

Pacific Journal of Mathematics

WITT KERNELS OF FUNCTION FIELD EXTENSIONS

ROBERT FITZGERALD

WITT KERNELS OF FUNCTION FIELD EXTENSIONS

ROBERT W. FITZGERALD

Let F be a field of characteristic not 2. For a non-hyperbolic quadratic form q of dimension at least 2, let $F(q)$ denote the function field of the projective variety $q = 0$. We consider the problem, explicitly raised as problem D by Lam, of determining the kernel of induced map of Witt rings $WF \rightarrow WF(q)$. This kernel is the Witt kernel of the field extension and is denoted by $W(F(q)/F)$. The basic tool is a comparison of $W(F(q \perp \langle x \rangle)/F)$ and $W(F(q)/F)$. The Witt kernels $W(F(q)/F)$ where q has small dimension or F has small Hasse number are determined. Applications are made to the question of when a conservative form is embeddable.

In the case q is a Pfister form, the function fields $F(q)$ have been widely used (e.g. the Arason-Pfister Hauptsatz). Central to the applications is that the Witt kernel $W(F(q)/F)$ is qWF for Pfister forms q . Elman, Lam and Wadsworth have considered function fields of several Pfister forms ρ_i , (cf. [8]). Again the basic problem is computing the Witt kernel $W(F(\rho_1, \rho_2, \dots, \rho_r)/F)$ and showing it is a Pfister ideal.

Here also the emphasis is on finding conditions to insure Witt kernels are generated by Pfister forms. In the first section the comparison of $W(F(\varphi \perp \langle x \rangle)/F)$ and $W(F(\varphi)/F)$ is made and this is applied in the second section to forms of small dimension. For example, we show the Witt kernel $W(F(\varphi)/F)$ is a strong Pfister ideal if φ has dimension ≤ 5 and a Pfister ideal if dimension 6. This is used to improve several results of Gentile and Shaprio (in [12]) on their question of when $W(F(\varphi)/F)$ contains a non-zero Pfister form.

The last section treats fields F of finite Hasse number. It is shown that all Witt kernels of function fields are strong Pfister ideals if $\tilde{u}(F) \leq 8$. And the Witt kernels $W(F(\varphi)/F)$ are essentially computed for any form φ over F with $\tilde{u}(F) \leq 32$. Examples of fields with Hasse number ≤ 8 are C_3 fields, global and local fields, and finite fields.

The notation and terminology used are basically those of [15]. Isometry of forms α and β are denoted by $\alpha \simeq \beta$, while equality in the Witt ring is written $\alpha = \beta$. The uniquely determined maximal anisotropic subform α of a form β is termed the kernel of β and written as $\alpha = \ker(\beta)$. If $x\alpha \simeq \beta$ for some $x \in \dot{F}$, we say α and β are similar. The u -invariant used in the

last two sections is the generalized u -invariant of Elman and Lam (see e.g. [4]) and not the one discussed in [15].

The set of all F -Pfister forms is denoted by $P(F)$ and $P_n(F)$ denotes the set of n -fold F -Pfister forms. The set of forms over F similar to F -Pfister forms [n -fold F -Pfister forms] is denoted by $GP(F)$ [resp. $GP_n(F)$]. If $\rho \in GP(F)$ is anisotropic and $\varphi < \rho$ then φ is a Pfister neighbor if $2 \dim \varphi > \dim \rho$ and a conjugate neighbor if $2 \dim \varphi = \dim \rho$.

We use the terms conservative and embeddable forms as defined by Gentile and Shapiro. Namely, a form q is conservative if $W(F(q)/F) \neq 0$, or equivalently, if $q \otimes L$ is anisotropic for every field extension L/F with $W(L/F) = 0$. A form q is embeddable if it is similar to a subform of an anisotropic Pfister form.

Following Elman, Lam and Wadsworth, for a subset $N \subset \mathbb{N}$ and \mathfrak{A} an ideal of WF we say \mathfrak{A} is an \mathbf{N} -Pfister ideal of \mathfrak{A} is generated by r -fold Pfister forms, $r \in N$. \mathfrak{A} is a *strong* \mathbf{N} -Pfister ideal if each $q \in \mathfrak{A}$ is isometric to a sum of scalar multiples of r -fold Pfister forms in \mathfrak{A} , $r \in N$. We write n -Pfister for $\{n\}$ -Pfister.

Let X_F denote the set of orderings on the field F and topologize X_F by taking as an open subbasis the Harrison sets:

$$H_F(a) = \{\alpha \in X \mid a >_\alpha 0\},$$

where a ranges over \dot{F} . A form q is indefinite at $\alpha \in X_F$ if $|\operatorname{sgn}_\alpha q| < \dim q$ and indefinite if q is indefinite at all $\alpha \in X_F$. The Hasse number of F is:

$$\tilde{u}(F) = \max\{\dim q \mid q \text{ anisotropic and indefinite over } F\}$$

if the maximum exists, otherwise $\tilde{u}(F) = \infty$.

Knebusch's important paper [13] will be used extensively and notation and terminology not found in [15] or mentioned above will be taken from it. In particular, we use the degree of a form q . As shown in [13], for $q \neq 0$ the $\min\{\dim(\ker(q \otimes K)) \mid K/F \text{ such that } q \otimes K \neq 0\}$ is a 2-power 2^d . The degree of q is d (if $q = 0$, the degree of q is ∞). We also use the ideal $J_n F = \{q \in WF \mid \deg q \geq n\}$.

1. Witt kernels and strong Pfister ideals. The following basic results will be used frequently:

(a) If φ is a neighbor to the n -fold Pfister form ρ , then $W(F(\varphi)/F)$ is a strong n -Pfister ideal ([5, 1.4]).

(b) (Cassels-Pfister theorem.) Let q and φ be anisotropic forms such that $q \otimes F(\varphi) = 0$. Then for each $x \in D(q) \cdot D(\varphi)$, there exists a form η_x over F such that $xq \simeq \varphi \perp \eta_x$.

LEMMA 1.1. *Suppose ψ is a subform of a form φ . Then $W(F(\varphi)/F) \subset W(F(\psi)/F)$.*

Proof. Since $\varphi \otimes F(\psi)$ is isotropic, there is an F -place $F(\varphi) \rightarrow F(\psi) \cup \infty$ and so $W(F(\varphi)/F) \subset W(F(\psi)/F)$ (cf. [13]).

We begin the computations:

PROPOSITION 1.2. *Let φ and ψ be anisotropic forms over F with $1 \in D(\psi)$ and $\varphi \simeq \psi \perp \langle x \rangle$, for some $x \in \dot{F}$. If $W(F(\psi)/F)$ is a strong n -Pfister ideal then:*

(i) *$W(F(\varphi)/F)$ is a $\{n, n+1\}$ -Pfister ideal.*

(ii) *If $\sigma \in W(F(\varphi)/F) \cap P_k(F)$, with $k \geq n+1$, then there is a $\rho \in W(F(\varphi)/F) \cap P_{n+1}(F)$ such that $\rho \mid \sigma$.*

Proof. (i) We have $W(F(\varphi)/F) \subset W(F(\psi)/F)$ by (1.1). Let $q \in W(F(\varphi)/F)$ be anisotropic; we may assume $1 \in D(q)$. Now $q \in W(F(\psi)/F)$, a strong n -Pfister ideal, so we may write:

$$(*) \quad q \simeq c_1 \rho_1 \perp \cdots \perp c_k \rho_k$$

where $c_i \in \dot{F}$ and $\rho_i \in W(F(\psi)/F) \cap P_n(F)$. We use induction on k to show q equals a sum of multiples of n -fold and $(n+1)$ -fold Pfister forms in $W(F(\varphi)/F)$. The case $k = 1$ is trivial, so suppose $k > 1$.

