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REDUCTION OF ELLIPTIC CURVES OVER IMAGINARY QUADRATIC NUMBER FIELDS

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REDUCTION OF ELLIPTIC CURVES OVER IMAGINARY QUADRATIC NUMBER FIELDS

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It is shown that an elliptic curve defined over a complex quadratic field K , having good reduction at all primes, does not have a global minimal (Weierstrass) model. As a consequence of a theorem of Setzer it then follows that there are no elliptic curves over K having good reduction everywhere in case the class number of K is prime to 6.

1. Introduction. An elliptic curve over a field K is defined to be a non-singular projective algebraic curve of genus 1, furnished with a point defined over K . Any such curve may be given by an equation in the Weierstrass normal form:

$$(1.1) \quad y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

with coefficients a_i in K . In the projective plane \mathbf{P}_K^2 , the point defined over K becomes the unique point at infinity, denoted by \mathcal{O} . Given such a Weierstrass equation for an elliptic curve E , we define, following Néron and Tate ([12], §1; [6], Appendix 1, p. 299):

$$(1.2) \quad \begin{cases} b_2 = a_1^2 + 4a_2, & c_4 = b_2^2 - 24b_4, \\ b_4 = a_1a_3 + 2a_4, & c_6 = -b_2^3 + 36b_2b_4 - 216b_6, \\ b_6 = a_3^2 + 4a_6, \\ b_8 = a_1^2a_6 - a_1a_3a_4 + 4a_2a_6 + a_2a_3^2 - a_4^2, \\ \Delta = -b_2^2b_8 - 8b_4^3 - 27b_6^2 + 9b_2b_4b_6, & j = c_4^3/\Delta. \end{cases}$$

The discriminant Δ , defined above, is non-zero if and only if the curve E is non-singular. In particular, we have

$$(1.3) \quad 4b_8 = b_2b_6 - b_4^2 \quad \text{and} \quad c_4^3 - c_6^2 = 2^6 3^3 \Delta.$$

The various representations of an elliptic curve over K , with the same point at infinity, are related by transformations of the type

$$(1.4) \quad \begin{aligned} x &= u^2x' + r \\ y &= u^3y' + u^2sx' + t \end{aligned} \quad \text{with } r, s, t \in K \text{ and } u \in K^*.$$

Let E be an elliptic curve defined over a field K . An equation for E of type (1.1) is called minimal with respect to a discrete valuation ν of K iff $\nu(a_i) \geq 0$ for all i and $\nu(\Delta)$ minimal, subject to that condition. For each discrete valuation of K , there exists a minimal equation for E . This equation is unique up to a change of co-ordinates of the form (1.4) with $r, s, t \in R$ and u invertible in R . Here R stands for the valuation ring. An equation for an elliptic curve E defined over K is called a global minimal equation for E over K iff this equation is minimal with respect to all discrete valuations of K simultaneously. We have the following theorem due to Néron and Tate.

(1.5) THEOREM. *Let \mathcal{O}_K be the ring of integers of an algebraic number field K . If \mathcal{O}_K is a principal ideal domain, then every elliptic curve defined over K has a global minimal equation over K .*

It is not true, in general, that an elliptic curve defined over an algebraic number field K has a global minimal equation over K . Following Tate [13], define the minimal discriminant ideal for an elliptic curve E over a number field K by

$$\Delta_E = \prod_{\text{finite } \nu} \mathfrak{p}_\nu^{\nu(\Delta_\nu)},$$

where Δ_ν is the discriminant of a minimal equation for E at ν and \mathfrak{p}_ν is the prime ideal of \mathcal{O}_K associated with ν . If a global minimal equation for E over \mathcal{O}_K exists, then Δ_E is principal, for it is generated by the discriminant of any global minimal equation.

For a discrete valuation ν of a field K , let R be the valuation ring, P the unique prime ideal of R and $k = R/P$ the residue class field. Assume ν is normalized and let $\pi \in R$ be a prime with $\nu(\pi) = 1$. If E is an elliptic curve over K , let Γ be a minimal equation for E with respect to ν of type (1.1). Reducing the coefficients a_i of Γ modulo $P = \pi R$, one obtains an equation $\tilde{\Gamma}$ for a plane cubic curve \tilde{E} defined over k . This equation is clearly unique up to a transformation of the form (1.4) over k . If $\tilde{\Gamma}$ is non-singular (over \bar{k}) then \tilde{E} is an elliptic curve over k and $\tilde{\Gamma}$ is an equation for \tilde{E} over k . In that case $\tilde{\Delta} \neq 0$ or, equivalently, $\nu(\Delta) = 0$. We say that E has good (or non-degenerate) reduction at ν . In case $\tilde{\Delta} = 0$, i.e. $\nu(\Delta) > 0$, then \tilde{E} is a rational curve and E has bad (or degenerate) reduction at ν . In particular, if $\nu(\Delta) > 0$ and $\nu(c_4) = 0$, then \tilde{E} has a node and we say that E has multiplicative reduction at ν ; if $\nu(\Delta) > 0$ and $\nu(c_4) \neq 0$, then \tilde{E} has a cusp and the reduction of E at ν is additive.

