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Let X be a general cubic fivefold, JX the associated intermediate Jacobian, F the Fano surface of the planes contained in X. We prove that the Abel-Jacobi map induces an isomorphism from the Albanese variety of F to JX.

**Introduction.** It is a standard fact (see [7] Exp. XI (2.9)) that the only smooth hypersurfaces X in  $\mathbf{P}^{2d+1}$ , d > 0, for which the intermediate Jacobian JX is an abelian variety are the quadrics, the cubic and the quartic threefolds in  $\mathbf{P}^4$ , and the cubic fivefold in  $\mathbf{P}^6$ . For a quadric JX = 0. In [5], Clemens and Griffiths proved that the Abel-Jacobi map

$$(+) a: Alb F \to JX$$

is an isomorphism, where X is the smooth cubic threefold and F is the (smooth) Fano surface of the lines on X. Recently Letizia, [9], using a method which he credits Clemens for, [4], proved that (+) is an isomorphism also when X is a general smooth quartic threefold and F is the Fano surface of the conics on X.

Here we complete the picture, proving that (+) is an isomorphism also when X is a general smooth cubic fivefold, F being the surface of the planes on X. Our tool is the Clemens-Letizia method coupled with some ideal which originated from [6].

We work with varieties defined over the complex numbers field.

(1). Let T be a plane in  $\mathbf{P}^6$  and let X be a cubic hypersurface containing it. We choose projective coordinates  $(x_0: x_1: x_2: \cdots : x_6)$  in  $\mathbf{P}^6$  so that T has equations  $x_0 = x_1 = x_2 = x_3 = 0$ . The equation of X is then of the form

(1.1) 
$$0 = Q_0 x_0 + Q_1 x_1 + Q_2 x_2 + Q_3 x_3 + \sum (A_{ijk} x_i x_j x_k) + B(x_0, x_1, x_2, x_3)$$

where  $4 \le k \le 6$ ,  $0 \le i \le j \le 3$ , B is homogeneous cubic and  $Q_i$ ,  $i = 0, \ldots, 3$ , are homogeneous polynomials of degree 2 in  $x_4, x_5, x_6$ .

Let  $C_i$  be the conic on T of equation  $Q_i = 0$ , X is non-singular along T if and only if  $\bigcap C_i = \emptyset$ . In the following we shall assume that X is smooth along T, when it is not explicitly otherwise stated. We shall denote F(X), or simply F, the variety of planes contained in X; more precisely we take F to be the Hilbert scheme of the two-dimensional planes of X, [1]. In order to study F we need to compute  $H^0(T, N(T, X))$ , which is the tangent space to F at the point t representing T. When there is no confusion we shall write N for the normal bundle N(T, X) and  $h^0(N) = \dim H^0(T, N)$ .

(1.2) **PROPOSITION.**  $h^0(N) = 2 \leftrightarrow C_0$ ,  $C_1$ ,  $C_2$ ,  $C_3$ , are linearly independent.

*Proof.* We start from the exact sequence of sheaves on T

(1.3) 
$$0 \to N \to O(1)^{\oplus 4} \xrightarrow{J} O(3) \to 0,$$

where the middle sheaf is  $N(T, \mathbf{P}^6)$  and O(3) is  $N(X, \mathbf{P}^6)|_T$ . If one chooses conveniently the splitting of  $N(T, \mathbf{P}^6)$  then f is given by the matrix  $(Q_0, Q_1, Q_2, Q_3)$ , hence: (a)  $h^0(N) = 2 \Leftrightarrow (b) f$ :  $H^0(T, O(1)^{\oplus 4}) \to H^0(T, O(3))$ is surjective  $\Leftrightarrow$  (c) given any homogeneous cubic polynomial  $K(x_4, x_5, x_6)$ there are linear homogeneous polynomials  $L_0, L_1, L_2, L_3$  such that (+):  $K = \sum L_i Q_i$ .

If the  $C_i$  are linearly dependent then f cannot be surjective on global sections, because the space of polynomials K in (+) has dimension 9 at most. We assume now that the  $C_i$  are linearly independent. Since the four conics have no common point, the general member of the web they span is non singular; without restriction we may assume that  $C_1$  is smooth, so that  $C_1 \cap C_2$  is finite and we may take  $C_3$  in such a way that  $C_1 \cap C_2 \cap C_3 = \emptyset$ . In the ring  $R = k[x_4, x_5, x_6]$  we let I = the ideal  $(Q_1, Q_2, Q_3)$ ,  $R_3$  = the vector space of homogeneous cubic polynomials,  $I_3 = R_3 \cap I$ . By a theorem of Macaulay, [8], the pairing  $g: (R/I) \times (R/I) \to (R_3/I_3)$  $= \mathbb{C}$  is a perfect duality, where g is given by the product of representative of equivalence classes. It follows:

(i)  $I_3$  has codimension 1 in  $R_3$ , (ii) given  $Q_0$ , since  $Q_0 \notin I$  by hypothesis, there is  $L_0$  such that  $g(L_0Q_0) \neq 0$ , i.e.  $L_0Q_0 \notin I_3$ . Therefore  $I_3 + (L_0Q_0) = R_3$ , hence f is surjective on global sections.

