

# Pacific Journal of Mathematics

**CLOPEN REALCOMPACTIFICATION OF A MAPPING**

TAKESI ISIWATA

# CLOPEN REALCOMPACTIFICATION OF A MAPPING

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**In this note, we give a necessary and sufficient condition on  $\varphi: X \rightarrow Y$  for  $\nu\varphi$  to be an open perfect mapping of  $\nu X$  onto  $\nu Y$  and other related results.**

Throughout this paper, by a space we mean a completely regular Hausdorff space and mappings are continuous and we assume familiarity with [1] whose notation and terminology will be used throughout. We denote by  $\varphi: X \rightarrow Y$  a map of  $X$  onto  $Y$ , by  $\beta X$  ( $\nu X$ ) the Stone-Čech compactification (Hewitt realcompactification) of  $X$  and by  $\beta\varphi$  ( $\nu\varphi = (\beta\varphi)|_{\nu X}$ ) the Stone extension (realcompactification) over  $\beta X$  ( $\nu X$ ) of  $\varphi$ .

Concerning clopenness of  $\nu\varphi$  of a clopen map  $\varphi: X \rightarrow Y$  the following results are known.

**THEOREM A (Ishii [4]).** *If  $\varphi: X \rightarrow Y$  is an open quasi-perfect map, then  $\nu\varphi$  is an open perfect map of  $\nu X$  onto  $\nu Y$ .*

**THEOREM B (Morita [8]).** *If  $\varphi: X \rightarrow Y$  is a clopen map such that the boundary of each fiber is relatively pseudocompact, then  $\nu\varphi$  is also a clopen map of  $\nu X$  onto  $\nu Y$ .*

In §2, concerning Theorem A we give a necessary and sufficient condition on  $\varphi$  for  $\nu\varphi$  to be an open perfect map of  $\nu X$  onto  $\nu Y$  without using the theory of hyper-spaces (Theorem 2.3 below) and a necessary and sufficient condition on  $\varphi$  for  $\nu\varphi$  to be an open  $RC$ -preserving map of  $\nu X$  onto  $\nu Y$  under some condition (Theorem 2.6 below).

We use the following notation and abbreviation:  $C(X)$  is the set of real-valued continuous functions defined on  $X$ ,  $C(X; \varphi) = \{f \in C(X); f \text{ is } \varphi\text{-bounded}\}$ ,  $\text{Bd } A$  = the boundary of  $A$ ,  $\text{usc}$  = upper semicontinuous,  $\text{lsc}$  = lower semicontinuous and  $\omega$  ( $\omega_1$ ) = the first infinite (uncountable) ordinal, clopen = closed and open.

## 1. Definitions and Lemmas.

**1.1. DEFINITION.** Let  $\varphi: X \rightarrow Y$ .  $f \in C(X)$  is said to be  $\varphi$ -bounded if  $\sup\{|f(x)|; x \in \varphi^{-1}(y)\} < \infty$  for every  $y \in Y$ . Whenever  $f$  is  $\varphi$ -bounded,

we put

$$f^s(y) = \sup\{f(x); x \in \varphi^{-1}(y)\} \quad \text{and}$$

$$f^i(y) = \inf\{f(x); x \in \varphi^{-1}(y)\} \quad \text{for each } y \in Y.$$

A subset  $A$  of  $X$  is *relatively pseudocompact* if  $f|_A$  is bounded for each  $f \in C(X)$ .  $\varphi: X \rightarrow Y$  is said to be

(1) *WZ* if  $\text{cl}_{\beta X} \varphi^{-1}y = (\beta\varphi)^{-1}y$  for each  $y \in Y$  [5].

(2)  $W_rN$  if  $\text{cl}_{\beta X} \varphi^{-1}R = (\beta\varphi)^{-1}(\text{cl}_{\beta Y} R)$  for every regular closed set  $R$  of  $Y$  [3].

(3) *\*-open* ( $W^*$ -open) if  $\text{int}(\text{cl } \varphi U) \supset \varphi U$  ( $\text{int}(\text{cl } \varphi U) \neq \emptyset$ ) for every open set  $U$  of  $X$  [2, 7].

(4)  $\beta$ -open if  $\varphi$  is *\*-open* and  $W_rN$ .

(5) a  $d^*$ -map if  $\bigcap \text{cl } \varphi Z_n = \emptyset$  for any decreasing sequence  $\{Z_n\}$  of zero sets of  $X$  with empty intersection [6].

