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# REDUCTION OF TOPOLOGICAL STABLE RANK IN INDUCTIVE LIMITS OF C\*-ALGEBRAS

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## REDUCTION OF TOPOLOGICAL STABLE RANK IN INDUCTIVE LIMITS OF C\*-ALGEBRAS

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We consider inductive limits A of sequences  $A_1 \rightarrow A_2 \rightarrow \cdots$  of finite direct sums of  $C^*$ -algebras of continuous functions from compact Hausdorff spaces into full matrix algebras. We prove that Ahas topological stable rank (tsr) one provided that A is simple and the sequence of the dimensions of the spectra of  $A_i$  is bounded. For unital A, tsr(A) = 1 means that the set of invertible elements is dense in A. If A is infinite dimensional, then the simplicity of Aimplies that the sizes of the involved matrices tend to infinity, so by general arguments one gets  $tsr(A_i) \leq 2$  for large enough i whence  $tsr(A) \leq 2$ . The reduction of tsr from two to one requires arguments which are strongly related to this special class of  $C^*$ -algebras.

The problem of reduction of real rank (see [6]) for these algebras was recently studied in [2] in connection with some interesting features revealed in several papers ([3], [1], [15], [5], [12], [11]). The reduction of tsr and real rank for other classes of  $C^*$ -algebras was studied in [22], [21], [8], [24], [17], [25].

The paper consists of three sections:

- 1. Preliminaries and Notation
- 2. Local aspects of the connecting homomorphisms

3. The Main Result.

1.

1.1. For a unital  $C^*$ -algebra A and a finitely generated projective A-module E, we denote by  $\operatorname{End}_A(E)$  the algebra of A-linear endomorphisms of E and by  $\operatorname{GL}_A(E)$  the group of units of  $\operatorname{End}_A(E)$ . For  $E = A^n$  we shall write  $\operatorname{GL}(n, A)$  for  $\operatorname{GL}_A(A^n)$  and  $\operatorname{GL}^0(n, A)$  for the connected component of 1. Let U(A) denote the unitary group of A and  $U(n) := U(\mathbb{C}^n)$ . A selfadjoint idempotent element of a  $C^*$ -algebra will be simply called projection.

Recall some definitions from [23]. For a unital  $C^*$ -algebra A and a natural number n let  $Lg_n(A)$  denote the set of n-tuples of elements of A which generate A as a left ideal. The topological stable rank of A is the least n (if it does not exist it will be taken by definition

to be  $\infty$ ) such that  $Lg_n(A)$  is dense in  $A^n$ . One denotes by csr(A) the least integer n such that  $GL^0(m, A)$  acts transitively by right multiplication on  $Lg_m(A)$  for any  $m \ge n$ . (If no such integer exists one takes  $csr(A) = \infty$ .) For nonunital A one takes  $tsr(A) := tsr(\widetilde{A})$  and  $csr(A) := csr(\widetilde{A})$  where  $\widetilde{A}$  is the algebra obtained from A by adjoining a unit.

For a compact Hausdorff space X of finite covering dimension one has:

$$\operatorname{tsr}(C(X)) = \left[\frac{\dim X}{2}\right] + 1,$$
$$\operatorname{csr}(C(X)) \le \left[\frac{\dim X + 1}{2}\right] + 1$$

(see [23] and [18]).

1.2. We consider  $C^*$ -inductive limits

$$A=\underline{\lim}\left(A_{i},\Phi_{ij}\right).$$

The  $A_i$ 's are  $C^*$ -algebras of the form

$$A_i = \bigoplus_{t=1}^{s(i)} C(X_{it}) \otimes M_{n(i,t)}$$

where  $X_{it}$  is a Hausdorff compact space, s(i), n(i, t) are positive integers and  $M_{n(i,t)}$  is the C\*-algebra of complex  $n(i, t) \times n(i, t)$ matrices. The \*-homomorphisms  $\Phi_{ij}: A_i \to A_j$  are not assumed to be unital or injective. We denote by  $\Phi_i$  the natural map  $A_i \to A$  and by  $X_i = \bigsqcup_{t=1}^{s(i)} X_{it}$  the spectrum of  $A_i$ .

