

Pacific Journal of Mathematics

ALEXANDER DUALITY

WILLIAM H. JULIAN, RAY MINES, III AND FRED RICHMAN

ALEXANDER DUALITY

WILLIAM JULIAN, RAY MINES AND FRED RICHMAN

The Alexander duality theorem is developed in a manner that is constructive in the sense of Bishop, yielding a constructive Jordan-Brouwer theorem.

0. Introduction. The Alexander duality theorem, which generalizes the Jordan curve theorem and the Jordan-Brouwer theorem, relates the structure of a compact subset of a d -dimensional sphere to that of its complement. The structure of the complement is not completely determined by the structure of the subset. Indeed, the homotopy class of the complement is not determined by the homeomorphism class of the set, as the Alexander horned sphere illustrates. Nevertheless certain connectivity properties of the complement are so determined, such as the number of connected components. This consequence of duality generalizes the Jordan-Brouwer theorem that the complement in the d -sphere of a homeomorph of the $(d - 1)$ -sphere has two components.

We develop the duality theorem in a manner that is constructive in the sense of Bishop [3], thus generalizing the work in [1] on the constructive Jordan curve theorem to obtain a constructive Jordan-Brouwer theorem. The constructive approach requires showing how to use the information given by a homeomorphism of the $(d - 1)$ -sphere with a subset T of the d -sphere S^d , to construct two points in S^d of positive distance away from T such that any path joining them comes arbitrarily close to T ; and, given a point in S^d of positive distance from T , how to construct a path joining it to one of the two points by a polygonal path that is bounded away from T . The strategy, as in the classical situation, is to associate a homeomorphism invariant group $H^{d-1}(X)$ to each compact space X so that if T is a compact subset of S^d and $H^{d-1}(T) = H^{d-1}(S^{d-1})$, then T satisfies the conclusion of the Jordan-Brouwer theorem. To this end we develop a constructive Čech cohomology theory for compact spaces.

In [6] a constructive Vietoris homology theory is developed for compact spaces, in which the homology objects are inverse systems of (finitely presented) abelian groups. These more general objects made it possible to get a theory that is both exact and continuous, an impossibility if the objects are required to be abelian groups [4; X.4.1]. Moreover some information is lost if we pass to the inverse limit: The first homology group of a circle with a missing piece is trivial, but this fact provides no information as to the size of the missing piece. However if we are given

that the associated inverse *system* of groups is trivial, then we can calculate the size of the missing piece. It is essential that we know this size if we are to prove that the complement in the plane of such a punctured circle is pathwise connected.

The situation for cohomology is different, as the category of direct systems of finitely generated abelian groups is isomorphic to the category of abelian groups. If we are given that the cohomology group of the punctured circle is trivial, then we can compute the size of the puncture. From a constructive point of view, the cohomology objects are *countably presented* abelian groups, that is, groups generated by a countable number of generators subject to a countable number of relations. The theory of these groups is developed in §1.

We develop in §2 a homeomorphism invariant Čech cohomology theory that satisfies the Eilenberg-Steenrod axioms. In §3 we show that the cohomology of an inverse limit of compact spaces is the direct limit of the cohomology groups of the spaces. This enables us to compute the cohomology group of a compact subset T of a sphere as the direct limit of the cohomology groups of approximating polyhedra. By suitably choosing the approximating polyhedra, we can construct isomorphisms between their cohomology groups and the homology groups of polyhedra approximating the complement of T via the combinatorial duality theorem. There are no peculiarly constructive problems with this theorem as it deals entirely with finite objects and operations. However we do have to observe that the isomorphisms form a natural equivalence of functors in the appropriate category. This is done in §5. In §6 we prove the Jordan-Brouwer theorem.

1. Countably presented groups. An abelian group is *countably presented* if it can be written as a quotient of a countable free group by a countable subgroup. From a classical point of view these groups are simply countable groups; constructively they carry a little more information. For example if we let H be the subgroup of the additive group \mathbb{Z} of integers which is \mathbb{Z} or 0 depending on the truth of some proposition, then \mathbb{Z}/H is a countable group that cannot necessarily be asserted to be countably presented. The two notions coincide for *discrete* groups, that is, for groups in which the alternative “ $x = y$ or $x \neq y$ ” is decidable. Countably presented groups arise in this paper as cohomology groups of compact spaces, and these groups need not be discrete.

An abelian group is *finitely presented* if it can be written as the quotient of a finite rank free group by a finitely generated subgroup. Classically any finitely generated abelian group is finitely presented. It is easily seen that countably presented groups are precisely the countable direct limits of finitely presented groups. More is true: the category of

countably presented groups is isomorphic to the category of countable direct systems of finitely presented groups. This latter category is a standard construction which we shall briefly outline, assuming the reader to be familiar with direct and inverse limits.

The *direct limit* $\varinjlim H_\alpha$ of a direct system $(H_\alpha)_{\alpha \in A}$ is the union of the H_α , two elements being equal if their images are equal in some H_β . The *inverse limit* $\varprojlim K_\alpha$ of an inverse system $\{K_\alpha\}_{\alpha \in A}$ consists of those elements of the product $\prod K_\alpha$ whose coordinates respect the maps in the system.

