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EXPECTATIONS IN SEMIFINITE ALGEBRAS

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Every semifinite von Neumann algebra A possesses an expectation $\mathfrak{h}: A \rightarrow W$, where W is a commutative von Neumann subalgebra of A containing the center of A , and where \mathfrak{h} extends the trace of a “large” finite subalgebra of A . An AW^* -algebraic proof yields applications to the embedding of semifinite AW^* -algebras in algebras of type I.

1. Uniform algebras. An algebra of type I may be studied by decomposing it into homogeneous algebras. In an analogous way, we propose to study semifinite algebras via their decompositions into uniform algebras.

DEFINITION [2, p. 242, Exer. 5]. An AW^* -algebra is said to be *uniform* if it contains an orthogonal family of equivalent finite projections with supremum 1. (The definition of homogeneous algebra is obtained by replacing “finite” by “abelian”.)

LEMMA 1. *Every semifinite AW^* -algebra is the C^* -sum of a family of uniform algebras.*

Proof. Since finite algebras are trivially uniform, one can suppose the given algebra A to be properly infinite. Let $(e_i)_{i \in I}$ be a maximal orthogonal family of pairwise equivalent finite projections; since A is infinite, one can suppose the index set I to be infinite. Then there exist a nonzero central projection h of A and an orthogonal family of projections $(f_i)_{i \in I}$ such that $h = \sup f_i$ and $f_i \sim he_i$ for all $i \in I$ [1, p. 102, Prop. 2]. This shows that the algebra hA is uniform, and an exhaustion by Zorn’s lemma completes the proof. \square

2. Matrix units. A uniform von Neumann algebra A may be regarded as a tensor product $A = D \otimes L(H)$ with D finite and $L(H)$ the algebra of all bounded operators on a Hilbert space H [2, p. 25, Prop. 5]. There is no analogous theory of tensor product for AW^* -algebras, but an effective substitute is to pursue the discussion of “matrix units” in [4, §5].

Let A be an AW^* -algebra, with center Z , containing an orthogonal family $(e_i)_{i \in I}$ of pairwise equivalent projections with $\sup e_i = 1$. As in [4, §5] construct a family of elements $e_{ij} \in e_i A e_j$ ($i, j \in I$) such that $e_{ii} = e_i$, $e_{ij}^* = e_{ji}$, $e_{ij} e_{jk} = e_{ik}$ and $e_{ij} e_{mk} = 0$ for $j \neq m$. In particular, $e_{ij} e_{ij}^* = e_i$

and $e_{ij}^* e_{ij} = e_j$, thus e_{ij} is a partial isometry effecting the equivalence $e_i \sim e_j$. Let

$$S = \{e_{ij} : i, j \in I\}, \quad T = \{e_i : i \in I\}$$

and let

$$D = S', \quad W = T''$$

be the commutant and bicommutant, respectively, of these sets in A ; D and W are AW^* -subalgebras of A with $D = D''$, $W = W''$ [1, p. 23, Prop. 8]. Since T is a commutative set, W is a commutative algebra; from $W \subset W'$ we see that W' has center W , thus the e_i are orthogonal central projections in W' with supremum 1, consequently $W' = \bigoplus e_i W'$ [1, p. 53, Prop. 2]. If $x_i \in e_i W'$ for all $i \in I$ and $\sup \|x_i\| < \infty$, we write $\bigoplus x_i$ for the unique element $x \in W'$ such that $e_i x = x_i$ for all i . Since $T \subset S$, one has

$$D = S' \subset T' = T''' = W',$$

thus $Z \subset W \subset D'$. The center of D is $D \cap D' = Z$ [4, Lemma 14].

For each $i \in I$, the mapping $d \mapsto de_i$ is a $*$ -isomorphism $D \rightarrow e_i A e_i$ [4, Lemma 12], consequently $\|de_i\| = \|d\|$ for all $d \in D$ and $i \in I$ [3, 1.3.8 and 1.8.1]. Moreover [4, Lemma 13],

$$e_i A e_j = D e_{ij} \quad (i, j \in I);$$

the mapping $d \mapsto de_{ij}$ is an isomorphism of Banach spaces $D \rightarrow e_i A e_j$, since

$$\|de_{ij}\|^2 = \|(de_{ij})(de_{ij})^*\| = \|dd^*e_i\| = \|dd^*\| = \|d\|^2.$$

In particular, for each element $a \in A$ there exists a unique family (a_{ij}) of elements of D determined by the relations

$$(1) \quad e_i a e_j = a_{ij} e_{ij} \quad (i, j \in I);$$

one calls (a_{ij}) the “matrix” of a relative to the matrix units e_{ij} . One has

$$(2) \quad \|a_{ij}\| \leq \|a\| \quad (i, j \in I)$$

because $\|a_{ij}\| = \|a_{ij} e_{ij}\| = \|e_i a e_j\|$.

