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PSEUDOGRUUPS OF C^1 PIECEWISE PROJECTIVE HOMEOMORPHISMS

PETER ABRAHAM GREENBERG

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The group $\text{PSL}_2\mathbf{R}$ acts transitively on the circle $S^1 = \mathbf{R} \cup \infty$, by linear fractional transformations. A homeomorphism $g: U \rightarrow V$ between open subsets of \mathbf{R} is called C^1 , *piecewise projective* if g is C^1 , and if there is some locally finite subset S of U such that, on each component of $U - S$, g agrees with some element of $\text{PSL}_2\mathbf{R}$. Let $\Gamma_{\mathbf{R}}$ be the pseudogroup of such homeomorphisms. We show that the Haefliger classifying space $B\Gamma_{\mathbf{R}}$ is simply connected, and that there is a homology isomorphism $i: \widetilde{B\text{PSL}_2\mathbf{R}} \rightarrow B\Gamma_{\mathbf{R}}$. ($\widetilde{\text{PSL}_2\mathbf{R}}$ is the universal cover of $\text{PSL}_2\mathbf{R}$, considered as a discrete group.) As a consequence, the classifying space of the discrete group of compactly supported, C^1 piecewise projective homeomorphisms of \mathbf{R} is a "homology loop space" of $\widetilde{B\text{PSL}_2\mathbf{R}}$.

1.1. Introduction. More generally, let $F \subset \mathbf{R}$ be a subfield of \mathbf{R} . PSL_2F acts on the circle $\mathbf{R} \cup \infty$. The orbit of $1 \in F$ is $F \cup \infty$.

1.2. DEFINITION. Γ_F is the pseudogroup of C^1 homeomorphisms $g: U \rightarrow V$ between open subsets of \mathbf{R} , so that there is some locally finite subset S of $U \cap (F \cup \infty)$ such that, on each connected component of $U - S$, g agrees with some element of PSL_2F .

The set of restrictions of elements of PSL_2F to open subsets of \mathbf{R} forms a subpseudogroup of Γ_F whose classifying space, the total space of the circle bundle over $\widetilde{B\text{PSL}_2F}$, is homotopy equivalent to $\widetilde{B\text{PSL}_2F}$, where $\widetilde{\text{PSL}_2F}$ is defined as the pullback

$$\begin{array}{ccc} \widetilde{\text{PSL}_2F} & \rightarrow & \widetilde{\text{PSL}_2\mathbf{R}} \\ \downarrow & & \downarrow \\ \text{PSL}_2F & \rightarrow & \text{PSL}_2\mathbf{R} \end{array}$$

Therefore, there is an inclusion map $i: \widetilde{B\text{PSL}_2F} \rightarrow B\Gamma_F$.

1.3. THEOREM. $\pi_1 B\Gamma_F = 0$, and i is a homology equivalence.

1.4. DEFINITION. The group of compactly supported Γ_F homeomorphisms, denoted K_F , is the group of elements of Γ_F which are compactly supported homeomorphisms of the line \mathbf{R} .

Following Segal's proof [Se2] of an extension of Mather's theorem [Ma] we find:

1.5. PROPOSITION. *There is a homology equivalence $BK_F \rightarrow \Omega B\Gamma_F$.*

The proof of 1.5 involves the construction of a homology fibration [McS] $BK_F \rightarrow M \rightarrow B\Gamma_F$ where M is contractible. Pulling this fibration back over $\widetilde{BPSL}_2 F$ by the inclusion i of 1.3 we obtain:

1.6. COROLLARY. *There is a homology fibration $BK_F \rightarrow E \rightarrow \widetilde{BPSL}_2 F$ where E is acyclic, and the fundamental group of $\widetilde{BPSL}_2 F$ acts trivially on the homology of the fiber.*

1.7. Organization. In §2 Theorem 1.3 is proved, as an application of Corollary 1.10 of [G2]. In §3, 1.5 is proved, using a generalization of Segal's proof [Se2] of a generalization of Mather's theorem [Ma]. The generalization is outlined in §4.