(1.6) THEOREM (Tate). *There is no elliptic curve defined over \mathbf{Q} with good reduction at all discrete valuations of \mathbf{Q} .*

Proofs of this theorem may be found in [7] and [10], p. 32.

In this paper we will prove and discuss a generalization of Tate's result for elliptic curves defined over imaginary quadratic number fields. More precisely, the purpose of this paper is to prove

(1.7) MAIN THEOREM. *Let K be an imaginary quadratic number field and let E be an elliptic curve defined over K . If E has a global minimal equation over K , then E has bad reduction at ν for at least one discrete valuation ν of K .*

In fact when E has everywhere good reduction over a number field K , then $\Delta_E = (1)$. The condition placed upon E in the Main Theorem (1.7), to the effect that E must have a global minimal equation over K , is not superfluous. This is shown by the following theorem, first formulated by Tate.

(1.8) THEOREM. *Let n be a rational integer prime to 6 and suppose $j^2 - 1728j \pm n^{12} = 0$. Then the elliptic curve with equation*

$$y^2 + xy = x^3 - \frac{36}{j - 1728}x - \frac{1}{j - 1728}$$

over $\mathbf{Q}(j)$ has good reduction at every discrete valuation of $\mathbf{Q}(j)$.

For a proof we refer to [11] or [10], p. 31. See also Setzer [9], Theorem 4(b).

In this context we have the following theorem, which is a direct consequence of the Main Theorem (1.7) and a theorem of Setzer (cf. [9], Theorem 5).

(1.9) THEOREM. *Let K be an imaginary quadratic number field with class number prime to 6. Then there are no elliptic curves over K having good reduction everywhere.*

Indeed, when the class number of a number field K is prime to 6, the condition ' Δ_E is principal' is equivalent to the existence of a global minimal model over K .

In Ishii [4] a similar but less general result is obtained.

Throughout the rest of this paper, K will stand for the imaginary quadratic number field $\mathbf{Q}(\sqrt{-m})$, where m is a squarefree positive integer. The symbol \mathcal{O} will always denote the ring of integers of K with basis $\{1, \omega\}$, i.e. $\mathcal{O} = \mathbf{Z}[\omega]$.

2. Proof of the main theorem in case $m \neq 1$ or 3. Let E_r denote an elliptic curve, defined over K , with an equation of type

$$\Gamma_r: x^3 - y^2 = r \quad (r \in K^*).$$

As usual $E_r(K)$ will stand for the group of K -rational points of E_r ; the group operation in $E_r(K)$ will be written additively.

(2.1) LEMMA. *If $r \in \mathbf{Q}$, then $(x, y) + (\bar{x}, \bar{y}) \in E_r(\mathbf{Q})$ for each point $(x, y) \in E_r(K)$.*

Proof. Let $(x, y) \in E_r(K)$ and put $P = (x, y) + (\bar{x}, \bar{y})$. Then $P \in E_r(K)$ because $r \in \mathbf{Q}$. Clearly, $\bar{P} = P$ and since $K \cap \mathbf{R} = \mathbf{Q}$, we conclude $P \in E_r(\mathbf{Q})$. \square

Some easy consequences of the group structure on E_r are laid down in the following formulas. A straightforward calculation shows their validity.

If $r \in \mathbf{Q}$, $(x, y) \in E_r(K)$ and $(x, y) + (\bar{x}, \bar{y}) = (p, q) \in E_r(\mathbf{Q})$, then

$$(2.2) \quad \begin{cases} x + \bar{x} + p = \left(\frac{y - \bar{y}}{x - \bar{x}} \right)^2 & \text{and } p \cdot \frac{y - \bar{y}}{x - \bar{x}} + \frac{x\bar{y} - \bar{x}y}{x - \bar{x}} + q = 0 \\ & \text{in case } \bar{x} \neq x, \\ 2x + p = (3x^2/2y)^2 & \text{in case } \bar{x} = x, \bar{y} = y \neq 0, \\ (p, q) = \underline{0} & \text{in case } \bar{x} = x, \bar{y} = -y. \end{cases}$$

(2.3) LEMMA. *If $(x, y) \in E_r(K)$ with $r = \pm 2^6 3^3$ such that $x, y \in \mathcal{O}$ and $x\bar{x} \not\equiv 0 \pmod{2}$, then $x \in \mathbf{Z}$ and $y \notin \mathbf{Z}$.*

Proof. Lemma (2.1) shows $(x, y) + (\bar{x}, \bar{y}) \in E_r(\mathbf{Q})$. Now $E_r(\mathbf{Q}) \cong \mathbf{Z}_2$ (cf. [3]) and thus $E_r(\mathbf{Q}) = \{\underline{0}, (\pm 12, 0)\}$, where the \pm sign corresponds to that of r . Consequently, we have to consider two possibilities; first, if $(x, y) + (\bar{x}, \bar{y}) = \underline{0}$ then $\bar{x} = x$ and $\bar{y} = -y$. If $y = 0$, then x does not satisfy the condition $x\bar{x} \not\equiv 0 \pmod{2}$. If $(x, y) + (\bar{x}, \bar{y}) = (\pm 12, 0)$, put $x = a + b\omega$ and $y = c + d\omega$ ($a, b, c, d \in \mathbf{Z}$). Then clearly $b \neq 0$. We distinguish between the cases:

(i) $m \equiv 1$ or $2 \pmod{4}$;

(ii) $m \equiv 3 \pmod{4}$.