From $D \subset W'$ we see that $e_i D \subset e_i W' = e_i W' e_i \subset e_i A e_i = e_i D$, thus $e_i W' = e_i D$; therefore $W' = \bigoplus e_i D = \bigoplus e_i A e_i$.

LEMMA 2. *With the preceding notations,*

$$(3) \quad D' = \{a \in A : e_i a e_j \in Z e_{ij} \text{ for all } i, j\},$$

$$(4) \quad W' = \bigoplus e_i W' = \bigoplus e_i D = \bigoplus e_i A e_i \\ = \{a \in A : e_i a e_j = 0 \text{ whenever } i \neq j\},$$

$$(5) \quad W = \bigoplus e_i Z,$$

$$(6) \quad W = D' \cap W',$$

$$(7) \quad Z = D \cap W.$$

The algebra D' is homogeneous, with center Z .

Proof. Let $a \in A$ and write $e_i a e_j = a_{ij} e_{ij}$ as in (1).

(3) If $d \in D = S'$ then d commutes with every e_{ij} , therefore

$$e_i (ad - da) e_j = (a_{ij} d - d a_{ij}) e_{ij}.$$

This expression is 0 if and only if $a_{ij} d - d a_{ij} = 0$; thus $a \in D'$ if and only if $a_{ij} \in D \cap D' = Z$ for all i, j .

(4) The formulas $W' = \bigoplus e_i W' = \bigoplus e_i D = \bigoplus e_i A e_i$ are noted above. For all i, j, k one has

$$e_i (a e_k - e_k a) e_j = \delta_{jk} a_{ik} e_{ik} - \delta_{ik} a_{kj} e_{kj} \\ = \delta_{jk} a_{ij} e_{ij} - \delta_{ik} a_{ij} e_{ij} = (\delta_{jk} - \delta_{ik}) a_{ij} e_{ij},$$

which is 0 whenever $i = j$. One has $a \in W' = T'$ if and only if this expression is 0 for all i, j, k . If $a \in W'$ and $i \neq j$ then $a_{ij} = 0$ (take $k = j$); on the other hand if $a_{ij} = 0$ whenever $i \neq j$, then the expression is 0 for all i, j, k , so $a \in W'$. Thus $W' = \{a \in A : e_i a e_j = 0 \text{ for } i \neq j\}$.

(5), (6) From (3) we have $e_i Z = e_i D' e_i$; since $e_i \in W \subset D'$, this shows that $e_i Z$ is an AW^* -algebra, and $Z \subset W$ yields $\bigoplus e_i Z \subset \bigoplus e_i W = W$. Obviously $W \subset D' \cap W'$. If $a \in D' \cap W'$ then $a = \bigoplus e_i a$ by (4), and $e_i a = e_i a e_i = e_i a_{ii}$ with $a_{ii} \in Z$ by (3), thus $a \in \bigoplus e_i Z$. Summarizing, we have $\bigoplus e_i Z \subset W \subset D' \cap W' \subset \bigoplus e_i Z$, whence equality throughout.

(7) Citing (6), $D \cap W = D \cap D' \cap W' = Z \cap W' = Z$.

Finally, $e_{ij} \in S \subset S'' = D'$ for all i, j ; this shows that the projections e_i are equivalent in D' . By (3), $e_i D' e_i = Z e_i$ is commutative, so the e_i are abelian projections in D' . Thus D' is homogeneous, with center $D' \cap D'' = D' \cap D = Z$. \square

3. Semifinite algebras. The foregoing results on matrix units yield a structure theorem for semifinite algebras; we first review some definitions needed for its statement.

