

Pacific Journal of Mathematics

**ON A NEW TYPE OF L -FUNCTION FOR ALGEBRAIC CURVES
OVER FINITE FIELDS**

DAVID GOSS

ON A NEW TYPE OF L -FUNCTION FOR ALGEBRAIC CURVES OVER FINITE FIELDS

DAVID GOSS

Recently there has emerged a new theory of curves over a finite field. This theory is exciting in that it establishes previously unknown analogies between cyclotomic fields and function fields over a finite field. An extremely important aspect of this work is a new type of L -series for these function fields. These L -series bear quite remarkable and exciting similarities to classical L -series of number fields. The purpose of this paper is to describe these new L -series in detail.

TABLE OF CONTENTS

INTRODUCTION	143
1. BACKGROUND	145
2. THE THEORY AT INFINITY	148
2.1 Basic concepts	
2.2 A remark on the values of Dirichlet series	
2.3 Definition of π -adic functions and their analytic continuation	
2.4 Complements	
3. DESCRIPTION OF VALUES AT POSITIVE INTEGER POWERS	156
3.1 The Γ -ideal	
3.2 Special values of zeta functions at positive integral powers $\equiv 0(r-1)$	
3.3 Special values of partial zeta-functions at positive integral powers	
4. THE RELATIVE ZETA-FUNCTIONS	161
5. THE THEORY WHEN s_1 IS A NEGATIVE INTEGER	163
5.1 The algebraicity of L -series for $s_1 = -i$	
5.2 Some trivial zeros for zeta and L -functions	
5.3 Some additional results when $A = \mathbb{F}_r[T]$	
5.4 The v -adic theory and interpolation	
5.5 A remark on functional equations	
6. THE CONNECTION WITH DISTRIBUTION THEORY	175
6.1 Distribution and measures	
6.2 The π -adic theory	
6.3 Group theoretic interpretation of zeros	
6.4 The v -adic theory	
7. GALOIS GROUP INTERPRETATION VIA CLASS-FIELD THEORY	179
REFERENCES	181

Our desire to study such functions arose out of the following fact (discovered by L. Carlitz and independently, but much later, by the author): Let $i \in \mathbb{N}^+$. Then there exists a non-zero constant λ such that the convergent $1/T$ -adic sum

$$\lambda^{-i} \sum_{0 \neq \beta \in \mathbb{F}_r[T]} \beta^{-i}, \quad i \equiv 0(r-1),$$

actually is in $\mathbb{F}_r(T)$. One is immediately struck with the similarity to classical results on integer sums due to Euler. Carlitz also proved a von-Staudt result for these numbers that is close to the classical one, (see [7]).

The proof of these results involves the theory of elliptic modules ([1], [2], [3], [10], [11]) and their moduli. One is struck here with the analogy to cyclotomic fields. Because of these remarkable similarities, the author was led to search for the “meaning” along standard lines for these results. In particular, he followed classical ideas and introduced the continuous functions of ([6]) as the tools needed to accomplish this goal. We stress that this was a totally new type of undertaking and even involved a new type of analytic continuation.

The set-up that we use is more general than just polynomial rings: Let C be a fixed smooth algebraic curve over \mathbb{F}_r , $r = p^n$, $p \in \mathbb{N}$. Let k be the function field of C and let ∞ be a fixed rational point. Finally, let A be the Dedekind ring of those functions regular outside ∞ . Then we use A as the basic “integers” of our theory and define over objects with respect to A . (One instance is when $A = \mathbb{F}_r[T]$, as above.) We then study these objects at *all* the primes of k .

Historically, zeta-functions contain (at least) two types of information: The information contained in their special-values and the information contained in their zeroes. Unlike the classical theory of the Riemann zeta-function, our functions *seem* to have two distinct theories of special-values. One of these arises from the above facts, while the other arises when we give these functions their analytic continuation and its meaning is described in §7. As for the meaning of the zeroes of these functions there are some general themes running through this work that deserve special attention. The first is the “two-variable” property of our functions, (see [7]): Simply put, a two-variable function is a continuous function on a product of a characteristic-0 space and a characteristic- p space with analyticity in the finite characteristic space. All functions, at all primes, always end up being two-variable; an obvious though not well-understood, key to the theory.

The second concerns the general closeness of the theories at all primes of k which was not apparent in ([6]). In fact, there is little difference between the theory at ∞ and *any* finite prime; the sole difference being the above mentioned results on power sums. For instance, at *all* primes, our functions are entire (see 2.1), at all primes they have Euler products, at all primes there are partial zeta-functions, etc. Thus their zero theory seems to be a curious amalgam: it contains aspects of both the classical p -adic and complex theories. This closeness is also borne out in the Γ -functions of ([7]). Finally, we point out that there are trivial zeroes at the negative integers for our functions in *exact* accordance with classical results.

The third theme involves functional equations. It will become apparent to the reader that our functions are quite similar to classical L -series, but *without* their functional equations. So, for instance, one set of our special-values will contain a von Staudt type result while the other will contain congruences but no denominators, etc. As there does not appear to be any simple relationship between these values, we are thus presented with what appears to be a golden opportunity to obtain insight into functional equations. In fact, in §5.5 we will present some remarkable evidence for some sort of a functional equation, but of a radically new sort.

The fourth, and last, theme concerns the relationship between the zeroes of our functions at the various primes they are interpolated. In the case of the Artin zeta-function of a curve, this is trivial as the function is rational at all primes. But, for the Riemann zeta-function there is no apparent relationship between the zeroes of the complex and p -adic theories. On the other hand, our functions appear to possess a mechanism for passing between the various primes. Thus, they appear as a sort of “missing link” between the classical L -series of number fields and function fields.

In summary, we believe these new L -series are loaded with arithmetic information, (e.g., see §7). We also believe they offer a new and unique opportunity for insight into the classical functions. The situation is quite fluid and a new idea in any one of many areas will tell us much. We hope the reader will be inspired to probe deeper into the meaning of these functions and thereby discover the information they seem to so richly contain.

1. Background. As in the introduction, we have $\text{Spec}(A) = C - \infty$, k = the function field of A , and $K = k_\infty$, the completion at ∞ . We let the symbol “ \mathfrak{P} ” stand for finite primes of A and “ \mathfrak{A} ” to stand for A -fractional ideals. We let “ $\hat{\mathfrak{A}}$ ” denote the inverse fractional ideal. Associated to \mathfrak{P} , we have the additive valuation v . We let A_v be the completion of A at v , and k_v the associated complete field. Finally, let $\mathbb{O}_\infty \subseteq k$ be the ring of integers.

Let π be a *fixed* uniformizer at ∞ . We use the phrase “ π -adic” to mean “ ∞ -adic”. We also use “ v -adic” to mean “ \mathfrak{P} -adic”. Thus, A is discrete π -adically but dense v -adically. We think of A as being analogous to \mathbb{Z} , A_v to \mathbb{Z}_p , etc.

For each \mathfrak{A} , we let $D(\mathfrak{A})$ be its degree as a finite divisor. Clearly, $D(\mathfrak{A}_0 \mathfrak{A}_1) = D(\mathfrak{A}_0) + D(\mathfrak{A}_1)$. If $\mathfrak{A} = (a)$, we set $D(a) = D(\mathfrak{A})$. It is clear that $D(a) = \{\text{order of pole of } a \text{ at } \infty\}$. Finally, if $x \in K^*$, we set $D(x) = \{\text{order of pole of } x \text{ at } \infty\}$.

Let $x \in K^*$.

DEFINITION. We say x is monic (with respect to π) iff $x\pi^{D(x)} \in U_1 = \{1\text{-units at } \infty\}$. If x is monic we set $\langle x \rangle = \pi^{D(x)}x$.

Any non-zero element differs from a monic by an element of \mathbf{F}_r^* . Further, if x_1, x_2 are monic with $D(x_1) = D(x_2)$, then $D(x_1 - x_2) < D(x_1)$. On the other hand, if x is monic and y arbitrary with $D(y) < D(x)$, then $x + y$ is still monic with $D(x + y) = D(x)$. Finally, if x_1, x_2 are monic, then x_1x_2 is also.

Notice that picking π is the same as giving a splitting $K^* = \mathbf{Z} \times U$, where U is the group of units at ∞ . An interpretation in terms of class field theory is given in §7.

As a convention, if a lower case English letter denotes an element of k , then it denotes a *monic* element. Further if L is a field then we denote a fixed algebraic closure by \bar{L} and we denote by L_{ab} the abelian closure of L in \bar{L} .

Now let L be an arbitrary field over \mathbf{F}_r equipped with additive valuation v . Let $W \subseteq L$ be a finite dimensional \mathbf{F}_r -vector space. The following lemma will be basic to the calculations that follow. Note the very strong estimates that it gives.

1.2. LEMMA. *Let $x \in L$. Then*

(1) $\sum_{w \in W} (x + w)^i = 0$ for $0 \leq i < (r - 1)\dim_{\mathbf{F}_r} W$.

(2) Assume $v(w) > 0$ for all $w \in W$. Let

$$W_j = \{w \in W \mid v(w) \geq j\}.$$

Then,

$$v\left(\sum_{w \in W} w^i\right) \geq (r - 1)\left(\sum_{j \geq 1} \dim W_j\right) \quad \text{for all } i \in \mathbf{N}.$$

Proof. Let $\{e_1, \dots, e_m\}$ be a basis for W . By the multinomial theorem,

$$\begin{aligned} \sum_{w \in W} (x + w)^i &= \sum_{c_1, \dots, c_m \in \mathbf{F}_r} (x + c_1 e_1 + \dots + c_m e_m)^i \\ &= \sum_{j_1, \dots, j_m} \frac{i! x^{(i-j_1-\dots-j_m)}}{j_1! \dots j_m! (i-j_1-\dots-j_m)!} e_1^{j_1} \dots e_m^{j_m} \delta_{j_1} \dots \delta_{j_m}; \end{aligned}$$

where

$$\delta_j = \sum_{c \in \mathbf{F}_r} c^j = \begin{cases} -1 & \text{if } (r - 1) \mid j, j > 0 \\ 0 & \text{otherwise} \end{cases}$$

Therefore, 1 is immediate.

Next, pick $\{e_1, \dots, e_m\}$ so that $\{e_1, \dots, e_{\dim W_j}\}$ is a basis for W_j , all j . Let j_0 be such that $W_{j_0+1} = \{0\}$, but $W_{j_0} \neq \{0\}$. By our choice of basis, any $w \in W$ can be written as

$$W = P(w) + (w - P(w)),$$

where P is the projection onto W_{j_0} . Thus

$$w^i = \sum_{d=0}^i (w - P(w))^d P(w)^{i-d} \binom{i}{d}.$$

By applying (1) to $P(w) \in W_{j_0}$ and using induction, our sum has valuation $\geq (r-1)\sum_{j \geq 1} j \dim(W_j/W_{j+1}) = (r-1)\sum_{j \geq 1} \dim W_j$, and the lemma is established.

If $v(w) > 0$, then it makes sense to raise $(1+w)$ to the i th power for all $i \in \mathbf{Z}_p$.

1.3. COROLLARY. $v(\sum_{w \in W} (1+w)^i) \geq (r-1)(\sum_{j \geq 1} \dim W_j)$, all $i \in \mathbf{Z}_p$.

Proof. Note that

$$(1+w)^i = \sum_{l=0}^i w^l \binom{i}{l}.$$

Therefore, we can reduce to integral powers. The result follows from 1.2, as the estimate there is independent of the power involved.

Suppose that π_1 is another uniformizer at ∞ . Then π/π_1 is a unit at ∞ . Let $\pi_1/\pi \equiv \zeta(\pi)$, $\zeta \in \mathbf{F}_r^*$. Then we have the obvious

1.4. LEMMA. n is a monic with respect to π iff $\zeta^{D(n)}n$ is monic with respect to π_1 .

Thus, “monicity” carries only a small degree of ambiguity.

Next, we discuss some important abelian groups.

1.5. DEFINITION. (a) Let $I \subseteq A$ be an ideal. We set $P(I)$ to be the group of ideals generated by all (n) , with $n \equiv 1(I)$, $n \in A$. We let $P = P(A)$. We set $P^0(I)$ to be the group of all (n) with $((n), I) = 1$.

(b) We set $P(\pi^m)$ to be the group generated by all (n) with $\langle n \rangle \equiv 1(\pi^m)$. We set $P(I\pi^m)$ to be the group generated by all (n) with $\langle n \rangle \equiv 1(\pi^m)$ and $n \equiv 1(I)$.

1.6. DEFINITION. (a) We set \mathcal{G} to be the group of all A -fractional ideals.

(b) Let $C \subseteq A$ be an ideal. We set $\mathcal{G}(C)$ to be the group generated by all ideals prime to C .

1.7. LEMMA. (a) $\mathcal{G}(C)/P(C)$ is finite.

(b) $\mathcal{G}(C)/P(\pi^m C)$ is finite.

Proof. (a) is standard. To see (b) note that we have

$$0 \rightarrow P(C)/P(\pi^m C) \rightarrow \frac{\mathcal{G}(C)}{P(\pi^m C)} \rightarrow \mathcal{G}(C)/P(C) \rightarrow 0$$

and,

$$0 \rightarrow P(\pi^m C) \rightarrow P(C) \rightarrow U_1/U_1(m) \rightarrow 0;$$

where $U_1(m) = \{x \in K \mid x \equiv 1(\pi^m)\}$. The last arrow is surjective by the Riemann-Roch Theorem. This gives the result.

Note finally that we have

$$0 \rightarrow P^0(C)/P(\pi^m C) \rightarrow \mathcal{G}(C)/P(\pi^m C) \rightarrow \mathcal{G}(C)/P^0(C) \rightarrow 0,$$

with $\mathcal{G}(C)/P^0(C) \cong \mathcal{G}/P$.

