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**DIAGONALIZING PROJECTIONS IN MULTIPLIER ALGEBRAS  
AND IN MATRICES OVER A  $C^*$ -ALGEBRA**

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# DIAGONALIZING PROJECTIONS IN MULTIPLIER ALGEBRAS AND IN MATRICES OVER A $C^*$ -ALGEBRA

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Assume that  $\mathcal{A}$  is a  $C^*$ -algebra with the FS property ([3] and [16]). We prove that every projection in  $M_n(\mathcal{A})$  ( $n \geq 1$ ) or in  $L(\mathcal{H}_{\mathcal{A}})$  is homotopic to a projection whose diagonal entries are projections of  $\mathcal{A}$  and off-diagonal entries are zeros. This yields partial answers for Questions 7 and 8 raised by M. A. Rieffel in [18]. If  $\mathcal{A}$  is  $\sigma$ -unital but non-unital, then every projection in the multiplier algebra  $M(\mathcal{A})$  is unitarily equivalent to a diagonal projection, and homotopic to a block-diagonal projection with respect to an approximate identity of  $\mathcal{A}$  consisting of an increasing sequence of projections. The unitary orbits of self-adjoint elements of  $\mathcal{A}$  and  $M(\mathcal{A})$  are also considered.

**0. Introduction.** It is well known that a projection in  $M_n(\mathbb{C})$  or in  $L(\mathcal{H})$  is homotopic to a diagonal projection whose diagonal entries are either 1 or 0, where  $M_n(\mathbb{C})$  is the algebra consisting of  $n \times n$  scalar matrices and  $L(\mathcal{H})$  is the algebra consisting of bounded operators on a separable Hilbert space  $\mathcal{H}$ . The following natural question comes up: if  $\mathbb{C}$  is replaced by a  $C^*$ -algebra  $\mathcal{A}$ , is every projection in  $M_n(\mathcal{A})$  or  $L(\mathcal{H}_{\mathcal{A}})$  homotopic to a diagonal projection whose diagonal entries are projections of  $\mathcal{A}$  and off-diagonal entries are zeros? Here  $M_n(\mathcal{A})$  is the  $C^*$ -algebra of  $n \times n$  matrices over  $\mathcal{A}$  and  $L(\mathcal{H}_{\mathcal{A}})$  can be regarded as bounded infinite matrices over  $\mathcal{A}$  whose adjoints exist (see §1 for a more precise description). Certainly, diagonalizing projections of  $M_n(\mathcal{A})$  for  $n \geq 1$  would yield information about  $K_0(\mathcal{A})$  (here diagonalizing projections in the sense of Murray-von Neumann is enough for this purpose).

Concerning the matrix algebra  $M_n(\mathcal{A})$ , R. V. Kadison proved ([13] and [14]) that if  $\mathcal{A}$  is a von Neumann algebra, then every normal element in  $M_n(\mathcal{A})$  is unitarily equivalent to a diagonal normal matrix over  $\mathcal{A}$ . Consequently, every projection in  $M_n(\mathcal{A})$  is homotopic to a diagonal projection, since the unitary group of a von Neumann algebra is connected. In general, we certainly do not expect a positive answer for the question if  $\mathcal{A}$  is an arbitrary  $C^*$ -algebra. K. Grove and

G. K. Pedersen have pointed out ([11, 1.3]) that if  $\mathcal{A}$  is the algebra  $C(S^2)$ , the algebra of complex-valued continuous functions on  $S^2$ , then there exists a projection in  $M_2(\mathcal{A})$  which is not unitarily equivalent to any diagonal projection. However, we do expect a positive answer for a large class of  $C^*$ -algebras.

The author has proved ([22]) that if  $\mathcal{A}$  is a  $C^*$ -algebra with FS, then every projection in  $M_n(\mathcal{A})$  or in  $L(\mathcal{H}_{\mathcal{A}})$  is Murray-von Neumann equivalent to a diagonal projection. In this note, we will strengthen the previous results to unitary equivalence or homotopy. We prove that if  $\mathcal{A}$  is a  $C^*$ -algebra with FS (not necessarily  $\sigma$ -unital), and if  $p$  is a projection of the multiplier algebra  $M(\mathcal{A})$ , then every projection  $q$  of  $\mathcal{A}$  is homotopic to a projection  $q' = p_1 + p_2$ , where  $p_1$  is a projection of  $p\mathcal{A}p$  and  $p_2$  is a projection of  $(1-p)\mathcal{A}(1-p)$ . As a special case, by induction we conclude that every projection in  $M_n(\mathcal{A})$  is homotopic to a diagonal projection. This yields partial answers for Questions 7 and 8 raised by M. A. Rieffel in [18]. If  $\mathcal{A}$  is  $\sigma$ -unital and  $\{e_n\}$  is a fixed sequence of mutually orthogonal projections of  $\mathcal{A}$  such that  $\sum_{n=1}^{\infty} e_n = 1$ , we prove that every projection in  $M(\mathcal{A})$  is unitarily equivalent to a diagonal projection and homotopic to a block-diagonal projection with respect to the decomposition  $\sum_{n=1}^{\infty} e_n = 1$ . As a consequence, every projection in  $L(\mathcal{H}_{\mathcal{A}})$  is unitarily equivalent (and hence homotopic) to a diagonal projection. In addition, the unitary orbits of self-adjoint elements of  $\mathcal{A}$  or  $M(\mathcal{A})$  are considered.

The class of  $C^*$ -algebras with FS includes many interesting subclasses of  $C^*$ -algebras. Obviously, AF algebras, the Calkin algebra, von Neumann algebras and  $AW^*$ -algebras have FS. The Bunce-Deddens algebras have FS ([2]). All purely infinite, simple  $C^*$ -algebras have FS ([24, Part I (1.3)] and [25]); in particular, the Cuntz algebras  $\mathcal{O}_n$  and  $\mathcal{O}_A$ , where  $2 \leq n \leq \infty$  and  $A$  is an irreducible scalar matrix, have FS. Certain irrational rotation  $C^*$ -algebras have FS ([9]). Many corona and multiplier algebras have FS ([5], [24, Part I] and [24, Part IV]). L. G. Brown and G. K. Pedersen have recently proved ([5]) that a  $C^*$ -algebra  $\mathcal{A}$  has FS if and only if  $M_n(\mathcal{A})$  has FS for all  $n \geq 1$ ; and  $\mathcal{A}$  has FS if and only if  $\mathcal{A}$  has real rank zero. In [21], [22], [23] and [24] the author has investigated the multiplier and corona algebras of  $C^*$ -algebras with FS from various angles.

**1. Notations.** If  $\mathcal{A}$  is a  $C^*$ -algebra, we denote the Banach space double dual of  $\mathcal{A}$  by  $\mathcal{A}^{**}$  and the multiplier algebra of  $\mathcal{A}$  by  $M(\mathcal{A})$ ; where  $M(\mathcal{A}) = \{m \in \mathcal{A}^{**} : xm, mx \in \mathcal{A} \ \forall x \in \mathcal{A}\}$  ([1], [7], [15], among others).

Let  $\mathcal{H}_{\mathcal{A}} = \{\{a_i\}: a_i \in \mathcal{A} \text{ and } \sum_{i=1}^{\infty} a_i^* a_i \text{ converges in norm}\}$ . Then  $\mathcal{H}_{\mathcal{A}}$  becomes a Hilbert  $\mathcal{A}$ -module with the  $\mathcal{A}$ -valued inner product

$$\langle \{a_i\}, \{b_i\} \rangle = \sum_{i=1}^{\infty} a_i^* b_i \quad \text{for all } \{a_i\}, \{b_i\} \in \mathcal{H}_{\mathcal{A}}.$$

We denote by  $L(\mathcal{H}_{\mathcal{A}})$  the set of all bounded module maps with an adjoint and by  $K(\mathcal{H}_{\mathcal{A}})$  a closed ideal of  $L(\mathcal{H}_{\mathcal{A}})$  called the “compact maps”; more precisely,  $K(\mathcal{H}_{\mathcal{A}})$  is the norm closure of the set of all “finite rank” module maps,  $\{\sum_{i=1}^n \theta_{x_i, y_i}: x_i, y_i \in \mathcal{H}_{\mathcal{A}} \text{ and } n \in \mathbb{N}\}$ . Here for any pair of elements  $x$  and  $y$  in  $\mathcal{H}_{\mathcal{A}}$ ,  $\theta_{x, y}$  is defined by  $\theta_{x, y}(a) = x \langle y, a \rangle \in \mathcal{H}_{\mathcal{A}}$  for all  $a \in \mathcal{H}_{\mathcal{A}}$  ([15]). It was proved ([15]) that

$$L(\mathcal{H}_{\mathcal{A}}) \cong M(\mathcal{A} \otimes \mathcal{K}) \quad \text{and} \quad K(\mathcal{H}_{\mathcal{A}}) \cong \mathcal{A} \otimes \mathcal{K}$$

as  $C^*$ -algebras, where  $\mathcal{K}$  is the algebra consisting of compact operators on  $\mathcal{H}$ . The formulation of  $L(\mathcal{H}_{\mathcal{A}})$  and  $K(\mathcal{H}_{\mathcal{A}})$  are closely analogous to those of  $L(\mathcal{H})$  and  $\mathcal{K}$ .