(6) *RC-preserving* (an *RC-map*) if  $\varphi R$  is regular closed(closed) for every regular closed set  $R$  of  $X$  [2].

We note that (1) a closed map is a  $Z$ -map and a  $Z$ -map is *WZ* [5], (2) an open map is *\*-open* and a *\*-open* map is  $W^*$ -open [7], (3) a space  $Y$  is  $\text{cb}^*$  iff any  $d^*$ -map onto  $Y$  is hyper-real, i.e.,  $\nu\varphi$  is a perfect map onto  $\nu Y$  [6], (4) an *RC-preserving* map is *RC* and (5) an open *WZ*-map is  $\beta$ -open by 1.2 (1, 5) below. Thus it is easy to see that if  $\varphi$  is  $\beta$ -open, then  $(\beta\varphi)|_Z: Z \rightarrow (\beta\varphi)Z$  is  $\beta$ -open for each  $Z$  with  $X \subset Z \subset \beta X$ .  $Y \supset B$  is said to be  $\varphi$ - $d^*$  if  $(\beta\varphi)^{-1}B \subset \nu X$ . By 1.2(4) below,  $\varphi$  is a  $d^*$ -map iff  $Y$  is  $\varphi$ - $d^*$ .

LEMMA 1.2. Let  $\varphi: X \rightarrow Y$ .

(1) If  $\varphi$  is *WZ*, then  $\varphi$  is open iff  $\beta\varphi$  is open [5].

(2) if  $\varphi$  is open (*WZ*), then  $f^i$  is *lsc* (*usc*) and  $f^s$  is *lsc* (*usc*) for every  $f \in C(X; \varphi)$  (for example, see [5]).

(3) If  $\varphi$  is open *WZ*, then  $f^i$  and  $f^s \in C(Y)$  for every  $f \in C(X; \varphi)$  [5].

(4)  $\varphi$  is a  $d^*$ -map iff  $(\beta\varphi)^{-1}Y \subset \nu X$  [6].

(5)  $\varphi$  is  $\beta$ -open iff  $\beta\varphi$  is open [7].

(6) If  $\varphi$  is an *RC-map*, then  $\varphi$  is *WZ* [3].

(7)  $\varphi$  is *RC-preserving* iff  $\varphi$  is a  $W^*$ -open *RC-map* [2].

## 2. Main Theorems.

LEMMA 2.1. Let  $\varphi: X \rightarrow Y$ . Then the following are equivalent:

(1)  $\varphi$  is *WZ* (open).

(2)  $f^i$  is *lsc* (*usc*) for every  $f \in C(X; \varphi)$

(3)  $f^s$  is *usc* (*lsc*) for every  $f \in C(X; \varphi)$ .

*Proof.* (2)  $\Leftrightarrow$  (3) is evident. (1)  $\Rightarrow$  (2). From 1.2(2).

We will prove (2)  $\Rightarrow$  (1). Suppose that  $\varphi$  is not  $WZ$ . Then there are  $y \in Y$  and  $p \in \beta X$  with  $p \in (\beta\varphi)^{-1}y - \text{cl}_{\beta X}\varphi^{-1}y$ . Since  $p \notin \text{cl}_{\beta X}\varphi^{-1}y$ , there is  $g \in C(\beta X)$  such that  $p \in \text{int}_{\beta X}Z(g)$  and  $g = 1$  on  $\text{cl}_{\beta X}\varphi^{-1}y$ . Let us put  $f = g|X$ . Then  $f \in C(X)$ ,  $f^i(y) = 1$ ,  $A = Z(f) \neq \emptyset$  and  $p \in \text{cl}_{\beta X}A$ . On the other hand,  $\text{cl}_{\beta Y}\varphi A = \text{cl}_{\beta Y}(\beta\varphi)A = (\beta\varphi)\text{cl}_{\beta X}A \ni (\beta\varphi)p = y$ . This shows  $y \in \text{cl}\varphi A$  and hence for each neighborhood  $V$  of  $y$ , there is  $z \in V$  with  $f^i(z) = 0$ , i.e.,  $f^i$  is not lsc.