2. The theory at infinity. Our purpose here is to define the L -series and discuss their π -adic theory. Our method is quite in line with the classical. We first find a continuous space for which the notion “ n^{-s} ”, $n \in A$, makes sense. Then our functions are defined by summation in the usual fashion. This summation converges on a “half-plane”, but may be extended analytically to the whole space. This leads to the “two-variable” notion.

2.1. *Basic concepts.* Let M denote the space of monic elements of K . Then $M = \pi^{\mathbb{Z}} \times U_1 \cong \mathbb{Z} \times U_1$. Let χ be a continuous K -valued character of M . Then, it is clear that χ is determined by a pair (a, E) , consisting of an element $a \in K^*$ and an element $E \in \text{End}_{\text{cont}}(U_1)$; where if $n = \pi^{-D(n)}\langle n \rangle$, then we have

$$\chi(n) = a^{D(n)} E(\langle n \rangle).$$

It is easy to see that U_1 is isomorphic to an infinite product of copies of \mathbb{Z}_p ; where $\text{End}_{\text{cont}}(U_1)$ is huge. In order to obtain something manageable, we replace the full space of endomorphisms with its subgroup $\mathbb{Z}_p = \{\text{closure of integral powers}\}$.

2.1.1. DEFINITION. We set $S_\infty = K^* \times \mathbf{Z}_p$. We call S_∞ the character space at infinity.

If $s = (s_0, s_1) \in S_\infty$, then $n^s = s_0^{D(n)} \langle n \rangle^{s_1}$, by definition.

Note that the integral powers appear in S_∞ as (π^{-i}, i) . We refer to (π^{-i}, i) as “ i ”. These powers are *discrete* in the product topology on S_∞ . Further, S_∞ forms an additive topological group in the obvious fashion.

We now want to make sense out of “ \mathfrak{A}^{-s} ”, $s \in S_\infty$. Let $C \subseteq A$ be a fixed ideal.

2.1.2. DEFINITION. We set $\hat{\mathcal{G}}(C) = \lim_{\leftarrow} \mathcal{G}(C)/P(\pi^m C)$. We set $\hat{\mathcal{G}} = \hat{\mathcal{G}}(A)$. From the results of 1.7, we see we have a map

$$0 \rightarrow \lim_{\leftarrow} P^0(C)/P(\pi^m C) \rightarrow \hat{\mathcal{G}}(C) \rightarrow \mathcal{G}(C)/P^0(C) \rightarrow 0.$$

But,

$$\lim_{\leftarrow} P^0(C)/P(\pi^m C) \xrightarrow{\sim} \lim_{\leftarrow} U_1/U_1(m) = U_1.$$

Thus, we view $\hat{\mathcal{G}}(C)$ as an extension of U_1 by $\mathcal{G}(C)/P^0(C) \cong$ Ideal class group of A .

2.1.3. DEFINITION. Let $(\mathfrak{A}, C) = 1$, we set

$$\langle \mathfrak{A} \rangle = \{\text{Image } \mathfrak{A} \text{ in } \hat{\mathcal{G}}(C)\}.$$

Let χ be a continuous \bar{K} -valued character on $\hat{\mathcal{G}}$ (or more generally, a continuous map into $\text{GL}(n, \bar{K})$). We always assume that $\chi(\langle n \rangle) = \langle n \rangle^{s_1}$, for all n . (The reader can easily construct such objects.) By abusing notation, we write,

$$\langle \mathfrak{A} \rangle^{s_1} \quad \text{for } \chi(\langle \mathfrak{A} \rangle).$$

We do not, of course, specify χ , but will always assume that one is chosen. The character χ is thus defined by its action on representatives of the classes of $\mathcal{G}(C)/P^0(C)$. In order to keep matters from becoming unnecessarily confused, we work only with $\hat{\mathcal{G}}$ in defining the L -series. The case when C is arbitrary offers no extra difficulties.

2.1.4. DEFINITION. Let $s = (s_0, s_1) \in S_\infty$. We set

$$\mathfrak{A}^s = s_0^{D(\mathfrak{A})} \langle \mathfrak{A} \rangle^{s_1}.$$

If $\mathfrak{A} = (n)$, then we see $\mathfrak{A}^s = n^s$ with the previous definition.

If \mathfrak{A} is a principal ideal, then we set $\theta(\mathfrak{A})$ to be its *unique* monic generator. Let $X = \{\mathfrak{A}_0, \dots, \mathfrak{A}_m\}$ be a collection of representatives of I/P . If $\mathfrak{A}/\mathfrak{A}_i$ is principal, then we set

$$\theta_X(\mathfrak{A}) = \theta(\mathfrak{A}/\mathfrak{A}_i).$$

Thus, $\mathfrak{A} = \theta_X(\mathfrak{A})\mathfrak{A}_i$, and $\mathfrak{A}^s = \theta_X(\mathfrak{A})^s \mathfrak{A}_i^s$.

Let $[L : K] < \infty$.

2.1.5. DEFINITION. We call any series of the form

$$\sum_{\mathfrak{A} \subseteq \mathcal{A}} c(\mathfrak{A})^{-s}, \quad \{c(\mathfrak{A})\} \subseteq L,$$

a formal Dirichlet series. Two such series are said to be equal iff all coefficients $\{c(\mathfrak{A})\}$ are equal.

From our axioms, we can sum $L(s)$ to obtain,

$$\begin{aligned} L(s) &= \sum_{i=0}^m \left(\sum_{a \in \mathfrak{A}_i} c(a\mathfrak{A}_i) a^{-s} \right) \mathfrak{A}_i^{-s} \\ &= \sum_{i=0}^m \left(\sum_{a \in \mathfrak{A}_i} c(a, \mathfrak{A}_i) a^{-s} \right) s_0^{-D(\mathfrak{A}_i)} \langle \mathfrak{A}_i \rangle^{-s_1}. \end{aligned}$$

2.1.6. DEFINITION. We set $L(\mathfrak{A}_i, s) = \sum_{a \in \mathfrak{A}_i} c(a\mathfrak{A}_i) a^{-s}$. Thus,

$$L(s) = \sum_{i=0}^m L(\mathfrak{A}_i, s) \mathfrak{A}_i^{-s} = \sum_{i=0}^m L(\mathfrak{A}_i, s) s_0^{-D(\mathfrak{A}_i)} \langle \mathfrak{A}_i \rangle^{-s_1}.$$

Further,

$$L(\mathfrak{A}_i, s) = \sum_{j > -\infty} s_0^{-j} \left(\sum_{\substack{D(a)=j \\ a \in \mathfrak{A}_i}} c(a\mathfrak{A}_i) \langle a \rangle^{-s_1} \right).$$

2.1.7. DEFINITION. We say that $L(\mathfrak{A}_i, s)$ has half-plane of convergence $D(s_0) > b$ iff for each fixed $s_1 \in \mathbf{Z}_p$, and for each i , the power series for $L(\mathfrak{A}_i, (s_0, s_1))$ converges for all s_0 with $D(s_0) > b$ and the resulting function on S_∞ is continuous. We say $L(s)$ is entire if we may let $b = -\infty$.

Note that the half-plane of convergence is obviously independent of X .

2.1.8. DEFINITION. Fix $s_1 \in \mathbf{Z}_p$. We say $L(\mathfrak{A}_i, (s_0, s_1))$ is a Laurent-polynomial iff it contains only finitely many powers of s_0 and s_0^{-1} . We say $L(s)$ is a Laurent-polynomial if $L(\mathfrak{A}_i, s)$ is one for all i .

Finally, if $A = \mathbb{F}_r[T]$, we *always* set $X = \{A\}$ and do not necessarily write in “ A^{-s} ”. For $\mathbb{F}_r[T]$ the theory obviously is much simpler than for general A .

2.2. A remark on the values of Dirichlet Series. Let $L(s)$ be a Dirichlet series. Suppose $L(s)$ has half-plane of convergence $D(s_0) \geq b$. Then, for all (s_0, s_1) with $D(s_0) > b$, the vanishing of

$$|L(\mathfrak{A}_i, s)|_\infty$$

is independent of \mathfrak{A}_i .

Thus, the zeroes of $L(\mathfrak{A}_i, s)$ are independent of the choice of \mathfrak{A}_i in its ideal class.

Let $s \in S_\infty$.

2.2.1. DEFINITION. (a) We say $L(s) = 0$ unconditionally iff $L(\mathfrak{A}_i, s) = 0$ all i .

(b) We say $L(s) = 0$ conditionally iff $L(s) = 0$ with the particular choice of character (or representation to $\mathrm{GL}(\mathfrak{A}, \bar{K})$).

Note that a implies b.

2.3. Definitions of the π -adic functions and their analytic continuations.

2.3.1. DEFINITION. We call the function

$$\prod_{\mathfrak{P} \text{ prime}} (1 - \mathfrak{P}^{-s})^{-1} = \sum_{\mathfrak{A} \subseteq A} \mathfrak{A}^{-s},$$

the zeta-function of A .

$\zeta(s)$ obviously converges for all s with $D(s_0) > 0$. Let $C \subseteq A$ be an ideal.

2.3.2. DEFINITION. (a) Let $\delta \in \mathcal{G}(C)/P(C)$. We set

$$\zeta(\delta, s) = \sum_{\substack{\mathfrak{A} \in \delta \\ \mathfrak{A} \subseteq C}} \mathfrak{A}^{-s}.$$

It is called a partial zeta-function.

(b) Let $\delta_1 \in \mathcal{G}(C)/P^0(C)$. We set

$$\zeta(\delta_1, s) = \sum_{\delta \rightarrow \delta_1} \zeta(\delta, s) \quad (\text{“} \rightarrow \text{” means “projects”}).$$

(Note that $\sum \zeta(\delta, s) = \zeta(s) \prod_{\mathfrak{P} \mid C} (1 - \mathfrak{P}^{-s})$.)

(c) Let f be a \bar{K} -valued function on $\mathcal{G}(C)/P(C)$. We set

$$L(f, s) = \sum_{\substack{(\mathfrak{A}, C)=1 \\ \mathfrak{A} \subseteq A}} f(\mathfrak{A}) \mathfrak{A}^{-s}.$$

If $f = \chi$ is a character, then

$$L(\chi, s) = \prod_{(\mathfrak{P}, C)=1} (1 - \chi(\mathfrak{P}) \mathfrak{P}^{-s})^{-1}.$$

Let $X = \{\mathfrak{A}_0, \dots, \mathfrak{A}_m\}$ and let δ be the ideal class of \mathfrak{A}_i . It is clear that

$$\zeta(\delta, s) = \zeta(\mathfrak{A}_i, s) \mathfrak{A}_i^{-s}, \quad \text{etc.}$$

Then, the components of our functions are essentially partial zeta functions.

It is clear all these functions converge for $D(s_0) > 0$. We now show that they are, in fact, entire.

Thus, let $\{\mathfrak{A}_i, C\} = 1$, all i , and let $\delta = \beta + P(C)$, $\beta \subseteq A$. If $(\mathfrak{A}, C) = 1$, then $\mathfrak{A} \in \delta$ iff \mathfrak{A}/β is principal and generated by a monic $\equiv 1 (C)$. Thus,

$$\zeta(\delta, s) = \sum_{i=0}^m \zeta(\delta, \mathfrak{A}_i, s) \mathfrak{A}_i^{-s},$$

with,

$$\zeta(\delta, \mathfrak{A}_i, s) = \sum_{n \in \mathfrak{A}_i} n^{-s},$$

where $(n\mathfrak{A}_i/\beta)$ is principal and generated by a monic $\equiv 1 (C)$. If \mathfrak{A}_i and β are not in the same class, then the condition is vacuous. If $\{\mathfrak{A}_i, \beta\}$ are in the same class, then our condition is the same as

$$n\theta_X(\beta)^{-1} \equiv 1 (C),$$

or

$$n \equiv \theta_X(\beta) \pmod{(\theta_X(\beta)C)}.$$

As

$$(\theta_X(\beta)) = (\beta/\mathfrak{A}_i),$$

we have

$$(\theta_X(\beta)C) \subseteq \hat{\mathfrak{A}}_i.$$

Summarizing,

$$\zeta(\delta, \mathfrak{A}_i, s) = \sum_{\substack{n \in \mathfrak{A}_i \\ n \equiv \theta_X(\beta) \pmod{(\theta_X(\beta)C}}} n^{-s}.$$

We remark that it is clear how to express $L(f, s)$ as a finite sum of partial zeta values. Further,

$$\zeta(s) = \sum_{i=0}^m \left(\sum_{n \in \mathfrak{A}_i} n^{-s} \right) \mathfrak{A}_i^{-s}.$$

Thus,

$$\zeta(\mathfrak{A}_i, s) = \sum_{\lambda \in \mathfrak{A}_i / (\theta_X(\beta)C)} \left(\sum_{n \in \lambda} n^{-s} \right).$$

Therefore, to show our functions are entire, we are reduced to showing functions of the following form are entire: Let \mathfrak{A} be a non-zero fractional ideal and let I be a non-zero ideal. Let $\lambda \in \mathfrak{A}/I\mathfrak{A}$ and let

$$L(s) = \sum_{\substack{n \in \mathfrak{A} \\ n \equiv \lambda \pmod{I\mathfrak{A}}}} n^{-s};$$

Then we need to show $L(s)$ is entire.

2.3.3. THEOREM. $L(s)$ is entire.

Proof. It is clear that, upon possibly multiplying $L(s)$ by a^{-s} , some $a \in k$, we may assume \mathfrak{A} is also integral.

