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# EISENSTEIN-SERIES ON REAL, COMPLEX, AND QUATERNIONIC HALF-SPACES

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## EISENSTEIN-SERIES ON REAL, COMPLEX, AND QUATERNIONIC HALF-SPACES

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The real, complex, and quaternionic half-spaces are introduced in certain analogy with the Siegel half-space. The modified symplectic group acts on the attached half-space in the usual way. At first properties of these half-spaces considered as symmetric spaces are derived. Then a fundamental domain with respect to the modified modular group, which consists of integral modified symplectic matrices, is constructed. The behavior of convergence of the corresponding Eisenstein-series is determined carefully. The Fourier-coefficients of the Eisenstein-series are calculated explicitly, whenever the degree is sufficiently small.

Introduction. The present paper deals with half-spaces, which are built in analogy with the Siegel half-space, and the corresponding nonanalytic Eisenstein-series. The roots can be traced back to C. L. Siegel's paper "Die Modulgruppe in einer einfachen involutorischen Algebra" [30]. A special case of these investigations is considered and continued by the examination of the Riemannian geometry as well as the attached Eisenstein-series.

To be more precise, throughout this paper let F stand for R, C or H, where H is the skew-field of real Hamiltonian quaternions. Just as in [16] let  $r = r(F) = \dim_{\mathbf{R}} F$  and denote the standard basis of F over R by  $1 = e_1, \ldots, e_r$ . Given  $a = \sum_{j=1}^r a_j e_j \in F$ ,  $a_j \in \mathbf{R}$ , put  $\operatorname{Re}(a) := a_1$ and let  $a \mapsto \bar{a} = 2\operatorname{Re}(a) - a$  denote the canonical conjugation in F. Then  $A^{(n)}$ , resp.  $A \in \operatorname{Mat}(n; F)$ , means that A is an  $n \times n$  matrix with entries in F and A' denotes the transpose of A. The letter I is reserved for the identity matrix and 0 for the zero matrix of appropriate size.  $\operatorname{GL}(n; F)$  stands for the group of units in the ring  $\operatorname{Mat}(n; F)$ .

The half-space  $\mathscr{H}(n; \mathbf{F})$  consists of all  $Z \in Mat(n; \mathbf{F})$  such that  $Z + \overline{Z}'$  becomes a positive definite Hermitian matrix. Thus  $i\mathscr{H}(n; \mathbf{C})$  equals the Hermitian half-space, which was investigated by H. Braun [3]. But the remaining cases are related, because  $\mathscr{H}(n; \mathbf{H})$  can always be embedded into the Hermitian half-space of degree 2n.

The attached modified symplectic group  $MSp(n; \mathbf{F})$  consists of the automorphs of the symmetric matrix  $Q = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}$ ,  $I = I^{(n)}$ , having the

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signature (n, n) and acts on  $\mathscr{H}(n; \mathbf{F})$  in the usual way. The real modified symplectic group was already investigated by C. L. Siegel [28], M. Koecher [14], III, §1, and H. Maaß [23] in different contexts. Considering the symplectic group

(0.1) 
$$\operatorname{Sp}(n; \mathbf{F}) = \{ M \in \operatorname{Mat}(2n; \mathbf{F}); \overline{M}' J M = J \},\ J = \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix}, \quad I = I^{(n)},\$$

as in [16], one has

(0.2) 
$$\begin{pmatrix} e_2 I & 0 \\ 0 & I \end{pmatrix} \operatorname{MSp}(n; \mathbb{C}) \begin{pmatrix} e_2 I & 0 \\ 0 & I \end{pmatrix}^{-1} = \operatorname{Sp}(n; \mathbb{C}).$$

MSp(n; F) is obviously conjugate to the indefinite unitary group  $U^{n}(2n, F)$  in [34], p. 377, and to O(n, n), U(n, n), resp. Sp(n, n), if F = R, C, resp. H, in Helgason's notation (cf. [8], p. 340).

Nevertheless the notion of modified symplectic group may be justified by the connection with C. L. Siegel's paper [30]. Consider  $\mathbf{F} = \mathbf{R}$ ,  $\mathbf{H}$  and an arbitrary  $\mathbf{R}$ -involution  $\iota$  of  $Mat(n; \mathbf{F})$ . According to [1], X, Theorem 11, there exists  $F \in GL(n; \mathbf{F})$  such that  $\overline{F}' = \pm F$ and

$$\iota(X) = F\overline{X}'F^{-1}$$
 for  $X \in Mat(n; \mathbf{F})$ .

In this general situation C. L. Siegel [30] defined the symplectic group  $\Sigma$ . In our notation we gain

(0.3) 
$$\Sigma = \begin{cases} \begin{pmatrix} F & 0 \\ 0 & I \end{pmatrix} \operatorname{Sp}(n; \mathbf{F}) \begin{pmatrix} F & 0 \\ 0 & I \end{pmatrix}^{-1} & \text{if } \overline{F}' = F, \\ \begin{pmatrix} F & 0 \\ 0 & I \end{pmatrix} \operatorname{MSp}(n; \mathbf{F}) \begin{pmatrix} F & 0 \\ 0 & I \end{pmatrix}^{-1} & \text{if } \overline{F}' = -F. \end{cases}$$

The special case  $\mathbf{F} = \mathbf{H}$ , n = 1,  $F = (e_3)$  was recently treated by E. Kähler [10].

The Riemannian geometry and the description of the geodesics can be pointed out along the lines of Siegel's classical work [29], where the case  $\mathbf{F} = \mathbf{C}$  is due to H. Klingen [12]. If dZ denotes the matrix of differentials, then

$$ds^{2} = \frac{1}{2}\operatorname{trace}(Y^{-1}dZY^{-1}\overline{dZ'} + dZY^{-1}\overline{dZ'}Y^{-1}), \qquad Y := \frac{1}{2}(Z + \overline{Z'}),$$

proves to be a positive definite quadratic differential form. The modified symplectic transformations become isometries. Thus  $\mathscr{H}(n; \mathbf{F})$ endowed with  $ds^2$  turns out to be a Riemannian globally symmetric space of the noncompact type, which is irreducible except for  $\mathbf{F} = \mathbf{R}$ , n = 1, 2 and which fails to be Hermitian, whenever  $\mathbf{F} = \mathbf{R}$ ,  $n \neq 2$ , resp.  $\mathbf{F} = \mathbf{H}$ ,  $n \geq 1$ .

 $\mathscr{H}(1; \mathbb{C})$  equals the right half-plane in C. Moreover  $\mathscr{H}(1; \mathbb{H})$  becomes a model of the four-dimensional hyperbolic space, which was recently treated by E. Kähler [10]. Kähler's paper was the starting point of these investigations. The present paper arose from the attempt of combining Kähler's approach with the investigations of Eisenstein-series on the three-dimensional hyperbolic space by J. Elstrodt, F. Grunewald and J. Mennicke [6] as well as with Siegel's methods. Therefore this paper can also be understood as an extension of [6].

Choosing a special order for  $\mathbf{F} = \mathbf{R}$ , C, H, namely Z, the Gaussian integers and the quaternions of Hurwitz, the modified modular group is defined to consist of all integral modified symplectic matrices. By means of the Euclidean algorithm a simple set of generators of the modified modular group can be determined. Following the classical procedure as in the case of the Siegel half-space, a fundamental domain is obtained, which has a cusp only at infinity.

The last two paragraphs deal with the corresponding non-analytic Eisenstein-series. Let  $\Gamma_n$  denote the modified modular group and  $\Gamma_n^{\infty}$  the subgroup of all matrices, whose C-block equals 0. Given  $Z \in \mathscr{H}(n; \mathbf{F})$  and  $M \in \Gamma_n$  set  $Y_M = \frac{1}{2}(M\langle Z \rangle + \overline{M\langle Z \rangle}')$ . Then the Eisensteinseries is given by

$$E_n^{\mathbf{F}}(Z,s) = \sum_{M: \; \Gamma_n^{\infty} \setminus \Gamma_n} (\det Y_M)^s, \qquad Z \in \mathscr{H}(n; \mathbf{F}),$$

and converges locally uniformly in Z and s. The abscissa of absolute convergence equals  $\operatorname{Re}(s) = \frac{1}{n} \cdot d$ , where d denotes the dimension of the real vector space of all skew-Hermitian matrices. One can define a modified Siegel  $\phi$ -operator and obtains the same result, namely

$$E_n^{\mathbf{F}}(\cdot,s)|_s\phi=E_{n-1}^{\mathbf{F}}(\cdot,s),$$

as known from the classical case.

The investigations of  $E_n^{\mathbf{R}}(\cdot, s)$  by H. Maaß [23] are extended and partially strengthened. The Eisenstein-series  $E_n^{\mathbf{C}}(\cdot, s)$  were also examined by G. Shimura [27]. But one has to distinguish carefully between  $E_n^{\mathbf{H}}(\cdot, s)$  and the analytic Eisenstein-series on the half-space of quaternions in [16], since the domains of definition are completely different. Moreover coincidences between different classes of symmetric spaces for "small" values of n (cf. [8], p. 351-353) correspond to identities between the associated Eisenstein-series. Therefore Eisensteinseries on the upper half-plane in C as well as Eisenstein-series for  $GL(4; \mathbb{Z})$  (cf. [31]) come to light.

Finally the Fourier-expansions of Eisenstein-series are investigated. Just as in the case of the Siegel half-space, one cannot expect explicit formulas for arbitrary degree. But if the degree is sufficiently "small", the explicit description of the Fourier-coefficients succeeds. As one can expect from the upper half-plane (cf. [19], [20]), resp. the three-dimensional hyperbolic space (cf. [6]), resp. from Eisenstein-series for  $GL(n; \mathbb{Z})$  (cf. [31]), the Fourier-coefficients involve the modified Bessel function and certain weighted divisor sums.

Although a great deal of work can be done along the lines of classical patterns, one has to be cautious with the analogy. On several occasions the cases  $\mathbf{F} = \mathbf{R}$  or  $\mathbf{F} = \mathbf{H}$  or even n = 1 have to be treated in a different way. Thus an explicit description might be useful.

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1. Real, complex, and quaternionic half-space. Considering the symmetric matrix

$$Q := \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}, \quad I = I^{(n)},$$

we define

$$\mathsf{MSp}(n;\mathbf{F}) := \{ M \in \mathsf{Mat}(2n;\mathbf{F}); \overline{M}'QM = Q \}$$

and call MSp(n; F) the modified symplectic group of degree *n* over **F**. Given  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in MSp(n; F)$  we always assume  $A, B, C, D \in Mat(n; F)$ . Clearly  $M \in MSp(n; F)$  is equivalent to  $\overline{M}' \in MSp(n; F)$  as well as to

(1.1) 
$$A\overline{B}' + B\overline{A}' = C\overline{D}' + D\overline{C}' = 0, \quad A\overline{D}' + B\overline{C}' = I.$$

In this case one has

(1.2) 
$$M^{-1} = Q\overline{M}'Q = \begin{pmatrix} \overline{D}' & \overline{B}' \\ \overline{C}' & \overline{A}' \end{pmatrix}.$$

The definition contains one trivial case, namely

(1.3) 
$$\mathbf{MSp}(1;\mathbf{R}) = \left\{ \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix}; 0 \neq a \in \mathbf{R} \right\}$$
$$\cup \left\{ \begin{pmatrix} 0 & b \\ b^{-1} & 0 \end{pmatrix}; 0 \neq b \in \mathbf{R} \right\}$$

Again in the general situation we want to describe special elements. Therefore we need the real vector space

$$\operatorname{Alt}(n;\mathbf{F}) := \{X \in \operatorname{Mat}(n;\mathbf{F}); \overline{X}' = -X\}$$

of all skew-Hermitian matrices, which has the dimension  $\frac{1}{2}rn(n+1) - n$ . Then the matrices

(1.4) 
$$Q = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}, \begin{pmatrix} I & S \\ 0 & I \end{pmatrix}, \quad S \in \operatorname{Alt}(n; \mathbf{F}),$$
$$\begin{pmatrix} \overline{U}' & 0 \\ 0 & U^{-1} \end{pmatrix}, \quad U \in \operatorname{GL}(n; \mathbf{F}),$$

belong to MSp(n; F) in view of (1.1).

Moreover consider the subgroup

$$\operatorname{MSp}(n; \mathbf{F})_{\infty} := \left\{ M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \operatorname{MSp}(n; \mathbf{F}); C = 0 \right\}.$$

Then (1.1) immediately yields

(1.5) 
$$\operatorname{MSp}(n; \mathbf{F})_{\infty} = \left\{ \begin{pmatrix} \overline{U}' & 0\\ 0 & U^{-1} \end{pmatrix} \begin{pmatrix} I & S\\ O & I \end{pmatrix}; \\ U \in \operatorname{GL}(n; \mathbf{F}), S \in \operatorname{Alt}(n; \mathbf{F}) \right\}.$$

Given 0 < j < n we define the usual embedding

$$\mathbf{MSp}(j; \mathbf{F}) \times \mathbf{MSp}(n - j; \mathbf{F}) \to \mathbf{MSp}(n; \mathbf{F}), \quad (M_1, M_2) \mapsto M_1 \times M_2,$$

$$(1.6) \qquad \begin{pmatrix} A_1 & B_1 \\ C_1 & D_1 \end{pmatrix} \times \begin{pmatrix} A_2 & B_2 \\ C_2 & D_2 \end{pmatrix} \coloneqq \begin{pmatrix} A_1 & 0 & B_1 & 0 \\ 0 & A_2 & 0 & B_2 \\ C_1 & 0 & D_1 & 0 \\ 0 & C_2 & 0 & D_2 \end{pmatrix}$$

(cf. [16], p. 44). If  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in MSp(n; \mathbf{F})$  with rank C = j, one can proceed as in the classical situation (cf. [4], 3.12, [16], II.1.4) in order to obtain  $K, L \in MSp(n; \mathbf{F})_{\infty}$  such that

(1.7) 
$$M = K(Q^{(2j)} \times I)L,$$

where j = 0, n can be interpreted unmistakably.

**LEMMA 1.1.** (a) The group MSp(n; F) is generated by the matrices

$$Q^{(2)} \times I, \begin{pmatrix} I & S \\ 0 & I \end{pmatrix}, S \in \operatorname{Alt}(n; \mathbf{F}),$$
  
 $\begin{pmatrix} \overline{U}' & 0 \\ 0 & U^{-1} \end{pmatrix}, U \in \operatorname{GL}(n; \mathbf{F}).$ 

(b) Let  $\mathbf{F} = \mathbf{R}$ , *n* odd, or  $\mathbf{F} = \mathbf{C}$ ,  $\mathbf{H}$ ,  $n \ge 1$ . Then  $MSp(n; \mathbf{F})$  is also generated by the matrices (1.4).

