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***p*-ADIC INTEGRAL TRANSFORMS ON COMPACT
SUBGROUPS OF C_p**

NEAL I. KOBLITZ

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Let p be a fixed prime, and let C_p denote the p -adic completion of the algebraic closure of \mathbf{Q}_p . For d a fixed positive integer prime to p , set $X = X_d = \lim_{\leftarrow N} \mathbf{Z}/dp^N \mathbf{Z}$. For example, $X_1 = \mathbf{Z}_p$. We shall first discuss the "inverse Mellin" integral transform $f_\mu(\rho) = \int_X \rho(x) d\mu(x)$ for ρ a C_p -valued bounded measure on X . We then discuss a second type of p -adic integral transform, which to a continuous function $f(x)$ on X associates the analytic function whose Taylor expansion coefficients are $f(n)$. Thirdly, for σ a compact subset of C_p the p -adic Stieltjes transform $\varphi(z) = \int_\sigma (z - x)^{-1} d\mu(x)$ was shown by Barsky and Vishik to give a correspondence between measures μ on σ and a certain class of analytic functions φ on the complement of σ . We shall show that when σ is a compact subgroup of C_p , the Stieltjes transform is closely related to the first two transforms. Some examples and arithmetic applications will also be discussed.

1. Let p , C_p and $X = X_d$ be as above. The p -adic absolute value in C_p is normalized so that $|p|_p = 1/p$. For $u \in C_p$ with $|u|_p = 1$, let \bar{u} denote its residue in $F_p^{\text{alg cl}}$, and let $\omega(u)$ be the Teichmüller representative of u , i.e., the unique root of unity of order prime to p with the same residue in $F_p^{\text{alg cl}}$. Set $\langle u \rangle = u/\omega(u)$. The ring X is isomorphic to the product of rings $\mathbf{Z}/d\mathbf{Z}$ and \mathbf{Z}_p under the two projections π_1 and π_2 , where for $x \in X$ we set $\pi_1(x) = \text{the image of } x \text{ modulo } d$ and $\pi_2(x) = \text{the limit of the image of } x \text{ modulo } p^N$ ("forget mod d information"). Let $a + dp^N \mathbf{Z}_p$ denote the set of $x \in X$ for which $x \equiv a \pmod{dp^N}$. Let $X^m = X_d \times \mathbf{Z}_p^{m-1}$ denote the product of X with $m - 1$ copies of \mathbf{Z}_p .

A function $f(n)$ mapping the nonnegative integers to C_p extends to a continuous function on X if and only if for every $\varepsilon > 0$ we have $|f(n_1) - f(n_2)|_p < \varepsilon$ whenever $n_1 \equiv n_2 \pmod{dp^N}$ for N sufficiently large. In particular, for $u \in C_p$ the function $f(n) = u^n$ extends to X if and only if $|u^d - 1|_p < 1$. In that case $u^x = \omega(u)^{\pi_1(x)} \langle u \rangle^{\pi_2(x)}$.

Let $U_1 \subset C_p$ denote the open unit disc about 1, and let $U_d = \{u \in C_p \mid |u^d - 1|_p < 1\}$ denote the union of the open unit discs around the d th roots of unity. Let $U^m = U_d \times U_1^{m-1}$. We say that a set $\{u_1, u_2, \dots, u_m\} \in U^m$ is (multiplicatively) X^m -independent if the relation $u_1^{x_1} u_2^{x_2} \dots u_m^{x_m} = 1$ for $x = (x_1, \dots, x_m) \in X^m$ implies $x = 0$. By replacing u_j by $u_j^{dp^N}$ for

some large N , one sees that a set is multiplicatively X^m -independent if and only if its p -adic logarithms are \mathbb{Q}_p -linearly independent.

Let σ be a compact subset of $\mathbb{C}_p^* = \mathbb{C}_p - \{0\}$. Suppose that σ is a subgroup of \mathbb{C}_p^* . Then clearly $\sigma \subset U_d$ for some d . Choose d to be minimal with $\sigma \subset U_d$. It is not hard to see that there exists a finite X^m -independent set $u = \{u_1, u_2, \dots, u_m\}$ such that $\sigma = \sigma_{\text{tors}, p} u^{X^m}$, where

$$u^{X^m} =_{\text{def}} \{u_1^{x_1} \cdots u_m^{x_m} \mid x_1 \in X, x_j \in \mathbb{Z}_p (j > 1)\}$$

and $\sigma_{\text{tors}, p} \subset \sigma$ is the (finite) subgroup of p th power roots of unity. For some finite N_0 any $u \in \sigma$ can be written uniquely in the form $u = \zeta u_1^{x_1} \cdots u_m^{x_m}$ with $x \in X^m$ and $\zeta^{p^{N_0}} = 1$. We say that σ has no p -torsion if $\sigma_{\text{tors}, p} = \{1\}$.

