# Pacific Journal of Mathematics

# ON COVERING OF REAL LINE BY NULL SETS

TOMEK BARTOSZYNSKI

Vol. 131, No. 1

November 1988

# ON COVERING OF REAL LINE BY NULL SETS

### TOMEK BARTOSZYNSKI

In this note it is proved that the least cardinal  $\kappa$  such that R cannot be covered by  $\kappa$  many null sets cannot have countable cofinality, provided  $2^{\omega}$ -scale exists and  $2^{\omega}$  is regular cardinal. Using the same assumption a combinatorial characterisation of this cardinal is also found.

Let  $\kappa_m$  be the least cardinal  $\kappa$  such that the real line can be covered by  $\kappa$  many null sets.

The goal of this paper is to give a combinatorial description of  $\kappa_m$ . This problem was stated by Arnold Miller in [Mi 1]. We also aim to find a solution to the question asked by David Fremlin whether  $cf(\kappa_m)$  can be equal  $\omega$ .

We are able to answer the above questions using the additional assumption of the existence of  $2^{\omega}$ -scale in  $\omega^{\omega}$ .

It is well known that the cardinal  $\kappa_m$  is the same in **R**, as in  $2^{\omega}$  or any uncountable Polish space with totally  $\sigma$ -finite continuous measure. Thus without loss of generality we can work in the space  $2^{\omega}$  with the Lebesgue measure.

We shall use standard notation.  $\omega$  denotes the set of natural numbers. For  $n, k < \omega$  the interval [n, k) is the set  $\{i < \omega: n \le i < k\}$ . For  $n < \omega$  $2^n$   $(2^{\omega})$  is either the set of all 0-1 sequences of length n  $(\omega)$  or the cardinal  $2^n$   $(2^{\omega})$ —depending on the context. For any set X |X| denotes the cardinality of X. For any two finite sequences  $s, t s \wedge t$  denotes concatenation of them. Finally, quantifiers  $\forall^{\infty}$  and  $\exists^{\infty}$  abbreviate "for all except finitely many" and "there exist infinitely many" respectively.

1. In this first section we introduce the notation of small sets and we prove basic properties of these sets.

We start with the following well-known lemma.

LEMMA 1.1. Suppose  $H \subseteq 2^{\omega}$  is a null set. Then there exists a sequence  $J_n \subseteq 2^n$  for  $n < \omega$  such that  $\sum_{n=1}^{\infty} |J_n| 2^{-n} < \infty$  and  $H \subseteq \{x \in 2^{\omega}: \exists^{\infty} n x \upharpoonright n \in J_n\}$ .

For the proof of Lemma 1.1 see [0].

Lemma 1.1 gives us some combinatorial representation of null sets. Nevertheless it turns out that this representation is not working satisfactorily. Hence we define a new family of sets which looks much more promising.

DEFINITION. A set  $H \subseteq 2^{\omega}$  is called small iff there exists a partition of  $\omega$  into pairwise disjoint intervals  $\{I_n: n < \omega\}$  and a sequence  $\{J_n: n < \omega\}$  such that

(i) 
$$J_n \subseteq 2^{I_n}$$

(ii) 
$$\sum_{n=1}^{\infty} |J_n| 2^{-|I_n|}$$

(iii)  $H \subseteq \{ x \in 2^{\omega} : \exists^{\infty} n \ x \upharpoonright I_n \in J_n \}.$ 

Denote the set defined by sequences  $\{I_n: n < \omega\}$  and  $\{J_n: n < \omega\}$  by  $(I_n, J_n)_{n=1}^{\infty}$ .

Observe that condition (ii) guarantees that the set  $(I_n, J_n)_{n=1}^{\infty}$  is null. The next lemma describes the relationship between null and small sets.

LEMMA 1.2. Every null set is a union of two small sets.

*Proof.* Suppose  $H \subseteq 2^{\omega}$  is a null set. By Lemma 1.1 we can assume that  $H = \{x \in 2^{\omega}: \exists^{\infty} n \ x \upharpoonright n \in J_n\}$  for some sequence  $\{J_n: n < \omega\}$ .

Fix some sequence of positive reals  $\{\varepsilon_n: n < \omega\}$  such that  $\sum_{n=1}^{\infty} \varepsilon_n < \infty$ .