Since $1 \in D(q)$, we may assume $c_1 = 1$, by [10, 3.1]. By the Cassels-Pfister theorem, as $1 \in D(q) \cap D(\varphi)$, $1 \in D(\psi) \cap D(\rho_1)$, we have:

$$\begin{aligned} q &\simeq \varphi \perp q_1 \simeq \psi \perp \langle x \rangle \perp q_1 \\ \rho_1 &\simeq \psi \perp \gamma \end{aligned}$$

for some forms q_1 and γ over F . Cancelling ψ from the isometry $(*)$ yields

$$x \in D\left(\gamma \perp \bigoplus_{i=2}^k c_i \rho_i\right).$$

Thus $x = a + b$, with

$$a \in D(\gamma) \cup \{0\}, b \in D\left(\bigoplus_{i=2}^k c_i \rho_i\right) \cup \{0\}.$$

Case 1. $b = 0$.

Here $x \in D(\gamma)$ and so $\varphi \simeq \psi \perp \langle x \rangle \leq \rho_1$. Hence $\rho_1 \in W(F(\varphi)/F)$ and $\bigoplus_{i=2}^k c_i \rho_i \in W(F(\varphi)/F)$. By induction $\bigoplus_{i=2}^k c_i \rho_i$ equals a sum of multiples of n -fold and $(n+1)$ -fold Pfister forms in $W(F(\varphi)/F)$. Thus so is $q \simeq \rho_1 \perp \bigoplus_{i=2}^k c_i \rho_i$.

Case 2. $b \neq 0$.

Here we may assume $c_2 = b$ by [10, 3.10]. Since $x \in D(\gamma \perp \langle b \rangle)$, $\varphi \simeq \psi \perp \langle x \rangle < \rho_1 \perp \langle b \rangle < \rho_1 \otimes \langle 1, b \rangle$. Now, since $W(F(\psi)/F)$ is a strong n -Pfister ideal, ρ_1 and ρ_2 are linked ([10, 3.1]). Say $\rho_i = \mu \otimes \langle 1, y_i \rangle$ ($i = 1, 2$), where $\mu \in P_{n-1}(F)$ and $y_1, y_2 \in \dot{F}$. Then:

$$\begin{aligned} \rho_1 \perp b\rho_2 &= \mu \otimes \langle 1, y_1, b, by_2 \rangle \\ &= \mu \otimes \langle \langle y_1, b \rangle \rangle \perp by_2\mu \otimes \langle 1, -y_1y_2 \rangle. \end{aligned}$$

Note $\mu \otimes \langle \langle y_1, b \rangle \rangle \simeq \rho_1 \otimes \langle 1, b \rangle \in W(F(\varphi)/F)$, since it contains φ as a subform. So:

$$q \perp -\mu \otimes \langle \langle y_1, b \rangle \rangle = by_2\mu \otimes \langle 1, -y_1y_2 \rangle \perp \bigoplus_{i=3}^k c_i \rho_i \in W(F(\varphi)/F).$$

The left hand side is also in $W(F(\psi)/F)$, a strong n -Pfister ideal, and thus its kernel is isometric to a sum of multiples of at most $k - 1$ n -fold Pfister forms in $W(F(\psi)/F)$. Thus by induction, $q \perp -\mu \otimes \langle \langle y_1, b \rangle \rangle$, and hence q is a sum of multiples of n -fold and $(n + 1)$ -fold Pfister forms in $W(F(\varphi)/F)$.

(ii) Repeat the argument in (i), with σ replacing q . In Case 1, $\rho_1 \in W(F(\varphi)/F) \cap P_n(F)$ and ρ_1 is a subform of σ . Hence $\rho_1 \mid \sigma$, by [5, 2.7]. So take any form $\rho \in W(F(\varphi)/F) \cap P_{n+1}(F)$ such that $\rho_1 \mid \rho$ and $\rho \mid \sigma$.

In Case 2, let $\rho = \rho_1 \otimes \langle 1, b \rangle$. We know $\rho_1 \perp \langle b \rangle$ is a neighbor of ρ and a subform of σ . Thus $F(\rho) \sim_F F(\rho_1 \perp \langle b \rangle)$ and $\sigma \otimes F(\rho_1 \perp \langle b \rangle) = 0$, by [13, 4.1]. So $\sigma \otimes F(\rho) = 0$ and $\rho \mid \sigma$ by [5, 1.4]. Also, the argument in (i) showed $\varphi < \rho_1 \perp \langle b \rangle$, so $\varphi < \rho$ and thus $\rho \in W(F(\varphi)/F) \cap P_{n+1}(F)$.

COROLLARY 1.3. *Suppose $\varphi \simeq \psi \perp \langle x \rangle$ with $x \in \dot{F}$ and $W(F(\psi)/F)$ a strong $(n - 1)$ -Pfister ideal. If $W(F(\varphi)/F) \cap P_{n-1}(F) = 0$ and $W(F(\varphi)/F)$ is n -linked, then $W(F(\varphi)/F)$ is a strong n -Pfister ideal.*

Proof. $W(F(\varphi)/F) \cap P_{n-1}(F) = 0$ and (1.2)(i) imply $W(F(\varphi)/F)$ is an n -Pfister ideal. Then (1.2)(ii) and the hypothesis on linkage imply the result by [10, 3.1].

PROPOSITION 1.4. *Let ψ be a neighbor of $\rho \in P_n(F)$ and let $\varphi \simeq \psi \perp \langle x \rangle$, with $x \in \dot{F}$, be anisotropic. Then either:*

(a) *φ is a neighbor of ρ and $W(F(\varphi)/F) = \rho WF$, a strong n -Pfister ideal, or*

(b) *φ is not a neighbor of ρ and $W(F(\varphi)/F)$ is a strong $(n + 1)$ -Pfister ideal.*

Proof. We need only show (b) so assume φ is not a neighbor of ρ . By scaling if necessary, we may assume ψ , and hence φ , represent 1.

$W(F(\psi)/F) = \rho WF$ is a strong n -Pfister ideal. We wish to apply (1.3). Suppose $0 \neq \sigma \in W(F(\varphi)/F) \cap P_n(F)$. Since $1 \in D(\varphi) \cap D(\sigma)$, the Cassels-Pfister theorem implies that φ is a subform of σ and hence so is ψ . Since ψ is a neighbor of the n -fold Pfister form ρ , $\dim \psi > 2^{n-1}$. Thus ψ is a neighbor of σ and $\rho \simeq \sigma$ ([13, 7.4]). Thus φ is a neighbor of ρ . Contradiction.

Thus $W(F(\varphi)/F) \cap P_n(F) = 0$. Since $W(F(\varphi)/F) \subset W(F(\psi)/F) = \rho WF$, any two $(n+1)$ -fold Pfister forms in $W(F(\varphi)/F)$ are linked by ρ . So the result follows from (1.3).

COROLLARY 1.5. *Let φ be an anisotropic form such that $\dim \varphi = 4$ and $\varphi \notin GP(F)$. If $W(F(\varphi)/F) \neq 0$, then $W(F(\varphi)/F)$ is a strong 3-Pfister ideal. In particular, φ is conservative if and only if φ is a conjugate neighbor.*

Proof. By scaling we may assume $\varphi \simeq \langle 1, a, b, x \rangle$, for some $a, b, x \in \dot{F}$. The first statement then follows from (1.4) and the second from the Cassels-Pfister theorem.

2. $W(F(\varphi)/F)$ for small dimensional φ .