In case (i), $\omega = \sqrt{-m}$. Put $T = d/b$. We obtain from (2.2):

$$(i)_1 \quad 2a \pm 12 = T^2;$$

$$(i)_2 \quad c = -T^3 + 3aT;$$

$$(i)_3 \quad mb^2 = 3a^2 - 2cT.$$

Clearly, a and T are even because of $(i)_1$ (note that $T \in \mathbb{Z}$). Hence $mb^2 \equiv 0 \pmod{4}$. This follows from $(i)_3$. Thus b is even, which implies $x \equiv 0 \pmod{2}$.

In case (ii), $\omega = \frac{1}{2}(1 + \sqrt{-m})$. Again put $T = d/b$ and $a_1 = 2a + b$, $c_1 = 2c + d$. Formulas (2.2) give

$$(ii)_1 \quad a_1 \pm 12 = T^2;$$

$$(ii)_2 \quad c_1 = -2T^3 + 3a_1T;$$

$$(ii)_3 \quad mb^2 = 3a_1^2 - 4c_1T.$$

Again $T \in \mathbb{Z}$ and a_1 , b and T have the same parity as can be seen from $(ii)_1$ and $(ii)_3$. Moreover it follows from $(ii)_2$ that a_1 and c_1 have the same parity. If a_1 , b , c_1 and T are even, then $a_1 \equiv b \equiv 0 \pmod{4}$ as is clear from $(ii)_1$ and $(ii)_3$. Hence $4x\bar{x} = a_1^2 + mb^2 \equiv 0 \pmod{8}$. And if a_1 , b , c_1 and T are odd, then $m \equiv 7 \pmod{8}$, which is a consequence of $(ii)_3$. Again $4x\bar{x} \equiv 0 \pmod{8}$. We may conclude $(x, y) + (\bar{x}, \bar{y}) = \underline{0}$ if $x\bar{x} \not\equiv 0 \pmod{2}$. \square

(2.4) LEMMA. *Let (1.1) be a global minimal equation for the elliptic curve E over K with $\nu(\Delta) = 0$ for every discrete valuation ν of K . Further, let \mathfrak{p}_2 be a prime ideal divisor of 2 in \mathcal{O} . Then \mathfrak{p}_2 does not divide a_1 .*

Proof. Since $\nu(\Delta) = 0$ for every discrete valuation of K , Δ is a unit in \mathcal{O} . Suppose $\mathfrak{p}_2 | a_1$. Then we see from (1.2) that $\mathfrak{p}_2^2 | b_2$ and $\mathfrak{p}_2 | b_4$ and hence $\mathfrak{p}_2^3 | (\Delta + 27b_6^2)$. It is clear that \mathfrak{p}_2 does not divide a_3 . For $\mathfrak{p}_2 | a_3$ implies $\mathfrak{p}_2 | b_6$ and thus $\mathfrak{p}_2 | \Delta$. However, Δ is a unit. From (1.2) we also obtain $b_6^2 \equiv a_3^4 \pmod{8}$. We observe that we may restrict the values of the coefficients a_1 , a_2 and a_3 to

$$a_1, a_3 = 0, 1, \omega \text{ or } 1 + \omega \quad \text{and} \quad a_2 = 0, \pm 1, \pm\omega \text{ or } \pm 1 \pm \omega.$$

We consider the following cases separately:

(i) $m \equiv 1, 2 \pmod{4}$.

The principal ideal (2) factors as \mathfrak{p}_2^2 . Further, $b_6^2 \equiv 1 \pmod{\mathfrak{p}_2^5}$ because $a_3 = 1$ or ω in case m is odd and $a_3 = 1$ or $1 + \omega$ if m is even. If \mathfrak{p}_2^2 does not divide a_1 , then $\Delta - 1 \equiv \Delta + 27b_6^2 \not\equiv 0 \pmod{\mathfrak{p}_2^4}$. But $\Delta - 1 \equiv 0 \pmod{\mathfrak{p}_2^3}$ implies $\Delta = 1$, because Δ is a unit, contradiction. And if $\mathfrak{p}_2^2 | a_1$ then $\Delta + 27b_6^2 \equiv 0 \pmod{\mathfrak{p}_2^6}$. But then $\Delta + 3 \equiv 0 \pmod{\mathfrak{p}_2^5}$ and this is clearly impossible.

(ii) $m \equiv 3 \pmod{8}$.