Now suppose that  $\varphi$  is not open. Then there are a point  $x$  and an open set  $U \ni x$  such that  $V - \varphi U \neq \emptyset$  for every open set  $V \ni y = \varphi(x)$ . Let  $f \in C(X; \varphi)$  such that  $x \in \text{int}Z(f) \subset U$  and  $f = 1$  on  $X - U$ . Obviously  $f^i(y) = 0$  and  $f^i = 1$  on  $V - \varphi U$ . This shows that  $f^i$  is not usc.

Using 2.1, it is easy to see the following:

**THEOREM 2.2.**  $\varphi: X \rightarrow Y$  is open  $WZ$  iff  $f^i$  and  $f^s \in C(Y)$  for every  $f \in C(X; \varphi)$  equivalently,

$$C(Y) = \{f^i; f \in C(X; \varphi)\} = \{f^s; f \in C(X; \varphi)\}.$$

**THEOREM 2.3.**  $\varphi: X \rightarrow Y$  is a  $\beta$ -open  $d^*$ -map iff  $\nu\varphi$  is an open perfect map of  $\nu X$  onto  $\nu Y$ .

*Proof.*  $\Leftarrow$ ) From 1.2(1, 4, 5) and  $(\beta\varphi)^{-1}Y \subset (\beta\varphi)^{-1}\nu Y = \nu X$ .  $\Rightarrow$ ) By 1.2(5),  $\beta\varphi$  is open. We will prove that  $\nu\varphi$  is a perfect map onto  $\nu Y$ . To do this, it suffices to show that  $(\beta\varphi)p = q \in \beta Y - \nu Y$  for every  $p \in \beta X - \nu X$ . Let  $p \in \beta X - \nu X$ . Then there is  $f \in C(\beta X)$  with  $p \in Z(f) \subset \beta X - \nu X$ .  $\beta\varphi$  being open  $WZ$  by 1.2(5), it follows from 2.2 that  $f^i \in C(\beta Y)$ ,  $f^i(q) = 0$  and  $f^i > 0$  on  $Y$ . This shows  $q \in \beta Y - \nu Y$ , so  $\nu\varphi$  is a perfect map onto  $\nu Y$ . Since  $\beta(\nu\varphi) = \beta\varphi$  and  $\beta\varphi$  is open,  $\nu\varphi$  is open by 1.2(1). Thus  $\nu\varphi$  is an open perfect map of  $\nu X$  onto  $\nu Y$ .

**2.4. EXAMPLE.** Let  $X = [0, \omega_1]^2 - \{(\omega_1, \alpha); \omega \leq \alpha \leq \omega_1\}$ ,  $Y = [0, \omega_1]$  and  $\varphi$  the projection of  $X$  onto  $Y$ . It is obvious that  $\varphi$  is not  $WZ$  and hence not closed and  $\varphi^{-1}(\omega_1)$  is not compact. On the other hand  $\beta\varphi: \beta X = \nu X = [0, \omega_1]^2 \rightarrow Y = \nu Y = \beta Y$  is open perfect (compare with the assumption of Theorem A).

**2.5. LEMMA.** If  $\varphi: X \rightarrow Y$  is a  $*$ -open  $RC$ -map, then  $\varphi$  is open.

*Proof.* Let  $U$  be open in  $X$  and  $x \in U$ . Take a regular closed set  $R$  with  $x \in \text{int}R \subset R \subset U$ . Since  $\varphi$  is a  $*$ -open  $RC$ -map, we have  $y = \varphi(x) \in \text{int}(\text{cl}\varphi(\text{int}R)) \subset \varphi R \subset \varphi U$ , so  $y \in \text{int}\varphi U$ . Thus  $\varphi$  is open.

In the following we put

$$Y_d = \{y \in Y; \varphi^{-1}y \text{ is open but not relatively pseudocompact}\},$$

$$Y_e = X - Y_d.$$

**THEOREM 2.6.**  $\varphi: X \rightarrow Y$  is a  $\beta$ -open map such that  $Y_e$  is  $\varphi$ - $d^*$  iff  $\nu\varphi$  is an open RC-preserving map of  $\nu X$  onto  $\nu Y$  such that  $\text{cl}_{\nu Y} Y_e$  is  $(\nu\varphi)$ - $d^*$ .

*Proof.*  $\Leftarrow$ ) Since  $\nu\varphi$  is open WZ by 1.2(6, 7),  $\beta\varphi$  is open by 1.2(1) and  $\varphi$  is a  $\beta$ -open map by 1.2(5). The fact that  $\text{cl}_{\nu Y} Y_e$  is  $(\nu\varphi)$ - $d^*$  implies that  $Y_e$  is  $\varphi$ - $d^*$ .