REMARK. Let ρ be an n -fold Pfister form over F . Suppose $\rho \simeq \psi \perp \gamma$, with $\dim \psi > \dim \gamma$, and $\varphi \simeq \psi \perp \langle x \rangle$ is anisotropic. Further suppose φ is not a neighbor of ρ . Then, $W(F(\varphi)/F)$ is a strong $(n+1)$ -Pfister ideal by (1.4). By examining the proof of (1.2) we see that:

$$P_{n+1}(F) \cap W(F(\varphi)/F) = \{\rho \otimes \langle 1, a \rangle \mid a \in D(\langle x \rangle \perp -\gamma)\}.$$

Now $W(F(\psi)/F) = \rho WF$ and:

$$\rho WF \cap \langle 1, x \rangle WF \cap P_{n+1}(F) = \{\rho \otimes \langle 1, a \rangle \mid a \in D(\langle x \rangle \perp -\rho')\},$$

where ρ' is the pure part of ρ . Thus:

$$W(F(\varphi)/F) \subset W(F(\psi)/F) \cap \langle 1, x \rangle WF,$$

but the inclusion may be strict.

We wish to examine in detail the structure of $W(F(\varphi)/F)$ for four dimensional forms:

EXAMPLE. Let φ be conservative and $\dim \varphi = 4$; we may assume $\varphi \simeq \langle 1, a, b, x \rangle$. Suppose $x \neq ab$. Then:

$$\begin{aligned} W(F(\varphi)/F) \cap P_3(F) &= \{ \langle \langle a, b, \alpha \rangle \rangle \mid \alpha \in D(\langle x, -ab \rangle) \} \\ &= \{ \langle \langle a, b, xt^2 - abs^2 \rangle \rangle \mid s, t \in F \}. \end{aligned}$$

If $t = 0$, then $\langle \langle a, b, xt^2 - abs^2 \rangle \rangle \simeq \langle \langle a, b, -ab \rangle \rangle = 0$. So we may assume $t \neq 0$. Hence:

$$W(F(\varphi)/F) \cap P_3(F) = \{ \langle \langle a, b, x - abs^2 \rangle \rangle \mid s \in F \} \cup \{0\}.$$

In particular:

$$W(F(\varphi)/F) = \langle \langle a, b \rangle \rangle \sum_{s \in F} \langle \langle x - abs^2 \rangle \rangle WF.$$

by (1.5).

Comparing with (1.5), we also have:

$$\begin{aligned} \varphi \text{ is conservative iff } \varphi \text{ is a conjugate neighbor} \\ \text{iff } D(\langle -x, ab \rangle) \not\subset D(\langle \langle a, b \rangle \rangle). \end{aligned}$$

To treat 5 and 6 dimensional forms, we need:

THEOREM 2.1. *Let ψ be a codimension 1 neighbor of $\rho \in P_n(F)$, $\varphi \simeq \psi \perp \langle x, y \rangle$ anisotropic and suppose φ is not a Pfister neighbor. Then $W(F(\varphi)/F)$ is a strong $(n + 2)$ -Pfister ideal.*

Proof. By (1.4) $W(F(\psi \perp \langle x \rangle)/F)$ is a strong $(n + 1)$ -Pfister ideal, and so $W(F(\varphi)/F)$ is a $\{n + 1, n + 2\}$ -Pfister ideal, by (1.2). Since $\dim \varphi = 2^n + 1$ and φ is not a Pfister neighbor $W(F(\varphi)/F) \cap P_{n+1}(F) = 0$. Thus, by (1.3), we need only show $W(F(\varphi)/F)$ is $(n + 2)$ -linked.

Let $\rho_1, \rho_2 \in W(F(\varphi)/F) \cap P_{n+2}(F)$. By the Cassels-Pfister theorem, φ is similar to a subform of each ρ_i and so the Witt index $i(\rho_1 \perp -\rho_2) \geq 2^n + 1$. But $i(\rho_1 \perp -\rho_2)$ must be a power of 2, by [5, 4.5]. Thus $i(\rho_1 \perp -\rho_2) \geq 2^{n+1}$ and hence ρ_1 and ρ_2 are linked.

COROLLARY 2.2. *Let φ be a conservative form of dimension 5. Then either:*

(a) *φ is a neighbor to a Pfister form ρ and $W(F(\varphi)/F) = \rho WF$ is a strong 3-Pfister ideal, or*

(b) *φ is not a Pfister neighbor and $W(F(\varphi)/F)$ is a strong 4-Pfister ideal.*

It is quite possible that a 5 dimensional form φ is not a Pfister neighbor. Indeed φ is a Pfister neighbor if and only if $d(\varphi) \in D(\varphi)$, by [13, p. 10].

COROLLARY 2.3. *Let φ be a conservative form of dimension 6. If φ is not a Pfister neighbor then $W(F(\varphi)/F)$ is a $\{4, 5\}$ -Pfister ideal.*

EXAMPLE. If φ has dimension 6, $W(F(\varphi)/F)$ need not be a strong Pfister ideal. Since no such example is in the literature, I will work out one in some (but not complete) detail.

Let $F = \mathbf{R}(x, y, z)$, $\varphi = \langle 1, 1, 1, x, y, z \rangle$, $\rho_1 = \langle \langle 1, 1, x, y, z \rangle \rangle$ and $\rho_2 = \langle \langle 1, 1, x, y - 1, z - x \rangle \rangle$. By considering an ordering for which $z > y > x > 1$, one sees that ρ_1 and ρ_2 are anisotropic. A simple computation shows $\varphi < \rho_1$ and $\varphi < \rho_2$, while a more tedious one shows ρ_1 and ρ_2 are not linked. Let $\psi = \ker(\rho_1 \perp -\rho_2) \in W(F(\varphi)/F)$.

Fix an ordering α on F with x infinitely large positive, y infinitely small positive and z infinitely larger than x .

Claim. There does not exist $\sigma \in W(F(\varphi)/F) \cap P_4(F)$ such that $\text{sgn}_\alpha \sigma = 16$.

We first note that $\langle 1, 1, 1, x, y \rangle$ is not a Pfister neighbor — otherwise $xy \in D(\langle 1, 1, 1, x, y \rangle)$ and $\langle 1, 1, 1, x \rangle \perp y \langle 1, -x \rangle$ is isotropic, which is impossible. Thus if there is a σ invalidating the claim, the proof of (1.2) shows we may write $\sigma \simeq \langle \langle 1, 1, p^2x - q^2, r^2y - \beta \rangle \rangle$, where $p, q, r, \beta \in \mathbf{R}[x, y, z]$ and $\beta \in (p^2x - q^2)D(\langle 1, 1, 1, x \rangle)$.

We will show $z \notin D(\sigma)$ and hence $\varphi \nless \sigma$. We need some simple calculations. For a polynomial $g(x, y, z) \in \mathbf{R}[x, y, z]$ let $\deg_x g$ denote the degree of g as a polynomial in x over $\mathbf{R}[y, z]$. Define $\deg_y g$ and $\deg_z g$ similarly.

Consider p^2x , q^2 and r^2y as polynomials in z over $\mathbf{R}[x, y]$, with leading coefficients $w_1(x, y)$, $w_2(x, y)$ and $w_3(x, y)$ respectively. Note that p^2x , q^2 and r^2y have even z -degree. It is easy to check the following:

- (a) $\deg_x w_1$ is odd and $\deg_x w_2$ is even,
- (b) $\deg_y(w_1 - w_2)$ is even,
- (c) $\deg_y w_3$ is odd.

We thus obtain:

- (i) $\deg_z(p^2x - q^2)$ is even (by (a)),
- (ii) $\deg_z \beta$ is even (by (i)),
- (iii) $\deg_z(r^2y - \beta)$ is even (by (ii), (b) and (c)).