*Proof*. (a) Apply (1.7). (b) If  $\mathbf{F} = \mathbf{C}, \mathbf{H}$ , compute

$$Q^{(2)} \times I = \left( \begin{pmatrix} I & S \\ 0 & I \end{pmatrix} \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} \right)^2 \begin{pmatrix} I & S \\ 0 & I \end{pmatrix} \begin{pmatrix} \overline{U}' & 0 \\ 0 & U^{-1} \end{pmatrix},$$

where  $S = \begin{pmatrix} e_2 & 0 \\ 0 & 0 \end{pmatrix} \in Alt(n; \mathbf{F}), U = \begin{pmatrix} e_2 & 0 \\ 0 & I \end{pmatrix} \in GL(n; \mathbf{F}).$  If  $\mathbf{F} = \mathbf{R}, n = 1$ use (1.3). In the case  $\mathbf{F} = \mathbf{R}$ , n = 2m + 1,  $m \ge 1$ , compute

$$Q^{(2)} \times I = \left( \begin{pmatrix} I & S \\ 0 & I \end{pmatrix} \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix} \right)^3 \begin{pmatrix} U' & 0 \\ 0 & U^{-1} \end{pmatrix},$$

where  $S = \begin{pmatrix} 0 & 0 \\ 0 & J \end{pmatrix} \in \operatorname{Alt}(n; \mathbf{R}), U = \begin{pmatrix} 1 & 0 \\ 0 & J \end{pmatrix} \in \operatorname{GL}(n; \mathbf{R}), J = J^{(2m)}.$ 

The case  $\mathbf{F} = \mathbf{R}$  has to be treated in a different way. Note that  $Sp(n; \mathbf{R}) \subset SL(2n; \mathbf{R})$ , whereas (1.5) and (1.7) yield the surprising formula

(1.8) 
$$\det M = (-1)^j, \quad j = \operatorname{rank} C,$$

whenever  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathrm{MSp}(n; \mathbf{R})$ . Thus  $\mathrm{MSp}(n; \mathbf{R}) \cap \mathrm{SL}(2n; \mathbf{R})$  becomes a normal subgroup of  $MSp(n; \mathbf{R})$  of index 2. If n is even, this subgroup is generated by the matrices (1.4).

Combining (0.2) and (0.3) with Siegel's procedure [30], it becomes obvious how the attached half-space has to be defined. Consider the real vector space

$$\operatorname{Sym}(n; \mathbf{F}) := \{X \in \operatorname{Mat}(n; \mathbf{F}); \overline{X}' = X\}$$

of the dimension  $n + \frac{1}{2}rn(n-1)$  as well as the open subset Pos(n; F)consisting of all positive definite matrices in Sym(n; F). Then set

$$\mathscr{H}(n; \mathbf{F}) = \operatorname{Alt}(n; \mathbf{F}) + \operatorname{Pos}(n; \mathbf{F})$$
$$= \{ Z \in \operatorname{Mat}(n; \mathbf{F}); Z + \overline{Z}' \in \operatorname{Pos}(n; \mathbf{F}) \}.$$

We always assume that each  $Z \in \mathcal{H}(n; \mathbf{F})$  is given in the form

$$Z = X + Y$$
,  $X \in Alt(n; \mathbf{F})$ ,  $Y \in Pos(n; \mathbf{F})$ .

DEFINITION.  $\mathscr{H}(n; \mathbf{F})$  is called the *real, complex*, resp. quaternionic half-space of degree n, whenever  $\mathbf{F} = \mathbf{R}$ , C, resp. H.

The definition especially yields

$$\mathcal{H}(1; \mathbf{R}) = \mathbf{R}^{+} = \{ y \in \mathbf{R}; y > 0 \},\$$
$$\mathcal{H}(1; \mathbf{H}) = \left\{ z = \sum_{j=1}^{4} z_{j} e_{j}; z_{j} \in \mathbf{R}, z_{1} > 0 \right\}.$$

Note that in the cases  $\mathbf{F} = \mathbf{R}$ ,  $\mathbf{H}$  there is a decisive difference between  $\mathscr{H}(n; \mathbf{F})$  and the half-space  $H(n; \mathbf{F})$  defined in [16], p. 46. But there are also close relations, namely

(1.9) 
$$H(n;\mathbf{C}) = i \cdot \mathscr{H}(n;\mathbf{C}) = \operatorname{Sym}(n;\mathbf{C}) + i\operatorname{Pos}(n;\mathbf{C}).$$

Given  $a = \sum_{j=1}^{4} a_j e_j \in \mathbf{H}$  define

$$\check{a} = \begin{pmatrix} a_1e_1 + a_2e_2 & a_3e_1 + a_4e_2 \\ -a_3e_1 + a_4e_2 & a_1e_1 - a_2e_2 \end{pmatrix} \in \operatorname{Mat}(2; \mathbb{C})$$

and  $\check{A} = (\check{a}_{kl}) \in Mat(2n; \mathbb{C})$  for  $A = (a_{kl}) \in Mat(n; \mathbb{H})$  (cf. [16], p. 14,15, 46). Then (1.9) leads to

(1.10) 
$$i\check{Z} = i\check{X} + i\check{Y} \in H(2n; \mathbb{C})$$
, whenever  $Z = X + Y \in \mathscr{H}(n; \mathbb{H})$ .

Note that *i* and  $e_2$  may be identified for  $\mathbf{F} = \mathbf{C}$ . Furthermore (0.2) implies

(1.11) 
$$\begin{pmatrix} iI & 0\\ 0 & I \end{pmatrix} \left\{ \check{M}; M \in \mathsf{MSp}(n; \mathbf{H}) \right\} \begin{pmatrix} iI & 0\\ 0 & I \end{pmatrix}^{-1} \subset \mathsf{Sp}(2n; \mathbf{C}),$$

where  $I = I^{(2n)}$ . Moreover we have the obvious relations

(1.12) 
$$\mathscr{H}(n;\mathbf{R}) \subset \mathscr{H}(n;\mathbf{C}) \subset \mathscr{H}(n;\mathbf{H}),$$
  
 $\mathrm{MSp}(n;\mathbf{R}) \subset \mathrm{MSp}(n;\mathbf{C}) \subset \mathrm{MSp}(n;\mathbf{H}).$ 

We need the abbreviation  $A[B] := \overline{B}'AB$ , whenever A is an  $n \times n$ and B an  $n \times m$  matrix, as well as  $|\det A| := |\det \check{A}|^{1/2}$ , whenever  $A \in \operatorname{Mat}(n; \mathbf{H})$  (cf. [16], p. 15, I.3.4, I.3.5).

**PROPOSITION 1.2.** The half-space  $\mathscr{H}(n; \mathbf{F})$  is an open convex subset of  $Mat(n; \mathbf{F})$ , which is contained in  $GL(n; \mathbf{F})$ . Given  $Z = X + Y \in \mathscr{H}(n; \mathbf{F})$ , one has

$$|\det Z|^2 = \det Y \cdot \det(Y + Y^{-1}[X]).$$

Proof.

$$|\det Z|^2 = |\det Z| |\det \overline{Z}'| = \det Y \cdot |\det(X+Y)| \cdot |\det(-Y^{-1}X+I)|$$
  
= det  $Y \cdot \det(Y - XY^{-1}X)$ .

The remaining parts are obvious.

Next we consider the action of the modified symplectic group on the attached half-space.

THEOREM 1.3. Let  $L, M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in MSp(n; \mathbf{F})$  and  $Z = X + Y \in \mathscr{H}(n; \mathbf{F})$ . Then the following hold:

(a)  $M{Z} := CZ + D \in GL(n; \mathbf{F}).$ 

(b)  $M\langle Z \rangle := (AZ + B)(CZ + D)^{-1} = X_M + Y_M \in \mathscr{H}(n; \mathbf{F}).$ 

(c)  $Y_M = Y[M\{Z\}^{-1}], Y_M^{-1} = Y^{-1}[\overline{X}'\overline{C}' + \overline{D}'] + Y[\overline{C}'].$ 

(d)  $(LM){Z} = L{M\langle Z \rangle} \cdot M{Z}.$ 

The group  $MSp(n; \mathbf{F})$  acts transitively on  $\mathcal{H}(n; \mathbf{F})$ . Two transformations  $Z \mapsto M\langle Z \rangle$  and  $Z \mapsto L\langle Z \rangle$  coincide if and only if

$$L = \rho M$$
, where  $\rho \in \text{center } \mathbf{F}, |\rho| = 1$ .

*Proof*. (a) Apply (1.5), (1.7) and Proposition 1.2.

(b), (c) According to (a) we obtain  $X_M \in Alt(n; \mathbf{F}), Y_M \in Sym(n; \mathbf{F})$ satisfying  $M\langle Z \rangle = X_M + Y_M \in Mat(n; \mathbf{F})$ . Thus we gain

$$2Y_M = M\langle Z \rangle + \overline{M\langle Z \rangle'} = 2Y[(M\{Z\})^{-1}]$$

in view of (1.1). Hence  $Y_M \in Pos(n; \mathbf{F})$  follows. The remaining parts can be derived by easy calculations.

Clearly the definition yields

(1.13) 
$$Z \in \mathscr{H}(n; \mathbf{F}) \Rightarrow \overline{Z}' \in \mathscr{H}(n; \mathbf{F}).$$

In the cases  $\mathbf{F} = \mathbf{C}$ ,  $n \ge 2$ , and  $\mathbf{F} = \mathbf{H}$ , n = 2, additionally

$$Z \in \mathscr{H}(n; \mathbf{F}) \Rightarrow Z' \in \mathscr{H}(n; \mathbf{F})$$

holds. Now we are going to describe the combination of (1.13) with the action of MSp(n; F) on  $\mathcal{H}(n; F)$ . Given  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in MSp(n; F)$  one easily verifies

$$\tilde{M} := M \begin{bmatrix} \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} \end{bmatrix} = \begin{pmatrix} A & -B \\ -C & D \end{pmatrix} \in \mathrm{MSp}(n; \mathbf{F}).$$

Then a calculation using (1.1) and Theorem 1.3 implies

PROPOSITION 1.4. Given  $Z, W \in \mathcal{H}(n; \mathbf{F})$  and  $M \in \mathrm{MSp}(n; \mathbf{F})$ , one has

(a) 
$$\overline{M\langle \overline{Z}' \rangle} = \tilde{M}\langle Z \rangle.$$
  
(b)  $M\langle Z \rangle + \overline{M\langle W \rangle'} = \overline{M\{W\}'}^{-1}(Z + \overline{W}')(M\{Z\})^{-1}.$   
(c)  $M\langle Z \rangle - M\langle W \rangle = \overline{\tilde{M}\{\overline{W}'\}}^{\prime-1}(Z - W)(M\{Z\})^{-1}.$   
 $= \overline{\tilde{M}\{\overline{Z}'\}}^{\prime-1}(Z - W)(M\{W\})^{-1}.$ 

Following C. L. Siegel [30] we obtain a bijection between the halfspace and the set of positive definite modified symplectic matrices. Put

$$\mathscr{P}(n;\mathbf{F}) := \mathrm{MSp}(n;\mathbf{F}) \cap \mathrm{Pos}(2n;\mathbf{F}).$$

THEOREM 1.5. The map

$$\kappa: \mathscr{H}(n; \mathbf{F}) \to \mathscr{P}(n; \mathbf{F}), \quad Z = X + Y \mapsto \begin{pmatrix} Y^{-1} & 0 \\ 0 & Y \end{pmatrix} \begin{bmatrix} \begin{pmatrix} I & -X \\ 0 & I \end{pmatrix} \end{bmatrix},$$

is bijective and satisfies

(\*) 
$$\kappa(M\langle Z\rangle) = \kappa(Z)[M^{-1}]$$

for all  $M \in MSp(n; \mathbf{F})$  and  $Z \in \mathcal{H}(n; \mathbf{F})$ .

*Proof*.  $\kappa(Z) \in \mathscr{P}(n; \mathbf{F})$  follows from (1.1). The surjectivity of  $\kappa$  is obtained by the method of completing squares (cf. [16], I.3.2). Since  $\kappa$  is obviously injective, the first part is proved.

In order to demonstrate (\*) we may confine ourselves to  $\mathbf{F} = \mathbf{H}$  and to the generators (1.4) of  $MSp(n; \mathbf{H})$ . An explicit calculation using Theorem 1.3 completes the proof.

There also exists a bounded domain, which is birationally equivalent to the half-space. Consider the generalized unit disc

$$\mathscr{D}(n;\mathbf{F}) := \{ W \in \operatorname{Mat}(n;\mathbf{F}); I - \overline{W}' W \in \operatorname{Pos}(n;\mathbf{F}) \}.$$

The generalized Cayley transformation yields that the maps

$$\mathscr{H}(n;\mathbf{F}) \to \mathscr{D}(n;\mathbf{F}), \quad Z \mapsto (Z-I)(Z+I)^{-1},$$
  
 $\mathscr{D}(n;\mathbf{F}) \to \mathscr{H}(n;\mathbf{F}), \quad W \mapsto (W+I)(-W+I)^{-1},$ 

are bijective and inverse to each other.

As a consequence one obtains a good description of the stabilizer

 $\operatorname{Stab}(Z) := \{ M \in \operatorname{MSp}(n; \mathbf{F}); M \langle Z \rangle = Z \}, \qquad Z \in \mathscr{H}(n; \mathbf{F}).$ 

We need the unitary group

$$\mathscr{U}(n;\mathbf{F}) := \{ U \in \operatorname{Mat}(n;\mathbf{F}); \overline{U}'U = U\overline{U}' = I \}.$$

Then an explicit calculation yields

**Proposition 1.6**.

$$\begin{aligned} \operatorname{Stab}(I) &= \operatorname{MSp}(n; \mathbf{F}) \cap \mathscr{U}(2n; \mathbf{F}) \\ &= \left\{ \begin{pmatrix} A & B \\ B & A \end{pmatrix}; A, B \in \operatorname{Mat}(n; \mathbf{F}), A\overline{B}' + B\overline{A}' = 0, A\overline{A}' + B\overline{B}' = I \right\} \\ &= \left\{ \frac{1}{2} \begin{pmatrix} I & I \\ -I & I \end{pmatrix} \begin{pmatrix} U & 0 \\ 0 & V \end{pmatrix} \begin{pmatrix} I & -I \\ I & I \end{pmatrix}; U, V \in \mathscr{U}(n; \mathbf{F}) \right\}. \end{aligned}$$

REMARK 1.7. Consider the three-dimensional hyperbolic space

$$\mathscr{H} = \left\{ z = \sum_{j=1}^{3} z_j e_j; z_j \in \mathbf{R}, z_3 > 0 \right\}$$

investigated in [6]. Clearly  $\mathcal{H}$  becomes a real submanifold of

$$e_3 \cdot \mathscr{H}(1; \mathbf{H}) = \left\{ z = \sum_{j=1}^4 z_j e_j; z_j \in \mathbf{R}, z_3 > 0 \right\}.$$

In view of (0.3) one easily verifies that the group

$$\Sigma = \begin{pmatrix} e_3 & 0\\ 0 & 1 \end{pmatrix} \mathbf{MSp}(1; \mathbf{H}) \begin{pmatrix} e_3 & 0\\ 0 & 1 \end{pmatrix}^{-1}$$

contains  $SL(2; \mathbb{C})$  as a subgroup. Now one can show that

$$\{M \in \Sigma; M \langle \mathscr{H} \rangle = \mathscr{H}\} = \mathrm{SL}(2; \mathbb{C}) \cup (e_3 I) \cdot \mathrm{SL}(2; \mathbb{C}).$$

The right-hand side proves to be a group by virtue of  $(e_3I) \cdot M \cdot (e_3I)^{-1} = \overline{M}$  for  $M \in Mat(2; \mathbb{C})$ . Moreover, note that  $z = z_1e_1 + z_2e_2 + z_3e_3 \in \mathcal{H}$  implies

$$(e_3I)\langle z\rangle = z_1e_1 - z_2e_2 + z_3e_3.$$

2. The half-space as a symmetric space. One can proceed in the same way, as C. L. Siegel [29] did in the classical situation, in order to turn the half-space into a symmetric space.

