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**ABELIAN GROUPS AND PACKING BY SEMICROSSES**

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Motivated by a question about geometric packings in  $n$ -dimensional Euclidean space,  $\mathbf{R}^n$ , we consider the following problem about finite abelian groups. Let  $n$  be an integer,  $n \geq 3$ , and let  $k$  be a positive integer. Let  $g(k, n)$  be the order of the smallest abelian group in which there exist  $n$  elements,  $a_1, a_2, \dots, a_n$ , such that the  $kn$  elements  $ia_j$ ,  $1 \leq i \leq k$ , are distinct and not 0. We will show that for  $n$  fixed,  $g(k, n) \sim 2 \cos(\pi/n)k^{3/2}$ .

The geometric question concerns certain star bodies, called “semicrosses”, which are defined as follows:

If  $k$  and  $n$  are positive integers, a  $(k, n)$ -semicross consists of  $kn + 1$  unit cubes in  $\mathbf{R}^n$ , a “corner” cube parallel to the coordinate axes together with  $n$  arms of length  $k$  attached to faces of the cube, one such arm pointing in the direction of each positive coordinate axis. Let  $K$ , the “semicross at the origin”, be the semicross whose corner cube is  $[0, 1]^n$ . Then every semicross is a translate of  $K$ ; i.e. has the form  $v + K$  for some vector  $v$ .

A family of translates  $\{v + K: v \in H\}$  is called an integer lattice packing if  $H$  is an  $n$ -dimensional subgroup of  $Z^n$  and, for any two vectors  $v$  and  $w$  in  $H$ , the interiors of  $v + K$  and  $w + K$  are disjoint. We shall examine how densely such packings pack  $\mathbf{R}^n$  for large  $k$ , and show that, for  $n \geq 3$ , this density is asymptotic to

$$\frac{n \sec \pi/n}{2\sqrt{k}}.$$

(For  $n = 1$  or  $2$  the density is 1 for every  $k$ .)

This result contrasts with the already known result for crosses. (A  $(k, n)$ -cross consists of  $2kn + 1$  unit cubes, a center cube with an arm of length  $k$  attached to each face.) As shown in [St1], for  $n \geq 2$  the integer lattice packing density of the  $(k, n)$ -cross is asymptotic to  $2n/k$ .

**0. Preliminary matters.** Suppose  $M$  is a set of nonzero integers,  $G$  is an abelian group, and  $n$  is a positive integer. We say that  $M$   $n$ -packs  $G$  if there is a set  $S \subseteq G$  such that  $|S| = n$  and the elements  $ms$  with  $m \in M$  and  $s \in S$  are distinct and nonzero. Such a set  $S$  is called a packing set.

Let  $S(k) = \{1, \dots, k\}$  and  $F(k) = \{\pm 1, \dots, \pm k\}$ . Then, as shown in [St1], there is a relation between integer lattice packings by the  $(k, n)$ -semicross (resp. cross) and  $n$ -packings of finite abelian groups by  $S(k)$  (resp.  $F(k)$ ). We now develop this connection.

We will designate each unit cube in  $\mathbf{R}^n$  with edges parallel to the coordinate axes by its vertex with minimal coordinates. Thus  $K$ , the  $(k, n)$ -semicross at the origin, is the union of the  $kn + 1$  cubes designated by  $(0, 0, \dots, 0)$ ,  $(i, 0, \dots, 0)$ ,  $\dots$ , and  $(0, \dots, 0, i)$  with  $1 \leq i \leq k$ .

Let  $H$  be an integer packing lattice for  $K$ , i.e. an  $n$ -dimensional subgroup of  $\mathbf{Z}^n$  such that the interiors of  $v + K$  for  $v \in H$  are pairwise disjoint. Let  $G = \mathbf{Z}^n/H$ ,  $f: \mathbf{Z}^n \rightarrow G$  be the natural homomorphism,  $e_i \in \mathbf{Z}^n$  be the unit vector in the  $i$ th coordinate direction, and  $a_i = f(e_i)$ . Then it is easy to show that the  $kn$  elements  $ia_j$  with  $1 \leq i \leq k$  and  $1 \leq j \leq n$  are distinct and nonzero; that is,  $S(k)$   $n$ -packs  $G$  with packing set  $\{a_1, \dots, a_n\}$ .

Conversely, suppose  $S(k)$   $n$ -packs a finite abelian group  $G$  with packing set  $\{a_1, \dots, a_n\}$ . Let  $H = \{(x_1, \dots, x_n) \in \mathbf{Z}^n: x_1 a_1 + \dots + x_n a_n = 0\}$ . Then  $H$  is an integer packing lattice for the  $(k, n)$ -semicross. Moreover, the density of this packing is  $(kn + 1)/|G^*|$ , where  $G^*$  is the subgroup generated by  $a_1, \dots, a_n$ .

Thus, finding the densest integer lattice packing by the  $(k, n)$ -semicross is equivalent to finding the smallest abelian group  $G$  such that  $S(k)$   $n$ -packs  $G$ . Let  $g(k, n)$  be the order of the smallest such group. Clearly  $g(k, n) \geq kn + 1$ , with equality if  $n = 1$  or  $n = 2$ . Our main result is given in the following theorem.

**THEOREM 1.** For  $n \geq 3$ ,

$$\lim_{k \rightarrow \infty} \frac{g(k, n)}{k^{3/2}} = 2 \cos \frac{\pi}{n}.$$

Since the integer lattice packing density of the  $(k, n)$ -semicross is  $(kn + 1)/g(k, n)$ , this density is asymptotic to  $n \sec(\pi/n)/2\sqrt{k}$  as  $k \rightarrow \infty$ .