We begin with a brief discussion on the \*-homomorphisms between certain homogeneous  $C^*$ -algebras.

1.3. For given  $C^*$ -algebras C, D we denote by Hom(C, D) the space of all \*-homomorphisms from C to D with the point-norm topology. Hom<sup>1</sup>(C, D) stands for the subspace of unital \*-homomorphisms. We shall identify

Hom $(C(X), C(Y) \otimes M_n)$  with Map $(Y, \text{Hom}(C(X), M_n))$ 

where for topological spaces Y, Z, Map(Y, Z) denotes the space of continuous functions from Y to Z endowed with the compact-open topology.

Each  $\psi \in \text{Hom}(C(X), M_n)$  has the form

$$\Psi(f) = \sum f(x_r)p_r, \qquad f \in C(X),$$

for suitable points  $x_r \in X$  and mutually orthogonal projections  $p_r$  in  $M_n$ . Let  $L_{\psi}$  be the set of all  $x_r$ 's that appear in the above formula. More generally, each  $\Phi \in \text{Hom}(C(X), C(Y) \otimes M_n)$  is identified with a map  $\Phi: Y \to \text{Hom}(C(X), M_m)$  and we define for each  $y \in Y$ ,  $L_{\Phi}(y) := L_{\Phi(y)}$ . In the same way for given

$$\Phi \in \operatorname{Hom}\left(\bigoplus C(X_{\alpha}) \otimes M_{n(\alpha)}, \bigoplus C(Y_{\beta}) \otimes M_{m(\beta)}\right)$$

and  $y \in Y$  we define

$$L_{\Phi}(y) = \bigsqcup_{\alpha} L_{\Phi_{\alpha,\beta}}(y)$$

where  $\Phi_{\alpha,\beta}$  denotes the component of  $\Phi$  acting from  $C(X_{\alpha}) \subset C(X_{\alpha}) \otimes M_{n(\alpha)}$  to  $C(Y_{\beta}) \otimes M_{m(\beta)}$ .

Note that  $\Phi(f)(y) = \Phi(g)(y)$  whenever f = g on  $L_{\Phi}(y)$ .

The map  $y \mapsto L_{\Phi}(y)$  has useful semicontinuity properties:

(a) if  $L_{\Phi}(y)$  is contained in some open set U then  $L_{\Phi}(z) \subset U$  for any z in some neighborhood of y,

(b) the set  $\{y: L_{\Phi}(y) \cap U \neq \emptyset\}$  is open for each open set U (see [9] and [19]).

2. We begin by giving two criteria of simplicity for  $C^*$ -algebras A as above, which extend the corresponding results for AF-algebras [4] and Bunce-Deddens algebras [7].

2.1. PROPOSITION. Let  $A = \underset{i \neq j}{\lim} (A_i, \Phi_{ij})$  be as in 1.1 and assume that the connecting homomorphisms  $\Phi_{ij}$  are injective. Then the following conditions are equivalent:

- (i) A is simple.
- (ii) For any positive integer *i* and any open nonempty subset *U* of  $X_i$  there is a  $j_0$  such that  $L_{\Phi_{ij}}(x) \cap U \neq \emptyset$  for any  $j \ge j_0$  and  $x \in X_j$ .
- (iii) For any nonzero  $a \in A_i$  there is a  $j_0$  such that

$$\Phi_{ij}(a)(x) \neq 0$$
 for each  $j \geq j_0$  and  $x \in X_j$ .

*Proof.* (ii)  $\Leftrightarrow$  (iii). This is clear since for given  $a \in A_i$  one has

 $\Phi_{ij}(a)(x) = 0$  if and only if a = 0 on  $L_{\Phi_{ij}}(x)$ .