Now $p_2 = (2)$. If $a_3 = 1$ then $b_6^2 \equiv 1 \pmod{8}$ and hence $\Delta + 3 \equiv 0 \pmod{8}$, an impossibility. Further, if $a_3 = \omega, 1 + \omega$, then $b_6^2 \equiv \omega, 1 + \omega \pmod{2}$ and hence $\Delta \equiv \omega, 1 + \omega \pmod{2}$. This is contradictory in case $m \neq 3$. However, if $m = 3$, then $b_6^2 \equiv -\omega, \omega^2 \pmod{8}$ and this implies $\Delta \equiv 3\omega, -3\omega^2 \pmod{8}$, again a contradiction.

(iii) $m \equiv 7 \pmod{8}$.

We now have $(2) = p_2 p'_2$ with $p_2 = (2, \omega)$ and $p'_2 = (2, \bar{\omega})$. If $p_2 | a_1$ then $a_3 = 1$ implies $b_6^2 \equiv 1 \pmod{8}$ and $a_3 = 1 + \omega$ gives $b_6^2 \equiv 1 \pmod{p_2^3}$. Both cases are impossible. An analogous argument may be used in case $p'_2 | a_1$. \square

We are now in a position to prove the main theorem for $K = \mathbf{Q}(\sqrt{-m})$ with $m \neq 1$ and $m \neq 3$.

Suppose that E has good reduction at every discrete valuation of K . Let (1.1) be a global minimal equation for E . Then $\nu(\Delta) = 0$ for every discrete valuation ν of K . Hence Δ is a unit of \mathcal{O} , i.e. $|\Delta| = 1$ since $m \neq 1$ and $m \neq 3$. Now from (1.3) we have

$$c_4^3 - c_6^2 = \pm 2^6 3^3$$

and this yields $c_4 \bar{c}_4 \not\equiv 0 \pmod{2}$ because of (2.4). Lemma (2.3) then shows that $c_4 \in \mathbf{Z}$ and $c_6 \notin \mathbf{Z}$. Thus $c_6 = y\sqrt{-m}$ with $y \neq 0$ and $y \in \mathbf{Z}$, because $c_6^2 \in \mathbf{Z}$. From (1.2) we obtain

$$y\sqrt{-m} \equiv -a_1^6 \pmod{4}.$$

Checking the possibilities $a_1 = 1, \omega$ and $1 + \omega$, we find an impossible congruence in each case. \square

The proof of the main theorem as given above ($m \neq 1$ and $m \neq 3$) depends largely on the fact that the only units of \mathcal{O} are $+1$ and -1 . However, in $\mathbf{Z}[i]$ and $\mathbf{Z}[\rho]$, where $\rho = \frac{1}{2}(1 + \sqrt{-3})$, we have the additional units $\pm i$ and $\pm \rho, \pm \rho^2$, respectively. Consequently, in order to complete the proof of the theorem, it suffices to show that no point $(x, y) \in \mathcal{O} \times \mathcal{O}$ of the curve with equation

$$(2.5) \quad x^3 - y^2 = \varepsilon 2^6 3^3,$$

where $\mathcal{O} = \mathbf{Z}[i]$ and $\varepsilon = \pm i$ in case $K = \mathbf{Q}(i)$, and where $\mathcal{O} = \mathbf{Z}[\rho]$ and $\varepsilon = \pm \rho, \pm \rho^2$ in case $K = \mathbf{Q}(\rho)$, comes from an elliptic curve with global minimal equation of the form (1.1) and $(x, y) = (c_4, c_6)$. This will be done in §3.

3. The exceptional cases. First proof. First, we consider $K = \mathbf{Q}(i)$. Let (x, y) be a solution of (2.5) with $\varepsilon = \pm i$ that comes from an elliptic curve over K with global minimal equation (1.1) such that $(x, y) = (c_4, c_6)$. Then (x, y) must satisfy

$$(3.1) \quad 1 + i \nmid x, \quad 3|y \Rightarrow 3^3|y.$$

This follows immediately from Lemma (2.4) and (1.2). Now $(-x, iy)$ is also a solution of (2.5) satisfying (3.1). So we need only consider solutions (x, y) of

$$(3.2) \quad x^3 = y^2 - 3i(24)^2.$$

(3.3) **LEMMA.** *If $\theta = \frac{1}{2}(1 + i)\sqrt{6}$, then $\theta^2 = 3i$ and the number field $\mathbf{Q}(\theta)$ has the following properties:*

- (1) *The set $\{1, \theta, i, i\theta\}$ is an integer basis for $\mathbf{Q}(\theta)$.*
- (2) *The principal ideals (2) and (3) factor as \mathfrak{p}_2^4 and \mathfrak{p}_3^2 , respectively.*
- (3) *The class number of $\mathbf{Q}(\theta)$ equals 2.*
- (4) *The unit $\eta = 1 + i + \theta$ is fundamental.*

The proof of this lemma is a straightforward exercise (cf. [2]).