This result should be compared with the corresponding result for crosses. Let  $h(k, n)$  be the order of the smallest abelian group  $G$  such that  $F(k)$   $n$ -packs  $G$ . Clearly  $h(k, n) \geq 2kn + 1$ , with equality if  $n = 1$ . As shown in [St1] for  $n \geq 2$ ,

$$\lim_{k \rightarrow \infty} \frac{h(k, n)}{k^2} = 1.$$

Since the integer lattice packing density of the  $(k, n)$ -cross is  $(2kn + 1)/h(k, n)$ , this density is asymptotic to  $2n/k$  as  $k \rightarrow \infty$ .

Throughout the remaining sections,  $C(m)$  denotes the cyclic group of order  $m$ ,  $\mathbb{Z}/m\mathbb{Z}$ .

**1. Motivation.** In [St1] it was shown that for any integer  $b > 1$ ,  $S(b^2 - b)$  3-packs  $C(b^3 + 1)$  with packing set  $\{1, -b, (-b)^2\}$ . Since  $(-b)^3 = 1$  in  $C(b^3 + 1)$ , the packing set is a subgroup of the multiplicative structure of the ring  $\mathbb{Z}/[(b^3 + 1)\mathbb{Z}]$ . In these 3-packings,  $k = b^2 - b$  and the order of the group is  $b^3 + 1$ , which is asymptotic to  $k^{3/2}$  for large  $k$ .

This method also gives some information in the case of 4-packings and 6-packings. It can be shown that for an odd integer  $b$  greater than 1,  $S((b^2 - 1)/2)$  4-packs  $C((b + 1)(b^2 + 1)/2)$ . The packing set is the (multiplicative) subgroup  $\{1, -b, (-b)^2, (-b)^3\}$ , with  $(-b)^4 = 1$  since  $(b + 1)(b^2 + 1)/2$  divides  $b^4 - 1$ . Observe that, since  $k = (b^2 - 1)/2$  and the order of the group is  $(b + 1)(b^2 + 1)/2$ , the order of the group is asymptotic to  $\sqrt{2}k^{3/2}$ .

Similarly, for  $b \equiv 1 \pmod{6}$  and greater than 1,  $S((b^2 + b - 2)/3)$  6-packs  $C((b^2 + b + 1)(b + 1)/3)$  with packing set  $\{1, -b, (-b)^2, (-b)^3, (-b)^4, (-b)^5\}$ , again a group since  $(-b)^6 = 1$ . In this case, the order of the group is asymptotic to  $\sqrt{3}k^{3/2}$ .

In these cases the order  $m$  of the group is a polynomial of degree 3 in  $b$  and the number  $k$  is a polynomial of degree 2 in  $b$ . Since these polynomials have rational coefficients,  $\lim_{b \rightarrow \infty} m^2/k^3$  is necessarily rational. However, according to Theorem 1, only in the cases  $n = 3, 4$ , and 6 is

$$\lim_{k \rightarrow \infty} \frac{g(k, n)^2}{k^3}$$

rational, since only for these  $n \geq 3$  is  $\cos^2 \pi/n$  rational.

To obtain Theorem 1, we will modify this approach. While we will still consider packing sets in cyclic groups of the form  $\{1, -b, (-b)^2, \dots, (-b)^{n-1}\}$ , we do not demand that they form a subgroup, that is, that  $(-b)^n = 1$ . Our argument is motivated by a relation between pairs of elements in these packings. To express their relation we introduce the diagram in Fig. 1.1:

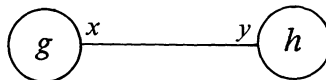


FIGURE 1.1

In this diagram  $g$  and  $h$  are elements in some abelian group and  $x$  and  $y$  are positive integers such that  $xg + yh = 0$ .

In the 3-, 4-, 6-packings mentioned earlier, the relations expressed by the three diagrams in Fig. 1.2 are valid:

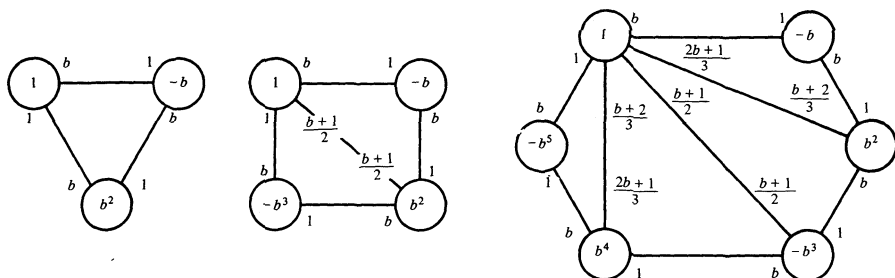


FIGURE 1.2

Along each edge  $x = (1 - \alpha)b + \alpha$  and  $y = \alpha b + (1 - \alpha)$  for some rational  $\alpha \in [0, 1]$ . (For  $r = 3$ ,  $\alpha = 0$  or  $1$ ; for  $r = 4$ ,  $\alpha = 0, 1/2$ , or  $1$ ; for  $r = 6$ ,  $\alpha = 0, 1/3, 1/2, 2/3$ , or  $1$ .) Furthermore, in any triangle in Fig. 1.2 labelled as in Fig. 1.3, we have  $xx'x'' + yy'y'' = m$ , the order of the group.

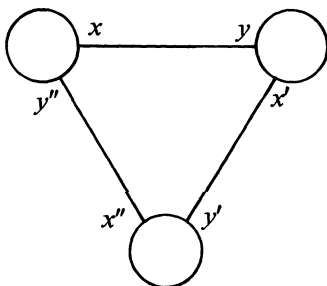


FIGURE 1.3

These observations suggest that we look for packings in cyclic groups of the form  $\{(-b)^i \mid 0 \leq i \leq n - 1\}$  with the relations shown in Fig. 1.4, where  $x_r = (1 - \alpha_r)b + \alpha_r$  and  $y_r = \alpha_r b + (1 - \alpha_r)$ . Moreover we demand the equality  $xx'x'' + yy'y'' = m$  in each triangle.