Let ρ denote a (continuous) one-dimensional representation of X^m in \mathbb{C}_p . The image $\rho(X^m) \subset \mathbb{C}_p^*$ is a compact subgroup; it has no p -torsion if ρ is faithful.

Let $\delta_j \in X^m$ be the m -tuple with 1 in the j th place and 0 everywhere else. Then the map $\rho \mapsto (\rho(\delta_1), \dots, \rho(\delta_m))$ gives a one-to-one correspondence between one-dimensional representations of X^m and U^m . For $u = (u_1, \dots, u_m) \in U^m$, we sometimes let ρ_u denote the representation such that $\rho_u(\delta_j) = u_j$. Note that ρ_u is faithful if and only if u is X^m -independent.

Let μ be a measure on X^m , i.e., a bounded finitely additive map $U \mapsto \mu(U)$ from compact-open subsets $U \subset x^m$ to \mathbb{C}_p .

DEFINITION. If μ denotes a measure on X^m and ρ denotes a representation of X^m in a finite dimensional \mathbb{C}_p -vector space, then the map

$$(1.1) \quad (\mu, \rho) \mapsto f_\mu(\rho) = \int_{X^m} \rho(x) d\mu(x)$$

is called the *p -adic inverse Mellin transform of μ* .

REMARKS. 1. The terminology comes by analogy with the transform $g_f(x) = \int x^s f(s) ds$ which is inverse to the Mellin transform $f(s) = \int x^s g(x) dx/x$. Here the characters of \mathbb{R} are parametrized by x . In addition, this definition generalizes the construction used by Hà Huy Khoái [5] to invert the p -adic Mellin-Mazur transform.

2. If $m = 1$ and ρ is a faithful one-dimensional representation of X_d , then this integral can be viewed as a Mellin-Mazur transform by a change of variables. Namely, we fix the image σ of ρ_1 , and we let ρ vary over representations with image contained in σ . If we set $u_1 = \rho_1(1)$, so that

$\sigma = u_1^{X_d}$, then such ρ are parametrized by $y \in X_d$, that is, $\rho_y = \rho_1^y$: $x \mapsto u_1^{xy}$. Finally, let ν be the measure on σ obtained by pulling back μ : $d\nu(u_1^x) = d\mu(x)$. In this situation

$$(1.2) \quad f_\mu(\rho_1^y) = \int_{X^m} u_1^{xy} d\mu(x) = \int_\sigma x^y d\nu(x) = L_\nu(y),$$

which is the *p*-adic *L*-function corresponding to the measure ν on σ .

THEOREM 1. *The inverse Mellin transform $f_\mu(\rho_u)$ of a measure μ on X^m is a bounded analytic function of $u \in U^m$, and any bounded analytic function on U^m is the inverse Mellin transform of some measure.*

Proof. Clearly the map

$$u = (u_1, \dots, u_m) \mapsto f_\mu(\rho_u) = \int_{X^m} u_1^{x_1} \cdots u_m^{x_m} d\mu(x_1, \dots, x_m)$$

is bounded and analytic. To go the other way, given f we define

$$(1.3) \quad \mu_f(a + dp^N X^m) = \frac{1}{dp^N} \sum_\xi \xi^{-a} f(\xi),$$

where $a + dp^N X^m$ denotes the compact-open subset

$$a_1 + dp^{N_1} \mathbf{Z}_p \times a_2 + p^{N_2} \mathbf{Z}_p \times \cdots \times a_m + p^{N_m} \mathbf{Z}_p \subset X^m;$$