2. Proof of 1.3. One may think of Γ_F as constructed from the action of $PSL_2 F$ on S^1 by adding C^1 singularities at isolated points of F . As a consequence, 1.10 of [G2] says that $B\Gamma_F$ is weakly homotopy equivalent to the direct limit of the diagram

$$(2.1) \quad \begin{array}{ccccc} BA & \xrightarrow{j} & BG^P & \xrightarrow{l} & BA \\ & & \downarrow r & & \\ & & BA & & \\ & \downarrow & & & \\ & \widetilde{BPSL}_2 F & & & \end{array}$$

where A is the discrete group of germs of projective maps fixing 0, and G^P is the discrete group of germs of Γ_F maps fixing 0. The map j is inclusion, and l and r arise from the fact that an element of G^P , restricted to the left or right side of 0, can be identified with an element of A . Theorem 1.3 will follow from an analysis of diagram (2.1).

Let F^+ be the positive, nonzero squares of F , considered as a group under multiplication. It is well known that A is a subgroup of the one-dimensional affine group of F , an extension $F \rightarrow A \xrightarrow{d} F^+$ where F^+ acts on F by multiplication. Since $d: A \rightarrow F^+$ is the derivative map, G^P is the pullback

$$\begin{array}{ccc} G^P & \xrightarrow{l} & A \\ r \downarrow & & \downarrow d \\ A & \xrightarrow{d} & F^+ \end{array}$$

and therefore G^P is an extension $F^2 \rightarrow G^P \rightarrow F^+$, with F^+ acting on F^2 by multiplication: $f(a, b) = (fa, fb)$.

Let R be the pushout of

$$\begin{array}{ccc} BG^P & \xrightarrow{!} & BA \\ \downarrow r & & \\ BA & & \end{array}$$

2.2. LEMMA. *The inclusion $j: BA \rightarrow BG^P$ induces a homology equivalence $BA \rightarrow R$.*

Assuming 2.2 for now, we prove 1.3.

By 2.2 and 2.1 it is clear that $\widetilde{BPSL_2F} \rightarrow B\Gamma_F$ is a homology equivalence. It remains to show that $\pi_1 B\Gamma_F = 0$.

We first compute $\pi_1 R$. By Van Kampen's theorem, $\pi_1 R = A \times_{G^P} A$. Elements in either A factor with derivative 1 are equal to 1 in $\pi_1 R$. On the other hand, $\pi_1 R \rightarrow F^+$. It follows that $\pi_1 R$ is isomorphic to F^+ .

Now by (2.1), $\pi_1 B\Gamma_F \simeq \widetilde{PSL_2F} \times_A F^+$, which is isomorphic to $\widetilde{PSL_2F}$ modulo the normal subgroup $N(F)$ generated by the subgroup F of $\widetilde{PSL_2F}$. We now show that $N(F)$ is all of $\widetilde{PSL_2F}$.

Consider $\widetilde{PSL_2F}$ acting on $S^1 = \mathbf{R} / \mathbf{Z}$, and $\widetilde{PSL_2F}$ as acting on \mathbf{R} , so that A is the subgroup of $\widetilde{PSL_2F}$ fixing each integer. Since [La] $\widetilde{PSL_2F}$ is simple, to show that $N(F) = \widetilde{PSL_2F}$, it suffices to prove that $N(F)$ contains the translation $t: x \mapsto x + 1$.

In fact, $N(\mathbf{Z})$ contains t . For $\widetilde{PSL_2F}$ contains $\widetilde{PSL_2\mathbf{Z}}$ as a subgroup, which contains t . Further, $\widetilde{PSL_2\mathbf{Z}}$ is generated by a, b with $a^2 = b^3$, and \mathbf{Z} is generated by $a^{-1}b$. Now $a(a^{-1}b)a^{-1} = ba^{-1}$, and $(ba^{-1})(a^{-1}b) = b$, so $N(\mathbf{Z}) \supset \widetilde{PSL_2\mathbf{Z}}$, and contains t .