(1.4) COROLLARY. F is a non-singular surface at the point t representing T if and only if  $C_0, \ldots, C_3$  are linearly independent.

*Proof.*  $h^1(N) = 0 \leftrightarrow h^0(N) = 2 \leftrightarrow (C_0, \dots, C_3)$  are linearly independent.

We recall that in the preceding corollary we had the tacit assumption that X was smooth along T. For next definition we drop it.

(1.5) DEFINITION. Let T be a plane contained in X; using the notations above we say that T is a special plane for X, or that X is special with respect to T, if  $C_0, \ldots, C_3$  are linearly dependent.

If X is non-singular along T then F is singular at t if and only if T is special; if X has an ordinary node on T we shall see that F is not normal at t, but we shall also see that if T is not special then on the normalization  $F^+$  of F the points  $t_1$  and  $t_2$ , which map to t, are non-singular.

Let *H* be the Hilbert scheme parametrizing the totality of cubic hypersurfaces of  $\mathbf{P}^6$ , *H* is naturally isomorphic to  $\mathbf{P}^{83}$ . We let  $H^s$  = the subvariety of *H* of the cubics with special planes, *D* = the subvariety of the singular cubics.

(1.6) LEMMA. (a)  $\operatorname{cod}(H^s, H) \ge 1$ , (b)  $H^s$  is irreducible and  $H^s \not\subset D$ .

*Proof.* Let  $H^T \simeq \mathbf{P}^{73}$  be the variety of the cubics containing *T*. Keeping the notations above (1.1) we note that *X* is special along *T* if there are  $b_0, \ldots, b_3$  with  $(+): \sum b_i Q_i = 0$ . Let  $\mathbf{A}^{24}$  be the affine space in the variables  $a_{ij}^k$ , the coefficients of the  $Q_k$ 's. Let  $(b_0, \ldots, b_3)$  be homogeneous coordinates for  $\mathbf{P}^3$ . Condition (+) gives six bilinear equations in  $\mathbf{P}^3 \times \mathbf{A}^{24}$ , let *V* be the determined variety. It is easy to see that  $V \to \mathbf{P}^3$  is an  $\mathbf{A}^{18}$  fibration, further the projection  $V \to \mathrm{pr}(V) \hookrightarrow \mathbf{A}^{24}$  is birational to the image  $\mathrm{pr}(V)$ , therefore  $\mathrm{pr}(V)$  is irreducible with dim = 21. Let  $H^{s,T}$  be the variety of the cubics which are special along *T*, because of our remark  $\mathrm{cod}(H^{s,T}, H^T) = 3$  and  $H^{s,T}$  is irreducible. Let G = G(2, 6) be the grassmannian of the planes in  $\mathbf{P}^6$ , then  $H^s = \bigcup_{T \in G} (H^{s,T})$ , so that  $H^s$  is irreducible and dim  $H^s \leq 82$ . In order to see that  $H^s \not\subset D$  it suffices now to produce one cubic which is non-singular and contains a special plane. The Fermat cubic  $\sum x_i^3 = 0$  has this property: let *r* be a third root of -1, i.e.  $r^3 = -1$ , change coordinates  $z_0 = x_0 - rx_5$ ,  $z_1 = x_1 - rx_6$ ,  $z_2 = x_2$ ,  $z_3 = x_3 - rx_4$ ,  $z_4 = x_4$ ,  $z_5 = x_5$ ,  $z_6 = x_y$ , it is easy to see that the plane  $z_0 = z_1 = z_2 = z_3$  is special for this cubic. (1.7) in  $G \times H$  we set  $I = \{(T, X): T \subseteq X\}$ , I is the incidence correspondence; I is a  $\mathbb{P}^{73}$  fibre bundle over G, so that dim I = 85. Let p:  $I \to G$  and  $q: I \to H$  be the projections, the fibre  $q^{-1}(x) = F(X)$ , the Hilbert scheme of the planes contained in X, the hypersurface represented by x. Fix now a point (T, X) in I such that T is non-special for X and X is smooth along T (it follows from the proof of the lemma that there is such a couple) then F(X) is a smooth surface at the point t representing T. Then the general F(X) is a surface, non-singular because it does not contain special planes, moreover it is irreducible because of