Define sequences  $\{n_k, m_k: k < \omega\}$  as follows

$$n_0 = 0,$$
  

$$m_{k+1} = \min\left\{u < \omega \colon 2^{n_k} \sum_{i=u}^{\infty} |J_i| 2^{-i} < \varepsilon_k\right\}$$
  

$$n_{k+1} = \min\left\{u < \omega \colon 2^{m_{k+1}} \sum_{i=u}^{\infty} |J_i| 2^{-i} < \varepsilon_k\right\}$$

Now define

$$I_{k} = [n_{k}, n_{k+1}),$$
  

$$I'_{k} = [m_{k}, m_{k+1}) \text{ for } k < \omega,$$

Let

$$s \in F_k \quad \text{iff } s \in 2^{I_k} \& \exists i \in [m_{k+1}, n_{k+1}) \exists t \in J_1$$
$$s \upharpoonright \operatorname{dom}(t) \cap \operatorname{dom}(s) = t \upharpoonright \operatorname{dom}(t) \cap \operatorname{dom}(s),$$

and similarly

$$s \in F'_k$$
 iff  $s \in 2^{I'_k} \& \exists i \in [n_k, m_{k+1}) \exists t \in J_i$   
 $s \upharpoonright \operatorname{dom}(t) \cap \operatorname{dom}(s) = t \upharpoonright \operatorname{dom}(t) \cap \operatorname{dom}(s).$ 

From the above definitions easily follows that the sets  $(I_k, F_k)_{k=1}^{\infty}$  and  $(I'_k, F'_k)_{k=1}^{\infty}$  are small. Simply notice that  $|F_k|/2^{|I_k|} < \varepsilon_k$ . Now we show that

$$H \subseteq \left(I_k, F_k\right)_{k=1}^{\infty} \cup \left(I'_k, F'_k\right)_{k=1}^{\infty}$$

Suppose that  $x \in H$ . By our assumption it means that the set

$$X = \{ n < \omega \colon x \upharpoonright n \in J_n \} \text{ is infinite.}$$

Thus one of the following two sets is infinite

$$X \cap \bigcup_{k=1}^{\infty} [m_{k+1}, n_{k+1})$$
 or  $X \cap \bigcup_{k=1}^{\infty} [n_k, m_{k+1}).$ 

It is just routine to check that if the frist of these sets is infinite then  $x \in (I_k, F_k)_{k=1}^{\infty}$  and  $x \in (I'_k, F'_k)_{k=1}^{\infty}$  if the second set is infinite.  $\Box$ 

The next lemma justifies the name given to our sets.

LEMMA 1.3. There exists a null set which is not small.

Proof. Let  

$$I_{n} = [2^{n}, 2^{n+1}),$$

$$I'_{n} = [2^{n} + 2^{n-1}, 2^{n+1} + 2^{n}) \text{ for } n < \omega \text{ and}$$

$$J_{n} = \{s \in 2^{I_{n}}: s(\max(I_{n})) = s(\min(I_{n})) \& s(\max(I_{n}) - 1) \\ = s(\min(I_{n}) + 1) \& \cdots \& s(\max(I_{n}) - n) = s(\min(I_{n}) + n)\},$$

$$J'_{n} = \{s \in 2^{I'_{n}}: s(\max(I'_{n})) = s(\min(I'_{n})) \& s(\max(I'_{n}) - 1) \\ = s(\min(I'_{n}) + 1) \& \cdots \& s(\max(I'_{n}) - n) = s(\min(I'_{n}) + n)\},$$
for  $n < \omega$ 

It is easy to verify that the sets  $(I_n, J_n)_{n=1}^{\infty}$  and  $(I'_n, J'_n)_{n=1}^{\infty}$  are small.

We will show that the union of these two sets is not small. We will need the following technical lemma.

LEMMA 1.4. Suppose that  $(I_n^0, J_n^0)_{n=1}^{\infty} \subseteq (I_n^1, J_n^1)_{n=1}^{\infty}$  and let  $F_n = \{k: I_n^0 \cap I_n^1 \neq 0\}$  for  $n < \omega$ .

Then for all except finitely many  $n < \omega$  we have if dom $(s) = \bigcup_{k \in F_n} I_k^1$ and  $s \upharpoonright I_n^0 \in J_n^0$  then there exists  $k \in F_n$  and  $t \in J_k^1$  such that  $s \upharpoonright \text{dom}(t) = t$ .

*Proof.* Suppose that this condition does not hold for some small sets  $(I_n^0, J_n^0)_{n=1}^{\infty}$  and  $(I_n^1, J_n^1)_{n=1}^{\infty}$ .

It means that there exists an infinite set  $X' \subseteq \omega$  such that for  $n \in X'$ there exist  $s_n$  with dom $(s_n) = \bigcup_{k \in F_n} I_k^1$  such that  $s_n \upharpoonright I_n^0 \in J_n^0$  and for every  $k \in F_n$  and for every  $t \in J_k^1 \ s_n \upharpoonright \text{dom}(t) \neq t$ .