Given  $Z, W \in Mat(n; \mathbf{F}), Z = (z_{kl}), z_{kl} = \sum_{j=1}^{r} z_{kl}^{(j)} e_j, z_{kl}^{(j)} \in \mathbf{R}$ , set  $\tau(Z, W) := \frac{1}{2} \operatorname{trace}(Z\overline{W}' + W\overline{Z}')$  and let dZ denote the matrix of differentials

$$dZ = \left(\sum_{j=1}^{r} dz_{kl}^{(j)} e_j\right)_{1 \le k, l \le n}$$

Now consider the quadratic differential form

$$ds^2 := \tau(Y^{-1}dZY^{-1}, dZ),$$

whenever  $Z = X + Y \in \mathcal{H}(n; \mathbf{F})$ . The case  $\mathbf{F} = \mathbf{C}$  of the following assertion is due to H. Braun [3].

LEMMA 2.1. The quadratic differential form  $ds^2$  is positive definite in  $\mathscr{H}(n; \mathbf{F})$  and invariant under the maps  $Z \mapsto M\langle Z \rangle$ ,  $M \in MSp(n; \mathbf{F})$ , as well as  $Z \mapsto \overline{Z}'$ .

*Proof*.  $\tau(A, B) = \tau(\overline{A}', \overline{B}')$  yields the invariance under  $Z \mapsto \overline{Z}'$ . Let  $M \in MSp(n; F), Z \in \mathcal{H}(n; F)$  and set  $Z_1 = M\langle Z \rangle$ . Then (1.1) and Proposition 1.4 lead to

$$dZ_1 = \overline{\tilde{M}\{\overline{Z}'\}}^{\prime-1} dZ(M\{Z\})^{-1}.$$

Next  $Y_1 = (M\{Z\})Y^{-1}\overline{M\{Z\}}' = (\tilde{M}\{\overline{Z}'\})Y^{-1}\overline{\tilde{M}}\{\overline{Z}'\}'$  follows from Theorem 1.3 and Proposition 1.4. Finally, the use of [16], IV.1.1, yields

 $\tau(Y_1^{-1}dZ_1Y_1^{-1}, dZ_1) = \tau(Y^{-1}dZY^{-1}, dZ).$ 

 $ds^2$  is obviously positive definite in the point Z = I. Since MSp(n; F) acts transitively, the assertion follows.

In Helgason's notation [8] we obtain

**THEOREM 2.2.**  $\mathscr{H}(n; \mathbf{F})$  endowed with the metric  $ds^2$  is a Riemannian globally symmetric space of the noncompact type, which is irreducible except for the cases  $\mathbf{F} = \mathbf{R}$ , n = 1, 2.

*Proof*. The map  $Z \mapsto Q(Z) = Z^{-1}$  becomes an involutive isometry, which possesses I as an isolated fixed point.

With the aid of Proposition 1.6 we determine the associated Lie algebras, namely

Lie 
$$MSp(n; \mathbf{F}) = \{M \in Mat(2n; \mathbf{F}); \overline{M}'Q + QM = 0\}$$
  
=  $\left\{ \begin{pmatrix} A & B \\ C & -\overline{A}' \end{pmatrix}; A \in Mat(n; \mathbf{F}), B, C \in Alt(n; \mathbf{F}) \right\}$ ,  
Lie  $Stab(I) = Lie MSp(n; \mathbf{F}) \cap Alt(2n; \mathbf{F}).$ 

Now one easily checks

$$\begin{pmatrix} I & I \\ -I & I \end{pmatrix} \text{Lie MSp}(n; \mathbf{F}) \begin{pmatrix} I & I \\ -I & I \end{pmatrix}^{-1} = \begin{cases} \mathfrak{so}(n, n) & \text{if } \mathbf{F} = \mathbf{R}, \\ \mathfrak{u}(n, n) & \text{if } \mathbf{F} = \mathbf{C}, \end{cases}$$
$$\begin{pmatrix} I & I \\ -I & I \end{pmatrix} \text{Lie Stab}(I) \begin{pmatrix} I & I \\ -I & I \end{pmatrix}^{-1} = \begin{cases} \mathfrak{so}(n) \times \mathfrak{so}(n) & \text{if } \mathbf{F} = \mathbf{R}, \\ \mathfrak{u}(n) \times \mathfrak{u}(n) & \text{if } \mathbf{F} = \mathbf{C}, \end{cases}$$
$$(\text{cf. [8], p. 341). \text{ In the case } \mathbf{F} = \mathbf{H} \text{ a similar map yields an isomorphism between Lie MSp}(n; \mathbf{H}) \text{ and } \mathfrak{sp}(n, n) \text{ as well as between Lie Stab}(I) \text{ and } \mathfrak{sp}(n) \times \mathfrak{sp}(n). \text{ Now the assertion follows from Helgason's classification (cf. [8], IX, \S4).}$$

REMARK 2.3. (a)  $\mathscr{H}(n; \mathbf{F})$  corresponds to BDI for  $\mathbf{F} = \mathbf{R}$ , to AIII for  $\mathbf{F} = \mathbf{C}$  and to CII for  $\mathbf{F} = \mathbf{H}$  in Helgason's classification (cf. [8], p. 354), where in every case p = q = n. Note that the spaces  $\mathscr{H}(n; \mathbf{R})$ ,  $n \neq 2$ , and  $\mathscr{H}(n; \mathbf{H})$ ,  $n \geq 1$ , fail to be Hermitian (cf. [8], p. 354).

(b) In view of [8], p. 353, (x), the space  $\mathscr{H}(2; \mathbb{R})$  is isomorphic to the direct product of two copies of the upper half-plane  $\mathscr{H} = \{z = x + iy \in \mathbb{C}; y > 0\}$  in C. Each  $Z \in \mathscr{H}(2; \mathbb{R})$  is uniquely representable as

$$Z = xJ + Y = \begin{pmatrix} y_1 & y + x \\ y - x & y_2 \end{pmatrix}.$$

Now define the map

$$\chi_2: \mathscr{H}(2; \mathbf{R}) \to \mathscr{H} \times \mathscr{H}, \quad Z \mapsto (x + i\sqrt{\det Y}, \frac{1}{y_1}(-y + i\sqrt{\det Y})).$$

Clearly  $\chi_2$  becomes a bijection. If  $\chi_2(Z) = (z, w)$  and  $U \in GL(2; \mathbb{R})$  one easily verifies

$$\begin{split} \chi_2(Z+J) &= (z+1,w), \\ \chi_2(U'ZU) &= \begin{cases} (\det U \cdot z, U^{-1} \langle w \rangle) & \text{if } \det U > 0, \\ (\det U \cdot \bar{z}, U^{-1} \langle \bar{w} \rangle) & \text{if } \det U < 0, \end{cases} \\ \chi_2(Z^{-1}) &= \left(-\frac{1}{z}, -\frac{1}{w}\right), \\ \chi_2((Q \times I) \langle Z \rangle) &= (w, z), \quad \text{where } Q = Q^{(2)}, \quad I = I^{(2)}. \end{split}$$

(c) In view of [8], p. 352, (iv), the space  $\mathscr{H}(3; \mathbb{R})$  is isomorphic to the space SPos $(4; \mathbb{R}) = \text{Pos}(4; \mathbb{R}) \cap \text{SL}(4; \mathbb{R})$  (cf. [32]). Given  $x = (x_1, x_2, x_3)' \in \mathbb{R}^3$  we define

ad 
$$x = \begin{pmatrix} 0 & -x_3 & x_2 \\ x_3 & 0 & -x_1 \\ -x_2 & x_1 & 0 \end{pmatrix} \in Alt(3; \mathbf{R}),$$

which comes from the vector product (cf. [15], p. 205). Now set

$$\chi_3: \mathscr{H}(3; \mathbf{R}) \to \operatorname{SPos}(4; \mathbf{R}),$$
  
ad  $x + Y \mapsto (\det Y)^{-1/2} \begin{pmatrix} Y & 0 \\ 0 & \det Y \end{pmatrix} \begin{bmatrix} \begin{pmatrix} I & x \\ 0 & 1 \end{pmatrix} \end{bmatrix}.$ 

Given  $s \in \mathbb{R}^3$ ,  $U \in GL(3; \mathbb{R})$  one easily verifies

$$\chi_3(Z + \operatorname{ad} s) = \chi_3(Z) \begin{bmatrix} \begin{pmatrix} I & s \\ 0 & 1 \end{pmatrix} \end{bmatrix},$$
  

$$\chi_3(U'ZU) = \chi_3(Z)[U^*], \quad \text{where } U^* = |\det U|^{-1/2} \begin{pmatrix} U & 0 \\ 0 & \det U \end{pmatrix},$$
  

$$\chi_3(Z^{-1}) = (\chi_3(Z))^{-1}.$$

Now we are going to describe the associated invariant volume element and the Laplace-Beltrami-operator, which was determined by H. Maaß [21] in the case of the Siegel half-space. Therefore define the vector

$$d\mathfrak{z} = (dz_{11}^{(1)}, \dots, dz_{11}^{(r)}, dz_{12}^{(1)}, \dots, dz_{1n}^{(r)}, dz_{21}^{(1)}, \dots, dz_{nn}^{(r)})^{\prime}$$

of the length  $rn^2$ . Given  $Y \in Pos(n; \mathbf{F})$  there exists  $S_Y \in Pos(rn^2; \mathbf{R})$  satisfying

(2.1) 
$$ds^{2} = \tau(Y^{-1}dZY^{-1}, dZ) = S_{Y}[d\mathfrak{z}]$$

in view of Lemma 2.1.

**PROPOSITION 2.4.** The volume element

$$dv = (\det Y)^{-rn} \prod_{k=1}^{n} \prod_{l=1}^{n} \prod_{j=1}^{r} dz_{kl}^{(j)}$$

of  $\mathscr{H}(n; \mathbf{F})$  is invariant under the modified symplectic transformations  $Z \mapsto M\langle Z \rangle$ ,  $M \in \mathrm{MSp}(n; \mathbf{F})$ , as well as  $Z \mapsto \overline{Z}'$ .

*Proof*. Define  $d := \det S_Y$ ; then  $dv = d^{1/2} \prod_{k,l,j} dz_{kl}^{(j)}$  has the desired invariance property due to Lemma 2.1. One calculates  $d = (\det Y)^{-2rn}$ .

We compute the effect of differential operators on determinants.

**PROPOSITION 2.5.** Let  $Y \in \text{Pos}(n; \mathbf{F})$ ,  $Y^{-1} = (\tilde{y}_{kl})$  and  $s \in \mathbb{C}$ . Given  $1 \leq k, l \leq n, 1 \leq j \leq r$ , one has

$$\frac{\partial}{\partial z_{kl}^{(j)}} (\det Y)^s = s (\det Y)^s \tilde{y}_{kl}^{(j)}.$$

*Proof*. Due to the method of completing squares (cf. [16], I.3.2), we may confine ourselves to the case n = 2. Then an explicit calculation completes the proof.

In order to get an explicit description of the Laplace-Beltrami-operator, let  $\partial/\partial Z$  denote the matrix differential operator

$$\frac{\partial}{\partial z} = \left(\sum_{j=1}^{r} \frac{\partial}{\partial z_{kl}^{(j)}} e_j\right)_{1 \le k, l \le n}$$

THEOREM 2.6. The Laplace-Beltrami-operator  $\Delta$  is invariant under the maps  $Z \mapsto M\langle Z \rangle$ ,  $M \in MSp(n; \mathbf{F})$ , as well as  $Z \mapsto \overline{Z}'$  and is given by

$$\Delta = \tau \left( Y \frac{\partial}{\partial Z} Y \frac{\partial}{\partial Z} \right) - \left( \frac{1}{2} r(n+1) - 1 \right) \tau \left( Y \frac{\partial}{\partial Z} \right).$$

*Proof*. The invariance follows from Lemma 2.1 and [8], X.2.1. Using (2.1) an elementary but lengthy calculation yields  $(S_Y)^{-1} = S_{Y^{-1}}$ . Then the definition of  $\Delta$  leads to

$$\Delta = \sum_{\substack{1 \le j,k,l,m \le n \\ 1 \le \nu,\mu \le r}} (\det Y)^{rn} \frac{\partial}{\partial z_{kl}^{(\nu)}} \operatorname{Re}(y_{jk}e_{\nu}y_{lm}\bar{e}_{\mu}) (\det Y)^{-rn} \frac{\partial}{\partial z_{jm}^{(\mu)}}$$

Now one can use Proposition 2.5 and another lengthy calculation shows that  $\Delta$  has the form given above.

Theorem 2.6 combined with Proposition 2.5 yields

COROLLARY 2.7. Let  $Z \in \mathcal{H}(n; \mathbf{F})$ ,  $M \in \mathrm{MSp}(n; \mathbf{F})$  and  $s \in \mathbf{C}$ . Then one has

$$\Delta(\det Y_M)^s = ns\left(s+1-\frac{1}{2}r(n+1)\right)(\det Y_M)^s.$$

REMARK 2.8. One can proceed in the same way as C. L. Siegel [29], resp. H. Klingen [12], in order to derive normal forms for pairs of points under modified symplectic transformations. As a result one

obtains that the geodesics in  $\mathcal{H}(n; \mathbf{F})$  are given by the images of the curves

$$Z(u) = \begin{pmatrix} e^{up_1} & 0 \\ & \ddots & \\ 0 & & e^{up_n} \end{pmatrix}$$

under the transformations  $Z \mapsto M\langle Z \rangle$ ,  $M \in MSp(n; \mathbf{F})$ . Here  $p_1, \ldots, p_n$  satisfy  $0 \le p_1 \le \cdots \le p_n$  as well as  $\sum_{k=1}^n p_k^2 = 1$  and u runs through the interval  $[0, \rho]$ , where  $\rho$  denotes the geodesic distance of the points. On the other hand the geodesics in  $\mathscr{H}(n; \mathbf{F})$  coincide with the solutions of the differential equation

$$\ddot{Z} = \dot{Z}Y^{-1}\dot{Z}.$$

Thus in the relations

$$\mathscr{H}(n;\mathbf{R})\subset\mathscr{H}(n;\mathbf{C})\subset\mathscr{H}(n;\mathbf{H})$$

every half-space becomes a totally geodesic submanifold of the following one.

3. The modified modular group. We proceed in the same way as in [16]. Thus we obtain integral elements by the choice of a special order  $\mathscr{O} = \mathscr{O}(\mathbf{F})$ , namely

$$\mathscr{O}(\mathbf{R}) = \mathbf{Z}, \quad \mathscr{O}(\mathbf{C}) = \mathbf{Z}e_1 = \mathbf{Z}e_2, \quad \mathscr{O}(\mathbf{H}) = \mathbf{Z}e_0 + \mathbf{Z}e_1 + \mathbf{Z}e_2 + \mathbf{Z}e_3,$$

where  $e_0 = \frac{1}{2}(e_1 + e_2 + e_3 + e_4)$ . Here  $\mathscr{O}(\mathbf{C})$  of course denotes the Gaussian integers and  $\mathscr{O}(\mathbf{H})$  the quaternions of Hurwitz (cf. [9] or [5], §91). Then the set of integral modified symplectic matrices

 $\Gamma(n;\mathscr{O}) := \mathrm{MSp}(n;\mathbf{F}) \cap \mathrm{Mat}(2n;\mathscr{O})$ 

becomes a subgroup of MSp(n; F), which acts discontinuously on the half-space  $\mathcal{H}(n; F)$ .