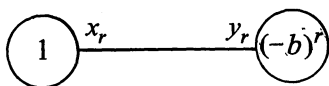


FIGURE 1.4

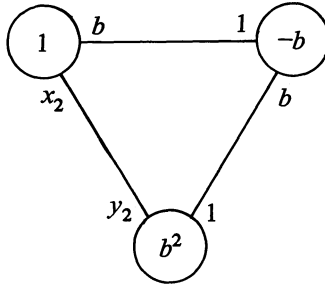


FIGURE 1.5

Note that  $\alpha_1 = 0$ . Denote  $\alpha_2$  by  $\alpha$ . Then the triangle displayed in Fig. 1.5 gives  $m = b^2(\alpha b + (1 - \alpha)) + ((1 - \alpha)b + \alpha)$ , hence

$$m = (b + 1)(\alpha(b - 1)^2 + b).$$

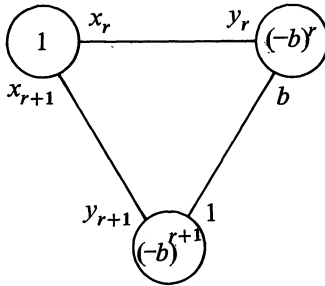


FIGURE 1.6

More generally, the triangle shown in Fig. 1.6 shows that

$$m = (b + 1)((1 - \alpha_r)\alpha_{r+1}(b - 1)^2 + b).$$

Thus  $(1 - \alpha_r)\alpha_{r+1} = \alpha$ , giving the recursion

$$\alpha_{r+1} = \frac{\alpha}{1 - \alpha_r},$$

which will play a central role in the argument.

With these observations in mind, the construction is straightforward: Solve the recursion, making sure that  $0 \leq \alpha_r \leq 1$  for  $1 \leq r \leq n - 1$ , restrict  $b$  so that all  $x_r$  and  $y_r$  are integers, and then see how large  $k$  can be for that choice of  $b$ . The size of  $k$  is the substance of Lemma 2.1; note that since in the construction  $x_r + y_r = b + 1$ ,  $k$  may be as large as  $m/(b + 1) - 1 = \alpha(b - 1)^2 + b - 1$  so, for large  $b$ ,  $m/k^{3/2} \approx 1/\sqrt{\alpha}$ .

The proof of Theorem 1 consists of two parts. First we construct for large  $k$  an  $n$ -packing for  $S(k)$  in a cyclic group of order approximately  $2 \cos(\pi/n)k^{3/2}$ . This will show that

$$\overline{\lim}_{k \rightarrow \infty} \frac{g(k, n)}{k^{3/2}} \leq 2 \cos \pi/n,$$

which is Theorem 2. We then establish in Theorem 3 a lower bound for  $g(k, n)$  which will imply that

$$\underline{\lim}_{k \rightarrow \infty} \frac{g(k, n)}{k^{3/2}} \geq 2 \cos \pi/n.$$

Taken together, Theorems 2 and 3 yield Theorem 1.

**2. A construction for group packings.** We begin with the proofs of several lemmas. The first one gives a criterion for a 2-packing of  $S(k)$  in  $C(m)$ . Its importance lies in the fact that a set  $\{a_1, \dots, a_n\}$  provides an  $n$ -packing for  $S(k)$  if and only if every subset of two elements provides a 2-packing.

**LEMMA 2.1.** *Let  $m, x,$  and  $y$  be positive integers and let  $a$  and  $b$  be integers such that  $\gcd(a, b, m) = 1$  and  $xa \equiv -yb \pmod{m}$ . Let  $0 < k < m/(x + y)$ . Then  $S(k)$  2-packs  $C(m)$ , with packing set  $\{a, b\}$ .*

*Proof.* Assume the contrary. Then we have  $Xa \equiv Yb \pmod{m}$  for some integers  $X$  and  $Y$ , with  $0 \leq X, Y \leq k$  and not both 0. The congruences  $xa \equiv -yb$  and  $Xa \equiv Yb \pmod{m}$  imply the congruences  $(Xy + Yx)a \equiv 0$  and  $(Xy + Yx)b \equiv 0 \pmod{m}$ . Since  $\gcd(a, b, m) = 1$ , it follows that  $Xy + Yx \equiv 0 \pmod{m}$ . However,

$$0 < Xy + Yx \leq ky + kx = k(x + y) < m,$$

a contradiction.

**LEMMA 2.2.** *Let  $n \geq 3$  be an integer and let  $p$  and  $q$  be positive integers such that  $p < q$  and  $\gcd(p, q) = 1$ . Let  $\alpha = p/q$ . Define  $\alpha_1 = 0$  and  $\alpha_{r+1} = \alpha/(1 - \alpha_r)$  for  $r \geq 1$ . Suppose  $0 \leq \alpha_r \leq 1$  for  $1 \leq r \leq n - 1$ . Write  $\alpha_r = p_r/q_r$ , where  $p_r$  and  $q_r$  are nonnegative integers with  $\gcd(p_r, q_r) = 1$ . Suppose  $b > 1$  is an integer such that  $b \equiv 1 \pmod{L}$  and  $\gcd(b, p) = 1$  where  $L = \text{lcm}(q_1, q_2, \dots, q_{n-1})$ . Let  $m = (b + 1)(\alpha(b - 1)^2 + b)$  and  $k = \alpha(b - 1)^2 + b - 1$ . Then  $m$  and  $k$  are integers and  $S(k)$   $n$ -packs  $C(m)$  with packing set  $\{1, -b, (-b)^2, \dots, (-b)^{n-1}\}$ . Also*

$$\lim_{b \rightarrow \infty} \frac{m^2}{k^3} = \frac{1}{\alpha}.$$

(Some examples of this construction are given after the proof of Theorem 2.)

