> . . .	97
<b>John C. Morgan, II,</b> <a href="#">On product bases</a> . . .	105
<b>K. Balakrishna Reddy and P. V. Subrahmanyam,</b> <a href="#">Altman's contractors and fixed points of multivalued mappings</a> . . .	127
<b>James Ted Rogers Jr.,</b> <a href="#">Decompositions of homogeneous continua</a> . . .	137
<b>Ahmed Ramzy Sourour,</b> <a href="#">Characterization and order properties of pseudo-integral operators</a> . . .	145
<b>Robert Moffatt Stephenson Jr.,</b> <a href="#">Pseudocompact and Stone-Weierstrass product spaces</a> . . .	159
<b>Bruce Stewart Trace,</b> <a href="#">On attaching 3-handles to a 1-connected 4-manifold</a> . . .	175
<b>Akihito Uchiyama,</b> <a href="#">The construction of certain BMO functions and the corona problem</a> . . .	183
<b>Thomas Alva Whitehurst,</b> <a href="#">An application of orthogonal polynomials to random walks</a> . . .	205
<b>David J. Winter,</b> <a href="#">Root locologies and idempotents of Lie and nonassociative algebras</a> . . .	215
<b>William Robin Zame,</b> <a href="#">The classification of uniform algebras on plane domains</a> . . .	231