## (1.8) **PROPOSITION.** For all X, F(X) is connected.

*Proof.* Following an idea of Barth and Van de Ven [2] we need only to check that the set  $S = \{(T, X): F(X) \text{ is not a smooth surface at } T\}$  has codimension at least 2 in  $p^{-1}(T)$ . Now F(X) is not a smooth surface at T only if either T is special for X or if X is singular at some points of T. The first case is covered by the proof of (1.6), indeed  $p^{-1}(T) = H^T$  and we proved  $\operatorname{cod}(H^{s,T}, H^T) = 3$ . On the other hand let  $D^T = \{X \in H^T: X \text{ is singular at some point of } T\}$ , by a similar argument as for  $H^{s,T}$  one can see  $\operatorname{cod}(D^T, H^T) = 2$ .

(1.9) REMARK. In  $G \times H^s$  let  $I^s = \{(T, X): T \text{ is special for } X\}$ ; it follows from the proof of (1.6) that dim  $I^s = 82$ , hence either (a) dim  $H^s = 82$ , so that in a general cubic which is special there is a finite number of special planes, or (b) dim  $H^s < 82$ , so that given a general pencil of cubics none of the cubics is special.

Collecting the preceding results we see

(1.10) PROPOSITION. Let  $\{X_t\}$ ,  $t \in \mathbf{P}^1$ , be a general Lefschetz pencil of cubic fivefolds, let  $\{F(X_t)\}$ ,  $t \in \mathbf{P}^1$ , be the associated family of Fano varieties, let  $t_1, \ldots, t_N$  be such that  $X_t$  is smooth for  $t \in \mathbf{P}^1 - \{t_1, \ldots, t_N\}$ . Then there exists  $t_{N+1}, \ldots, t_{N+M}$  in  $\mathbf{P}^1$  such that:

(1)  $F(X_t)$  is a smooth and irreducible surface for  $t \in \mathbf{P}^1 - \{t_1, \ldots, t_N, t_{N+1}, \ldots, t_{N+m}\}$ .

(2) The surface  $F(X_{t_{N+1}})$  has only isolated singularities,  $1 \le J \le M$ .

(3) The surface  $F(X_{i_j})$  has as only singularities the locus of the planes through the ordinary double point of  $X_{i_j}$ ,  $1 \le J \le N$ .

In order to complete the program according to the Clemens-Letizia method [9] we still need to check that the Abel-Jacobi map  $F \rightarrow JX$  is not constant, and also to prove the following

(1.11) THEOREM. Let  $F_0$  be the Fano surface of the planes on  $X_0$ , general cubic hypersurface with one single singular point  $p_0$  which is an ordinary node. Then the family D which represents the planes through  $p_0$  is a smooth irreducible curve;  $F_0 - D$  is non-singular; along D  $F_0$  is analytically reducible in two smooth components meeting transversally.

(II). This section is devoted to the proof of Theorem (1.11). We need some preliminary considerations.

Let  $b: \mathbf{P}^+ \to \mathbf{P}^6$  be the blow up of  $\mathbf{P}^6$  at  $p_0$ , let  $E = b^{-1}(p_0)$  be the exceptional divisor, let  $X^+$  be the strict transform of  $X_0$ , let  $Q = X^+ \cap E$  be the exceptional quadric. As in [5] the linear projection  $X_0 \to \mathbf{P}^5$  of centre  $p_0$  induces a birational morphism  $\lambda: X^+ \to \mathbf{P}^5$ , which turns out to be the blow up of  $\mathbf{P}^5$  along the threefold Y, the (2, 3) complete intersection of Q with a cubic K. More precisely let  $p_0 = (0, \ldots, 0, 1)$ , let  $\mathbf{P}^5$  be the hyperplane  $x_6 = 0$ , then the cubic  $X_0$  has equation

(2.1) 
$$0 = Q(x_0, x_1, \dots, x_5)x_6 + K(x_0, \dots, x_5)$$

and in  $\mathbf{P}^5$  the cubic and the quadric have equations K = 0 and Q = 0. The planes through  $p_0$  are mapped via  $\lambda$  to the lines lying in Y and conversely; hence the family of planes through  $x_0$  is in general a smooth irreducible curve, because such a curve is the family of the lines in a general Y, see [3].

(2.2) Let T be a plane in  $\mathbf{P}^6$ , if  $p_0 \notin T$  then the total transform  $b^{-1}(T)$  is isomorphic to T and it is also the proper transform  $T^b$  of T. If  $p_0 \in T$  then the proper transform  $T^b$  of T is the blowing up of T at  $p_0$ . In this case  $T^b$  is not the correct transform with respect to the behaviour of the Hilbert scheme; in fact in both cases  $T^b$  is a complete intersection in  $\mathbf{P}^+$ , but of different type.