(i)  $\Rightarrow$  (ii). Assume that (ii) does not hold for some *i* and some open nonempty  $U \subsetneq X_i$ . Passing to a subsequence, if necessary, we may assume that for any  $j \ge i$  the set  $F_j = \{x \in X_j; L_{\Phi_{ii}}(x) \cap U = \emptyset\}$ 

is nonempty and  $F_j \neq X_j$ . By the last part of 1.3  $F_j$  is closed. Therefore the family  $(J_j)_{j>i}$  where

$$J_j = \{a \in A_j \colon a = 0 \text{ on } F_j\}$$

defines a closed two sided ideal J in A. (Note that  $\Phi_{jk}(J_j) \subset J_k$ since  $L_{\Phi_{ij}}(y) \subset L_{\Phi_{ik}}(x)$  for any  $y \in L_{\Phi_{jk}}(x)$ .) Also  $J \neq A$  since if  $e_i$  is the unit of  $A_i$  then  $\operatorname{dist}(\Phi_{ij}(e_i), J_j) = 1$  for any  $j \ge i$  and so  $e_i \notin J$ . The existence of J contradicts (i).

(iii)  $\Rightarrow$  (i). Let J be a two-sided closed nonzero ideal of A. One has  $J = \bigcup (J \cap A_i)$  (see [4]). We shall prove that  $J \cap A_j = A_j$  for large enough j. Take  $a \in J \cap A_i$ ,  $a \neq 0$ . By (iii) there is a  $j_0$  such that  $\Phi_{ij}(a)(x) \neq 0$  for all  $j \ge j_0$  and  $x \in X_j$ . Since  $\Phi_{ij}(J \cap A_i) \subset J \cap A_j$ we find that  $\Phi_{ij}(a) \in J \cap A_j$  for  $j \ge j_0$ . Since  $\Phi_{ij}(a)$  does not vanish at any point of  $X_j$  this forces  $J \cap A_j = A_j$ .

Let  $A = \varinjlim (A_i, \Phi_{ij})$  be as above. For a noninvertible element  $a \in A_i$  there are  $x_0 \in X_i$ ,  $u \in U(A_i)$  and a projection  $p \in A_i$  (both u and p "scalars") such that  $ua(x_0)p = pua(x_0) = 0$ .

For simple A the following two lemmas enable us to obtain something similar for  $\Phi_{ij}(a)$  (for some  $j \ge i$ ) locally around any point of  $X_j$ , after a small perturbation of a.

2.2. LEMMA. Let  $\Phi \in \text{Hom}\left(\bigoplus_{i=1}^{s} C(X_i) \otimes M_{n(i)}, C(Y) \otimes M_m\right)$ , let  $k \geq 1$ , let U be an open subset of  $X_1$  and let  $y \in Y$  such that  $L_{\Phi}(y) \cap U$  has at least k points. Then there is  $p_W \in C(Y) \otimes M_m$  such that  $p_W(z)$  is a projection of rank greater than or equal to k for all z in some neighborhood W of y and

$$\Phi(a)p_W = p_W \Phi(a)$$

for any  $a \in \bigoplus_{i=1}^{s} C(X_i) \oplus M_{n(i)}$  satisfying

$$a(x)e_{11} = e_{11}a(x) = 0$$

for all  $x \in U$ . (Here  $(e_{ij})$  stands for a system of matrix units of  $M_{n(1)}$ .)

*Proof.* Take  $U_1$ ,  $U_2$  open subsets of  $X = \bigcup_{i=1}^{s} X_i$  having disjoint closures such that

 $L_{\Phi}(y) \cap U \subset U_1 \subset U$ ,  $L_{\Phi}(y) \cap (X_1 - U) \subset U_2$ .

Using the continuity of  $L_{\Phi}$  (see 1.3) we find a neighborhood W of y such that  $L_{\Phi}(z) \subset U_1 \cup U_2$  for all  $z \in W$ . Take a continuous map  $g: X_1 \to [0, 1]$  such that g = 1 on  $U_1$  and g = 0 on  $U_2$  and define  $p_W = \Phi(g \otimes E_{11})$ . If  $z \in W$  then  $p_W(z) = p_W^2(z) = p_W^*(z)$ since  $g = g^2 = g^*$  on  $L_{\Phi}(W)$ . One has rank  $p_W(z) \ge k$  since  $L_{\Phi}(y) \cap U_1$  has at least k elements and g = 1 on  $U_1$ . Finally if  $a(x)e_{11} = e_{11}a(x) = 0$  for all  $x \in U$  then  $(g \otimes e_{11})a = a(g \otimes e_{11}) = 0$ . This implies  $p_W \Phi(a) = \Phi(a)p_W = 0$ .