Let X be an infinite subset of X' such that for  $n, k \in X$   $F_n \cap F_k = 0$ . Now define  $x \in 2^{\omega}$  as follows

$$x \upharpoonright I_k^1 = \begin{cases} s_n \upharpoonright I_k^1 & \text{if } k \in F_n \,\&\, n \in X \\ \text{otherwise any element not belonging to } J_k^1 \end{cases}$$

It is easy to see that  $x \in (I_n^0, J_n^0)_{n=1}^{\infty}$  and  $x \notin (I_n^1, J_n^1)_{n=1}^{\infty}$  which is a contradiction.

Now suppose that  $(I_n, J_n)_{n=1}^{\infty} \cup (I'_n, J'_n)_{n=1}^{\infty}$  is contained in the set  $(I''_n, J''_n)_{n=1}^{\infty}$ .

Define  $n_k = \max(I_k^{\prime\prime})$  for  $k < \omega$ .

Without loss of generality we can assume that each interval  $I''_k$  intersects at least two  $I_n$ 's and  $I''_n$ 's.

Since the distances between the endpoints of  $I_n$  and  $I'_n$  are getting bigger and bigger either there are infinitely many intervals  $I_k$  such that each  $I_k$  contains an element  $n_l$  for some  $l < \omega$  and the distance of  $n_l$ from the endpoints of  $I_k$  is greater than k or the sequence  $I'_k$  has this property.

Without loss of generality we can assume that the first case is satisfied.

Fix sufficiently large  $k < \omega$  such that  $I_k$  has the above property. Assume that  $I_k \subseteq I_l'' \cup I_{l+1}''$ .

Notice that from Lemma 1.4 follows that

$$\begin{split} \left\{s \in 2^{I_l'' \cup I_{l+1}''} : s \upharpoonright I_k \in J_k\right\} \subseteq \left\{s \in 2^{I_l'' \cup I_{l+1}''} : s \upharpoonright I_l'' \in J_l''\right\} \\ \cup \left\{s \in 2^{I_l'' \cup I_{l+1}''} : s \upharpoonright I_{l+1}'' \in J_{l+1}''\right\}. \end{split}$$

We will show that in this case sets  $J_l''$  and  $J_{l+1}''$  are large, i.e. that

(\*) 
$$|J_{l}''|^{2^{-|I_{l}''|}} + |J_{l+1}''|^{2^{-|I_{l+1}''|}} \ge \frac{1}{2}.$$

This will finish the proof of Lemma 1.3 because then  $\sum_{n=1}^{\infty} |J_l''| 2^{-|I_l''|} = \infty$ , since (\*) holds for infinitely many *l*'s. Thus it is enough to show that

$$\left| \left\{ s \in 2^{I_{l}^{\prime\prime} \cup I_{l+1}^{\prime\prime}}; s \upharpoonright I_{l}^{\prime\prime} \in J_{l}^{\prime\prime} \right\} \cup \left\{ s \in 2^{I_{l}^{\prime\prime} \cup I_{l+1}^{\prime\prime}}; s \upharpoonright I_{l+1}^{\prime\prime} \in J_{l+1}^{\prime\prime} \right\} \right| \\ \ge 2^{|I_{l}^{\prime\prime} \cup I_{l+1}^{\prime\prime}| - 1}.$$

Recall that

$$J_k = \left\{ s \in 2^{I_k} : s(\max(I_k)) = s(\min(I_k)) \\ \& \cdots \& s(\max(I_k) - k) = s(\min(I_k) + k) \right\} \text{ for } k < \omega.$$

For  $s \in 2^{I''_l \cup I''_{l+1}}$  define  $s^* \in 2^{I''_l \cup I''_{l+1}}$  as follows

$$s^*(n) = \begin{cases} s(\max(I_k) - i) & \text{if } n = \min(I_k) + i, i \le k, \\ s(\min(I_k) + i) & \text{if } n = \max(I_k) - i, i \le k, \\ s(n) & \text{in other cases.} \end{cases}$$

Notice that  $s = s^*$  iff  $s \upharpoonright I_k \in J_k$ .

Claim. For any  $s \in 2^{I_l'' \cup I_{l+1}''}$  either s or  $s^*$  belongs to  $\left\{s \in 2^{I_l'' \cup I_{l+1}''}: s \upharpoonright I_l'' \in J_l''\right\} \cup \left\{s \in 2^{I_l'' \cup I_{l+1}''}: s \upharpoonright I_{l+1}'' \in J_{l+1}''\right\}.$ 

*Proof of the Claim.* Fix  $s \in 2^{I'_{l} \cup I''_{l+1}}$  and define  $t = s \upharpoonright I''_{l} \land s^* \upharpoonright I_{l+1}$ . Notice that  $t = t^*$ . Hence

$$t \in \left\{ s \in 2^{I''_{l} \cup I''_{l+1}} : s \upharpoonright I''_{l} \in J''_{l} \right\} \cup \left\{ s \in 2^{I''_{l} \cup I''_{l+1}} : s \upharpoonright I''_{l+1} \in J''_{l+1} \right\}.$$

By the definition of t it means that either s or  $s^*$  belongs to this set.  $\Box$ 

By previous remarks this finishes the proof of Lemma 1.3.  $\Box$ 

We will conclude this section with the following lemma.