Now,

$$L(s) = \sum_{j \geq 0} s_0^{-j} \left(\sum_{\substack{n \in \mathfrak{A} \\ n \equiv \lambda \pmod{I\mathfrak{A}} \\ D(n)=j}} \langle n \rangle^{-s_1} \right).$$

Let $\langle n \rangle = 1 + w_n$. We show first that the set

$$\left\{ w_n \right\}_{\substack{n \in \mathfrak{A} \\ n \equiv \lambda \\ D(n)=j}}$$

is a principal homogeneous space under a finite dimensional \mathbf{F}_r -vector space.

Let n_j be a fixed element in our set. Let n be an arbitrary such element. Then,

$$n \equiv \lambda \equiv n_j \pmod{I\mathfrak{A}}.$$

But $\{n - n_j\}$ is clearly a finite dimensional \mathbf{F}_r -vector space and

$$w_n = w_{n_j} + \pi^j(n - n_j) = w_{n_j} + (w_n - w_{n_j}).$$

Consequently, $\{w_n - w_{n_j}\}$ is a finite dimensional \mathbf{F}_r -vector space. Thus, the set

$$\left\{ \begin{array}{l} w_n \\ n \in \mathfrak{A} \\ n \equiv \lambda \\ D(n) = j \end{array} \right\}$$

can be written as

$$\{w_{n_j} + w\}$$

with $w \in W(i)$ and $\dim W(i) < \infty$. Consequently,

$$\begin{aligned} L(s) &= \sum_{j \geq 0} s_0^{-j} \left(\sum_{\substack{D(n)=j \\ n \in I\mathfrak{A}}} n^{-s_1} \right) = \sum_{j \geq 0} s_0^{-j} \left(\sum_{\substack{D(n)=j \\ n \equiv \lambda \\ n \in \mathfrak{A}}} (1 + w_n)^{-s_1} \right) \\ &= \sum_{j \geq 0} s_0^{-j} \left(\sum_{w \in W(j)} \left(1 + w / (1 + w_{n_j}) \right)^{-s_1} (1 + w_{n_j})^{-s_1} \right) \\ &= \sum_{j \geq 0} s_0^{-j} \left((1 + w_n)^{-s_1} \sum_{w \in W(j)} \sum_{c=0}^{\infty} \left(\frac{w}{1 + w_{n_j}} \right)^c \binom{-s_1}{c} \right) \\ &= \sum_{j \geq 0} s_0^{-j} \left(\sum_{w \in W(j)} \sum_{c=0}^{\infty} w^c (1 + w_{n_j})^{-(s_1+c)} \binom{-s_1}{c} \right). \end{aligned}$$

Now the Riemann-Roch Theorem gives us the existence of elements $n \equiv \lambda(I\mathfrak{A})$ with $D(n) = j$, $j \gg 0$. Indeed, for $j \gg 0$ these are of the form $\lambda + h$, $h \in I\mathfrak{A}$, $D(h) = j$. As $j = D(h)$ grows, we can produce elements w_n with arbitrary order of zero at ∞ , between 1 and $\mathbf{N}(j) \in \mathbf{N}$. Further, we may let $\mathbf{N}(j+1) = \mathbf{N}(j) + 1$. So the estimate of 1.2 tells us that the coefficient of s_0^{-j} is divisible by $\pi^{f(j)}$ with $f(j)$ quadratic in j . The result easily follows.

2.3.4. COROLLARY. *The functions of 2.3.1, 2.3.2 are entire.*

We now define, for future use, π -adic functions that have no *known* classical counterpart. They can be thought of as partial zeta-functions at ∞ , and, in the case $m = 1$, they reduce to those previously defined.

We use the notation of 1.5. Let \mathfrak{B} be an ideal and let δ be a class of $\mathcal{G}(\mathfrak{B})/P(\pi^m \mathfrak{B})$, and let δ_1 be a class of $\mathcal{G}(\mathfrak{B})/P^0(\mathfrak{B})$.

2.3.5. DEFINITION. (a) We set

$$\zeta(\delta, s) = \sum_{\substack{\mathfrak{A} \subseteq \mathcal{A} \\ \mathfrak{A} \in \delta}} \mathfrak{A}^{-s}$$

(b) We set $\zeta(\delta_{12}, s) = \sum_{\delta \rightarrow \delta_1} \zeta(\delta, s)$.

(c) Let f be a \bar{K} -valued function on $\mathcal{G}(\mathfrak{B})/P(\pi^m \mathfrak{B})$. We set

$$L(f, s) = \sum_{\delta} f(\delta) \zeta(\delta, s)$$

Note that

$$\sum_{\delta} \zeta(\delta, s) = \left(\prod_{\mathfrak{B}|\mathfrak{B}} (1 - \mathfrak{B}^{-s}) \right) \zeta(s).$$

2.3.6. THEOREM. *The functions of 2.3.5 are entire.*

Proof. As before, we reduce to handling functions of the form

$$\sum_{\substack{n \in \mathfrak{A} \\ n \equiv 1 \pmod{\mathfrak{B}} \\ \langle n \rangle \equiv 1 \pmod{\pi^m}}} n^{-s}.$$

Let $\lambda \in \mathfrak{B}\mathfrak{A}$ have degree $< j - m$ and assume that $j > m$. Let $n \in \mathfrak{A}$ have degree j . Then

$$D(n + \lambda) = D(n), \quad n + \lambda \equiv n(\mathfrak{B}\mathfrak{A}),$$

and

$$\langle n + \lambda \rangle = \langle n \rangle + \pi^m(\pi^{j-m}\lambda) \equiv \langle n \rangle(\pi^m).$$

Conversely, if $D(n_1) = D(n_2) = j$, $n_1 \equiv n_2(\mathfrak{B}\mathfrak{A})$, and $\langle n_1 \rangle \equiv \langle n_2 \rangle(\pi^m)$, then $n_1 - n_2 \in \mathfrak{B}\mathfrak{A}$ and $\pi^j(n_1 - n_2) \in (\pi^m)$. Thus, $n_1 - n_2 = \lambda$ is as above.

With these observations, we can proceed as before to the results.

2.4. *Complements.* (1) Let $s_1 \in \mathbf{Z}_p$ and let $L(s)$ be a component of one of the functions discussed in the previous chapter. As $L(s_0, s_1)$ is entire, it has a factorization

$$L(s_0, s_1) = c(s_1) s_0^{k(s_1)} \prod_{\alpha \in Z(s_1)} (1 - s_0^{-1}/\alpha),$$

where $c(s_1)$ is a constant, $k(s_1) \in \mathbf{Z}$, and $Z(s_1)$ is the set of zeroes of $L(s_0, s_1)$ counted with multiplicity. We remark that a very similar expression is also known for classical zeta-functions. On the other hand, whereas classical functions are essentially entire of order one, ours correspond to entire functions of order zero.

In the case of the zeta-function, it would be very interesting to see if there are any relations between the zero sets of the different components. We present a result in this direction in 5.2.

(2) It is interesting to compare the situation described above with the situation of the q -expansions, as described in ([5]). Indeed, the q -expansions of Eisenstein series involve sums for each coefficient q^i , $i \in \mathbf{N}$. Further, for each non-zero β (a “normalizing factor”) the coefficient of q_∞^i is multiplied by β^i . This should be contrasted with the fact that our L -series appear as sums of s_0^{-i} , $i > -\infty$, and each coefficient of s_0^{-j} involves sums not unlike those associated to the Eisenstein series.

(3) Finally, let $i \in \mathbf{Z}$. As a corollary of our results, we see sums of the form

$$\sum_{j \geq 0} s_0^{-j} \left(\sum_{\substack{D(n)=j \\ n \in \mathfrak{A}}} n^i \right)$$

are entire as a function of s_0^{-1} . Indeed, this particular example is $\zeta(\mathfrak{A}, (s_0/\pi^i, i))$.

3. Description of values at positive integer powers. For completeness, we now summarize the results on values of $\zeta(s)$ as described in ([7]). However, using the results of ([11]), we can simplify the exposition given there.

3.1. The Γ -ideal. Let x be a transcendental element.

3.1.1. DEFINITION. (1) We set $[i](x) = x^{r^i} - x$, $i \in \mathbf{N}^+$.

(2) We set $D_0(x) = 1$ and $D_i(x) = [i][i-1]^{r'} \cdots [1]^{r'^{i-1}}(x)$, for $i > 0$.

3.1.2. DEFINITION. (1) Let $i \in \mathbf{N}$ and let $\sum_{b=0}^v \alpha_b r^b$, be its r -adic expansion. For $a \in A$, we set

$$\Gamma(a, i) = D_0(a)^{\alpha_0} \cdots D_v(a)^{\alpha_v}.$$

(2) We set

$$\Gamma_i = (\Gamma(a, i))_{a \in A}.$$

We call Γ_i , the i th Γ -ideal.

3.1.3. PROPOSITION. (Sinnott). Let $\mathfrak{P} \subseteq A$ be a prime and $D(\mathfrak{P})$ its degree. Set $\alpha_{\mathfrak{P}}(i) = \sum_{e \geq 1} [i/r^{eD(\mathfrak{P})}]$ (where $[\]$ means greatest integer). Then,

$$\Gamma_i = \prod_{\mathfrak{P} \text{ prime}} \mathfrak{P}^{\alpha_{\mathfrak{P}}(i)}.$$

3.1.4. COROLLARY. Let $A = \mathbb{F}_r[T]$, then

$$\Gamma_i = (\Gamma(T + \zeta, i)), \quad \zeta \in \mathbb{F}_r.$$

The Γ -ideals are our “factorial”.

3.2. *Special values of zeta-functions at positive integral powers $\equiv 0 \pmod{r-1}$.* Let F be a field over k contained in \bar{K} .

3.2.1. DEFINITION. Let

$$L(s) = \sum_{j=0}^m L(\mathfrak{A}_j, s) \mathfrak{A}_i^{-s} = \sum_{j=0}^m L(\mathfrak{A}_j, s) s_0^{-D(\mathfrak{A}_j)} \langle \mathfrak{A}_j \rangle^{-s_1}.$$

Suppose $L(s)$ has half-plane of convergence $D(s_0) > b$. Let $i = (\pi^{-i}, i) > b$ and let $\lambda \in \bar{K}^*$. We say

$$\lambda^{-i} L(i) \in F$$

iff

$$\lambda^{-i} L(\mathfrak{A}_j, i) = \left(\frac{\pi^{-D(\mathfrak{A}_j)}}{\lambda} \right)^i \left(L((\mathfrak{A}_j, i)(\pi^{-i})^{-D(\mathfrak{A}_j)}) \right)$$

is in F for all j . (Note that this concept is independent of our choice of $\{\mathfrak{A}_i\}$.)

Let M_1 be the coarse moduli space of elliptic modules of rank 1. Thus, M_1 is the spectrum of the ring of A -integers in the Hilbert class-field, H , which is *totally-split* (“totally-real”) at ∞ . In ([11]), Hayes shows the existence of a rank one elliptic module, (φ) , with coefficients in the ring \mathcal{O}_H of A -integers of H .

Let $\mathfrak{A}_0 \lambda$ be the rank-one lattice associated to (φ) , where \mathfrak{A}_0 is a fractional-ideal. By applying $\text{Gal}(M_1/A)$ to (φ) , we can construct over \mathcal{O}_H , elliptic modules whose lattice is $\mathfrak{A}_i \lambda$, where $\{\mathfrak{A}_i\}$ runs through representations of the distinct ideal classes. We use the collection $X = \{\mathfrak{A}_0, \dots, \mathfrak{A}_m\}$ in our decomposition of $\zeta(s)$.

Now let $i \in \mathbb{N}^+$, with $i \equiv 0 \pmod{r-1}$. Then we have

3.2.2. THEOREM. $\lambda^{-i} \zeta(i) \in H$.

Proof. We just sketch the procedure for calculation. Let $\sigma \in \text{Gal}(M_1/A)$ and let (φ^σ) be $(\sigma(\varphi))$. Further, let $L = \mathfrak{A}_j \lambda$ be the lattice associated to (φ^σ) .

Let $e(z) = z + \sum_{i=0}^{\infty} c_i z^{r^i}$, be a formal power series with unknown coefficients, and let $a \in A$. We assume that for $a \in A - \mathbb{F}_r$, $e(z)$ satisfies the composition functional equation.

$$e(az) = \varphi^\sigma(a)(e(z)).$$

We may therefore find $\{c_i\}$ by induction. It is a theorem that $e(z)$ is everywhere convergent and that

$$e(z) = z \prod_{0 \neq \alpha \in L} (1 - z/\alpha).$$

As $e'(z) \equiv 1$, $ze'(z)/e(z) = z/e(z) = z \sum_{\alpha \in L} (z + \alpha)^{-1}$; whence the non-zero Taylor coefficients of $z/e(z)$ are of the form

$$-\lambda^{-i} \zeta(\mathfrak{A}_j, i)$$

for $i \equiv 0(r-1)$; 0 otherwise. On the other hand, these can be computed directly by long division. The result is now easy.

We call λ “the period of (φ) ”.

3.2.3. *Question.* For $A = \mathbb{F}_r[T]$, it is known that λ is transcendental, see ([12]). Is it so in general?

It is known that, as $\sigma \in \text{Gal}(M_1/A)$ varies, the values

$$\lambda^{-i} \zeta(\mathfrak{A}_j, i),$$

$i \equiv 0(r-1)$, are conjugate. They therefore generate a Galois stable fractional ideal over \mathcal{O}_H . It, therefore, descends to an A -fractional ideal $\subseteq k$, that we denote

$$\lambda^{-i} \widehat{\zeta(i)}.$$

3.2.4. **DEFINITION.** We call $B_i = \Gamma_i \lambda^{-i} \widehat{\zeta(i)}$, $i \equiv 0(r-1)$, $i \in \mathbb{N}^+$, $B_i = (0)$, $i \not\equiv 0(r-1)$, the i th Bernoulli-Carlitz fractional ideal.