Suppose finally that $z \in D(\sigma)$. Then:

$$(*) \quad z = s_0 + (p^2x - q^2)s_1 + (r^2y - \beta)s_2 + (p^2x - q^2)(r^2y - \beta)s_3$$

with each s_i a sum of four squares in F . Let $w_4(x, y)$ be the z -leading coefficient of β . Set

$$V = \{(a, b) \in \mathbf{R}^2 \mid w_i(a, b) = 0, \text{ some } i = 1, 2, 3, \text{ or } 4\};$$

V is a closed subvariety of \mathbf{R}^2 . Since $\text{sgn}_\alpha \sigma = 16$, $p^2x - q^2$ and $r^2y - \beta$ are positive with respect to α and we may find positive $x_0, y_0 \in R - V$ such that:

$$\left. \begin{aligned} P_1(z) &= (p^2x - q^2)(x_0, y_0, z) \\ P_2(z) &= (r^2y - \beta)(x_0, y_0, z) \end{aligned} \right\} \geq 0 \quad \text{for } z \gg 0.$$

By the observations (i) and (iii), we see that P_1 and P_2 have even degree. Thus for sufficiently negative z_0 , at (x_0, y_0, z_0) the left hand side of (*) is negative while the right hand side is positive. This proves the claim.

To finish the example, suppose $W(F(\varphi)/F)$ is a strong Pfister ideal. Then we may write:

$$\psi \simeq \perp a_i \mu_i, \quad \text{with } \mu_i \in W(F(\varphi)/F) \cap P(F) \text{ and } a_i \in \dot{F}.$$

Since $\dim \psi = 48$ we have three cases:

(i) Some $\mu_i \in P_3(F)$:

Then φ is a Pfister neighbor and there exists a $\sigma \in W(F(\varphi)/F) \cap P_4(F)$ such that $\sigma \mid \rho_1$. Since $\text{sgn}_\alpha \rho_1 = 32$, $\text{sgn}_\alpha \sigma = 16$. Contradiction.

(ii) Some $\mu_i \in P_5(F)$:

Then $\psi \simeq a_1 \mu_1 \perp a_2 \mu_2$, with $\mu_1 \in P_5(F)$ and $\mu_2 \in P_4(F)$. But $\deg \psi = 5$ while $\deg(a_1 \mu_1 \perp a_2 \mu_2) = 4$, which again is a contradiction.

(iii) All $\mu_i \in P_4(F)$:

Then $\psi \simeq a_1 \mu_1 \perp a_2 \mu_2 \perp a_3 \mu_3$, with $\mu_i \in W(F(\varphi)/F) \cap P_4(F)$. Now $\text{sgn}_\alpha \psi = 32$, as $\text{sgn}_\alpha \rho_1 = 32$ and $\text{sgn}_\alpha \rho_2 = 0$. Thus at least one μ_i has α -signature 16, contradicting the claim.

Thus $W(F(\varphi)/F)$ is *not* a strong Pfister ideal.

It is worth noting that $W(F(\varphi)/F)$ does however contain 4-fold Pfister forms. For example, $0 \neq \langle \langle 1, 1, x, 4xy - (xz - xy - 1)^2 \rangle \rangle$ is in $W(F(\varphi)/F)$.

We can show $W(F(\varphi)/F)$ is a strong Pfister ideal in some cases.

COROLLARY 2.4. *Let φ be a conservative form of dimension 6 which is not a Pfister neighbor. If φ contains a four dimensional subform of determinant 1, then $W(F(\varphi)/F)$ is a strong 4-Pfister ideal.*

Proof. Write $\varphi \simeq \psi \perp \langle a, b \rangle$, with $\dim \psi = 4$ and $d(\psi) = 1$. If $c \in D(\psi)$, then $c\psi \in P_2(F)$. So we may assume $\varphi \simeq \rho \perp \langle x, y \rangle$, where $\rho \in P_2(F)$ and $x, y \in \dot{F}$. Now $\rho \perp \langle x \rangle$ is a neighbor to $\rho \otimes \langle 1, x \rangle$, so $W(F(\varphi)/F)$ is a strong 4-Pfister ideal by (1.4).

3. Conservative and embeddable forms. In [12], Gentile and Shapiro raised the question whether a conservative form φ over F must be embeddable. They showed the answer was yes, if $\dim \varphi \leq 5$ or if $u(F) < 24$ ([12, Corollaries 8 and 19]). The results of Section 2 can be used to improve these bounds. As an immediate consequence of (2.3) we have:

COROLLARY 3.1. *Let $\dim \varphi \leq 6$. Then φ is conservative iff φ is embeddable.*

PROPOSITION 3.2. *Let φ be a conservative form over F which is not a Pfister neighbor and such that $\dim \varphi \geq 5$. Let $q \in W(F(\varphi)/F)$ be anisotropic. Then:*

- (a) $16 \mid \dim q$
- (b) $q \equiv \rho \pmod{I^5 F}$, where $\rho \in P_4(F) \cap W(F(\varphi)/F)$.

Proof. We first note that for (b) we need only show the equation holds for some $\sigma \in GP_4(F)$. Namely then $q = \alpha\rho \perp q_1$, where $\alpha \in \dot{F}$, $\rho \in P_4(F)$ and $q_1 \in I^5 F$. Now $\alpha\rho \otimes F(\varphi) = -q_1 \otimes F(\varphi) \in I^5 F(\varphi)$. By the Arason-Pfister Hauptsatz ([2]), $\rho \otimes F(\varphi) = 0$ and so $\rho \in W(F(\varphi)/F)$. Further $q = \rho \perp \langle -1, \alpha \rangle \rho \perp q_1$ and so $q \equiv \rho \pmod{I^5 F}$.

Let ψ be a 5-dimensional subform of φ . By (1.1), $q \in W(F(\psi)/F)$.

Case 1. ψ is not a Pfister neighbor:

Here we may write $q \simeq \bigoplus_{i=1}^m \alpha_i \sigma_i$, with each $\alpha_i \in \dot{F}$ and $\sigma_i \in W(F(\psi)/F) \cap P_4(F)$, by (2.2). In particular, (a) holds. Now write:

$$q \equiv \bigoplus_{i=1}^n a_i \rho_i \pmod{I^5 F}$$

with $a_i \in F$, $\rho_i \in W(F(\psi)/F) \cap P_4(F)$ and n minimal. Suppose $n > 1$. Since $W(F(\psi)/F)$ is a strong 4-Pfister ideal, ρ_1 and ρ_2 are linked. Thus there is an $a_{n+1} \in \dot{F}$ and $\rho_{n+1} \in W(F(\psi)/F) \cap P_4(F)$ such that

$a_2(\rho_2 \perp -\rho_1) = a_{n+1}\rho_{n+1}$. We have:

$$\begin{aligned}
 q &\equiv a_1\rho_1 \perp a_2\rho_1 \perp -a_2\rho_1 \perp a_2\rho_2 \perp \bigoplus_{i=3}^n a_i\rho_i \quad \text{mod } I^5F \\
 &\equiv \langle a_1, a_2 \rangle \rho_1 \perp a_{n+1}\rho_{n+1} \perp \bigoplus_{i=3}^n a_i\rho_i \quad \text{mod } I^5F \\
 &\equiv \bigoplus_{i=3}^{n+1} a_i\rho_i \quad \text{mod } I^5F.
 \end{aligned}$$

This contradicts the minimality of n and proves (b) for this case.

Case 2. ψ is a Pfister neighbor:

Let ψ be a neighbor to the (3-fold) Pfister form σ . Then $q \simeq \sigma \otimes \langle b_1, \dots, b_m \rangle$ by [5, 1.4]. To prove (a), we need only show m is even. Suppose m is odd. Since $q \otimes F(\varphi) = 0$, $(\sigma \otimes F(\varphi)) \otimes (\langle b_1, \dots, b_m \rangle \otimes F(\varphi)) = 0$. If $\sigma \otimes F(\varphi) \neq 0$, then $\langle b_1, \dots, b_m \rangle \otimes F(\varphi)$ is an odd dimensional zero divisor, which is impossible ([15, VIII 6.7]). Thus $\sigma \otimes F(\varphi) = 0$. Since $\deg \sigma = 3$ and $\dim \varphi > 5$, the Cassels-Pfister theorem implies φ is a neighbor to σ , contrary to hypothesis. Thus m is even and (a) holds.