2.3. LEMMA. Let  $C = C(X) \otimes M_n$  and let  $a \in C$  such that  $\det a(x) = 0$  for some  $x \in X$ . Then for any  $\varepsilon > 0$  there exist u,  $v \in GL(C)$  and  $b \in C$  such that

 $||uav - b|| < \varepsilon$  and  $be_{11} = e_{11}b = 0$  on a neighbourhood of x.

*Proof.* Take  $u, v \in Gl(n, \mathbb{C})$  such that the matrix ua(x)v has only zero entries on the first row and on the first column. Now b is easily found since continuous functions vanishing at x can be uniformly approximated by continuous functions vanishing on a neighbourhood of x.

3. The next step toward the main result is based on the following theorem which follows from Michael's paper [16].

3.1. THEOREM. Let X be a Hausdorff compact space of dimension d, let T be a complete metric space and let Y be a map from X to the family of the nonempty closed subsets of T.

### Suppose that

(a) Y is lower semicontinuous, i.e. for each open subset U of T the set  $\{x \in X : Y(x) \cap U \neq \emptyset\}$  is open;

(b) Each Y(x) is (d + 1)-connected;

(c) There is an  $\varepsilon > 0$  such that for any  $0 < r < \varepsilon$  and  $x \in X$  the intersection of Y(x) with any closed ball of radius r in T is a contractible space.

Then there is a continuous map  $\sigma: X \to T$  such that  $\sigma(x) \in Y(x)$ for all  $x \in X$ .

*Proof.* The theorem follows from Theorem 1.2 in [16] using the comments from the second part of the same paper.

3.2. PROPOSITION. Let X be a Hausdorff compact space, let  $k' \ge k \ge 1$  integers, let  $\mathcal{W}$  be an open cover of X and assume that for each  $W \in \mathcal{W}$  there is given a continuous projection valued map  $p_W : W \to M_n$  such that rank  $p_W(x) \ge k'$  for  $x \in W$ . If  $\dim(X) \le 2(k'-k)-1$ 

then there is a continuous projection valued map  $p: X \to M_n$  such that for  $x \in X$ :

rank 
$$p(x) \ge k$$
,  
 $p(x) \le \bigvee \{ p_W(x) \colon W \in \mathscr{W}, x \in W \}.$ 

*Proof.* For  $x \in X$  define  $\mathscr{W}(x) = \{W \in \mathscr{W} : x \in W\}$  and  $H(x) = \text{span}\{p_W(x)\mathbb{C}^n : W \in \mathscr{W}(x)\}.$ 

For any linear subspace H of  $\mathbb{C}^n$  let V(H, k),  $k \leq \dim(H)$ , denote the Stiefel manifold of k-orthogonal frames in H (see [14]). For any  $x \in X$  define  $Y(x) = V(H(x), k) \subset V(\mathbb{C}^n; k)$ . We check that Y satisfies the conditions of Theorem 3.1.

(a) The lower semicontinuity of Y follows from the lower semicontinuity of the map  $x \mapsto H(x) \subset \mathbb{C}^n$  which is almost obvious having in mind the definition of H(x).

(b) V(H, k) is  $2(\dim(H) - k)$ -connected (see [14]). Therefore V(H(x), k) is 2(k' - k)-connected since dim  $H(x) \ge k'$ .

(c) For any  $m, n \ge m \ge k$ , there is  $\varepsilon_m > 0$  such that any closed ball of radius at most  $\varepsilon_m$  in  $V(\mathbb{C}^m, k)$  is contractible. (We consider  $V(\mathbb{C}^m, k)$  with the metric induced by the restriction of a U(n)-invariant Riemann structure on  $V(\mathbb{C}^n, k)$ .) In this situation  $V(\mathbb{C}^m, k)$  is a totally geodesic submanifold of  $V(\mathbb{C}^n, k)$  and the same is true for any V(H, k) with  $H \subset \mathbb{C}^n$ . Therefore the induced metric form from  $V(\mathbb{C}^n, k)$  coincides with the metric given by the induced Riemann structure of V(H, k) (see [13]). Having also the U(n)-invariance of this metric one can take

$$\varepsilon = \min\{\varepsilon_m \colon k \le m \le n\}.$$

We also need the following approximation results:

#### 3.3. LEMMA. Let B be a unital $C^*$ -algebra and let

 $k \geq \max(\operatorname{tsr}(B), \operatorname{csr}(B)).$ 

Then for any positive integer m and any  $a \in M_m(B)$ , the matrix  $\begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix}$  belongs to the closure of GL(m + k, B).

