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## **FINITE-DIMENSIONAL REPRESENTATION OF CLASSICAL CROSSED-PRODUCT ALGEBRAS**

IGAL MEGORY-COHEN

# FINITE DIMENSIONAL REPRESENTATION OF CLASSICAL CROSSED-PRODUCT ALGEBRAS

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The paper describes the structure of finite dimensional representations of  $B_T$ , the crossed-product algebra of a classical dynamical system  $(\alpha_T, \mathbb{Z}, C(X))$  where  $T$  is a homeomorphism on a compact space  $X$ . The results are used to describe the topology of  $\text{Prim}_n(B_T)$  and to partially classify the hyperbolic crossed-product algebras over the torus. One of the main results is that the number of orbits of any fixed length with respect to  $T$  is an invariant of  $B_T$ . A consequence of that is that the entropy of  $T$  is an invariant of  $B_T$ , for  $T$  a hyperbolic automorphism on the  $m$ -torus.

**Introduction.** The purpose of this paper is to study finite dimensional representations of classical crossed-product algebras. The results are used to describe the primitive ideal space of these algebras and partially classify them. The first two sections deal primarily with finite dimensional representations of  $B_T$ , the crossed-product algebra  $B_T$  of a classical dynamical system of the form  $(\alpha_T, \mathbb{Z}, C(X))$  where  $T$  is a homeomorphism on a compact space  $X$ . In §1 we study the general form of an irreducible  $n$ -dimensional representation of  $B_T$ . We show how to adjoin an orbit of length  $n$  to each such representation. The idea of adjoining an orbit to each finite dimensional representation is then further explored in §2. We show that the number of connected components in  $\text{Prim}_n(B_T)$  is equal to the number of orbits of length  $n$  with respect to  $T$ . A consequence of this result is that the entropy of  $T$ , for  $T$  a hyperbolic automorphism on  $\mathbb{T}^m$ , is an invariant of  $B_T$ . In §3 we investigate the classification of the  $B_T$ 's corresponding to automorphisms on the 2-torus.

**Preliminaries.** For any integer  $n$  we define  $E_n: B_T \rightarrow C(X)$  to be the (continuous) transformation that takes  $C$  in  $B_T$  to its  $n$ th "Fourier" coefficient  $f_n$ , see [1] for details. Symbolically, we write each  $C$  in  $B_T$  as  $\sum f_n U^n$  where  $f_n = E_n(C)$ . Let  $(\hat{\alpha}, \mathbb{T}, B_T)$  be the  $C^*$ -dynamical system defined by the dual action  $\hat{\alpha}_\lambda(C) = \sum \lambda^n U^n$ , [2]. It is known that the Fejer sums of the function  $\lambda \rightarrow \hat{\alpha}_\lambda(C)$  converge uniformly to

$\hat{\alpha}_\lambda(C)$ , see [3] for an elementary proof. In other words,

$$\sum_{|k| < N} \left(1 - \frac{|k|}{N}\right) f_k U^k \lambda^k \rightarrow \hat{\alpha}_\lambda(C)$$

uniformly in  $\lambda$ , and in particular for  $\lambda = 1$ ,

$$\sum_{|k| < N} \left(1 - \frac{|k|}{N}\right) f_k U^k \rightarrow C.$$

### 1. Finite dimensional representations of $B_T$ .

NOTATION. Let  $Y$  be a subset of  $X$ . Then by  $J_Y$  we denote the closed ideal in  $B_T$  generated by  $\{f \in C(X); f|_Y = 0\}$ .

LEMMA (1.1). *If  $Y$  is an invariant set then*

$$J_Y = \left\{ \sum f_n U^n \in B_T; f_n|_Y = 0 \right\}.$$

Here  $\sum f_n U^n$  stands for the element  $C$  in  $B_T$  whose  $E_n(C)$  is equal to  $f_n$ .

*Proof.* Show  $\{\dots\} \subseteq J_Y$ . Let  $C = \sum f_n U^n$  be in  $B_T$  such that  $f_n|_Y = 0$  for all  $n$ . Since the Fejer sums of  $C$  converge to  $C$ , as was mentioned in the preliminaries, it follows that  $C$  is in  $J_Y$ . Conversely, show that  $J_Y \subseteq \{\dots\}$ . Note that the collection  $I = \{\sum_{\text{finite}} f_n U^n; f_n|_Y = 0 \forall n\}$  is an ideal, not closed, in  $K(\mathbb{Z}, C(X))$ . Reason: If  $f|_Y = 0$  then  $(\alpha_T)^n(f) = f(T^{-n}(\cdot))$  is zero on  $Y$  since  $Y$  is invariant and therefore  $I$  is closed under multiplication. It is clearly closed under addition and scalar multiplication. Since  $K(\mathbb{Z}, C(X))$  is dense in  $B_T$  it follows at once that the closure of  $I$  is an ideal of  $B_T$ . Therefore, the closure of  $I$  is exactly  $J_Y$ . Let  $C = \sum f_n U^n$  be in  $J_Y$  and let  $\{C_k = \sum f_n^k U^n\}$  in  $I$  be such that  $C_k \rightarrow C$ . From the continuity of  $E_n$  it follows that  $f_n^k \rightarrow f_n$  for all  $n$  whence  $f_n$  is 0 on  $Y$  for all  $n$ .  $\square$