DEFINITION. Let C be a category. The category $\text{Dir}(C)$ has as its objects the direct systems $\{H_\alpha\}_{\alpha \in A}$ of objects in C . A map between direct systems $\{H_\alpha\}_{\alpha \in A}$ and $\{K_\beta\}_{\beta \in B}$ is an element of $\varprojlim_\alpha \varinjlim_\beta \text{Hom}(H_\alpha, K_\beta)$.

THEOREM 1.1. *The direct limit functor is an isomorphism between the category of countable direct systems of finitely presented groups, and the category of countably presented groups.*

Proof. Let $A_0 \rightarrow A_1 \rightarrow \cdots$ and $B_0 \rightarrow B_1 \rightarrow \cdots$ be direct systems of finitely presented groups. Let A_∞ and B_∞ be their direct limits. We must show that any map $A_\infty \rightarrow B_\infty$ is induced by a map between the direct systems, and that any map between the systems that induces the zero map on A_∞ is zero. We construct a map inducing f as follows. Given i , let S be a finite set of generators for A_i and R a finite set of generators for the relations on S in A_i . Then $f(S)$ can be regarded as a subset of some B_j and, since $f(R)$ hold in B_∞ , we can choose j so that $f(R)$ hold in B_j . This gives a map $A_i \rightarrow B_j$. The maps arising in this manner define a map between the two direct systems that induces f on A_∞ .

As each A_i is finitely generated, any map between the two systems that induces the zero map on A_∞ is zero. \square

THEOREM 1.2. *Let $G_0 \rightarrow G_1 \rightarrow \cdots$ be a direct system of finitely presented groups. If the direct limit of this system is finitely presented, then we can reindex the system so that $G_i = A_i \oplus M_i$ where M_i goes to zero in G_{i+1} and A_i is mapped isomorphically onto A_{i+1} .*

Proof. We may reindex so that each G_i contains a finite set of generators of G_∞ and that the relations holding on this set in G_∞ also hold in G_i . These generators generate the subgroup A_i of G_i , which maps isomorphically onto A_{i+1} . Each of the finite number of generators of G_i is equal in G_∞ to an element of A_i . Hence we may reindex so that G_i maps into A_{i+1} . The kernel of the map from G_i to G_{i+1} is M_i . \square

2. Čech cohomology of totally bounded pairs. A subspace Y of a metric space S is ε -dense if given a point x in X we can find a point y in Y such that $d(x, y) < \varepsilon$. The subspace Y is *dense* if it is ε -dense for every $\varepsilon > 0$. The space X is *totally bounded* if for each $\varepsilon > 0$ it has a finite ε -dense subspace. We consider the empty set to be finite, hence totally bounded. A totally bounded pair consists of a totally bounded space X and a totally bounded subspace X' . We shall sometimes denote such a pair simply by X . For x in X we let $N_\delta(x)$ denote the set of all points y in X such that $d(x, y) < \delta$. A *cover* of a totally bounded pair X consists of a finite family I of nonempty subsets of X and positive numbers $\varepsilon \geq \delta$ such that

(a) If x and y are in $U \in I$, then $d(x, y) < \varepsilon$.

(b) If $x \in X$, then there is U in I such that $N_\delta(x) \subset U$.

We shall denote the cover simply by I and write $\varepsilon(I)$ and $\delta(I)$ for ε and δ to indicate to which cover they apply. Let I' denote the subfamily of I consisting of those subsets containing points of X' . We now show that covers with pleasant constructive properties exist in abundance.

THEOREM 2.1. *Let X be a totally bounded pair and $e > 0$. Then there exists a cover I of X for which $\varepsilon(I) < e$ satisfying*

(a) *Every set in I is open and totally bounded.*

(b) *Every finite subfamily F of I either has empty or nonempty intersection.*

(c) *Properties (a) and (b) hold for the family $(U \cap X' : U \in I)$ of subsets of X' .*

(d) *The subfamily I' of I consisting of those subsets containing points of X' is finite.*

Proof. Let Y be a finite $e/4$ -dense subset of X . For each finite subset Z of Y let $f_Z(x) = \sup\{d(x, z) : z \in Z\}$. By [2; Theorem 0.1] we can choose θ in $(e/4, e/2)$ so that $\{x \in X : f_Z(x) < \theta\}$ and $\{x \in X' : f_Z(x) < \theta\}$ are totally bounded for each finite subset Z of Y . If $I = \{N_\theta(y) : y \in Y\}$, then (a), (b) and (c) hold. Let $\varepsilon(I) = 2\theta < e$ and $\delta(I) = \theta - e/4$. Then $N_\theta(y)$ is nonempty, and $N_{\delta(I)}(x) \subset N_\theta(y)$, where y is an element of the $e/4$ -approximation Y such that $d(x, y) < e/4$. Part (d) follows from the total boundedness of the sets $U \cap X'$. \square

Each cover I gives rise to simplicial pair, which we shall also denote by I , by declaring a finite subset of $I(I')$ to be a simplex if its intersection contains a point of $X(X')$. A *simplicial map* is a vertex map on simplicial pairs that takes simplices to simplices. Two simplicial maps α and β are *contiguous* if $\alpha\sigma \cup \beta\sigma$ is a simplex for all simplices σ . We say that α and β are *combinatorially homotopic*, and write $\alpha \sim \beta$, if there exist simplicial maps $\alpha = \alpha_0, \alpha_1, \dots, \alpha_m = \beta$ such that α_i is contiguous to α_{i+1} for

$0 \leq i < m$. Combinatorially homotopic maps induce the same maps on homology and cohomology.