Let A be an AW^* -algebra, A_p its projection lattice, A_h the ordered linear space of hermitian elements of A with the set of elements x^*x as positive cone; A is said to be *normal* [15] if A_p is monotonely embedded in A_h , that is, whenever (f_α) is an increasingly directed family of projections with supremum f in A_p , then f is also the supremum of the family in A_h (briefly, $f_\alpha \uparrow f$ in A_p implies $f_\alpha \uparrow f$ in A_h). Every finite AW^* -algebra is normal [15, Th. 4], as is every AW^* -algebra that acts faithfully on a separable Hilbert space [16, Cor. 3.4]. (It is not known if there exists a non-normal AW^* -algebra.) Every von Neumann algebra is normal, hence so is every W^* -algebra. A positive linear mapping $\varphi: A \rightarrow B$ between AW^* -algebras is said to be *normal* if $a_\alpha \uparrow a$ in A_h implies $\varphi(a_\alpha) \uparrow \varphi(a)$ in B_h , and *completely additive on projections* (CAP) if $f_\alpha \uparrow f$ in A_p implies $\varphi(f_\alpha) \uparrow \varphi(f)$ in B_h . If A is a normal algebra and φ is a normal mapping, then φ is CAP.

LEMMA 3 [10]. *If A is a normal AW^* -algebra, then for every element $x \in A$ the positive linear mapping $a \mapsto xax^*$ on A is CAP.*

Proof. Suppose $f_\alpha \uparrow f$ in A_p and $xf_\alpha x^* \leq b \in A_h$ for all α ; we are to show that $xfx^* \leq b$. Let $\varepsilon > 0$ and let $c = (b + \varepsilon)^{-1/2}$. Then

$$cxf_\alpha x^*c \leq cbc = b(b + \varepsilon)^{-1} \leq 1,$$

thus $(cxf_\alpha)(cxf_\alpha)^* \leq 1$; this means that $\|cxf_\alpha\| \leq 1$, so $(cxf_\alpha)^*(cxf_\alpha) \leq 1$, whence $f_\alpha(1 - x^*c^2x)f_\alpha \geq 0$ for all α . It follows from normality that $f(1 - x^*c^2x)f \geq 0$ [10, Lemma 3], whence $fx^*c^2xf \leq f \leq 1$, $\|cxf\| \leq 1$, $cxfx^*c \leq 1$, $xfx^* \leq c^{-2} = b + \varepsilon$. Thus $xfx^* - b \leq \varepsilon$ for all $\varepsilon > 0$, therefore $xfx^* - b \leq 0$. \square

THEOREM 1. *Let A be a semifinite AW^* -algebra with center Z . There exist AW^* -subalgebras D and W of A with the following properties:*

- (i) $D = D''$ and $W = W''$ in A ;
- (ii) D is finite, its center is Z , and D' is of type I with center Z ; D is $*$ -isomorphic to eAe , with e a faithful finite projection of A ;
- (iii) W is commutative, $W = D' \cap W'$ and $Z = D \cap W$;
- (iv) there is a mapping $\sharp: A \rightarrow W'$ that is left and right W' -linear, positive, faithful, and leaves fixed the elements of W' ; when A is a normal algebra, the mapping \sharp is CAP.
- (v) If Z is a W^* -algebra then so are D' and W ; if D is a W^* -algebra, then so is W' .
- (vi) If A is normal and D is a W^* -algebra, then A is a W^* -algebra.

Proof. By Lemma 1 we are reduced to the case that A is uniform; we adopt the notations of Lemma 2, with the e_i finite projections of A . In particular, D is $*$ -isomorphic to $e_i A e_i$, hence is finite; the rest of (i)–(iii) is clear from Lemma 2.

(v) The formula $W = D' \cap W'$ means that W coincides with its commutant in D' (thus is a maximal abelian subalgebra of D'); if Z is a W^* -algebra (that is, $*$ -isomorphic to a von Neumann algebra) then so is the type I algebra D' with center Z [4, Th. 2], hence so is W . On the other hand, if D is a W^* -algebra, then so are the isomorphic algebras $e_i D$, hence so is W' by formula (4) of Lemma 2; in this case, the center Z of D is also a W^* -algebra, hence so are D' and W .

(iv), (vi) If $a \in A$ then $\|e_i a e_i\| \leq \|a\|$ for all i , so by (4) of Lemma 2 we can define $a^\# = \bigoplus e_i a e_i \in W'$. It is clear that $a \mapsto a^\#$ is a positive linear mapping $A \rightarrow W'$, leaving fixed the elements of W' hence having range W' . If $a \geq 0$ and $a^\# = 0$, then $(e_i a^{1/2})(e_i a^{1/2})^* = e_i a e_i = 0$ for all i , whence $a = 0$; thus $\#$ is faithful.