We need some characterization of the  $J_Y$ 's which is invariant under algebra isomorphism. This will be done by means of finite dimensional irreducible representations of  $B_T$ . The treatment of a general  $n$ -dimensional irreducible representation of  $B_T$  will be tailored after the 1-dimensional case which is described in what follows. Let  $\rho: B_T \rightarrow \mathbb{C}$  be a non-degenerate representation. We know, [2], that  $\rho = \pi \times W$  for some covariant representation  $(\pi, W, \mathbb{C})$  of our dynamical system  $(\alpha_T, \mathbb{Z}, C(X))$ . Now, since  $\pi$  restricted to  $C(X)$  is a representation of  $C(X)$  on  $\mathbb{C}$  it is known to be of the form  $\pi(f) = f(x_0)$  for some  $x_0$  in

$X$ . Also, since  $W$  is unitary it is given by powers of some  $\lambda$  of absolute value 1. The covariant condition implies that  $\pi(\alpha_1(f)) = W\pi(f)W^{-1}$  for all  $f$  in  $C(X)$ . As a result  $T^{-1}x_0 = x_0$  whence  $x_0$  is a fixed point.

Conversely, given any  $\lambda$  of absolute value 1 and  $x_0$  a fixed point we can construct a covariant representation  $(\pi, W, \mathbb{C})$  by defining  $\pi(f) = f(x_0)$  for all  $f$  in  $C(X)$  and  $W(n) = \lambda^n$  for all  $n$  in  $\mathbb{Z}$ . We denote the dependence of  $\rho$  on  $x_0$  and  $\lambda$  by  $\rho_{x_0, \lambda}$ . To summarize, the  $\rho_{x_0, \lambda}$ 's describe all the irreducible 1-dimensional representations of  $B_T$ .

We now turn to a general irreducible  $n$ -dimensional representation of  $B_T$ . First we describe some such representations and then we show that those are the only ones up to equivalence of representations. Let  $Y$  be the orbit of some periodic point of period  $n$ . Fix some  $\lambda = \{\lambda_y\}_{y \in Y}$  where  $|\lambda_y| = 1$  for all  $y$  in  $Y$ . As in the 1-dimensional case we will show that corresponding to  $Y$  and  $\lambda$  there is an  $n$ -dimensional representation  $\rho_{Y, \lambda}$  of  $B_T$ . The representation  $\rho_{Y, \lambda}$  will be constructed via a covariant representation  $(\pi, W, l^2(Y))$  of our dynamical system. Let  $\{e_y\}_{y \in Y}$  be the natural basis in  $l^2(Y)$ . Then for all  $f$  in  $C(X)$ , we define  $\pi(f)$  as follows. For all  $y$  in  $Y$ ,  $\pi(f)e_y = f(y)e_y$ . The unitary representation  $W$  is defined via the unitary  $W$ , with some abuse of notation, as follows. For all  $y$  in  $Y$ ,  $We_y = \lambda_y e_{Ty}$ . Note that with respect to the basis  $\{e_y\}$  the unitary  $W$  is the product of the unitaries  $W_0$  and  $D$ , where  $W_0$  is the unitary taking  $e_y$  to  $e_{Ty}$  and  $D$  is the diagonal unitary having  $\lambda_y$ 's on the diagonal.

We check that the covariant condition is satisfied. Let  $n$  be an arbitrary integer. Then,

$$\pi(\alpha_n(f))e_y = \pi(f(T^{-n}(\cdot)))e_y = f(T^{-n}y)e_y.$$

On the other hand,  $W^{-n}e_y = \mu e_{T^{-n}y}$  for some  $\mu$  of absolute value 1. Therefore,

$$\begin{aligned} W^n \pi(f) W^{-n} e_y &= W^n \pi(f) (\mu e_{T^{-n}y}) = W^n \mu f(T^{-n}y) e_{T^{-n}y} \\ &= (\mu f(T^{-n}y)) (W^n e_{T^{-n}y}) = (\mu f(T^{-n}y)) (\mu^{-1} e_y) \\ &= f(T^{-n}y) e_y. \end{aligned}$$

Finally, we need to show that  $\pi \times W$  is irreducible. Since the algebra  $M_n(\mathbb{C})$  is simple it is sufficient to show that  $\pi \times W$  contains all the elementary matrices in  $M_n(\mathbb{C})$ . Since  $Y$  is a finite orbit  $T$  acts on it transitively. Therefore, each elementary matrix in  $M_n(\mathbb{C})$  will be equal to  $\pi(f)W^m$  for appropriate  $f$  and  $m$ .