Let $f: X \rightarrow Y$ be a map of totally bounded pairs, that is, f is uniformly continuous and $f(X') \subset Y'$. Let I and J be covers of X and Y respectively. Then we say that f_J^I is *defined* if there is a simplicial map $\alpha: I \rightarrow J$ such that $f(U) \subset \alpha(U)$ for all U in I . If β is also a map from I to J such that $f(U) \subset \beta(U)$ for all U in I , then α and β are contiguous. Thus setting $f_J^I(U) = \alpha(U)$ uniquely defines a simplicial map up to combinatorial homotopy.

If f and g map X to Y , and $d(fx_1, gx_2) < \delta(J)$ whenever $d(x_1, x_2) < \varepsilon(I)$, then f_J^I and g_J^I are defined and $f_J^I \sim g_J^I$.

THEOREM 2.2. *Let $f: X \rightarrow Y$ and $g: Y \rightarrow Z$ be maps of totally bounded pairs. Let I, J and K be covers of X, Y and Z respectively. If f_J^I and g_K^J are defined, then $(gf)_K^I$ is defined and $(gf)_K^I \sim g_K^J f_J^I$. \square*

We say that a cover I is a *refinement* of a cover J of the same pair (X, X') if id_J^I is defined. The covers of Theorem 2.1 form a cofinal directed set under this relation since id_J^I is defined if $\varepsilon(I) < \delta(J)$. The *cohomology group* $H^n(X, X')$ is defined to be the direct limit of the groups $H^n(I, I')$ over the covers I of (X, X') . Hence, by Theorems 1.1 and 2.1, $H^n(X, X')$ is countably presented.

The groups H^n do not distinguish a space from its completion:

THEOREM 2.3. *Let $(X, X') \subset (Y, Y')$ be an inclusion of totally bounded pairs. If X is dense in Y and X' is dense in Y' , then $H^n(X, X') = H^n(Y, Y')$.*

Proof. If I is a cover of X as in Theorem 2.1, then $J = (U \cap Y: U \in I)$ is a cover of Y with the same simplicial structure as I . \square

We now show that H^n provides a cohomology theory in the sense of Eilenberg-Steenrod. That H^n is a functor, that the coboundary map is natural, that $H^n = 0$ on one point spaces for $n \neq 0$, and that the cohomology sequence

$$H^{n-1}(X') \rightarrow H^n(X, X') \rightarrow H^n(X) \rightarrow H^n(X') \rightarrow H^{n+1}(X, X')$$

is exact, is immediate from the corresponding facts for $H^n(I)$. We need to verify the excision and homotopy axioms.

THEOREM 2.4. *Let (X, X') be a totally bounded pair and Y a totally bounded subspace of X such that $Y' = Y \cap X'$ is totally bounded. Suppose there is $\delta > 0$ such that for each x in X either $x \in Y$ or every point within δ of x is in X' . Then the homomorphism $H^n(X, X') \rightarrow H^n(Y, Y')$ induced by the inclusion $(Y, Y') \subset (X, X')$ is an isomorphism.*

Proof. If I is a cover of X , and $\epsilon(I) < \delta$, then there is a finite subfamily I_0 of I , every element of which intersects Y , such that every simplex of I is either contained in I_0 or contained in I' . Indeed choose I_0 so that if U is in I_0 then $U \cap Y$ is nonempty, and if U is not in I_0 then $U \subset X'$. If a simplex of I has some vertex not in I_0 , then all vertices are in I' . The natural map $H^n(I) \rightarrow H^n(I_0)$ is an isomorphism.

If J is a cover of Y , and I is a cover of X such that $\epsilon(I) < \min(\delta, \delta(J)/2)$, let $\alpha: I_0 \rightarrow J$ be such that αU contains a $\delta(J)$ neighborhood in Y of a point in $U \cap Y$. Then $\alpha^*: H^n(J) \rightarrow H^n(I_0) \cong H^n(I)$ gives the desired inverse map. \square

THEOREM 2.5. *Let X be a totally bounded pair and T the unit interval. Let $f_t: X \rightarrow X \times T$ be defined by $f_t(x) = (x, t)$. Then f_0 and f_1 induce the same map on cohomology.*

Proof. We may assume that the metric on $X \times T$ is $d((x_1, t_1), (x_2, t_2)) = \sup(d(x_1, x_2), |t_1 - t_2|)$. Any element of $H^n(X \times T)$ is equal to an element of $H^n(I \times J)$ where I is a cover of X and J is a cover of T . Then $g_t = (f_t)_{I \times J}^I$ is defined by $g_t(U) = U \times V$ where $t \in V \in J$. If $|s - t| < \delta(J)$, then g_s and g_t may be taken equal so, taking δ steps from 0 to 1, we see that g_0 and g_1 induce the same map on $H^n(I \times J)$. \square

The *metric complement* of a totally bounded subspace consists of all points whose distance to the subspace is positive.