in the notation p^N on the right N denotes $N_1 + \cdots + N_m$; the sum on the right is over all $\xi = (\xi_1, \dots, \xi_m) \in U^m$ for which $\xi_1^{dp^{N_1}} = \xi_2^{p^{N_2}} = \cdots = \xi_m^{p^{N_m}} = 1$; and ξ^{-a} denotes $\prod \xi_j^{-a_j}$. Clearly the mapping μ_f defined by (1.3) on the usual basis of compact-open subsets of X^m extends to an additive function of compact-open subsets; it is not hard to show that μ_f is bounded, using the analyticity and boundedness of f . We claim that $f(u) = \int u^x d\mu(x)$ for any $u \in U^m$. Since $f(u)$ can be approximated by a finite linear combination of monomials in $(\langle u_1 \rangle, u_2, \dots, u_m) \in U_1^m$ multiplied by the characteristic function with respect to u_1 of one of the d unit discs in U_d , it suffices to check the claim in the case when $f(u)$ is such a function. But in this case the desired equality is proved in a standard way, essentially by orthogonality of characters on $\mathbf{Z}/dp^{N_1} \mathbf{Z} \times \mathbf{Z}/p^{N_2} \mathbf{Z} \times \cdots \times \mathbf{Z}/p^{N_m} \mathbf{Z}$. \square

REMARKS. 1. In the case $m = 1$, Hà Huy Khoái proves a more general theorem, namely that the so-called *h*-admissible distributions μ correspond to all functions on U_d which grow more slowly than $(\log_p u)^h$ as u approaches the boundary of U_d . In particular, for $h = 1$ the same construction (1.3) of the measure applies. The point is that, like a bounded

analytic function, an analytic function which grows more slowly than \log_p is determined by its values at the roots of unity ξ .

2. A conjecture of R. Greenberg asserts that for any X^m -independent set $u \in U^m$, a bounded analytic function on U^m (with coefficients in \mathbf{Z}_p) is determined by its values on u^y as y varies over X_d , where u^y denotes $(u_1^y, u_2^{y_2}, \dots, u_m^{y_m})$. Equivalently, the conjecture is that, if ρ is a faithful one-dimensional representation of X^m and if $\int_{X^m} \rho(xy) d\mu(x) = 0$ for $y \in X_d$, then $\mu \equiv 0$.

2. We now let $m = 1$, and consider higher dimensional continuous representations of $X = X_d = \lim_{\leftarrow N} \mathbf{Z}/dp^N \mathbf{Z}$. If ρ_1 is an irreducible representation of X in an n -dimensional \mathbf{C}_p -vector space, then $\rho_1(1)$ has a single eigenvalue v_1 , and $\rho_1(x)$ has eigenvalue v_1^x . Note that $v_1 \in U_d$. For μ a measure on X , let $f_\mu(\rho_1)$ be defined by (1.1), and let ν be the measure on $\sigma = v_1^X$ defined by $d\nu(v_1^x) = d\mu(x)$. Now define $L_\nu(y)$ by the Mellin-Mazur transform: $L_\nu(y) = \int_\sigma x^y d\nu(x)$.

THEOREM 2. *With these assumptions and notation, when $f_\mu(\rho_1) \neq 0$ the order of zero of $L_\nu(y)$ at $y = 1$ is equal to the co-rank of $f_\mu(\rho_1)$.*

Proof. Let $V_1 = \rho_1(1)$, and let $V = CV_1C^{-1}$ be the Jordan normal form. Since ρ_1 is irreducible, it follows that V is a single $n \times n$ Jordan block. Thus, $V = v_1 + \varepsilon$, where $v_1 = v_1J$ is a scalar matrix and ε denotes the matrix with ones just above the main diagonal and zeros elsewhere. Then

$$f_\mu(\rho_1) = \int_X V_1^x d\mu(x) = C^{-1} \int_X (v_1 + \varepsilon)^x d\mu(x) C.$$

Thus, the co-rank of $f_\mu(\rho_1)$ is the same as that of

$$\begin{aligned} \sum_{j=0}^{n-1} \varepsilon^j \int_X \binom{x}{j} v_1^{x-j} d\mu(x) &= \sum_{j=0}^{n-1} \frac{1}{j!} \varepsilon^j \left(\frac{d}{dv} \right)^j \int_X v^x d\mu(x) \Big|_{v=v_1} \\ &= \sum_{j=0}^{n-1} \frac{g^{(j)}(v_1)}{j!} \varepsilon^j, \end{aligned}$$

where $g(v) = \int_X v^x d\mu(x)$. Making the change of variables $v = v_1^y$, we have

$$g(v_1^y) = \int_X v_1^{yx} d\mu(x) = \int_\sigma x^y d\nu(x) = L_\nu(y).$$

Let r be the order of zero of $L_\nu(y)$ at $y = 1$. Then $L_\nu(1) = L'_\nu(1) = \dots = L_\nu^{(r-1)}(1) = 0$, $L_\nu^{(r)}(1) \neq 0$, and so $g(v_1) = g'(v_1) = \dots = g^{(r-1)}(v_1) = 0$,

