

# Pacific Journal of Mathematics

## **QUOTIENTS OF NEST ALGEBRAS WITH TRIVIAL COMMUTATOR**

GARETH J. KNOWLES

# QUOTIENTS OF NEST ALGEBRAS WITH TRIVIAL COMMUTATOR

GARETH J. KNOWLES

**The main result of this paper is to show that every operator  $T$  commuting with a nest algebra modulo a two-sided ideal  $\mathcal{J}$  of  $\mathcal{L}(H)$  is of the form  $T = \lambda I + J$  for some  $\lambda \in C$ ,  $J \in \mathcal{J}$ .**

**Introduction.** The structure of commutators of non-selfadjoint operator algebras has received considerable interest in recent years [4, 5, 6, 8, 9, 13, 16 and their references] ([7] contains a good survey of known results). However, results for perturbed algebras in general and finite perturbations in particular are not available except for the special case of the ideal  $\mathcal{K}$  of all compact operators. To put the results proven here into perspective, we mention two well known and particularly useful special cases. For any subalgebra  $\mathcal{A}$  of  $\mathcal{L}(H)$  and any subset  $\mathcal{M}$  of  $\mathcal{L}(H)$ , denote by  $C(\mathcal{A}, \mathcal{M})$  the collection  $\{T \in \mathcal{L}(H): AT - TA \in \mathcal{M} \text{ for every } A \in \mathcal{A}\}$ . We now state:

I. (Calkin [3].) Given any ideal  $\mathcal{J}$  (two-sided) of  $\mathcal{L}(H)$ ,

$$C(\mathcal{L}(H), \mathcal{J}) = CI + \mathcal{J}.$$

Using the results of Johnson and Parrott [11] on  $C(\mathcal{B}, \mathcal{K})$  for  $\mathcal{B}$ , a type I von Neumann algebra, Christensen and Peligrad were able to show the following.

II. (Christensen and Peligrad [5].) For any nest algebra  $\mathcal{A}$ ,

$$C(\mathcal{A}, \mathcal{K}) = CI + \mathcal{K}.$$

It should be mentioned that II was shown to have an extension to the von Neumann-Schatten  $p$ -classes in [7].

The central result of this paper is to show that I and II above are “endpoints” of a very general theorem concerning commutators. This result can be stated as:

III. For any nest algebra  $\mathcal{A}$  and any ideal  $\mathcal{J}$  of  $\mathcal{L}(H)$ ,

$$C(\mathcal{A}, \mathcal{J}) = CI + \mathcal{J}.$$

Combining III with the main result of [4], we obtain:

IV. Any derivative of a nest algebra into an ideal (two-sided)  $\mathcal{J}$  of  $\mathcal{L}(H)$  is implemented by an operator from  $\mathcal{J}$ .

I would like to thank C. Apostol for his helpful conversation.

For the purpose of this paper,  $\mathcal{A}$  will denote the nest algebra of all operators acting on a fixed separable Hilbert space  $H$  leaving invariant a (complete) totally ordered nest of subspaces  $N$ . Denote by  $\mathcal{E}$  the corresponding totally ordered nest of orthogonal projections onto the members of  $\mathcal{N}$ . If  $\mathcal{E} = \{E_n\}_{n \in \mathbb{Z}}$ , let  $\Delta_i$  be the orthogonal projection  $E_i - E_{i-1}$ .  $\mathcal{J}$  will denote an arbitrary but non-zero two-sided ideal of  $\mathcal{L}(H)$ . It is well known [10] that  $\mathcal{F} \subseteq \mathcal{J} \subseteq \mathcal{K}$ , where  $\mathcal{F}$  denotes the ideal of all finite rank operators. (Note that all the results below are obviously true for  $\mathcal{J} = (0)$ .)

Essential use will be made of the identification between such an ideal  $\mathcal{J}$  and its corresponding ideal set  $\tilde{\mathcal{J}}$  of  $s$ -numbers in  $c_0(N)$  satisfying

- (i)  $\{\lambda_i\}, \{\mu_i\}$  in  $\tilde{\mathcal{J}}$  implies  $\{\lambda_i + \mu_i\}$  in  $\tilde{\mathcal{J}}$ .
- (ii)  $\{\lambda_i\} \in \tilde{\mathcal{J}}$  and  $0 \leq \mu_i \leq \lambda_i$  for every  $i \in N$  implies  $\{\mu_i\} \in \tilde{\mathcal{J}}$ .
- (iii) For any permutation  $\pi: \mathbb{N} \rightarrow \mathbb{N}$ ,  $\{\lambda_i\}$  in  $\tilde{\mathcal{J}}$  implies that  $\{\lambda_{\pi(i)}\}$  is in  $\tilde{\mathcal{J}}$ .