*Proof.* Note that  $\alpha_2 = \alpha$ ,  $p_2 = p$ , and  $q_2 = q$ . By the definition of  $L$ ,  $b \equiv 1 \pmod{q}$ . Thus

$$k = \frac{p}{q}(b-1)^2 + b - 1$$

is an integer. Since  $m = (b+1)(k+1)$ ,  $m$  is also an integer.

We next show that  $\gcd(b, m) = 1$ . Assume that  $d = \gcd(b, m)$  is greater than 1. Then  $d$  divides

$$m = (b+1) \left( \frac{p(b-1)^2}{q} + b \right)$$

but is relatively prime to  $b+1$  and  $b-1$ . Thus  $d$  divides  $p$ , contradicting the assumption that  $\gcd(b, p) = 1$ .

Since  $\gcd(b, m) = 1$ , it follows that, for  $0 \leq e < f \leq n-1$ ,  $\{(-b)^e, (-b)^f\}$  is a packing set if and only if  $\{1, (-b)^{f-e}\}$  is. Thus it suffices to show that for  $1 \leq e \leq n-1$ ,  $S(k)$  2-packs  $C(m)$  with packing set  $\{1, (-b)^e\}$ .

For  $1 \leq e \leq n-1$  let  $x_e = \alpha_e + (1 - \alpha_e)b$  and  $y_e = (1 - \alpha_e) + \alpha_e b$ . Note that  $x_e$  and  $y_e$  are positive and that

$$x_e = b + \frac{p_e}{q_e}(1 - b)$$

is an integer since  $b \equiv 1 \pmod{q_e}$ . Also,  $x_e + y_e = b + 1$ , so  $y_e$  is an integer.

We will show inductively that  $m$  divides  $x_e + y_e(-b)^e$ . Consider  $e = 1$ . We have  $x_1 = b$  and  $y_1 = 1$ , hence  $x_1 + y_1(-b)^1 = 0$ , which is divisible by  $m$ . This checks the assertion for  $e = 1$ .

Suppose the result holds for some  $e < n-1$ . It may be shown by algebra that

$$x_{e+1} + y_{e+1}(-b)^{e+1} = \frac{1 - (-b)^e}{1 + b} m + \alpha_{e+1}(1 - b)(x_e + y_e(-b)^e).$$

Note that  $[1 - (-b)^e]/(1 + b)$  is an integer. Writing  $\alpha_{e+1} = p_{e+1}/q_{e+1}$ , we see that  $\alpha_{e+1}(1 - b) = (p_{e+1}/q_{e+1})(1 - b)$  is an integer since  $q_{e+1}$  divides  $b - 1$ . Since  $m$  divides  $x_e + y_e(-b)^e$  it follows that  $m$  divides  $x_{e+1} + y_{e+1}(-b)^{e+1}$  and the induction is complete.

Since

$$0 < k = \frac{m}{b+1} - 1 < \frac{m}{b+1} = \frac{m}{x_e + y_e},$$



we may apply Lemma 2.1 with  $a$ ,  $b$ ,  $x$ , and  $y$  replaced by 1,  $(-b)^e$ ,  $x_e$ , and  $y_e$  respectively. That lemma implies that  $S(k)$  2-packs  $C(m)$  with packing set  $\{1, (-b)^e\}$ .

That

$$\lim_{b \rightarrow \infty} \frac{m^2}{k^3} = \frac{1}{\alpha}$$

is clear.

Note that the conditions  $b \equiv 1 \pmod{L}$  and  $\gcd(b, p) = 1$  are satisfied for infinitely many  $b$ ; just let  $b \equiv 1 \pmod{pL}$ . In fact, it can be shown by induction that  $\gcd(p, L) = 1$  and therefore for any integer  $a$  the simultaneous congruences  $b \equiv a \pmod{p}$  and  $b \equiv 1 \pmod{L}$  are solvable. Choosing  $a$  relatively prime to  $p$  forces  $b$  to be relatively prime to  $p$ .

**LEMMA 2.3.** *Let  $n \geq 3$  be an integer and let  $\alpha < 1$  be a positive rational number. Define  $\alpha_1 = 0$  and  $\alpha_{r+1} = \alpha/(1 - \alpha_r)$  for  $r \geq 1$ . Suppose  $0 \leq \alpha_r \leq 1$  for  $1 \leq r \leq n - 1$ . Then for each positive integer  $k$  there is an integer  $m(k)$  such that  $S(k)$   $n$ -packs  $C(m(k))$  and*

$$\lim_{k \rightarrow \infty} \frac{(m(k))^2}{k^3} = \frac{1}{\alpha}.$$

*Proof.* Let  $k$  be a positive integer. Let  $k'$  and  $k''$  be consecutive terms in the sequence of  $k$ 's produced in Lemma 2.2,  $k' < k \leq k''$ . Let  $m'$  and  $m''$  be the corresponding values in the sequence of  $m$ 's. Then  $S(k)$   $n$ -packs  $C(m'')$  and

$$\frac{(m'')^2}{k^3} = \left(\frac{k''}{k}\right)^3 \frac{(m'')^2}{(k'')^3}.$$

by the construction in Lemma 2.2,  $\lim_{k \rightarrow \infty} (k''/k') = 1$  and  $\lim_{k \rightarrow \infty} (m'')^2/(k'')^3 = 1/\alpha$ . Letting  $m(k) = m''$ , the proof is complete.