If  $\mathcal{A}$  is a unital  $C^*$ -algebra, we will denote the unitary group of  $M_n(\mathcal{A})$  by  $U_n(\mathcal{A})$  and the path component of  $U_n(\mathcal{A})$  containing the identity by  $U_n^0(\mathcal{A})$ . In particular, we will denote  $U_1^0(\mathcal{A})$  by  $U_0(\mathcal{A})$ .

If  $p$  and  $q$  are projections in  $\mathcal{A}$ ,  $p \sim q$  means that  $p$  and  $q$  are equivalent in the sense of Murray-von Neumann, and  $p \approx q$  means that  $p$  and  $q$  are homotopic, i.e., in the same norm path component of projections in  $\mathcal{A}$ . It is well known that  $p \approx q$  if and only if there exists a unitary element  $v$  in  $U_0(\mathcal{A})$  such that  $vpv^* = q$ . We denote the matrix units of  $\mathcal{K}$  by  $\{e_{ij}\}$ .

**2. Key Lemmas.** The following technical lemmas are the key of this paper:

**2.1. LEMMA.** *Suppose that  $\mathcal{A}$  is a  $C^*$ -algebra with FS (not necessarily  $\sigma$ -unital) and  $p$  is a projection in  $M(\mathcal{A})$ . If  $q$  is a projection in  $\mathcal{A}$ , then for any  $\varepsilon_0 > 0$  there exists a projection  $q'$  in  $\mathcal{A}$  such that both  $pq'p$  and  $(1 - p)q'(1 - p)$  have finite spectra and  $\|q - q'\| < \varepsilon_0$ . More precisely, the projection  $q'$  has the following form:*

$$q' = \begin{pmatrix} f_0 & 0 & 0 \\ 0 & a_0 & b_0 \\ 0 & b_0^* & c_0 \end{pmatrix},$$

where  $f_0$  and the range of  $a_0$  are mutually orthogonal subprojections of  $p$ . Consequently  $q' \approx q$  if  $\varepsilon_0 < 1$ .

*Proof.* Let  $q = \begin{pmatrix} a & b \\ b^* & c \end{pmatrix}$  be the decomposition of  $q$  with respect to  $p + (1-p) = 1$ . It follows that  $a - a^2 = bb^*$ ,  $c - c^2 = b^*b$ ,  $ab + bc = b$ ,  $0 \leq a \leq p$  and  $0 \leq c \leq 1 - p$ . (Actually these conditions are also sufficient for  $q$  to be a projection.) We will start with the idea in [6] and then go further to construct a projection  $q' = \begin{pmatrix} a' & b' \\ b'^* & c' \end{pmatrix}$  such that both  $\sigma(a')$  and  $\sigma(c')$  are finite sets, and  $q'$  is close to  $q$  in norm.

Let  $0 < \delta < 1$  be a fixed positive number and  $\varepsilon$  be another positive number such that  $3\varepsilon < \delta$ . Since  $\mathcal{A}$  has FS, there exists a positive element  $c_1$  in  $(1-p)\mathcal{A}(1-p)$  with a finite spectrum such that

$$(1) \quad \|c - c_1\| < \varepsilon.$$

Set  $e = \chi_{(\delta, \infty)}(c_1 - c_1^2)$ . If  $\delta_1$  is the smaller root of  $t^2 - t + \delta = 0$ , then  $e = \chi_{(\delta_1, 1-\delta_1)}(c_1)$  which is a projection in  $(1-p)\mathcal{A}(1-p)$ .

Set  $c_0 = c_1e + \chi_{(1-\delta_1, 1]}(c_1)$ . Then  $\sigma(c_0)$  is a finite set,  $c_0 - c_0^2 = e(c_1 - c_1^2)e \in e\mathcal{A}e$  and  $\|c_0 - c_1\| \leq \delta_1$ . It follows that

$$(2) \quad \|c_0 - c\| \leq \varepsilon + \delta_1 < \varepsilon + \sqrt{\delta}.$$

Set  $v = (eb^*be)^{-1/2}(eb^*)$ , of course where  $(eb^*be)^{-1}$  is taken in  $e\mathcal{A}e$ . Since  $e(c_1 - c_1^2)e \geq \delta e$  and hence  $eb^*be \geq (\delta - 3\varepsilon)e$ ,  $(eb^*be)^{-1/2}$  exists. It is clear that  $vv^* = e$ .

Set  $b_0 = v^*(c_0 - c_0^2)^{1/2}$ . Then  $b_0^*b_0 = c_0 - c_0^2$ .

Set  $a_0 = v^*(e - c_0)v$ . Then  $a_0 - a_0^2 = b_0b_0^*$  and  $a_0b_0 + b_0c_0 = b_0$ .

If we first fix  $\delta$  small enough, then we choose  $\varepsilon$  small enough and  $c_1$  satisfying (1) such that  $\|c - c_0\|$ ,  $\|b - b_0\|$  and  $\|(a - a^2) - (a_0 - a_0^2)\|$  are all smaller than any preassigned positive number. However,  $\|a - a_0\|$  can be equal to one. Here we give details for further reference.

It is obvious that

$$(3) \quad \|b^*b - (c_1 - c_1^2)\| \leq 3\|c - c_1\| < 3\varepsilon.$$

Since  $\|(1-e)b^*b(1-e) - (1-e)(c_1 - c_1^2)(1-e)\| \leq 3\varepsilon$  and  $\|(1-e)(c_1 - c_1^2)(1-e)\| \leq \delta$ , it is easily seen that

$$(4) \quad \|b(1-e)\| \leq \sqrt{3\varepsilon + \delta}.$$

Since  $eb^*be \geq (\delta - 3\varepsilon)e$ , then

$$(5) \quad \|(eb^*be)^{-1}\| \leq (\delta - 3\varepsilon)^{-1}.$$

By [12, 126] and (3), we can choose  $\varepsilon$  small enough such that

$$(6) \quad \|(eb^*be)^{1/2} - [e(c_1 - c_1^2)e]^{1/2}\| < \delta.$$

By (4) and (6) we can choose  $\varepsilon$  small enough such that

$$(7) \quad \begin{aligned} \|b_0 - b\| &\leq \|v^*(c_0 - c_0^2)^{1/2} - v^*(eb^*be)^{1/2}\| + \|b(1 - e)\| \\ &\leq \| [e(c_1 - c_1^2)e]^{1/2} - (eb^*be)^{1/2} \| + \sqrt{3\varepsilon + \delta} \\ &< \delta + \sqrt{3\varepsilon + \delta}. \end{aligned}$$

Consequently,

$$(8) \quad \begin{aligned} \|(a - a^2) - (a_0 - a_0^2)\| &= \|bb^* - b_0b_0^*\| \\ &\leq 2\|b_0 - b\| < 2\delta + 2\sqrt{3\varepsilon + \delta}. \end{aligned}$$

It is clear from construction that  $q_0 = \begin{pmatrix} a_0 & b_0 \\ b_0^* & c_0 \end{pmatrix}$  is a projection. By Lemma (2.4) of [21],  $\sigma(a_0) \setminus \{0, 1\} = \sigma(1 - c_0) \setminus \{0, 1\}$ , and hence  $\sigma(a_0)$  is also a finite set. The idea of constructing the projection  $q_0$  is due L. G. Brown ([6]) for different purpose.