Now write $\langle b_1, \dots, b_m \rangle \equiv \langle 1, x \rangle \text{ mod } I^2F$ for some $x \in \dot{F}$. Then $q \equiv \langle 1, x \rangle \sigma \text{ mod } I^5F$ as desired.

COROLLARY 3.3. *If F is 5-linked then for all conservative φ over F , $W(F(\varphi)/F)$ is a Pfister ideal.*

Proof. Let $q \in W(F(\varphi)/F)$; we may write $q = a_1\rho_1 \perp q_1$ with $a_1 \in \dot{F}$, $\rho_1 \in W(F(\varphi)/F) \cap P_4(F)$ and $q_1 \in W(F(\varphi)/F) \cap I^5F$, by (3.2). By [10, 5.1], $W(F(\varphi)/F) \cap I^5F$ is a Pfister ideal, hence q_1 , and q , lie in $W(F(\varphi)/F)_{Pf}$.

COROLLARY 3.4. *Suppose φ is a conservative form over F that is not embeddable. Then $W(F(\varphi)/F) \subset I^5F$.*

Proof. Clearly φ is not a Pfister neighbor, and $\dim \varphi \geq 7$ by (3.1). The result then follows from (3.2) since $W(F(\varphi)/F) \cap P_4(F) = 0$.

In [9] it was shown that if $q \in W(F(\varphi)/F)$ then $2^n q \in W(F(\varphi)/F)_{Pf}$, where $n = \dim q$. Thus if φ is conservative but not embeddable then $W(F(\varphi)/F) \subset W_i F$ (see also [12]). Hence we have:

COROLLARY 3.5. *Suppose I^5F is torsion-free. Then a form φ over F is conservative if and only if it is embeddable.*

In particular, if $\text{tr.d.}_{\mathbf{R}}(F) \leq 4$, then φ is conservative if and only if it is embeddable.

COROLLARY 3.6. *Suppose φ is a conservative form over F that is not embeddable. If $q \in W(F(\varphi)/F)$ is non-zero, then $\dim q \geq 48$.*

In particular, if $u(F) < 48$, then a form over F is conservative if and only if it is embeddable.

Proof. We may assume q is anisotropic. By (3.4), $q \in I^5 F$ and so by the Arason-Pfister Hauptsatz ([2]), $\dim q \geq 32$. If $\dim q = 32$, then $q \in GP(F)$ and φ is embeddable; thus $\dim q > 32$. By (3.2), $16 \mid \dim q$, so $\dim q \geq 48$.

4. Witt kernels over fields of finite Hasse number. As was done in [11], for an anisotropic form q we define $N(q)$ to be $\dim q - q^{\deg q}$.

LEMMA 4.1. *Suppose $\varphi \notin GP(F)$ and q is an anisotropic form with $q \in W(F(\varphi)/F)$. Then,*

- (i) $2^{\deg q} > \dim \varphi$;
- (ii) if $N(q) < 2 \cdot \dim \varphi$ then $q \in GP(F)$.

Proof. (i) follows from [12, Prop. 13] and (ii) follows from [11, 1.6].

REMARK. A stronger inequality than (i) is shown in [12], namely that $2^{\deg q} \geq \dim \varphi + 2^{\deg \varphi}$. It would be interesting to know if this can be improved to $2^{\deg q} \geq 2 \cdot \dim \varphi$ for non-Pfister neighbors φ . Note that if there exists a $q \in W(F(\varphi)/F)$ such that $2 \dim \varphi \geq 2^{\deg q}$ and φ is not a Pfister neighbor then $W(F(\varphi)/F)$ is not a Pfister ideal. Namely, suppose $q = \perp_{i=1}^n x_i \rho_i$ with $\rho_i \in W(F(\varphi)/F) \cap P(F)$. Then for some i , $\deg \rho_i \leq \deg q$ and the Cassels-Pfister theorem then implies φ is a Pfister neighbor.

We next recall a definition due to Knebush, Rosenberg and Ware (cf. [14, 1.2]) which will be used frequently in this section:

DEFINITION. We say F satisfies the Strong Approximation Property (SAP) if for every clopen $S \subset X_F$ there exists an $e \in \dot{F}$ such that $e > 0$ on S and $e < 0$ outside of S .

The following lemma is well-known.

LEMMA 4.2. *If $\tilde{u}(F) \leq 2^n$, then F is n -linked. In particular, F is SAP.*

Proof. Let $\rho_1, \rho_2 \in P_n(F)$. Then for any ordering α on F ,

$$|\operatorname{sgn}_\alpha(\rho_1 \perp -\rho_2)| = \dim \rho_1 \text{ or } 0.$$

In particular, $\rho_1 \perp -\rho_2$ is indefinite. Hence $\dim(\ker(\rho_1 \perp -\rho_2)) \leq 2^n$ and the Witt index $i(\rho_1 \perp -\rho_2) \geq 2^{n-1}$. Then, ρ_1 and ρ_2 are linked, by [5, 4.4].

For the second statement, F is n -linked, so stably linked (cf. [6]) and hence F is SAP by [6, 3.5].

LEMMA 4.3. *Let $q \in W(F(\varphi)/F)$. If φ is indefinite at $\alpha \in X_F$, then $\operatorname{sgn}_\alpha q = 0$.*

Proof. Since φ is indefinite at α , α extends to $F(\varphi)$ ([9, 3.5]). Since $q \otimes F(\varphi) = 0$, $\operatorname{sgn}_\alpha q = 0$.

PROPOSITION 4.4. *Suppose $u(F) \leq 2^n$, and φ is a conservative indefinite form over F . Then:*

(i) *If $2^{n-1} < \dim \varphi \leq 2^n$, then φ is a Pfister neighbor. In particular, $W(F(\varphi)/F)$ is a strong n -Pfister ideal.*

(ii) *If $2^{n-2} < \dim \varphi \leq 2^{n-1}$, then either:*

(a) *φ is a Pfister neighbor and $W(F(\varphi)/F)$ is a strong $(n-1)$ -Pfister ideal, or*

(b) *φ is not a Pfister neighbor and every non-zero anisotropic $q \in W(F(\varphi)/F)$ is in $GP_n(F)$. In particular, $W(F(\varphi)/F)$ is a strong n -Pfister ideal.*

Proof. Let $0 \neq q \in W(F(\varphi)/F)$ be anisotropic. By (4.3) $\operatorname{sgn}_\alpha q = 0$ for all $\alpha \in X_F$, so by Pfister's Local-Global Principle q is torsion. Thus $\dim q \leq 2^n$.

(i) Here $\dim q < 2 \dim \varphi$ and so $q \in GP_n(F)$ by (4.1). In particular, φ is a Pfister neighbor.

(ii) Part (a) is known so suppose φ is not a Pfister neighbor. By (4.1), $2^{\deg q} > \dim \varphi > 2^{n-2}$ thus $\deg q \geq n-1$ and $N(q) \leq 2^n - 2^{n-1} < 2 \dim \varphi$. (4.1) then implies $q \in GP(F)$. If $\deg q = n-1$, then φ is a Pfister neighbor, contrary to the assumption of (b). Hence $q \in GP_n(F)$ and $W(F(\varphi)/F)$ is a strong n -Pfister ideal.