We turn our attention to (3.2) and write

$$(3.4) \quad x^3 = (y - 24\theta)(y + 24\theta).$$

The only possible prime divisor that $y + 24\theta$ and $y - 24\theta$ have in common is \mathfrak{p}_3 , because of (3.1) and (3.3). We deduce that

$$(y + 24\theta) = \mathfrak{p}_3^a \mathfrak{A}^3,$$

where $a = 0, 1$ or 2 and \mathfrak{A} is an integral ideal. Also

$$(y - 24\theta) = \mathfrak{p}_3^a \mathfrak{A}'^3,$$

where \mathfrak{A} and \mathfrak{A}' are conjugate ideals. Multiplication yields

$$(x)^3 = \mathfrak{p}_3^{2a} (\mathfrak{A} \mathfrak{A}')^3,$$

hence $2a \equiv 0 \pmod{3}$ and thus $a = 0$. Since the class number of $\mathbf{Q}(\theta)$ equals 2 and \mathfrak{A}^3 is a principal ideal, we deduce that \mathfrak{A} is principal. Then

$$y + 24\theta = \varepsilon(a + b\theta)^3,$$

where ε is a unit and $a, b \in \mathbf{Z}[i]$. By Dirichlet's unit theorem ε can be expressed in the form $\zeta \eta^k$ with $k \in \mathbf{Z}$ and root of unity ζ . The only roots of unity in $\mathbf{Q}(\theta)$ are ± 1 and $\pm i$, all of which may be written as a cube.

Furthermore, the conjugation map $\theta \mapsto -\theta$ takes η into η^{-1} . Consequently, we need only consider

$$\pm y + 24\theta = (1 \text{ or } \eta)(a + b\theta)^3$$

with $a, b \in \mathbf{Z}[i]$.

$$(1) \pm y + 24\theta = (a + b\theta)^3.$$

Equating coefficients of 1 and θ yields:

$$\pm y = a^3 + 9ab^2i \quad \text{and} \quad 24 = 3a^2b + 3b^3i.$$

Then $b|8$ and the solutions (x, y) are easily obtained. However, none of those satisfies (3.1).

$$(2) \pm y + 24\theta = (1 + i + \theta)(a + b\theta)^3.$$

Equating coefficients of 1 and θ yields:

$$\pm y = (1 + i)a^3 + 9ia^2b + 9(-1 + i)ab^2 - 9b^3$$

and

$$24 = a^3 + 3(1 + i)a^2b + 9iab^2 + 3(-1 + i)b^3.$$

Clearly $3|a$ and hence $3|y$. However, $3^3|y$ implies $3^3|24$. Hence a solution (x, y) of (2.5) cannot possibly satisfy (3.1). This completes the case $K = \mathbf{Q}(i)$.

Next we consider $K = \mathbf{Q}(\rho)$; we recall that $\rho = \frac{1}{2}(1 + \sqrt{-3})$. Let (x, y) be a solution of (2.5) with $\varepsilon = \pm\rho$, $\pm\rho^2$, coming from an elliptic curve over $\mathbf{Q}(\rho)$ with a global minimal equation (1.1) and $(x, y) = (c_4, c_6)$. According to (1.2) and Lemma (2.4), (x, y) must satisfy

$$(3.5) \quad 2 \nmid x, \quad (2\rho - 1)|y \Rightarrow (2\rho - 1)^3|y.$$

Clearly, also (\bar{x}, \bar{y}) solves (2.5) and satisfies (3.5). Since $\rho = -\bar{\rho}^2$ and $\bar{\rho} = -\rho^2$, we need only consider the equation

$$(3.6) \quad x^3 - \sigma\rho 2^6 3^3 = y^2,$$

with $\sigma = \pm 1$.

(3.7) LEMMA. *If $\zeta = \zeta_9 = -\exp \pi i/9$, then the cyclotomic field $\mathbf{Q}(\zeta)$ has the following properties:*

- (1) *The set $\{1, \zeta, \zeta^2, \zeta^3, \zeta^4, \zeta^5\}$ is an integer basis for $\mathbf{Q}(\zeta)$.*
- (2) *The principal ideal (2) is prime and the ideal (3) factors as \mathfrak{p}_3^6 .*
- (3) *The class number of $\mathbf{Q}(\zeta)$ equals 1.*
- (4) *The set $\{1 + \zeta, 1 + \zeta^5\}$ is a set of fundamental units.*

The above statements are all well known. For (1) and (2), see [5], p. 39; for (3) see [14], Ch. 7, and for (4) see [1], p. 378.