We will go further to adjust  $q_0$  to a projection  $q' = \begin{pmatrix} a' & b' \\ b'^* & c' \end{pmatrix}$  so that  $\|a - a'\|$  is small, too. Set  $f = v^*v$ . Then  $f$  is a subprojection of  $p$  and  $fa_0 = a_0f = a_0$ . We claim that  $\|faf - a_0\|$  can be arbitrarily small if  $\delta$ ,  $\varepsilon$  and  $c_1$  are properly chosen. To prove this claim, we need the following estimates.

$$(9) \quad \begin{aligned} \|e(b^*b)^{1/2}(1 - e)\| &= \|e[(b^*b)^{1/2} - (c_1 - c_1^2)^{1/2}](1 - e)\| \\ &\leq \|(b^*b)^{1/2} - (c_1 - c_1^2)^{1/2}\|. \end{aligned}$$

Then by [12, 126] and

$$\|(eb^*be)^{1/2}\|^2 = eb^*be = [e(b^*b)^{1/2}e]^2 + e(b^*b)^{1/2}(1 - e)(b^*b)^{1/2}e,$$

for a fixed  $\delta > 0$  we can choose  $\varepsilon$  small enough (by (3)) such that

$$(10) \quad \|(b^*b)^{1/2} - (c_1 - c_1^2)^{1/2}\| < \frac{\delta^2}{2} \quad \text{and}$$

$$(11) \quad \|(eb^*be)^{1/2} - e(b^*b)^{1/2}e\| < \delta\sqrt{\frac{\delta}{2}}.$$

Since

$$\begin{aligned} f(a - a_0)f &= v^*ev(a - a_0)v^*ev \\ &= v^*e(vav^* - va_0v^*)ev \\ &= v^*[ec_0e - v(p - a)v^*]v, \end{aligned}$$

then

$$(12) \quad \begin{aligned} \|f(a - a_0)f\| &\leq \|ec_0e - ece\| + \|ece - v(p - a)v^*\| \\ &< \varepsilon + \|ece - v(p - a)v^*\|. \end{aligned}$$

Since  $(1 - a)b = bc$ ,  $p(1 - a)b = bp(c)$  for any polynomial  $p(t)$ . Approximating by polynomials, we obtain that  $\sqrt{1 - ab} = b\sqrt{c}$ , and hence

$$b^*(1 - a)b = c^2 - c^3 = (b^*b)^{1/2}c(b^*b)^{1/2}.$$

It follows that

$$\begin{aligned} v(p - a)v^* &= (eb^*be)^{-1/2}eb^*(p - a)be(eb^*be)^{-1/2} \\ &= (eb^*be)^{-1/2}e[b^*b - b^*ab]e(eb^*be)^{-1/2} \\ &= (eb^*be)^{-1/2}[e(b^*b)^{1/2}c(b^*b)^{1/2}e](eb^*be)^{-1/2} \\ &= (eb^*be)^{-1/2}[h_1 + h_2](eb^*be)^{-1/2}, \end{aligned}$$

where

$$\begin{aligned} h_1 &= e(b^*b)^{1/2}ece(b^*b)^{1/2}e \\ &= (eb^*be)^{1/2}c(eb^*be)^{1/2} + [e(b^*b)^{1/2}e - (eb^*be)^{1/2}]c(eb^*be)^{1/2} \\ &\quad + (eb^*be)^{1/2}c[e(b^*b)^{1/2}e - (eb^*be)^{1/2}] \\ &\quad + [e(b^*b)^{1/2}e - (eb^*be)^{1/2}]c[e(b^*b)^{1/2}e - (eb^*be)^{1/2}], \\ h_2 &= e(b^*b)^{1/2}(1 - e)ce(b^*b)^{1/2}e \\ &\quad + e(b^*b)^{1/2}ec(1 - e)(b^*b)^{1/2}e \\ &\quad + e(b^*b)^{1/2}(1 - e)c(1 - e)(b^*b)^{1/2}e. \end{aligned}$$

If  $\delta$  is first fixed small enough, and  $\varepsilon$  and  $c_1$  can be chosen such that  $6\varepsilon < \delta$  and

$$\begin{aligned} (13) \quad &\|(eb^*be)^{-1/2}h_1(eb^*be)^{-1/2} - ece\| \\ &\leq 2\|(eb^*be)^{-1/2}\| \|e(b^*b)^{1/2}e - (eb^*be)^{1/2}\| \|c\| \\ &\quad + \|(eb^*be)^{-1/2}\|^2 \|e(b^*b)^{1/2}e - (eb^*be)^{1/2}\|^2 \|c\| \\ &\leq 2\frac{\delta\sqrt{\delta/2}}{\sqrt{\delta - 3\varepsilon}} + \left[\frac{\delta\sqrt{\delta/2}}{\sqrt{\delta - 3\varepsilon}}\right]^2 < \delta^2 + 2\delta, \end{aligned}$$

(where using (5), (10) and (11)) and

$$\begin{aligned} (14) \quad &\|(eb^*be)^{-1/2}h_2(eb^*be)^{-1/2}\| \\ &\leq 2\|(eb^*be)^{-1/2}\|^2 \|e(b^*b)^{1/2}(1 - e)\| \|c\| \|(b^*b)^{1/2}\| \\ &\quad + \|(eb^*be)^{-1/2}\|^2 \|e(b^*b)^{1/2}(1 - e)\|^2 \|c\| \\ &< (\delta - 3\varepsilon)^{-1} \left[\delta^2 + \frac{\delta^4}{4}\right] < 2\delta + \delta^2, \end{aligned}$$

where we used  $\delta - 3\varepsilon > \delta/2$ . Consequently,

$$\begin{aligned} \|v(p - a)v^* - ece\| &\leq 4\delta + 2\delta^2, \quad \text{and so} \\ \|f(a - a_0)f\| &< \varepsilon + 4\delta + 2\delta^2 \quad \text{by (12)}. \end{aligned}$$

If  $\delta$  is fixed small enough and  $\varepsilon$  is chosen small enough, then  $\|faf - a_0\|$  can be arbitrarily small if  $c_1$  satisfies (1).

Moreover, by properly choosing  $\delta > 0$ ,  $\varepsilon$  and  $c_1$  in a similar way we can require that  $\|(p - f)af\|$  is less than any preassigned positive number. This can be done as follows.

Since  $a - a^2 = bb^*$  and the spectral mapping theorem, it is clear  $\|b\| \leq 1/2$ . Since  $(1 - a)b = bc$ , we have

$$\begin{aligned} -(1 - f)av^* &= (1 - f)(1 - a)be(eb^*be)^{-1/2} \\ &= bce(eb^*be)^{-1/2} - be(eb^*be)^{-1}eb^*bce(eb^*be)^{-1/2} \\ &= bce(eb^*be)^{-1/2} - be(eb^*be)^{-1}eb^*bece(eb^*be)^{-1/2} \\ &\quad - be(eb^*be)^{-1}eb^*b(1 - e)ce(eb^*be)^{-1/2} \\ &= b(1 - e)ce(eb^*be)^{-1/2} \\ &\quad - be(eb^*be)^{-1}eb^*b(1 - e)ce(eb^*be)^{-1/2}. \end{aligned}$$

It follows that

$$\begin{aligned} (15) \quad \|(1 - f)af\| &\leq \|(1 - f)av^*\| \\ &\leq \|b\| \|(1 - e)ce\| \|(eb^*be)^{-1/2}\| \\ &\quad + \|b\| \|(eb^*be)^{-1}\| \|e(b^*b)(1 - e)\| \|c\| \|(eb^*be)^{-1/2}\| \\ &< \frac{\varepsilon}{2} \left[ \frac{1}{\sqrt{\delta - 3\varepsilon}} \right] + \frac{1}{2} \left[ \frac{1}{\delta - 3\varepsilon} \right] (3\varepsilon) \left[ \frac{1}{\sqrt{\delta - 3\varepsilon}} \right] \\ &< \left[ \frac{\varepsilon}{2} \right] \sqrt{\frac{2}{\delta}} + \left[ \frac{3\varepsilon}{2} \right] \left[ \frac{2}{\delta} \right]^{3/2}, \end{aligned}$$

where we use (1), (3), (5) and the facts:

$$\begin{aligned} \|(1 - e)ce\| &= \|(1 - e)(c - c_1)e\| \leq \|c - c_1\|, \quad \text{and} \\ \|eb^*b(1 - e)\| &= \|e[b^*b - (c_1 - c_1^2)](1 - e)\| \leq \|b^*b - (c_1 - c_1^2)\|. \end{aligned}$$

As a consequence of the last estimate and (8), for any  $0 < \lambda < 1/2$ , we can fix  $\delta$  small enough and then choose  $\varepsilon$  small enough such that  $\sigma((p - f)a(p - f)) \subset [0, \lambda] \cup [1 - \lambda, 1]$ . This is because of the following estimates:

$$\begin{aligned} (p - f)[(a - a^2) - (a_0 - a_0^2)](p - f) &= (p - f)(a - a^2)(p - f) \\ &= (p - f)a(p - f) - [(p - f)a(p - f)]^2 - (p - f)af a(p - f), \end{aligned}$$

$$\begin{aligned} \|(p - f)a(p - f) - [(p - f)a(p - f)]^2\| &\leq \|(p - f)[(a - a^2) - (a_0 - a_0^2)](p - f)\| + \|(1 - f)af\|^2 \\ &\leq \|(a - a^2) - (a_0 - a_0^2)\| + \|(p - f)af\|^2. \end{aligned}$$