Next, we show that any  $n$ -dimensional representations of  $B_T$  must have, up to equivalence of representations, the form  $\rho_{Y, \lambda}$  for some  $Y, \lambda$

as described above. Let  $\rho$  be any irreducible representation of  $B_T$  on some  $n$ -dimensional vector space  $\mathbb{C}^n$ . Then,  $\rho = \pi \times W$  for some covariant representation  $(\pi, W, \mathbb{C}^n)$  of  $B_T$ . Since  $\pi$  reduced to  $C(X)$  is a representation of that algebra, it is known that with respect to some orthonormal basis in  $\mathbb{C}^n$ ,  $\pi$  is given by  $f \rightarrow \text{diagonal}(f(y_0), \dots, f(y_{n-1}))$ . We index this basis by the  $y_i$ 's so that  $\{e_i\}$ ,  $0 \leq i \leq n-1$ , is the new basis. We may assume that the representation of  $\pi$  is with respect to this basis. Let  $Y$  be the collection  $\{y_0, \dots, y_{n-1}\}$ . Note that for the time being we do not know that the  $y_i$ 's are all distinct.

First, we show that  $Y$  is invariant. Since  $(\pi, W, \mathbb{C}^n)$  is a covariant representation then for all  $f$  in  $C(X)$ ,  $\pi(\alpha_1(f)) = W\pi(f)W^{-1}$ . If  $Y$  was not invariant under  $T$  then there would exist  $y$  in  $Y$  such that  $T^{-1}y$  is not in  $Y$ . Choose  $f$  in  $C(X)$  such that  $f$  is 0 on  $Y$  but is 1 on  $T^{-1}y$ . In that case  $W\pi(f)W^{-1} = 0$  but  $\pi(\alpha_1(f)) \neq 0$ —contradiction.

Next, we show that  $Y$  is an orbit. Note that a priori we do not know that the  $y_i$ 's are all distinct so that we also have to show that there is no duplication among the  $y_i$ 's. Let  $Y_1$  be the orbit of some arbitrary element  $y$  in  $Y$ . Let  $\{i_j\}$  be a subsequence of  $\{i\}$  such that the  $y_{i_j}$ 's are distinct and their union is  $Y_1$ . Also, let  $H_{Y_1}$  be the linear subspace of  $\mathbb{C}^n$  generated by  $\{e_{i_j}\}$  and let  $f$  in  $C(X)$  be such that  $f$  is 1 on  $Y_1$ . The definition of  $\pi$  implies that  $\pi(f)$  is the orthogonal projection  $P$  onto  $H_{Y_1}$ , and moreover since  $Y_1$  is invariant  $\pi(\alpha_n(f)) = \pi(f)$ . Therefore the covariant condition implies now that  $P$  commutes with  $W^j$  for all  $j$  whence  $H_{Y_1}$  is a reducing subspace for  $W$ . Since it is also a reducing subspace for  $\pi(C(X))$  it follows that it is a reducing subspace for  $(\pi \times W)(B_T)$  and as a result  $H_{Y_1} = \mathbb{C}^n$ . We may conclude that  $Y = Y_1$  or in other words  $Y$  is an orbit and there is no duplication among the  $y_i$ 's.

We summarize the previous discussion in the following

**PROPOSITION (1.2).** *The  $\rho_{Y,\lambda}$ 's describe, up to equivalence of representations, all the irreducible  $n$ -dimensional representations of  $B_T$ .*

In the next proposition we find a necessary and sufficient condition for two representations of the form  $\rho_{Y,\lambda}$ ,  $Y$  is fixed, to be equivalent. Note that the previous discussion let us identify the representation space with  $l^2(Y)$ .