(2.3) DEFINITION. We say that a variety Z in  $\mathbf{P}^+$  is a *strict biplane* if  $Z = T^b \cup B$ , where B is a plane in E,  $B \cap T^b = L$ , where L is the exceptional line in  $T^b$ , proper transform of a plane T through  $p_0$ .

It is easy to see that a strict-biplane Z is the same kind of complete intersection in  $\mathbf{P}^+$  as it is a 'plane'  $T^b$  not meeting E. In the following we denote  $G^+$  = the Hilbert scheme of  $P^+$  of the complete intersections of the same type as a strict biplane. If  $a \in G^+$  we let  $Z_a$  be the represented

scheme; we call  $Z_a$  a biplane and remark that there are only two possibilities: either  $Z_a$  is a strict biplane or  $Z_a = T^b$ , where T is a plane not containing  $p_0$ . One can see easily that  $G^+$  is non-singular of dimension 12, by explicitly computing the dimension of the tangent space to  $G^+$  at a point representing a given biplane.

There is a more intuitive way to describe  $G^+$ . Let S be the Schubert variety of the planes in  $\mathbf{P}^6$  through  $p_0$ , then S is smooth, being isomorphic to G(1, 5). Let  $\beta^*: G^* \to G$  be the blow up of G = G(2, 6) along S. We have  $G^* = G^+$ . In fact there is a correspondence  $\lambda': G \dashrightarrow G(2, 5)$  obtained by sending a plane from  $\mathbf{P}^6$  to  $\mathbf{P}^5$  by means of the linear projection  $\lambda$  of centre  $p_0$ . The indeterminacy of  $\lambda'$  at S is solved by blowing up G, so to have  $\lambda^*: G^* \to G(2, 5)$ . Via  $(\beta^*, \lambda^*)$   $G^*$  embeds in  $G \times G(2, 5)$ . Similarly there is a map  $\beta^+: G^+ \to G$  and a map  $\lambda^+: G^+ \to G(2, 5)$ , obtained by setting  $\beta^+(a)$  = the point representing  $b(Z_a)$ ,  $\lambda^+(a)$  = the point representing  $\lambda(Z_a)$ . Also  $G^+$  embeds in  $G \times G(2, 5)$  and it has the same image as  $G^*$  has. In this way we get a 1-1 correspondence between  $G^*$  and  $G^+$ ; since they are both non-singular, then they are isomorphic.

We write  $F^+$  = the Fano scheme of the biplanes contained in  $X^+$  and denote  $\beta^+$ :  $F^+ \rightarrow F$  the map induced by restriction of  $\beta^+$ :  $G^+ \rightarrow G$ . Collecting previous remarks we note

(2.4) LEMMA. (1)  $\beta^+$ :  $F^+ - \beta^{-1}(D) \to F - D$  is 1-1. (2)  $(\beta^+)^{-1}(D) = D_1 \cup D_2$  where  $D_1$  and  $D_2$  are isomorphic to D via  $\beta^+$ ,  $D_1 \cap D_2 = \emptyset$ .

*Proof.* (1) is clear. For (2) let  $t \in D$ , then  $a \in (\beta^+)^{-1}(t)$  means  $Z_a \hookrightarrow X$ ,  $b(Z_a) = T_t$  contains  $p_0$ ,  $\lambda(Z_a)$  is a plane  $B_a$  contained in the quadric Q and passing through the exceptional line L in the proper transform  $T_t^b$ . In other words  $Z_a = T_t^b + B_a$ . Since Q is a four dimensional smooth quadric then for a fixed L in Q there are only two possible choices for  $B_a$ , one for each system of planes. Statement (2) follows easily.

Our next step is

(2.5) THEOREM. Let t represent the plane T, if  $X_0$  is not special at T then  $F^+$  is non singular at the points of  $(\beta^+)^{-1}(t)$ .

If X is smooth along T the theorem is Corollary (1.4). We assume therefore that  $p_0 \in T$  and let  $(\beta^+)^{-1}(t) = \{a, b\}, a \in D_1, b \in D_2$ . We denote  $N = N(Z_a, X)$  the dual of the conormal bundle of  $Z_a$  in X, our program is to prove  $h^0(N) = 2$ , hence  $F^+$  is a smooth surface at the point *a*.