LEMMA 1.5. Suppose that  $H \subseteq 2^{\omega}$  can be covered by some null set of type  $F_{\sigma}$ . Then H is small.

We leave an easy proof to the reader.

2. Covering of R by null sets. Let us start with some definitions. Suppose  $f \in \omega^{\omega}$  and  $\sum_{n=1}^{\infty} f(n)^{-1} < \infty$ . Then define

$$\varphi \in \Sigma_f \quad \text{iff} \quad \varphi \in ([\omega]^{<\omega})^{\omega} \& \varphi(n) \subseteq f(n) \quad \text{for } n < \omega$$
$$\& \sum_{n=1}^{\infty} |\varphi(n)| f(n)^{-1} < \infty,$$

and let

$$X_f = \prod_{n=1}^{\infty} \left\{ i \in \omega \colon i \le f(n) \right\}.$$

Let  $\Sigma$  and X denote  $\Sigma_f$  and  $X_f$  respectively for  $f(n) = 2^n$  for  $n < \omega$ . For  $f \in \omega^{\omega}$  let  $\mathbf{T}_f$  denote the following sentence

$$\forall \Phi \subseteq_{|\Phi| < 2^{\omega}} \Sigma_f \exists h \in X_f \forall \varphi \in \Phi \forall^{\infty} n h(n) \notin \varphi(n).$$

The proof of the following lemma is left to the reader.

LEMMA 2.1. For any functions  $f, g \in \omega^{\omega}$  such that  $\sum_{n=1}^{\infty} f(n)^{-1} < \infty$ and  $\sum_{n=1}^{\infty} g(n)^{-1} < \infty$  we have

$$\mathbf{T}_{f} \equiv \mathbf{T}_{g}.$$

Before stating the main result of this section recall that  $\mathbf{B}(m)$  denotes the sentence saying that **R** is not the union of less than  $2^{\omega}$  null sets.

Let **D** denote the following sentence

$$\forall F \subseteq_{|F| < 2^{\omega}} \omega^{\omega} \exists g \in \omega^{\omega} \forall f \in F \forall n f(n) < g(n).$$

Observe that if  $2^{\omega}$  is a regular cardinal then **D** is equivalent to the existence of  $2^{\omega}$ -scale in  $\omega^{\omega}$ .

THEOREM 2.2. Assume **D**. Then  

$$\mathbf{B}(m) \equiv \forall \Phi \subseteq_{|\Phi| < 2^{\omega}} \Sigma \exists h \in X \exists \varphi \in \Phi \forall^{\infty} n h(n) \notin \varphi(n).$$

*Proof.*  $\Rightarrow$  This implication is very easy since any element  $\varphi \in \Sigma$  corresponds with the null subset of  $2^{\omega}$ : since  $\varphi(n) \subseteq 2^n$  we can identify  $\varphi(n)$  with a certain subset of 0-1 sequences of length *n*. Now consider the set  $H_{\varphi} = ([1 + 2 + \cdots + (n - 1), 1 + 2 + \cdots + n), \varphi(n))_{n=1}^{\infty}$ . For given family  $\Phi \subseteq \Sigma$  apply  $\mathbf{B}(m)$  to the family  $\{H_{\varphi}: \varphi \in \Phi\}$  to obtain a required function  $h \in X$ .

⇐ Suppose {  $H_{\alpha}$ :  $\alpha < \theta < 2^{\omega}$  } is a family of null sets. By Lemma 1.1 we can assume that for  $\alpha < \theta$ 

 $H_{\alpha} = \left\{ x \in 2^{\omega} : \exists^{\infty} n \ x \upharpoonright n \in \underline{J}_{n}^{\alpha} \right\} \text{ for some sequence } \left\{ \underline{J}_{n}^{\alpha} : n < \omega \right\}$ such that  $\sum_{n=1}^{\infty} |\underline{J}_{n}^{\alpha}|^{2^{-n}} < \infty$ .

(i)  $\forall \alpha \forall k J_k^{\alpha} \subseteq 2^{u_k}$ , (ii)  $H_{\alpha} \subseteq \{ x \in 2^{\omega} : \exists^{\infty} k \ x \upharpoonright u_k \in J_k^{\alpha} \} \text{ for } \alpha < \theta,$ (iii)  $\forall \alpha \forall k | J_k^{\alpha} | 2^{-u_k} < 2^{-k}$ .