**PROPOSITION (1.3).** *Let  $\rho_{Y,\lambda}$  and  $\rho_{Y,\mu}$ , where  $\lambda = \{\lambda_y\}$  and  $\mu = \{\mu_y\}$ , be irreducible  $n$ -dimensional representations of  $B_T$ . Then,  $\rho_{Y,\lambda}$  is equivalent to  $\rho_{Y,\mu}$  if and only if  $\prod_{y \in Y} \lambda_y = \prod_{y \in Y} \mu_y$ .*

*Proof.* First assume that  $\rho_{Y,\lambda}$  is equivalent to  $\rho_{Y,\mu}$ . Let  $U$  be the unitary in  $B_T$  induced by  $T$  and let  $\{e_y\}$  be the natural basis in  $l^2(Y)$ , the representation space. Since  $T^n y = y$ , the definition of  $\rho_{Y,\lambda}$  implies that

$$\rho_{Y,\lambda}(U^n)e_y = \lambda_y \lambda_{T^1 y} \cdots \lambda_{T^{n-1} y} e_y = \left( \prod_{y \in Y} \lambda_y \right) e_y.$$

What follows is that  $\rho_{Y,\lambda}(U^n) = (\prod_{y \in Y} \lambda_y)I$ . Hence,  $U^n - (\prod_{y \in Y} \lambda_y)I$  is in  $\ker(\rho_{Y,\lambda})$ . Since we assumed that  $\rho_{Y,\lambda}$  is equivalent to  $\rho_{Y,\mu}$  it follows that  $U^n - (\prod_{y \in Y} \lambda_y)I$  is also in  $\ker(\rho_{Y,\mu})$ . But the above calculation also shows that  $\rho_{Y,\mu}(U^n) = (\prod_{y \in Y} \mu_y)I$  whence the first half of the proposition follows. Conversely, assume that  $\lambda$  and  $\mu$  satisfy  $\prod_{y \in Y} \lambda_y = \prod_{y \in Y} \mu_y$ . We need to find a unitary  $W$  in  $B(l^2(Y))$  such that  $W\rho_{Y,\lambda}W^{-1} = \rho_{Y,\mu}$ . Fix some  $y$  in  $Y$ . We then define  $W$  in the following way. We let  $We_{T^i y} = \alpha_{T^i y} e_{T^i y}$ , for  $0 \leq i \leq n-1$ , where  $\alpha_y = 1$  and for  $1 \leq i \leq n-1$ ,

$$\alpha_{T^i y} = \prod_{j=0}^{i-1} \mu_{T^j y} \prod_{j=0}^{i-1} \lambda_{T^j y}^{-1}.$$

First note that if  $f$  is in  $C(X)$  then  $W\rho_{Y,\lambda}(f)W^{-1} = \rho_{Y,\mu}(f)$ . Therefore, since  $B_T$  is generated by  $U$  and  $C(X)$  it follows that in order to show that  $W\rho_{Y,\lambda}W^{-1} = \rho_{Y,\mu}$  it is enough now to prove that for  $0 \leq i \leq n-1$

$$W\rho_{Y,\lambda}(U)W^{-1}e_{T^i y} = \rho_{Y,\mu}(U)e_{T^i y} = \mu_{T^i y}e_{T^{i+1} y}.$$

Check the case  $i = 0$ :

$$W\rho_{Y,\lambda}(U)W^{-1}e_y = W\rho_{Y,\lambda}(U)e_y = W\lambda_y e_{Ty} = \lambda_y \mu_y \lambda_y^{-1} e_{Ty} = \mu_y e_{Ty}.$$

Check the case  $0 < i < n-1$ :

$$\begin{aligned} W\rho_{Y,\lambda}(U)W^{-1}e_{T^i y} &= W\rho_{Y,\lambda}(U) \left( \prod_{j=0}^{i-1} \mu_{T^j y}^{-1} \prod_{j=0}^{i-1} \lambda_{T^j y} \right) e_{T^i y} \\ &= W(\lambda_{T^i y}) \left( \prod_{j=0}^{i-1} \mu_{T^j y}^{-1} \prod_{j=0}^{i-1} \lambda_{T^j y} \right) e_{T^{i+1} y} \\ &= \left( \prod_{j=0}^i \mu_{T^j y} \prod_{j=0}^i \lambda_{T^j y}^{-1} \right) (\lambda_{T^i y}) \left( \prod_{j=0}^{i-1} \mu_{T^j y}^{-1} \prod_{j=0}^{i-1} \lambda_{T^j y} \right) e_{T^{i+1} y} \\ &= \mu_{T^i y} e_{T^{i+1} y}. \end{aligned}$$

Check the case  $i = n - 1$ :

$$\begin{aligned}
 W\rho_{Y,\lambda}(U)W^{-1}e_{T^{n-1}y} &= W\rho_{Y,\lambda}(U)\left(\prod_{j=0}^{n-2}\mu_{T^jy}^{-1}\prod_{j=0}^{n-2}\lambda_{T^jy}\right)e_{T^{n-1}y} \\
 &= W(\lambda_{T^{n-1}y})\left(\prod_{j=0}^{n-2}\mu_{T^jy}^{-1}\prod_{j=0}^{n-2}\lambda_{T^jy}\right)e_y \\
 &= (\lambda_{T^{n-1}y})\left(\prod_{j=0}^{n-2}\mu_{T^jy}^{-1}\prod_{j=0}^{n-2}\lambda_{T^jy}\right)e_y \\
 &= \left(\prod_{j=0}^{n-2}\mu_{T^jy}^{-1}\prod_{j=0}^{n-1}\lambda_{T^jy}\right)e_y = \mu_{T^{n-1}y}e_y.
 \end{aligned}$$