For simplicity we write  $T_i^b = A$ , so that  $A \cup B = Z_a$  and further we set  $Z = Z_a$ . The standard exact sequences of "normal" bundles for the triple  $(Z, X^+, \mathbf{P}^+)$  is

(2.6) 
$$0 \to N(Z, X^+) \to N(Z, \mathbf{P}^+) \xrightarrow{f} N(X^+, \mathbf{P})|_Z \to 0.$$

(2.7) Let  $D_0$ ,  $D_1$ ,  $D_2$ ,  $D_3$  be four hypersurfaces in  $\mathbf{P}^+$  which intersect completely in Z, then

 $N(Z, \mathbf{P}^+) = O(D_0)|_Z \oplus O(D_1)|_Z \oplus O(D_2)|_Z \oplus O(D_3)|_Z.$ 

In the following (2.9) we fix such a splitting so that the restrictions of sequence (2.6) to A, B, L are respectively

$(s_A)$	0	$\rightarrow$	$N_{\mathcal{A}}$	$\rightarrow$	$\oplus^{3} O_{A}(H-L) \oplus O_{A}(H)$	$f_A \rightarrow$	$O_A(3H-2L)$	$\rightarrow$	0
$(s_B)$	0	$\rightarrow$	$N_B$	$\rightarrow$	$\oplus^{3} O_{B}(1) \oplus O_{B}$	$f_B \rightarrow$	$O_B(2)$	$\rightarrow$	0
$(s_L)$	0	$\rightarrow$	$N_L$	$\rightarrow$	$\oplus^3 O_L(1) \oplus O_L$	$f_L \rightarrow$	$O_L(2)$	$\rightarrow$	0

Here *H* is the divisor on *A* which is the total transform of the line in *T*, *L* is the exceptional line in *A*.  $N_A$ ,  $N_B$  and  $N_L$  are the restrictions of *N* to *A*, *B*, *L*.

(2.8) There is a standard Mayer-Vietoris sequence

 $0 \to H^0(Z, N) \to H^0(A, N_A) \oplus H^0(B, N_B) \to H^0(L, N_L);$ 

in order to prove  $h^0(Z, N) = 2$  we shall prove: (i)  $H^0(B, N_B) \xrightarrow{\sim} H^0(L, N_L)$ , (ii)  $h^0(A, N_A) = 2$ . We need first to compute  $f_A, f_B, f_L$ .

(2.9) Looking at the sequences above we remark that  $f_A$  is a global section in  $H^0(A, \oplus^3 O_A(2H - L) \oplus O_A(2H - 2L))$ ,  $f_B$  is a global section in  $H^0(B, \oplus^3 O_B(1) \oplus O_B(2))$ , and  $f_L$  is in  $H^0(L, \oplus^3 O_L(1) \oplus O_L(2))$ . To compute  $f_A$ ,  $f_B$ ,  $f_L$  means to identify them as sections of the indicated sheaves, in particular both  $f_A$  and  $f_B$  are determined if we find their restrictions to A - L and B - L respectively.

We let  $(x_0, \ldots, x_6; y_0, \ldots, y_5)$  be the bihomogeneous coordinates of  $\mathbf{P}^6 \times \mathbf{P}^5$ , then  $\mathbf{P}^+$  is the subvariety determined by the equations  $x_i y_j = x_j y_i$ ,  $0 \le i, j \le 5$ . The biplane Z is the complete intersection in  $\mathbf{P}^+$  of

equations  $y_0 = y_1 = y_2 = x_3 = 0$ . We let  $D_i = \text{locus}(y_i = 0)$  i = 0, 1, 2; $D_3 = \text{locus}(x_3 = 0)$ , cf. (2.7). If  $f_{A-L}$  denotes the restriction of  $f_A$  to  $A - L = T - p_0$ , then the same proof of (1.2) gives

(2.10) 
$$f_{A-L} = (Q_0, Q_1, Q_2, Q_3)|_{A-L}.$$

Let  $C_i^-$  be the proper transform in A of the conic  $C_i$  and let  $f_A = (f_A^0, f_A^1, f_A^2, f_A^3)$ . We shall see below that one can choose the coordinates  $x_0, \ldots, x_3$  so that both  $C_0$  and  $C_3$  have a double point in  $p_0$ , while  $C_1$  and  $C_2$  are smooth there. From this and (2.10) it follows

(2.11) **PROPOSITION.**  $(C_0^- + L)$  is the divisor of the zeros of  $f_A^0$ ,  $C_1^-$  of  $f_A^1$ ,  $C_2^-$  of  $f_A^2$ ,  $C_3^-$  of  $f_A^3$ .