$\Rightarrow$ ) (1) We will first prove that if  $p \in \beta X - \nu X$  and  $(\beta\varphi)p = q \in \nu Y$ , then there is a clopen subset  $D$  of  $Y$  such that  $q \in \text{cl}_{\nu Y} D$ ,  $D \subset Y_d$  and  $\text{cl}_{\nu Y} D \cap \text{cl}_{\nu Y} Y_e = \emptyset$ . There is  $f \in C(\beta X)$  with  $p \in Z(f) \subset \beta X - \nu X$ . By 1.2(5),  $\beta\varphi$  is open. Thus  $f^i \in C(\beta Y)$ . Since  $Y_e$  is  $\varphi$ - $d^*$ ,  $f^i > 0$  on  $Y_e$  and hence  $Z(f^i) \cap Y_e = \emptyset$ . Since  $f^i(q) = 0$ ,  $q \in \nu Y$  and  $Z(f^i)$  is closed.  $D = Z(f^i) \cap Y_d = Z(f^i) \cap Y$  is a non-empty clopen discrete subset of  $Y$  contained in  $Y_d$ .  $\text{Cl}_{\nu Y} D = Z(f^i) \cap \nu Y$  implies  $q \in \text{cl}_{\nu Y} D$  and  $\text{cl}_{\nu Y} D \cap \text{cl}_{\nu Y} Y_e = \emptyset$ .

(2) Let us put  $\mathcal{D} = \{D \subset Y_d; D \text{ is a clopen subset of } Y\}$  and  $\text{cl}_{\nu Y} \mathcal{D} = \bigcup \{\text{cl}_{\nu Y} D; D \in \mathcal{D}\}$ . Then it is easy to see the following

$$\nu Y = \text{cl}_{\nu Y} \mathcal{D} \cup \text{cl}_{\nu Y} Y_e, \quad \text{cl}_{\nu Y} \mathcal{D} \cap \text{cl}_{\nu Y} Y_e = \emptyset$$

and

$$(\beta\varphi)^{-1} \text{cl}_{\nu Y} Y_e \subset \nu X.$$

(3)  $\nu\varphi$  is onto  $\nu Y$ . Let  $q \in \text{cl}_{\nu Y} D$ ,  $D \in \mathcal{D}$ . For each  $y \in D$ , let us pick a point  $p(y)$  from  $\varphi^{-1}y$  and put  $A = \{p(y); y \in D\}$ . Then  $A$  is a discrete closed  $C$ -embedded subset of  $X$ . Thus  $\nu A = \text{cl}_{\nu X} A$  is homeomorphic to  $\text{cl}_{\nu Y} D$  under the map  $\nu\varphi$ . Thus we have  $\nu\varphi(\nu X) = \nu Y$ .

(4)  $\nu\varphi$  is an RC-map. Let  $F$  be regular closed in  $\nu X$  and  $E = (\nu\varphi)F$ . Suppose that there is  $q \in \text{cl}_{\nu Y} E - E$ . By (2) and the clopenness of  $\varphi^{-1}y$ ,  $y \in Y_d$ , we have  $q \notin Y_d \cup \text{cl}_{\nu Y} Y_e$ . Thus there is  $D \in \mathcal{D}$  with  $q \in \text{cl}_{\nu Y} D$  and  $\text{cl}_{\nu Y} D \cap \text{cl}_{\nu Y} Y_e = \emptyset$  by (2). Since  $\beta\varphi$  is open by 1.2(5),  $\nu\varphi$  is also  $*$ -open and we have that  $E \supset (\nu\varphi)\text{int}_{\nu X} F$  is dense in  $\text{cl}_{\nu Y} E$  because  $F$  is regular closed. Let  $M = E \cap D \cap Y_d$ . Then  $q \in \text{cl}_{\nu Y} M$ . Let us pick a point  $p(y)$  from  $\varphi^{-1}(y) \cap F$ ,  $y \in M$ .  $A = \{p(y); y \in M\}$  is a discrete closed  $C$ -embedded subset of  $X$  and hence  $\nu A = \text{cl}_{\nu X} A \subset F$  and  $\nu A$  is homeomorphic to  $\nu M = \text{cl}_{\nu Y} M$ , so  $q \in E$  a contradiction.