The last equality follows from the hypothesis that  $\prod_{y \in Y} \lambda_y = \prod_{y \in Y} \mu_y$ .  $\square$

**2. The structure of  $\text{Prim}_n(B_T)$ .** In this section we use the description of irreducible representations of  $B_T$  to study the structure of  $\text{Prim}_n(B_T)$ . The number of connected components of  $\text{Prim}_n(B_T)$  is proven to be equal to the number of orbits of length  $n$ .

Let  $\rho$  be a finite dimensional irreducible representation of  $B_T$ .

NOTATION. We denote by  $\rho_\lambda$  the composition  $\rho \cdot \hat{\alpha}_\lambda$  where  $\hat{\alpha}$  is the dual action.

LEMMA (2.1). *For any  $\lambda$  in  $\mathbf{T}$ ,  $\mu = \{\mu_y\}$  and  $Y$  a finite invariant set of  $T$ ,*

$$(\rho_{Y,\mu})_\lambda = \rho_{Y,\lambda\mu}.$$

*Proof.* For any  $f$  in  $C(X)$ ,  $(\rho_{Y,\mu})_\lambda(f) = \rho_{Y,\lambda\mu}(f)$ ; therefore we only need to check that  $(\rho_{Y,\mu})_\lambda(U) = \rho_{Y,\lambda\mu}(U)$ . Let  $\{e_y\}$  be the natural orthonormal basis in  $l^2(Y)$ . Then for any  $y$  in  $Y$ ,

$$(\rho_{Y,\mu})_\lambda(U)e_y = \rho_{Y,\mu}(\lambda U)e_y = \lambda\rho_{Y,\mu}(U)e_y = \lambda\mu_y e_{Ty} = \rho_{Y,\lambda\mu}(U)e_y. \quad \square$$

PROPOSITION (2.2). *Let  $\rho = \rho_{Y,\lambda}$  be an  $n$ -dimensional irreducible representation of  $B_T$ . Then,*

$$J_Y = \bigcap_{\lambda \in \mathbf{T}} \ker(\rho_\lambda).$$

*Proof.* Assume that  $\rho = \pi \times W$ . Let  $C = \sum f_n U^n$  be in  $J_Y$ . By Lemma (1.1) the  $f_n$ 's are 0 on  $Y$  and hence the  $\pi(f_n)$ 's are all 0. We noted in the preliminaries that

$$\sum_{|k| < N} \left(1 - \frac{|k|}{N}\right) f_k U^k \lambda^k \rightarrow \hat{\alpha}_\lambda(C)$$

uniformly in  $\lambda$ . As a result,

$$\sum_{|k| < N} \left(1 - \frac{|k|}{N}\right) \pi(f_k) W^k \lambda^k \rightarrow \rho \cdot \hat{\alpha}_\lambda(C) = \rho_\lambda(C)$$

for all  $\lambda$  in  $\mathbf{T}$  and therefore  $C$  is in  $\bigcap_\lambda \ker(\rho_\lambda)$ .

Conversely, let  $C = \sum f_n U^n$  be in  $\bigcap_\lambda \ker(\rho_\lambda)$ . By Lemma (1.1) we need to show that  $f_n$  is 0 on  $Y$  for all  $n$ . Let  $\{C_k\} \subseteq K(\mathbb{Z}, C(X))$  be such that  $C_k \rightarrow C$ . Since  $\rho_\lambda(C_k) \rightarrow \rho_\lambda(C)$  uniformly in  $\lambda$  it follows by our hypothesis that  $\rho_\lambda(C_k) \rightarrow 0$  uniformly. Therefore for all  $\xi, \eta$  in  $l^2(Y)$ ,  $(\rho_\lambda(C_k)\xi, \eta) \rightarrow 0$  uniformly in  $\lambda$ . Let  $\xi = e_y$  and  $\eta = e_{y'}$ . Assume that for all  $k$ ,  $\sum a_n^k \lambda^n$  is the Fourier expansion of  $\lambda \rightarrow (\rho_\lambda(C_k)e_y, e_{y'})$ . Then,  $a_n^k \rightarrow 0$  for all  $n$ . Let  $C_k = \sum f_n^k U^n$  for all  $k$ . Then,  $a_n^k = (\pi(f_n^k)W^n e_y, e_{y'})$ . Since  $f_n^k \rightarrow f_n$  for all  $n$ , it follows that  $(\pi(f_n)W^n e_y, e_{y'}) = 0$ . But  $W^n e_y = \delta e_{T^n y}$ , for some  $\delta$  of absolute value 1. Therefore what we have shown is that for all  $n$  and for all  $y, y'$  in  $Y$ ,  $(e_{T^n y}, \overline{f_n(y')} e_{y'}) = 0$ . In particular if we pick  $y = T^{-n} y'$  we get that  $f_n(y') = 0$ . Since  $n$  in  $y'$  are arbitrary it follows that  $f_n$  is 0 on  $Y$  for all  $n$ .  $\square$

Let  $\{Y_i\}_{i \in I}$  be the set of all orbits of length  $n$  with respect to  $T$ . Assume that  $I$  is finite.