We return to (3.6) and observe it may be written as

$$y^2 = (x + 12\sigma\zeta)(x + 12\sigma\zeta^4)(x + 12\sigma\zeta^7).$$

Since 2 does not divide x , we deduce that

$$(3.8) \quad (x + 12\sigma\zeta) = \mathfrak{p}_3^a \mathfrak{A}^2$$

with $a = 0$ or 1 and integral ideal \mathfrak{A} . The conjugation maps $\zeta \mapsto \zeta^4$ and $\zeta \mapsto \zeta^7$ take ρ into ρ while \mathfrak{p}_3 too remains unchanged. Hence from (3.8) we obtain the conjugate ideal equations

$$(x + 12\sigma\zeta^4) = \mathfrak{p}_3^a (\mathfrak{A}')^2 \quad \text{and} \quad (x + 12\sigma\zeta^7) = \mathfrak{p}_3^a (\mathfrak{A}'')^2.$$

Then $(y)^2 = \mathfrak{p}_3^{3a} (\mathfrak{A} \mathfrak{A}' \mathfrak{A}'')^2$ and, consequently, $3a \equiv 0 \pmod{2}$ or $a = 0$. As a result (3.8) becomes

$$(x + 12\sigma\zeta) = (\alpha + \beta\zeta + \gamma\zeta^2)^2 \quad \text{with } \alpha, \beta, \gamma \in \mathbf{Z}[\rho],$$

and this gives in integers of $\mathbf{Q}(\zeta)$:

$$(3.9) \quad \begin{cases} x + 12\sigma\zeta = \tau \zeta^a (1 + \zeta)^b (1 + \zeta^5)^c (\alpha + \beta\zeta + \gamma\zeta^2)^2, \\ x + 12\sigma\zeta^4 = \tau \zeta^{4a} (1 + \zeta^4)^b (1 + \zeta^2)^c (\alpha + \beta\zeta^4 + \gamma\zeta^8)^2, \\ x + 12\sigma\zeta^7 = \tau \zeta^{7a} (1 + \zeta^7)^b (1 + \zeta^8)^c (\alpha + \beta\zeta^7 + \gamma\zeta^5)^2, \end{cases}$$

where $\tau = \pm 1$, $0 \leq a, b, c \leq 1$ and $a, b, c \in \mathbf{Z}$. All this is a consequence of Dirichlet's unit theorem and the fact that the only roots of unity of $\mathbf{Q}(\zeta)$ are $\pm \zeta^k$, $k \in \mathbf{Z}$. Multiplication of the three equations (3.9) yields

$$(3.10) \quad y^2 = \tau(-1)^{a+b} \rho^{a+2b+c} (\alpha^3 - \rho\beta^3 + \rho^2\gamma^3 + 3\rho\alpha\beta\gamma)^2.$$

We observe that we may assume $a = 0$ in (3.9). For ζ can be written as a square and thus ζ^a , ζ^{4a} , and ζ^{7a} , respectively, may be absorbed in the square on the right-hand side of the equations (3.9).

We investigate the four cases $(b, c) = (0, 0)$, $(1, 0)$, $(0, 1)$ and $(1, 1)$ separately.

(1) $b = c = 0$.

Then (3.10) shows that $\tau = 1$. Equating coefficients of 1 , ζ , ζ^2 in the first equation of (3.9) gives

$$x = \alpha^2 - 2\beta\gamma\rho, \quad 12\sigma = 2\alpha\beta - \gamma^2\rho \quad \text{and} \quad 0 = \beta^2 + 2\alpha\gamma.$$

It is clear that $2 \nmid \alpha$, $2 \mid \beta$ and $2 \mid \gamma$. Put $\beta = 2\beta_1$ and $\gamma = 2\gamma_1$. A common prime divisor of α and γ_1 divides 3. Thus $\alpha\gamma_1 = -\beta_1^2$ implies

$$\alpha = \varepsilon_1(2\rho - 1)^p s^2 \quad \text{and} \quad \gamma_1 = \varepsilon_2(2\rho - 1)^p t^2,$$

where $p = 0$ or 1 and $\varepsilon_1, \varepsilon_2$ are units such that $\varepsilon_1\varepsilon_2 = -\delta^2$. Now, because of (3.5), we have

$$x \equiv \alpha^2 = (-3)^p \varepsilon_1^2 s^4 \pmod{8},$$

which implies $p = 0$. Further $\beta_1 = \delta(2\rho - 1)^p st = \delta st$ and thus

$$(3.11) \quad 3\sigma = \alpha\beta_1 - \gamma_1^2\rho = \varepsilon_1\delta^{-2}t\{(\delta s)^3 + \rho(\varepsilon_2 t)^3\}.$$

Apparently $t \mid 3$ and hence we may write $t = \varepsilon(2\rho - 1)^q$ with $q = 0, 1$ or 2 . Substitution of these values of t in (3.11) gives a contradiction in all cases.

(2) $b = 1, c = 0$.

Now $\tau = -1$ as can be seen from (3.10), and we arrive at the equations

$$\begin{aligned} x &= -\alpha^2 + 2\alpha\gamma\rho + \beta^2\rho + 2\beta\gamma\rho, \\ -12\sigma &= \alpha^2 + 2\alpha\beta - 2\beta\gamma\rho - \gamma^2\rho, \\ 0 &= -\beta^2 - 2\alpha\beta - 2\alpha\gamma + \gamma^2\rho. \end{aligned}$$

From the last two equations we find that $\alpha \equiv \beta \equiv \gamma\rho^2 \pmod{2}$. Elimination of α and β modulo 2, reduces the last equation to $2\gamma^2\rho^2 \equiv 0 \pmod{4}$. And thus $2 \mid \gamma$, $2 \mid \alpha$ and $2 \mid \beta$. The first equation then shows that $2 \mid x$.