(5)  $\nu\varphi$  is open RC-preserving. Since  $\nu\varphi$  is an RC-map,  $\nu\varphi$  is WZ by 1.2(6). Thus the openness of  $\beta\varphi$  implies that  $\nu\varphi$  is open by 1.2(1) and RC-preserving by 1.2(7).

As a direct consequence of the above theorem, we have the following corollary which is a generalization of the result obtained in [5] if  $X$  is realcompact and  $\varphi: X \rightarrow Y$  is an open  $WZ$  map with  $\text{Bd } \varphi^{-1}y = \text{compact}$  for each  $y \in Y$ , then  $Y$  is also realcompact.

**COROLLARY 2.7.** *If  $X$  is realcompact and  $\varphi: X \rightarrow Y$  is a  $\beta$ -open map such that  $Y_e$  is  $\varphi$ - $d^*$ , then  $Y$  is also realcompact.*

**THEOREM 2.8.** *Let  $\varphi: X \rightarrow Y$  and  $Z = (\beta\varphi)^{-1}Y_d \cup \nu X$ . Then the following are equivalent:*

- (1)  $Z$  is a realcompact and  $\varphi$  is a  $\beta$ -open map such that  $Y_e$  is  $\varphi$ - $d^*$ .
- (2)  $\varphi' = (\beta\varphi)|_Z$  is an open perfect map of  $Z$  onto  $\nu Y$ .
- (3)  $\nu\varphi$  is a clopen map of  $\nu X$  onto  $\nu Y$  such that  $\text{Bd}(\nu\varphi)^{-1}q$  is compact for every  $q \in \nu Y$ .
- (4)  $\nu\varphi$  is a clopen map of  $\nu X$  onto  $\nu Y$  such that  $(\nu Y)_e$  is  $(\nu\varphi)$ - $d^*$ .

*Proof.* (1)  $\Rightarrow$  (2) If  $Z = \beta X$ , then  $\varphi' = \beta\varphi$  and  $\varphi'$  is an open perfect map onto  $\nu Y$ . Let  $p \in \beta X - Z$  and  $q = (\beta\varphi)p$ . Then  $Z = \nu Z$ ,  $\beta Z = \beta X$  and there is  $f \in C(\beta X)$  such that  $p \in Z(f) \subset \beta X - Z$  and  $0 \leq f \leq 1$ . Since  $\beta\varphi$  is open  $WZ$  and  $Y_e$  is  $\varphi$ - $d^*$ , it is easy to see that  $f^i \in C(\beta Y)$ ,  $f^i(q) = 0$  and  $f^i > 0$  on  $Y$ . Thus  $q \in \beta Y - \nu Y$ , so  $\varphi'$  is a perfect map onto  $\nu Y$ . The openness of  $\varphi'$  follows from 1.2(1, 5).

(2)  $\Rightarrow$  (3) We shall show that  $\nu\varphi$  is closed. Let  $F$  be closed in  $\nu X$  and  $q \in \text{cl}_{\nu Y}(\nu\varphi)F - (\nu\varphi)F$ . Since  $\varphi'$  is perfect and every point of  $Y_d$  is isolated, we have  $q \notin Y_d$ , so  $(\beta\varphi)^{-1}q = (\nu\varphi)^{-1}q$  is disjoint from  $\text{cl}_Z F$ , and hence  $q \notin \varphi'(\text{cl}_Z F)$ , a contradiction. Thus  $\nu\varphi$  is closed. The verifications of other parts are easy. (3)  $\Rightarrow$  (4) Evident.

(4)  $\Rightarrow$  (1) Since  $\nu\varphi$  is clopen,  $\beta(\nu\varphi) = \beta\varphi$  is open by 1.2(1) and hence  $\varphi$  is  $\beta$ -open by 1.2(5). Since  $\nu Y = (\nu Y)_e \cup Y_d$ , the  $(\nu\varphi)$ - $d^*$ -ness of  $(\nu Y)_e = \nu Y - Y_d$  implies the  $\varphi$ - $d^*$ -ness of  $Y_e$ . Since  $Y_d = (\nu Y)_d$  and  $(\nu Y)_e$  is  $(\nu\varphi)$ - $d^*$ , we have  $Z = (\beta\varphi)^{-1}\nu Y$ , and hence  $\varphi': Z \rightarrow \nu Y$  is an open perfect map which shows that  $Z$  is realcompact.

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