NOTATION. Let  $F_{Y_i} = \{R \in \text{Prim}_\pi(B_T); R \supseteq J_{Y_i}\}$ .

By definition,  $F_{Y_i}$  is closed in  $\text{Prim}_n(B_T)$ . Also by Proposition (2.2) each  $R$  in  $\text{Prim}_n(B_T)$  is in one of the  $F_{Y_i}$ 's. Since the  $Y_i$ 's are mutually exclusive it follows that the  $F_{Y_i}$ 's are too. Consequently the  $F_{Y_i}$ 's are open and closed in  $\text{Prim}_n(B_T)$ .

Finally, we show that if  $\{\ker(\rho)\} \in F_{Y_i}$ , then the connected component of  $\{\ker(\rho)\}$  includes  $F_{Y_i}$ . Fix  $\rho$  such that  $\{\ker(\rho)\} \in F_{Y_i}$ . Now, the function  $\lambda \rightarrow \{\ker(\rho_\lambda)\}$  is continuous with respect to the Jacobson topology on  $\text{Prim}_n(B_T)$ . Reason:  $\rho_\lambda = \rho \cdot \hat{\alpha}_\lambda$  and  $\hat{\alpha}_\lambda$  is continuous with respect to the pointwise topology. Therefore,  $\lambda \rightarrow \{\ker(\rho_\lambda)\}$  is a continuous function from  $\mathbf{T}$  to  $\text{Prim}_n(B_T)$ .



*Conclusion.* The connected component of  $\{\ker(\rho)\}$  includes the set

$$\left\{ R \in \text{Prim}_n(B_T); R \supseteq \bigcap_{\lambda} \ker(\rho_{\lambda}) \right\}.$$

But by Proposition (2.2),  $\bigcap_{\lambda} \ker(\rho_{\lambda}) = J_{Y_i}$  and therefore the connected component of  $\{\ker(\rho)\}$  includes  $F_{Y_i}$ . Since the  $F_{Y_i}$ 's are open and closed it follows that the connected component of  $\{\ker(\rho)\}$  is exactly  $F_{Y_i}$ .

We summarize the above discussion in the following theorem.

**NOTATION.** For any homeomorphism  $T$  we denote by  $O(T)$  the set of all finite orbits of  $T$ .

**THEOREM (2.3).** *Let  $\Theta: B_T \rightarrow B_S$  be an isomorphism. Let  $Y$  be a finite orbit with respect to  $T$ . Then,  $\Theta(J_Y) = J_Z$  for some  $Z$  a finite orbit with respect to  $S$  having the same cardinality as  $Y$ . The correspondence  $Y \rightarrow Z$  defines a set theoretic isomorphism  $\Theta'$  between  $O(T)$  and  $O(S)$ . Moreover,  $(\Theta')^{-1} = (\Theta^{-1})'$ . Note that  $T$  and  $S$  may act on different spaces.*

*Proof.* We know that the map  $\text{Prim}_n(\Theta): \text{Prim}_n(B_T) \rightarrow \text{Prim}_n(B_S)$ , defined by  $\{\ker(\rho)\} \rightarrow \{\ker(\rho \cdot \Theta^{-1})\}$  is a homeomorphism. Therefore, the image of  $F_Y$  under  $\text{Prim}(\Theta)$  must be equal to some  $F_Z$  where  $Z$  is a finite orbit of  $S$  having the same cardinality as  $Y$ . Now,  $\Theta(J_Y) = J_Z$  because  $\Theta(\ker(\rho)) = \ker(\rho \cdot \Theta^{-1})$  and  $\bigcap_{\{R; R \in \text{Prim}_n(B_T), R \supseteq J_Y\}} R = J_Y$ . Finally,  $\Theta'$  is a set theoretic isomorphism because  $\text{Prim}(\Theta)$  is a homeomorphism.  $\square$