**LEMMA 2.4.** *Let  $\alpha > 1/4$ ,  $\alpha_1 = 0$ , and  $\alpha_{r+1} = \alpha/(1 - \alpha_r)$ . Let  $\theta = \cos^{-1}(1/(2\sqrt{\alpha}))$ . Then for any positive integer  $r < \pi/\theta$ ,*

$$\alpha_r = \sqrt{\alpha} \frac{\sin(r-1)\theta}{\sin r\theta} = 1 - \sqrt{\alpha} \frac{\sin(r+1)\theta}{\sin r\theta}.$$

The inductive proof is omitted.

**LEMMA 2.5.** *Let  $n \geq 3$ ,  $1/4 < \alpha \leq \frac{1}{4}\sec^2(\pi/n)$ . Define  $\alpha_r$  as in Lemma 2.4. Then  $0 < \alpha_r < 1$  for  $2 \leq r \leq n - 2$  and  $0 < \alpha_{n-1} \leq 1$ .*

*Proof.* We have

$$1 > \frac{1}{2\sqrt{\alpha}} \geq \cos \frac{\pi}{n}.$$

Thus  $\theta = \cos^{-1}(1/(2\sqrt{\alpha}))$  is less than or equal to  $\pi/n$ , or equivalently,  $n \leq \pi/\theta$ . By Lemma 2.4,  $\alpha_r > 0$  for  $r = 2, 3, \dots, n-1$  and  $\alpha_r < 1$  for  $2 \leq r \leq n-2$ . Moreover  $\alpha_{n-1} \leq 1$ , with equality holding only if  $\alpha = \frac{1}{4} \sec^2(\pi/n)$ .

**THEOREM 2.** For any integer  $n \geq 3$

$$\overline{\lim}_{k \rightarrow \infty} \frac{g(k, n)}{k^{3/2}} \leq 2 \cos \frac{\pi}{n}.$$

*Proof.* Let  $\varepsilon > 0$ . Pick a rational number  $\alpha > 1/4$  such that

$$4 \cos^2 \frac{\pi}{n} + \frac{\varepsilon}{2} > \frac{1}{\alpha} \geq 4 \cos^2 \frac{\pi}{n}.$$

Define  $\alpha_r$  as above. Then, by Lemmas 2.3 and 2.5, for  $k$  suitably large,

$$\frac{g(k, n)^2}{k^3} < \frac{1}{\alpha} + \frac{\varepsilon}{2} < 4 \cos^2 \frac{\pi}{n} + \varepsilon.$$

Hence

$$\overline{\lim}_{k \rightarrow \infty} \frac{g(k, n)}{k^{3/2}} \leq 2 \cos \frac{\pi}{n}, \text{ as claimed.}$$

We illustrate the construction for  $n = 3, 4, 6$ , and then 5. The first three cases coincide with the constructions given above.

For  $n = 3$ ,  $\frac{1}{4} \sec^2(\pi/n) = 1$ , a rational number which we may take as  $\alpha$ . We then have  $\alpha_1 = 0$ ,  $\alpha_2 = 1$ , so  $p = L = 1$ . Thus  $b$  may be any integer  $> 1$ ,

$$m = (b+1)((b-1)^2 + b) = (b+1)(b^2 - b + 1) = b^3 + 1$$

and

$$k = m/(b+1) - 1 = b^2 - b.$$

For  $n = 4$ ,  $\frac{1}{4} \sec^2(\pi/n) = 1/2$ , a rational number which we may take as  $\alpha$ . Then we have  $\alpha_1 = 0$ ,  $\alpha_2 = 1/2$ ,  $\alpha_3 = 1$ , so  $p = 1$  and  $L = 2$ . Thus  $b$  must be odd. Moreover,

$$m = (b+1)\left(\frac{1}{2}(b-1)^2 + b\right) = (b+1)(b^2 + 1)/2$$

and  $k = (b^2 - 1)/2$ .

For  $n = 6$ ,  $\frac{1}{4} \sec^2(\pi/n) = 1/3$ , which we may take as  $\alpha$ . We have  $\alpha_1 = 0$ ,  $\alpha_2 = 1/3$ ,  $\alpha_3 = 1/2$ ,  $\alpha_4 = 2/3$ ,  $\alpha_5 = 1$ , so  $p = 1$  and  $L = 6$ . Hence  $b \equiv 1 \pmod{6}$ ,

$$m = (b + 1)(b^2 + b + 1)/3 \quad \text{and} \quad k = (b^2 + b - 2)/3.$$

In each of these cases  $\frac{1}{4} \sec^2(\pi/n)$  is rational and so can be used as  $\alpha$ . For other values of  $n$  this is not possible. Since

$$\cos^2 \frac{\pi}{n} = \frac{1 + \cos(2\pi/n)}{2},$$

we see that  $(1/4) \sec^2(\pi/n)$  is rational if and only if  $\cos(2\pi/n)$  is. But  $\cos(2\pi/n)$ , for  $n \geq 3$ , generates a field of degree  $\varphi(n)/2$  over the rational field, so is rational only when  $n = 3, 4$ , or  $6$ .