THEOREM 2.6. *If (X, X') is a totally bounded pair such that $H^r(X, X') \neq 0$, then the metric complement of X' is nonempty.*

Proof. Let I be a cover of X such that the map $H^r(I) \rightarrow H^r(X)$ is nonzero, and let J be a cover of X such that $\epsilon(J) < \delta(I)/2$. For each V in J choose $x_V \in V$. Either $d(x_V, X') > \delta/4$ or $d(x_V, X') < \delta/2$. If the former occurs for some V in the finite family J , then we have constructed a point in the metric complement of X' . If not, then for each V in J there is y_V in X' such that $d(x_V, y_V) < \delta/2$. Choose $U_V \in I$ such that $N_\delta(y_V) \subset U_V$. Then $V \subset U_V$ and $U_V \in I'$. Thus the map $H^r(I) \rightarrow H^r(J)$ is zero, contradicting the fact that the map $H^r(I) \rightarrow H^r(X)$ is nonzero. \square

3. Inverse limits of compact pairs. An *inverse system* of compact metric pairs is a family of compact metric pairs $X(i)$, $i = 1, 2, \dots$, and maps $f(i, j): X(j) \rightarrow X(i)$ defined for $i < j$ such that $f(i, k) = f(i, j)f(j, k)$ if $i < j < k$. To construct the inverse limit $X(\infty)$ of this system we first redefine the metric d_i on $X(i)$ to be the equivalent metric $\inf(d_i, 1/i)$ so that the diameter of $X(i)$ does not exceed $1/i$. Define $X(\infty)$ to be

$\{x \in \prod X(i) : x_i = f(i, j)x_j \text{ for all } i < j\}$, with $d(x, y) = \sup d_i(x_i, y_i)$. Define $f(i) : X(\infty) \rightarrow X(i)$ to be projection on the i th coordinate. In this section we shall prove the following theorem, after establishing two lemmas.

THEOREM 3.1. *Let $\{X(i), f(i, j)\}$ be an inverse system of compact pairs with limit $\{X(\infty), f(i)\}$. If for each i and $\delta > 0$ there is $j > i$ so that $f(i)X(\infty)$ is δ -dense in $f(i, j)X(j)$, then $H^n(X(\infty))$ is isomorphic to $\varinjlim H^n(X(i))$.*

A pair (Y, Y') is δ -dense in (X, X') if Y is δ -dense in X and Y' is δ -dense in X' . The δ -density hypothesis always holds classically, but is necessary constructively as the following example shows.

EXAMPLE 3.2. Let $\{a_i\}$ be an increasing sequence of 0's and 1's which cannot be all 0's. Let $X(i)$ be the closed unit disk if $a_i = 0$, and the unit circle if $a_i = 1$. Let $f(i, j)$ be the inclusion map $X(j) \subset X(i)$. Then $X(\infty)$ is the unit circle, so $H^1(X(\infty))$ is an infinite cyclic group. If Theorem 3.1 held in this case, then we could find a nonzero element of $\varinjlim H^1(X(i))$, hence an i such that $H^1(X(i)) \neq 0$. Thus we could find i so that $a_i = 1$. But this is Markov's principle.

Recall from [3] that an *operation* g from a set X to a set Y assigns to each x in X an element $g(x)$ in Y . An operation need not be a function in that we may have $x_1 = x_2$ without $g(x_1) = g(x_2)$.

LEMMA 3.3. *Let I be a cover of $X(\infty)$ and q a positive integer greater than $1/\delta(I)$. If $\alpha > 0$ is small enough, and $r > q$ is sufficiently large, then there is an operation $g : X(r) \rightarrow X(\infty)$ and a cover R of $X(r)$ such that*

- (a) *If $x, y \in X(\infty)$ and $d(f(q)x, f(q)y) < \alpha$, then $d(x, y) < \delta(I)$.*
- (b) *If $x \in X(r)$, then $d(f(q)gx, f(q, r)x) < \alpha/4$.*
- (c) *If $x, y \in X(r)$ and $d(x, y) < \epsilon(R)$, then $d(f(q, r)x, f(q, r)y) < \alpha/2$ and $d(gx, gy) < \delta(I)$.*

Proof. Choose $\alpha > 0$ so that if $u, v \in X(q)$ and $d(u, v) < \alpha$, then $d(f(i, q)u, f(i, q)v) < \delta(I)$ for all $i \leq q$. Then (a) holds. Choose r large enough so that $f(q)X(\infty)$ is $\alpha/4$ dense in $f(q, r)X(r)$. Then an operation g satisfying (c) can be defined. Finally choose R to satisfy the first inequality in (c). Then $d(f(q)gx, f(q)gy) < \alpha/4 + \alpha/4 + \alpha/2$ so $d(gx, gy) < \delta(I)$ by (a). \square

Note that (c) implies that g_I^R is defined. We shall use the g_I^R to construct an inverse to the map $\varinjlim H^n(X(i)) \rightarrow H^n(X(\infty))$. First we show that the g_I^R are compatible.