With the notations above, the equations of the plane *B* in the exceptional divisor *E* of  $\mathbf{P}^+$  are  $y_0 = y_1 = y_2 = 0$ , while the equations of *T* in  $\mathbf{P}^6$  are as before  $x_0 = x_1 = x_2 = x_3 = 0$ . Since  $p_0$  is a node the equations of the conics  $C_i$  are of type

(2.12) 
$$Q_i = L_i(x_4, x_5)x_6 + Q_i^0(x_4, x_5)$$

cf. (1.1). In E the exceptional quadric Q of  $X^+$  is therefore

$$(2.13) \quad y_0 L_0(y_4, y_5) + y_1 L_1(y_4, y_5) + y_2 L_2(y_4, y_5) + y_3 L_3(y_4, y_5) + \sum (A_{ij6} y_i y_j) = 0, \qquad 0 \le i, j \le 3$$

Since B is contained in Q one has

$$(2.14) A_{336} = 0, L_3(y_4, y_5) = 0$$

so that  $C_3$  has a node in  $p_0$ . Next we use the hypothesis of the linear independence of the  $C_i$  to remark that, up to a linear change in  $x_0, x_1, x_2$ , one may assume that also  $C_0$  has a node in  $p_0$ , i.e.  $L_0(x_4, x_5) = 0$ . Now we recall that the exceptional line L has equations  $y_0 = y_1 = y_2 = y_3 = 0$ in E and that we have  $Z_a = A + B$  with  $B = B_a$  and also  $Z_b = A + B_b$ , where  $A \cap B_b = L = A \cap B$ . Without restriction we may require that  $B_b$ has equations  $y_1 = y_2 = y_3 = 0$ . The equation of Q in E is then

(2.15) 
$$y_1L_1(y_4, y_5) + y_2L_2(y_4, y_5) + \sum A_{ij6}y_iy_j$$

with 
$$0 \le i \le j \le 3$$
, and  $A_{006} = A_{336} = 0$ .

By hypothesis Q is of maximal rank, then by a linear change of coordinates we may assume  $L_1(y_4, y_5) = y_4$ ,  $L_2(y_4, y_5) = y_5$  and also note that  $A_{036} \neq 0$ .

(2.16) COROLLARY.  

$$f_B = \left(A_{036}y_3, y_4 + A_{136}y_3, y_5 + A_{236}y_3, Q_3^0(y_4, y_5) + (\cdots)y_3\right)$$
(2.17) COROLLARY.  $f_L = (0, y_4, y_5, Q_3^0(y_4, y_5)).$ 

*Proof* (2.16). We just outline the computation. It suffices to compute the restriction of  $f_B$  to the affine plane B - L (i.e. the locus  $y_3 \neq 0$ ), so we restrict everything to the affine space V which is the locus in  $\mathbf{P}^+$  where  $y_3 \neq 0$ ,  $x_6 \neq 0$ . There B - L is the complete intersection  $y_0 = y_1 = y_2 =$  $x_3 = 0$ . Now in V  $y_0$ ,  $y_1$ ,  $y_2$ ,  $x_3$ ,  $y_4$ ,  $y_5$  induce natural linear parameters which we write  $y_0^0$ ,  $y_1^0$ ,  $y_2^0$ ,  $x_3^0$ ,  $y_4^0$ ,  $y_5^0$ . The equation of the restriction of  $X^+$ to V is then of the form  $M_0()y_0^0 + M_1()y_1^0 + M_2()y_2^0 + M_3()x_3^0 = 0$ . It follows that the restriction of  $f_B$  to B - L is equal to the restriction of  $(M_0, M_1, M_2, M_3)$ . An explicit computation of the  $M_i$ 's yields the result.

(2.18) COROLLARY. 
$$h^0(B, N_B) = h^0(L, N_L) = 4$$

*Proof*. Obvious since  $A_{036} \neq 0$ .

Let  $g: H^0(B, N_B) \to H^0(L, N_L)$  be the restriction map; using the short exact sequences of global sections associated with  $s_B$  and  $s_L$  and the snake lemma one finds

$$Ker(g) = Ker(h: \oplus {}^{3}H^{0}(B, 0) \oplus H^{0}(B, 0(-1)) \to H^{0}(B, 0(1)))$$

where the matrix of h is just the matrix of  $f_B$ . So h is surjective and g and h are both isomorphisms.

(2.19) COROLLARY. g: 
$$H^0(B, N_B) \rightarrow H^0(L, N_L)$$
.

We have proved part (i) in (2.8); next we show

(2.20) PROPOSITION. If  $Q_0$ ,  $Q_1$ ,  $Q_2$ ,  $Q_3$  are linearly independent then  $h^0(A, N_A) = 2$ .