$g^{(r)}(v_1) \neq 0$. Then $f_\mu(\rho_1)$ has the same co-rank as $\sum_{j=r}^{n-1} g^{(j)}(v_1)/j! \epsilon^j$, where $r < n$, because $f_\mu(\rho_1) \neq 0$. But the latter co-rank is obviously r . \square

3. Let $\bar{U}_d = \{u \in \mathbf{C}_p \mid |u^d - 1|_p \geq 1\}$ denote the complement of U_d , and set $\bar{U}^m = \bar{U}_d \times \bar{U}_1^{m-1}$. For any $z = (z_1, \dots, z_m) \in \bar{U}^m$, let μ_z denote the bounded measure on X^m which is defined on the standard basis of compact-open sets by

$$\mu_z(a + dp^N X^m) = \frac{z^a}{(1 - z_1^{d p^{N_1}})(1 - z_2^{d p^{N_2}}) \cdots (1 - z_m^{d p^{N_m}})},$$

where the notation $a + dp^N X^m$ has the same meaning as in (1.3), except that we agree to take the representatives a_j in the range $0 \leq a_1 < dp^{N_1}$, $0 \leq a_j < p^{N_j}$ ($j > 1$), and z^a denotes $\prod z_j^{a_j}$. (It is easy to check that this μ_z actually extends to a bounded measure on X^m .)

THEOREM 3. *For any continuous function $f: X^m \rightarrow \mathbf{C}_p$, the transform*

$$(3.1) \quad g(z) = \int_{X^m} f(x) d\mu_z(x), \quad z \in \bar{U}^m,$$

has the properties

- (1) $g(z)$ is bounded and Krasner analytic in each z_j on \bar{U}^m ;
- (2) $g(z) \rightarrow 0$ as $|z_j|_p \rightarrow \infty$ for each variable z_j with any fixed values of the remaining variables;
- (3) in the open unit polydisc $|z_j|_p < 1$, $g(z)$ has the expansion $\sum f(n)z^n$, where $n = (n_1, \dots, n_m)$ runs through all m -tuples of nonnegative integers;
- (4) for $|z_j|_p > 1$, $j = 1, \dots, m$, $g(z)$ has the expansion $-\sum f(-n)z^{-n}$, where n runs through all m -tuples of positive integers.

Conversely, if g is any function satisfying (1) and (2), and if $g(z) = \sum a_n z^n$ is its expansion in the open unit polydisc, then the sequence $f(n) = a_n$ extends to a continuous function on X^m , and we have (3.1) and also property (4).

Proof. This is essentially a theorem of Amice and Vélú [1] when $m = 1$ (see the Appendix to [8] for a treatment using the measure μ_z), and the general case is handled in the same way. \square

EXAMPLES. 1. For fixed $u \in U^m$, the transform of the representation ρ_u (in the notation of §1) is simply $g(z) = \int_{X^m} u^x d\mu_z(x) = \prod_j (1 - u_j z_j)^{-1}$.

2. Let $m = 1$. According to results of Katz [4], a p -adic modular form F of weight zero (and level 1) can be written as a function of the j -invariant which is Krasner analytic outside of small discs around the

supersingular points. Let $\{\bar{s}_i\} \subset F_p^{\text{alg cl}}$ be the residues of all supersingular values of j . It is known that in fact $\{\bar{s}_i\} \subset F_{p^2}$ (for a table of \bar{s}_i for $p \leq 307$, see [10]). Suppose that $j = 0$ is *not* supersingular, i.e., $p \equiv 1 \pmod 6$. Let F_∞ be the value at the cusp. Then $F - F_\infty = g(j)$ satisfies properties (1) and (2) of Theorem 3, with j playing the role of the variable z . Here d is some divisor of $p^2 - 1$, since $\bar{s}_i^{p^2-1} = 1$ for each i . Thus, if $F(j) = F_\infty + \sum_{n=0}^\infty a_n j^n$ for $|j|_p < 1$, the coefficients $f(n) = a_n$ extend to a continuous function on X_d , and

$$F(j) = F_\infty + \int_{X_d} f(x) d\mu_j(x), \quad j \in \bar{U}_d.$$

In addition,

$$F(j) = F_\infty - \sum_{n=1}^\infty f(-n) j^{-n} \quad \text{for } |j|_p > 1.$$

Hence, we have congruences for the j - and $1/j$ -expansion coefficients which generalize those in Ashworth [2] and Koblitz [6].