Set  $f_0 = \chi_{[1/2, 1]}((p-f)a(p-f))$ . Then  $f_0$  is a projection in  $(p-f)\mathcal{A}(p-f)$  such that  $f_0a_0 = a_0f_0 = 0$  and  $\|f_0 - (p-f)a(p-f)\| \leq \lambda$ . Set  $a' = a_0 + f_0$ ,  $b' = b_0$  and  $c' = c_0$ . Then  $q' = \begin{pmatrix} a' & b' \\ b'^* & c' \end{pmatrix}$  is a projection in  $\mathcal{A}$  such that

$$(16) \quad \begin{aligned} \|q' - q\| &\leq \|(f_0 + a_0) - a\| + 2\|b_0 - b\| + \|c_0 - c\| \\ &\leq \|f(a - a_0)f\| + 2\|fa(p-f)\| \\ &\quad + \|f_0 - (p-f)a(p-f)\| + 2\|b_0 - b\| + \|c_0 - c\|. \end{aligned}$$

Combining all above estimates, we first fix  $\lambda$  small enough, then fix  $\delta$  small enough, and then choose  $\varepsilon$  small enough and  $c_1$  satisfying (1) so that each term on the right-hand side of (16) is small. Then  $\|q - q'\|$  is small. It is clear that  $\sigma(pq'p) = \sigma(f_0 + a_0)$  is a finite set. The last sentence in the statement of this lemma is well known.  $\square$

**2.2. LEMMA.** *Suppose that  $\mathcal{A}$  is a  $C^*$ -algebra (not necessarily  $\sigma$ -unital) and  $p$  is a projection in  $M(\mathcal{A})$ . If  $q$  is a projection in  $\mathcal{A}$  such that  $\sigma(pqp) \neq [0, 1]$ , then there exist two projections  $q_1$  and  $q_2$  in  $\mathcal{A}$  such that  $q_1 \leq p$ ,  $q_2 \leq 1 - p$  and  $q \approx q_1 + q_2$ .*

*Proof.* Let  $q = \begin{pmatrix} a & b \\ b^* & c \end{pmatrix}$  be the composition of  $q$  with respect to  $p + (1-p) = 1$ . Then  $a = pqp$ ,  $c = (1-p)q(1-p)$  and  $b = pq(1-p)$ . By [21, 2.4],  $\sigma(a) \setminus \{0, 1\} = \sigma(1-c) \setminus \{0, 1\}$ .

If  $b = 0$ , then  $q_1 = a$  and  $q_2 = c$  are as desired. Assume that  $b \neq 0$ . If  $1 \notin \sigma(c)$ , then  $\|c\| < 1$ . By the argument of [8, 1],  $q$  is path connected to a subprojection  $q_1$  of  $p$ . We can assume that  $1 \in \sigma(c)$ . Since  $\sigma(c) \neq [0, 1]$  and  $0$  is always in  $\sigma(c)$ , there is a  $\lambda$  in  $(0, 1) \setminus \sigma(c)$ . Then there exists a positive number  $\varepsilon$  such that  $(\lambda - \varepsilon, \lambda + \varepsilon) \cap \sigma(c) = \emptyset$ . Since  $b \neq 0$ , we can assume that  $\sigma(c) \cap (\lambda + \varepsilon, 1) \neq \emptyset$  (Otherwise,  $\sigma(a) \cap (\lambda + \varepsilon, 1) \neq \emptyset$ , we consider  $a$  instead.) We will use a variation of [8, 1] to construct a path of projections for our purpose.

Define a family of continuous positive functions  $\{f_t\}_{t \in [0, 1]}$  from  $[0, 1]$  to  $[0, 1]$  with the following properties:

- (1)  $\lim_{t \rightarrow t_0} \|f_t - f_{t_0}\|_\infty = 0$  for any  $t_0$  in  $[0, 1]$ ;
- (2)  $f_1(s) = s$  for all  $s$  in  $[0, 1]$ ;
- (3)

$$f_0(s) = \begin{cases} 1, & \text{if } \lambda \leq s \leq 1, \\ \text{linear}, & \text{if } \lambda - \varepsilon < s < \lambda, \\ 0, & \text{if } 0 \leq s \leq \lambda - \varepsilon; \end{cases}$$

(4) For all  $t$  in  $(0, 1)$ ,  $f_t(s) \leq s$  if  $s \in [0, \lambda - \varepsilon]$  and  $f_t(s) \geq s$  if  $s \in [\lambda, 1]$ .

Since  $q$  is a projection,  $bc = (1 - a)b$ . Approximating by polynomials, we obtain that  $bg(c) = g(1 - a)b$  for any continuous function  $g$  on  $[0, 1]$ . Set

$$\begin{aligned} c_t &= f_t(c), \\ b_t &= b \left[ \frac{f_t(c) - f_t(c)^2}{c - c^2} \right]^{1/2}, \\ a_t &= p - f_t(p - a). \end{aligned}$$

Then  $b_t$  and  $c_t$  are well defined elements in  $\mathcal{A}$  by the properties of  $f_t$ . Although  $p - a$  is not in  $p\mathcal{A}p$  if  $p$  is in  $M(\mathcal{A}) \setminus \mathcal{A}$ ,  $p - f_t(p - a)$  is in  $p\mathcal{A}p$  for  $t \in [0, 1]$ . To see this, first,  $f_t(p - a)$  is well defined for each  $t \in [0, 1]$  since  $\sigma(p - a) \setminus \{0, 1\} = \sigma(c) \setminus \{0, 1\}$ . Second, if we denote by  $\pi$  the canonical map from  $(p\mathcal{A}p)^+$  to  $(p\mathcal{A}p)^+ / p\mathcal{A}p$ , where  $(p\mathcal{A}p)^+$  is the  $C^*$ -algebra obtained by joining an identity to  $p\mathcal{A}p$ , then  $p - f_t(p - a) \in p\mathcal{A}p$ , since  $\pi(p - f_t(p - a)) = \pi(p) - f_t(\pi(p)) = 0$ . It is easily verified that

$$\begin{aligned} a_t - a_t^2 &= b_t b_t^*, \\ a_t b_t &= b_t (1 - c_t), \\ c_t - c_t^2 &= b_t^* b_t. \end{aligned}$$

Thus  $q(t) = \begin{pmatrix} a_t & b_t \\ b_t^* & c_t \end{pmatrix}$  is a projection in  $\mathcal{A}$  for each  $t$  in  $[0, 1]$ . By the property (1) of  $\{f_t\}$ ,  $\{q(t)\}_{t \in [0, 1]}$  is contained in the same path component of projections in  $\mathcal{A}$ . Then  $q(0) \approx q(1) = q$ . Since  $(\lambda - \varepsilon, \lambda) \cap \sigma(c) = \emptyset$ ,  $c_0 = f_0(c) = \chi_{[\lambda, 1]}(c)$  is a projection of  $(1 - p)\mathcal{A}(1 - p)$ . It is obvious that

$$q(0) = \begin{pmatrix} a_0 & b_0 \\ b_0^* & c_0 \end{pmatrix} = \begin{pmatrix} a_0 & 0 \\ 0 & c_0 \end{pmatrix}.$$

Consequently,  $a_0$  is a projection of  $p\mathcal{A}p$ . Set  $q_1 = a_0$  and  $q_2 = c_0$ , as desired.  $\square$

Roughly speaking, with respect to a fixed sequential increasing approximate identity of  $\mathcal{A}$  a block-diagonal projection of  $M(\mathcal{A})$  whose blocks are with the same size is homotopic to a diagonal projection. More precisely, we have the following lemma:

2.3. LEMMA. *Suppose that  $\mathcal{A}$  is a  $\sigma$ -unital, non-unital  $C^*$ -algebra with FS and  $\sum_{i=1}^{\infty} (s_{i1} + s_{i2} + \dots + s_{in}) = 1$ , where  $\{s_{ij} : i \geq 1, 1 \leq j \leq n\}$  are mutually orthogonal projections in  $\mathcal{A}$  and the sum converges*

in the strict topology. If  $p$  is a projection in  $M(\mathcal{A})$  with the form  $\sum_{i=1}^{\infty} p_i$ , where  $p_i$  is a projection in  $(s_{i1} + s_{i2} + \cdots + s_{in})\mathcal{A}(s_{i1} + s_{i2} + \cdots + s_{in})$  for  $i \geq 1$ , then  $p \approx \sum_{i=1}^{\infty} (p_{i1} + p_{i2} + \cdots + p_{in})$ , where  $p_{ij}$  is a projection in  $s_{ij}\mathcal{A}s_{ij}$  for  $i \geq 1$  and  $1 \leq j \leq n$ .

*Proof.* It suffices to prove the case if  $n = 2$ . If  $n > 2$ , we simply employ the same proof recursively  $n - 1$  times by induction to reach the conclusion.