DEFINITION.  $\Gamma(n; \mathscr{O})$  is called the modified modular group of degree n.

Clearly, we include the trivial case

(3.1) 
$$\Gamma(1; \mathbf{Z}) = \{\pm I, \pm Q\}$$

in view of (1.3). In the case  $\mathbf{F} = \mathbf{C}$  (0.2) implies that

(3.2) 
$$\begin{pmatrix} e_2 I & 0 \\ 0 & I \end{pmatrix} \Gamma(n; \mathbf{Z} e_1 + \mathbf{Z} e_2) \begin{pmatrix} e_2 I & 0 \\ 0 & I \end{pmatrix}^{-1}$$

equals the Hermitian modular group with respect to the Gaussian number field (cf. [3]).

Let Alt $(n; \mathscr{O})$  denote the lattice of all integral skew-Hermitian  $n \times n$  matrices. GL $(n; \mathscr{O})$  stands for the group of units in the ring Mat $(n; \mathscr{O})$ . Thus (1.5) yields

(3.3) 
$$\Gamma(n;\mathscr{O})_{\infty}$$
: = MSp $(n; \mathbf{F})_{\infty} \cap$  Mat $(2n; \mathscr{O})$   
=  $\left\{ \begin{pmatrix} \overline{U}' & \overline{U}'S \\ 0 & U^{-1} \end{pmatrix}; U \in GL(n; \mathscr{O}), S \in Alt(n; \mathscr{O}) \right\}.$ 

Set  $N(a) := a\bar{a} \in \mathbf{R}$  for  $a \in \mathbf{F}$ . Hence one easily verifies the property:

(3.4) Given 
$$a \in Alt(1; \mathbf{F})$$
 then  $g \in Alt(1; \mathcal{O})$  exists such that  $N(a-g) < 1$ .

Hence the Euclidean algorithm is valid in  $\mathscr{O}$  as well as in Alt $(1; \mathscr{O})$ . Thus we can derive a result of L. Kronecker [18]—often cited as Witt's Theorem [33]—on the generators of the modified modular group. The proofs in [16], II.2.2 and II.2.3, can be adapted by the use of (1.1) and (3.4) in order to obtain

**THEOREM 3.1.** The modified modular group  $\Gamma(n; \mathscr{O})$  is generated by the matrices

$$Q^{(2)} \times I$$
,  $\begin{pmatrix} I & S \\ 0 & I \end{pmatrix}$ ,  $S \in \operatorname{Alt}(n; \mathscr{O})$ ,  $\begin{pmatrix} \overline{U}' & 0 \\ 0 & U^{-1} \end{pmatrix}$ ,  $U \in \operatorname{GL}(n; \mathscr{O})$ .

The same arguments that were applied in the proof of Lemma 1.1b yield that  $\Gamma(n; \mathcal{O})$  can also be generated by the matrices

$$Q, \begin{pmatrix} I & S \\ 0 & I \end{pmatrix}, S \in \operatorname{Alt}(n; \mathscr{O}), \begin{pmatrix} \overline{U}' & 0 \\ 0 & U^{-1} \end{pmatrix}, U \in \operatorname{GL}(n; \mathscr{O}),$$

except for the case  $\mathscr{O} = \mathbb{Z}$ , *n* even.

Combining this with (1.8) it becomes clear that the group  $\Delta_n^*$  considered by H. Maa $\beta$  in [23] equals  $\Gamma(n; \mathbb{Z})$ , whenever *n* is odd, and  $\Gamma(n; \mathbb{Z}) \cap SL(2n; \mathbb{Z})$ , whenever *n* is even.

Now we are going to determine a suitable fundamental domain. Therefore let  $\mathscr{C}(n;\mathscr{O})$  denote the fundamental parallelotope of the lattice Alt $(n;\mathscr{O})$  in Alt $(n;\mathbf{F})$ , which consists of the matrices  $X = (x_{kl}) \in$ Alt $(n;\mathbf{F})$  such that

$$x_{kl} = \sum_{j=1}^{r} x_{kl}^{(j)} e_j, \qquad -\frac{1}{2} \le x_{kl}^{(j)} \le \frac{1}{2}, \ 1 \le k \le l \le n, \ 1 \le j \le r,$$

where  $x_{kl}^{(1)} \ge 0$  in the case  $\mathbf{F} = \mathbf{H}$ . Moreover,  $\mathscr{R}(n; \mathbf{F})$  stands for the set of reduced matrices in  $\operatorname{Pos}(n; \mathbf{F})$  (cf. [16], p. 29). Now let  $\mathscr{F}(n; \mathscr{O})$  consist of all matrices  $Z = X + Y \in \mathscr{H}(n; \mathbf{F})$ , which satisfy

(i)  $X \in \mathscr{C}(n; \mathscr{O})$ ,

(ii)  $Y \in \mathcal{R}(n; \mathbf{F})$ ,

(iii)  $|\det M\{Z\}| \ge 1$ , i.e. det  $Y_M \le \det Y$ , for all  $M \in \Gamma(n; \mathscr{O})$ . Clearly, one has

$$(3.5) \qquad \qquad \mathscr{F}(1;\mathbf{Z}) = \{ y \in \mathbf{R}; y \ge 1 \},$$

(3.6) 
$$i\mathscr{F}(n; \mathbb{Z}e_1 + \mathbb{Z}e_2) = \mathscr{F}(n; \mathbb{C}),$$

where  $\mathscr{F}(n; \mathbb{C})$  denotes the fundamental domain in [3] resp. [16], p. 58. At first we derive some properties of the domain  $\mathscr{F}(n; \mathscr{O})$ .

**PROPOSITION 3.2.** There exists a constant  $\rho = \rho(n; \mathbf{F})$  such that  $Y \ge \rho I$  holds for all  $Z = X + Y \in \mathcal{F}(n; \mathcal{O})$ .

*Proof.*  $1 \leq |\det(Q^{(2)} \times I)\{Z\}|^2 = N(z_{11}) = y_{11}^2 + N(x_{11})$  holds in view of (iii). The definition of  $\mathscr{C}(n;\mathscr{O})$  yields  $N(x_{11}) \leq \frac{3}{4}$ , hence  $y_{11} \geq \frac{1}{2}$ . Now [16], I.4.7 and I.5.1, combined with (ii) imply  $Y \geq \frac{1}{2}\beta I$ , where  $\beta$  only depends on n.

Let dv again denote the invariant volume element (cf. Proposition 2.4). One can apply nearly the same arguments, which were used for the proof of [16], II.3.2, II.3.9, in order to obtain

LEMMA 3.3. (a)  $\lambda I \in \mathcal{F}(n; \mathcal{O})$  for all  $\lambda \ge 1$ . (b) Given  $Z = X + Y \in \mathcal{F}(n; \mathcal{O})$ , then  $Z_{\lambda} := X + \lambda Y \in \mathcal{F}(n; \mathcal{O})$  holds for  $\lambda \ge 1$ .

(c)  $\mathscr{F}(n;\mathscr{O})$  is arcwise connected. (d)  $\operatorname{vol}(\mathscr{F}(n;\mathscr{O})) := \int_{\mathscr{F}(n;\mathscr{O})} dv < \infty$  except for  $n = 1, \mathscr{O} = \mathbb{Z}$ .

Hence the domain  $\mathscr{F}(n;\mathscr{O})$  fails to be compact. Given  $\alpha > 0$  the subset  $\mathscr{E}(n;\mathbf{F})[\alpha]$  of  $\operatorname{Pos}(n;\mathbf{F})$  consists of the matrices

$$\begin{pmatrix} d_1 & 0 \\ & \ddots & \\ 0 & & d_n \end{pmatrix} \begin{bmatrix} \begin{pmatrix} 1 & b_{kl} \\ & \ddots & \\ 0 & & 1 \end{bmatrix}$$

where  $0 < d_j < \alpha d_{j+1}$  for  $1 \le j < n$  and  $N(b_{kl}) < \alpha^2$  for  $1 \le k < l \le n$  (cf. [16], p. 33). Then we define the Siegel set

$$\mathscr{S}(n;\mathbf{F})[\alpha] := \{ Z \in \mathscr{H}(n;\mathbf{F}); N(x_{kl}) < \alpha^2, Y \in \mathscr{E}(n;\mathbf{F})[\alpha], 1 < \alpha y_{11} \},\$$

confer [7], p. 90, in the case of the Siegel half-space. Recall the definition of  $\kappa$  from Theorem 1.5 and consider the matrices

$$V_0 = \begin{pmatrix} 0 & 1 \\ & \ddots & \\ 1 & 0 \end{pmatrix} \in \operatorname{GL}(n; \mathscr{O}) \quad \text{and} \quad W_0 = \begin{pmatrix} V_0 & 0 \\ 0 & I \end{pmatrix} \in \operatorname{GL}(2n; \mathscr{O}).$$

**LEMMA 3.4.** (a) There exists  $\alpha = \alpha(n; \mathbf{F}) > 0$  such that

$$\mathscr{F}(n;\mathscr{O}) \subset \mathscr{S}(n;\mathbf{F})[\alpha]$$

(b) Given a compact subset  $\mathscr{C}$  in  $\mathscr{H}(n; \mathbf{F})$ , there exists  $\beta = \beta(\mathscr{C}) > 0$  satisfying

$$\mathscr{C} \subset \mathscr{S}(n;\mathbf{F})[\boldsymbol{\beta}].$$

(c) Given  $\gamma > 0$  one can find  $\delta > 0$  such that

 $\kappa(\mathscr{S}(n;\mathbf{F})[\gamma])[W_0] \subset \mathscr{E}(2n;\mathbf{F})[\delta].$ 

(d) Let  $\gamma > 0$ , then there are only finitely many  $M \in \Gamma(n; \mathscr{O})$  satisfying

$$M\langle \mathscr{S}(n;\mathbf{F})[\gamma]\rangle \cap \mathscr{S}(n;\mathbf{F})[\gamma] \neq \emptyset.$$

*Proof*. (a) and (b) The proof is settled in analogy with [16], II. 3.6, where Proposition 3.2 is applied.

(c) Proceed in the same way as in [16], II.3.7.

(d) The assertion follows from part (c) combined with [16], I.4.10.

We take the definition of a fundamental domain from [16], p. 6.

THEOREM 3.5.  $\mathscr{F}(n;\mathscr{O})$  is a fundamental domain of  $\mathscr{H}(n;\mathbf{F})$  with respect to the action of  $\Gamma(n;\mathscr{O})$  except for  $\mathbf{F} = \mathbf{H}$ , n = 1. The domain  $\mathscr{F}(n;\mathscr{O})$  is arcwise connected and closed in  $Mat(n;\mathbf{F})$ . Moreover  $vol(\mathscr{F}(n;\mathscr{O})) < \infty$  holds except for  $\mathbf{F} = \mathbf{R}$ , n = 1.

*Proof*. Given  $Z \in \mathscr{H}(n; \mathbf{F})$  we can show in the same way as in [16], II.3.3, that there exists  $M \in \Gamma(n; \mathscr{O})$  satisfying

det  $Y_K \leq \det Y_M$  for all  $K \in \Gamma(n; \mathscr{O})$ .

We may replace M by KM, where  $K \in \Gamma(n; \mathscr{O})_{\infty}$ , in order to map Z into  $\mathscr{F}(n; \mathscr{O})$  by a modified modular transformation.

In view of the definition  $\mathscr{F}(n;\mathscr{O})$  is relatively closed in  $\mathscr{H}(n;\mathbf{F})$ . Now  $\mathscr{F}(n;\mathscr{O})$  proves to be closed in  $Mat(n;\mathbf{F})$  according to Proposition 3.2. By virtue of

$$\bigcup_{M} M \langle \mathscr{F}(n;\mathscr{O}) \rangle = \mathscr{H}(n;\mathbf{F}),$$

where M runs through  $\Gamma(n; \mathscr{O})$ , clearly  $\mathscr{F}(n; \mathscr{O})$  contains interior points.

Let  $M \in \Gamma(n; \mathscr{O})$  and  $Z \in \mathscr{F}(n; \mathscr{O})$  such that Z and  $W := M\langle Z \rangle$  are interior points of  $\mathscr{F}(n; \mathscr{O})$ . We obtain  $(M\{Z\})^{-1} = M^{-1}\{W\}$  from Theorem 1.3. Thus  $|\det M\{Z\}| = |\det M^{-1}\{W\}| = 1$  follows. Since Z and W are interior points, we conclude C = 0. Then (3.3) implies

$$W = Z[U] + S$$

for appropriate  $U \in GL(n; \mathscr{O})$  and  $S \in Alt(n; \mathscr{O})$ . Since Y is an interior point of  $\mathscr{R}(n; \mathbf{F})$ , whenever Z = X + Y, we conclude  $U = \varepsilon I$ , where  $\varepsilon$  is a unit in  $\mathscr{O}$  and belongs to the center of  $\mathbf{F}$ , if n > 1. Finally we obtain S = 0, because X lies in the open kernel of  $\mathscr{C}(n; \mathscr{O})$ .

The remaining assertions follow from Lemma 3.3 and 3.4.

In the case  $\mathbf{F} = \mathbf{H}$ , n = 1 we observe that the matrices  $M = \varepsilon I^{(2)}$ , where  $\varepsilon \in \mathscr{E} = \{g \in \mathscr{O}; N(g) = 1\}$ , induce the identity map on  $\operatorname{Pos}(1; \mathbf{H}) = \mathbf{R}^+$ . Using [16], I.1.3, and the considerations above, we obtain a fundamental domain  $\mathscr{F}^*$  of  $\mathscr{H}(1; \mathbf{H})$  with respect to the action of  $\Gamma(1; \mathscr{O})$ , where

$$\mathscr{F}^* = \left\{ z = x + y \in \mathscr{F}(1;\mathscr{O}); x = \sum_{j=2}^4 x_j e_j, x_2 \ge x_3 \ge 0, x_2 \ge |x_4| \right\}.$$

But we can simplify the condition (iii) and gain

**COROLLARY 3.6.** A fundamental domain of  $\mathcal{H}(1; \mathbf{H})$  with respect to the action of  $\Gamma(1; \mathcal{O})$  is given by

$$\mathscr{F}^* = \left\{ z = \sum_{j=1}^4 z_j e_j \in \mathbf{H}; z_1 > 0, \frac{1}{2} \ge z_2 \ge z_3 \ge 0, z_2 \ge |z_4|, N(z) \ge 1 \right\}.$$

Moreover, besides the obvious cases n = 1,  $\mathbf{F} = \mathbf{R}$ ,  $\mathbf{C}$  (cf. (3.5), (3.6)) the domain  $\mathcal{F}(2; \mathbf{Z})$  can be described easily.