(3) $b = 0, c = 1$.

Again $\tau = -1$. As before we find

$$\begin{aligned} x &= -\alpha^2 - \gamma^2 - 2\alpha\beta\rho^2 + 2\beta\gamma\rho, \\ 12\sigma &= -2\alpha\beta - \beta^2\rho^2 + \gamma^2\rho - 2\alpha\gamma\rho^2, \\ 0 &= -\alpha^2\rho + \beta^2 + 2\alpha\gamma + 2\beta\gamma\rho^2. \end{aligned}$$

From the second and third equation we find that $\beta \equiv \gamma\rho \pmod{2}$ and $\beta \equiv \alpha\rho^2 \pmod{2}$. Elimination of α and β modulo 2, reduces the last equation to $2\gamma^2 \equiv 0 \pmod{4}$. Consequently, $2 \mid \gamma$, $2 \mid \alpha$ and $2 \mid \beta$. The first equation then shows that $2 \mid x$.

(4) $b = c = 1$.

From (3.10) and (3.9) we obtain, respectively, $\tau = 1$ and

$$\begin{aligned} x &= \alpha^2\rho - \beta^2\rho - \gamma^2 + 2\alpha\beta\rho^2 - 2\alpha\gamma\rho - 2\beta\gamma\rho^2, \\ 12\sigma &= \alpha^2 + \beta^2\rho^2 - \gamma^2\rho^2 + 2\alpha\beta\rho + 2\alpha\gamma\rho^2 - 2\beta\gamma\rho, \\ 0 &= \alpha^2\rho - \beta^2\rho + \gamma^2\rho - 2\alpha\beta - 2\alpha\gamma\rho - 2\beta\gamma\rho^2. \end{aligned}$$

The second equation shows $\alpha + \beta\rho + \gamma\rho \equiv 0 \pmod{2}$, and the third shows $\alpha + \beta + \gamma \equiv 0 \pmod{2}$. Hence $2|\alpha$ and $2|(\beta + \gamma)$. The last equation then reduces to $2\beta\gamma \equiv 0 \pmod{4}$ and hence $2|\beta$ and $2|\gamma$. Again the first equation shows $2|x$.

This completes the case $K = \mathbf{Q}(\rho)$. \square

4. The exceptional cases. Second proof. We will give yet another proof of the Main Theorem (1.7) in the exceptional cases $K = \mathbf{Q}(i)$ and $K = \mathbf{Q}(\rho)$. This proof depends on the appropriate parts of the following theorem.

(4.1) **THEOREM.** *Let E be an elliptic curve defined over $K = \mathbf{Q}, \mathbf{Q}(i), \mathbf{Q}(\sqrt{-2})$ or $\mathbf{Q}(\rho)$ with non-degenerate reduction at all discrete valuations of K outside 2. Then E has a point of order 2 rational over K .*

Proof. Since the class number of K equals 1, an elliptic curve E over K has a global minimal equation (1.1) which coefficients a_i belonging to the ring of integers \mathcal{O} of K . Let Δ be the discriminant of this equation. A transformation (1.4) with $u = \frac{1}{2}$, $r = 0$, $s = -\frac{1}{2}a_1$ and $t = -\frac{1}{2}a_3$ leads to an equation

$$(4.2) \quad y'^2 = x'^3 + a'_2x'^2 + a'_4x' + a'_6,$$

for E with $a'_i \in \mathcal{O}$, which is minimal with respect to all discrete valuations of K outside 2. In fact $\Delta' = 2^{12}\Delta$. Assume the points $(x', 0)$ of order two on (4.2) are not rational over K , i.e. $x' \notin K$. Then the polynomial $f(x) = x^3 + a_2x^2 + a_4x + a_6 \in \mathcal{O}[x]$ is irreducible. If ξ is a root of $f(x) = 0$ and $L = K(\xi)$, then L/K is unramified at all primes not dividing 2. This is because the discriminant of f divides Δ' . Let M be the splitting field of the extension L/K . Then M/K is Galois and $[M:K] = 3$ or 6. Moreover M/K is unramified at all primes not dividing 2 (cf. [14], 4-10-9 and 4-10-10, p. 178). Let N be the subfield of M corresponding to the subgroup of order 3 in the Galois group $G(M/K)$. In case $|G(M/K)| = 6$, the extension N/K is only ramified at the single prime above 2. For N/K is unramified everywhere else and N/K cannot be unramified at all primes by class field theory, since the class number of K equals 1. This knowledge enables us to list all possible fields N for each of the given fields K :

(1) $K = \mathbf{Q}$; $N = \mathbf{Q}, \mathbf{Q}(i), \mathbf{Q}(\sqrt{2})$ or $\mathbf{Q}(\sqrt{-2})$.