LEMMA 3.4. *Let I_1 be a cover of $X(\infty)$, and I_2 a refinement of I_1 . If r_3 is sufficiently large, and R_3 is a sufficiently fine cover of $X(r_3)$, then*

$$(g_1)_{I_1}^{R_1} f(r_1, r_3)_{R_2}^{R_3} = \text{id}_{I_1}^{I_2} (g_2)_{I_2}^{R_2} f(r_2, r_3)_{R_2}^{R_3}$$

Proof. Let $s = \max(r_1, r_2)$ and choose $\theta > 0$ so that if $u, v \in X(s)$ and $d(u, v) < 2\theta$, then for $i = 1, 2$ we have

$$d(f(r_i, s)u, f(r_i, s)v) < \varepsilon(R_i).$$

Choose r_3 so $f(s)X(\infty)$ is θ -dense in $f(s, r_3)X(r_3)$. Define $h: X(r_3) \rightarrow X(\infty)$ so that $d(f(s)hx, f(s, r_3)x) < \theta$ for all x in $X(r_3)$. Let R_3 be a cover of $X(r_3)$ such that if $d(x, y) < \varepsilon(R_3)$, then $d(f(s, r_3)x, f(s, r_3)y) < \theta$. If $d(x, y) < \varepsilon(R_3)$, then $d(f(s)hx, f(s, r_3)y) < 2\theta$, so

$$d(f(r_i)hx, f(r_i, r_3)y) < \varepsilon(R_i).$$

Thus

$$d(f(q_i)hx, f(q_i, r_3)y) < \alpha_i/2,$$

so

$$d(f(q_i)hx, f(q_i)g_i f(r_i, r_3)y) < \alpha_i$$

whence

$$d(hx, g_i f(r_i, r_3)y) < \delta(I_i).$$

Therefore

$$h_{I_1}^{R_3} = (g_1 f(r_1, r_3))_{I_1}^{R_3} = (g_1)_{I_1}^{R_1} f(r_1 r_3)_{R_1}^{R_3}$$

and

$$h_{I_2}^{R_3} = (g_2)_{I_2}^{R_2} f(r_1, r_3)_{R_2}^{R_3}.$$

The theorem follows upon noting that $h_{I_1}^{R_3} = \text{id}_{I_1}^{I_2} h_{I_2}^{R_3}$. □

Proof of Theorem 3.1. Let $L = \varinjlim H^n(X(i))$. The maps $f(i)^*: H^n(X(i)) \rightarrow H^n(X(\infty))$ induce a unique map $\phi: L \rightarrow H^n(X(\infty))$. We will construct an inverse map ψ to ϕ , showing that ϕ is an isomorphism. If I is a cover of $X(\infty)$, let g and R be as provided by Lemma 3.3. Then $(g_I^R)^*: H^n(I) \rightarrow H^n(R)$. Lemma 3.4 shows that these maps fit together to give a map ψ from $H^n(X(\infty))$ to L . To show that $\phi\psi$ is the identity, let I and R be as above and J a cover of $X(\infty)$ so that $f(r)_R^J$ is defined. If $x \in X(\infty)$, then

$$d(f(q)x, f(q)g(x_r)) = d(f(q, r)x_r, f(q)g(x_r)) < \alpha/4$$

so $d(x, g(x, r)) < \delta(I)$, whence $\text{id}_I^J = (gf(r))_I^J = g_I^R f(r)_R^J$. To show that $\psi\phi$ is the identity, let I be a cover of $X(\infty)$ and Q a cover of $X(q)$ where $q > 1/\delta(I)$ and $f(q)_Q^I$ is defined. Choose $\alpha < 4\delta(Q)$ and let r, R and g be as provided by Lemma 3.3. If $x \in X(r)$, then $d(f(q)gx, f(q, r)x) < \alpha/4$ so $\text{id}_Q^R = (f(q)g)_Q^R = f(q)_Q^I g_I^R$. \square

4. Polyhedral approximation. We need a systematic way to approximate compact subsets of a polyhedron, and their metric complements, by polyhedra. Let K be a simplicial complex. A *geometric realization* $|K|$ of K is obtained from a map f taking the vertices of K to Euclidean space in such a way that if $\{v_0, v_1, \dots, v_n\}$ is an n -simplex of K , then $f(v_0), f(v_1), \dots, f(v_n)$ are affine independent. The points of $|K|$ are sums $\sum a_v f(v)$ with $a_v \geq 0$ and $\sum a_v = 1$ such that if $a_v \neq 0$ for every v in some finite subset J , then J is a simplex of K .

There is a natural map $H^r(K) \rightarrow H^r(|K|)$ defined as follows. Let I be the cover of $|K|$ consisting of the sets $\text{star } v = \{\sum a_u f(u) : a_v \neq 0\}$. Then K and I are isomorphic simplicial complexes under the correspondence $v \rightarrow \text{star } v$. The desired map is the composite $H^r(K) \rightarrow H^r(I) \rightarrow H^r(|K|)$. This map is an isomorphism between $H^r(K)$ and $H^r(|K|)$ that is natural in the sense that it commutes with simplicial maps of K and their induced continuous maps of $|K|$ (see [4; IX.9]).

Given a geometric realization $|K|$ of K and a subcomplex L of K , we get a geometric realization $|L|$ of L in the obvious way. We get a geometric realization of the derived complex $K^{(1)}$ by mapping each point of $K^{(1)}$, which is a simplex of K , into the barycenter of its image in $|K|$. Clearly $|K| = |K^{(1)}|$ as point sets. If L is a subcomplex of K , then we let \bar{L} denote the full subcomplex of $K^{(1)}$ whose vertices consist of those vertices of $K^{(1)}$ that are not in L .