*Proof.* If  $m \le k$  one can take

$$b_{\varepsilon} = \begin{pmatrix} a & \varepsilon \mathbf{1}_{m} & 0\\ \varepsilon \mathbf{1}_{m} & 0_{m} & 0\\ 0 & 0 & \varepsilon \mathbf{1}_{k-m} \end{pmatrix} \in \mathrm{GL}(m+k, B)$$

and  $b_{\varepsilon} \to a$  as  $\varepsilon \to 0$ .

For  $m \ge k$  we proceed by induction. Assume the statement holds for a fixed  $m \ge k$  and let a  $a \in M_{m+1}(B)$ . Since

 $m \geq \max(\operatorname{tsr}(B), \operatorname{csr}(B))$ 

it follows from [23] that for each  $\varepsilon > 0$  there are  $t \in GL(m+1, B)$ ,  $a_1 \in M_m(B)$  and  $b \in B^m$  such that

$$\left\|a-\begin{pmatrix}1&0\\b&a_1\end{pmatrix}\cdot t\right\|<\varepsilon.$$

By the induction hypothesis one can approximate

$$\begin{pmatrix} 1 & 0 & 0 \\ b & a_1 & 0 \\ 0 & 0 & 0_k \end{pmatrix}$$

with an invertible matrix of the form

$$\left(\begin{array}{ccc}
1 & 0 & 0\\
b & c\\
0 & c
\end{array}\right)$$

Hence  $\begin{pmatrix} a & 0 \\ 0 & 0_{L} \end{pmatrix}$  will be approximated by

$$\begin{pmatrix} 1 & 0 & 0 \\ b & c \end{pmatrix} \cdot \begin{pmatrix} t & 0 \\ 0 & 1_k \end{pmatrix} .$$

3.4. REMARK. Suppose B, k are as above. Let F, G, H be finitely generated projective B-modules and put  $E = F \oplus G \oplus H$ . If F, G are free and  $G \simeq B^k$ , then a slight modification of the above arguments shows that  $\operatorname{End}_B(F) \subset \overline{\operatorname{GL}_B(E)}$ .

In the proof of the main result we shall invoke the following straightforward approximation device:

3.5. LEMMA. Let  $B = \overline{\bigcup B_i}$  where the  $B_i$ 's form an increasing sequence of unital C\*-algebras. Let  $e_i$  be the unit of  $B_i$ . If for any  $a \in B_i$  and  $\varepsilon > 0$  there is  $j \ge i$  and  $b \in GL(e_iB_je_i)$  such that  $||a - b|| < \varepsilon$  then tsr(B) = 1.

*Proof.* Let  $\widetilde{B} = B + \mathbb{C} \cdot 1$  be the algebra obtained by adjoining a unit to B. Let  $x + \lambda 1 \in \widetilde{B}$  with  $x \in B_i$ . By hypothesis there is  $j \ge i$  and  $y \in \operatorname{GL}(e_i B_j e_i) \subset \operatorname{GL}(e_i B e_i)$  such that  $||x + \lambda e_i - y||$  is small. Choosing a non zero scalar  $\lambda'$  close to  $\lambda$ , the element  $y + \lambda'(1 - e_i)$  is invertible and approximates  $x + \lambda \cdot 1$ . Therefore  $\operatorname{GL}(\widetilde{B})$  is dense in  $\widetilde{B}$  which means  $\operatorname{tsr}(B) = 1$ .

3.6. THEOREM. Let  $A = \varinjlim (A_i, \Phi_{ij})$  where  $A_i = \bigoplus_{t=1}^{s(i)} C(X_{it}) \otimes M_{n(i,t)}$ , each  $X_{it}$  being a Hausdorff compact space such that  $d = \sup \dim(X_{it}) < \infty$ .

If A is simple then tsr(A) = 1.