(2) $K = \mathbf{Q}(i)$; $N = \mathbf{Q}(i), \mathbf{Q}(\alpha), \mathbf{Q}(\beta)$ or $\mathbf{Q}(\bar{\beta})$, where α and β are roots of $x^4 + 1 = 0$ and $x^4 - 2x^2 + 2 = 0$, respectively.

(3) $K = \mathbf{Q}(\sqrt{-2})$; $N = \mathbf{Q}(\sqrt{-2}), \mathbf{Q}(\alpha), \mathbf{Q}(\gamma)$ or $\mathbf{Q}(\bar{\gamma})$, where α and γ are roots of $x^4 + 1 = 0$ and $x^4 + 2 = 0$, respectively.

(4) $K = \mathbf{Q}(\rho)$; $N = \mathbf{Q}(\rho)$, $\mathbf{Q}(\rho, i)$, $\mathbf{Q}(\rho, \sqrt{2})$ or $\mathbf{Q}(\rho, \sqrt{-2})$.

All possible fields N have class number 1, as is easily established using the Minkowski bound in each case. Consequently, the only prime that ramifies in M/N is the single prime \mathfrak{p} above 2. Now M/N is abelian and $G(M/N) \cong \mathbf{Z}_3$. By class field theory, to be more precise, by Artin's reciprocity theorem (cf. [5], 5.7 p. 164), the order of $G(M/N)$ divides the order of the ray class group modulo \mathfrak{p}^n for sufficiently large exponent n (cf. [5], p. 109). In its turn, the order of the ray class group is a divisor of

$$h(N)\text{Norm}_{N/\mathbf{Q}}(\mathfrak{p}^{n-1})\{\text{Norm}_{N/\mathbf{Q}}(\mathfrak{p}) - 1\} = 2^{n-1}$$

in case $K \neq \mathbf{Q}(\rho)$ and of

$$h(N)\text{Norm}_{N/\mathbf{Q}}(\mathfrak{p}^{n-1}) = 4^{n-1}$$

in case $K = \mathbf{Q}(\rho)$. Here $h(N)$ stands for the class number of N (cf. [5], 1.3 p. 111 and 1.6 p. 112). This contradicts the fact that $|G(M/N)| = 3$. This completes the proof of the theorem.

We remark that Theorem (4.1) was proved by Ogg [7] in case $K = \mathbf{Q}$. \square

We return to the problem at hand. Suppose $K = \mathbf{Q}(i)$ or $K = \mathbf{Q}(\rho)$, and let E be an elliptic curve defined over K with good reduction everywhere. According to Theorem (4.1) E has a point of order two rational over K . Now E has a Weierstrass equation

$$y^2 = x^3 + a_2x^2 + a_4x + a_6$$

with $a_i \in \mathcal{O}$ and $\Delta = \varepsilon 2^{12}$, where ε is a unit of \mathcal{O} . Transforming the point $(c, 0)$ of order two with $c \in \mathcal{O}$ to $(0, 0)$ by means of (1.4), one obtains

$$Y^2 = X^3 + A_2X^2 + A_4X$$

with $A_i \in \mathcal{O}$ for E . Expressing C_4 and C_6 in terms of A_2 and A_4 leads to the equation

$$(4.3) \quad A_4^2(A_2^2 - 4A_4) = \varepsilon 2^8 \quad (\text{see (1.3)}).$$

The last equation is easy to deal with, because the only possible prime divisor of A_4 is the prime divisor of 2. In fact it follows easily that no solution of (4.3) comes from an elliptic curve E defined over K having good reduction everywhere.

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Enrique Atencia and Francisco Javier Martin-Reyes , The maximal ergodic Hilbert transform with weights	257
Bruce Blackadar , The regular representation of local affine motion groups	265
Alan Stewart Dow , On F -spaces and F' -spaces	275
Yoshifumi Kato , On the vector fields on an algebraic homogeneous space ...	285
Dmitry Khavinson , Factorization theorems for different classes of analytic functions in multiply connected domains	295
Wei-Eihn Kuan , A note on primary powers of a prime ideal	319
Benjamin Michael Mann and Edward Yarnell Miller , Characteristic classes for spherical fibrations with fibre-preserving free group actions	327
Steven Alan Pax , Appropriate cross-sectionally simple four-cells are flat	379
R. K. Rai , On orthogonal completion of reduced rings	385
V. Sree Hari Rao , On random solutions of Volterra-Fredholm integral equations	397
Takeyoshi Satō , Integral comparison theorems for relative Hardy spaces of solutions of the equations $\Delta u = Pu$ on a Riemann surface	407
Paul Sydney Selick , A reformulation of the Arf invariant one mod p problem and applications to atomic spaces	431
Roelof Jacobus Stroeker , Reduction of elliptic curves over imaginary quadratic number fields	451
Jacob Towber , Natural transformations of tensor-products of representation-functors. I. Combinatorial preliminaries	465
James Chin-Sze Wong and Abdolhamid Riazi , Characterisations of amenable locally compact semigroups	479