We now state the basic result concerning the denominator of B_i . For a proof, see ([7]). In the case $A = \mathbb{F}_r[T]$, it is due to L. Carlitz, as are the basic ideas of proof.

Recall $r = p^n$.

3.2.5. **THEOREM.** ($r \neq 2$). Let $i \equiv 0(r-1)$ and let $\sum_{b=0}^v \alpha_b r^b$, be its p -adic expansion. We have two conditions on i ,

$$(1) \quad \sum \alpha_b / ((p-1)n) = h \in \mathbb{Z}$$

and

$$(2) \quad (r^h - 1) \mid i.$$

If i satisfies both these conditions, then the denominator of $B_i = \prod_{\mathfrak{P} \text{ prime}, D(\mathfrak{P})=h} \mathfrak{P}$. If not, then $B_i \subseteq A$.

3.2.6. THEOREM. ($r = 2$). *We retain the set-up of 3.2.5. If i satisfies the conditions (1), (2) of 3.2.5 and $h \neq 2$, then the denominator of B_i is $\prod_{\mathfrak{P} \text{ prime}, D(\mathfrak{P})=h} \mathfrak{P}$.*

If $h = 2$, i even, then the denominator

$$\prod_{\substack{D(\mathfrak{P})=2 \\ \mathfrak{P} \text{ prime}}} \mathfrak{P}.$$

If $h = 2$, i odd, then the denominator is

$$\prod_{\substack{D(\mathfrak{P})=1 \text{ or } 2 \\ \mathfrak{P} \text{ prime}}} \mathfrak{P}.$$

If i doesn't satisfy the conditions, then for i even

$$B_i \subseteq A,$$

while for i odd, the denominator is

$$\prod_{\substack{\mathfrak{P} \text{ prime} \\ D(\mathfrak{P})=1}} \mathfrak{P}.$$

3.2.6. REMARKS. (1) The Γ -values may be interpolated Morita-style at all primes of k to two-variable functions. For the details, we refer the reader to ([7]). (In keeping with the present work, it seems natural to extend the definition of ([7]) at ∞ as follows: Let $a \in k^*$, then we set for $i \in \mathbf{Z}_p$,

$$\Gamma_\infty(a, i) = \Gamma_\infty(\langle a \rangle, i),$$

where the element on the right is defined in ([7]). This definition reflects the fact that at ∞ our functions should be defined via π .) It seems clear that these Γ -functions are intimately related to the L -series, see 5.5.

(2) The proof of 3.2.5 and 3.2.6 is reminiscent of the proof of Stickelberger's Theorem on Gauss sums.

3.3. *Special values of partial zeta-functions at positive integral powers.* Let $0 \neq \mathfrak{A}$ be a fractional ideal, of k , I an integral ideal and $\alpha \in \mathfrak{A} - I\mathfrak{A}$. By 2.3, it is clear that we may restrict ourselves to studying functions of the form

$$\sum_{\substack{n \in \mathfrak{A} \\ n \equiv \alpha \pmod{I\mathfrak{A}}}} n^{-s}.$$

For now, let the above sum be denoted $L(\alpha, s)$.

3.3.1. PROPOSITION. *Let $i \in \mathbf{N}^+$. Then,*

$$\sum_{\beta \in \mathbf{F}_r^*} \beta^i L(\beta \alpha, i) = \sum_{\substack{\text{all } \delta \in \mathfrak{A} \\ \delta \equiv \alpha (I\mathfrak{A})}} \delta^{-i}.$$

Proof. We assume that α is chosen of least degree in its residue class. Let $h \in I\mathfrak{A}$; we have three cases:

(1) $D(h) > D(\alpha)$. In this case, $\alpha + h$ is monic, while $\alpha + \zeta h$ is not for $\zeta \in \mathbf{F}_r^* - \{1\}$. Thus, the sum on the left contributes

$$\sum_{\beta \in \mathbf{F}_r^*} (\alpha + \beta^{-1}h)^{-i};$$

so the result is obvious in this case.

(2) $D(h) < D(\alpha)$. In this case $\alpha + \zeta h$ is monic iff α is. The left-hand sum has a non-zero contribution only for those β with $\beta\alpha$ monic; in which case it contributes,

$$\begin{cases} \sum_{\zeta \in \mathbf{F}_r^*} (\alpha + \beta^{-1}\zeta h)^{-i} & \text{if } h \neq 0, \\ \alpha & \text{if } h = 0; \end{cases}$$

again the result is obvious.

(3) $D(h) = D(\alpha)$. In this case, for some $\zeta \in \mathbf{F}_r^*$, $D(\alpha - \zeta h) < D(\alpha)$. Thus we are back in case (1).

Now let (ψ) be an elliptic module of rank one defined over some affine piece of \mathcal{O}_H , as previously discussed, chosen so that its lattice is $cI\mathfrak{A}\lambda$ for some $c \in k^*$, and period λ .

3.3.2. THEOREM. $\lambda^{-i}(\sum_{\beta \in \mathbf{F}_r^*} \beta^i L(\beta \alpha, i)) \in k_{\text{ab}}$, for all $i \in \mathbf{N}^+$.

Proof. As before, we present a sketch. Also, as before, we let

$$e(z) = z \prod_{0 \neq \delta \in cI\mathfrak{A}\lambda} (1 - z/\lambda\delta).$$

Then, $e(z)$ has the functional equation,

$$e(az) = \psi(a)(e(z)),$$

for all $a \in A$.

Let $0 \neq i \in I$. It is clear that $i\alpha \in I\mathfrak{A}$. Thus, from above, we see

$$e(\lambda\alpha)$$

is a root of $\psi(i)(x) = 0$, i.e., it is an I -division point. Further,

$$\frac{1}{e(z + \lambda\alpha)} = \frac{1}{e(z) + e(\lambda\alpha)} = \sum_{\delta} (z + \lambda(\alpha + \delta))^{-1}.$$

Thus, the Taylor coefficients are, on the one hand, in k_{ab} , while, on the other hand, of the form $\pm(\lambda c)^{-i} \sum_{\delta \in I\mathfrak{A}} (\alpha + \beta)^{-i}$. The previous proposition now finishes the proof.

3.3.3. REMARK. The proof of 3.3.2 shows

$$\frac{1}{e(\lambda\alpha)} = \lambda^{-1} \sum_{\delta \in I\mathfrak{A}} (\alpha + \delta)^{-1} = \lambda^{-1} \sum_{\beta \in \mathbf{F}_r^*} \beta L(\beta\alpha, 1).$$

Now set $I = \mathfrak{P}^n$, and let $\mathfrak{A} = \mathfrak{P}^{-m}\mathfrak{A}_1$. Further, let $\alpha_0, \alpha_1 \in \mathfrak{A} - \mathfrak{P}^{-(m-1)}\mathfrak{A}_1$. Then we have just seen

$$\frac{e(\lambda\alpha_1)}{e(\lambda\alpha_0)} = \frac{\sum_{\beta \in \mathbf{F}_r^*} \beta L(\beta\alpha_0, 1)}{\sum_{\beta \in \mathbf{F}_r^*} \beta L(\beta\alpha_1, 1)}.$$

But, in ([7]), it was shown that $e(\lambda\alpha_1)/e(\lambda\alpha_0)$ is an invertible function (unit!) on $M_{\mathfrak{P}^n}^1$. Due to the work of Galovich-Rosen, Hayes and the author, it is now known that these units generate groups of finite index. There are further deep connections with Stickelberger elements, (see [8]).

4. The relative zeta-functions. In this chapter we introduce the study of “relative” zeta-functions. These functions play the role classically played by the Dedekind zeta-function of a number field. In particular, once the basic questions of analyticity and rationality are settled for these functions, it appears almost certain that their special values will fit into an Iwasawa set-up completely similar to that envisioned for totally-real number fields.

4.1. DEFINITION. Let $[L : k] < \infty$ and let \mathcal{O} be the ring of A -integers in L . Let N be the norm map on ideals. We set

$$\zeta_{\mathcal{O}}(s) = \sum_{\substack{\mathfrak{B} \subseteq \mathcal{O} \\ \mathfrak{B} \text{ an ideal}}} (N\mathfrak{B})^{-s} = \prod_{\mathfrak{B} \text{ prime}} (1 - (N\mathfrak{B})^{-s})^{-1}.$$

We call $\zeta_{\mathcal{O}}$, the *zeta-function of \mathcal{O} over A* . We conjecture it may always be extended to an entire function.

If L is abelian over k , we can, in certain cases, show this conjecture by reduction to L -series in a procedure similar to the classical one. We will not prove the most general case here, but will content ourselves with the illustrative case of the schemes $M_{\mathfrak{P}^n}^1$ of ([2]), (“ n ” here is distinct from “ $r = p^n$ ”). Recall that $M_{\mathfrak{P}^n}^1$ is Galois, abelian over k . There is a map $M_{\mathfrak{P}^n}^1 \rightarrow M_1$ which is totally ramified at all primes above \mathfrak{P} and is étale elsewhere. The Galois group of this map is isomorphic to $(A/\mathfrak{P}^n)^*/\mathbf{F}_r^*$. The Galois group, G , of $M_{\mathfrak{P}^n}^1/A$ is, in the notation of 2.3, $\cong (I(\mathfrak{P})/P(\mathfrak{P}^n))/\mathbf{F}_r^*$, in accordance with class-field theory. Finally $M_{\mathfrak{P}^n}^1$

splits totally at ∞ . We have an exact sequence

$$0 \rightarrow \mathbf{F}_r^* \rightarrow (A/\mathfrak{P}^n)^* \rightarrow G \rightarrow \text{Gal}(M_1/A) \rightarrow 0;$$

with $\text{Gal}(M_1/A) \cong \{\text{class group of } A\}$. Let $\alpha = D(\mathfrak{P})$, $U_1(n) = \{x \in A_{\mathfrak{P}}^* \mid x \equiv 1(\mathfrak{P}^n)\}$, $U_1 = 1\text{-units in } A_{\mathfrak{P}}^*$, and $\mu_{r^{\alpha-1}} = (r^{\alpha} - 1)\text{st roots of } 1$. Then,

$$(A/\mathfrak{P}^n)^* \cong \mu_{r^{\alpha-1}} \times U_1/U_1(n),$$

with $U_1/U_1(n)$ a finite p -group.

It is clear that any \bar{k} -valued multiplicative character of $G/(U_1/U_1(n))$ extends uniquely to G since $\text{char}(\bar{k}) = p$. (The reader should not confuse these characters with those used to define \mathfrak{A}^{-s} .) Thus a character χ on G arises from a primitive character χ_0 on either $\text{Gal}(M_{\mathfrak{P}}^1/A)$ or $\text{Gal}(M_1/A)$. If it arises from $M_{\mathfrak{P}}^1$ and not M_1 , we say \mathfrak{P} is its level, otherwise, we say \mathfrak{P}^0 is its level.

Now $\#(\text{Gal}(M_{\mathfrak{P}^n}^1/A)) = ((r^{nD(\mathfrak{P})} - 1)/(r - 1))h$, with $h = \text{class number of } A$. Let $\#G = g_0 \cdot g_1$, with g_0 a power of p and $(p, g_1) = 1$. Let $h = h_0 h_1$ with the same restrictions.

For convenience, let $\zeta_{M_{\mathfrak{P}^n}^1}(s)$ denote the zeta-function of $\Gamma(M_{\mathfrak{P}^n}^1, \mathcal{O}_{M_{\mathfrak{P}^n}^1})/A$.

4.2. THEOREM. $\zeta_{M_{\mathfrak{P}^n}^1}(A) = (\prod_{\chi} L(\chi_0, s)^{g_0})(1 - \mathfrak{P}^{-fs})^{\alpha}$, $\alpha = j(g_0/h_0 - 1)$; where $f = \{\text{ord } \mathfrak{P} \text{ in the ideal class group of } A\}$ and $f \cdot j = h$.

Proof. First, let \mathfrak{P}_1 be a prime $\neq \mathfrak{P}$ of A . The primes above \mathfrak{P}_1 in $M_{\mathfrak{P}^n}^1$ are unramified. Thus, in $\Gamma(M_{\mathfrak{P}^n}^1, \mathcal{O}_{M_{\mathfrak{P}^n}^1})$,

$$(\mathfrak{P}_1) = (\mathfrak{P}_1 \cdots \mathfrak{P}_u),$$

with $\mathfrak{P}_1, \dots, \mathfrak{P}_u$ prime and $\mathbf{N}_{\mathfrak{P}_1} = \cdots = \mathbf{N}_{\mathfrak{P}_u} = \mathfrak{P}_1^{f_1}$, and $f_1 \cdot r = \#G$. The contribution to $\zeta_{M_{\mathfrak{P}^n}^1}(s)$ is therefore

$$(1 - (\mathfrak{P}_1^{f_1})^{-s})^u.$$

Let $t = \mathfrak{P}_1^{-s}$; so the contribution is

$$(1 - t^{f_1})^{-u}.$$

On the other hand, \mathfrak{P}_1 generates a subgroup H of order f_1 in G . Let $f_1 = f_2 f_3$ with $f_2 \mid g_0$ and $f_3 \mid g_1$. As $\mathfrak{P} \neq \mathfrak{P}_1$, the values of a character χ on \mathfrak{P}_1 is the same as that of the induced primitive character. There are f_3 characters on H , each extending in g_1/f_3 ways.

Now

$$(1 - t^{f_3}) = \prod_{\zeta^{f_3}=1} (1 - \zeta t).$$

Thus,

$$(1 - t^{f_3})^{g_1/f_3} = \prod_{\chi} (1 - \chi_0(\mathfrak{P})t).$$

On the other hand, we see

$$\left((1 - t^{f_3})^{g_1/f_3}\right)^{g_0} = (1 - t^{f_3})^{\alpha}, \quad \alpha = g_1 g_0 / f_3.$$

As the characteristic is p , this in turn equals

$$(1 - t^{f_3 f_2})^{\alpha/f_2}.$$

But, $f_3 f_2 = f_1$ and $\alpha/f_2 = u$. This completes the result here.