We write

$$p_i = \begin{pmatrix} a_i^* & b_i \\ b_i^* & c_i \end{pmatrix}$$

with respect to  $s_{i1} + s_{i2}$ . By Lemma (2.1), for each  $i \geq 1$  we can find a projection

$$p'_i = \begin{pmatrix} f_i & 0 & 0 \\ 0 & a'_i & b'_i \\ 0 & b'^*_i & c'_i \end{pmatrix}$$

in  $(s_{i1} + s_{i2})\mathcal{A}(s_{i1} + s_{i2})$  such that  $\|p'_i - p_i\| < 1/4$ , and both  $a'_i$  and  $c'_i$  have finite spectra. Here we use the proof of Lemma (2.1) to properly choose a positive number  $\delta_i$  and a positive element  $c'_{1i}$  in  $s_{i2}\mathcal{A}s_{i2}$  with a finite spectrum, then we have that

$$\begin{aligned} e_i &= \chi_{(\delta_i, 1-\delta_i)}(c'_{1i}), & c'_i &= c'_{1i}e_i + \chi_{(1-\delta_i, 1)}(c'_{1i}), \\ v_i &= (e_i b_i^* b_i e_i)^{-1/2} (e_i b_i^*), & b'_i &= v_i^* (c'_i - c'^2_{1i})^{1/2}, \\ & & a'_i &= v_i^* (e_i - c'_{1i}) v_i \end{aligned}$$

and  $f_i$  is a projection of  $s_{i1}\mathcal{A}s_{i1}$  orthogonal to the range projection of  $a'_i$ .

Let  $p' = \sum_{i=1}^{\infty} p'_i$ . Then  $\|p' - p\| < 1/4$ , and hence  $p \approx p'$ .

Let  $\sigma(c'_i) = \{\lambda_{i1}, \lambda_{i2}, \dots, \lambda_{il}\}$  for each  $i \geq 1$ . It follows from the construction or [21, 2.4] that  $\sigma(a'_i) = \{1 - \lambda_{i1}, 1 - \lambda_{i2}, \dots, 1 - \lambda_{il}\}$ . We can write  $c'_i = \sum_{j=1}^{l_i} \lambda_{ij} r_{ij}$ , where  $\{r_{ij} : 1 \leq j \leq l_i\}$  is a set of mutually orthogonal projections in  $s_{i2}\mathcal{A}s_{i2}$ . Let  $\lambda$  be any number in the open interval  $(\frac{1}{2}, \frac{3}{4})$  but not in  $\bigcup_{i=1}^{\infty} \sigma(c'_i)$ . Let  $\varepsilon = \min\{\lambda - \frac{1}{2}, \frac{3}{4} - \lambda\}$ . For  $i \geq 1$ , if  $\lambda_{ij}$  is in the open interval  $(\lambda - \varepsilon, \lambda)$ , we replace  $\lambda_{ij}$  by  $\lambda'_{ij} = \lambda - \varepsilon$ , and if  $\lambda_{ij}$  is in  $(\lambda, \lambda + \varepsilon)$ , we replace  $\lambda_{ij}$  by  $\lambda'_{ij} = \lambda + \varepsilon$ . If  $\lambda_{ij}$  is not in  $(\lambda - \varepsilon, \lambda + \varepsilon)$ , then we let  $\lambda'_{ij} = \lambda_{ij}$ . Set  $c''_i = \sum_{j=1}^{l_i} \lambda'_{ij} r_{ij}$  for  $i \geq 1$ , and correspondingly set  $b''_i = v_i^* (c''_i - c'^2_{1i})^{1/2}$  and  $a''_i = v_i^* (e_i - c''_i) v_i$ . Then

$$\begin{aligned} \|a'_i - a''_i\| &\leq \|c'_i - c''_i\| < \varepsilon \quad \text{and} \\ \|b'_i - b''_i\| &\leq \|(c'_i - c'^2_{1i})^{1/2} - (c''_i - c'^2_{1i})^{1/2}\| < \frac{1}{8}. \end{aligned}$$

It follows that

$$p_i'' = \begin{pmatrix} f_i & 0 & 0 \\ 0 & a_i'' & b_i'' \\ 0 & b_i''^* & c_i'' \end{pmatrix}$$

is a projection in  $(s_{i1} + s_{i2})\mathcal{A}(s_{i1} + s_{i2})$  such that  $\|p_i' - p_i''\| \leq 2\varepsilon + \frac{1}{4} < 1$ . Define  $p'' = \sum_{i=1}^{\infty} p_i''$ . Then  $\|p' - p''\| < 1$ , and hence  $p' \approx p''$ . The remaining job is to prove that  $p''$  is homotopic to a desired diagonal projection.

Let  $\{f_t\}_{t \in [0, 1]}$  be the family of continuous functions defined in the proof of Lemma (2.2). Since  $\sigma(c_i'')$  does not intersect with the open interval  $(\lambda - \varepsilon, \lambda + \varepsilon)$  for  $i \geq 1$ , we can define

$$\begin{aligned} c_i(t) &= f_t(c_i''), \\ b_i(t) &= b_i'' \left[ \frac{f_t(c_i'') - f_t(c_i'')^2}{c_i'' - c_i''^2} \right]^{1/2}, \\ a_i(t) &= p - f_t(p - a_i'' - f_i). \end{aligned}$$

Then  $a_i(t)$ ,  $b_i(t)$  and  $c_i(t)$  are well defined elements in  $(s_{i1} + s_{i2})\mathcal{A}(s_{i1} + s_{i2})$  for each  $t$  in  $[0, 1]$  and  $i \geq 1$  by the properties of  $f_t$ . Thus for each  $t$  in  $[0, 1]$

$$p_i(t) = \begin{pmatrix} a_i(t) & b_i(t) \\ b_i(t)^* & c_i(t) \end{pmatrix}$$

is a projection in  $(s_{i1} + s_{i2})\mathcal{A}(s_{i1} + s_{i2})$ . It is easily seen that

$$p_i(1) = p_i'' \quad \text{and} \quad p_i(0) = \begin{pmatrix} a_i(0) & 0 \\ 0 & c_i(0) \end{pmatrix},$$

where  $a_i(0)$  is a projection of  $s_{i1}\mathcal{A}s_{i1}$  and  $c_i(0)$  is a projection of  $s_{i2}\mathcal{A}s_{i2}$ . Define  $p(t) = \sum_{i=1}^{\infty} p_i(t)$  for each  $t$  in  $[0, 1]$ . Then  $\{p(t)\}_{t \in [0, 1]}$  is a path of projection in  $M(\mathcal{A})$ . It is obvious that

$$p(1) = p'' \quad \text{and} \quad p(0) = \sum_{i=1}^{\infty} \begin{pmatrix} a_i(0) & 0 \\ 0 & c_i(0) \end{pmatrix}.$$

Since the choice of  $\{f_t\}_{t \in [0, 1]}$  does not depend on  $i$ , the path  $\{p(t) : t \in [0, 1]\}$  is continuous in the norm topology.

Set  $p_{i1} = a_i(0)$ ,  $p_{i2} = c_i(0)$  for  $i \geq 1$ . Then

$$p \approx p' \approx p'' \approx p(0) = \sum_{i=1}^{\infty} (p_{i1} + p_{i2}), \quad \text{as desired.} \quad \square$$

**3. Diagonalizing projections in  $\mathcal{A}$  and in  $M_n(\mathcal{A})$ .** Since we will frequently employ the following well-known fact in this paper, we state it as a lemma.

3.1. LEMMA. *If  $\mathcal{A}$  is a  $C^*$ -algebra, and if  $p$  and  $q$  are two mutually orthogonal projections in  $\mathcal{A}$ , then  $p \sim q$  if and only if  $p \approx q$ .*

*Proof.* Let  $v$  be a partial isometry in  $\mathcal{A}$  such that  $vv^* = p$  and  $v^*v = q$ . Define  $w = v + v^* + (1 - p - q)$ . Then  $w$  is a self-adjoint unitary in  $M(\mathcal{A})$  such that  $w^*pw = q$ . It is well known that  $w \in U_0(\mathcal{A})$ . It follows that  $p \approx q$ .  $\square$

3.2. THEOREM. *Suppose that  $\mathcal{A}$  is a  $C^*$ -algebra with FS and  $p_1, p_2, \dots, p_n$  ( $n \geq 1$ ) are mutually orthogonal projections in  $M(\mathcal{A})$  such that  $\sum_{i=1}^n p_i = 1$ . If  $p$  is a projection in  $\mathcal{A}$ , then  $p \approx \sum_{i=1}^n q_i$ , where  $q_i$  is a projection in  $\mathcal{A}$  such that  $q_i \leq p_i$  for  $1 \leq i \leq n$ .*

*Proof.* Recursively using Lemma (2.1) and Lemma (2.2), we reach the conclusion.  $\square$

The following theorem can be regarded as an analogue of the well-known fact: Every projection in  $M_n(\mathbb{C})$  is homotopic to a diagonal projection whose entries are either 1 or 0.