If $c \in W' = T'$ and $a \in A$, then c commutes with every e_i , thus $e_i c a e_i = (e_i c e_i)(e_i a e_i)$ for all i ; therefore $(ca)^\# = c^\# a^\# = c a^\#$, similarly $(ac)^\# = a^\# c$.

Finally, suppose A is a normal algebra and $f_\alpha \uparrow f$ in A_p . By Lemma 3, for each i one has $e_i f_\alpha e_i \uparrow e_i f e_i$ in A_h , hence in $(e_i A e_i)_h$; therefore $\bigoplus e_i f_\alpha e_i \uparrow \bigoplus e_i f e_i$ in $(\bigoplus e_i A e_i)_h$, that is, $f_\alpha^\# \uparrow f^\#$ in $(W')_h$. Thus $\#$ is CAP. If, in addition, D is a W^* -algebra, then by (v) so is W' , therefore W' has a separating family of normal positive linear forms; since $\#$ is CAP, it follows that A has a separating family of positive linear forms that are CAP, therefore A is a W^* -algebra by a theorem of G. K. Pedersen [7]. \square

4. Trace and expectations. Our next objective is to show that, in the notations of Theorem 1, a center-valued trace $\natural: D \rightarrow Z$ on the finite algebra D is extendible to a trace-like mapping $\natural: A \rightarrow W$ (more precisely, in the terminology of [6], an expectation of A onto W). If, in addition, the algebra A is normal, then the resulting expectation of A is a normal mapping. All of these hypotheses are fulfilled when A is a semifinite W^* -algebra. First, we review a result implicit in [12]:

LEMMA 4. *Let A be a finite AW^* -algebra with center Z , possessing a trace $\natural: A \rightarrow Z$. Then A is monotone complete and the mapping \natural is normal.*

Proof. The hypothesis is that \natural is a positive Z -linear mapping such that $1^\natural = 1$ and $(ab)^\natural = (ba)^\natural$ for all a, b in A . It follows that $z^\natural = z$ for all $z \in Z$. Moreover, \natural is faithful: if $a \geq 0$ and $a^\natural = 0$ then $a = 0$ (because

every nonzero positive element of A majorizes a positive scalar multiple of a simple projection [1, §26]).

Let $D: A_p \rightarrow Z$ be the dimension function A [1, p. 181, Th. 1]. By the uniqueness of D , $e^h = D(e)$ for all projections e ; since D is completely additive, \mathfrak{h} is CAP [1, p. 184, Exer. 4]. It follows that for every $x \in A$, the Z -linear mapping $a \mapsto (xax^*)^h$ is also CAP (cf. the Appendix), thus \mathfrak{h} is continuous in the sense of [12, p. 316]. Since \mathfrak{h} is faithful, it follows that there exists an AW^* -algebra B of type I, with center Z , such that A is an AW^* -subalgebra of B [12, Th. 3.1], indeed $A = A''$ in B [12, Th. 4.4]. Since B is monotone complete [12, Lemma 1.4] and $A = A''$ in B , it follows that A is monotone complete. (An AW^* -algebra A is said to be *monotone complete* if every increasingly directed family in A_h , majorized by an element of A_h , has a supremum in A_h .)

Suppose $a_\alpha \uparrow a$ in A_h ; we are to show that $a_\alpha^h \uparrow a^h$ in Z_h . Passing to a cofinal set of indices, we can suppose that $\|a_\alpha\|$ is bounded. Viewing B as the algebra of bounded operators on an AW^* -module over Z [5, Th. 8], a_α is strongly convergent to a [12, Lemma 1.4], therefore $a^h = \liminf a_\alpha^h$ in Z_h [12, Lemma 4.3]; since the family (a_α^h) is increasing, $\liminf a_\alpha^h = \sup a_\alpha^h$, thus $a_\alpha^h \uparrow a^h$ in Z_h . \square

In Theorem 2 it will be assumed that the finite algebra D of Theorem 1 has a trace, equivalently, that the isomorphic algebra eAe has a trace; the next two lemmas free this hypothesis from its reference to a particular faithful finite projection e .