This identification is given by  $s: T \rightarrow \sigma((T^*T)^{1/2})$ . We will use the standard notation  $s_j(T)$  for the  $j$ th eigenvalue of  $(T^*T)^{1/2}$ . Given  $T$  in  $\mathcal{L}(H)$ , denote by  $\delta_T$  the map from  $\mathcal{A}$  to  $\mathcal{L}(H)$  given by  $\delta_T(A) = AT - TA$ . Let  $x \otimes y$  be the rank one operator  $(x \otimes y)z = \langle z, x \rangle y$ . By c.l.s.  $\{S\}$  will be meant the closed linear span in the norm topology of the set  $S$ .

**Commutants of nest algebras modulo  $\mathcal{J}$ .** In order to prove III, we initially divide the problem into three cases:

- (i) There exists a projection  $E$  into  $\mathcal{E}$  with infinite range and kernel.
- (ii) There exists an increasing sequence  $\{E_n\}_{n=0}^\infty$  of finite dimensional projections in  $\mathcal{E}$ , with  $E = \sup E_n$  having finite dimensional kernel.
- (iii) There exists a decreasing sequence  $\{E_n\}_{n=0}^\infty$  of finite co-dimensional projections in  $\mathcal{E}$ , with  $E = \inf E_n$  having finite range.

*Case (i).* As in [5] we note that there will exist a partial isometry  $V$  in  $\mathcal{A}$  with  $VV^* = E$  and  $V^*V = I - E$ . Thus both  $E\mathcal{L}(H)EV$  and  $V(I - E)\mathcal{L}(H)(I - E)$  are subsets of  $\mathcal{A}$ . Let  $\delta_K$  be a (bounded) derivation from  $\mathcal{A}$  into  $\mathcal{J}$ . For any  $X$  in  $\mathcal{L}(H)$ ,  $\delta_K(EXEV) = \delta_K(EXE)V + EXE\delta_K(V)$ , it will immediately follow that  $\delta_K(EXE)E$  is

in  $\mathcal{J}$ . Define the ideal  $\mathcal{J}_1$  of  $\mathcal{L}(EH)$  to be

$$\mathcal{J}_1 = \{ETE : T \in \mathcal{J}\}.$$

Consider the action of  $\delta_{EKE}$  on  $\mathcal{L}(EH)$ . For any  $X$  in  $\mathcal{L}(H)$ ,

$$\delta_{EKE}(EXE) = E(XEK - KEX)E = E\delta_K(EXE)E.$$

Thus  $\delta_{EKE}$  derives  $\mathcal{L}(EH)$  into  $\mathcal{J}_1$ . An application of I above will show that  $EKE = \lambda E + T_1$  for some  $T_1$  in  $\mathcal{J}_1$ . An exactly similar argument will show that  $(I - E)K(I - E)$  is of the form  $\mu(I - E) + T_2$ , where  $T_2 = (I - E)T_2(I - E)$  for some  $T_2 \in \mathcal{J}$ . In addition,  $EK(I - E) = E\delta_K(E)(I - E) = ET_3(I - E)$  with  $T_3 \in \mathcal{J}$ . Similarly,  $(I - E)KE = (I - E)\delta_K(I - E)E = (I - E)ET_4E$  with  $T_4 \in \mathcal{J}$ . Therefore,  $K$  can be written as:

$$K = \begin{bmatrix} \lambda & \\ & \mu \end{bmatrix} + \begin{bmatrix} T_1 & T_4 \\ T_3 & T_2 \end{bmatrix},$$

where the second term  $T$  is in  $\mathcal{J}$ . All that remains is to show  $\lambda = \mu$ . Note, however, that since  $V \in \mathcal{A}$ , we have

$$(\lambda E + \mu(I - E) + T)V - V(\lambda E + \mu(I - E) + T) \in \mathcal{J}.$$

It immediately follows that  $(\lambda - \mu)E \in \mathcal{J}$ , showing  $\lambda = \mu$ .

*Case (ii).* In order to prove case (ii), it will be necessary to further subdivide case (ii) into (ii) (a)  $\mathcal{J} \neq \mathcal{F}$  and (ii) (b)  $\mathcal{J} = \mathcal{F}$ . Before beginning the proof of either, we note that it may as well be assumed that  $\mathcal{E}$  is the classical nest of one-dimensional jumps on  $l^2(N)$ . That is, with respect to the usual basis  $\{e_j\}_{j=1}^\infty$ ,  $E_n$  is given as the projection onto the closed linear span of  $\{e_j\}_{j=1}^n$ .