Both the statement and the proof of the following lemma are similar to the Pfister neighbor criterion of Elman, Lam and Wadsworth [8, 4.6]:

LEMMA 4.5. *Let F be formally real with $\tilde{u}(F) \leq 2^n$. Let φ be a form over F , definite at some $\alpha \in X_F$, with $1 \in D(\varphi)$ and $\dim \varphi > 2^{n-2}$.*

(i) *Let m be the least integer such that $n \leq m$ and $\dim \varphi \leq 2^m$. Let S be*

a non-empty clopen subset of X_F such that $S \subset \{\alpha \mid \varphi \text{ is (positive) definite at } \alpha\}$. Then there exists $\rho \in W(F(\varphi)/F) \cap P_{m+1}(F)$ such that ρ is definite at α iff $\alpha \in S$.

(ii) If $\dim \varphi = 2^m + 1$, $m \geq n$, then φ is a Pfister neighbor.

Proof. In part (ii) let $S = \{\alpha \mid \varphi \text{ is definite at } \alpha\}$. S is clopen since $S = \hat{\varphi}^{-1}(\{\dim \varphi\})$, where $\hat{\varphi}: X_F \rightarrow Z$ is the continuous function $\alpha \mapsto \text{sgn}_\alpha(\varphi)$.

For both parts (i) and (ii) there is an $e \in \dot{F}$ such that $e >_\alpha 0$ iff $\alpha \in S$, since F is SAP. Set $\rho = 2^m \langle 1, e \rangle$. For $\alpha \in X_F$ then:

$$\text{sgn}_\alpha(\rho \perp -\varphi) = \begin{cases} -\text{sgn}_\alpha \varphi, & \text{if } e <_\alpha 0 \\ \dim \rho - \dim \varphi & \text{if } e >_\alpha 0. \end{cases}$$

In (i), $|\text{sgn}_\alpha \varphi| \leq \dim \varphi \leq 2^m \leq \dim \rho - \dim \varphi$. In (ii), if $e <_\alpha 0$, $|\text{sgn}_\alpha \varphi| \leq \dim \varphi - 2 = 2^m - 1 = \dim \rho - \dim \varphi$. Thus in both cases

$$|\text{sgn}_\alpha(\rho \perp -\varphi)| \leq \dim \rho - \dim \varphi, \quad \text{for all } \alpha \in X_F.$$

Set $\psi = \ker(\rho \perp -\varphi)$.

Suppose $\dim \psi > \dim \rho - \dim \varphi$. Then ψ is indefinite. In (i), this forces $\dim \psi \leq 2^n \leq 2^m \leq \dim \rho - \dim \varphi$, and in (ii), since $\dim \psi$ is odd, $\dim \psi \leq 2^n - 1 \leq \dim \rho - \dim \varphi$. In both cases we get a contradiction.

So $\dim \psi \leq \dim \rho - \dim \varphi$. In particular, the Witt index $i(\rho \perp -\varphi) \geq \dim \varphi$. Thus φ is a subform of ρ .

THEOREM 4.6. Suppose $\tilde{u}(F) \leq 2^n$ and φ is a conservative form over F . If $2^{m-1} < \dim \varphi \leq 2^n$, with $m \geq n$, then either:

(i) φ is a Pfister neighbor and $W(F(\varphi)/F)$ is a strong m -Pfister ideal, or

(ii) φ is not a Pfister neighbor and $W(F(\varphi)/F)$ is a strong $(m+1)$ -Pfister ideal.

Proof. We may assume $1 \in D(\varphi)$. We may also assume φ is not indefinite and, in particular, that F is formally real, by (4.4). Case (i) is known so assume φ is not a Pfister neighbor.

Let $0 \neq q \in W(F(\varphi)/F)$ be anisotropic. We will show q is isometric to a sum of multiples of $(m+1)$ -fold Pfister forms in $W(F(\varphi)/F)$ by induction on $\dim q$.

Case 1. $\dim q \leq 2^{m+1}$:

By (4.1), $2^{\deg q} > \dim \varphi > 2^{m-1}$. So $\deg q \geq m$ and $N(q) \leq 2^{m+1} - 2^m = 2^m < 2 \dim \varphi$. This implies $q \in GP(F)$ by (4.1). If $\deg q = m$, then φ is

a Pfister neighbor, contrary to our assumption. Thus $\deg q \geq m + 1$. Since $\dim q \leq 2^{m+1}$ we obtain $q \in GP_{m+1}(F)$.

Case 2. $\dim q > 2^{m+1}$:

Set $S_1 = \{\alpha \in X_F \mid \operatorname{sgn}_\alpha q \neq 0\}$ and $S_2 = \{\alpha \in S_1 \mid \operatorname{sgn}_\alpha q > 0\}$. Both S_1 and S_2 are clopen, S_1 is non-empty (as $\dim q > \tilde{u}(F)$) and $S_1 \subset \{\alpha \mid \varphi \text{ is (positive) definite at } \alpha\}$ by (4.3). Thus there is an e_2 such that $e_2 >_\alpha 0$ iff $\alpha \in S_2$, since F is SAP (set $e_2 = -1$ if $S_2 \neq \emptyset$), and a $\rho \in W(F(\varphi)/F) \cap P_{m+1}(F)$ such that ρ is definite at α iff $\alpha \in S_1$, by (4.5).

Set $q_1 = \ker(e_2 q \perp -\rho)$. Let $\alpha \in X_F$. Then:

$$\operatorname{sgn}_\alpha q_1 = \begin{cases} 0, & \text{if } \alpha \notin S_1, \\ -\operatorname{sgn}_\alpha q - \dim \rho, \operatorname{sgn}_\alpha q < 0, & \text{if } \alpha \in S_1 - S_2, \\ \operatorname{sgn}_\alpha q - \dim \rho, \operatorname{sgn}_\alpha q > 0, & \text{if } \alpha \in S_2. \end{cases}$$

Thus for each $\alpha \in X_F$, $2 - \dim \rho \leq \operatorname{sgn}_\alpha q_1 \leq \dim q - \dim \rho$ that is:

$$|\operatorname{sgn}_\alpha q_1| \leq \max\{\dim q - 2^{m+1}, 2^{m+1} - 2\}.$$

Thus, since $\tilde{u}(F) \leq 2^n$,

$$(*) \quad \dim q_1 \leq \max\{\dim q - 2^{m+1}, 2^{m+1} - 2, 2^n\}.$$

Now since $q, \rho \in W(F(\varphi)/F)$, $q_1 \in W(F(\varphi)/F)$. Applying the argument in Case 1 to q_1 (instead of q) we see that $\dim q_1 \geq 2^{m+1} > 2^n$. Hence, the largest term on the right in (*) must be $\dim q - 2^{m+1}$. So $\dim q_1 \leq \dim q - 2^{m+1}$.

Since $q_1 = e_2 q \perp -\rho$, $\dim q_1 \geq \dim q - \dim \rho = \dim q - 2^{m+1}$. So $\dim q_1 = \dim q - 2^{m+1}$, $e_2 q \simeq \rho \perp q_1$ and $q \simeq e_2 \rho \perp e_2 q_1$. Lastly, $e_2 q_1 \in W(F(\varphi)/F)$ and $\dim q_1 < \dim q$, so we are done by induction.

REMARK. Case (ii) of Theorem 4.6 can occur. Consider $\varphi = \langle 1, 1, 1, 1, 1, 7 \rangle$ over $F = \mathbf{Q}$. Since φ is not indefinite, φ is conservative — namely $\langle \langle 1, 1, 1, 1, 1, 7 \rangle \rangle \in W(F(\varphi)/F)$. If φ were a Pfister neighbor of some $\rho \in P(F)$, then since $5\langle 1 \rangle < \varphi < \rho$, $\rho \simeq 8 \cdot \langle 1 \rangle$ ([5, 2.7]). Thus $7 \in D(\langle 1, 1, 1 \rangle)$, a contradiction. Hence φ is a conservative non-Pfister neighbor while $\tilde{u}(F) = 4$ and $\dim \varphi = 6$. However we do have:

PROPOSITION 4.7. *Suppose $\tilde{u}(F) \leq 2^n$ and φ is an anisotropic form over F . Then:*

- (i) *If $\dim \varphi = 2^m + 1$, $m \geq n$, then φ is a Pfister neighbor.*
- (ii) *If $\dim \varphi = 2^m$, $m \geq n$ and φ is not indefinite, then φ is a conjugate neighbor.*

Proof. We may assume $1 \in D(\varphi)$. Only (ii) is new and here $\varphi \perp \langle 1 \rangle$ is anisotropic since φ is not indefinite. Part (i) implies $\varphi \perp \langle 1 \rangle$ is a Pfister neighbor, and hence φ is a conjugate neighbor.