*Proof.* For  $\alpha < \theta$  and  $k < \omega$  define

$$n_k^{\alpha} = \min\left\{n: \sum_{i=n}^{\infty} |\underline{J}_1^{\alpha}| 2^{-i} < 2^{-k}\right\}.$$

Using **D** we can find an increasing sequence  $\{u_k: k < \omega\}$  such that

$$\forall \alpha < \theta \ \forall^{\infty} k \ n_k^{\alpha} < u_k.$$

Define for  $\alpha < \theta$  and  $k < \omega$ 

$$J_k^{\alpha} = \left\{ s \in 2^{u_k} \colon \exists i \in (u_{k-1}, u_k] s \upharpoonright i \in \underline{J}_i^{\alpha} \right\}.$$

It is easy to check that the above family satisfies conditions (i)–(iii).  $\Box$ 

Let

$$H'_{\alpha} = \left\{ x \in 2^{\omega} \colon \exists^{\infty} k \ x \upharpoonright u_k \in J_k^{\alpha} \right\} \quad \text{for } \alpha < \theta.$$

Observe that  $H_{\alpha} \subseteq H'_{\alpha}$  for  $\alpha < \theta$ . Thus in order to finish the proof we have to find a real  $z \in 2^{\omega}$  such that

$$z \notin H'_{\alpha}$$
 for  $\alpha < \theta$ .

Fix any sequence of positive reals  $\{\varepsilon_n: n < \omega\}$  such that  $\sum_{n=1}^{\infty} 2^n \varepsilon_n < \infty$ .

Using Lemma 1.2 we can express every set  $H'_{\alpha}$  as a union of two small sets. Moreover, condition (iii) guarantees that we can do it uniformly for all sets  $H'_{\alpha}$ . To be more precise: we can find two sequences  $\{n_k, m_k\}$ :  $k < \omega$  of natural numbers such that

$$n_k < m_k < n_{k+1} < m_{k+1} \quad \text{for } k < \omega.$$

We can also find families  $L_k^{\alpha}$ ,  $\underline{L}_k^{\alpha}$  for  $\alpha < \theta$ ,  $k < \omega$  such that (i)  $L_k^{\alpha} \subseteq 2^{[n_k, n_{k+1})}$ ,  $\underline{L}_k^{\alpha} \subseteq 2^{[m_k, m_{k+1})}$  for  $\alpha < \theta$ ,  $k < \omega$ ,

(ii)  $H'_{\alpha} \subseteq ([n_k, n_{k+1}), L^{\alpha}_k)^{\infty}_{k=1} \cup ([m_k, m_{k+1}), \underline{L}^{\alpha}_k)^{\infty}_{k=1},$ 

(iii) 
$$|L_k^{\alpha}|^{2^{n_k-n_{k+1}}} < \varepsilon_k, |\underline{L}_k^{\alpha}|^{2^{m_k-m_{k+1}}} < \varepsilon_k$$
 for  $\alpha < \theta$ .

Denote

 $I_k = [n_k, n_{k+1})$  and  $\underline{I}_k = [m_k, m_{k+1})$  for  $k < \omega$ .

Notice that if we define  $f(k) = 2^{|I_k|}$  for  $k < \omega$  then each set  $(I_k, L_k^{\alpha})_{k=1}^{\infty}$  can be coded as an element  $\varphi_{\alpha} \in \Sigma_f$ . Moreover if  $x \in X_f$  and  $\forall^{\infty} n \ x(n) \notin \varphi_{\alpha}(n)$  then we can decode from x a real  $\underline{x} \in 2^{\omega}$  such that  $\underline{x} \notin (I_k, L_k^{\alpha})_{k=1}^{\infty}.$ 

Hence by Lemma 2.1 our assumption is equivalent to the fact that

$$2^{\omega} - \bigcup \left\{ (I_k, L_k^{\alpha})_{k=1}^{\infty} : \alpha < \theta \right\} \neq 0 \text{ and}$$
$$2^{\omega} - \bigcup \left\{ (\underline{I}_k, \underline{L}_k^{\alpha})_{k=1}^{\infty} : \alpha < \theta \right\} \neq 0.$$

All we have to show is that the intersection of the above two sets is also nonempty.

Fix  $k < \omega$  and consider intervals  $I_k$  and  $\underline{I}_k$ . Let

$$S_k^{\alpha} = \left\{ s \in 2^{[n_k, m_k]} : s \text{ has at least } 2^{n_{k+1} - m_k - k} \text{ extensions inside } L_k^{\alpha} \right\}$$

for  $\alpha < \theta$ .

We have to estimate how big  $S_k^{\alpha}$  can be.

Clearly

$$\left|S_{k}^{\alpha}\right|2^{n_{k+1}-m_{k}-k} \leq \left|L_{k}^{\alpha}\right| \leq \varepsilon_{k} 2^{n_{k+1}-n_{k}}.$$

Hence

$$|S_k^{\alpha}| \leq 2^k \varepsilon_k 2^{m_k - n_k}$$
 for  $\alpha < \theta$  and  $k < \omega$ .