Next let $\mathfrak{P}_1 = \mathfrak{P}$. Then, in $\Gamma(M_{\mathfrak{P}^n}^1, \mathcal{O}_{M_{\mathfrak{P}^n}})$,

$$(\mathfrak{P}) = (\mathfrak{P}_1 \cdots \mathfrak{P}_j)^e,$$

with $N(\mathfrak{P}_i) = \mathfrak{P}^f$ all i , $f \cdot j = h$ and $f \cdot j \cdot e = \#G$. Indeed, over M_1 , there is total ramification. The contribution above \mathfrak{P} is therefore

$$(1 - \mathfrak{P}^{-fs})^{-j}.$$

Set $t = \mathfrak{P}^{-s}$ and let $f = f_0 f_1$, $j = j_0 j_1$ with the usual restrictions. As before,

$$(1 - t^{f_1})^{j_1} = \prod_{\chi} (1 - \chi(\mathfrak{P})t),$$

with χ running through the characters of $\text{Gal}(M_1/A)$. Thus, as $j_1 = h_1/f_1$,

$$\begin{aligned} \prod_{\chi} (1 - \chi(\mathfrak{P})t)^{g_0} &= \left((1 - t^{f_1})^{h_1/f_1}\right)^{\delta_0} = (1 - t^{f_1})^{h_1 g_0 / f_1} \\ &= (1 - t^f)^{\frac{h \frac{g_0}{h_0}}{f}} = (1 - t^f)^{j \frac{g_0}{h_0}}. \end{aligned}$$

The result now follows directly.

4.3. COROLLARY. $\zeta_{M_{\mathfrak{P}^n}^1}(s)$ is entire.

4.4. REMARK. Obviously, for non-abelian extensions of k the above procedure fails. It is not unreasonable to expect, however, that the Riemann-Roch theorem, together with results like 1.2, will eventually establish the results in general.

5. The theory when s_1 is a negative integer. Our goal here is to establish the basic algebraicity of our function at negative integers. The reader will see that at the negative integers our functions possess rational

“polynomials” as opposed to just “values”. These polynomials are then interpolated at all primes of k .

5.1. *The algebraicity of L -series for $s_1 = -i$.* Let $\alpha \in A$, $a, b \in \mathbb{N}$, $H \subseteq A$ an ideal, and $S(\alpha, H, a, b) = \sum_{n \equiv \alpha(H); D(n)=b} n^a$. As a simple corollary of 1.2 and the Riemann-Roch theorem, we see that for b sufficiently large $S(\alpha, H, a, b) = 0$.

If we multiply $S(\alpha, H, a, b)$ by π_1^b , we have the same sum over 1-units. Thus the following result is immediate.

5.1.1. THEOREM. *Let $L(s)$ be one of the functions of 2.3.1 or 2.3.2. Then $L(s_0, -i)$ is a Laurent-polynomial for $i \in \mathbb{N}$.*

Now let \mathfrak{A} be a fractional ideal, $n_1, n_2 \in \mathfrak{A}$ and $I \subseteq A$ an ideal. Let $n_1, n_2 \in \mathfrak{A}$ with $D(n_1) = D(n_2) = j$, $n_1 \equiv n_2(I\mathfrak{A})$ and $\langle n_1 \rangle \equiv \langle n_2 \rangle(\pi^m)$. Then $\lambda = n_1 - n_2 \in I\mathfrak{A}$ and $\pi^j \lambda \in (\pi^m)$, or $D(\lambda) \leq j - m$. Conversely, given λ , we can add it to n_1 to obtain an n_2 with the above property. The set of such λ is an \mathbb{F}_r -vector space and if we use 1.2 on each coset, along with the binomial theorem, we conclude

5.1.2. THEOREM. *Let $L(s)$ be one of the functions of 2.3.1 or 2.3.2. Then $L(s_0, -i)$ is a Laurent-polynomial for $i \in \mathbb{N}$.*

5.1.3. COROLLARY. $\zeta_{M_{\mathbb{Q}_n}^1}(s_0, -i)$ is a Laurent-polynomial for $i \in \mathbb{N}$.

Proof. This is immediate from 5.1.1 and 4.2.

As a corollary of the above, we have the following method of calculation: Let, for instance, $L(s) = \zeta(s)$ and let

$$X = \{\mathfrak{A}_0, \dots, \mathfrak{A}_m\}.$$

Then, we have

$$\zeta(\mathfrak{A}_j, -i) = \sum_{v > -\infty} \sum_{\substack{h \in \mathfrak{A}_j \\ D(h)=v}} h^i,$$

is a *finite* sum and it belongs to k .

5.2. *Some trivial zeros for zeta and L -functions.* We begin with the zeta-function. Fix a collection $X = \{\mathfrak{A}_0, \dots, \mathfrak{A}_m\}$. Then we have

$$\zeta(s) = \sum_{i=0}^m \zeta(\mathfrak{A}_i, s) \mathfrak{A}_i^{-s}.$$

Set

$$Z(s_0, -i) = \zeta(\pi^i s_0, -i).$$

Thus,

$$\begin{aligned} Z(s_0, -i) &= \sum_{c=0}^m \left(\sum_{j>-\infty} s_0^{-j} \sum_{\substack{n \in \mathfrak{A}_c \\ D(n)=j}} n^i \right) \mathfrak{A}_c^i \\ &= \sum_{c=0}^m Z(\mathfrak{A}_c, (s_0, -i)) \mathfrak{A}_c^i \end{aligned}$$

In particular, the sum in parentheses is a *Laurent-polynomial*.

Our first result is a *non-vanishing* result:

5.2.1. LEMMA. $Z(\mathfrak{A}_c, (1, 0)) = \zeta(\mathfrak{A}_c, 0) = 1$ for all c .

Proof. There always exists a unique monic of least degree in $\hat{\mathfrak{A}}_c$. The result is obvious upon taking multiplicities into account.

Let $H \subseteq A$ be an ideal. We let $S(0, H, (r-1), j)$ be defined as in 5.1, with j so large that the sum is 0.

5.2.2. LEMMA.

$$S(0, H, (r-1), j) = - \sum_{\substack{D(n) \leq j \\ n \in H}} n^{(r-1)}.$$

Proof. Let f be a fixed element of degree $N(r-1)$ in H . Any other may be written as $f + \alpha h$, with $h \in H$ of smaller degree and $\alpha \in \mathbb{F}_r$. Then

$$(f + \alpha h)^{(r-1)} = \sum_{i=0}^{(r-1)} f^i (\alpha h)^{(r-1)-i} \binom{r-1}{i}.$$

Summing over α kills the terms corresponding to $i > 0$. We are left with $-h^{(r-1)}$. Summing over h finishes the proof.

5.2.3. COROLLARY. $\zeta(\mathfrak{A}_c, -(r^c - 1)) = Z(\mathfrak{A}_c, (1, -(r-1))) = 0$.

Proof. Upon multiplying by a constant, we reduce to having $\mathfrak{A}_c = H$ be integral. As

$$S(0, H, (r-1), j) = 0,$$

we see the result follows from 5.2.2.

5.2.4. THEOREM. $\zeta(-i) = 0$ unconditionally for $i \equiv 0(r-1)$, $i > 0$.

Proof. Of course, we need only check the result for the corresponding components. But, by induction, this follows as in 5.2.2, 5.2.3.

Thus, in this case, we obtain relations between the zeros of the different components.

We turn now to the case of L -series associated to characters χ . For convenience, we work only with characters on

$$\mathcal{G}(\mathfrak{P})/P(\mathfrak{P}^m)$$

\mathfrak{P} prime. However, our arguments easily generalize to the case where \mathfrak{P} is replaced by an arbitrary I .

Let $X = \{\mathfrak{A}_0, \dots, \mathfrak{A}_m\}$, $(\mathfrak{A}_i, \mathfrak{P}) = 1$ all i . Suppose that for $\zeta \in \mathbf{F}_r^*$, $\chi(\zeta) = \zeta^l$, where we think of $\mathbf{F}_r^* \subseteq \mathcal{G}(\mathfrak{P})/P(\mathfrak{P}^m)$ in the obvious fashion.

5.2.5. THEOREM. *If $0 \leq l < r - 1$, then $L(\chi, -i) = 0$ unconditionally, for $i \equiv -l(r - 1)$.*

Proof. As before, we may restrict ourselves to handling only the components of L . These are of the form

$$\sum_{j > -\infty} \left(\sum_{\substack{n \in \mathfrak{A}_c \\ D(n)=j}} \chi(n) n^j \right),$$

where χ is extended to $\hat{\mathfrak{A}}_c$ in the obvious fashion.

Assume first that each residue class of $\hat{\mathfrak{A}}_c \bmod \mathfrak{P}^m \hat{\mathfrak{A}}_c$ has representative of degree $< f$, $f = \min_{0 \neq \beta \in \mathfrak{P}^m \hat{\mathfrak{A}}_c} \{D(\beta)\}$. Our sum becomes

$$\begin{aligned} & \sum_{\alpha \in \mathfrak{A}_c / \mathfrak{P}^m \mathfrak{A}_c} \chi(\alpha) \left(\sum_{j \geq -\infty} \sum_{\substack{n \in \hat{\mathfrak{A}}_c \\ n \equiv \alpha (\mathfrak{P}^m \hat{\mathfrak{A}}_c) \\ D(n)=j}} n^j \right) \\ &= \sum_{\alpha} \chi(\alpha) \left(\sum_{j > -\infty} \sum_{\substack{D(h)=j \\ h \in \mathfrak{P}^m \hat{\mathfrak{A}}_c}} (\alpha + h)^j \right) + \sum_{\substack{\alpha \in \hat{\mathfrak{A}}_c / \mathfrak{P}^m \hat{\mathfrak{A}}_c \\ \alpha \text{ monic}}} \chi(\alpha) \alpha^i \\ &= \sum_{\alpha} \chi(\alpha) \left(\sum_{j > -\infty} \sum_{\substack{D(h)=j \\ h \in \mathfrak{P}^m \hat{\mathfrak{A}}_c}} \sum_{t=0}^i \alpha^t h^{i-t} \binom{i}{t} \right) + \sum_{\alpha \text{ monic}} \chi(\alpha) \alpha^i \\ &= \sum_{\alpha} \chi(\alpha) \left(\sum_{t=0}^i \alpha^t \binom{i}{t} \zeta(\mathfrak{P}^m \mathfrak{A}_c, -(i-t)) \right) + \sum_{\alpha \text{ monic}} \chi(\alpha) \alpha^i. \end{aligned}$$

Let $(\mathfrak{A}_c/\mathfrak{P}^m\mathfrak{A}_c)^*$ be defined as

$$\mathfrak{A}_c/\mathfrak{P}^m\mathfrak{A}_c - \mathfrak{P}\mathfrak{A}_c/\mathfrak{P}^m\mathfrak{A}_c.$$

Let $\{\alpha_1, \dots, \alpha_v\}$ be monic representatives of

$$\mathbf{F}_r^* \setminus (\mathfrak{A}_c/\mathfrak{P}^m\mathfrak{A}_c)^*.$$

Our sum becomes,

$$\sum_j \chi(\alpha_j) \left(\sum_{\zeta \in \mathbf{F}_r^*} \zeta^l \sum_{t=0}^i \zeta^t \alpha_j^t \binom{i}{t} \zeta(\mathfrak{A}_c^m, -(i-t)) \right) + \sum_{\alpha \text{ monic}} \chi(\alpha) \alpha^i.$$

Now if $(r-1) \mid (i-t)$, $(i-t) > 0$, then, by 5.3.3,

$$\zeta(\mathfrak{A}_c^m, -(i-t)) = 0.$$

If $(r-1) \nmid (i-t) \Rightarrow (r-1) \nmid (l+t) \Rightarrow \sum \zeta^{l+t} = 0$. If we note that $\zeta(\mathfrak{A}_c^m, 0) = 1$, and that $(r-1) \mid (i+l)$, by assumption, then we are left with

$$\sum \chi(\alpha_j) \sum \zeta^{(r-1)} \alpha_j^i + \sum_{\alpha \text{ monic}} \chi(\alpha) \alpha^i = 0.$$

Next, suppose there exist α with $D(\alpha) > f$; α smallest in its class. Our sum becomes

$$\begin{aligned} & \sum_{\substack{\alpha \in (\mathfrak{A}_c/\mathfrak{P}^m\mathfrak{A}_c)^* \\ \alpha \text{ monic} \\ D(\alpha) > f}} \chi(\alpha) \left(\sum_{\substack{j < -\infty \\ j < D(\alpha) \\ D(\beta)=j \\ \beta \in \mathfrak{P}^m\mathfrak{A}_c}} (\alpha + \beta)^i + \sum_{\substack{D(h)=j \\ j > D(\alpha) \\ h \in \mathfrak{P}^m\mathfrak{A}_c}} (\alpha + h)^i \right) \\ & + \sum_{\substack{\alpha \in (\mathfrak{A}_c/\mathfrak{P}^m\mathfrak{A}_c)^* \\ \alpha \text{ non-monic} \\ D(\alpha) > f}} \chi(\alpha) \left(\sum_{\substack{D(h)=j \\ j > D(\alpha) \\ h \in \mathfrak{P}^m\mathfrak{A}_c}} (\alpha + h)^i \right) \\ & + \sum_{\substack{\alpha \in (\mathfrak{A}_c/\mathfrak{P}^m\mathfrak{A}_c)^* \\ D(\alpha) < f}} \chi(\alpha) \left(\sum_{\substack{j > -\infty \\ h \in \mathfrak{P}^m\mathfrak{A}_c \\ D(h)=j}} (\alpha + h)^i \right) + \sum_{\alpha \text{ monic}} \chi(\alpha) \alpha^i. \end{aligned}$$

Now suppose α monic $D(\alpha) > f$; obviously if $1 \neq \zeta \in \mathbf{F}_r^*$, then $\zeta\alpha$ is of the same degree but non-monic. Our sum with respect to these α becomes

$$\begin{aligned} \chi(\alpha) \sum_{\substack{j < D(d) \\ D(h)=j \\ \alpha \text{ monic}}} (\alpha + h)^i + \chi(\alpha) \sum_{\substack{j > D(d) \\ h \in \mathfrak{F}^m}} (\alpha + h)^i \\ + \sum_{\zeta \in \mathbf{F}_r^* - 1} \chi(\alpha) \zeta^{-i} \sum_{\substack{j < D(\alpha) \\ D(h)=j}} (\zeta\alpha + h)^i + (\text{rest}). \end{aligned}$$

But, $\alpha^{-i} = \zeta^i$, so $\chi(\alpha)\zeta^i = \chi(\zeta\alpha)$. Thus, we can express our sum as we did above and proceed in the same fashion to finish the proof.