*Proof.* Looking at the long exact sequence of cohomology associated with  $s_A$  we see  $h^0(A, N_A) = 2 \leftrightarrow h^1(A, N_A) = 0 \leftrightarrow f_A$  is surjective on global sections. Let  $P(x_4, x_5, x_6) = W(x_4, x_5)x_6 + V(x_4, x_5)$  be a cubic polynomial, to prove that  $f_A$  is surjective amounts to produce  $L_0(x_4, x_5)$ ,  $L_1(x_4, x_5), L_2(x_4, x_5), L_3(x_4, x_5, x_6)$  linear polynomials in the indicated variables so that  $\sum L_i Q_i = P$  where  $Q_i$  are the quadrics in (2.12). For later use we note that we shall in fact produce  $L_3(x_4, x_5)$ .

Using the simplifications established above we have  $Q_0 = AB$ ,  $Q_1 = x_4x_6 + FG$ ,  $Q_2 = x_5x_6 + DE$ ,  $Q_3 = CH$  with  $A, B, \ldots, H$  linear homogeneous polynomials in  $x_4, x_5$ . Using the hypothesis of the linear independence of  $Q_0, \ldots, Q_3$  we can assume FG = 0, up to a linear change of coordinates  $x_4, x_5$ . We notice first that there are  $L_1$  and  $L_2$  such that  $L_1x_4 + L_2x_5 = W(x_4, x_5)$  and that also  $L'_1 = L_1 - \alpha x_5$ ,  $L'_2 = L_2 + \alpha x_4$  satisfy the equation. So we need to find  $L_0(x_4, x_5)$ ,  $L_3(x_4, x_5)$  and a constant  $\alpha$  such that

(+) 
$$L_0AB + (L_2 + \alpha x_4)DE + L_3CH = V(x_4, x_5).$$

Equivalently for any  $U(x_4, x_5)$  we look for  $L_0, L_3, \alpha$  such that

$$(++) L_0AB + \alpha x_4DE + L_3CH = U.$$

in other words we want to show that the dimension of the vector space of polynomials in (++) is 4. If AB and CH have no common factor a solution for (++) exists with  $\alpha = 0$ , because of the theorem of Macaulay. If AB and CH have a common factor then it can be only a linear factor, because  $AB = Q_0$  and  $CH = Q_3$  are linearly independent by hypothesis. We assume then that B = H and also that A and C are not proportional. In this case the linear system  $\{(L_0A + L_3C)B\}$  has dimension 3 and the system  $\{L_0AB + L_3CH + \alpha x_4DE\}$  has dimension 4 if  $x_4DE \notin \{(L_0A + L_3C)B\}$ ; we need therefore to exclude that either (i)  $x_4$  or (ii) D or (iii) E is proportional to B. In case (iii) or (ii) the point  $x_6 = B = 0$  on T is a point in the intersection of the conics  $C_i$ , hence it is a second singular point on  $X_0$ , which is a contradiction. In case (i) similarly the set  $x_4 = Q_2 = 0$  contains another singular point on  $X_0$ , again a contradiction.

(2.21) In order the complete the proof of (1.11) we show below that the differential of  $\beta^+$  at the point *a* is injective and next that if *a* and *b* are the two points in the fibre  $(\beta^+)^{-1}(t)$  then

$$\dim(d\beta^+(T_a(F^+)) \cap d\beta^+(T_b(F^+))) = 1.$$

**PROPOSITION.**  $d\beta_a^+$ :  $T_a(F^+) \rightarrow T_{\beta+(a)}(G)$  is injective.

*Proof.* Recall  $T_a(F^+) = H^0(Z_a, N) = H^0(A, N_a)$ . From the long sequence of cohomology of the sequence  $(s_A)$  we get the upper exact row in the following diagram.

 $(D_a)$ :

$$0 \to T_a(F^+) \xrightarrow{i_a} H^0(A, O(H-E) \oplus O(H-E) \oplus O(H-E) \oplus O(H)) \xrightarrow{f_A} H^0(A, O(3H-2E)) \to 0$$

$$\downarrow_{J_a}$$

$$H^0(T, O(H) \oplus O(H) \oplus O(H) \oplus O(H)) \to H^0(T, O(3H)) \to 0$$

$$\parallel$$

$$T_t(G)$$

Now  $d\beta_a^+ = j_a i_a$ , hence  $d\beta_a^+$  is injective.