LEMMA 5. *If the finite AW^* -algebra A has a trace, then so does every corner eAe of A and every matrix algebra $M_n(A)$ over A .*

Proof. If $\mathfrak{h}: A \rightarrow Z$ is the trace of A (Z the center of A) and if r is the relative inverse of e^h in the regular ring of A [1, p. 235], then the trace $eAe \rightarrow eZ$ of eAe is given by the formula $x \mapsto erx^h$. Identifying the center of $M_n(A)$ with Z , the trace of a matrix is defined to be the average of the traces of its diagonal elements. \square

LEMMA 6. *Let A be a semifinite AW^* -algebra containing a faithful finite projection f such that fAf has a trace. Then for every finite projection e of A , eAe has a trace.*

Proof. The first step of the proof is to find a nonzero central projection h of A such that $(he)A(he) = heAe$ has a trace. We can suppose $e \neq 0$; then $eAf \neq 0$ (because f is faithful), so there exist nonzero

subprojections $e_1 \leq e, f_1 \leq f$ with $e_1 \sim f_1$. Passing to a subprojection of e_1 , we can suppose that e_1 is a simple projection in eAe [1, §26]. The central cover of e_1 in eAe has the form he with h a central projection of A [1, p. 37, Prop. 4], thus $heAe = M_n(e_1Ae_1)$ for a suitable integer n (the “order” of e_1 in eAe). Since fAf has a trace, so does its corner f_1Af_1 (Lemma 5), hence so does the isomorphic algebra e_1Ae_1 , hence so does the matrix algebra $heAe$ (Lemma 5).

Let (h_α) be a maximal orthogonal family of nonzero central projections of A such that every $h_\alpha eAe$ has a trace. Necessarily $\sup h_\alpha = 1$ (otherwise the preceding argument could be used to contradict maximality); thus $eAe = \oplus h_\alpha eAe$, $eZ = \oplus h_\alpha eZ$ (Z the center of A), and the traces of the $h_\alpha eAe$ may be combined to give a trace for eAe . \square

THEOREM 2. *Let A be a semifinite AW^* -algebra with center Z , and adopt the notations of Theorem 1. Suppose, in addition, that the finite algebra D has a trace $\natural: D \rightarrow Z$ (as is the case when A is a W^* -algebra). Then the trace of D is extendible to a positive linear mapping $\natural: A \rightarrow W$ with the following properties:*

- (i) $w^\natural = w$ for all $w \in W$;
- (ii) $(wa)^\natural = wa^\natural = a^\natural w = (aw)^\natural$ for all $a \in A, w \in W$;
- (iii) $a \geq 0$ and $a^\natural = 0$ imply $a = 0$;
- (iv) $(ad)^\natural = (da)^\natural$ for all $a \in A, d \in D$; equivalently, $(uau^*)^\natural = a^\natural$ for all $a \in A$ and all unitary $u \in D$;
- (v) if A is a normal algebra, then the mapping $\natural: A \rightarrow W$ is normal and there exists a type I AW^* -algebra B with center Z such that $A = A''$ in B .

Proof. By Lemma 6 and the proof of Theorem 1, we can suppose A to be uniform; we adopt the notations of Lemma 2, with the e_i finite projections, and we write $\sharp: A \rightarrow W'$ for the mapping defined in the proof of Theorem 1.

Suppose, more generally, that $\varphi: D \rightarrow Z$ is any positive linear mapping. For each $i \in I$ let $\varphi_i: e_i A e_i \rightarrow e_i Z$ be the unique (positive, linear) mapping such that $\varphi_i(e_i d) = e_i \varphi(d)$ (recall that $d \mapsto e_i d$ is a $*$ -isomorphism $D \rightarrow e_i A e_i$); then

$$\|\varphi_i(e_i d)\| \leq \|\varphi(d)\| \leq \|\varphi\| \|d\| = \|\varphi\| \|e_i d\|,$$

so $\|\varphi_i\| \leq \|\varphi\|$ for all i . Define a mapping $\bar{\varphi}: W' \rightarrow W$ as follows. By (4) of Lemma 2, every $x \in W'$ has the form $x = \oplus x_i$ with $x_i \in e_i A e_i$ and $\|x_i\|$ bounded; then $\|\varphi_i(x_i)\|$ is bounded and we can define

$$\bar{\varphi}(x) = \oplus \varphi_i(x_i) \in \oplus e_i Z = W$$

by (5) of Lemma 2. (So to speak, $\bar{\varphi} = \oplus \varphi_i$.)