THEOREM 4.1. *Let K be a simplicial complex and $|K|$ a geometric realization of K . Let T be a totally bounded subspace of $|K|$. Then there is a sequence of subcomplexes L_i of $K^{(i)}$ such that for each $i > 0$*

- (a) $T \subset |L_i|$.
- (b) Any subset of $|K|$ bounded away from T is contained in $|\bar{L}_i|$ for some i .
- (c) $|L_1| \supset |L_2| \supset \dots$

Proof. We may assume that $|K|$ has diameter one. Choose $L_i \subset L_i^{(1)}$ inductively so that if σ is a simplex in L_i , then $d(|\sigma|, T) < 1/2i$, while if σ is a simplex of $K^{(i)}$ that is not in L_i , then $d(|\sigma|, T) > 1/3i$. Note that if X is a subset of $|K|$ such that

$$1/2i + (d/(d+1))^i + (d/(d+1))^{i+1} < d(T, X)$$

then $X \subset |\bar{L}_i|$. \square

5. Alexander-Poincaré duality. Let K be an abstract simplicial complex which is a combinatorial oriented d -manifold. Let CAT be the set of pairs (L, M) where L is a subcomplex of the i th derived complex $K^{(i)}$ of K for some $i > 0$, and M is a subcomplex of L . Partially order CAT by setting $(L_1, M_1) \leq (L_2, M_2)$ if $L_1^{(j)}$ is a subcomplex of $L_2^{(k)}$ and $M_1^{(j)}$ is a subcomplex of $M_2^{(k)}$ for some j and k . We shall consider the partially ordered set CAT to be a category in the usual way. Define a contravariant functor from CAT to CAT by assigning to each pair (L, M) the pair (\bar{M}, \bar{L}) , where \bar{L} consists of those simplices of $K^{(i+1)}$ which have no vertex in common with $L^{(i)}$. Let $h: K^{(i+1)} \rightarrow K^{(i)}$ be a simplicial map taking a vertex of $K^{(i+1)}$, that is, a simplex of $K^{(i)}$, to one of its vertices. Let ϕ be the subdivision chain map. Define $H_r(L_1, M_1) \rightarrow H_r(L_2, M_2)$ and $H^r(L_2, M_2) \rightarrow H^r(L_1, M_1)$ by $h_*\phi^j$ and $(h_*\phi^j)^*$ respectively. As $h_*\phi$ and ϕh_* are chain homotopic to the identity [4; VI.7.1], it is straightforward to verify that H_r and H^r are functors from CAT to the category of finitely presented abelian groups.

THEOREM 5.1. *There is a natural isomorphism*

$$H^r(L, M) \rightarrow H_{d-r}(\bar{M}, \bar{L}).$$

If K is the d -skeleton of a $d + 1$ simplex, then for $0 \leq r < d$ there is a natural isomorphism between the reduced groups

$$\tilde{H}^r(L) \rightarrow \tilde{H}_{d-r-1}(\bar{L}).$$

Proof. Let z be the d -chain that is the sum of the oriented d -simplices of K . Note that z is a generator of $H_d(K)$. Let \cap denote the cap product from $C^r(K) \otimes C_d(K)$ to $C_{d-r}(K)$. Define D mapping $H^r(L, M)$ to $H_{d-r}(\bar{M}, \bar{L})$ by $D(x) = h^*x \cap \phi^{i+1}z$ where L is a subcomplex of $K^{(i)}$. The proof that $D(x)$ is in $H_{d-r}(\bar{M}, \bar{L})$, and that D is an isomorphism, may be found in [5; 5.3.13]. The naturality of D follows from the naturality of \cap and the fact that $h_*\phi$ and ϕh_* are chain homotopic to the identity. Specifically, one can verify that if s is an r -cocycle in $K^{(i)}$, and z is a d -cycle in $K^{(i)}$, then $\phi(s \cap z) = h^*s \cap \phi z$ and $h_*(s \cap z) = \phi^*s \cap h_*z$.

To prove the last assertion of the theorem consider the exact sequences

$$\begin{array}{ccccccc} H_{d-r}(K) & \rightarrow & H_{d-r}(K, \bar{L}) & \rightarrow & \tilde{H}_{d-r-1}(\bar{L}) & \rightarrow & \tilde{H}_{d-r-1}(K) = 0, \\ H^r(K) & \rightarrow & H^r(L) & \rightarrow & \tilde{H}^r(L) & \rightarrow & 0. \end{array}$$

By Theorem 5.1 the first two terms of the sequences are naturally isomorphic, whence so are the third terms. \square

THEOREM 5.2. *Let K be the d -skeleton of a $d + 1$ simplex, and $|K|$ a geometric realization of K . Let T be a compact subspace of $|K|$. Then there is a sequence P_i such that*

- (1) P_i is a subcomplex of $K^{(i+1)}$,
- (2) $P_i^{(1)}$ is a subcomplex of P_{i+1} ,
- (3) $|P_i|$ is bounded away from T ,
- (4) every subset of $|K|$ that is bounded away from T is contained in some $|P_i|$,
- (5) $H^r(T) = \varinjlim H_{d-r-1}(P_i)$.