3.3. THEOREM. *Assume that  $\mathcal{A}$  is a  $C^*$ -algebra with FS and  $n \geq 1$ . If  $p$  is a projection in  $M_n(\mathcal{A})$ , then  $p \approx \sum_{i=1}^n p_i \otimes e_{ii}$ , where  $\{p_i\}$  is a set of projections in  $\mathcal{A}$  such that*

$$p_1 \leq p_2 \leq \dots \leq p_{n-1} \leq p_n.$$

*Proof.* It has been recently proved ([5]) that  $\mathcal{A} \otimes \mathcal{K}$  has FS if and only if  $\mathcal{A}$  has FS. By Theorem (3.2) we have  $p \approx \sum_{i=1}^n p'_i \otimes e_{ii}$ , where  $\{p'_i\}$  is a set of projections in  $\mathcal{A}$ . The remaining work is to adjust  $\{p'_i\}$ . We use induction on  $n$ .

If  $n = 2$ ,  $p \approx p'_1 \otimes e_{11} + p'_2 \otimes e_{22}$ , where  $p'_1$  and  $p'_2$  are projections in  $\mathcal{A}$ . Combining Lemma (2.1) and Lemma (2.2), we obtain that  $p'_1 \approx q_1 + q_2$  in  $\mathcal{A}$ , where  $q_1$  and  $q_2$  are two projections in  $\mathcal{A}$  such that  $q_1 \leq p'_2$  and  $q_2 \leq 1 - p'_2$ . It follows that  $p \approx (q_1 + q_2) \otimes e_{11} + p'_2 \otimes e_{22}$ . Working in the hereditary  $C^*$ -subalgebra of  $M_n(\mathcal{A})$  generated by  $(1 - q_1) \otimes e_{11} + 1 \otimes e_{22}$ , we have  $q_2 \otimes e_{11} + p'_2 \otimes e_{22} \approx (p'_2 + q_2) \otimes e_{22}$  by Lemma (3.1). It follows that  $p \approx q_1 \otimes e_{11} + (p'_2 + q_2) \otimes e_{22}$ . Let  $p_1 = q_1$  and  $p_2 = q_2 + p'_2$ .

Assume that  $p \approx \sum_{i=1}^n p'_i \otimes e_{ii}$  such that  $p'_2 \leq p'_3 \leq \dots \leq p'_n$ . Applying Lemma (2.1) and Lemma (2.2) to  $p'_1$ , and  $p'_n$ , we have  $p'_1 \approx q_n + q'_n$ , where  $q_n$  and  $q'_n$  are projections in  $\mathcal{A}$  such that  $q_n \leq 1 - p'_n$  and  $q'_n \leq p'_n$ . By the same argument as in the last paragraph

we have that  $p \approx q'_n \otimes e_{11} + \sum_{i=2}^{n-1} p'_i \otimes e_{ii} + (p'_n + q_n) \otimes e_{nn}$ . Repeating this argument to  $q'_n$  and  $p'_{n-1}$ , we have that  $q'_n \approx q'_{n-1} + q_{n-1}$ , where  $q'_{n-1}$  and  $q_{n-1}$  are two projections in  $\mathcal{A}$  such that  $q_{n-1} \leq p'_n - p'_{n-1}$  and  $q'_{n-1} \leq p'_{n-1}$ . It follows that  $p \approx q'_{n-1} \otimes e_{11} + \sum_{i=2}^{n-2} p'_i \otimes e_{ii} + (p'_{n-1} + q_{n-1}) \otimes e_{n-1, n-1} + (p'_n + q_n) \otimes e_{nn}$ .

Proceeding in this way, we write  $p'_1 = \sum_{i=1}^n q_i$ , where  $\{q_i\}$  is a set of mutually orthogonal projections in  $\mathcal{A}$  such that  $q_i \leq p'_{i+1} - p'_i$  for  $2 \leq i \leq n$  (where  $p'_{n+1} = 1$ ),  $q_1 \leq p'_2$ , and  $p \approx q_1 \otimes e_{11} + \sum_{i=2}^n (p'_i + q_i) \otimes e_{ii}$ . Let  $p_1 = q_1$  and  $p_i = p'_i + q_i$  for  $2 \leq i \leq n$ . Then  $p_1 \leq p_2 \leq \dots \leq p_n$  and  $p \approx \sum_{i=1}^n p_i \otimes e_{ii}$ .  $\square$

M. A. Rieffel raised a question in [18, 7]: If  $\mathcal{A}$  is a unital  $C^*$ -algebra with cancellation, and if two projections  $p$  and  $q$  in  $M_n(\mathcal{A})$  represent the same class in  $K_0(\mathcal{A})$ , are  $p$  and  $q$  in the same path component of projections in  $M_n(\mathcal{A})$ ? Since  $\mathcal{A}$  has cancellation,  $[p] = [q]$  in  $K_0(\mathcal{A})$  if and only if  $p \sim q$  ([3] or [4]). Hence, Rieffel's question is equivalent to whether two Murray-von Neumann equivalent projections in  $M_n(\mathcal{A})$  are in the same path component of projections in  $M_n(\mathcal{A})$ . The following corollary provides a partial answer for his question in the case that  $\mathcal{A}$  has FS:

3.4. COROLLARY. *If  $\mathcal{A}$  is a unital  $C^*$ -algebra with FS and cancellation, and if  $p$  and  $q$  are two projections in  $M_n(\mathcal{A})$ , then  $p \sim q$  if and only if  $p \approx q$ .*

*Proof.* Of course we need only to show that  $p \sim q$  implies  $p \approx q$ . Since  $M_n(\mathcal{A})$  has FS, by Theorem (3.2) we have  $p \approx q_1 + q_2$ , where  $q_1$  is a subprojection of  $q$  and  $q_2$  is a subprojection of  $1 - q$ . Since  $\mathcal{A}$  has cancellation and  $p \sim q$ ,  $q_2 \sim q - q_1$ . Working in  $(1 - q_1)M_n(\mathcal{A})(1 - q_1)$ , by Lemma (3.1) we can find a unitary  $v$  in  $U_0((1 - q_1)M_n(\mathcal{A})(1 - q_1))$  such that  $vq_2v^* = q - q_1$ . Set  $u = q_1 + v$ . Then  $u$  is a unitary in  $U_0(M_n(\mathcal{A}))$  such that  $uq_1 = q_1u$ . Thus  $p \approx q_1 + q_2 \approx q$ .  $\square$

Concerning the unitary orbit of elements in  $M_n(\mathcal{A})$ , we have the following corollary:

3.5. COROLLARY. *If  $\mathcal{A}$  is a  $C^*$ -algebra with FS and  $x$  is a normal element in  $M_n(\mathcal{A})$  with finite spectrum, then there is a unitary element  $u$  in  $U_n^0(\mathcal{A})$  such that  $uxu^* = \sum_{j=1}^n [\sum_{i=1}^n \lambda_i p_{ij}] \otimes e_{jj}$ , where  $\{p_{ij}\}$  is a set of projections in  $\mathcal{A}$  such that  $p_{i_1 j} \perp p_{i_2 j}$  in  $\mathcal{A} \otimes e_{jj}$  if  $i_1 \neq i_2$ .*

*Proof.* By operator calculus we write  $x = \sum_{i=1}^m \lambda_i p_i$ , where  $\{\lambda_i\}$  is a set of complex numbers and  $\{p_i\}$  is a set of mutually orthogonal projections in  $M_n(\mathcal{A})$ . By Theorem (3.2) we can find a unitary element  $u_1$  in  $U_n^0(\mathcal{A})$  such that  $u_1 p_1 u_1^* = \sum_{j=1}^n p_{1j} \otimes e_{jj} (= q_1)$  for some projections  $\{p_{1j}\}$  in  $\mathcal{A}$ . Working in  $(I_n - q_1)M_n(\mathcal{A})(I_n - q_1)$  and repeating the same argument, we can find a unitary  $u_2'$  in  $U_0[(I_n - q_1)M_n(\mathcal{A})(I_n - q_1)]$  such that  $u_2'(u_1 p_2 u_1^*) u_2'^2 = \sum_{j=1}^n p_{2j} \otimes e_{jj}$  for some projections  $\{p_{2j}\}$  in  $\mathcal{A}$ . It follows from  $p_1 p_2 = 0$  that  $p_{1j} p_{2l} = 0$  for  $1 \leq j < l \leq n$ . Set  $u_2 = q_1 + u_2'$ . Then  $u_2$  is a unitary in  $U_n^0(\mathcal{A})$  and  $u_2 u_1 (p_1 + p_2) u_1^* u_2^* = \sum_{i=1}^2 \sum_{j=1}^n p_{ij} \otimes e_{jj} = \sum_{j=1}^n (\sum_{i=1}^2 p_{ij}) \otimes e_{jj}$ .