EXAMPLE 3.7. The fundamental domain  $\mathscr{F}(2; \mathbb{Z})$  consists of the matrices

$$Z = \begin{pmatrix} y_1 & y + x \\ y - x & y_2 \end{pmatrix} \in \operatorname{Mat}(2; \mathbf{R}),$$

where

$$1 \le y_1 \le y_2, \quad 0 \le 2y \le y_1, \quad -\frac{1}{2} \le x \le \frac{1}{2},$$
  
$$\det Z = y_1 y_2 - y^2 + x^2 \ge 1.$$

REMARK 3.8. Let us replace  $\Gamma(n; \mathbb{Z})$  by  $\Gamma^*(n; \mathbb{Z}) := \Gamma(n; \mathbb{Z}) \cap$ SL(2n; Z). In the corresponding fundamental domain  $\mathscr{F}^*(n; \mathbb{Z})$  the condition (iii) is only valid for  $M \in \Gamma^*(n; \mathbb{Z})$ . However  $\mathscr{F}^*(n; \mathbb{Z})$  possesses more than one cusp. As an example observe that

$$\mathscr{F}^*(1; \mathbf{Z}) = \mathscr{H}(1; \mathbf{R}) = \mathbf{R}^+$$

$$\mathscr{F}^*(2; \mathbf{Z}) = \left\{ Z = \begin{pmatrix} y_1 & y+x \\ y-x & y_2 \end{pmatrix} \in \mathscr{H}(2; \mathbf{R}); \\ 0 \le 2y \le y_1 \le y_2, -\frac{1}{2} \le x \le \frac{1}{2}, \\ \det Z \ge 1 \end{pmatrix}.$$

In general the diagonal matrix  $[\frac{1}{\lambda}, \lambda, ..., \lambda]$  belongs to  $\mathscr{F}^*(n; \mathbb{Z})$ , whenever  $\lambda \ge 1$ .

In this special case we can compute the volume of the fundamental domain explicitly.

PROPOSITION 3.9.  $\operatorname{vol}(\mathscr{F}(2; \mathbb{Z})) = \pi^2/9$ .

Proof. In view of Example 3.7 and Remark 3.8 one has

$$\operatorname{vol}(\mathscr{F}(2;\mathbf{Z})) = \frac{1}{4} \int_{\mathscr{D}} d\nu_{\mathcal{D}}$$

where

$$\mathscr{D} = \left\{ Z = \begin{pmatrix} y_1 & y + x \\ y - x & y_2 \end{pmatrix} \in \mathscr{H}(2; \mathbf{R}); \\ 0 \le |2y| \le y_1 \le y_2, |x| \le \frac{1}{2}, \det Z \ge 1 \right\}.$$

Remark 2.3 yields

$$\chi_2(\mathscr{D}) = \mathscr{F} \times \mathscr{F}, \quad \mathscr{F} = \{x + iy \in \mathbb{C}; y > 0, |x| \le \frac{1}{2}, |z| \ge 1\}.$$

Change of variables leads to

$$\operatorname{vol}(\mathscr{F}(2;\mathbb{Z})) = \left(\int_{\mathscr{F}} y^{-2} \, dx \, dy\right)^2 = \frac{\pi^2}{9}.$$

4. Eisenstein-series. We are going to define non-analytic Eisensteinseries in analogy with the classical case, cf. [19], [20]. Special attention is devoted to the behavior of convergence, which is investigated after the model of Eisenstein-series on the Siegel half-space. DEFINITION. Given  $\varepsilon > 0$  the set

$$\mathscr{VS}_{\varepsilon}(n;\mathbf{F}) := \{ Z = X + Y \in \mathscr{H}(n;\mathbf{F}); Y \ge \varepsilon I, \varepsilon^{-2}I \ge \overline{X}'X \}$$

is called a vertical strip of height  $\varepsilon$ .

Using (1.9), (1.10), (1.12) as well as the definition of a vertical strip  $\mathscr{V}_{\varepsilon}(n; \mathbf{F})$  in  $H(n; \mathbf{F})$  (cf. [16], p. 148), we obtain

(4.1)  $\mathscr{VS}_{\varepsilon}(n; \mathbf{R}) \subset \mathscr{VS}_{\varepsilon}(n; \mathbf{C}) \subset \mathscr{VS}_{\varepsilon}(n; \mathbf{H}),$ 

(4.2)  $i\mathscr{V}_{\varepsilon}(n;\mathbf{C}) = \mathscr{V}_{\varepsilon}(n;\mathbf{C}),$ 

(4.3)  $\{i\check{Z}; Z \in \mathscr{VS}_{\varepsilon}(n; \mathbf{H})\} \subset \mathscr{V}_{\varepsilon}(2n; \mathbf{C}).$ 

**PROPOSITION 4.1.** Given  $\varepsilon > 0$  there exists  $c = c(n; \varepsilon) > 0$  such that

$$|\det M\{Z\}| \ge c |\det M\{I\}|$$

holds for all  $Z \in \mathscr{V}\mathcal{S}_{\varepsilon}(n; \mathbf{F})$  and  $M \in \mathrm{MSp}(n; \mathbf{F})$ .

*Proof*. In view of (4.1) and (1.12) we may restrict to the case  $\mathbf{F} = \mathbf{H}$ . Now apply (4.3), (1.11) and [16], V.2.5.

Analogous arguments using [16], V.2.7, and Theorem 1.3 yield

**PROPOSITION 4.2.** Given a compact subset  $\mathcal{C}$  in  $\mathcal{H}(n; \mathbf{F})$  there exists a constant  $c = c(\mathcal{C})$  such that all Z = X + Y,  $W = U + V \in \mathcal{C}$  and  $M \in MSp(n; \mathbf{F})$  satisfy

$$\det Y_M \leq c \cdot \det V_M.$$

We use the abbreviations

$$\Gamma_n := \Gamma(n; \mathscr{O})$$
 and  $\Gamma_n^{\infty} := \Gamma(n; \mathscr{O})_{\infty}$ .

LEMMA 4.3. Let  $\varepsilon \in \mathbf{R}$ ,  $\varepsilon > 0$  and  $k \in \mathbf{R}$ , k > r(n+1) - 2. Then the series

$$\sum_{M: \ \Gamma_n^{\infty} \setminus \Gamma_n} |\det M\{Z\}|^{-k}$$

converges uniformly for  $Z \in \mathscr{V}\mathcal{S}_{\varepsilon}(n; \mathbf{F})$ .

*Proof*. In view of (3.3) the definition does not depend on the choice of the representatives. Hence let  $\mathscr{R}$  denote a fixed set of representatives. According to Proposition 4.1 the series is uniformly majorized by

$$\sum_{M\in\mathscr{R}} |\det M\{I\}|^{-k}.$$

Observe that  $|\det M\{I\}|^{-2} = \det Y$ , whenever  $M\langle I \rangle = X + Y$ . Let dv denote the invariant volume element quoted in Proposition 2.4. Moreover set

$$\mathscr{C} = \{ Z = X + Y \in \mathscr{F}(n; \mathscr{O}); \det Y \le c \}$$

for sufficiently large c > 1. Then  $\mathscr{C}$  becomes a compact subset with positive volume. Hence the series is majorized by

$$G_k := \sum_{M \in \mathscr{R}} \int_{M \langle \mathscr{C} \rangle} (\det Y)^{k/2} \, dv$$

in view of Proposition 4.2. Let l denote the number of neighbors of  $\mathscr{F}(n;\mathscr{O})$  and set  $\mathscr{U} = \bigcup_{M \in \mathscr{R}} M \langle \mathscr{C} \rangle$ . Thus we obtain

$$G_k \leq l \int_{\mathscr{U}} (\det Y)^{k/2} \, dv.$$

Now  $\mathscr{U}$  is contained in a fundamental domain of  $\mathscr{H}(n; \mathbf{F})$  with respect to the action of  $\Gamma(n; \mathscr{O})_{\infty}$ . Every  $Z = X + Y \in \mathscr{U}$  satisfies det  $Y \leq c$  in virtue of  $\mathscr{C} \subset \mathscr{F}(n; \mathscr{O})$ . According to (3.3) it suffices to check the convergence of the integral

$$\int_{\substack{X \in \mathscr{C}(n;\mathscr{O}), Y \in \mathscr{R}(n;\mathbf{F}) \\ \det Y \leq c}} (\det Y)^{k/2} \, dv.$$

In view of  $dv = 2^{rn(n-1)/2} (\det Y)^{-rn} dX dY$  it suffices to estimate the integral

$$\int_{Y \in \mathscr{R}(n;\mathbf{F}), \det Y \leq c} (\det Y)^{k/2 - rn} \, dY$$

According to [16], I.5.10, this integral exists, whenever k > r(n+1) - 2.

Thus we can easily derive

**THEOREM 4.4**. The series

$$E_n^{\mathbf{F}}(Z,s) := \sum_{M: \ \Gamma_n^{\infty} \setminus \Gamma_n} (\det Y_M)^s$$

converges absolutely and uniformly, whenever Z belongs to a compact subset of  $\mathcal{H}(n; \mathbf{F})$  and  $s \in \mathbf{C}$  satisfies  $\operatorname{Re}(s) \geq k$ ,  $k > \frac{1}{2}r(n+1) - 1$ . Given  $Z \in \mathcal{H}(n; \mathbf{F})$  the function

$$\left\{s \in \mathbf{C}; \operatorname{Re}(s) > \frac{1}{2}r(n+1) - 1\right\} \to \mathbf{C}, \quad s \mapsto E_n^{\mathbf{F}}(Z, s)$$

becomes holomorphic. Let  $s \in \mathbb{C}$ ,  $\operatorname{Re}(s) > \frac{1}{2}r(n+1) - 1$ , be fixed. Then

(4.4) 
$$E_n^{\mathbf{F}}(M\langle Z\rangle, s) = E_n^{\mathbf{F}}(\overline{Z}', s) = E_n^{\mathbf{F}}(Z, s)$$

holds for all  $Z \in \mathscr{H}(n; \mathbf{F})$  and  $M \in \Gamma(n; \mathscr{O})$ . Given  $\varepsilon > 0$  there exists c > 0 such that

$$(4.5) |E_n^{\mathbf{F}}(\mathbf{Z},s)| \le c (\det Y)^{\operatorname{Re}(s)}$$

holds for all  $Z \in \mathcal{H}(n; \mathbf{F})$  satisfying  $Y \geq \varepsilon I$ .

*Proof*. The definition does not depend on the choice of the representatives in view of (3.3). Using det  $Y_M = (\det Y) \cdot |\det M\{Z\}|^{-2}$  the properties of convergence follow from the previous lemma.

The uniform convergence implies that the function  $s \mapsto E_n^{\mathbf{F}}(Z, s)$  becomes holomorphic. If K then also KM, where  $M \in \Gamma(n; \mathscr{O})$ , resp.  $\tilde{K}$ (cf. Proposition 1.4), run through sets of representatives of  $\Gamma_n^{\infty} \setminus \Gamma_n$ . Hence (4.4) follows by a rearrangement. In order to prove (4.5), we may assume  $Z \in \mathscr{VS}_{\varepsilon}(n; \mathbf{F})$  in virtue of  $E_n^{\mathbf{F}}(Z + S, s) = E_n^{\mathbf{F}}(Z, s)$  for  $S \in \operatorname{Alt}(n; \mathscr{O})$ . Then Lemma 4.3 completes the proof.

DEFINITION.  $E_n^{\mathbf{F}}(Z, s)$  is called *Eisenstein-series in* Z and s.

In virtue of (3.1) the case  $\mathbf{F} = \mathbf{R}$ , n = 1 becomes trivial, namely (4.6)  $E_1^{\mathbf{R}}(v, s) = v^s + v^{-s}$ , whenever  $v \in \mathcal{H}(1; \mathbf{R}) = \mathbf{R}^+$ .

Consider the classical non-analytic Eisenstein-series

(4.7) 
$$E(z,s) = \frac{1}{2} \sum_{(c,d)\in\mathbb{Z}^2 \text{coprime}} \left(\frac{y}{|cz+d|^2}\right)^s,$$

where  $s \in C$ , Re(s) > 1,  $z = x + iy \in C$ , y > 0 (cf. [19], [20]). Then (3.2) and [16], II.2.6, imply

(4.8) 
$$E_1^{\mathbf{C}}(z,s) = E(iz,s), \qquad z \in \mathscr{H}(1;\mathbf{C}).$$

Consider the Laplace-Beltrami-operator  $\Delta$  in Theorem 2.6. Corollary 2.7 immediately leads to

COROLLARY 4.5. The Eisenstein-series is an eigenfunction of the Laplace-Beltrami-operator. More precisely, if  $s \in \mathbb{C}$ ,  $\operatorname{Re}(s) > \frac{1}{2}r(n+1) - 1$ , then

$$\Delta E_n^{\mathbf{F}}(Z,s) = ns(s - \frac{1}{2}r(n+1) + 1)E_n^{\mathbf{F}}(Z,s).$$

According to the classical procedure by H. Braun [2], we can show that the abscissa of absolute convergence is given by  $\text{Re}(s) = \frac{1}{2}r(n+1) - 1$  except for the trivial case (4.6), of course. Therefore some preliminaries are necessary.

A matrix  $G \in Mat(n, m; \mathscr{O})$ , where  $m \ge n$  (resp.  $n \ge m$ ), is called *primitive* if there exists  $U \in GL(m; \mathscr{O})$  such that  $U = \binom{G}{*}$  (resp.  $U \in GL(n; \mathscr{O})$  such that U = (G, \*)). Clearly if  $m \ge n$ 

(4.9) G is primitive if and only if  $H \in Mat(m, n; \mathscr{O})$  exists such that GH = I.

In the cases  $\mathscr{O} = \mathbb{Z}$ ,  $\mathbb{Z}e_1 + \mathbb{Z}e_2$  the matrix G proves to be primitive if and only if the *n*-rowed subdeterminants of G are coprime.

Given  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in MSp(n; \mathbf{F})$  then (C, D) is called the second row of M.

**PROPOSITION 4.6.** The second rows of the matrices in  $\Gamma(n; \mathscr{O})$  coincide with the primitive pairs  $(C, D) \in \operatorname{Mat}(n, 2n; \mathscr{O})$  satisfying  $C\overline{D}' + D\overline{C}' = 0$ .

*Proof.* If M belongs to  $\Gamma(n; \mathscr{O})$ , apply (1.1) and use  $\Gamma(n; \mathscr{O}) \subset$   $GL(2n; \mathscr{O})$ . Conversely, let such a pair (C, D) be given. According to (4.9)  $F, G \in Mat(n; \mathscr{O})$  exist such that CF + DG = I. Now set

$$M = \begin{pmatrix} A & B \\ C & D \end{pmatrix}, \quad A := \overline{G}' - \overline{F}'GC, \quad B := \overline{F}' - \overline{F}'GD$$

and verify  $M \in \Gamma(n; \mathscr{O})$ .

Next we consider  $\Gamma(1; \mathscr{O}(\mathbf{H}))$  and compute the number of d's, whenever an odd c is given.

**PROPOSITION 4.7.** Let  $c \in \mathscr{O}(\mathbf{H})$  such that N(c) is odd and set  $l := \max\{m \in \mathbf{N}; \frac{1}{m}c \in \mathscr{O}\}$ . Then there exist  $l \cdot N(c)$  cosets  $d + cAlt(1; \mathscr{O})$  such that  $c\bar{d} + d\bar{c} = 0$ .