5.3. Some additional results with $A = \mathbf{F}_r[T]$

When $A = \mathbf{F}_r[T]$, we can say much more.

5.3.1. LEMMA.

$$Z(s_0, -i) = 1 - \sum_{\substack{b=0 \\ (r-1) \nmid (i-b)}}^{i-1} \binom{i}{b} T^b s_0^{-1} Z(s_0, -b),$$

for $i \in \mathbf{N}^+$.

Proof. Write $n = Th + \zeta$ with $D(h) + 1 = D(n)$, $\zeta \in \mathbf{F}_r$, and expand out the additive formula for $Z(s_0, -i)$. Upon noticing

$$\sum \zeta^j = \begin{cases} 0 & \text{if } (r-1) \nmid j \\ -1 & \text{otherwise} \end{cases}, \quad \text{the result follows.}$$

If we put $s_0 = 1$, we obtain a formula for $\zeta(-i)$. If we apply d/ds_0 , we obtain a formula for $(d/ds_0)Z(s_0, -i)$.

5.3.2. DEFINITION. We set $\beta(0), \beta(1) = 1$ and for $i > 1$

$$\beta(i) = 1 - \sum_{\substack{b=0 \\ (r-1) \nmid (i-b)}}^{i-1} \binom{i}{b} T^b \beta(b).$$

5.3.3. LEMMA. Let $i \in \mathbf{N}^+$. Then

$$\beta(i) = \begin{cases} \zeta(-i) & \text{if } (r-1) \nmid i \\ \frac{d}{ds_0} Z(1, -i) & \text{if } (r-1) \mid i. \end{cases}$$

Proof. This follows from the above and 5.2.4.

Clearly $\beta(i)(0) = 1$ for $i > 0$. Thus, we have for $i \equiv 0(r-1)$,

5.3.4. PROPOSITION. *The zero of $Z(s_0, -i)$ at $s_0 = 1$ is simple.*

This is exactly as for the Riemann zeta-function.

Let now $r = p$.

5.3.5. DEFINITION. (1) Let $j \in \mathbf{N}^+$ be written as $\sum_{i=0}^n c_i p^i$, $0 \leq c_i < p$. We set $S(j) = \sum c_i$.

(2) Let j be written as $\sum p^{e_i}$, $e_1 \leq e_2 \leq \dots$, and suppose $S(j) > (p-1)$, with each e_i occurring at most $(p-1)$ times. Set

$$\rho(j) = j - \sum_{i=1}^{p-1} p^{e_i}, \quad \rho^2 = \rho \circ \rho, \text{ etc.}$$

Using formula on congruences of binomial coefficients, Emory Thomas has shown the following:

5.3.6. THEOREM. (1) *Let $i \in \mathbf{N}^+$ with $S(i) \leq p-1$. Then $\beta(i) = 1$.*

(2) *Let $S(i) > p-1$ and let $S(i) = c(p-1) + d$, with $0 < c$ and $1 \leq d \leq p-1$. Then $D(\beta(i)) = \sum_{e=1}^c \rho^e(i)$.*

The reader should compare the above with 3.2.5.

5.4. *The v -adic theory and interpolation.* We now discuss the interpolation of our functions at the finite primes. The reader will note the remarkable similarity to the π -adic theory. In particular, the v -adic functions will also turn out to be *entire* in a sense similar to the π -adic sense.

Let \mathfrak{P} be a finite prime of degree α and let v be the associated plane.

5.4.1. DEFINITION. We set

$$S_v = \mathbf{Z}/(r^\alpha - 1) \times \mathbf{Z}_p = \varprojlim_i \mathbf{Z}/((r^\alpha - 1)p^i).$$

We call S_v the *character-space* at v . The integer powers are *dense* in S_v .

5.4.2. PROPOSITION. *Let $i_1, i_2 \in \mathbf{N}$ with $i_1 - i_2 \in (r^\alpha - 1)p^e$. Let $n \in k$ be a v -adic unit. Then*

$$n^{i_1} - n^{i_2} \in \mathfrak{P}^{p^e}.$$

5.4.3. COROLLARY. *The function $i \rightarrow n^i$ interpolates to a continuous function $S_v \rightarrow A_v^*$.*

Note the extremely strong congruences given by 5.4.2.

S_v is the exact v -adic analogue of S_∞ and of the classical p -adic character space. What is surprising about the present situation is that our functions naturally occur on a much larger two-variable space.

5.4.4. DEFINITION. We set $X_v = k_v^* \times S_v$.

Let C be a fixed integral ideal and let \mathfrak{A} be prime to \mathfrak{P} . We now define \mathfrak{A}^{x_1} , the v -adic version of \mathfrak{A}^{s_1} . Let

$$\hat{\mathfrak{g}}(C\pi^m)_v = \varprojlim_j \mathfrak{g}(C\mathfrak{P})/P(\pi^m C\mathfrak{P}^j).$$

We have an exact sequence,

$$0 \rightarrow \varprojlim_j P^0(\mathfrak{P}C)/P(\pi^m C\mathfrak{P}^j) \rightarrow \hat{\mathfrak{g}}(C\pi^m)_v \rightarrow \mathfrak{g}(C\mathfrak{P})/P^0(\mathfrak{P}C) \rightarrow 0.$$

But,

$$\varprojlim_j P^0(\mathfrak{P}C)/P(\mathfrak{P}^j C\pi^m) \cong U = \text{units in } k_v.$$

Thus, we think of $\hat{\mathfrak{g}}(C\pi^m)_v$ as being an extension of U by $\mathfrak{g}(C\mathfrak{P})/P^0(\mathfrak{P}C)$. As in the π -adic case, for simplicity of exposition, we now set $C = A$, $m = 0$ and $\hat{\mathfrak{g}}_v = \hat{\mathfrak{g}}(C\pi^m)_v$.

We now consider only those continuous \bar{k}_v -valued characters (or, more generally, maps to $\text{GL}(n, \bar{k}_v)$) that induce n^{x_1} on the units, etc.

Let $X = \{\mathfrak{A}_0, \dots, \mathfrak{A}_m\}$, $(\mathfrak{A}_i, \mathfrak{P}) = 1$ all i , be a collection of ideal class representatives.

5.4.5. DEFINITION. Let $x = (x_0, x_1) \in X_v$.

(1) We set

$$\zeta_v(x) = \prod_{\substack{\mathfrak{B} \neq \mathfrak{P} \\ \mathfrak{B} \text{ prime}}} (1 - x_0^{-D(\mathfrak{B})} \mathfrak{B}^{-x_1})^{-1} = \sum_{\substack{(\mathfrak{A}, \mathfrak{P})=1 \\ \mathfrak{A} \subseteq A}} x_0^{-D(\mathfrak{A})} \mathfrak{A}^{-x_1}.$$

$\zeta_v(x)$ converges for all x with $v(x_0) > 0$, (v considered additively).

(2) Let D be an ideal divisible by \mathfrak{P} .

(a) Let $\delta \in \mathfrak{g}(D)/P(\pi^m D)$. We set

$$\zeta_v(\delta, x) = \sum_{\substack{\mathfrak{A} \in \delta \\ \mathfrak{A} \subseteq A}} x_0^{-D(\mathfrak{A})} \mathfrak{A}^{-x_1}.$$

(b) Let $\delta_1 \in \mathcal{G}(D)/P^0(D)$. We set

$$\zeta(\delta_1, x) = \sum_{\delta \rightarrow \delta_1} \zeta(\delta, x).$$

(c) Let f be a \bar{k} -valued function on $\mathcal{G}(D)/P(D\pi^m)$. We set

$$L_v(f, x) = \sum_{\substack{(\mathfrak{A}, D)=1 \\ \mathfrak{A} \subseteq A}} f(\mathfrak{A}) x_0^{-D(\mathfrak{A})} \mathfrak{A}^{-x_1}.$$

Note that $L_v(f, x)$ can easily be expressed in terms of partial zeta-functions. All functions clearly converge for $v(x_0) > 0$.

It is clear, for instance, that

$$\zeta_v(x) = \sum_{i=0}^m \zeta_v(\mathfrak{A}_i, x) (x_0^{-D(\mathfrak{A}_i)} \mathfrak{A}_i^{-x_1});$$

where

$$\zeta_v(\mathfrak{A}_i, x) = \sum_{\substack{n \in \mathfrak{A}_i \\ (n, \mathfrak{P})=1}} x_0^{-D(n)} n^{-x_1} = \sum_{j > -\infty} x_0^{-j} \left(\sum_{\substack{n \in \mathfrak{A}_i \\ (n, \mathfrak{P})=1 \\ D(n)=j}} n^{-x_1} \right).$$

From now on, we use the symbol Σ' to mean that we sum over the elements *prime* to \mathfrak{P} . We always sum by using cosets mod \mathfrak{P} with generators prime to \mathfrak{P} . So, we can use previous vanishing results to conclude that if $L(x)$ is one of the above functions, then for $x_1 = -i$, $i \in \mathbf{N}$, $L(x)$ is a *Laurent polynomial*.

Before discussing analyticity, we want to make the connection with the π -adic functions explicit. We need only do so in the illustrative case of the zeta-function: Let $x = (x_0, x_1)$ with $x_1 = -i$, $i \in \mathbf{N}$, and $x_0 \in k^*$. Let $s = (\pi' s_0, s_1)$, $s_0 = x_0$ and $s_1 = x_1 = -i$. Then, we have an equality of *Laurent-polynomials*.

$$\begin{aligned} 5.4.6. \quad \zeta_v(x) &= (1 - \mathfrak{P}^{-s}) \zeta(s) = \left(\sum_{c=0}^m \zeta(\mathfrak{A}_c, s) \mathfrak{A}_c^{-s} \right) (1 - \mathfrak{P}^{-s}) \\ &= \sum_{c=0}^m \left(\sum_{j > -\infty} s_0^{-j} \left(\sum'_{\substack{n \in \mathfrak{A}_c \\ D(n)=j}} n^t \right) \right) \mathfrak{A}_c^{-s}; \end{aligned}$$

where we have equated $\pi^{-D(\mathfrak{A}_c)}\langle \mathfrak{A}_c \rangle^i$ with \mathfrak{A}_c^i ; one symbol considered π -adically and one v -adically. (We leave it to the reader to make this a rigorous statement concerning characters.) For instance, when $A = \mathbf{F}_r[T]$, $\xi_v(x) = (1 - \mathfrak{P}^{-s})Z(s_0, -i)$.

Therefore, we see that for $x_1 = -i, i \in \mathbf{N}$, our v -adic functions are, up to a possible Euler factor, special values of our π -adic functions for $x_0 \in k$.

5.4.7. THEOREM. The functions of 5.4.5 interpolate to entire functions on X_v .

Proof. As in the π -adic case, we reduce to handling sums of the following form: Let $\mathfrak{A} \subseteq k$ be prime to \mathfrak{P} and let $\alpha \in \mathfrak{A} - \mathfrak{P}\mathfrak{A}$. Then, we need only show sums of the form

$$\sum_{j > -\infty} x_0^{-j} \left(\sum_{\substack{D(n)=j \\ n \in \mathfrak{A} \\ n \equiv \alpha (\mathfrak{P}\mathfrak{A}) \\ \langle n \rangle \equiv 1 (\pi^n)}} n^{-x_1} \right)$$

converge for all $x_0 \neq 0$. We can, as before, consider \mathfrak{A} as being integral.

Now let $n = \omega(n)\langle n \rangle_v$, with $\langle n \rangle_v$ a 1-unit, and $\omega(n)$ = the Teichmüller representative. Then,

$$n^{-x_1} = \omega(n)^{\alpha_0} \langle n \rangle_v^{\alpha_1},$$

with

$$\alpha_0 \in \mathbf{Z}/(r^\alpha - 1) \quad \text{and} \quad \alpha_1 \in \mathbf{Z}_p.$$

As $n \equiv \alpha (\mathfrak{P}\mathfrak{A})$, we have $\omega(n) = \omega(\alpha)$. Further, if $D(n) > D(\alpha)$, then

$$n = \alpha + h,$$

with $h \in \mathfrak{P}\mathfrak{A}$. Also, if $j = D(n_1) = D(n_2)$, and $n_1 \equiv \alpha \equiv n_2$, then

$$\pi^j(n_1 - n_2) \in (\pi^m).$$

If we let $\langle n \rangle_v = 1 + (w_n)_v$, then the coefficient of x_0^{-j} becomes

$$\omega(\alpha)^{\alpha_0} \sum_{\substack{D(n)=j \\ n \equiv \alpha (\mathfrak{P}\mathfrak{A})}} (1 + (w_n)_v)^{\alpha_1} = \omega(\alpha)^{\alpha_0} \sum_{\substack{D(n)=j \\ n \equiv \alpha (\mathfrak{P}\mathfrak{A}) \\ \langle n \rangle \equiv 1 (\pi^m)}} \sum_{t=0}^\infty (w_n)_v^t \binom{\alpha_1}{t}.$$

The set $\{(w_n)_v\}$ is not an \mathbf{F}_r -vector space. But, as before, it is a principal homogeneous space over one. Also as before, we can now use the Riemann-Roch theorem and 1.2., v -adically, to finish the proof.