Proceeding in this way we can find unitary elements  $\{u_i: 1 \leq i \leq m\}$  in  $U_n^0(\mathcal{A})$  such that

$$\begin{aligned} & u_m u_{m-1} \cdots u_1 (p_1 + p_2 + \cdots + p_m) u_1^* \cdots u_{m-1}^* u_m^* \\ &= \sum_{i=1}^m \left[ \sum_{j=1}^n p_{ij} \otimes e_{jj} \right] = \sum_{j=1}^n \left[ \sum_{i=1}^m p_{ij} \right] \otimes e_{jj}. \end{aligned}$$

Let  $u = u_m \cdots u_2 u_1$ . It is obvious that  $u$  is in  $U_n^0(\mathcal{A})$  and

$$u x u^* = \sum_{j=1}^n \left[ \sum_{i=1}^m \lambda_i p_{ij} \right] \otimes e_{jj}. \quad \square$$

It is well known that the unitary orbit of a self-adjoint matrix in  $M_n(\mathbb{C})$  contains a diagonal self-adjoint matrix. If  $\mathbb{C}$  is replaced by a unital  $C^*$ -algebra with FS, we have the following weaker analogue:

**3.6. COROLLARY.** *If  $\mathcal{A}$  is a  $C^*$ -algebra with FS and  $x$  is a self-adjoint element in  $M_n(\mathcal{A})$  ( $n \geq 1$ ), then for any  $\varepsilon > 0$  there exist a unitary element  $u$  in  $U_n^0(\mathcal{A})$  and elements  $a_i$  in  $\mathcal{A}$  with finite spectra such that*

$$\left\| u x u^* - \sum_{i=1}^n a_i \otimes e_{ii} \right\| < \varepsilon.$$

*Proof.* Since  $M_n(\mathcal{A})$  has FS, there is a self-adjoint element  $h$  in  $M_n(\mathcal{A})$  with finite spectrum such that  $\|x - h\| < \varepsilon$ . By the same argument as in the proof of Corollary (3.5) we can find a unitary element  $u$  in  $U_n^0(\mathcal{A})$  such that  $u h u^* = \sum_{i=1}^n a_i \otimes e_{ii}$ , where  $\{a_i\}$  is a set of self-adjoint elements in  $\mathcal{A}$  with finite spectra. Therefore,

$$\left\| u x u^* - \sum_{i=1}^n a_i \otimes e_{ii} \right\| = \|x - h\| < \varepsilon. \quad \square$$

3.7. **REMARK.** Concerning the computation of  $K_0$ -groups of a  $C^*$ -algebra, M. A. Rieffel raised a question in [18, 8]: What is the smallest  $n$  such that the projections in  $M_n(\mathcal{A})$  generate  $K_0(\mathcal{A})$ ? Theorem (3.3) provides a partial answer for his question for the class of  $C^*$ -algebras with FS (actually it has been given in [22] although it was not mentioned there). In fact, if  $\mathcal{A}$  is a  $C^*$ -algebra with FS, then the smallest such an integer is  $n = 1$ ; in other words,  $K_0(\mathcal{A})$  is generated by the set of Murray-von Neumann equivalence classes of projections in  $\mathcal{A}$ .

**4. Diagonalizing projections in  $M(\mathcal{A})$ .**

4.1. **THEOREM.** *Assume that  $\mathcal{A}$  is a  $\sigma$ -unital  $C^*$ -algebra with FS and  $\{e_n\}$  is a fixed increasing sequential approximate identity consisting of projections. If  $p$  is a projection in  $M(\mathcal{A})$ , then the following hold:*

(i) *There is a unitary  $u$  in  $M(\mathcal{A})$  connected to the identity by a path of unitaries, where the path is continuous in the strict topology, such that  $upu^* = \sum_{i=1}^{\infty} p_i$ , where  $p_i \leq e_i$  for  $i \geq 1$ ; in other words, each strict path component of projections in  $M(\mathcal{A})$  contains a diagonal projection with respect to  $\{e_n\}$ .*

(ii) *There exist a unitary  $v$  in  $U_0(M(\mathcal{A}))$  and a subsequence  $\{e_{m_i}\}$  of  $\{e_n\}$  such that  $vpv^* = \sum_{i=1}^{\infty} p'_i$ , where  $p'_i$  is a projection of  $(e_{m_i} - e_{m_{i-1}})\mathcal{A}(e_{m_i} - e_{m_{i-1}})$  for  $i \geq 1$ ; in other words, each norm path component of projections in  $M(\mathcal{A})$  contains a block-diagonal projection with respect to  $\{e_n\}$ .*

Before proving this theorem, we state the following corollary, which can be regarded as an analogue of the well known fact that a projection on a separable Hilbert space is unitarily equivalent to a diagonal projection whose diagonal entries are either 1 or 0.

4.2. **COROLLARY.** *If  $\mathcal{A}$  is a  $\sigma$ -unital  $C^*$ -algebra with FS, and if  $p$  is a projection in  $L(\mathcal{H}_{\mathcal{A}})$ , then there is a unitary  $u$  in  $L(\mathcal{H}_{\mathcal{A}})$  such that  $upu^* = \sum_{i=1}^{\infty} p_i \otimes e_{ii}$ , where  $\{p_i\}$  is a sequence of projections in  $\mathcal{A}$ . Consequently,  $p \approx \sum_{i=1}^{\infty} p_i \otimes e_{ii}$  (by [8]).*

*Proof of Theorem (4.1).*

*Case 1.* If  $p$  is a projection of  $\mathcal{A}$ .

Choose  $n \geq 1$  large enough such that  $\|p(1 - e_n)p\|$  is small. Then Lemma (2.1) of [10] applies. We find a unitary  $u$  in  $U_0(M(\mathcal{A}))$  such



that  $upu^* \leq e_n$ . By Theorem (3.2),  $p \approx upu^* \approx \sum_{i=1}^n p_i$ , where  $p_i \leq e_i - e_{i-1}$  for  $1 \leq i \leq n$ . Hence both (i) and (ii) hold.

*Case 2.* If  $p$  is a projection in  $M(\mathcal{A}) \setminus \mathcal{A}$ .

Let  $\{q_n\}$  and  $\{q'_n\}$  be two increasing sequences of projections in  $\mathcal{A}$  such that  $q_n \nearrow p$  and  $q'_n \nearrow 1 - p$  in the strict topology. Set  $f_n = q_n + q'_n$ . Then  $\{f_n\}$  is an increasing sequential approximate identity of  $\mathcal{A}$  consisting of projections. By the argument of [10, 2.4] we find a unitary element  $v$  in  $U_0(M(\mathcal{A}))$  such that

$$e_{m_1} \leq v f_{n_1} v^* \leq e_{m_2} \leq v f_{n_2} v^* \leq e_{m_3} \leq \cdots,$$

where  $\{n_i\}$  and  $\{m_i\}$  are increasing sequences. It is clear that

$$v p v^* = \sum_{i=1}^{\infty} v p (f_{n_i} - f_{n_{i-1}}) v^* = \sum_{i=1}^{\infty} v (q_{n_i} - q_{n_{i-1}}) v^*$$

and  $v (q_{n_i} - q_{n_{i-1}}) v^* \leq v (f_{n_i} - f_{n_{i-1}}) v^* = (v f_{n_i} v^* - e_{m_i}) + (e_{m_i} - v f_{n_{i-1}} v^*)$  (where  $q_{n_0} = 0$  and  $f_{n_0} = 0$ ).

We first prove (i). By Theorem (3.2) we find a unitary  $w_i$  in  $U_0(\mathcal{A}_i)$ , where  $\mathcal{A}_i = [v (f_{n_i} - f_{n_{i-1}}) v^*] \mathcal{A} [v (f_{n_i} - f_{n_{i-1}}) v^*]$ , such that  $w_i v (q_{n_i} - q_{n_{i-1}}) v^* w_i^* = r_i + r'_i$ , where  $r_i \leq v f_{n_i} v^* - e_{m_i}$  and  $r'_i \leq e_{m_i} - v f_{n_{i-1}} v^*$ . Set  $w = \sum_{i=1}^{\infty} w_i$ . Then  $w$  is a unitary in  $M(\mathcal{A})$  such that  $w$  is path connected (in the strict topology) to the identity and

$$w v p v^* w^* = \sum_{i=1}^{\infty} (r_i + r'_i) \leq \sum_{i=1}^{\infty} [(v f_{n_i} v^* - e_{m_i}) + (e_{m_i} - v f_{n_{i-1}} v^*)].$$

Since  $r_i + r'_{i+1} \leq e_{m_{i+1}} - e_{m_i}$ , we can apply Theorem (3.2) again to get a unitary  $w'_i$  in  $U_0(\mathcal{B}_i)$ , where  $\mathcal{B}_i = (e_{m_{i+1}} - e_{m_i}) M(\mathcal{A}) (e_{m_{i+1}} - e_{m_i})$  such that

$$w'_i (r_i + r'_{i+1}) w_i'^* = \sum_{j=m_i+1}^{m_{i+1}} p_j,$$

where  $p_j$  is in  $(e_j - e_{j-1}) \mathcal{A} (e_j - e_{j-1})$  for  $m_i < j \leq m_{i+1}$ .