Proof of Lemma 2.2. In fact, we show that the derivative maps $A \rightarrow F^+, G^P \rightarrow F^+$ induce isomorphisms on homology (and, therefore, because $\pi_1 R = F^+$, that

$$\begin{array}{ccc} BG^P & \xrightarrow{!} & BA \\ r \downarrow & & \downarrow \\ BA & \rightarrow & BF^+ \end{array}$$

is both a pullback and a pushout). Considering the Serre spectral sequences of the extensions $F \rightarrow A \rightarrow F^+$ and $F^2 \rightarrow G^P \rightarrow F^+$, it suffices to prove that the groups $H_p(F^+; H_q F^2), H_p(F^+; H_q F)$ are null for $q > 0$. The proof is essentially that of the "center kills" lemma [Sa].

The element $4 \in F^+$ acts on $H_q F$ and $H_q F^2$ by multiplication by 4^q . Let this isomorphism ($H_q F$ and $H_q F^2$ are divisible and torsion free) be denoted e_q . Then $e_q - 1$ is also an isomorphism of $H_q F$ and $H_q F^2$, namely multiplication by $4^q - 1$. Both e_q and $e_q - 1$ induce the identity maps of $H_p(F^+; H_q F)$, $H_p(F^+; H_q F)$. Thus the latter groups must be zero.

3. Proof of 1.5. In §4 we outline a proof of the following fact:

4.8. PROPOSITION. *Let Γ be a pseudogroup of orientation preserving homeomorphisms of \mathbf{R} . Let K be the discrete group of elements of Γ which are compactly supported homeomorphisms of \mathbf{R} . Assume that the orbit of any element of \mathbf{R} under Γ is dense in \mathbf{R} . Further, assume:*

(3.1) *Suppose g is the germ of an element of Γ with domain $x \in \mathbf{R}$, and let $t \in \mathbf{R}$ such that $t > x$, gx (or $t < x$, gx). Then there is an element $\bar{g} \in \Gamma$ whose domain is connected and includes t and x , and such that $\bar{g} \equiv \text{id}$ near t , $\bar{g} \equiv g$ near x .*

Then there is a homology equivalence $BK \rightarrow \Omega B\Gamma$.

To prove 1.5, therefore, we must verify condition 3.1 for the pseudogroups Γ_F . We rephrase 3.1 as the following lemma, using the fact that F is dense in \mathbf{R} .

3.2. LEMMA. *Let $g \in \text{PSL}_2 F$, $x \in F$, and assume that $g(x) \neq \infty$.*

(a) *Let $z = \max(x, gx)$. Let $\varepsilon > 0$. Then there is some ε' , $0 < \varepsilon' < \varepsilon$, $\delta > 0$, and $s \in \Gamma_F$ with domain $(x - 2\varepsilon', \infty)$ such that $s(t) = gt$, $t \leq x + \delta$, and $s(t) = t$, $t \geq z + \varepsilon'$.*

(b) *Let $z = \min(x, gx)$. Let $\varepsilon > 0$. Then there is some ε' , $0 < \varepsilon' < \varepsilon$, $\delta > 0$, and an $s \in \Gamma_F$ with domain $(-\infty, x + 2\varepsilon')$ such that $s(t) = gt$, $t \geq x - \delta$, $s(t) = t$, $t \leq z - \varepsilon'$.*

For the proof we first recall some facts about $\text{PSL}_2 F$. A circle in the upper half plane which is tangent to the x -axis is called a horocycle. The action of $\text{PSL}_2 F$ on $\mathbf{R} \cup \infty$ extends to an action on the upper half plane which takes horocycles to horocycles. Let $f \in F$. The subgroup $T_f \subset \text{PSL}_2 F$ of elements which fix f and have unit derivative at f takes each horocycle at f to itself. T_f is isomorphic to the translation group F and acts transitively on $(F \cup \infty)/f$.

We prove 3.2(a); the proof of 3.2(b) follows in parallel.