Thus, we've obtained the v -adic interpolation of the polynomials of the type given in 5.4.6.

5.4.8. REMARKS. (1) By using the Teichmüller representatives, and congruences at ∞ , it is clear now how to extend our theory to the most general situation where ∞ is *not* necessarily rational. It would be interesting to have such results to compare with.

(2) The same remarks that we made at ∞ about values also are valid in the v -adic theory. Thus, the v -adic valuation of the components is independent of the ideal class representative prime to \mathfrak{P} .

The zeroes are also invariant. And, as π -adically, the components of our entire functions have infinite products over their zero sets, counted with multiplicity.

(3) We stress that each time x_0 is chosen, we obtain a continuous function from $S_v \rightarrow k_v$; each function is similar to the classical p -adic L -series. In particular, if $x_0 = 1$, we obtain the interpolation of our functions at negative integral powers.

Next we discuss some corollaries. Let \mathfrak{A} be a fractional ideal and let $\alpha_0, \alpha_1 \in \mathfrak{P}^{-m}\mathfrak{A} - \mathfrak{P}^{-(m-1)}\mathfrak{A}$. Then in 3.3.3, we showed that π -adic series of the form

$$\sum_{\beta \in \mathfrak{A}} (\alpha_0 + \beta)^{-1} / \sum_{\beta \in \mathfrak{A}} (\alpha_1 + \beta)^{-1}$$

are units in the schemes $M_{\mathfrak{P}^m}^1$. But we've just shown that if we sum these series by grouping according to degree that they also converge \mathfrak{P} -adically. The obvious question is, do these series also converge v -adically to the same unit?

Finally we return to the relative zeta-functions of §4. Theorem 4.2 tells us that, upon removing the \mathfrak{P} -factor,

$$(\zeta_{M_{\mathfrak{P}^n}})_v(s) = \left(\prod_{\chi} L_v(\chi', s) \right)^{g_0}.$$

Thus, as we move up the \mathfrak{P}^n -tower the \mathfrak{P} -adic functions differ by powers of p . In particular, they have the same zeroes.

5.5. *A remark on functional equations.* It is not known whether or not the π -adic functions satisfy functional equations. However, it seems highly doubtful that if they do satisfy functional equations, that they will be of the classical $s \mapsto 1-s$ form. The point is the following: A classical Dirichlet-series is determined, as a Dirichlet series, by its values at an infinite subset of those positive integers for which it converges. On the other hand, they are not determined by their values at negative integers (e.g., $\zeta_Q(2s)$). But, via the functional equation, $\zeta_Q(s)$ is determined by its values at the negative integers; and, thus by its interpolation at the finite primes.

Our zeta-function has a whole *polynomial* at each negative integer and this polynomial is determined by its rational values that are interpolated at the finite primes. Further, these polynomials *also* determine by continuity the π -adic function. Thus, from this point of view, a functional equation along the classical lines is not needed!

On the other hand, there is some rather remarkable evidence linking $\beta(i)$ and $\Gamma(T, i)$, that seems to represent a functional equation of some sort. Let $r = p$, and $1 \leq c \leq p - 1$ and $i(c, j) = cp^j + (p^j - 1)$. Then it is easy to use Thomas's formula to show that for $i = i(c, j)$, $D(\beta(i)) = D(\Gamma(T, i))$, and, in fact, there is a fascinating degree of agreement between these functions as the following tables will attest, when $r = 3$. We begin with $c = 1$ and we let $\overline{\beta(i)} = \beta(i)/\zeta$, where ζ is the highest coefficient of $\beta(i)$.

i	$a = D(\overline{\beta(i)})$	$b = D(\Gamma(T, i) - \overline{\beta(i)})$	$a - b$
1	0	0	0
5	3	0	3
17	24	15	3^2
53	123	96	3^3
161	528	447	3^4
485	2067	1824	3^5
.			

But when $c = 2$ we obtain

i	$a = D(\overline{\beta(i)})$	$b = D(\Gamma(T, i) - \overline{\beta(i)})$	$a - b$
2	0	0	0
8	6	0	$2 \cdot 3$
26	42	24	$2 \cdot 3^2$
80	204	150	$2 \cdot 3^3$
.			

Any explanation for these facts would explain much. It should be noted that it even took a large computer over an hour to grind them out, so a computational explanation is probably ruled out. It is quite remarkable that, unlike the classical situation, we seem to have a deep connection between the values at the negative integers of the zeta-function and the values of the gamma function! (See note at end of paper.)

6. The connection with distribution theory. The whole of the preceding theory becomes better understood when it is interpreted from the viewpoint of distribution theory. (See 4.4.)

6.1. Distributions and measures. We begin by presenting a very rapid review of distributions and measures. Let X be a compact totally disconnected space, let L be a finite extension of k_w , w a place of k , and let $\mathcal{O} \subseteq L$ be the ring of integers.

6.1.1. DEFINITION. An L -valued distribution is a finitely additive L -valued function on the compact open subsets of X . It is a measure iff the set of values on compact opens is bounded.

Let μ be such a measure and let $f: X \rightarrow L$ be continuous. As X is compact, f is uniformly continuous. It is now simple to see that the Riemann sums associated to f converge. The limit is denoted as $\int_X f d\mu$.

If μ is just a distribution, it is clear we may integrate *locally constant* functions.

6.1.2. PROPOSITION. Let X_1, X_2 be two compact totally disconnected spaces. Let μ be a distribution on X_1 and let $P: X_1 \rightarrow X_2$ be continuous. Then there exists a distribution $P_*\mu$ on X_2 defined by $\int_{X_2} f dP_*\mu = \int_{X_1} f \circ P d\mu$, for any locally constant f .

Note that an \mathcal{O} -valued distribution is automatically a measure.

Suppose now that $G = X$ is also a topological abelian group. Then, in the usual way, both the distributions and the measures form an L -algebra under convolution. For the moment, call these algebras $D(X)$ and $M(X)$. Let $D_1(X)$ be the \mathcal{O} -algebra of \mathcal{O} -valued distributions. So $M(X) = L \otimes D_1(X)$.

As G is a group, it has a neighborhood basis of the identity consisting of open subgroups, $\{G_i\}$, $G_i \supseteq G_{i+1}$. Thus, G/G_i is finite. It is clear, upon a moment's reflection, that the algebra of distributions on G/G_i is precisely the group ring $L[G/G_i]$. If $j > i$, then we obtain a map $L[G/G_j] \rightarrow L[G/G_i]$. We call the inverse limit ring, $L[[G]]$. It is the *completed group ring of G* . The ring $\mathcal{O}[[G]]$ is defined in the obvious fashion.

As measures push forward, the following proposition is obvious.

6.1.3. PROPOSITION. (a) $\mathcal{O}[[G]] \xleftarrow{\sim} D_1(X)$.

(b) $M(X) \xrightarrow{\sim} L \otimes \mathcal{O}[[G]]$

(c) $D(X) \xrightarrow{\sim} L[[G]]$.

Next let $U_1 \subseteq L$ be the 1-units and let R be either a field over F_r or a d.v.r. over F_r . Then, we form $R[[U_1]]$ in the obvious fashion. Recall, that,

U_1 is an infinite product of copies of \mathbf{Z}_p . Let $U \subseteq U_1$ be an open subgroup, so $G' = U/U_1$ is a finite p -group.

6.1.4. LEMMA. $R[G']$ is a local ring.

Proof. Let G' be written as a product of prime power subgroups and let t_1, \dots, t_j be chosen generators of these subgroups. Then $R[G'] = R[t_1, \dots, t_j]/(t_i^{p^{e_i}} - 1)$. Let $x_i = 1 + t_i$. Then

$$R[G'] = R[x_1, \dots, x_j]/(x_i^{p^{e_i}} - 1).$$

The result is therefore obvious.

6.1.5. PROPOSITION. $R[[U_1]]$ is a local ring.

Proof. This is clear from 6.1.4 and the decomposition of U_1 .

6.1.6. THEOREM. (a) An L -distribution is on U_1 invertible iff its total mass is non-zero.

(b) An \mathcal{O} -valued distribution on U_1 is invertible iff its total mass is a unit in \mathcal{O} .

Proof. Let μ be a distribution of G' . By the above, it corresponds to a “polynomial”

$$f(x_1, \dots, x_j).$$

But $f(0, \dots, 0)$ = total mass of μ . Upon passing to the limit, the result follows.

As an example of a measure, we have the Dirac measure, δ_x , $x \in X$. This is defined by

$$\int_X f d\delta_x = f(x).$$

Finally, one can talk about measures and distributions with values in $M(n, L) = \{n \times n \text{ matrices}\}$. We leave the details to the reader.

6.3. *The π -adic theory.* We now show how our π -adic functions arise out of π -adic distributions and measures. Fix $s = (s_0, s_1) \in S_\infty$, and let $X = \{\mathfrak{A}_0, \dots, \mathfrak{A}_m\}$ be a collection of ideal class representatives. We use the notation of 2.3.

Let C be an integral ideal and $\mathcal{G}(C)$, $\hat{\mathcal{G}}(C)$, be as defined earlier, i.e., $\hat{\mathcal{G}}(C) = \lim_m \mathcal{G}(C)/P(\pi^m C)$. We begin by considering $\hat{\mathcal{G}}(C)$ as a discrete

group. The notion of a distribution on $\hat{\mathfrak{G}}(C)$ is obvious, if we agree to integrate *only* bounded locally constant functions.

Let $s = (s_0, s_1) \in S_\infty$ with $D(s_0) > 0$.

6.2.1. DEFINITION. We set $\mu_s = \sum_{(\mathfrak{A}, C)=1; \mathfrak{A} \subseteq A} \mathfrak{A}^{-s} \delta_{\mathfrak{A}}$; where $\delta_{\mathfrak{A}}$ is the Dirac measure at \mathfrak{A} .

It is clear that μ_s is a distribution in the above sense.

Now $\mathfrak{G}(C)$ (or rather its image) is dense in $\hat{\mathfrak{G}}(C)$. Therefore, we can push this distribution forward to obtain one on $\hat{\mathfrak{G}}(C)$. We still denote this distribution by μ_s .

6.2.2. PROPOSITION. Let δ be a class of $\hat{\mathfrak{G}}(C)/\hat{P}(\pi^m C) = \mathfrak{G}(C)/P(\pi^m C)$. Then,

$$\mu_s(\delta) = \zeta(\delta, s).$$

Proof. This follows immediately from the definition of the pullback of a distribution.

It is not hard to see $\mu_s(\delta)$ is a Laurent-polynomial, for $s = (s_0, -i)$.

It is clear that we can now extend the definition of μ_s on $\hat{\mathfrak{G}}(C)$ to all $s \in S_\infty$. It is easy to see that μ_s is a measure for all $s \in S_\infty$, with $D(s_0) \geq 0$.

Let $D(s_0) \geq 0$.

6.2.3. LEMMA. Let f be continuous on $\hat{\mathfrak{G}}(C)$. Then,

$$\int_{\hat{\mathfrak{G}}(C)} f d\mu_s \text{ is analytic in } s_0^{-1}.$$

Proof. The integral is a uniform limit of analytic functions.

Recall now that in our set-up, $\langle \mathfrak{A} \rangle^{s_1}$ is thought of as being identified by some character on $\hat{\mathfrak{G}}(C)$, which induces $\langle n \rangle^{s_1}$ on U_1 . If $x \in \hat{\mathfrak{G}}(C)$, we abuse notation and write x^{s_1} for the value of this character. Then, we have

6.2.4. THEOREM. Let $D(s_0) \geq 0$. Then, if $s_2 \in \mathbf{Z}_p$,

$$\int_{\hat{\mathfrak{G}}(C)} x^{-s_2} d\mu_s = \zeta(y) \prod_{\mathfrak{p}|C} (1 - \mathfrak{p}^{-y}); \quad y = (s_0, s_1 + s_2).$$

Proof. By our description of μ_s in terms of Dirac measures, this is obvious for $D(s_0) > 0$. Thus, by analytic continuation, the result is immediate.

In the same fashion, one can show

6.2.5. THEOREM. *Let f be a function on $\mathcal{G}(C)/P(\pi^m C)$. Then, in the obvious meaning,*

$$\int_{\mathcal{G}(C)} f x^{-s_2} d\mu_s = L(f, y); \quad y = (s_0, s_1 + s_2).$$

6.2.6. Question. Does there exist a method by which we can extend the integration to all (s_0, s_1) with $D(s_0) > -i$, $i \in \mathbf{N}$ arbitrary?

6.3. *Group theoretic interpretation of zeros.* 6.1.6 allows us to give a distribution theoretic integration of the zeroes of our functions. We show how via an example: Let $C \subseteq A$ be an integral ideal and let $L(s) = (\prod_{\mathfrak{p}|C} (1 - \mathfrak{p}^{-s})) \zeta(s)$. Let $X = \{\mathfrak{A}_0, \dots, \mathfrak{A}_m\}$, $(\mathfrak{A}_i, C) = 1$, all i .