**THEOREM (2.4).** *Let  $\rho$  be an irreducible  $n$ -dimensional representation of  $B_T$ . Assume that  $T$  has finitely many orbits of length  $n$ . Then the connected component of  $\{\ker(\rho)\}$  in  $\text{Prim}_n(B_T)$  is equal to*

$$\{\ker(\rho_{\lambda}); 0 \leq \arg(\lambda) < 2\pi/n\}.$$

*The number of connected components in  $\text{Prim}_n(B_T)$  is equal to the number of orbits of length  $n$ .*

*Proof.* The only part that was not proven is that the component of  $\{\ker(\rho)\}$  in  $\text{Prim}_n(B_T)$  is equal to  $\{\ker(\rho_{\lambda}); 0 \leq \arg(\lambda) < 2\pi/n\}$ . By Proposition (1.2) we know that  $\rho$  is equivalent to some  $\rho_{Y,\mu}$ , where  $Y$  is an orbit of length  $n$  and  $\mu = \{\mu_y\}$ , and the discussion preceding Theorem (2.3) shows that the connected component of  $\rho$  is equal to

$F_Y = \{R \in \text{Prim}_n(B_T); R \supseteq J_Y\}$ . Therefore, what is left to show is that for any  $\nu = \{\nu_y\}$ ,  $\ker(\rho_{Y,\nu})$  is equal to  $\ker(\rho_\lambda)$  for some  $\lambda$  such that  $0 \leq \arg(\lambda) < 2\pi/n$ . By Proposition (1.3) the class of  $\rho_{Y,\nu}$  is dependent only on  $\prod_{y \in Y} \nu_y$  and by Lemma (2.1)  $\rho_\lambda = (\rho_{Y,\mu})_\lambda = \rho_{Y,\lambda\mu}$ . Therefore we are done because for  $\{\lambda; 0 \leq \arg(\lambda) < 2\pi/n\}$  the range of the function  $\lambda \rightarrow \prod_{y \in Y} \lambda \mu_y$  is  $\mathbf{T}$ .  $\square$

**3. Partial classification of hyperbolic crossed-product algebras.** We now specialize to the case  $X = \mathbf{T}^m$  and  $T$  an automorphism on  $\mathbf{T}^m$ .

**NOTATION.** Denote by  $N_p(T)$  the cardinality of the set  $\{x \in X; T^p x = x\}$  and by  $O_p(T)$  the cardinality of the set of all periodic points of period equal to  $p$ .

**DEFINITION.** An automorphism  $T$  is called hyperbolic if it has no eigenvalue of unit modulus.

**THEOREM (3.1).** *A partial classification of the  $B_T$ 's. Let  $T$  and  $S$  be hyperbolic automorphisms on tori not necessarily of the same dimensions. If the algebra  $B_T$  is isomorphic to  $B_S$ , then for all  $p \geq 1$ ,  $N_p(T) = N_p(S)$ . In particular,  $T$  and  $S$  must have the same entropy.*

*Proof.* If  $\Theta: B_T \rightarrow B_S$  is an isomorphism then it induces a homeomorphism between  $\text{Prim}_n(B_T)$  and  $\text{Prim}_n(B_S)$  for  $n \geq 1$ . Since the number of connected components is a topological invariant it must be the same for  $\text{Prim}_n(B_T)$  and  $\text{Prim}_n(B_S)$ . On the other hand we know that the number of connected components in  $\text{Prim}_n(B_T)$  is equal to the number of orbits of length  $n$ . Therefore,  $O_n(S) = O_n(T)$ . Note that  $N_n(T)$  is not quite the number of periodic points of period  $n$  because it includes all points of period  $m$  for  $m$  which divides  $n$ . But  $N_n(T)$  can be recovered from the  $O_m(T)$ 's simply because

$$N_n(T) = \sum_{\{m \geq 1; m|n\}} O_m(T).$$

Let  $\sigma(T) = \{\lambda_1, \dots, \lambda_k\}$  be the spectrum of  $T$  and  $\sigma(S) = \{\mu_1, \dots, \mu_l\}$  be the spectrum of  $S$ . Recall that  $N_p(T) = |\det(T^p - I)|$ , [4]. By the above discussion we know that if  $B_T$  is isomorphic to  $B_S$  then for all  $p$ ,  $|\det(T^p - I)| = |\det(S^p - I)|$ . Now,

$$|\det(T^p - I)| = \prod_{i=1}^k |\lambda_i^p - 1|.$$

Fix some  $\varepsilon > 0$ . Note that we can make the following estimations. If  $\lambda \in \sigma(T)$  and  $|\lambda| > 1$  then for  $p$  sufficiently large,

$$(1 - \varepsilon)|\lambda|^p \leq |\lambda^p - 1| \leq (1 + \varepsilon)|\lambda|^p$$

and if  $\lambda \in \sigma(T)$  and  $|\lambda| < 1$  then for  $p$  sufficiently large,

$$(1 - \varepsilon) \leq |\lambda^p - 1| \leq (1 + \varepsilon).$$

Denote by  $\Lambda$  the quantity  $\prod_{\{i; |\lambda_i| > 1\}} |\lambda_i|$ . By the above estimation,

$$(1 - \varepsilon)^k \Lambda^p \leq N_p(T) \leq (1 + \varepsilon)^k \Lambda^p.$$