Similarly if we define

$$\underline{S}_{k}^{\alpha} = \left\{ s \in 2^{[n_{k}, m_{k}]} : s \text{ has at least } 2^{n_{k} - m_{k-1} - k} \text{ extensions inside } L_{k-1} \right\}$$

for  $\alpha < \theta$  and  $k < \omega$ 

then

$$\underline{S}_k^{\alpha} \leq 2^k \varepsilon_k 2^{m_k - n_k} \quad \text{for } \alpha < \theta.$$

Now define new small sets  $(I_k, R_k^{\alpha})_{k=1}^{\infty}$  as follows: for  $\alpha < \theta$ ,  $k < \omega$ 

$$s \in R_k^{\alpha}$$
 iff  $s \upharpoonright [n_k, m_k) \in S_k^{\alpha} \cup \underline{S}_k^{\alpha}$ .

By our previous remarks the sets  $(I_k, R_k^{\alpha})_{k=1}^{\infty}$  are small since  $\sum_{k=1}^{\infty} 2^k \varepsilon_k$ <  $\infty$  and  $|R_k^{\alpha}|2^{-|I_k|} \le 2^{k-1}\varepsilon_k$  for every  $\alpha < \theta$  and  $k < \omega$ . Therefore by our assumption and Lemma 2.1 we can find a real  $x \in 2^{\omega}$  such that

$$x \notin \bigcup \left\{ \left( I_k, R_k^{\alpha} \right)_{k=1}^{\infty} : \alpha < \theta \right\}$$

Now for  $\alpha < \theta$  define sets  $(W_k, T_k^{\alpha})_{k=1}^{\infty}$  as follows

$$W_k = [m_k, n_k) \text{ for } k < \omega.$$

$$T_{k}^{\alpha} = \left\{ s \in 2^{[m_{k}, n_{k+1}]} \colon x \upharpoonright [n_{k}, m_{k}) \land s \in L_{k}^{\alpha} \text{ or} \\ s \land x \upharpoonright [n_{k+1}, m_{k+1}] \in \underline{L}_{k}^{\alpha} \right\} \text{ for } \alpha < \theta \text{ and } k < \omega.$$

Notice that the definition of the sets  $(I_k, R_k^{\alpha})_{k=1}^{\infty}$  for  $\alpha < \theta$  and the choice of x guarantees that the sets  $(W_k, T_k^{\alpha})_{k=1}^{\infty}$  are small. In fact one can show that

$$\forall \alpha < \theta \ \forall^{\infty} k \ \big| T_k^{\alpha} \big| 2^{-|W_k|} \le 2^{1-k}.$$

Using the assumption and Lemma 2.1 we can find a real  $y \in 2^{\omega}$  such that

$$y \notin \bigcup \left\{ \left( W_k, T_k^{\alpha} \right)_{k=1}^{\infty} : \alpha < \theta \right\}.$$

Define  $z \in 2^{\omega}$  in the following way

$$z(n) = \begin{cases} y(n) & \text{if } n \in \bigcup \{ W_k \colon k < \omega \} \\ x(n) & \text{in other cases.} \end{cases}$$

We will show that

$$z \notin (I_k, L_k^{\alpha})_{k=1}^{\infty} \cup (\underline{I}_k, \underline{L}_k^{\alpha})_{k=1}^{\infty} \text{ for } \alpha < \theta.$$

Assume the opposite and suppose that  $z \in (I_k, L_k^{\alpha})_{k=1}^{\infty}$  for some  $\alpha < \theta$ . It means that for infinitely many  $k < \omega z \upharpoonright I_k \in L_k^{\alpha}$ . Now two cases are possible:

Case 1. There are infinitely many k such that  $z \upharpoonright [n_k, m_k)$  has at least  $2^{n_{k+1}-n_k-k}$  extensions inside  $L_k^{\alpha}$ . That means that  $z \in (I_k, R_k^{\alpha})_{k=1}^{\infty}$  and since  $z \upharpoonright [n_k, m_k) = x \upharpoonright [n_k, m_k)$  for every k we have that  $x \in (I_k, R_k^{\alpha})_{k=1}^{\infty}$ . Contradiction.

Case 2. For all except finitely many  $k \ z \upharpoonright [n_k, m_k)$  has less than  $2^{n_{k+1}-n_k-k}$  extensions inside  $L_k^{\alpha}$ .