For other values of  $n$ , we must let  $\alpha$  be a rational number less than  $\frac{1}{4} \sec^2(\pi/n)$ . For example, consider the case  $n = 5$ . We have  $\frac{1}{4} \sec^2(\pi/5) = (3 - \sqrt{5})/2$ . We may choose any rational number less than  $(3 - \sqrt{5})/2 \approx 0.382$  but as close to it as we please to serve as  $\alpha$ , say  $\alpha = 3/8$ . With this choice we have  $\alpha_1 = 0$ ,  $\alpha_2 = 3/8$ ,  $\alpha_3 = 3/5$ , and  $\alpha_4 = 15/16$ . Thus  $p = 3$  and  $L = 80$ , so we choose  $b \equiv 1$  or  $161 \pmod{240}$ . We have  $m = (b + 1)(3b^2 + 2b + 3)/8$ ,  $k = (3b^2 + 2b - 5)/8$ , and  $\lim m^2/k^3 = 8/3$ . Choosing  $b = 241$  gives a 5-packing with  $m^2/k^3 \approx 2.682$ .

By choosing rational numbers closer to  $\frac{1}{4} \sec^2(\pi/5)$  but less than it, we may produce 5-packings of  $S(k)$  with  $m^2/k^3$  as close as we please to  $4 \cos^2(\pi/5) = (3 + \sqrt{5})/2$ .

**3. A lower bound on  $g(k, n)$ .** We next develop a sequence of lemmas that will give a lower bound on  $g(k, n)$  for  $n \geq 3$ . The approach makes use of the smallest positive integers  $x$  and  $y$  in diagrams of the type shown in Fig. 1.1. Let  $t$  be the largest of the sums  $x + y$  for all pairs  $g$  and  $h$  in the packing sets that will be considered. On the one hand, it will be shown that  $m \leq \frac{1}{4}t^3 \sec^2(\pi/n)$ , so  $t \geq (4m)^{1/3} \cos^{2/3}(\pi/n)$ . On the other hand, it will be shown that  $m \geq (k + 1)t - t^2/4$  and from this that  $t \leq 2(k + 1) - 2\sqrt{(k + 1)^2 - m}$ . Combining the two inequalities for  $t$  yields an inequality linking  $k$  and  $m$  from which Theorem 3 will follow.

**LEMMA 3.1.** *If  $m < (k + 1)^2$  and  $S(k)$  2-packs an abelian group  $G$  of order  $m$  with packing set  $\{\alpha, \beta\}$ , then there are integers  $x$  and  $y$  such that  $1 \leq x$ ,  $y \leq k$ ,  $x\alpha + y\beta = 0$ , and  $m \geq (k + 1)(x + y) - xy$ .*

*Proof.* Consider the  $(k + 1)^2$  elements  $X\alpha + Y\beta$  in  $G$  with  $0 \leq X, Y \leq k$ . Since  $|G| < (k + 1)^2$ , some two of these must be equal; say  $X\alpha + Y\beta = X'\alpha + Y'\beta$  with  $X \geq X'$ . Then  $(X - X')\alpha = (Y' - Y)\beta$ ,

where  $0 \leq X - X' \leq k$  and  $-k \leq Y' - Y \leq k$ . However, since  $\{\alpha, \beta\}$  is a packing set for  $S(k)$ , we must have  $1 \leq X - X' \leq k$  and  $-k \leq Y' - Y \leq -1$ . In other words,  $(X - X')\alpha + (Y - Y')\beta = 0$  with  $1 \leq X - X' \leq k$  and  $1 \leq Y - Y' \leq k$ . Pick integers  $x$  and  $y$  so that  $(x, y)$  is as close as possible to  $(0, 0)$  such that  $x\alpha + y\beta = 0$ ,  $1 \leq x \leq k$ , and  $1 \leq y \leq k$ . We will show that  $m \geq (k + 1)(x + y) - xy$ .

Consider the elements  $X\alpha + Y\beta$  with  $0 \leq X, Y \leq k$  and either  $X < x$  or  $Y < y$ . There are  $(k + 1)(x + y) - xy$  such elements; we claim that they are distinct.

For suppose two are equal, say  $X\alpha + Y\beta = X'\alpha + Y'\beta$  with  $X \geq X'$ . As before,  $1 \leq X - X'$ ,  $Y - Y' \leq k$  and  $(X - X')\alpha + (Y - Y')\beta = 0$ . Furthermore, either  $X < x$  or  $Y < y$ , so either  $X - X' < x$  or  $Y - Y' < y$ . If both inequalities hold, then  $(X - X', Y - Y')$  contradicts the choice of  $(x, y)$ . So assume, without loss of generality, that  $X - X' < x$  and  $Y - Y' \geq y$ . Then  $(x - (X - X'))\alpha = ((Y - Y') - y)\beta$ ;  $1 \leq x - (X - X') \leq k$  and  $0 \leq (Y - Y') - y \leq k - y < k$ , contradicting the fact that  $\{\alpha, \beta\}$  is a packing set for  $S(k)$ . Hence the  $(k + 1)(x + y) - xy$  elements are distinct, implying that  $m \geq (k + 1)(x + y) - xy$ .

**LEMMA 3.2.** *Assume that  $\{\alpha, \beta, \gamma\}$  is a packing set for  $S(k)$  in a group  $G$  of order  $m < 2(k + 1)^{3/2}$ . Then  $\{\alpha, \beta, \gamma\}$  generates  $G$ .*

*Proof.* Let  $H$  be the subgroup of  $G$  generated by  $\{\alpha, \beta, \gamma\}$ . As was shown in [St1],  $(k + 1)^3 \leq |H|^2$ . If  $H$  is a proper subgroup of  $G$ ,  $|H| \leq |G|/2$ . Thus

$$(k + 1)^3 \leq \frac{m^2}{4}$$

so  $m \geq 2(k + 1)^{3/2}$ . This contradiction establishes the lemma.