We now consider the forms φ over F with $\tilde{u}(F) \leq 2^n$ and $2^{n-2} < \dim \varphi \leq 2^{n-1}$. This requires two lemmas, the first of which is well-known:

LEMMA 4.8. *If $\tilde{u}(F) \leq 2^n$, then $J_k F = I^k F$ for $k \geq n$.*

Proof. We may assume F is real. Let $s = \text{st}(F)$ be the reduced stability index as defined by Bröcker in [3]. SAP fields have $s = 1$ ([7]) so:

$$J_k F = I^k F + (J_k F)_t$$

for each k by [1, Lemma 2], where $(J_k F)_t$ denotes the torsion part of $J_k F$. Since $k \geq n$, $(J_k F)_t \subset I^k F$ and $J_k F = I^k F$.

LEMMA 4.9. *Suppose $\tilde{u}(F) \leq 2^n$ and φ is an anisotropic form over F with $2^{n-2} < \dim \varphi \leq 2^{n-1}$. Suppose also that there exists a $q \in W(F(\varphi)/F)$ of degree $n - 1$. Then φ is a Pfister neighbor.*

Proof. We may assume q is anisotropic, $1 \in D(q)$ and, by (4.4), that φ is not indefinite. We induct on $\dim q$. If $\dim q \leq 2^n$, then $N(q) \leq 2^n - 2^{n-1} < 2 \dim \varphi$. (4.1) then implies $q \in GP_{n-1}(F)$ and so φ is a Pfister neighbor by the Cassels-Pfister theorem.

Now suppose $\dim q > 2^n$; q is thus not indefinite. Set $S = \{\alpha \in X_F \mid q \text{ is (positive) definite at } \alpha\}$. S is non-empty and clopen in X_F . Using (4.3) and (4.5) we obtain a $\rho \in W(F(\varphi)/F) \cap P_{n+1}(F)$ such that ρ is definite at ρ iff $\alpha \in S$.

For $\alpha \in X_F$:

$$\text{sgn}_\alpha(q \perp -\rho) = \begin{cases} \dim q - 2^{n+1}, & \text{if } \alpha \in S \\ \text{sgn}_\alpha q, & \text{if } \alpha \notin S. \end{cases}$$

If $\dim q \leq 2^{n+1}$, then $|\dim q - 2^{n+1}| \leq 2^n < \dim q$. If $\dim q > 2^{n+1}$, then $|\dim q - 2^{n+1}| < \dim q$. And if $\alpha \notin S$, then $|\text{sgn}_\alpha q_1| < \dim q$ for all $\alpha \in X_F$.

If $\dim q_1 \geq \dim q$, then q_1 is indefinite and of dimension greater than 2^n , which is impossible. So $\dim q_1 < \dim q$. By [13, 6.4], $q = \rho \perp q_1$ implies $\deg q_1 = n - 1$. Thus by induction φ is a Pfister neighbor.

REMARK. Lemma 4.9 says the first inequality of (4.1) can be strengthened to $2 \dim \varphi \leq 2^{\deg q}$ for non-Pfister neighbors φ provided $\dim \varphi > 2^{n-2}$ and $\tilde{u}(F) \leq 2^n$.

THEOREM 4.10. *Suppose $\tilde{u}(F) \leq 2^n$ and φ is a conservative form over F . If $2^{n-2} < \dim \varphi \leq 2^{n-1}$, then either:*

- (i) φ is a Pfister neighbor and $W(F(\varphi)/F)$ is a strong $(n-1)$ -Pfister ideal,
- (ii) φ is not a Pfister neighbor and $W(F(\varphi)/F)$ is a $\{n, n+1\}$ -Pfister ideal.

Proof. (i) is known so we may assume φ is not a Pfister neighbor. Let $0 \neq q \in W(F(\varphi)/F)$ be anisotropic. Then by (4.1), $2^{\deg q} > \dim \varphi > 2^{n-2}$ and so $\deg q \geq n-1$. By (4.9) $\deg q \geq n$, and so $q \in I^n F$ by (4.8). Thus $W(F(\varphi)/F) \subset I^n F$. Since F is n -linked [10, 5.1] implies $W(F(\varphi)/F)$ is a N -Pfister ideal, where $N = \{n, n+1, \dots\}$.

To finish then, we need only show any form in $W(F(\varphi)/F) \cap P_i(F)$, with $i \geq n+2$, is divisible by a form in $W(F(\varphi)/F) \cap P_{n+1}(F)$. Let $\sigma \in W(F(\varphi)/F) \cap P_i(F)$ with $i \geq n+2$. We may assume φ is not indefinite and, in particular, that F is real, by (4.3). We may also assume $1 \in D(\varphi)$. Let $S = \{\alpha \in X_F \mid \varphi \text{ is (positive) definite at } \alpha\}$. S is non-empty and clopen in X_F . There is then a $(n+1)$ -fold Pfister form $\rho \in W(F(\varphi)/F)$ such that ρ is definite at α iff $\alpha \in S$. Using (4.3) we see that for all $\alpha \in X_F$:

$$\operatorname{sgn}_\alpha(\sigma \perp -\rho) = \begin{cases} \operatorname{sgn}_\alpha \sigma - 2^{n+1}, & \text{if } \alpha \in S \\ 0, & \text{if } \alpha \notin S. \end{cases}$$

So $|\operatorname{sgn}_\alpha(\sigma \perp -\rho)| \leq \dim \sigma - \dim \rho$. For all $\alpha \in X_F$. Since $\dim \sigma - \dim \rho > 2^n$, $\dim(\ker(\sigma \perp -\rho)) \leq \dim \sigma - \dim \rho$. Thus $\rho < \sigma$ and $\rho \mid \sigma$ by [5, 2.7].

REMARK. The result of (4.4)(ii) for non-real fields is stronger than the corresponding result (4.10) for real fields, namely for real fields we no longer have that $W(F(\varphi)/F)$ is a strong Pfister ideal. To see why this occurs we observe that $W(F(\varphi)/F)$ is a strong n -Pfister ideal iff there exists a $\rho \in W(F(\varphi)/F) \cap P_n(F)$ such that $\operatorname{sgn}_\alpha \rho = 0$ precisely when φ is indefinite at α . This condition holds trivially if F is non-real (take $\rho = 2^{n-1} \langle 1, -1 \rangle$).