Define  $w' = \sum_{i=1}^{\infty} w'_i$ . Then  $w'$  is a unitary in  $M(\mathcal{A})$  such that  $w'$  is path connected in the strict topology to the identity and  $w' w v p v^* w^* w'^* = \sum_{i=1}^{\infty} p_i$ . Set  $u = w' w v$ , as (i) desired.

To prove (ii), we start with  $p \approx v p v^* = \sum_{i=1}^{\infty} v (q_{n_i} - q_{n_{i-1}}) v^*$ , where  $s_i = v (q_{n_i} - q_{n_{i-1}}) v^* \leq v (f_{n_i} - f_{n_{i-1}}) v^* = (v f_{n_i} v^* - e_{m_i}) + (e_{m_i} - v f_{n_{i-1}} v^*)$  for each  $1 \geq 1$  and  $q_{n_0} = 0$  and  $f_{n_0} = 0$ . With respect to

$$v (f_{n_i} - f_{n_{i-1}}) v^* = (v f_{n_i} v^* - e_{m_i}) + (e_{m_i} - v f_{n_{i-1}} v^*),$$

we can write

$$s_i = \begin{pmatrix} a_i & b_i \\ b_i^* & c_i \end{pmatrix} \quad \text{for } i \geq 1.$$

By Lemma (2.3),

$$vpv^* \approx \sum_{i=1}^{\infty} (s_i + s'_i),$$

where  $s_i$  is a projection in  $(vf_{n_i}v^* - e_{m_i})\mathcal{A}(vf_{n_i}v^* - e_{m_i})$  and  $s'_i$  is a projection in  $(e_{m_i} - vf_{n_{i-1}}v^*)\mathcal{A}(e_{m_i} - vf_{n_{i-1}}v^*)$ . Let  $p'_i = s'_i + s_{i-1}$  for  $i \geq 1$ , where  $s_0 = 0$ , as desired.  $\square$

The following theorem asserts that the unitary orbit of each self-adjoint element of  $M(\mathcal{A})$  contains an “almost” diagonal form, which is a natural analogue of the classical Weyl-von Neumann theorem.

**4.3. THEOREM.** *Assume that  $\mathcal{A}$  is a  $\sigma$ -unital  $C^*$ -algebra with FS and also  $M(\mathcal{A})$  has FS. If  $\{e_n\}$  is a fixed increasing approximate identity of  $\mathcal{A}$  consisting of projections and  $h$  is a self-adjoint element in  $M(\mathcal{A})$ , then there exist a unitary  $u$  in  $M(\mathcal{A})$ , an element  $a$  in  $\mathcal{A}$ , some mutually orthogonal subprojection  $p_{ij}$  ( $1 \leq j \leq n_i$ ) of  $e_i - e_{i-1}$  for each  $i \geq 1$  and a real bounded scalar sequence  $\{\lambda_{ij}\}$  such that*

$$\sum_{ij} p_{ij} = 1, \quad \text{and} \quad uhu^* = \sum_{i=1}^{\infty} \left[ \sum_{j=1}^{l_i} \lambda_{ij} p_{ij} \right] + a,$$

where  $a$  can be chosen such that  $\|a\|$  is arbitrarily small. Moreover,  $u$  is connected to the identity by a path of unitaries in  $M(\mathcal{A})$ , where the path is continuous in the strict topology.

**4.4. COROLLARY.** *If  $\mathcal{A}$  is a unital  $C^*$ -algebra with FS and  $L(\mathcal{K}_{\mathcal{A}})$  has FS also, then for any self-adjoint element  $h$  in  $L(\mathcal{K}_{\mathcal{A}})$  there are a unitary  $u$  in  $L(\mathcal{K}_{\mathcal{A}})$ , an element  $a$  in  $K(\mathcal{K}_{\mathcal{A}})$ , a sequence of projections  $\{p_{ij}\}$  in  $\mathcal{A}$  and a real bounded scalar sequence  $\{\lambda_{ij}\}$  such that*

$$\sum_{i=1}^{\infty} \left( \sum_{j=1}^{l_i} p_{ij} \right) \otimes e_{ii} = 1 \quad \text{and} \quad uhu^* = \sum_{i=1}^{\infty} \left[ \sum_{j=1}^{l_i} \lambda_{ij} p_{ij} \right] \otimes e_{ii} + a,$$

where  $p_{ij}$  ( $i \leq j \leq l_i$ ) are mutually orthogonal for each fixed  $i$ , and  $a$  can be chosen with an arbitrarily small norm.

*Proof of Theorem (4.3).* Since  $\mathcal{A}$  is  $\sigma$ -unital and both  $\mathcal{A}$  and  $M(\mathcal{A})$  have FS, by [21, 3.1] we can find mutually orthogonal projections  $p_i$  in  $\mathcal{A}$  with  $\sum_{i=1}^{\infty} p_i = 1$ , a real bounded scalar sequence

$\{\lambda_i\}$  and an element  $b$  in  $\mathcal{A}$  with arbitrarily small norm such that  $h = \sum_{i=1}^{\infty} \lambda_i p_i + b$ . Let  $f_n = \sum_{i=1}^n p_i$ . Then  $\{f_n\}$  is an increasing approximate identity consisting of projections. By the same argument as in [10, 2.4] we can find a unitary  $v$  in  $M(\mathcal{A})$  such that  $v \sim 1$ , and

$$e_{m_1} \leq v f_{n_1} v^* \leq e_{m_2} \leq v f_{n_2} v^* \leq e_{m_3} \leq \cdots,$$

where  $\{n_i\}$  and  $\{m_i\}$  are increasing sequences. Since

$$v \left( \sum_{j=n_{i-1}+1}^{n_i} p_j \right) v^* = (v f_{n_i} v^* - e_{m_i}) + (e_{m_i} - v f_{n_{i-1}} v^*)$$

(where  $f_{n_0} = 0$ ), by the same arguments in the proof of Theorem (4.1) we can find a unitary  $w_i$  of  $[v(f_{n_i} - f_{n_{i-1}})v^*]M(\mathcal{A})[v(f_{n_i} - f_{n_{i-1}})v^*]$  path connected to the identity  $v(f_{n_i} - f_{n_{i-1}})v^*$  such that

$$w_i v \left( \sum_{j=n_{i-1}+1}^{n_i} p_j \right) v^* w_i^* = \sum_{j=n_{i-1}+1}^{n_i} w_i v p'_j v^* w_i^* + \sum_{j=n_{i-1}+1}^{n_i} w_i v p''_j v^* w_i^*,$$

where

$$p'_i + p''_i = p_i, \quad r_i = \sum_{j=n_{i-1}+1}^{n_i} w_i v p'_j v^* w_i^* = v f_{n_i} v^* - e_{m_i} \quad \text{and}$$

$$r'_i = \sum_{j=n_{i-1}+1}^{n_i} w_i v p''_j v^* w_i^* = e_{m_i} - v f_{n_{i-1}} v^*$$

Let  $w = \sum_{i=1}^{\infty} w_i$ . Then  $w$  is a unitary in  $M(\mathcal{A})$  such that  $w$  is connected to the identity by a path of unitaries, where the path is continuous in the strict topology. Since  $r_j + r'_{j+1} \leq e_{m_{j+1}} - e_{m_j}$ , by the same arguments in the proof of Theorem (4.1), we obtain a unitary  $w'_j$  of  $(e_{m_{j+1}} - e_{m_j})M(\mathcal{A})(e_{m_{j+1}} - e_{m_j})$  path connected to the identity  $e_{m_{j+1}} - e_{m_j}$  such that

$$w'_j (r_j + r'_{j+1}) w_j^* = \sum_{i=m_j+1}^{m_{j+1}} \sum_{j=1}^{l_i} p_{ij},$$

where  $\{p_{ij} : 1 \leq j \leq l_i\}$  is a set of mutually orthogonal subprojections in  $(e_i - e_{i-1})\mathcal{A}(e_i - e_{i-1})$ .

Define  $w' = \sum_{i=1}^{\infty} w'_i$ . Then  $w'$  is a unitary in  $M(\mathcal{A})$  such that  $w'$  is path connected to the identity, where the path is continuous in the strict topology. Set  $u = w' w v$ . Then  $u$  is path connected to the

identity, where the path is continuous in the strict topology. It is easily verified that  $uhu^*$  has a desired form. (Notice that  $\{\lambda_i\}$  is equal to  $\{\lambda_{ij}\}$  as sets.)  $\square$

4.5. REMARKS. (i) The condition “ $M(\mathcal{A})$  has FS” in the hypotheses of Theorem (4.3) and Corollary (4.4) has been studied in [5], [21] and [24]. Actually many multiplier algebras have the FS property.

(ii) Several applications of the results in this note have been given in the author’s subsequent papers [24, Part II, III, IV].

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