Assume that $x \geq gx$ so that $z = x$. If this is not true, simply follow the proof for the germ of g^{-1} at gx . Pick $\epsilon' \in F$, $0 < \epsilon' < \epsilon$, so that g is noninfinite on the interval $(x - 2\epsilon', x + 2\epsilon')$. Let $y = x + \epsilon'$. There are three cases.

(i) $y = gy$. In this case pick ϵ' slightly smaller so as to drop to case (ii) or (iii).

(ii) $y > gy$ (Fig. 3.3). Let H be a horocycle tangent to y , and let gH be its image, tangent to gy . Pick $a_1 \in F$, $gx < a_1 < gy$, close enough to gy , and pick $h \in T_{a_1}$ so that hgy is large enough, so that the base $a_2(a_1, h)$ of the horocycle C tangent to hgH , H and \mathbf{R} (and to the left of H) is between gy and y . Pick h' belonging to the subgroup of $\text{PSL}_2 F$ fixing the horocycles based at a_2 , and so that $h'y = hgy$. Then $h'H = hgH$, so that $h'^{-1}hg \in T_y$. Consequently, $a_2 \in F$ and $h' \in \text{PSL}_2 F$.

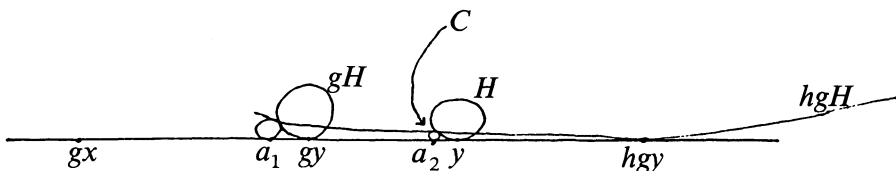


FIGURE 3.3

Now define

$$s(t) = \begin{cases} g(t), & t \leq g^{-1}a_1, \\ hg(t), & g^{-1}a_1 \leq t \leq (hg)^{-1}a_2, \\ h'^{-1}hg(t), & (hg)^{-1}a_2 \leq t \leq y, \\ t, & t \geq y. \end{cases}$$

By construction, $s \in \Gamma_F$.

(iii) $gy > y$ (Fig. 3.4). Let $a_0 = g(x + \delta)$, $\delta = (y - gx)/10$, and let $k \in T_{a_0}$ so that $kgy < y$. Let H be a horocycle tangent to y , and let kgH be its image at kgy . Pick $a_1 \in F$, $a_1 < kgy$ close enough to kgy , and pick

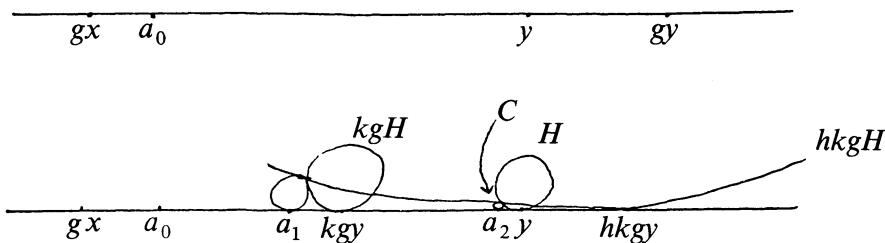


FIGURE 3.4

$h \in T_{a_1}$ so that hkg is large enough, so that the base $a_2(a_1, h) < y$ of the horocycle C tangent to H , $hkgH$ and \mathbf{R} (and left of H) is between kg and y . Let $h' \in T_{a_2}$ so that $h' = hkg$. Note then that $h'H = hkgH$, so that $h'^{-1}hkg \in T_y$. One can show that $a_2 \in F$, $h' \in \text{PSL}_2 F$. Then define

$$s(t) = \begin{cases} g(t), & t \leq x + \delta, \\ kg(t), & x + \delta \leq t \leq (kg)^{-1}a_1, \\ hkg(t), & (kg)^{-1}a_1 \leq t \leq (hkg)^{-1}a_2, \\ h'^{-1}hkg(t), & (hkg)^{-1}a_2 \leq t \leq y, \\ t, & t \geq y. \end{cases}$$

By construction, $s \in \Gamma_F$.