Let  $\alpha, \beta, \gamma$  be nonzero elements in  $C(p)$  for some prime  $p$ . Assume that  $a, a', b, b', c, c'$  are integers not divisible by  $p$  such that

$$a\beta + a'\gamma = b\gamma + b'\alpha = c\alpha + c'\beta = 0.$$

Then, in the field  $\text{GF}(p)$  we have

$$\frac{a}{a'} = -\frac{\gamma}{\beta}, \frac{b}{b'} = -\frac{\alpha}{\gamma}, \frac{c}{c'} = -\frac{\beta}{\alpha}.$$

Thus, in  $\text{GF}(p)$ ,

$$\frac{a}{a'} \frac{b}{b'} \frac{c}{c'} = -1 \quad \text{so } abc + a'b'c' = 0.$$

That is,  $p|abc + a'b'c'$ . The next lemma generalizes this fact to all finite abelian groups.

LEMMA 3.3. *Let  $G$  be a finite abelian group of order  $m$ . Let  $\alpha$ ,  $\beta$ , and  $\gamma$  generate  $G$  and let  $a, b, c, a', b', c'$  be integers such that*

$$a\beta + a'\gamma = b\gamma + b'\alpha = c\alpha + c'\beta = 0;$$

*as in Fig. 3.1.*

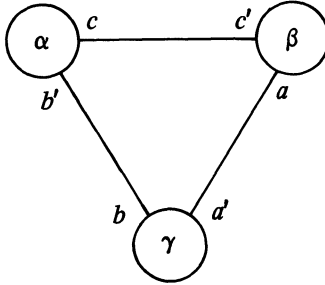


FIGURE 3.1

Then

$$m|abc + a'b'c'.$$

*Proof.* Consider the  $Z$ -lattice in  $R^3$ ,

$$L = \{(x, y, z) | x\alpha + y\beta + z\gamma = 0\}.$$

Since  $\alpha, \beta, \gamma$  generate  $G$ ,  $Z^3/L \simeq G$ , and thus  $|Z^3/L| = m$ . Let  $K$  be the lattice generated by  $(0, a, a'), (b', 0, b), (c, c', 0)$ . The determinant

$$\begin{vmatrix} 0 & a & a' \\ b' & 0 & b \\ c & c' & 0 \end{vmatrix}$$

is equal to  $abc + a'b'c'$ . Since  $K$  is a sublattice of  $L$ ,  $|Z^3: L|$  divides  $|Z^3: K|$ . That is,  $m$  divides  $abc + a'b'c'$ , which was to be proved.

We now begin the proof of Theorem 3, which will incorporate further lemmas at the appropriate points in the argument.

**THEOREM 3.** *If  $n \geq 3, k \geq 1, m \geq 1$ , and  $S(k)$   $n$ -packs an abelian group of order  $m$ , then*

$$k + 1 \leq \left(4 \cos^2 \frac{\pi}{n}\right)^{-1/3} m^{2/3} + \frac{1}{4} \left(4 \cos^2 \frac{\pi}{n}\right)^{1/3} m^{1/3}.$$

*Proof.* Suppose not. Then

$$k + 1 > \left(x + \frac{1}{4x}\right) \sqrt{m} \quad \text{where } x = \left(4 \cos^2 \frac{\pi}{n}\right)^{-1/3} m^{1/6}.$$

But  $x + 1/4x \geq 1$  for  $x > 0$ , so  $m < (k + 1)^2$ .

Let the packing set be  $\{g_0, \dots, g_{n-1}\}$ . Let  $K = k + 1$ . By Lemma 3.1, for  $i \neq j$ , there are integers  $a_{ij}$  with  $1 \leq a_{ij} \leq k$ ,  $a_{ij}g_i + a_{ji}g_j = 0$ , and  $m \geq K(a_{ij} + a_{ji}) - a_{ij}a_{ji}$ .

**LEMMA 3.4.** *Let  $m, K, a, a'$  be positive real numbers such that  $a, a' \leq K$  and  $K^2 \geq m \geq K(a + a') - aa'$ . Let  $t = a + a'$ . Then  $t \leq 2K - 2\sqrt{K^2 - m}$ .*

*Proof.* We have  $m \geq Kt - aa'$ . Since  $a + a' = t$ , the largest possible value of  $aa'$  is  $t^2/4$ . Hence  $m \geq Kt - t^2/4$  so  $t^2 - 4Kt \geq -4m$ . Completing the square shows that  $(2K - t)^2 \geq 4K^2 - 4m$  and, since  $2K - t \geq 0$ ,  $2K - t \geq \sqrt{4K^2 - 4m}$ , from which the lemma follows.

*Proof of Theorem 3 continued.* Let  $t = \max_{0 \leq i < j \leq n-1} (a_{ij} + a_{ji})$ . By Lemma 3.4,  $t \leq 2K - 2\sqrt{K^2 - m}$ .

Note that

$$K > \left(4 \cos^2 \frac{\pi}{n}\right)^{-1/3} m^{2/3} + \frac{1}{4} \left(4 \cos^2 \frac{\pi}{n}\right)^{1/3} m^{1/3} > \left(\frac{m}{2}\right)^{2/3}$$

so  $m < 2K^{3/2}$ . By Lemma 3.2, if  $i, j$ , and  $l$  are distinct indices between 0 and  $n - 1$ , then  $\{g_i, g_j, g_l\}$  generates  $G$ . By Lemma 3.3,  $m|a_{ij}a_{jl}a_{li} + a_{ji}a_{lj}a_{il}$  so  $m \leq a_{ij}a_{jl}a_{li} + a_{ji}a_{lj}a_{il}$ .

Let  $b_{ij} = a_{ij}/t$ . Then we have  $b_{ij} \geq 0$ ,  $b_{ij} + b_{ji} \leq 1$ , and  $m \leq t^3(b_{ij}b_{jl}b_{li} + b_{ji}b_{lj}b_{il})$ . The next two lemmas will allow us to derive a relationship between  $m, t$ , and  $n$  from these inequalities.