Proof. Set $P_i = \bar{L}_i$ as constructed in Theorem 4.1. Part b of that theorem guarantees that T is δ -dense in $|L_i|$ if i is sufficiently large, so $H^r(T) = \varinjlim H^r(|L_i|)$ by Theorem 3.1. Now $H^r(|L_i|)$ is naturally isomorphic to $H^r(L_i)$ because H^r on compact pairs satisfies the Eilenberg-Steenrod axioms. Thus $H^r(T) = \varinjlim H^r(L_i)$. Finally, $H^r(L_i)$ is naturally isomorphic to $H_{d-r-1}(P_i)$ by Theorem 5.1. \square

6. The Jordan-Brouwer theorem. We are now ready to prove the Jordan-Brouwer theorem. First we state a few obvious facts about the subgroups of free groups that arise as zero-dimensional reduced cohomology groups.

LEMMA 6.1. *For V a set, let $G(V)$ denote the subgroup of the free group on V whose coefficients sum to zero. Any function $f: V \rightarrow W$ induces $f_*: G(V) \rightarrow G(W)$, and $G(f(V)) = f_*(G(V))$. If f_* is one-to-one, then f is one-to-one. If V is a nonempty finite set, then the number of elements in V is $1 + \text{rank } G(V)$.* \square

THEOREM 6.2. *Let T be a totally bounded subset of the d -sphere with nonempty metric complement. If $H^{d-1}(T) \cong \mathbb{Z}^p$, then the metric complement of T can be written as $C^0 \cup \dots \cup C^p$ where C^k is nonempty and polygonally connected away from T , and any path from C^k to C^m with $k \neq m$ comes arbitrarily close to T .*

Proof. We may replace the d -sphere by a geometric realization $|K|$ of the d -skeleton K of a $d + 1$ simplex. Let P_1, P_2, \dots be as in Theorem 5.2. Taking $r = d - 1$ in Theorem 5.2 we have $\varinjlim \tilde{H}_0(P_i) \cong \mathbb{Z}^p$. By Theorem 1.2 we may reindex so that $\tilde{H}_0(P_i)$ is isomorphic to $A_i \oplus M_i$, where M_i goes to zero in $\tilde{H}_0(P_{i+1})$ and A_i is mapped isomorphically onto A_{i+1} , so each A_i is isomorphic to \mathbb{Z}^p . Referring to Lemma 6.1 let V be the set of components of $|P_1|$ and W_i the set of components of $|P_i|$ for $i \geq 2$. Let $f(i): V \rightarrow W_i$ be induced by the inclusion $|P_1| \subset |P_i|$. Then $G(V) = \tilde{H}_0(P_1)$ and $G(W_i) = \tilde{H}_0(P_i)$ and $f(i)_*$ is the map $\tilde{H}_0(P_1) \rightarrow \tilde{H}_0(P_i)$. By

Lemma 6.1 we have $A_i = f(i)_*G(V) = G(f(i)V)$ and $\text{card } f(i)V = 1 + \text{rank } G(f(i)V) = 1 + \text{rank } A_i = 1 + p$. Moreover the map $f(i)V \rightarrow f(i+1)V$ induced by the inclusion $|P_i| \subset |P_{i+1}|$ is one-to-one since $A_i \rightarrow A_{i+1}$ is one-to-one. Thus we can write $f(i)V = \{C_1^0, \dots, C_1^p\}$ where $C_i^j \subset C_{i+1}^j$ and $|P_i| \subset C_{i+1}^0 \cup \dots \cup C_{i+1}^p$ and, if $j \neq k$, then C_i^j is bounded away from C_i^k by the minimum length of a one-simplex in $|K^{(i+2)}|$. Let $C^j = \bigcup_{i \geq 1} C_i^j$ for $j = 0, \dots, p$.

Let $x \in |K|$ be such that $d(x, T) > 0$. Choose i so that $x \in |P_i|$. Then $x \in C_{i+1}^j \subset C^j$ for some j . If x and y are in C^j , then x and y are in C_i^j for some i and can be joined within C_i^j by a polygonal path, which is necessarily bounded away from T . Finally, suppose Q is a path from C^k to C^m . Since Q and T are totally bounded, we can compute the distance r from Q to T . If $r > 0$, then $Q \subset |P_j|$ for some j , so Q joins C_j^k to C_j^m , whence $k = m$. Thus if $k \neq m$, then $r = 0$ so Q comes arbitrarily close to T . \square

The sets C^i need not be located. Let T be the polygonal path $(0, 0), (1, 1), (1, a), (2, 0), (0, 0)$ with $a \geq 0$. Then T is a compact subset of R^2 and $H^1(T) = \mathbb{Z}$. However if we could measure the distance from $(3, 0)$ to that component of the complement of T that contains $(1/2, 1/4)$ then we could determine whether $a > 0$.

A constructive theory of covering dimension for totally bounded spaces is developed in [7] and [2]. All we need here is that $\text{Cov } X \leq n$ implies that there are arbitrarily fine covers I of X with no $n + 1$ simplices.