In order to compute the intersection of  $T_a(F^+)$  and  $T_b(F^+)$  in  $T_t(G)$  one has to recall that the given splitting of  $T_t(G)$  depends on the ordered choice of  $x_0, x_1, x_2, x_3$ , the equations of the plane T. If in the analogous diagram  $(D_b)$  we want to give the map  $j_b$  by means of the natural inclusion of the summands then the diagram is

$$(D_b)$$
:

$$0 \to T_b(F^+) \xrightarrow{i_b} H^0(A, O(H) \oplus O(H-E) \oplus O(H-E) \oplus O(H-E)) \to H^0(A, O(3H-2E)) \to 0$$

$$\downarrow j_b$$

$$H^0(T, O(H) \oplus O(H) \oplus O(H) \oplus O(H)) \to H^0(T, O(3H)) \to 0.$$

Next we note that the foldlowing sequence is exact.

$$0 \to T_a(F^+) \cap T_b(F^+) \xrightarrow{i} H^0(A, O(H-E)^{\oplus 4})$$
$$(C_0^-, C_1^-, C_2^-, C_3^-) \xrightarrow{} H^0(A, O(3H-2E)) \to 0.$$

The exactness follows from the proof of (2.20) and more precisely from the remark that one can produce a  $L_3(x_4, x_5)$ . The statement about the dimension of the intersection is then obvious. We remark that on the other hand if  $C_0$ ,  $C_1$ ,  $C_2$ ,  $C_3$  were not linearly independent then the above sequence could not be exact to the right, for simple reasons of rank, so that the analytical branches of F would not be transversal.

(III). In this section we complete the Clemens-Letizia program by proving that the Abel-Jacobi map is not constant on the Fano surface. Let X be a smooth cubic fivefold, we show that the Abel-Jacobi map  $a: F \rightarrow JX$  is an immersion at a point t which represents a plane T if T is non-special in the sense of (1.5). Our method follows [10] p. 24.

The cotangent space of F at t is

$$(H^0(T, N(T, X)))^* \simeq H^2(T, N^*(-3));$$

the cotangent space to JX is  $H^2(X, \Omega^3_X)$ ; the codifferential  $a^*$  turns out to be the map k in the following commutative diagram, which we explain in

a moment:

$$\begin{array}{ccc} H^0(X, O_X(6) \otimes K_X) & \stackrel{f}{\to} & H^2(X, \Omega^3_X) \\ & \downarrow h & & \downarrow k \\ H^0(T, O_T(2)) & \stackrel{g}{\to} & H^2(T, N^*(-3)). \end{array}$$

We shall show that h and g are surjective, hence k is also surjective so that a is an immersion at t.

The top row is obtained as follows. Start from

$$(3.1) 0 \to O(-3)_X \to \Omega^1_{\mathbf{P}^6|X} \to \Omega^1_X \to 0$$

take then  $\Lambda^5$  and tensor with O(3) so to have

(3.2) 
$$0 \to \Omega^4_X \to \Omega^5_{\mathbf{P}^6|X}(3) \to \Omega^5_X(3) \to 0.$$

Taking instead  $\Lambda^4$  one has

(3.3) 
$$0 \to \Omega^3_X(-3) \to \Omega^4_{\mathbf{P}^6|X} \to \Omega^4_X \to 0.$$

Putting (3.2) and (3.3) together and tensoring with O(3)

(3.4) 
$$0 \to \Omega^3_X \to \Omega^4_{\mathbf{P}^6|X}(3) \to \Omega^5_{\mathbf{P}^6|X}(6) \to \Omega^5_X(6) \to 0.$$

The top row comes from the (hyper)cohomology sequence associated with (3.4).

To find the bottom row in the diagram one starts from the usual sequence of conormal bundles for  $(T, X, \mathbf{P}^6)$ :

(3.5) 
$$0 \to O(-3)_T \to O_T(-1)^{\oplus 4} \to N^* \to 0$$

taking  $\Lambda^3$  and tensoring with O(3) it follows

$$(3.6) 0 \to \wedge^2 N^* \to O_T^{\oplus 4} \to \wedge^3 N^* \otimes O(3) \to 0.$$

Since  $c_1(N^*) = \Lambda^3 N^*$ , then  $\Lambda^3 N^* \otimes O_T(3) = O_T(2)$ .

Taking  $\Lambda^2$  instead one has

(3.7) 
$$0 \to N^*(-3) \to O_T(-2)^{\oplus 6} \to \Lambda^2 N^* \to 0.$$

Putting the sequences together we have the exact sequence

$$(3.8) \qquad 0 \to N^*(-3) \to O_T(-2)^{\oplus 6} \to O_T^{\oplus 4} \to O_T(2) \to 0.$$

The bottom map g in the diagram is obtained by looking at the associated (hyper)cohomology sequence. To check the commutativity of the diagram is now a standard exercise, the main point is to provide a map from the restriction of (3.4) to T to sequence (3.8). We leave the details to

the reader, everything is based on the commutativity of

The map h in the diagram is obviously surjective, being the restriction map. The surjectivity of g is a simple consequence of the vanishing  $h^2(O_T(-2)) = 0 = h^1(O_T)$ .

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