**LEMMA 3.5.** *Let  $n$  be an integer  $\geq 3$ . Let  $x_1, x_2, \dots, x_{n-1}$  be real numbers,  $0 \leq x_i \leq 1$ . Then there are distinct indices  $j$  and  $l$  such that*

$$x_j(1 - x_l) \quad \text{and} \quad x_l(1 - x_j)$$

*are both less than or equal to  $\frac{1}{4}\sec^2(\pi/n)$ . This is best possible in the sense that  $\frac{1}{4}\sec^2(\pi/n)$  cannot be replaced by a smaller number.*

*Proof.* Let  $\alpha = \frac{1}{4}\sec^2(\pi/n)$ ,  $\alpha_1 = 0$  and  $\alpha_{i+1} = \alpha/(1 - \alpha_i)$ . By Lemmas 2.4 and 2.5,  $0 = \alpha_1 < \alpha_2 < \dots < \alpha_{n-1} = 1$ , and the interval  $[0, 1]$  is partitioned into  $n - 2$  sections,  $[\alpha_1, \alpha_2], [\alpha_2, \alpha_3], \dots, [\alpha_{n-2}, \alpha_{n-1}]$ . Hence some section, say  $[\alpha_p, \alpha_{p+1}]$ , contains a pair  $x_j$  and  $x_l, l \neq j$ . We then have

$$x_j(1 - x_l) \leq \alpha_{p+1}(1 - \alpha_p) = \alpha$$

and

$$x_l(1 - x_j) \leq \alpha_{p+1}(1 - \alpha_p) = \alpha.$$

To show that this result is best possible, consider the sequence  $x_i = \alpha_i$ ,  $i = 1, 2, \dots, n - 1$ . Note that  $x_{i+1}(1 - x_i) = \alpha$ . Thus, if  $j > i$ ,  $x_j(1 - x_i) \geq \alpha$ . Hence, if  $j \neq l$  at least one of  $x_j(1 - x_l)$  and  $x_l(1 - x_j)$  is  $\geq \alpha = \frac{1}{4}\sec^2(\pi/n)$ .

**LEMMA 3.6.** *Let  $n$  be an integer  $\geq 3$ . For  $0 \leq i, j \leq r - 1$ ,  $i \neq j$ , let  $b_{ij}$  be nonnegative real numbers such that  $b_{ij} + b_{ji} \leq 1$ . Then for some  $j$  and  $l$ ,  $0 < j < l \leq r - 1$ ,*

$$b_{0j}b_{jl}b_{l0} + b_{j0}b_{lj}b_{0l} \leq \frac{1}{4}\sec^2\frac{\pi}{n}.$$

*Proof.* Let  $x_i = b_{0i}$ ,  $i = 1, 2, \dots, n - 1$ . By Lemma 3.5, there are distinct indices  $j$  and  $l$  such that  $x_j(1 - x_l)$  and  $x_l(1 - x_j)$  are both  $\leq \frac{1}{4}\sec^2(\pi/n)$ . Then

$$\begin{aligned} b_{0j}b_{jl}b_{l0} + b_{j0}b_{lj}b_{0l} &\leq (b_{jl} + b_{lj})\max(b_{0j}b_{l0}, b_{j0}b_{0l}) \\ &\leq 1 \cdot \max(b_{0j}(1 - b_{0l}), b_{0l}(1 - b_{0j})) \leq \frac{1}{4}\sec^2(\pi/n). \end{aligned}$$

*Proof of Theorem 3 continued.* By Lemma 3.6 we have  $m \leq (t^3/4)\sec^2(\pi/n)$  so  $t \geq (4\cos^2(\pi/n))^{1/3}m^{1/3}$ . Combining this with the inequality  $t \leq 2K - 2\sqrt{K^2 - m}$  proved above, we obtain  $C \leq 2K - 2\sqrt{K^2 - m}$ , where  $C = (4\cos^2(\pi/n))^{1/3}m^{1/3}$ . Hence  $2\sqrt{K^2 - m} \leq 2K - C$ . Squaring and simplifying gives  $K \leq m/C + C/4$  from which Theorem 3 follows.

For  $n \geq 3$ , Theorem 3 implies that

$$\lim_{k \rightarrow \infty} \frac{g(k, n)}{k^{3/2}} \geq 2 \cos \frac{\pi}{n}.$$

Combining this with Theorem 2 completes the proof of Theorem 1.

**4. Some questions.** For  $n = 3$  and  $4$  a stronger version of Theorem 3 holds, namely  $k + 1 \leq (4\cos^2(\pi/n))^{-1/3}m^{2/3}$ . The case  $n = 3$  is treated in [St1] and the case  $n = 4$  by Hickerson through a method that does not seem to generalize to larger values of  $n$ . These facts suggest two questions.

Let  $n \geq 3$  and  $k \geq 1$ . Is  $g(k, n)/(k + 1)^{3/2} \geq 2 \cos(\pi/n)$ ?

For  $n \geq 3$  what is the exact value of  $g(k, n)$ ?

The cases  $n = 3, 4$ , and  $6$  also suggest the following question:

Let  $g'(k, n)$  be the smallest value of  $m$  for which  $S(k)$   $n$ -packs  $C(m)$  with a packing set which is a multiplicative subgroup of the ring of

integers mod  $m$ . What is  $\lim_{k \rightarrow \infty} (g'(k, n)/k^{3/2})$ ? Even for  $n = 5$  the answer is not known.

See [St2] for further information about  $g(k, n)$  and a discussion of related problems.

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