To verify the observation, we first note that by (4.2) and [10, 3.1], $W(F(\varphi)/F)$ is a strong n -Pfister ideal iff for each $\sigma \in W(F(\varphi)/F) \cap P_{n+1}(F)$ there exists a $\rho \in W(F(\varphi)/F) \cap P_n(F)$ such that $\rho \mid \sigma$. Suppose $W(F(\varphi)/F)$ is a strong n -Pfister ideal. Then, since F is SAP, we may find a $\sigma \in W(F(\varphi)/F) \cap P_{n+1}(F)$ such that σ is definite at α iff φ is. Let $\rho \in W(F(\varphi)/F) \cap P_n(F)$ be such that $\rho \mid \sigma$. Then $\operatorname{sgn}_\alpha \rho = 0$ iff φ is

indefinite at α . On the other hand, suppose we have such a $\rho \in W(F(\varphi)/F) \cap P_n(F)$ and let $\sigma \in W(F(\varphi)/F) \cap P_{n+1}(F)$. By (4.3),

$$\{\alpha \in X_F \mid \operatorname{sgn}_\alpha \rho = 0\} \subset \{\alpha \in X_F \mid \operatorname{sgn}_\alpha \sigma = 0\},$$

so $|\operatorname{sgn}_\alpha(\sigma \perp -\rho)| \leq 2^n$ for each $\alpha \in X_F$ and $\rho \mid \sigma$ ([5, 2.7]). Thus $W(F(\varphi)/F)$ is a strong n Pfister ideal.

COROLLARY 4.11. *If $\tilde{u}(F) \leq 8$, then $W(F(\varphi)/F)$ is a strong k -Pfister ideal, for some k , for every conservative φ over F . In particular, this holds for C_3 fields, global fields and fields of transcendence degree ≤ 1 over \mathbf{R} .*

Proof. The first statement follows from (1.5) and (4.6). For the second statement see [4].

Lastly we can improve (3.3).

COROLLARY 4.12. *Let $\tilde{u}(F) \leq 32$ and φ a conservative form over F which is not a Pfister neighbor. Then $W(F(\varphi)/F)$ is a:*

- | | | |
|-----|------------------------------|---|
| (1) | 3-Pfister ideal | if $\dim \varphi = 4$ |
| (2) | 4-Pfister ideal | if $\dim \varphi = 5$ |
| (3) | $\{4, 5\}$ -Pfister ideal | if $\dim \varphi = 6$ |
| (4) | $\{4, 5, 6\}$ -Pfister ideal | if $\dim \varphi = 7$ or 8 |
| (5) | $\{5, 6\}$ -Pfister ideal | if $9 \leq \dim \varphi \leq 16$ |
| (6) | $(n + 2)$ -Pfister ideal | if $2^n < \dim \varphi \leq 2^{n+1}$, $n \geq 4$. |

Proof. All but (4) have been done previously, so assume $\dim \varphi = 7$ or 8 . The proof of (3.3) shows $W(F(\varphi)/F)$ is a $\{4, 5, \dots\}$ -Pfister ideal, while the second paragraph of the proof of (4.10) shows $W(F(\varphi)/F)$ is a $\{4, 5, 6\}$ -Pfister ideal.

Acknowledgement. The author would like to thank the referee for many suggestions improving the readability of the paper.

REFERENCES

- [1] J. K. Arason and M. Kneubusch, *Über die Grade quadratischer Formen*, Math. Ann., **234** (1978), 167–192.
- [2] J. K. Arason and A. Pfister, *Beweis des Krullschen Durchschnittsatzes für den Witttring*, Invent. Math., **12** (1971), 173–176.
- [3] L. Bröcker, *Zur Theorie der quadratischen Formen über formal reellen Körpern*, Math. Ann., **210** (1974), 233–256.

- [4] R. Elman, *Quadratic forms and the u-invariant*, III Proceedings of quadratic form conference, 1976, Orzech, G. (Ed.); Queen's papers in pure and applied mathematics, Vol. **46**, pp. 422–444, Queen's University, Kingston, Ontario, Canada, 1977.
- [5] R. Elman and T. Y. Lam, *Pfister forms and K-theory of fields*, J. Algebra, **23** (1972), 181–213.
- [6] ———, *Quadratic forms over formally real fields and pythagorean fields*, Amer. J. Math., **94** (1972), 1155–1194.
- [7] R. Elman, T. Y. Lam and A. Prestel, *On some Hasse principles over formally real fields*, Math. Zeit., **134** (1973), 291–301.
- [8] R. Elman, T. Y. Lam and A. Wadsworth, *Function fields of Pfister forms*, Invent. Math., **51** (1979), 61–75.
- [9] ———, *Orderings under field extensions*, J. Reine Angew. Math., **306** (1979), 7–27.
- [10] ———, *Pfister ideals in Witt rings*, Math. Ann., **245** (1979), 219–245.
- [11] R. Fitzgerald, *Function fields of quadratic forms*, Math. Zeit., **178** (1981), 63–76.
- [12] E. Gentile and D. Shapiro, *Conservative quadratic forms*, Math. Zeit., **163** (1978), 15–23.
- [13] M. Knebusch, *Generic splitting of quadratic forms*, I, Proc. London Math. Soc., **33** (1976), 65–93; II. the same **34** (1977), 1–31.
- [14] M. Knebusch, A. Rosenberg and R. Ware, *Structure of Witt rings, quotients of abelian group rings, and orderings of fields*, Bull. Amer. Math. Soc., **77** (1971), 205–210.
- [15] T. Y. Lam, *The algebraic theory of Quadratic Forms*, W. A. Benjamin, Reading, Mass. 1973.
- [16] ———, *Ten lectures on quadratic forms over fields*, Proceedings of quadratic forms conference, 1976, Orzech, G. (Ed.) Queen's papers in pure and applied mathematics, Vol. **46**, pp. 1–102. Queen's University, Kingston, Ontario, Canada 1977.

Received January 28, 1982.

DARTMOUTH COLLEGE
HANOVER, NH 03755

PACIFIC JOURNAL OF MATHEMATICS

EDITORS

DONALD BABBITT (Managing Editor)
University of California
Los Angeles, CA 90024

HUGO ROSSI
University of Utah
Salt Lake City, UT 84112

C. C. MOORE and ARTHUR OGUS
University of California
Berkeley, CA 94720

J. DUGUNDJI
Department of Mathematics
University of Southern California
Los Angeles, CA 90089-1113

R. FINN and H. SAMELSON
Stanford University
Stanford, CA 94305

ASSOCIATE EDITORS

R. ARENS

E. F. BECKENBACH
(1906–1982)

B. H. NEUMANN

F. WOLF

K. YOSHIDA

SUPPORTING INSTITUTIONS

UNIVERSITY OF ARIZONA
UNIVERSITY OF BRITISH COLUMBIA
CALIFORNIA INSTITUTE OF TECHNOLOGY
UNIVERSITY OF CALIFORNIA
MONTANA STATE UNIVERSITY
UNIVERSITY OF NEVADA, RENO
NEW MEXICO STATE UNIVERSITY
OREGON STATE UNIVERSITY

UNIVERSITY OF OREGON
UNIVERSITY OF SOUTHERN CALIFORNIA
STANFORD UNIVERSITY
UNIVERSITY OF HAWAII
UNIVERSITY OF TOKYO
UNIVERSITY OF UTAH
WASHINGTON STATE UNIVERSITY
UNIVERSITY OF WASHINGTON

Donald George Babbitt and V. S. Varadarajan , Formal reduction theory of meromorphic differential equations: a group theoretic view	1
Jo-Ann Deborah Cohen , Norms on $F(X)$	81
Robert Fitzgerald , Witt kernels of function field extensions	89
Hervé Jacquet and Joseph Andrew Shalika , The Whittaker models of induced representations	107
Masakiti Kinukawa , Some generalizations of contraction theorems for Fourier series	121
Joseph Weston Kitchen, Jr. and David A. Robbins , Sectional representations of Banach modules	135
Victor Charles Pestien, Jr. , Weak approximation of strategies in measurable gambling	157
Richard Scott Pierce and Charles Irvin Vinsonhaler , Realizing central division algebras	165
Walter Ricardo Ferrer Santos , Cohomology of comodules	179
Marko Tadić , Harmonic analysis of spherical functions on reductive groups over p -adic fields	215
Lorenzo Traldi , The determinantal ideals of link modules. II	237
Alain J. Valette , A remark on the Kasparov groups $\text{Ext}^i(A, B)$	247