4. Groups of compactly supported homeomorphisms. In this section we specify a condition on a pseudogroup which allows one to mimic Segal's proof [Se2] of a generalization of Mather's theorem [Ma]. We work in the context of groupoids of homeomorphisms. References for topological categories are [Se1], [Se3].

4.1. DEFINITION. A *groupoid Γ etale over \mathbf{R}* is a topological groupoid Γ whose space of objects is \mathbf{R} , in which the domain and range maps $D, R: \Gamma \rightarrow \mathbf{R}$ are locally homeomorphisms (abusing notation, we let Γ denote the space of morphisms of the topological groupoid Γ).

Given a pseudogroup Γ on \mathbf{R} , one can construct an associated groupoid Γ etale over \mathbf{R} , whose space of morphisms is the sheaf of germs of elements of the pseudogroup. Taking the geometric realization (in the "thick" sense of [Se1], App.) of the nerve of the groupoid, we obtain a classifying space $B\Gamma$, which is weakly homotopy equivalent to the classifying space of the pseudogroup.

We make the following assumption throughout §4 of the paper. Let Γ be a groupoid of homeomorphisms of \mathbf{R} .

4.2. Assumption. (a) For any $x \in \mathbf{R}$ the orbit of x under Γ is dense in \mathbf{R} .

(b) If $g \in \Gamma$, and $t < Dg, Rg$ (or $t > Dg, Rg$) then there is a section $s: U \rightarrow \Gamma$ of the domain map, over an open interval U containing Dg and t , such that $s(Dg) = g$, and $s(t) = \text{id}_t$.

The following proposition gives what is needed to mimic Segal's proofs.

4.3. PROPOSITION. (a) Let $a < b < c < d$, so that a and b , and likewise c and d , are in the same Γ -orbit. Then there is a section $s : [a, d] \rightarrow \Gamma$ of D so that $Rs(a) = b$, $Rs(d) = c$.

(b) If $a < b < c < d$, $\varepsilon > 0$ there is a section $s : [a, d] \rightarrow \Gamma$ of D so that $s(a) = \text{id}_a$, $s(d) = \text{id}_d$, and $|Rs(b) - a| < \varepsilon$, $|Rs(c) - d| < \varepsilon$.

Proof. (a) Let $s_1 \in \Gamma$, with $Ds_1 = a$ and $Rs_1 = b$, and $s_2 \in \Gamma$ so that $Ds_2 = d$, $Rs_2 = c$. Then 4.2 guarantees a section s of D , over some interval containing $[a, d]$, so that $s(a) = s_1$, $s(d) = s_2$, and $s|_{(b+\varepsilon, c-\varepsilon)} \equiv \text{id}$.

(b) Let $s_1 \in \Gamma$ so that $Ds_1 = b$, $Rs_1 \in (a, a + \varepsilon)$, and $Rs_1 < b$, and let $s_2 \in \Gamma$ with $Ds_2 = c$, $Rs_2 \in (d - \varepsilon, d)$ and $Rs_2 > c$. Then 4.2 guarantees a section s of D , over some interval containing $[a, d]$, so that $s(a) = \text{id}_a$, $s(d) = \text{id}_d$, $s(b) = s_1$, $s(c) = s_2$, and $s|_{(b+\varepsilon, c-\varepsilon)} \equiv \text{id}$.

Let $X \subset Y$ be open intervals such that $\partial \bar{X} \cap \partial \bar{Y} = \emptyset$, and such that $\partial \bar{X} \cup \partial \bar{Y}$ is contained in a single Γ orbit.