COROLLARY 6.3. *Let T be a totally bounded subset of the d -sphere. If $\text{Cov } T \leq d - 2$, then the metric complement of T is nonempty and polygonally connected away from T .*

Proof. As $\text{Cov } T \leq d - 2$, we can find arbitrarily fine covers of T that have no $d - 1$ or d simplices. Hence $H^{d-1}(T)$ and $H^d(T)$ are zero. If S^d is the d -sphere, then we have the exact sequence $H^d(S^d, T) \rightarrow H^d(S^d) \rightarrow H^d(T)$, so $H^d(S^d, T) \neq 0$ whence, by Theorem 2.6, the metric complement of T in S^d is nonempty. The corollary now follows from Theorem 6.2 with $p = 0$. \square

To illustrate the connection between algebraic and metric information consider the polygonal path T given by $(a, 1), (1, 0), (0, 0), (0, 1), (a, 0)$ where $0 \leq a \leq 1/2$. From a classical point of view T is either the triangle $(0, 0) (0, 1) (1, 0)$, or is homotopic to the triangle $(0, 0) (0, 1) (a, 0)$, so $H^1(T)$ is infinite cyclic. If $H^1(T)$ were infinite cyclic from a constructive point of view, then the Jordan-Brouwer theorem would allow us to decide

whether $(1/2, 1/4)$ can be joined to $(0, 2)$, and hence determine whether $a > 0$. But this cannot be done for arbitrary a in $[0, 1/2]$. In fact $H^1(T)$ cannot be shown to be infinite cyclic from a constructive point of view. Indeed $H^1(T)$ is the direct limit of infinite cyclic groups where all the maps are isomorphisms with the possible exception that one map might be zero. If all the maps are isomorphisms, then we've got our hands on a generator. However if one of the maps down the line is zero, then our putative generator is zero, and the real generator appears beyond that point. As we have no way of determining which case we are in, we cannot get hold of a generator.

REFERENCES

1. G. Berg, W. Julian, R. Mines, and F. Richman, *The constructive Jordan curve theorem*, Rocky Mountain J. Math., **5** (1975), 225–236.
2. ———, *The constructive equivalence of covering and inductive dimensions*, General Topology Appl., **7** (1977), 99–108.
3. E. Bishop, *Foundations of Constructive Analysis*, McGraw-Hill, 1967.
4. S. Eilenberg, and N. Steenrod, *Foundations of Algebraic Topology*, Princeton, 1952.
5. C. R. F. Maunder, *Algebraic Topology*, Van Nostrand, 1970.
6. F. Richman, *A constructive modification of Vietoris homology*, Fund. Math., **91** (1976), 231–240.
7. F. Richman, G. Berg, H. Cheng and R. Mines, *Constructive dimension theory*, Compositio Mathematica, **33** (1976), 161–177.

Received May 8, 1981 and in revised form February 17, 1982.

NEW MEXICO STATE UNIVERSITY
LAS CRUCES, NM 88003

PACIFIC JOURNAL OF MATHEMATICS

EDITORS

DONALD BABBITT (Managing Editor)

University of California
Los Angeles, CA 90024

HUGO ROSSI

University of Utah
Salt Lake City, UT 84112

C. C. MOORE and ARTHUR OGUS

University of California
Berkeley, CA 94720

J. DUGUNDJI

Department of Mathematics
University of Southern California
Los Angeles, CA 90089-1113

R. FINN and H. SAMELSON

Stanford University
Stanford, CA 94305

ASSOCIATE EDITORS

R. ARENS

E. F. BECKENBACH
(1906–1982)

B. H. NEUMANN

F. WOLF

K. YOSHIDA

SUPPORTING INSTITUTIONS

UNIVERSITY OF ARIZONA
UNIVERSITY OF BRITISH COLUMBIA
CALIFORNIA INSTITUTE OF TECHNOLOGY
UNIVERSITY OF CALIFORNIA
MONTANA STATE UNIVERSITY
UNIVERSITY OF NEVADA, RENO
NEW MEXICO STATE UNIVERSITY
OREGON STATE UNIVERSITY

UNIVERSITY OF OREGON
UNIVERSITY OF SOUTHERN CALIFORNIA
STANFORD UNIVERSITY
UNIVERSITY OF HAWAII
UNIVERSITY OF TOKYO
UNIVERSITY OF UTAH
WASHINGTON STATE UNIVERSITY
UNIVERSITY OF WASHINGTON

John Ballard, Clifford's theorem for algebraic groups and Lie algebras	1
Philip Richard Bartick, II and Edwin Duda, Finite-to-one open mappings on circularly chainable continua	17
Frank Hayne Beatrous, Jr., H^∞ -interpolation from a subset of the boundary	23
Sterling K. Berberian, Expectations in semifinite algebras	33
Heron S. Collins and Wolfgang Ruess, Weak compactness in spaces of compact operators and of vector-valued functions	45
David Downing and William O. Ray, Renorming and the theory of ϕ -accretive set-valued mappings	73
Harvey Charles Greenwald, On the theory of homogeneous Lipschitz spaces and Campanato spaces	87
Irwen Valle Guadalupe and Lucio Ladislao Rodriguez, Normal curvature of surfaces in space forms	95
Boju Jiang, On the computations of the Nielsen number	105
William H. Julian, Ray Mines, III and Fred Richman, Alexander duality	115
Midori Kobayashi, The connected component of the idèle class group of an algebraic number field	129
Ib Henning Madsen, Charles B. Thomas and C. Terence C. (Charles) (Clegg) Wall, Topological spherical space form problem. III. Dimensional bounds and smoothing	135
A. Sapounakis, The existence of strong liftings for totally ordered measure spaces	145
Gary Seitz, The root subgroups for maximal tori in finite groups of Lie type	153
Laurent Siebenmann and James M. Van Buskirk, Construction of irreducible homology 3-spheres with orientation reversing involution ...	245