*Proof*. We can replace c by  $\varepsilon c$ ,  $\varepsilon \in \mathscr{C} = \{g \in \mathscr{O}; N(g) = 1\}$ , and may assume  $c = \sum_{j=1}^{4} c_j e_j$ ,  $c_j \in \mathbb{Z}$ . Thus  $l = g.c.d.(c_1, c_2, c_3, c_4)$  holds. Let q = N(c), then there are exactly  $lq^3$  tuples  $(d_1, d_2, d_3, d_4)'$  in  $\mathbb{Z}^4 \mod q$  such that

$$c_1d_1 + c_2d_2 + c_3d_3 + c_4d_4 \equiv 0 \mod q$$

holds. Hence there are  $lq^3$  cosets  $d_j + q\mathscr{O}$  such that  $2\operatorname{Re}(d_j\bar{c}) \equiv 0 \mod q$ . Observe that each coset  $c\mathscr{O}$  decomposes into  $q^2$  cosets  $d+q\mathscr{O}$ 

(cf. [17]). After renumbering we therefore may assume that

$$\bigcup_{j=1}^{lq} (d_j + c\mathscr{O}) = \bigcup_{j=1}^{lq^3} (d_j + q\mathscr{O}).$$

Since q is odd, we can choose the representatives such that  $\operatorname{Re}(d_j \bar{c}) = 0$  holds for  $1 \leq j \leq lq$ . Hence  $d_j + c\operatorname{Alt}(1; \mathcal{O})$ ,  $1 \leq j \leq lq$ , are the cosets with the desired property.

Next it is necessary to compute an integral. The same arguments, which were used by H. Braun in [2], [3] resp. in [16], V.1.2, yield

LEMMA 4.8. In the case  $\mathbf{F} = \mathbf{R}$  let n > 1,  $s \in \mathbf{C}$ ,  $\operatorname{Re}(s) > n - 3/2$ . If  $\mathbf{F} = \mathbf{C}$ ,  $\mathbf{H}$ , let  $n \ge 1$ ,  $s \in \mathbf{C}$ ,  $\operatorname{Re}(s) > rn - 1$ . Given  $Z = X + Y \in \mathcal{H}(n; \mathbf{F})$  the integral

$$\eta_s(Z) := \int_{\operatorname{Alt}(n;\mathbf{F})} |\det(Z+T)|^{-s} dT$$

exists and satisfies

(4.10) 
$$\eta_s(Z) = (\det Y)^{r(n+1)/2 - 1 - s} \eta_{s,n}^{\mathbf{F}}$$

where

$$\eta_{s,n}^{\mathbf{F}} = \pi^{rn(n+1)/4 - n/2} \prod_{j=1}^{n} \frac{\Gamma(s+1-\frac{1}{2}r(n+j))}{\Gamma(s+1-rj)} \frac{\Gamma(\frac{1}{2}(s+1-rj))}{\Gamma(\frac{1}{2}(s+r-rj))}$$

Note that in the case  $\mathbf{F} = \mathbf{R}$ , i.e. r = 1, several factors on the righthand side can be reduced such that the reduced product even exists for  $\operatorname{Re}(s) > n - 3/2$ . Here  $\Gamma(s)$  denotes the gamma-function, since confusion with the modular group is not possible.

The existence of the integral implies the convergence of a series.

COROLLARY 4.9. Let  $k \in \mathbb{R}$  and k > n - 3/2, n > 1 for  $\mathbf{F} = \mathbb{R}$ resp. k > rn - 1,  $n \ge 1$  for  $\mathbf{F} = \mathbb{C}$ ,  $\mathbf{H}$ . Given  $\varepsilon > 0$  there exists c > 0such that

$$c^{-k}\eta_k(Z) \le \sum_{T \in \operatorname{Alt}(n;\mathscr{G})} |\det(Z+T)|^{-k} \le c^k\eta_k(Z)$$

holds for all  $Z = X + Y \in \mathcal{H}(n; \mathbf{F})$  satisfying  $Y \ge \varepsilon I$ .

*Proof*. The assertion follows from an estimation between  $|\det(Z+T)|^{-k}$  and

$$\int_{\mathscr{C}(n;\mathscr{O})} |\det(Z+T+H)|^{-k} \, dH$$

This estimation can be derived by (1.10), (1.11), (1.12) and [16], V.1.4.

Now we follow H. Braun [2] in order to determine the abscissa of convergence of the Eisenstein-series. Hereby the result on real Eisenstein-series quoted by H. Maaß [23] can even be strengthened.

**THEOREM 4.10.** Let n > 1 for  $\mathbf{F} = \mathbf{R}$  and  $n \ge 1$  for  $\mathbf{F} = \mathbf{C}$ , **H**. Then the Eisenstein-series  $E_n^{\mathbf{F}}(Z, s)$  does not converge absolutely, whenever  $\operatorname{Re}(s) = \frac{1}{2}r(n+1) - 1$ .

Proof. According to Proposition 4.2 it suffices to show that the series

$$E_n^{\mathbf{F}}(I,k) = \sum_{M: \; \Gamma_n^{\infty} \setminus \Gamma_n} |\det M\{I\}|^{-2k}, \qquad k = \frac{1}{2}r(n+1) - 1,$$

diverges. Therefore we take second rows (C, D) of matrices  $M \in \Gamma(n; \mathscr{O})$  such that the cosets  $\Gamma_n^{\infty} M \begin{pmatrix} I & S \\ 0 & I \end{pmatrix}$ ,  $S \in \operatorname{Alt}(n; \mathscr{O})$ , are mutually disjoint. In view of

$$E_n^{\mathbf{F}}(I,k) \ge \sum_{\substack{M\binom{I}{0}}{I}} |\det M\{I\}|^{-2k}$$
  
=  $\sum_{C,D,S} |\det C|^{-2k} |\det(I + C^{-1}D + S)|^{-2k}$ 

and Corollary 4.9 it suffices to estimate

$$E_k := \sum_{C,D} |\det C|^{-2k}.$$

In the case  $\mathbf{F} = \mathbf{R}$ ,  $n \ge 2$  choose

$$C = \begin{pmatrix} cI^{(2)} & 0 \\ G & I \end{pmatrix}, \quad D = \begin{pmatrix} dJ & -dJG' \\ 0 & 0 \end{pmatrix}, \quad J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix},$$

where  $c \in \mathbb{N}$ ,  $d, 1 \le d \le c$ , is relatively prime to c and G runs through a set of representatives of  $\operatorname{Mat}(n-2,2;\mathbb{Z})/c\operatorname{Mat}(n-2,2;\mathbb{Z})$ , which consists of  $c^{2n-4}$  elements. (C,D) has the desired property. If  $\varphi$ denotes Euler's  $\varphi$ -function, we obtain  $k = \frac{1}{2}(n-1)$  and

$$E_k = \sum_{c,d} c^{-2} = \sum_{c=1}^{\infty} \varphi(c) c^{-2}.$$

But this series diverges.

In the case  $\mathbf{F} = \mathbf{C}$  apply [3], Theorem II.

In the case  $\mathbf{F} = \mathbf{H}$  let c run through a system of representatives of

$$\mathscr{E} \setminus \{ x \in \mathscr{O}; N(x) = p \},\$$

where  $\mathscr{E} = \{g \in \mathscr{O}; N(g) = 1\}$  and p runs through all odd primes. For every prime p we have p+1 possibilities for c according to [9]. Given c choose  $d_1, \ldots, d_p$  according to Proposition 4.7 and assume  $d_p = 0$ . Hence we may suppose  $p \nmid N(d_j)$  for  $1 \le j < p$ . Set  $x = (c_2, \ldots, c_n)'$ and let each  $c_j$  run through a set of representatives of  $\mathscr{O}/\mathscr{O}c$ , which consists of  $N(c)^2 = p^2$  elements (cf. [17]). Now set

$$C = \begin{pmatrix} c & 0 \\ x & I \end{pmatrix}, \quad D = \begin{pmatrix} d & -d\bar{x}' \\ 0 & 0 \end{pmatrix}, \quad d = d_j, \ 1 \le j < p,$$

and observe that (C, D) has the desired property. Now we obtain k = 2n + 1 and

$$E_k = \sum_{p>2 \text{ prime}} (p-1)(p+1)p^{-3}.$$

This series diverges.

Just as in the case of Siegel modular forms we can define a modified  $\phi$ -operator. Given a function  $f: \mathscr{H}(n; \mathbf{F}) \to \mathbf{C}$  and  $s \in \mathbf{C}$ , we set

$$f|_{s}\phi: \mathscr{H}(n-1;\mathbf{F}) \to \mathbf{C}, \qquad Z \mapsto \lim_{\lambda \to \infty} \lambda^{-s} f\left( \begin{pmatrix} Z & 0 \\ 0 & \lambda \end{pmatrix} \right),$$

if this limit exists.  $f|_{s}\phi$  has to be regarded as a constant, if n = 1. Then  $\phi$  is called the modified Siegel  $\phi$ -operator.

Finally we show that the modified Siegel  $\phi$ -operator can be applied to Eisenstein-series just as in the classical case.

THEOREM 4.11. Given  $s \in \mathbf{C}$ ,  $\operatorname{Re}(s) > \frac{1}{2}r(n+1) - 1$ , then one has  $E_n^{\mathbf{F}}(\cdot, s) |_s \phi = E_{n-1}^{\mathbf{F}}(\cdot, s) \quad \text{for } n \ge 2$ ,  $E_1^{\mathbf{F}}(\cdot, s) |_s \phi = 1$ .

*Proof*. According to Lemma 4.3 the limit may be distributed through the infinite series. The case n = 1 becomes clear in view of

$$\lim_{\lambda \to \infty} |M\{\lambda\}|^{-2} = \lim_{\lambda \to \infty} N(c\lambda + d)^{-1} = \begin{cases} N(d)^{-1} & \text{if } c = 0, \\ 0 & \text{if } c \neq 0. \end{cases}$$

Let  $n \ge 2$  and let  $\Gamma_n^*$  denote the set of matrices  $M \in \Gamma_n$  such that the elements  $m_{2n,j}$ ,  $1 \le j < 2n$ , vanish.  $\Gamma_n^*$  proves to be a subgroup and one easily verifies that the map

$$\Gamma_{n-1}^{\infty}\backslash\Gamma_{n-1} \to (\Gamma_n^* \cap \Gamma_n^{\infty})\backslash\Gamma_n^*, \quad \Gamma_{n-1}^{\infty}M \mapsto (\Gamma_n^* \cap \Gamma_n^{\infty})(M \times I^{(2)}),$$

becomes a bijection. Let  $Z_{\lambda} := \begin{pmatrix} Z & 0 \\ 0 & \lambda \end{pmatrix}$ . Given  $M \in \Gamma_n^*$  then  $|\det M\{Z_{\lambda}\}|$  does not depend on  $\lambda$ . Hence we obtain

$$\sum_{M: (\Gamma_n^* \cap \Gamma_n^\infty) \setminus \Gamma_n^*} (\det Y)^s |\det M\{Z_\lambda\}|^{-2s} = E_{n-1}^{\mathbf{F}}(Z,s).$$

Given  $M \in \Gamma(n; \mathscr{O})$  such that  $\Gamma_n^{\infty} M \cap \Gamma_n^* = \emptyset$  one checks that  $\lim_{\lambda \to \infty} |M\{Z_{\lambda}\}| = \infty$  holds.

The isomorphisms  $\chi_2$  and  $\chi_3$  in Remark 2.3 between symmetric spaces correspond to identities between the associated Eisensteinseries. Therefore the Eisenstein-series (4.7) and Eisenstein-series for  $GL(4; \mathbb{Z})$ , which were investigated by A. Terras [31], appear. Note that the action of  $\Gamma(3; \mathbb{Z})_{\infty}$  corresponds to the action of the parabolic subgroup  $P_{3,1}$  of  $GL(4; \mathbb{Z})$  via  $\chi_3$ . Consider the attached Eisenstein-series of the second type in [31]

$$E_{s,0}(Y) := \sum_{P: \Pr(4,3,\mathbb{Z})/\mathrm{GL}(3;\mathbb{Z})} (\det Y[P])^{-s},$$

where  $Y \in \text{SPos}(4; \mathbb{R})$  and  $Pr(4, 3, \mathbb{Z})$  denotes the set of primitive  $4 \times 3$  matrices over  $\mathbb{Z}$ . Thus an explicit computation yields

LEMMA 4.12. (a) Given

$$Z = xJ + Y = \begin{pmatrix} y_1 & y + x \\ y - x & y_2 \end{pmatrix} \in \mathscr{H}(2; \mathbf{R})$$

and  $s \in \mathbf{C}$  with  $\operatorname{Re}(s) > \frac{1}{2}$  one has

$$E_{2}^{\mathbf{R}}(Z,s) = E(x + i\sqrt{\det Y}, 2s) + E\left(\frac{1}{y_{1}}(-y + i\sqrt{\det Y}), 2s\right).$$
(b) Given  $Z \in \mathscr{H}(3; \mathbf{R})$  and  $s \in \mathbf{C}$  with  $\operatorname{Re}(s) > 1$  one has
$$E_{3}^{\mathbf{R}}(Z,s) = E_{2s,0}(\chi_{3}(Z)) + E_{2s,O}(\chi_{3}(Z)^{-1}).$$

5. Fourier-expansion of Eisenstein-series. The Fourier-expansion of non-analytic Eisenstein-series on the Siegel half-space was investigated by H. Maaß [22], §18. G. Shimura [27] dealt with the case F = C, if we regard (0.2) and (1.9). Some of the following results on real Eisenstein-series were already obtained by H. Maaß [23].

Throughout this paragraph let  $s \in \mathbb{C}$  be fixed such that  $\operatorname{Re}(s) > \frac{1}{2}r(n+1) - 1$  holds. In order to describe the Fourier-development, we have to determine the dual lattice. Therefore set

$$\mathcal{O}^{\#}(\mathbf{F}) = \mathcal{O}(\mathbf{F}), \quad \mathbf{F} = \mathbf{R}, \mathbf{C},$$
  
$$\mathcal{O}^{\#}(\mathbf{H}) = \mathbf{Z}2e_1 + \mathbf{Z}(e_1 + e_2) + \mathbf{Z}(e_1 + e_3) + \mathbf{Z}(e_1 + e_4)$$

(cf. [16], p. 12). Using the definition of  $\tau$  in §2 we derive

$$\operatorname{Alt}^{\tau}(n;\mathscr{O}) := \{T \in \operatorname{Alt}(n; \mathbf{F}); \tau(T, S) \in \mathbf{Z} \text{ for all } S \in \operatorname{Alt}(n; \mathscr{O})\} \\ = \{T = (t_{kl}) \in \operatorname{Alt}(n; \mathbf{F}); t_{kk} \in \mathscr{O}, 2t_{kl} \in \mathscr{O}^{\#} \text{ for } k \neq l\}.$$

Since the Eisenstein-series is invariant under the transformations  $Z \mapsto Z + S$ ,  $S \in Alt(n; \mathscr{O})$ , we obtain

$$E_n^{\mathbf{F}}(Z,s) = \sum_{T \in \operatorname{Alt}^{\tau}(n;\mathscr{O})} c(Y;T) e^{2\pi i \tau(X,T)}, \qquad Z = X + Y \in \mathscr{H}(n;\mathbf{F}).$$

The use of  $E_n^{\mathbf{F}}(Z[U], s) = E_n^{\mathbf{F}}(\overline{Z}', s) = E_n^{\mathbf{F}}(Z, s)$  according to (4.4) as well as the uniqueness of the Fourier-coefficients yield

$$c(Y[U];T) = c(Y;T[\overline{U}']), \quad c(Y;T) = c(Y;-T)$$

for all  $U \in GL(n; \mathscr{O})$ .