4. We now discuss a third type of integral transform. Let $\rho: X^m \rightarrow U_d$ be a one-dimensional continuous representation, as in §1, and let ρ_j denote the j th component, i.e., $\rho_j(x_1, \dots, x_m) = \rho(0, \dots, 0, x_j, 0, \dots, 0)$. Let μ be a bounded measure on X^m . For $z \in \mathbf{C}_p^m$ with z_j in the complement of the image of ρ_j , in particular for $z \in \bar{U}^m$, we define the Stieltjes transform of ρ and μ as follows:

$$(4.1) \quad \psi_{\rho, \mu}(z) = \int_{X^m} \frac{d\mu(x)}{\prod_{j=1}^m (1 - z_j \rho_j(x))}.$$

The next theorem gives a relation between the three transforms in §§1, 3 and 4.

THEOREM 4. *Let μ be a measure on X^m , and let ρ be a one-dimensional representation of X^m in \mathbf{C}_p^* . Let $f_\mu(\rho)$ be the inverse Mellin transform defined by (1.1). For $y \in X_d$, let ρ^y denote the representation $\rho^y(x) = \rho(xy) = \rho(x_1 y, x_2 \pi_2(y), \dots, x_m \pi_2(y))$. If the transform (3.1) associated to the measure μ_z for $z \in \bar{U}^m$ is applied to the function $y \mapsto f_\mu(\rho^y)$, then the result is the Stieltjes transform $\psi_{\rho, \mu}(z)$.*

Proof.

$$\begin{aligned} \int_{X^m} f_\mu(\rho^y) d\mu_z(y) &= \int_{X^m} \int_{X^m} \rho^y(x) d\mu(x) d\mu_z(y) \\ &= \int_{X^m} \int_{X^m} \rho^y(x) d\mu_z(y) d\mu(x). \end{aligned}$$

But

$$\int_{X^m} \rho(xy) d\mu_z(y) = \prod_j \int \rho_j(x)^y d\mu_{z_j}(y) = \prod_j (1 - z_j \rho_j(z))^{-1},$$

and so

$$\int_{X^m} f_\mu(\rho^y) d\mu_z(y) = \int_{X^m} \frac{d\mu(x)}{\prod_j (1 - z_j \rho_j(x))},$$

as claimed. \square

REMARKS. 1. When $m = 1$, our ψ in (4.1) is essentially the transform $\varphi_\nu(z) = \int_\sigma (z - x)^{-1} d\nu(x)$, $z \in \bar{\sigma}$, that is studied in [3], [12] (see also the Appendix to [8]). Namely, $\psi_{\rho_\nu, \mu}(z) = z^{-1} \varphi_\nu(z^{-1})$, where $\nu(u^x) = d\mu(x)$. Barsky and Vishik have shown that any Krasner analytic function on $\bar{\sigma}$ which vanishes at infinity and which grows more slowly than $1/\text{dist}(z, \sigma)$ as $z \rightarrow \sigma$ is of the form $\varphi(z)$. On the other hand, if $\sigma \subset U_d$ and $z \in \bar{U}_d$, then such a function of z can also be written in the form $\int_{X_d} f(x) d\mu_z(x)$, with f the continuous function which interpolates the Taylor expansion coefficients. Theorem 4 says that, because our function of z is actually analytic on $\bar{\sigma}$ (not only on \bar{U}_d) and σ is a compact subgroup of \mathbf{C}_p^* , it follows that f extends to an analytic function on $U_d \supset \sigma = u^{X_d}$ (not just a continuous function on σ) and so is given by the inverse Mellin transform of a measure.

2. Theorem 4 is the p -adic analog of the fact that the classical Stieltjes transform is the square of the Laplace transform $L(f) = \int_0^\infty e^{-xy} f(x) dx$. Compare the proof of Theorem 4 with the relation (in which we think of $e^{-zy} dy$ as $d\mu_z(y)$):

$$L(L(f))(z) = \int_0^\infty \int_0^\infty e^{-xy} f(x) dx (e^{-zy} dy) = \int_0^\infty (z + x)^{-1} f(x) dx.$$

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