In this case  $z \in (W_k, T_k^{\alpha})_{k=1}^{\infty}$  which is impossible by the choice of y. The similar reasoning shows that  $z \notin (I_k, \underline{L}_k^{\alpha})_{k=1}^{\infty}$  for  $\alpha < \theta$ .  $\Box$ 

Recall that  $\kappa_m$  is the least cardinal  $\kappa$  such that **R** can be covered by  $\kappa$  many null sets. Let  $\kappa_D$  be the smallest cardinal  $\kappa$  such that

$$\forall F \subseteq_{|F| < \kappa} \omega^{\omega} \exists g \in \omega^{\omega} \forall f \in F \exists^{\infty} n g(n) < f(n).$$

Observe that Theorem 2.2 characterises  $\kappa_m$  as well as  $\mathbf{B}(m)$ . I.e. assuming that  $\kappa_m < \kappa_D$ ,  $\kappa_m$  is the least cardinal  $\kappa$  such that there exists a family  $\Phi \subseteq \Sigma$  of size  $\kappa$  such that

$$\forall x \in X \exists \varphi \in \Phi \exists^{\infty} n \ x(n) \in \varphi(n).$$

Theorem 2.2 enables us to give a partial solution to a question of David Fremlin about cofinality of  $\kappa_m$ .

THEOREM 2.3. If  $\kappa_m \leq \kappa_D$  then  $cf(\kappa_m) > \omega$ .

*Proof.* Assume opposite. Let  $\Phi \subseteq \Sigma$  be the least family such that

$$\forall x \in X \exists \varphi \in \Phi \exists^{\infty} n x(n) \in \varphi(n).$$

Since  $cf(|\Phi|) = \omega$  we can find an increasing sequence  $\{\Phi_n: n < \omega\}$ such that  $\bigcup \{\Phi_n: n < \omega\} = \Phi$  and  $|\Phi_n| < |\Phi|$  for every  $n < \omega$ .

Using the assumptions that  $\Phi$  has the least possible power we can find elements  $x_n \in X$  for  $n < \omega$  such that

$$\forall_n \,\forall \varphi \in \Phi_n \,\forall^\infty k \quad x_n(k) \notin \varphi(k).$$

Now for  $\varphi \in \Phi$  define functions  $f_{\varphi} \in \omega^{\omega}$  as follows

$$f_{\varphi}(n) = \begin{cases} \min\{m \colon \forall k \ge m \, x_n(k) \notin \varphi(k) \} \\ 0 \quad \text{if minimum does not exist.} \end{cases}$$

Using the fact that  $\kappa_m \leq \kappa_D$  and that  $cf(\kappa_d) > \omega$  we can find an increasing function  $f \in \omega^{\omega}$  such that f(0) = 0 and

$$\forall \varphi \in \Phi \ \forall^{\infty} n f_{\omega}(n) < f(n).$$

For  $k < \omega$  define

$$x(k) = x_n(k)$$
 if  $k \in [f(n), f(n+1))$ .

It is easy to see that

$$\forall \varphi \in \Phi \ \forall^{\infty} n \ x(n) \notin \varphi(n)$$
 contradiction.

## 3. Sets of cardinality $< 2^{\omega}$ .

THEOREM 3.1. Suppose that  $X \subseteq 2^{\omega}$  and  $|X| < 2^{\omega}$ . Then X is null iff X is small.

*Proof.*  $\leftarrow$  Obvious.

→ Suppose  $X \subseteq 2^{\omega}$  and X is contained in some null set H. By 1.2 we can decompose H onto small sets  $(I_n, J_n)_{n=1}^{\infty}$  and  $(\underline{I}_n, \underline{J}_n)_{n=1}^{\infty}$ . Without loss of generality we can assume that each interval  $I_n$  intersects exactly two intervals  $\underline{I}_k$ .

For  $x \in X$  define

$$\operatorname{supp}(x) = \{ n \colon x \upharpoonright I_n \in J_n \}.$$

Since  $|X| < 2^{\omega}$  there exists an infinite set  $Y \subseteq \omega$  such that for  $x \in X$ 

 $|\operatorname{supp}(x) - Y| = \omega$  whenever  $|\operatorname{supp}(x)| = \omega$ .

To find such a set consider a family of pairwise almost disjoint subsets of  $\omega$  of cardinality  $2^{\omega}$ . One of the sets in this family has desired property.

Take

$$H' = (I_n, J_n)_{n \in Y} \cup (\underline{I}_n, \underline{J}_n)_{n=1}^{\infty}.$$

It is not very hard to check that the set H' is small and that  $X \subseteq H'$ .  $\Box$ 

We will conclude this section with the analogue of Theorem 2.2. Let **SD** denote the following sentence

$$\exists F \subseteq_{|F| < 2^{\omega}} \omega^{\omega} \forall g \in \omega^{\omega} \exists f \in F \forall^{\infty} n g(n) < f(n).$$

Let U(m) be the sentence saying that every set of cardinality less than  $2^{\omega}$  is null. The following theorem is an easy corollary of Theorem 3.1.