It is convenient to decompose the Eisenstein-series into n+1 partial series. Given  $0 \le j \le n$  we set

$$E_{n,j}^{\mathbf{F}}(Z,s) = \sum_{\substack{M: \ \Gamma_n^{\infty} \setminus \Gamma_n \\ \operatorname{rank} C = j}} (\det Y_M)^s.$$

The definition leads to the obvious relations

(5.1) 
$$E_n^{\mathbf{F}}(Z,s) = \sum_{j=0}^n E_{n,j}^{\mathbf{F}}(Z,s),$$

(5.2) 
$$E_{n,0}^{\mathbf{F}}(Z,s) = (\det Y)^s.$$

Set  $Pr(n, m; \mathcal{O}) := \{G \in Mat(n, m; \mathcal{O}); G \text{ primitive}\}$ . Following H. Maaß [22], §11, the same arguments yield

LEMMA 5.1. Given 0 < j < n let P run through a set of representatives of  $\Pr(n, j; \mathscr{O})/\operatorname{GL}(j; \mathscr{O})$ . Each P is completed to a matrix  $U = (P, *) \in \operatorname{GL}(n; \mathscr{O})$  in exactly one way. Let  $M_1$  run through the subset of representatives of  $\Gamma_j^{\infty} \setminus \Gamma_j$ , where  $|\det C_1| \neq 0$ . Then  $(M_1 \times I)(\overline{U}_{0 U^{-1}})$  runs through the subset of representatives of  $\Gamma_n^{\infty} \setminus \Gamma_n$ , where rank C = j.

Thus we easily compute

COROLLARY 5.2. Given 
$$0 < j < n$$
 one has  

$$E_{n,j}^{\mathbf{F}}(Z,s) = \sum_{P: \operatorname{Pr}(n,j;\mathscr{O})/\operatorname{GL}(j;\mathscr{O})} (\det Y)^{s} (\det Y[P])^{-s} E_{j,j}^{\mathbf{F}}(Z[P],s).$$

Given  $S \in Pos(n; \mathbf{R})$ , 0 < j < n, and  $\omega \in \mathbf{C}$  satisfying  $Re(\omega) > \frac{1}{2}n$ , we can define the Dirichlet-series

$$\zeta_j(S,\omega) := \sum_{P: \operatorname{Pr}(n,j;\mathbb{Z})/\operatorname{GL}(j;\mathbb{Z})} (\det S[P])^{-\omega}.$$

A related series was investigated by M. Koecher [13].  $\zeta_1(S, \omega)$  proves to be the quotient of the corresponding Epstein-zeta-function over the Riemann-zeta-function  $2\zeta(2\omega)$ . In view of (5.1), (5.2), (4.6) and Corollary 5.2 we gain

(5.3) 
$$E_{n,1}^{\mathbf{R}}(Z,s) = (\det Y)^s \zeta_1(Y,2s),$$

whenever  $n \ge 2$ .

In view of the corollary the problem is reduced to the investigation of  $E_{n,n}^{\mathbf{F}}(Z,s)$ . Set  $\mathbf{F}_{\mathbf{Q}} = \mathbf{Q}e_1 + \cdots + \mathbf{Q}e_r$ . The matrices in  $Mat(n; \mathbf{F}_{\mathbf{Q}})$  are called rational.

**LEMMA 5.3.** Let  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  run through the subset of representatives of  $\Gamma_n^{\infty} \setminus \Gamma_n$ , where rank C = n. Then each  $R \in Alt(n; \mathbf{F}_Q)$  is represented in the form  $R = C^{-1}D$  exactly once. Moreover

$$\nu(R) = |\det C|$$

becomes well-defined and satisfies

 $\nu(R+S) = \nu(R)$  for  $S \in Alt(n; \mathscr{O})$ .

If  $\mathscr{O} = \mathbb{Z}$ ,  $\mathbb{Z}e_1 + \mathbb{Z}e_2$ , then  $\nu(R)$  coincides with the absolute value of the product of the denominators of the reduced elementary divisors of R.

*Proof*. Given  $R \in Alt(n; \mathbf{F}_{\mathbf{O}})$  choose  $U, V \in GL(n; \mathscr{O})$  such that

$$URV = [q_1, \ldots, q_n], \qquad q_j \in \mathbf{F}_{\mathbf{Q}}, \quad q_{j+1} \in \mathscr{O}q_j,$$

according to [16], I.2.3. Each  $q_j$  possesses a representation  $q_j = c_j^{-1}d_j, c_j \neq 0, c_j, d_j \in \mathcal{O}$ , where  $c_j$  and  $d_j$  are relatively left-prime. Define  $C_0 = [c_1, \ldots, c_n], D_0 = [d_1, \ldots, d_n]$ , then  $(C_0, D_0)$  becomes primitive (cf. [16], I.1.11). Hence  $(C, D) := (C_0 U, D_0 V^{-1})$  proves to be primitive and satisfies rank C = n as well as

$$C^{-1}D = U^{-1}[q_1, \ldots, q_n]V^{-1} = R.$$

Now (C, D) turns out to be the second row of a matrix in  $\Gamma(n; \mathscr{O})$  according to Proposition 4.6. If  $\mathscr{O} = \mathbb{Z}$ ,  $\mathbb{Z}e_1 + \mathbb{Z}e_2$ , moreover  $|\det C|$  equals the absolute value of the product of the denominators of the reduced elementary divisors of R.

Clearly, the representation  $R = C^{-1}D$  and  $|\det C|$  do not depend on the choice of the representative in the coset  $\Gamma_n^{\infty}M$  in view of (3.3). Now suppose that  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  and  $M_1 = \begin{pmatrix} A_1 & B_1 \\ C_1 & D_1 \end{pmatrix}$  belong to  $\Gamma(n; \mathscr{O})$  and fulfill rank  $C = \operatorname{rank} C_1 = n$  as well as  $C^{-1}D = C_1^{-1}D_1 = R$ . Then  $\overline{R}' = -R$  yields  $C\overline{D}'_1 + D\overline{C}'_1 = 0$ . Hence (1.2) implies  $MM_1^{-1} \in \Gamma_n^{\infty}$ , i.e.  $\Gamma_n^{\infty}M = \Gamma_n^{\infty}M_1$ . Replacing M by  $M \begin{pmatrix} I & S \\ 0 & I \end{pmatrix}$ ,  $S \in \operatorname{Alt}(n; \mathscr{O})$ , yields  $\nu(R+S) = \nu(R)$ .

In the case  $\mathscr{O} = \mathbb{Z}$  we obtain information about the elementary divisor normal form of the C-block in a matrix  $M \in \Gamma(n; \mathbb{Z})$ .

COROLLARY 5.4. Given  $M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma(n; \mathbb{Z})$  then the elementary divisor matrix of C has the form

 $[c_1, c_1, c_2, c_2, \dots, c_m, c_m, 0, \dots, 0], \quad if \text{ rank } C = 2m, \\ [1, c_1, c_1, c_2, c_2, \dots, c_m, c_m, 0, \dots, 0], \quad if \text{ rank } C = 2m + 1, \end{cases}$ 

where  $c_1, \ldots, c_m \in \mathbb{N}$  such that  $c_j | c_{j+1}$ .

*Proof*. We may assume rank C = n. Then a combination of [25], Theorem IV.1, with Lemma 5.3 yields the assertion.

Replacing M by a product of M and Q a corresponding result is true for each other block of the matrix  $M \in \Gamma(n; \mathbb{Z})$ .

Furthermore, Lemma 5.3 immediately yields

(5.4) 
$$E_{n,n}^{\mathbf{F}}(Z,s) = (\det Y)^s \sum_{R \in \operatorname{Alt}(n;\mathbf{F}_{\mathbf{Q}})} \nu(R)^{-2s} |\det(Z+R)|^{-2s}$$

In view of  $\nu(R + S) = \nu(R)$  for  $S \in Alt(n; \mathscr{O})$ , the partial series  $E_{n,j}^{\mathbf{F}}(Z, s)$  possesses a Fourier-expansion, too. Let  $R \mod 1$  indicate that R runs through a set of representatives of  $Alt(n; \mathbf{F}_{\mathbf{Q}})/Alt(n; \mathscr{O})$ . Given  $T \in Alt^{\tau}(n; \mathscr{O})$  and  $Y \in Pos(n; \mathbf{F})$ , we define

$$\alpha_{s}(T) := \sum_{\substack{R \mod 1 \\ \beta_{s}(Y;T) := \int_{\operatorname{Alt}(n;\mathbf{F})} |\det(Y+X)|^{-2s} e^{-2\pi i \tau(X,T)} dX.$$

Given  $U \in GL(n; \mathscr{O})$  we immediately obtain

(5.5) 
$$\alpha_s(T[U]) = \alpha_s(-T) = \alpha_s(T),$$
  
$$\beta_s(Y; T[U]) = \beta_s(Y[\overline{U}']; T), \quad \beta_s(Y; T) = \beta_s(Y; -T).$$

Hence Lemma 5.3 and the definition of the Fourier-coefficients imply

Lemma 5.5.

$$E_{n,n}^{\mathbf{F}}(Z,s) = (\operatorname{vol} \mathscr{C}(n;\mathscr{O}))^{-1} \sum_{T \in \operatorname{Alt}^{\mathsf{t}}(n;\mathscr{O})} (\det Y)^{s} \alpha_{s}(T) \beta_{s}(Y;T) e^{2\pi i \tau(X,T)}.$$

Combining this result with (5.1) and Corollary 5.2, we gain

COROLLARY 5.6.

$$E_n^{\mathbf{F}}(Z,s) = (\det Y)^s + (\det Y)^s$$
  
 
$$\times \sum_{j=1}^n c_j^{-1} \sum_P \sum_{T \in \operatorname{Alt}^t(j;\mathscr{O})} \alpha_s(T) \beta_s(Y[P];T) e^{2\pi i \tau(X,T[\overline{P}'])},$$

where  $c_j = \operatorname{vol} \mathscr{C}(j; \mathscr{O})$  and  $P : \Pr(n, j; \mathscr{O}) / \operatorname{GL}(j; \mathscr{O})$ .

As a consequence we observe that in the Fourier-expansion of  $E_{n,j}^{\mathbf{F}}(Z,s)$  all the coefficients of matrices  $T \in \operatorname{Alt}^{\tau}(n;\mathscr{O})$  vanish, whenever rank T > j.

Lemma 4.8 yields

(5.6) 
$$\beta_s(Y;0) = (\det Y)^{r(n+1)/2 - 1 - 2s} \eta_{2s,n}^{\mathbf{F}}$$

**REMARK 5.7.** It is possible to reduce the computation of  $\beta_s(Y; T)$  to the case  $|\det T| \neq 0$  by aid of (5.5). Therefore let

$$T = \begin{pmatrix} T_1 & 0\\ 0 & 0 \end{pmatrix} \in \operatorname{Alt}^{\tau}(n;\mathscr{O}), \quad Y = \begin{pmatrix} Y_1 & *\\ * & * \end{pmatrix} \in \operatorname{Pos}(n;\mathbf{F}),$$
$$T_1 = T_1^{(m)}, \quad Y_1 = Y_1^{(m)}.$$

Then one obtains

$$\beta_{s}(Y;T) = \beta_{s-r(n-m)/2}(Y_{1};T_{1})(\det Y)^{r(n+1)/2-2s} \cdot (\det Y_{1})^{2s+1+r(m-1-2n)/2} \eta_{2s,n-m}^{F} \pi^{rm(n-m)/2} \cdot \prod_{j=1}^{n-m} \frac{\Gamma(2s+1-\frac{1}{2}r(n+j))}{\Gamma(2s+1-\frac{1}{2}r(n-m+j))}.$$

In general the evaluation of the integral  $\beta_s(Y; T)$  leads to generalized confluent hypergeometric functions, where the case  $\mathbf{F} = \mathbf{C}$  was treated by G. Shimura [26]. On the other hand it might be possible to investigate  $\alpha_s(T)$  in analogy with Y. Kitaoka's procedure [11] in the case of the Siegel half-space. But it seems to be plausible that the Fourier-coefficients of the Eisenstein-series can only be expressed by well-known functions, whenever the degree *n* is "sufficiently small". Therefore let us consider the case n = 1. Now  $\mathbf{F} = \mathbf{R}$  becomes trivial in view of (4.6). Dealing with  $\mathbf{F} = \mathbf{C}$  we observe the connection (4.8) with the classical Eisenstein-series and obtain the Fourier-expansion from [19], p. 46, or [20].

In order to deal with the case  $\mathbf{F} = \mathbf{H}$ , it is more convenient to introduce the subring  $\Lambda := \mathbf{Z}e_1 + \mathbf{Z}e_2 + \mathbf{Z}e_3 + \mathbf{Z}e_4$  of  $\mathscr{O}(\mathbf{H})$ . Given  $0 \neq c \in \Lambda$  define the greatest rational divisor of c in  $\Lambda$  by

$$\rho(c) := \max\{l \in \mathbf{N}; l^{-1}c \in \Lambda\}$$

and set  $\rho(0) := 0$ . Note that  $\operatorname{Alt}(1; \mathscr{O}) = \operatorname{Alt}^{\tau}(1; \mathscr{O}) = \mathbb{Z}e_2 + \mathbb{Z}e_3 + \mathbb{Z}e_4 \subset \Lambda$ .