Composing the positive linear mappings $\sharp: A \rightarrow W'$ and $\bar{\varphi}: W' \rightarrow W$, we obtain a positive linear mapping $\Phi: A \rightarrow W$, where $\Phi(a) = \bigoplus \varphi_i(e_i a e_i)$ for $a \in A$; thus if $e_i a e_j = a_{ij} e_{ij}$ as in (1), we have

$$(8) \quad \Phi(a) = \bigoplus e_i \varphi(a_{ii}).$$

Φ extends φ . {*Proof:* If $a \in D$ then $e_i a e_i = a e_i$ shows that $a_{ii} = a$ for all i , whence $\Phi(a) = \bigoplus e_i \varphi(a) = \varphi(a)$.}

If φ is faithful then so is Φ . {*Proof:* If φ is faithful then so is every φ_i , therefore so is $\bar{\varphi}$; since \sharp is also faithful, so is $\Phi = \bar{\varphi} \circ \sharp$.}

If φ is Z -linear, then each of the mappings $a \mapsto \varphi(a_{ii})$ is Z -linear and Φ is both left and right W -linear. {*Proof:* Clearly every φ_i is $e_i Z$ -linear, therefore $\bar{\varphi}$ is both left and right $\bigoplus e_i Z$ -linear, that is, W -linear. If $z \in Z$ then za has matrix (za_{ij}) , whence the Z -linearity of the mappings $a \mapsto \varphi(a_{ii})$.}

If φ is normal then so is $\bar{\varphi}$; if, moreover, A is a normal algebra, then the mappings Φ and $a \mapsto \varphi(a_{ii})$ on A are CAP. {*Proof:* If φ is normal then so is every φ_i , hence so is $\bar{\varphi} = \bigoplus \varphi_i$. Suppose in addition that A is normal. If $f_\alpha \uparrow f$ in A_p , then $f_\alpha^\sharp \uparrow f^\sharp$ in $(W')_h$ by (iv) of Theorem 1, therefore $\bar{\varphi}(f_\alpha^\sharp) \uparrow \bar{\varphi}(f^\sharp)$ in W_h , that is, $\Phi(f_\alpha) \uparrow \Phi(f)$; thus Φ is CAP. Also, for each i the mapping $a \mapsto e_i a e_i = e_i a_{ii}$ is CAP (Lemma 3); by virtue of the $*$ -isomorphism $e_i D \rightarrow D$ and the normality of φ , it follows that the mapping $a \mapsto \varphi(a_{ii})$ is also CAP.}

Assume now that there exists a trace $\natural: D \rightarrow Z$ and let \natural play the role of φ . By the foregoing remarks, the mapping $\natural: A \rightarrow W$ defined by the formula

$$a^\natural = \bigoplus e_i a_{ii}^\natural$$

is left and right W -linear, positive, faithful, and extends the trace of D ; thus the properties (ii), (iii) are verified, hence so is (i) (because $1^\natural = 1$). If $a \in A$ has matrix (a_{ij}) and if $u \in D$ is unitary, then uau^* has matrix $(ua_{ij}u^*)$, therefore

$$(uau^*)^\natural = \bigoplus e_i (ua_{ii}u^*)^\natural = \bigoplus e_i a_{ii}^\natural = a^\natural.$$

This is equivalent to the identity $(ad)^\natural = (da)^\natural$ since every $d \in D$ is a linear combination of unitary elements of D [2, p. 4, Prop. 3].

The trace of D is normal (Lemma 4); if, moreover, A is a normal algebra, the above remarks show that the mappings $\natural: A \rightarrow W$ and $a \mapsto a_{ii}^\natural$ on A are CAP; in particular, A has a family of Z -linear mappings $A \rightarrow Z$ that are CAP and separating (for, if $a \geq 0$ and $a_{ii}^\natural = 0$ for all i , then

$a^h = 0$, therefore $a = 0$). It then follows from K. Saitô's embedding theorem [9, Th. 2] that there exists a type I AW^* -algebra B with center Z , such that $A = A''$ in B . By the arguments in the proof of Lemma 4, A is monotone complete and the above-mentioned Z -linear mappings $A \rightarrow Z$ are normal, therefore so is the mapping $\natural: A \rightarrow W$. \square

The following corollary is due in essence to H. Widom [11, Th. 6.3]:

COROLLARY 1. *If A is a normal, semifinite AW^* -algebra containing a faithful finite projection f such that fAf has a trace, then A may be embedded as a bicommutant in a type I algebra with the same center.*

Proof. With notation as in Theorem 1, it follows from Lemma 6 that eAe has a trace, hence so does the isomorphic algebra D ; thus all of the hypotheses of Theorem 2 are fulfilled. \square

{ We remark that the result in [11, Th. 6.3] is stated without assuming normality, but normality figures in the proof [11, p. 55, line 4] via an appeal to the property in Lemma 3 above. The countability hypothesis in [11, Th. 6.3] can be omitted by virtue of Saitô's embedding theory [9, Th. 1]. }

COROLLARY 2. *If, under the hypotheses of Corollary 1, the center of A is a W^* -algebra, then A is also a W^* -algebra.*

Proof. The type I algebra given by Corollary 1 is also W^* [4, Th. 2], hence so is its subalgebra A . \square

It is an open question whether every AW^* -factor of type II_1 has a trace; if the answer is yes, then Corollary 2 would imply that every normal AW^* -factor of type II_∞ is a W^* -algebra.

COROLLARY 3 [13, p. 445, Cor.]. *Let A be a normal, semifinite AW^* -algebra whose center Z is a W^* -algebra. If A has a faithful positive linear form then it is a W^* -algebra.*

Proof. With notations as in Theorem 1, the finite algebra D also has center Z and has a faithful positive linear form, hence is a W^* -algebra [14, p. 437, Cor. 7]; therefore D has a trace and Corollary 2 applies. \square

5. Appendix. The following proposition (stated without proof in [8]) is implicit in the proof of Saitô's embedding theorem [9, Th. 2]; the brief proof given here was communicated to me by Professor Saitô.

PROPOSITION [8, 1.1.2]. *If A is an AW^* -algebra, B is a commutative AW^* -algebra, and $\varphi: A \rightarrow B$ is a positive linear mapping that is CAP, then for every $x \in A$ the mapping $a \mapsto \varphi(xax^*)$ is also CAP.*

Proof. Assuming $f_\alpha \downarrow 0$ in A_p , it will suffice to show that $\varphi(xf_\alpha x^*) \downarrow 0$ in B_h . This is clear if x is unitary, for then $xf_\alpha x^* \downarrow 0$ in A_p . In general, x is a linear combination of four unitaries, say $x = \sum_{i=1}^4 \lambda_i u_i$. Then

$$\varphi(xf_\alpha x^*) = \sum_{i,j} \lambda_i \bar{\lambda}_j \varphi(u_i f_\alpha u_j^*).$$

Writing $|b| = (b^*b)^{1/2}$ for $b \in B$, the Cauchy-Schwarz inequality [cf. 5, p. 840] yields

$$\begin{aligned} |\varphi(u_i f_\alpha u_j^*)|^2 &= |\varphi(u_i (u_j f_\alpha)^*)|^2 \\ &\leq \varphi(u_i u_i^*) \varphi(u_j f_\alpha u_j^*) = \varphi(1) \varphi(u_j f_\alpha u_j^*); \end{aligned}$$

writing $M = \max |\lambda_i \lambda_j|$, we thus have

$$\varphi(xf_\alpha x^*) \leq 4M\varphi(1)^{1/2} \sum_{j=1}^4 \varphi(u_j f_\alpha u_j^*)^{1/2},$$

where $\varphi(u_j f_\alpha u_j^*)^{1/2} \downarrow 0$ in B_h for each j , therefore also $\varphi(xf_\alpha x^*) \downarrow 0$. \square

We remark that for the CAP mappings occurring in Lemmas 3 and 4 (hence in Theorems 1 and 2), the conclusion of the Proposition can be seen directly: in the case of Lemma 3, one notes that $y(xf_\alpha x^*)y^* = (yx)f_\alpha(yx)^*$; in the case of Lemma 4, $(xf_\alpha x^*)^h = (f_\alpha x^* x f_\alpha)^h \leq \|x\|^2 f_\alpha^h$.

PROBLEMS. 1. Is every semifinite AW^* -algebra normal?

2. In the notations of Lemma 2, does every $*$ -automorphism of D extend to a $*$ -automorphism of A ?

3. If A is an AW^* -algebra containing a faithful projection e such that eAe is a W^* -algebra, does it follow that A is a W^* -algebra? (The answer is yes if A is normal.)

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