*Case (ii)a.* Let  $\delta_K$ .  $\text{Alg}\{E_n\} \rightarrow \mathcal{J}$ . It follows from II that we can assume  $K$  is compact. Fix a  $c_0(N)$  sequence  $\{\varepsilon_i\}$  in  $\tilde{\mathcal{J}}$  satisfying  $\varepsilon_1 > \varepsilon_2 > \dots > 0$ . Define a partial isometry  $A$  in  $\mathcal{A}$  by  $A^*e_i = e_{n_i}$ , where  $n_i > n_{i-1}$  and  $\|\Delta_{n_i}AK\| < 2^{-i}\varepsilon_i$ . That this is possible follows from the compactness of  $K$  and the observation that  $(I - E_n) \downarrow 0$  strongly. It can now be seen that  $AK$  is the operator with the property that  $\Delta_n AK = \Delta_{n_i} K$ . We claim that  $s(AK)$  is dominated by  $\{\varepsilon_i\}$ , and thus  $AK \in \mathcal{J}$  by (iii). That this holds is an application of [1]. Indeed we have

$$s_{n+1}(AK) \leq \|(I - E_n)AK\| \leq \sum_{j=n+1}^{\infty} \|\Delta_j AK\| < \varepsilon_{n+1}$$

since, in particular,  $\text{rank } E_n AK \leq n$ .

Thus, necessarily  $KA$  is also in  $\mathcal{J}$ . Moreover,

$$s(KA) = s(A*K*) = \sigma[(KAA*K*)^{1/2}] = \sigma[(KK*)^{1/2}] = s(K),$$

showing  $K$  is also in  $\mathcal{J}$ .

*Case (ii)b.* It is not too difficult to show that this result follows from case (ii)a using the fact that  $\bigcap\{\mathcal{J}: \mathcal{J} \supsetneq \mathcal{F}\} = \mathcal{F}$ . However, the following proof is of independent interest in that it provides a concrete example of an operator  $A$  such that  $\{\delta_T(A) \notin \mathcal{F} \text{ for a given } T \notin CI + \mathcal{F}\}$ . Since  $\delta_X(A) \subseteq \mathcal{F}$  if and only if  $\delta_{X^*}(A^*) \subseteq \mathcal{F}$ , it may as well be assumed that  $\mathcal{A}$  is the algebra of all (bounded) lower triangular matrices with respect to the basis  $\{e_n\}$ . Let  $\delta_T: \mathcal{A} \rightarrow \mathcal{F}$ . Suppose, contrary to the assertion of III, that  $T \notin CI + \mathcal{F}$ . We shall construct sequences  $\{x_n\}$ ,  $\{y_n\}$  of unit vectors together with associated projections  $E_{m(n)}$  and  $E_{j(n)}$  satisfying

$$(i) \langle x_j, x_k \rangle = \langle Tx_j, x_k \rangle = 0 \text{ for } j \neq k.$$

$$(ii) x_n = E_{m(n)}x_n \text{ and } y_n = (E_{j(n)} - E_{m(n)})y_n.$$

(iii)  $\{Ty_k - \langle Tx_k, x \rangle y_k\}_{k=1}^n$  are linearly independent vectors for each  $n \in \mathbb{N}$ . The construction is an inductive one.

$k = 1$ . Let  $x_1 = e_1$ . If for every  $e_j$ ,  $j > 1$ ,  $Te_j = \langle Te_1, e_1 \rangle e_j$ , it will immediately follow that  $T = \langle Te_1, e_1 \rangle I + K$  for  $K$ , a rank two operator, contrary to our assumption. Take  $y_1 = e_k$ , where  $k$  is the first integer with  $Te_k \neq \langle Te_1, e_1 \rangle e_k$ . It is easily seen that  $(x_1, y_1)$  satisfies (i), (ii) and (iii) above.

$k = n$  implies  $k = n + 1$ . Suppose that  $\{x_i\}_{i=1}^n$  and  $\{y_i\}_{i=1}^n$  have been chosen to satisfy (i) through (iii). Let  $H_n$  be c.l.s.  $\{x_1, \dots, x_n, Tx_1^*, \dots, Tx_n^*\}$  and note that  $E_{2n+1}(H_n) \subsetneq E_{2n+1}(H)$ . From this we deduce the existence of a unit vector  $x_{n+1} = E_{2n+1}x_{n+1}$  satisfying (i) for  $j, k \leq n + 1$ . Take  $E_{m(n+1)} = E_{2n+1}$ .