**Proof.** Replacing each  $A_i$  by its image in A one may suppose that all the  $\Phi_{ij}$ 's are injective. We shall verify the conditions from Lemma 3.5. Let  $a \in A_i$  be a noninvertible element and put  $Z = \{x \in X_i: \det a(x) = 0\}$ . If Z consists only of isolated points of  $X_i$  then it is obvious that  $a \in \overline{\operatorname{GL}(A_i)}$ . Thus we may assume that there is  $x \in Z$  such that each neighbourhood of x is an infinite set.

Moreover by Lemma 2.3 we may suppose that  $ae_{11}^t = e_{11}^t a = 0$  on some neighbourhood U of x for some t. Fix integers k', k such that

$$k \ge 2d + 4$$
,  $2(k' - k) + 1 \ge d$ .

Since U is an infinite open set and the C\*-algebra A is simple it follows by Proposition 2.1 that there is  $j \ge i$  such that  $L_{\Phi_{ij}}(y) \cap U$ has at least k' elements for any  $y \in X_j$ . This enables us by using Lemma 2.2 to find an open covering  $\mathscr{W}$  of  $X_j$  such that for each  $W \in \mathscr{W}$  there is  $p_W \in A_j$  satisfying

(1)  $p_W$  is projection valued on W,

(2) rank  $p_W(y) \ge k'$  for any  $y \in W$ ,

(3)  $p_W \Phi_{ii}(a) = \Phi_{ii}(a) p_W = 0$  on W,

(4)  $p_W \leq \Phi_{ii}(e_i)$  where  $e_i$  is the unit of  $A_i$ .

Proposition 3.2 provides us a projection  $p \in A_j$  such that

(a)  $p(x) \leq \bigvee \{ p_W(x) \colon W \in \mathcal{W}, x \in W \}$  for all  $x \in X_j$ .

(b) rank  $p(x) \ge k$  for all  $x \in X_j$ .

Of course (4) and (a) imply that  $p \leq \Phi_{ii}(e_i)$ .

We have also

(c)  $\Phi_{ij}(a)p = p\Phi_{ij}(a) = 0$ 

as a consequence of (3) and (a).

Let  $b := \Phi_{ij}(a)$  have the components  $(b_t)$  with  $b_t \in C(X_{jt}) \otimes M_{n(j,t)}$ . We shall use Remark 3.4 in order to approximate each  $b_t$  by invertible elements in  $\operatorname{End}_{C(X_{jt})}(E_t)$  where  $E_t := \Phi_{ij}(e_i)C(X_{jt})^{n(j,t)}$ . Consider also the finitely generated projective  $C(X_{jt})$ -modules

$$P_t = pC(X_{jt})^{n(j,t)}, \qquad Q_t = (\Phi_{ij}(e_i) - p)C(X_{jt})^{n(j,t)}$$

It is clear that  $E_t \simeq P_t \oplus Q_t$ .

Since rank  $P_t \ge k \ge 2d + 4$ , by using the stability properties of vector bundles (see [14]), one can split  $P_t$  as a direct sum of finitely

generated projective  $C(X_{jt})$ -modules  $P_t = R_t \oplus G_t \oplus H_t$  such that  $Q_t \oplus R_t$  and  $G_t$  are free and

rank  $G_t \ge [(d+1)/2] + 1 \ge \max\{ \operatorname{tsr} C(X_{jt}), \operatorname{csr} C(X_{jt}) \}.$ 

Let  $F_t = Q_t \oplus R_t \oplus G_t$ . By equation (c) above one can regard  $b_t$  as an element of  $\operatorname{End}_{C(X_{j,i})}(F_t)$  that vanishes on  $G_t$ . Since both  $F_t$  and  $G_t$  are free it follows from Lemma 3.3 that  $b_t$  belongs to the closure of  $\operatorname{GL}(F_t)$ . As  $F_t$  is a direct summand in  $E_t$ , this implies that  $b_t$ belongs to the closure  $\operatorname{GL}(E_t)$ . It follows that  $\Phi_{ij}(a)$  belongs to the closure of  $\operatorname{GL}(\bigoplus_t E_t) = \operatorname{GL}(\Phi_{ij}(e_i)A_j\Phi_{ij}(e_i))$ . The proof is complete by virtue of Lemma 3.5.

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