Repeating the above calculation for  $S$  we get that for any fixed  $\varepsilon' > 0$  and for  $p$  sufficiently large

$$(1 - \varepsilon')^l M^p \leq N_p(S) \leq (1 + \varepsilon')^l M^p$$

where  $M$  is analogous to  $\Lambda$ . Claim:  $\Lambda$  must be equal to  $M$ . Proof: Assume without loss of generality that  $\Lambda < M$ . Then for any positive  $\varepsilon, \varepsilon'$

$$(1 + \varepsilon)^k \Lambda^p < (1 - \varepsilon')^l M^p$$

for  $p$  sufficiently large. The last inequality implies that  $N_p(T) < N_p(S)$ —contradiction. We have completed the proof since the entropy of an automorphism  $T$  is equal to  $\log(\Lambda)$ , [4].  $\square$

What can be now deduced about the classification of the crossed-product algebras over the 2-torus. Note that if  $T$  is an automorphism on the 2-torus then the equation for its characteristic polynomial, regarded as a linear transformation on the plane, is

$$x^2 - \text{trace}(T)x + \det(T) = 0.$$

From this relation we deduce that if  $T$  and  $S$  have the same trace and determinant then they have the same eigenvalues and conversely.

In the last section we showed that the entropy of  $T$  is an invariant of  $B_T$ . Since the product of the eigenvalues of  $T$  is 1 in absolute value it follows that if  $B_T \cong B_S$  then

$$\{|\lambda|; \lambda \in \sigma(T)\} = \{|\mu|; \mu \in \sigma(T)\}.$$

Let us make the following notations. Let  $\delta = \det(T)$ ,  $\delta' = \det(S)$ ,  $\tau = \text{trace}(T)$  and  $\tau' = \text{trace}(S)$ . Since the eigenvalues of  $T$  and  $S$  are real we now have that

$$\frac{\tau \pm \sqrt{\tau^2 - 4\delta}}{2} = \pm \frac{\tau' \pm \sqrt{\tau'^2 - 4\delta'}}{2}.$$

*Claim.* The above equation for the eigenvalues implies that  $|\tau| = |\tau'|$  and  $\tau^2 - 4\delta = (\tau')^2 - 4\delta'$ . Therefore also  $\delta = \delta'$ . Proof: Recall that the eigenvalues of hyperbolic automorphisms are irrational, [5]. In general, if  $k, l, m, n$  are integers and  $m + \sqrt{n}$ ,  $k + \sqrt{l}$  are irrational

numbers satisfying  $m + \sqrt{n} = k + \sqrt{l}$  then  $m = k$  and  $n = l$ . Reason:  $\sqrt{n} = (k - m) + \sqrt{l}$  and therefore  $n = (k - m)^2 + l + 2(k - m)\sqrt{l}$ . If  $k \neq m$  then  $\sqrt{l}$  is rational whence  $k + \sqrt{l}$  is also rational—contradiction.

Can we furthermore deduce that  $\text{trace}(T) = \text{trace}(S)$ ? From the last section we know that  $|\det(T^n - I)| = |\det(S^n - I)|$  for all  $n \geq 1$ . Observe that

$$|\det(T - I)| = |\det(T) + 1 - \text{trace}(T)|.$$

Therefore if  $\det(T) = \det(S) = 1$  then  $|2 - \tau| = |2 - \tau'|$ . Since  $|\tau| = |\tau'|$  it follows that  $\tau = \tau'$ .

We may summarize the above discussion in the following

**COROLLARY (3.2).** *Let  $T$  and  $S$  be hyperbolic automorphisms on the 2-torus. If  $B_T \cong B_S$  then:*

- (i)  $\det(T) = \det(S)$ ,
  - (ii)  $|\text{trace}(T)| = |\text{trace}(S)|$ .
- If  $\det(T)$  or  $\det(S)$  is equal to 1 then*
- (iii)  $\text{trace}(T) = \text{trace}(S)$ .

**REMARKS.** In the case  $\det(T) = \det(S) = -1$  it is not true that  $B_T \cong B_S$  implies that  $\text{trace}(T) = \text{trace}(S)$ . Example: Let  $T$  be a hyperbolic automorphism having determinant  $-1$ . Let  $S = T^{-1}$ . Note that  $\text{trace}(S) = -\text{trace}(T)$  but  $B_T \cong B_{T^{-1}} = B_S$ .

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