Define  $\tilde{H}_n$  to be c.l.s.  $\{y_1, \dots, y_n, Ty_1^*, \dots, Ty_n^*\}$  and  $\lambda = \langle Tx_{n+1}, x_{n+1} \rangle$ . Suppose that, for every  $I > E \geq E_{m(n+1)}$  and  $y \in (E - E_{m(n+1)})\tilde{H}_n$ ,  $Ty - \lambda y$  is in  $\tilde{H}_n$ . It would immediately follow that  $(T - \lambda)(I - E_{m(n+1)}) \in \mathcal{F}$ . That is,  $T = \lambda I + F$  for some  $F$  in  $\mathcal{F}$ , contrary to our assumption. Thus, for some  $j(n+1) > m(n+1)$ , we have both  $y_{n+1} \in (E_{j(n+1)} - E_{m(n+1)})H$  and  $Ty_{n+1} - \lambda y_{n+1} \notin \tilde{H}_n$ .

Let  $A$  be the operator

$$A = \sum_{n=1}^{\infty} x_n \otimes y_n.$$

Now each  $x_n \otimes y_n$  is in  $\mathcal{A}$  and  $\mathcal{A}$  is strongly closed; therefore,  $A \in \mathcal{A}$ . Consider the vector  $w_k = (TA - AT)x_k = Ty_k - \langle Tx_k, x_k \rangle y_k$ . From (iii) it follows that, for each  $n$ ,  $\{w_k\}_{k=1}^n$  are linearly independent vectors in the range of  $\delta_T(A)$ .

*Case (iii).* If  $X$  derives  $\mathcal{A}$  into  $\mathcal{J}$ , then  $X^*$  derives  $\mathcal{A}^*$  into  $\mathcal{J}$ . Since  $\mathcal{A}^* = \text{Alg}\{I - E_n\}$ , where  $\{I - E_n\}$  satisfies the hypotheses of case (ii), we obtain case (iii).

In order to prove IV, we simply combine III with the main result of [4], which says that any derivation of a nest algebra into  $\mathcal{L}(H)$  is inner.

**COROLLARY.** *It easily follows that for any generalized commutator pair  $AB$ , with  $AT - TB$  in  $\mathcal{J}$  for all  $T$  in  $\mathcal{A}$  implies  $A, B$  are both in  $CI + \mathcal{J}$ .*

**REMARK.** There has been considerable recent interest in automorphisms of perturbed algebras [14], determining under which circumstances an automorphism of  $\mathcal{A} + \mathcal{J}$  is inner. For nests indexed by  $\mathbf{N}$  and  $\mathcal{J} = \mathcal{K}$ , it is shown in [14] that every automorphism is inner. In the general situation there will exist outer automorphisms (for example, the bilateral shift acting on the classical nest of one-dimensional jumps indexed by  $\mathbf{Z}$ ). Indeed, it is shown in [16] and [6] that these have a rather rich structure being isomorphic to the group of all dimension preserving order isomorphisms of the underlying nest. However, a key to all these results is the fact [2] that  $\mathcal{A} + \mathcal{K}$  is precisely all operators  $T$  in  $\mathcal{L}(H)$  such that  $E \rightarrow (I - E)TE$  is continuous from  $\mathcal{E}$  (strong operator topology) to  $\mathcal{K}$  (norm topology). In the situation of arbitrary (two sided) ideals, this does not hold even for tractable classes such as symmetrically normed ideals [12].

## REFERENCES

- [1] Dz. E. Allahverdiev, *On the rate of approximation of completely continuous operators by finite dimensional operators*, Azerbaidzan. Gos. Univ. Učen. Zap. Ser. Fiz.-Mat. i Him. Nauk, **2** (1957), 27–35 (Russian).
- [2] W. B. Arveson, *Interpolation problems in nest algebras*, J. Funct. Anal., **20** (1975), 208–233.
- [3] J. W. Calkin, *Two-sided ideals and congruences in the ring of bounded operators on Hilbert space*, Ann. Math., **42** (1941), 839–873.
- [4] E. Christensen, *Derivations of nest algebras*, Math. Ann., **229** (1977), 155–161.
- [5] E. Christensen and C. Peligrad, *Commutants of nest algebras modulo the compact operators*, Kobenhavns Univ. Mat. Inst. Preprint Series #31, Nov. 1978.