THEOREM 3.2. Assume SD. Then

$$\begin{split} \mathbf{U}(m) &\equiv \exists g \in \omega^{\omega} \; \forall F \subseteq_{|F| < 2^{\omega}} X_g \; \exists \varphi \in \Sigma_g \; \forall f \in F \\ \forall^{\infty} n f(n) \in \varphi(n). \quad \Box \end{split}$$

REMARKS. (1) It is well known that  $\mathbf{B}(m)$  and  $\mathbf{D}$  have little in common. Actually any of  $\mathbf{B}(m) \& \neg \mathbf{D}$ ,  $\mathbf{B}(m) \& \mathbf{D}$ ,  $\neg \mathbf{B}(m) \& \mathbf{D}$  and  $\neg \mathbf{B}(m) \& \neg \mathbf{D}$  is consistent with ZFC.

(2) All problems considered in this paper have their analogues in the ideal of meager subsets of **R**. In particular, the cardinal  $\kappa_B$  defined as the cardinality of the least covering of the real line by meager sets, was studied by A. Miller (see [Mi 1]). He proved that  $cf(\kappa_B) > \omega$ . Sentences **B**(c) and **U**(c) analogous to **B**(m) and **U**(m) along with several other properties of the ideals of meager and null sets were studied in [Mi 2] and [Ba].

#### References

- [Ba] T. Bartoszynski, Combinatorial aspects of measure and category, to appear in Fund. Math.
- [Mi 1] A. Miller, Baire category theorem and cardinals of countable cofinality, J. Symbolic Logic, 47 (1982).

#### TOMEK BARTOSZYNSKI

- [Mi 2] A. Miller, Some properties of measure and category, Trans. Amer. Math. Soc., 266 (1981).
- [O] J. Oxtoby, *Measure and Category*, Springer Verlag.

Received November 21, 1985 and in revised form February 4, 1987.

Warsaw University Warsaw, Poland

AND

UNIVERSITY OF CALIFORNIA Berkeley, CA 94720

## PACIFIC JOURNAL OF MATHEMATICS EDITORS

V. S. VARADARAJAN (Managing Editor) University of California Los Angeles, CA 90024

HERBERT CLEMENS University of Utah Salt Lake City, UT 84112

R. FINN Stanford University Stanford, CA 94305 HERMANN FLASCHKA University of Arizona Tucson, AZ 85721

RAMESH A. GANGOLLI University of Washington Seattle, WA 98195

VAUGHAN F. R. JONES University of California Berkeley, CA 94720

ROBION KIRBY University of California Berkeley, CA 94720

C. C. MOORE University of California Berkeley, CA 94720

HAROLD STARK University of California, San Diego La Jolla, CA 92093

#### ASSOCIATE EDITORS

R. ARENS E. F. BECKENBACH B. H. NEUMANN F. WOLF K. YOSHIDA (1906 - 1982)

#### SUPPORTING INSTITUTIONS

UNIVERSITY OF ARIZONA UNIVERSITY OF BRITISH COLUMBIA CALIFORNIA INSTITUTE OF TECHNOLOGY STANFORD UNIVERSITY UNIVERSITY OF CALIFORNIA MONTANA STATE UNIVERSITY UNIVERSITY OF NEVADA, RENO NEW MEXICO STATE UNIVERSITY OREGON STATE UNIVERSITY

UNIVERSITY OF OREGON UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY OF HAWAII UNIVERSITY OF TOKYO UNIVERSITY OF UTAH WASHINGTON STATE UNIVERSITY UNIVERSITY OF WASHINGTON

# Pacific Journal of Mathematics Vol. 131, No. 1 November, 1988

Tomek Bartoszynski, On covering of real line by null sets
Allen Davis Bell and Kenneth R. Goodearl, Uniform rank over differential
operator rings and Poincaré-Birkhoff-Witt extensions
Brian Boe, Thomas Jones Enright and Brad Shelton, Determination of
the intertwining operators for holomorphically induced representations
of Hermitian symmetric pairs
Robert F. Brown, Topological identification of multiple solutions to
parametrized nonlinear equations
Marc R. M. Coppens, Weierstrass points with two prescribed nongaps71
Peter Larkin Duren and M. Schiffer, Grunsky inequalities for univalent
functions with prescribed Hayman index105
Robert Greene and Hung-Hsi Wu, Lipschitz convergence of Riemannian
manifolds
Kathryn E. Hare, Arithmetic properties of thin sets
Neal I. Koblitz, Primality of the number of points on an elliptic curve over a
finite field
Isabel Dotti de Miatello and Roberto Jorge Miatello, Transitive isometry
groups with noncompact isotropy167
Raymond A Ryan, Weakly compact holomorphic mappings on Banach
spaces
Tudor Zamfirescu, Curvature properties of typical convex surfaces