Given  $S \in Pos(n; \mathbb{R})$  and  $s \in \mathbb{C}$  with  $Re(s) > \frac{1}{2}n$ , the Epstein-zeta-function associated with S is defined by

$$\zeta(S;s) := \sum_{0 \neq g \in \mathbb{Z}^n} (S[g])^{-s}.$$

Especially one has for  $I = I^{(4)}$  and  $s \in \mathbb{C}$  with  $\operatorname{Re}(s) > 2$ 

$$\zeta(I;s) = \sum_{0 \neq c \in \Lambda} N(c)^{-s} = 8(1 - 2^{2-2s})\zeta(s)\zeta(s-1),$$

where  $\zeta$  denotes the Riemann-zeta-function. Given  $t, t^* \in Alt(1; \mathscr{O})$  the Fourier-expansion involves the function

$$\sigma_s(t,t^*) := \sum_{\substack{0 \neq c \in \Lambda \\ ct = t^*c}} N(c)^{-s}.$$

Clearly  $\sigma_s(t, t^*) = 0$  unless  $N(t) = N(t^*)$ . The structure of  $\sigma_s(t, t^*)$  is elucidated by

**PROPOSITION 5.8.** Let  $t, t^* \in Alt(1; \mathscr{O})$  with  $N(t) = N(t^*) \neq 0$  and  $s \in \mathbb{C}$  with Re(s) > 1. Then there exists  $S \in Pos(2; \mathbb{Z})$  such that

$$\sigma_{s}(t, t^{*}) = \zeta(S; s)$$
 and  $\det S = \frac{4N(t)}{[\gcd(\rho(t+t^{*}), \rho(t-t^{*}))]^{2}}$ 

Proof. Let

$$t = \sum_{j=2}^{4} t_j e_j, \quad t^* = \sum_{j=2}^{4} t_j^* e_j.$$

Then  $c = \sum_{j=1}^{4} c_j e_j$  satisfies  $ct = t^*c$  if and only if  $(c_1, c_2, c_3, c_4)'$  belongs to the kernel of the matrix

$$\begin{pmatrix} t_2 - t_2^* & 0 & t_4 + t_4^* & -t_3 - t_3^* \\ 0 & t_2 - t_2^* & t_3 - t_3^* & t_4 - t_4^* \\ t_4 - t_4^* & t_3 + t_3^* & -t_2 - t_2^* & 0 \\ -t_3 + t_3^* & t_4 + t_4^* & 0 & -t_2 - t_2^* \end{pmatrix},$$

which has the rank 2. Hence  $\sigma_s(t, t) = \zeta(S; s)$  holds for an appropriate  $S \in \text{Pos}(2; \mathbb{Z})$ . If  $t_2 \neq t_2^*$  the kernel over  $\mathbb{Q}$  is spanned by  $a = (t_4 + t_4^*, t_3 - t_3^*, -t_2 + t_2^*, 0)'$  and  $b = (t_3 + t_3^*, -t_4 + t_4^*, 0, t_2 - t_2^*)'$ . Hence we have

$$\det S = \frac{\det(G'G)}{[\delta_2(G)]^2}, \quad G = (a, b) \in \operatorname{Mat}(4, 2; \mathbb{Z}),$$

where  $\delta_2(G)$  denotes the second determinantal divisor of G (cf. [25], p. 25). An elementary computation yields  $\det(G'G) = 4(t_2 - t_2^*)^2 N(t)$ and  $\delta_2(G) = (t_2 - t_2^*) \gcd(\rho(t + t^*), \rho(t - t^*))$ . In the case  $t_2 = t_2^*$ analogous arguments complete the proof.

If  $K_s$  denotes the modified Bessel-function, the Fourier-expansion is given by

THEOREM 5.9.

$$E_1^{\mathbf{H}}(z,s) = \sum_{t \in \mathbb{Z}e_2 + \mathbb{Z}e_3 + \mathbb{Z}e_4} c(y;t) e^{2\pi i \operatorname{Re}(\overline{x}t)},$$

where  $z = x + y \in \mathscr{H}(1; \mathbf{H})$  and with  $I = I^{(4)}$ 

$$\begin{split} c(y;0) &= y^{s} + \pi^{3/2} \frac{\Gamma(s-3/2)\zeta(I;s-1)\zeta(2s-3)}{\Gamma(s)\zeta(I;s)\zeta(2s-2)} y^{3-s}, \\ c(y;t) &= 2\pi^{s} \frac{\sum_{l \mid \rho(t)} l^{3-2s} \sum_{t^{*} \in \text{Alt}(1;\mathscr{O})} \sigma_{s-1}(t,t+2lt^{*})}{\Gamma(s)\zeta(I;s)\zeta(2s-2)} \\ &\cdot |t|^{s-3/2} y^{3/2} K_{s-3/2}(2\pi |t|y) \end{split}$$

for  $0 \neq t \in \mathbb{Z}e_2 + \mathbb{Z}e_3 + \mathbb{Z}e_4$ .

Proof. At first (5.6) yields

$$\beta_s(y;0) = \pi^{3/2} \frac{\Gamma(s-3/2)}{\Gamma(s)} y^{3-2s}.$$

Given  $0 \neq t \in \mathbb{Z}e_2 + \mathbb{Z}e_3 + \mathbb{Z}e_4$  we use an orthogonal transformation and apply [24], p. 85, in the following calculation

$$\begin{split} \beta_s(y;t) &= \int_{\text{Alt}(1;\mathbf{H})} |y+x|^{-2s} e^{-2\pi i \operatorname{Re}(\overline{x}t)} \, dx \\ &= y^{3-2s} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} (1+x_1^2+x_2^2+x_3^2)^{-s} e^{-2\pi i y |t|x_1} \, dx_1 \, dx_2 \, dx_3 \\ &= 2\pi^s \frac{1}{\Gamma(s)} y^{3/2-s} |t|^{s-3/2} K_{s-3/2}(2\pi |t|y). \end{split}$$

Next observe that the representatives of  $\Gamma_1^{\infty} \setminus \Gamma_1$  may be chosen in Mat(2;  $\Lambda$ ). Given  $0 \neq c \in \Lambda$  let  $\mathscr{R}(c)$  denote a set of representatives of the cosets  $d + c \operatorname{Alt}(1; \mathscr{O})$ ,  $d \in \Lambda$ , satisfying  $c\bar{d} + d\bar{c} = 0$ . In analogy with Proposition 4.7 one can show that  $\mathscr{R}(c)$  consists of  $\rho(c)N(c)$  elements. Moreover we use the abbreviation

$$\gamma(c,t) := \sum_{d \in \mathscr{R}(c)} e^{2\pi i \operatorname{Re}(c^{-1}d\bar{t})}$$

for  $t \in \mathbb{Z}e_2 + \mathbb{Z}e_3 + \mathbb{Z}e_4$  and obtain

$$\alpha_{s}(t) = \sum_{\substack{\omega \in \mathbf{Q}e_{2} + \mathbf{Q}e_{3} + \mathbf{Q}e_{4} \mod 1 \\}} \nu(\omega)^{-2s} e^{2\pi i \operatorname{Re}(\bar{\omega}t)}$$
$$= \frac{1}{\zeta(I;s)} \sum_{\substack{0 \neq c \in \Lambda}} N(c)^{-s} \gamma(c, t),$$

where  $I = I^{(4)}$ . Especially we have

$$\alpha_s(0) = \frac{1}{\zeta(I;s)} \sum_{0 \neq c \in \Lambda} \rho(c) N(c)^{1-s} = \frac{\zeta(I;s-1)\zeta(2s-3)}{\zeta(I;s)\zeta(2s-2)}.$$

Now let  $t \neq 0$ . A standard argument (cf. [6], 4.5) shows that

(\*) 
$$\gamma(c,t) = \begin{cases} \rho(c)N(c) & \text{if } \operatorname{Re}(c^{-1}d\overline{t}) \in \mathbb{Z} \text{ for all } d \in \mathscr{R}(c), \\ 0 & \text{otherwise.} \end{cases}$$

Given  $c = c_2c_1$ , where  $c_1, c_2 \in \Lambda$ ,  $N(c_2) = 2^m$ ,  $m \in \mathbb{N}_0$ ,  $N(c_1)$  odd, we gain

$$\gamma(c,t) = \gamma(c_2,t)\gamma(c_1,t).$$

Using the isomorphism between  $\Lambda/l\Lambda$  and  $Mat(2; \mathbb{Z}/l\mathbb{Z})$  for odd  $l \in \mathbb{N}$  (cf. [9], Vorlesung 8, resp. [17]) and a direct computation for  $c_2$ , one can show that  $Re(c^{-1}d\bar{t}) \in \mathbb{Z}$  holds for all  $d \in \mathcal{R}(c)$  if and only if

$$\rho(c)|\rho(t)$$
 and  $ctc^{-1} \in t + 2\rho(c)Alt(1;\mathscr{O}).$ 

Thus we calculate

$$\begin{aligned} \alpha_{s}(t) &= \frac{1}{\zeta(I;s)} \sum_{l \in \mathbf{N}, l \mid \rho(t)} \sum_{t^{*} \in \operatorname{Alt}(1;\mathscr{O})} l^{3-2s} \sum_{\substack{0 \neq c \in \Lambda, \rho(c) = 1 \\ c \frac{1}{l} t = (\frac{1}{l} t + 2t^{*})c}} N(c)^{1-s} \\ &= \frac{1}{\zeta(I;s)\zeta(2s-2)} \sum_{l \mid \rho(t)} l^{3-2s} \sum_{t^{*} \in \operatorname{Alt}(1;\mathscr{O})} \sigma_{s-1}(t, t+2lt^{*}). \end{aligned}$$

Hence the assertion follows from Lemma 5.5.

Note that the sum over  $t^*$  in the formula above is finite.

In the case  $\mathbf{F} = \mathbf{R}$  we are able to give the Fourier-expansions explicitly for n = 2, 3. Given  $t \in \mathbf{N}$  and  $s \in \mathbf{C}$  let

$$\sigma_s(t) := \sum_{l \in \mathbf{N}, l|t} l^s$$

denote the divisor sum. Then the application of Remark 2.3 and [19], p. 46, resp. [20] leads to

COROLLARY 5.10. One has

$$E_2^{\mathbf{R}}(Z,s) = \sum_{t \in \mathbf{Z}} c(Y;t) e^{2\pi i x t}, \qquad Z = xJ + Y \in \mathscr{H}(2;\mathbf{R})$$

where

$$\begin{split} c(Y;O) &= (\det Y)^s + (\det Y)^s \zeta_1(Y,2s) \\ &+ \sqrt{\pi} \frac{\Gamma(2s-1/2)}{\Gamma(2s)} \cdot \frac{\zeta(4s-1)}{\zeta(4s)} (\det Y)^{1/2-s}, \\ c(Y;t) &= 2\pi^{2s} |t|^{2s-1/2} \frac{\sigma_{1-4s}(|t|)}{\Gamma(2s)\zeta(4s)} (\det Y)^{1/4} K_{2s-1/2}(2\pi |t| \sqrt{\det Y}) \end{split}$$

for  $0 \neq t \in \mathbf{Z}$ .

Note that the Fourier-coefficients c(Y;t) for  $t \neq 0$  only depend on det Y and s.

Let  $n \ge 3$  and fix a set of representatives  $P: \Pr(n, 2; \mathbb{Z})/\operatorname{GL}(2; \mathbb{Z})$ . Then each  $T \in \operatorname{Alt}^{\tau}(n; \mathbb{Z})$  with rank T = 2 possesses a unique representation

$$T = \frac{1}{2}tJ[P'], \quad J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix},$$

where  $0 \neq t \in \mathbb{Z}$  and where  $\varepsilon(2T) = |t|$  is the greatest common divisor of the entries of  $2T \in Alt(n; \mathbb{Z})$ . Now observe that

$$t^2 \cdot \det(Y[P]) = 2\tau(T'YT, Y)$$

holds. Hence we can combine the Corollaries 5.2 and 5.10 in order to gain

(5.7) 
$$E_{n,2}^{\mathbf{R}}(Z,s) = \sqrt{\pi} \frac{\Gamma(2s-1/2)}{\Gamma(2s)} \frac{\zeta(4s-1)}{\zeta(4s)} (\det Y)^{s} \zeta_{2} \left(Y, 2s-\frac{1}{2}\right) + \sum_{\substack{T \in \operatorname{Alt}^{\mathsf{r}}(n; \mathbb{Z}) \\ \operatorname{rank} T=2}} 2\pi^{2s} \frac{\sigma_{4s-1}(\varepsilon(2T))}{\Gamma(2s)\zeta(4s)} (\det Y)^{s} (2\tau(T'YT,Y))^{\frac{1}{4}-s} \cdot K_{2s-1/2} (2\pi\sqrt{2\tau(T'YT,Y)}).$$

Now let n = 3. We compute

$$\beta_s(Y;0) = (\det Y)^{1-2s} \pi^{3/2} \frac{\Gamma(2s-3/2)}{\Gamma(2s)}$$

in view of (5.6) and Lemma 4.8. Let  $0 \neq T \in Alt^{\tau}(3; \mathbb{Z})$  and  $Y \in Pos(3; \mathbb{R})$ . We choose  $V \in GL(3; \mathbb{R})$  such that Y = V'V. Change of variables yields

$$\begin{split} \beta_{s}(Y;T) &= \int_{\operatorname{Alt}(3;\mathbf{R})} (\det(Y+X))^{-2s} e^{-2\pi i \tau(X,T)} \, dX \\ &= (\det Y)^{-2s} \int_{\operatorname{Alt}(3;\mathbf{R})} (\det(I+X[V^{-1}]))^{-2s} e^{-2\pi i \tau(X,T)} \, dX \\ &= (\det Y)^{1-2s} \int_{\operatorname{Alt}(3;\mathbf{R})} (\det(I+X))^{-2s} e^{-2\pi i \tau(X,T[V'])} \, dX \\ &= (\det Y)^{1-2s} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} (1+x_{1}^{2}+x_{2}^{2}+x_{3}^{2}) e^{-2\pi i \omega x_{1}} \, dx_{1} \, dx_{2} \, dx_{3} \end{split}$$

by the use of an orthogonal transformation, where

$$\omega = (2\tau(T[V'], T[V'])^{1/2} = (2\tau(T'YT, Y))^{1/2}.$$

The same calculations as in the proof of Theorem 5.9 show that

$$\beta_{s}(Y;T) = 2\pi^{2s} \frac{1}{\Gamma(2s)} (2\tau(T'YT,Y))^{s-3/4} (\det Y)^{1-2s} \cdot K_{2s-3/2} (2\pi\sqrt{2\tau(T'YT,Y)}).$$

Given  $0 \neq R \in Alt(3; \mathbb{Q})$  note that  $\nu(R) = l^2$ , where  $l \in \mathbb{N}$ , if and only if  $R = l^{-1}T$ , where  $T \in Alt(3; \mathbb{Z})$  and  $\varepsilon(T) = 1$ . Denoting the number of elements of a set  $\mathscr{S}$  by  $\#\mathscr{S}$ , we calculate

$$\alpha_{s}(0) = \sum_{\substack{R \mod 1 \\ l = 1}} \nu(R)^{-2s}$$
  
=  $\sum_{\substack{l=1 \\ l=1}}^{\infty} l^{-4s} \cdot \#\{g \in \mathbb{Z}^{3}; 1 \le g_{j} \le l, g.c.d. g = 1\}$   
=  $\frac{\zeta(4s - 3)}{\zeta(4s)}$ .

Given  $0 \neq T \in Alt^{\tau}(3; \mathbb{Z})$  we may restrict to the case

$$T = \frac{1}{2} \begin{pmatrix} 0 & t & 0 \\ -t & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad t = \varepsilon(2T),$$

in view of (5.5). Hence we calculate

$$\begin{aligned} \alpha_s(T) &= \sum_{R \mod 1} \nu(R)^{-2s} e^{2\pi i \tau(R,T)} \\ &= \frac{1}{\zeta(4s)} \sum_{l=1}^{\infty} \sum_{j=1}^{3} \sum_{q_j=1}^{l} l^{-4s} e^{2\pi i t q_1/l} \\ &= \frac{1}{\zeta(4s)} \sigma_{3-4s}(t). \end{aligned}$$

A combination of (5.2), (5.3), (5.7) and Lemma 5.5 yields the final

COROLLARY 5.11.

$$E_3^{\mathbf{R}}(Z,s) = \sum_{T \in \operatorname{Alt}^{\tau}(3;\mathbb{Z})} c(Y;T) e^{2\pi i \tau(X,T)}, \qquad Z = X + Y \in \mathscr{H}(3;\mathbf{R}),$$

where

for  $T \neq 0$ .

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