We have just seen that

$$\int_{\mathcal{G}(C)} d\mu_s = L(s).$$

By using X , we can push our distribution onto $U_1 \subseteq \hat{\mathcal{G}}(C)$; it is defined over $K(s_0)$. Then 6.1.6 implies

6.3.1. PROPOSITION. μ_s , considered on U_1 , is not invertible as $K(s_0)$ -distribution iff $L(s) = 0$ conditionally.

6.4. *The v -adic theory.* We now mention the v -adic theory. As the proofs are exactly the same as for the π -adic case, we omit them.

Let C be a fixed integral ideal, \mathfrak{p} a prime, etc. We view $\hat{\mathcal{G}}(C)_v$ as a discrete group and, for $v(x_0) > 0$, we construct the distribution

$$\sum_{\substack{(\mathfrak{A}, C)=1 \\ \mathfrak{A} \subseteq A}} X_0^{-D(\mathfrak{A})} \mathfrak{A}^{-x_1} \delta_{\mathfrak{A}} = \mu_x.$$

As before, μ_x descends to a distribution $\hat{\mathcal{G}}(C)_v$, which is still denoted μ_x .

6.4.1. LEMMA. *In the notation of 5.5.5, if $\delta \in \mathcal{G}(\mathfrak{p}C)/P(\mathfrak{p}^j C)$, then*

$$\mu_x(\delta) = \zeta(\delta, x).$$

Thus, we can extend μ_x to all $x \in X_v$. It is a measure for $v(x_0) \geq 0$.

6.5.1. THEOREM. Let $x_2 \in \delta_v$. Then, if $v(x_0) \geq 0$,

$$\int_{\mathfrak{G}(C)} z^{-x_2} d\mu_x = \zeta_v(y) \prod_{\substack{\mathfrak{B}|C \\ \mathfrak{B} \neq \mathfrak{P} \\ \mathfrak{B} \text{ prime}}} (1 - \mathfrak{B}^{-y}), \quad y = (x_0, x_1 + x_2).$$

We leave the corresponding result on characters to the reader.

One can also obtain distributions and measures on $\hat{\mathfrak{G}}(C\pi^m)_v$ in the above fashion. We also leave the (easy) details to the reader.

7. Galois group interpretation via class-field theory. We begin with a simple example. Let $A = \mathbb{F}_r[T]$ and let $(C_\zeta), \zeta \in \mathbb{F}_r^*$, be the rank one elliptic module given by

$$C_\zeta(T) = TF^0 - \zeta F.$$

Let $\pi = 1/T$; so “monicity” has its usual meaning. Let $I = (i)$ be an ideal and let α_ζ be a primitive I th division point in \bar{k} . It is an exercise to show that $k(\alpha_\zeta)/k$ is Galois with group isomorphic to $(A/I)^*$ in the natural fashion and that $A[\alpha_\zeta]$ is the ring of integers.

Let $\mathfrak{P} = (P)$ be a prime not dividing I and let P be monic. It is trivial to see that

$$C_\zeta(P) = (-\zeta)^{D(P)} F^{D(P)} + \{\text{lower terms whose coefficients are in } (P)\}.$$

Thus, the Frobenius at \mathfrak{P} is given by the image of $(-\zeta)^{-D(P)} P$ in $(A/I)^*$.

Let π now be $-\zeta/T$. Then, via class-field theory, we see the Galois group of $k(\alpha_s)/k$ is $\mathfrak{G}(I)/P(I)$; where we use monics with respect to our new π .

We can now express $\zeta_{A[\alpha_\zeta]}(s)$ in terms of L -series as in §4. From 5.4.5, we have

7.1. THEOREM. $\zeta_{A[\alpha_\zeta]}(-i) = 0$ for all $i \in \mathbb{N}$.

As $A[\alpha_\zeta]$ is not “totally-real” (i.e., totally split at ∞) this result is in line with classical results.

We also obtain the fact that the ramification degree at ∞ is $(r-1)$. As $k(\alpha_\zeta^{-1})$ is the function field of M_I^1 and this splits totally at ∞ , we see that $k(\alpha_\zeta)/k(\alpha_\zeta^{-1})$ is totally and tamely ramified above ∞ . Thus, we have an explicit “cyclotomic” construction for the group $\mathfrak{G}(I)/P(I)$. This is due to D. Hayes following L. Carlitz; see ([1], [10]). It is the analog of the classical full cyclotomic field.

Now let A be general. It is easy to see that, via class-field theory, all groups of the form $\mathcal{G}(C)/P(\pi^m C)$ occur as Galois groups of abelian extensions of k . A construction of the A -integers may be given in the same fashion as above, when $m = 0$, by using the elliptic modules constructed in ([11]). Therefore, these extensions may also be thought of as being “cyclotomic”. Further, the act of picking π corresponds to choosing a totally ramified tower at ∞ .

To finish, we now summarize what is known (see [8]), when $A = \mathbf{F}_r[T]$, $r = p^n$. Let $\mathfrak{P} \subseteq A$ be a prime and $k(\mathfrak{P}^m)$ be the field corresponding to $\mathcal{G}(\mathfrak{P})/(\mathfrak{P}^m)$. Let $D(\mathfrak{P}) = d$. Let $h_{\mathfrak{P}^m}$ be the class number of this field and let $h_{\mathfrak{P}^m}^+$ be the class number of the subfield fixed by \mathbf{F}_r^* . It is known that $h_{\mathfrak{P}^m}^+ \mid h_{\mathfrak{P}^m}$ and we set $h_{\mathfrak{P}^m}^- = h_{\mathfrak{P}^m}/h_{\mathfrak{P}^m}^+$.

7.2. THEOREM. (a) $p \mid h_{\mathfrak{P}^m}^-$ if and only if $\mathfrak{P} \mid \prod_{i=1; (r-1) \nmid i}^{r^d-2} \beta(i)$
 (b) $p \mid h_{\mathfrak{P}^m}^+$ if and only if $\mathfrak{P} \mid \prod_{i=1; (r-1) \mid i}^{r^d-2} \beta(i)$.

Now let $M \in A$, with $(M, \mathfrak{P}) = 1$ and $D(M) < di$.

7.3. DEFINITION. (a) We set

$$\mu_1(M + \mathfrak{P}^i) = \begin{cases} 2 + (p) & \text{if } M \text{ is monic} \\ 1 + (p) & \text{if } M \text{ is non-monic} \end{cases}.$$

(b) We set

$$\mu_2(M + \mathfrak{P}^i) = \begin{cases} -D(M) + di + (p) & \text{if } M \text{ is monic} \\ -D(\mathfrak{P}^i) + (p) & \text{if } M \text{ is non-monic.} \end{cases}$$

Both measures have values in $\mathbf{F}_p \subseteq A$. The measure μ_1 arises from §6.4 with $X = (1, 0)$, and the measure μ_2 arises by applying d/dx_0 .

It is easy to use 6.5.1 and the vanishing of $\zeta(-i)$, $i \equiv 0(r-1)$, to show the following

7.4. THEOREM. (a) Let $i \in \mathbf{N}^+$ with $i \neq 0(r-1)$. Then

$$(1 - \mathfrak{P}^i)\beta(i) = \int_{A_{\mathfrak{P}}^*} x^i d\mu_1(x).$$

(b) Let $i \equiv 0(r-1)$. Then

$$(1 - \mathfrak{P}^i)\beta(i) = \int_{A_{\mathfrak{P}}^*} x^i d\mu_2(x).$$

Now let P be the continuous function from $A_{\mathfrak{P}}^*$ to $A_{\mathfrak{P}}^*$ given by taking $x \rightarrow x^{-1}$. Let $\hat{\mu}_i = P_*\mu_i$, $i = 1, 2$. Let $\mathcal{C}\ell(\mathfrak{P}^m)$, $\mathcal{C}\ell(\mathfrak{P}^m)_+$ be the class-groups i.e., $\text{Jac}(\mathbf{F}_r)$, of $k(\mathfrak{P}^m)$, $k(\mathfrak{P}^m)_+$ respectively. It then follows from

the work of Tate and Gross that $\hat{\mu}_1$ annihilates $\mathcal{C}\ell(\mathfrak{F}^m)/p\mathcal{C}\ell(\mathfrak{F}^m)$ and $\hat{\mu}_2$ annihilates $\mathcal{C}\ell(\mathfrak{F}^m)_+/p\mathcal{C}\ell(\mathfrak{F}^m)_+$.

Next, let ζ be a primitive $(r^d - 1)$ -st root of 1 and let $B = \mathbf{Z}_p[\zeta]$. Clearly B is unramified over \mathbf{Z}_p and $B/pB \simeq A/\mathfrak{F}$. If we fix one such isomorphism we obtain a character $\omega: A/\mathfrak{F}^* \rightarrow B^*$. All characters are powers of ω and, upon tensoring with B , we can form isotopic components $\mathcal{C}\ell(\mathfrak{F}^m)(\omega^i)$, in the usual cyclotomic fashion. Putting all this together we finally have

7.5. THEOREM. *Let $0 < i < r^d - 1$. Then $\mathcal{C}\ell(\mathfrak{F}^m)(\omega^i) \neq 0$ implies that $\mathfrak{F} \mid \beta(r^d - 1 - i)$.*

As it is trivial to see, from its additive expansion, that $\beta(pi) = \beta(i)^p$, the result is invariant of the choice of ω .

7.6. Question. What is the meaning of the Bernoulli-Carlitz numbers?

(NOTE: This pattern also seems to hold with $r = 2$ and $r = 4$. With $r = 4$ the numbers differ by powers of 4!)

REFERENCES

1. L. Carlitz, *A class of polynomials*, Trans. Amer. Math. Soc., **43** (1938), 168–182.
2. V. G. Drinfeld, *Elliptic modules*, (English Translation), Math. USSR, Sbornik, **23** (1974).
3. ———, *Elliptic modules II*, Math. USSR, Sbornik, **31** (1977), No. 2.
4. S. Galovich, M. Rosen, *The class number of cyclotomic function fields*, J. Number Theory, **13**, No. 3, (1981), 363–375.
5. D. Goss, *The algebraist's upper half-plane*, Bull. Amer. Math. Soc., **2**, #3, (May, 1980), 391–415.
6. ———, *v -adic zeta functions, L -series and measures for function fields*, Inventiones Mathematicae, **55** (1979), 107–119.
7. ———, *The Γ -ideal and special zeta-values*, Duke Math. J., (June, 1980), 345–364.
8. ———, *Kummer and Herbrand criterion in the theory of function fields*, Duke Math. J., **49** (1982), 377–384.
9. ———, *On a Fermat equation arising in the arithmetic theory of function fields*, (to appear in Math. Annalen).
10. D. Hayes, *Explicit class field theory for rational function fields*, Trans. Amer. Math. Soc., **189** (1979), 77–91.
11. ———, *Explicit class field theory in global function fields*, Studies in Algebra and Number Theory, G.-C. Rota (ed.), Academic Press, (1979).
12. L. I. Wade, *Certain quantities transcendental over $GF(p^n, x)$* , Duke Math. J., **8** (1941), 701–720.

Received October 10, 1980.

PACIFIC JOURNAL OF MATHEMATICS

EDITORS

DONALD BABBITT (Managing Editor)

University of California

Los Angeles, CA 90024

HUGO ROSSI

University of Utah

Salt Lake City, UT 84112

C. C. MOORE and ARTHUR OGUS

University of California

Berkeley, CA 94720

J. DUGUNDJI

Department of Mathematics

University of Southern California

Los Angeles, CA 90089-1113

R. FINN and H. SAMELSON

Stanford University

Stanford, CA 94305

ASSOCIATE EDITORS

R. ARENS

E. F. BECKENBACH

(1906–1982)

B. H. NEUMANN

F. WOLF

K. YOSHIDA

SUPPORTING INSTITUTIONS

UNIVERSITY OF ARIZONA

UNIVERSITY OF BRITISH COLUMBIA

CALIFORNIA INSTITUTE OF TECHNOLOGY

UNIVERSITY OF CALIFORNIA

MONTANA STATE UNIVERSITY

UNIVERSITY OF NEVADA, RENO

NEW MEXICO STATE UNIVERSITY

OREGON STATE UNIVERSITY

UNIVERSITY OF OREGON

UNIVERSITY OF SOUTHERN CALIFORNIA

STANFORD UNIVERSITY

UNIVERSITY OF HAWAII

UNIVERSITY OF TOKYO

UNIVERSITY OF UTAH

WASHINGTON STATE UNIVERSITY

UNIVERSITY OF WASHINGTON

Kenneth F. Andersen, On the transformation of Fourier coefficients of certain classes of functions. II	1
Gavin Brown, Irving Leonard Glicksberg and Edwin Hewitt, Indicator functions with large Fourier transforms	11
Shih-Sen Chang, Some random fixed point theorems for continuous random operators	21
K. C. Chattopadhyay and Olav Njstad, Quasiregular nearness spaces and extensions of nearness-preserving maps	33
Thomas W. Cusick, The two-dimensional Diophantine approximation constant. II	53
Eric Karel van Douwen and Jan van Mill, Spaces without remote points	69
Hector O. Fattorini, Convergence and approximation theorems for vector-valued distributions	77
John J. F. Fournier and Louis Pigno, Analytic and arithmetic properties of thin sets	115
David Goss, On a new type of L -function for algebraic curves over finite fields	143
Douglas Austin Hensley, Lattice vertex polytopes with interior lattice points	183
J. K. Kohli, Monotone extensions of mappings and their applications	193
John C. Morgan, II, On equivalent category bases	207
Ira J. Papick, Super-primitive elements	217
José Luis Rubio de Francia and José Luis Torrea, Vector extensions of operators in L^p spaces	227
Mark Phillip Thomas, Closed ideals of $l^1(\omega_n)$ when $\{\omega_n\}$ is star-shaped	237