- [6] K. R. Davidson and B. H. Wagner, *Automorphisms of quasitriangular algebras*, preprint.
- [7] J. A. Erdos, *Non-selfadjoint operator algebras*, Proc. R. Irish Acad. 81A (1981), 127–145.
- [8] J. A. Erdos and S. Giotopoulos, *On some commutators of operators*, J. Operator Theory, **12** (1984), 47–64.
- [9] J. A. Erdos and S. C. Power, *Weakly closed ideals of nest algebras*, J. Operator Theory, **7** (1982), 219–235.
- [10] P. R. Halmos, *A Hilbert Space Problem Book*, D. van Nostrand-Reinhold, Princeton, New Jersey, 1967.
- [11] B. E. Johnson and S. K. Parrott, *Operators commuting with a von Neumann algebra modulo the set of compact operators*, J. Funct. Anal., **11** (1972), 39–61.
- [12] G. J. Knowles,  *$C_p$ -perturbations of nest algebras*, Proc. Amer. Math. Soc., **92** (1984), 37–40.
- [13] E. C. Lance, *Cohomology and perturbations of nest algebras*, Proc. London Math. Soc., **43** (1981), 334–356.
- [14] J. K. Plastiras, *Quasitriangular operator algebras*, Pacific J. Math., **64** (1976), 543–550.
- [15] C. E. Rickart, *General Theory of Banach Algebras*, D. van Nostrand Co., Inc. (1966).
- [16] B. E. Wagner, *Derivations of quasitriangular algebras*, Pacific J. Math., **114** (1984), 243–255.

Received August 20, 1984 and in revised form January 15, 1986.

DEPARTMENT OF ELECTRICAL ENGINEERING  
TEXAS TECH UNIVERSITY  
LUBBOCK, TX 79409

# PACIFIC JOURNAL OF MATHEMATICS

## EDITORS

V. S. VARADARAJAN  
(Managing Editor)  
University of California  
Los Angeles, CA 90024  
HERBERT CLEMENS  
University of Utah  
Salt Lake City, UT 84112  
R. FINN  
Stanford University  
Stanford, CA 94305

HERMANN FLASCHKA  
University of Arizona  
Tucson, AZ 85721  
RAMESH A. GANGOLLI  
University of Washington  
Seattle, WA 98195  
VAUGHAN F. R. JONES  
University of California  
Berkeley, CA 94720  
ROBION KIRBY  
University of California  
Berkeley, CA 94720

C. C. MOORE  
University of California  
Berkeley, CA 94720  
H. SAMELSON  
Stanford University  
Stanford, CA 94305  
HAROLD STARK  
University of California, San Diego  
La Jolla, CA 92093

## ASSOCIATE EDITORS

R. ARENS      E. F. BECKENBACH      B. H. NEUMANN      F. WOLF      K. YOSHIDA  
(1906–1982)

## SUPPORTING INSTITUTIONS

UNIVERSITY OF ARIZONA	UNIVERSITY OF OREGON
UNIVERSITY OF BRITISH COLUMBIA	UNIVERSITY OF SOUTHERN CALIFORNIA
CALIFORNIA INSTITUTE OF TECHNOLOGY	STANFORD UNIVERSITY
UNIVERSITY OF CALIFORNIA	UNIVERSITY OF HAWAII
MONTANA STATE UNIVERSITY	UNIVERSITY OF TOKYO
UNIVERSITY OF NEVADA, RENO	UNIVERSITY OF UTAH
NEW MEXICO STATE UNIVERSITY	WASHINGTON STATE UNIVERSITY
OREGON STATE UNIVERSITY	UNIVERSITY OF WASHINGTON



<b>Jacob Burbea</b> , Boundary behavior of holomorphic functions in the ball .....	1
<b>Jan Dijkstra</b> , Strong negligibility of $\sigma$ -compacta does not characterize Hilbert space .....	19
<b>Ruy Exel</b> , Rotation numbers for automorphisms of $C^*$ algebras .....	31
<b>Howard Jacobowitz</b> , The canonical bundle and realizable CR hypersurfaces .....	91
<b>James T. Joichi and Dennis Warren Stanton</b> , Bijective proofs of basic hypergeometric series identities .....	103
<b>Gareth J. Knowles</b> , Quotients of nest algebras with trivial commutator .....	121
<b>Murray Angus Marshall</b> , Exponentials and logarithms on Witt rings .....	127
<b>Courtney Hughes Moen</b> , The dual pair $(U(3), U(1))$ over a $p$ -adic field ....	141
<b>William Ortmeyer</b> , Surgery on a class of pretzel knots .....	155
<b>John Gerard Ryan</b> , Extensions of representations of Lie algebras .....	173
<b>Ivan Charles Sterling</b> , A generalization of a theorem of Delaunay to rotational $W$ -hypersurfaces of $\sigma_l$ -type in $H^{n+1}$ and $S^{n+1}$ .....	187
<b>Vesko M. Valov</b> , Another characterization of $AE(0)$ -spaces .....	199