4.4. DEFINITION.

$$M(Y) = \{m : Y \rightarrow \Gamma : m \text{ continuous, } Dm = \text{id, } RmY \subseteq Y\}$$

$$M(Y, X) = \{m \in M(Y) : RmX \subseteq X\}$$

$$M(\bar{Y}) = \{m : \bar{Y} \rightarrow \Gamma : Dm = \text{id, } Rm\bar{Y} \subseteq \bar{Y}, m \text{ continuous}\}$$

$$M(\bar{Y}, X) = \{m \in M(\bar{Y}) : RmX \subseteq X\}$$

These four sets are monoids of embeddings of Y ; give them the discrete topology. Notice that $M(\bar{Y})$ is the monoid of embeddings of \bar{Y} , with a germ of an extension to a neighborhood of \bar{Y} . As a consequence of 4.3(a) and [G1], 2.8 there is a weak homotopy equivalence $BM(Y) \rightarrow B\Gamma$.

There are extension and restriction homomorphisms

$$M(Y) \xleftarrow{i} M(Y, X) \xrightarrow{r} M(X)$$

$$M(\bar{Y}) \xleftarrow{\bar{i}} M(\bar{Y}, X) \xrightarrow{\bar{r}} M(\bar{X})$$

4.5. PROPOSITION. The homomorphisms i, \bar{i}, r, \bar{r} induce homotopy equivalences of classifying spaces.

Proof. Follow [Se2], 2.7.

4.6. PROPOSITION. The restrictions $M(\bar{Y}, X) \rightarrow M(Y, X)$ and $M(\bar{X}) \rightarrow M(X)$ induce homotopy equivalences of classifying spaces.

Proof. Following Segal, consider the sequence of homomorphisms $M(\bar{Y}, X) \rightarrow M(Y, X) \rightarrow M(\bar{X}) \rightarrow M(X)$. Note that the composition of any two arrows induces a homotopy equivalence of classifying spaces, by 4.5. The result follows.

4.7. DEFINITION. $K(X) = \{g \in M(\bar{X}) : Rg\bar{X} = \bar{X}, \text{ and } g|_{\partial\bar{X}} = \text{id}\}$. $K(X)$ is the group of Γ -homeomorphisms with compact support in X .

4.8. PROPOSITION. *There is a homology equivalence $BK(X) \rightarrow \Omega B\Gamma$.*

Proof. Follow 2.11 in [Se2], where, in fact, a homology fibration $K(X) \rightarrow M \rightarrow B\Gamma$ is constructed, with M contractible.

4.9. COROLLARY. *There is a homology equivalence $BK(\mathbf{R}) \rightarrow \Omega B\Gamma$.*

Proof. We construct a continuous section of the domain map $s : \mathbf{R} \rightarrow \Gamma$ so that Rs is a Γ -homeomorphism from \mathbf{R} onto X , conjugating $K(\mathbf{R})$ to $K(X)$. Let $x_n, y_n, n \in \mathbf{Z}$, be members of a single Γ -orbit such that (i) $x_n < x_{n+1}, y_n < y_{n+1}, n \in \mathbf{Z}$, and (ii) $\bigcup_n (y_{-n}, y_n) = X, \bigcup_n (x_{-n}, x_n) = \mathbf{R}$. Further, we assume that $x_0 = y_0$, that $x_n > y_n$ for $n > 0$, and that $x_n < y_n$ for $n < 0$.

Because the x_n and y_n belong to a single orbit, there are $s_n \in \Gamma$ with $Ds_n = x_n, Rs_n = y_n$; we take $s_0 = \text{id}$. Define s so that $s(x_n) = s_n$, as follows. Suppose $n \geq 0$. By 4.2 there is a continuous section $f : [x_n, x_{n+1}] \rightarrow \Gamma$ of the domain map such that $f(x_n) = s_n, f(x_{n+1}) = \text{id}$. Also, there is a continuous section of the domain map $g : [y_n, x_{n+1}] \rightarrow \Gamma$ such that $g(y_n) = \text{id}, g(x_{n+1}) = s_{n+1}$. Define s to be $g \circ f$ on $[x_n, x_{n+1}]$; note that $s(x_n) = s_n$ and $s(x_{n+1}) = s_{n+1}$. Similarly, define s on the intervals $[x_n, x_{n+1}]$ for $n < 0$.

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