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**ACTIONS OF FINITE GROUPS ON KNOT COMPLEMENTS**

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**We examine the symmetry of the complement of a non-trivial knot  $K$  in  $S^3$  and obtain a classification of the actions of finite groups on the complement of a non-trivial knot in the case where the actions extend to non-fixed point free actions on the three sphere. We prove the result by showing first an extension theorem which says that any action of finite group on a non-trivial knot complement extends to an action on the three sphere and then by applying the solution of the Smith conjecture.**

Let  $N(K)$  be a regular neighborhood of  $K$ ;  $m, l$  be a meridian and a preferred longitude of  $K$  in  $\partial N(K)$  respectively;  $[m], [l]$  be the homology classes in  $H_1(\partial N(K), \mathbb{Z})$  represented by  $m, l$  respectively. A knot is called a hyperbolic knot if  $S^3 - K$  has a hyperbolic structure. See [R], or [B, Z] for the standard terminology that we use. The main results of this note are the following. Theorem 1 also follows from the recent result of Gordon and Luecke [G, L]. Since the proof is simple, it is included here for completeness.

**THEOREM 1.** *If  $K$  is a hyperbolic knot, then any self-diffeomorphism of the knot complement  $S^3 - \text{int}(N(K))$  extends to a self-diffeomorphism of  $S^3$ .*

Satellite knots have property P by Gabai's work, and torus knots are also known to have property P. One obtains the following theorem.

**COROLLARY 1.** *Any self-diffeomorphism of a non-trivial knot complement  $S^3 - N(K)$  extends to a self-diffeomorphism of  $S^3$ .*

**THEOREM 2.** *If  $G$  is a finite group acting smoothly on the complement  $S^3 - \text{int}(N(K))$  of a non-trivial knot  $K$ , then the group  $G$  is a cyclic or a dihedral group, and the  $G$ -action extends to a  $G$ -action on  $S^3$ . In particular, if  $K$  is a hyperbolic knot, then  $\text{Out}(\pi_1(S^3 - K))$  (or what is the same  $\text{Isom}(S^3 - K)$ ) is a cyclic or a dihedral group.*

With the help of a computer, Riley [Ri] has calculated the

$\text{Out}(\pi_1(S^3 - K))$  for the following hyperbolic knots,  $5_2, 6_3, 7_7, 8_{21}, 9_{35}, 9_{43}$ , and  $9_{48}$ , the corresponding groups are:  $D_2, D_4, D_4, D_2, D_6, Z_2$ , and  $D_6$ . The theorem explains the general fact behind Riley's work. Combining with the work of Culler, Gordon, Luecke, Shalen (see [CGLS]), Bleiler and Scharlemann [B, S] on the property P of non-trivial knots invariant under non-trivial periodic automorphisms of  $S^3$ , we have the following.

**COROLLARY 2.** *If there exists a finite group acting smoothly non-trivially on a knot complement in  $S^3$ , then the knot has property P. In particular, if  $K$  is a hyperbolic knot with non-trivial  $\text{Out}(\pi_1(S^3 - K))$ , then  $K$  has property P.*

If the group  $G$  in Theorem 2 is cyclic, the  $G$ -action on the knot complement can be described more explicitly. Before stating the corollary, let us make the following conventions. A  $2\pi/n$ -rotation of  $S^3$  is a  $Z_n$ -action which is conjugate to the orientation preserving  $Z_n$ -action generated by  $A$  where  $A$  sends a point  $(x, z)$  in  $S^3 = R^1 \times C \cup \{\text{infinity}\}$  to  $(x, e^{2\pi i/n} z)$  and infinity to infinity. The circle  $\{(x, z) | z = 0\} \cup \{\text{infinity}\}$  is called the axis of the rotation. A twisted  $2\pi/n$ -rotation of  $S^3$  is an action conjugate to the non-orientation preserving  $Z_n$ -action generated by  $\alpha$ , where  $\alpha$  is described as follows. Represent  $S^3$  as  $(R^1 \times C) \cup \{\text{infinity}\}$ ,  $\alpha$  is the automorphism sending  $(x, z)$  to  $(-x, -e^{2\pi i/n} z)$ , and infinity to infinity. The circle  $\{(x, z) | z = 0\} \cup \{\text{infinity}\}$  is called the axis of the twisted rotation. A reflection of  $S^3$  through two points is an action conjugate to the orientation reversing involution of  $S^3$  generated by  $\beta$ , where  $\beta$  is the automorphism of  $S^3$  considered as  $R^3 \cup \{\text{infinity}\}$  sending  $x$  to  $-x$ , for  $x$  in  $R^3$ , and infinity to infinity.

**COROLLARY 3.** *The smooth action of a cyclic group  $Z_n$  on a non-trivial knot complement  $S^3 - \text{int}(N(K))$  are classified as follows.*

(I) *The action preserves the orientation. There are two cases.*

(a) *The action on  $S^3 - \text{int}(N(K))$  is free. Then the action is induced by a fixed point free  $Z_n$ -action on  $S^3$ .  $K$  is invariant under the action.*

(b) *The action is not free. Then the  $Z_n$ -action is induced by a  $2\pi/n$ -rotation of  $S^3$  about a trivial knot  $L$ .  $K$  is invariant under the rotation.  $K$  is disjoint from  $L$ , or  $K$  intersects  $L$  transversely in two points. If the latter happens,  $n = 2$ .*

(II) *The  $Z_n$ -action on  $S^3 - \text{int}(N(K))$  does not preserve the orientation. Then the  $Z_n$ -action has fixed points in  $S^3$ , and is of even order.*

There are four kinds:

(c)  $n = 2$ . Then the action is induced by a reflection  $R$  of  $S^3$  through two points, or is induced by a reflection  $R'$  of  $S^3$  with respect to a two-sphere, which is the same as a twisted  $\pi$ -rotation of  $S^3$ .  $K$  is invariant under the involution. There are three types of  $Z_2$ -actions on  $S^3 - \text{int}(N(K))$ .

(c)<sub>1</sub>  $K$  is disjoint from the two fixed points of the reflection  $R$ . In this case the  $Z_2$ -action on  $S^3 - \text{int}(N(K))$  has two fixed points.

(c)<sub>2</sub>  $K$  contains the two fixed points of  $R$ . In this case, the  $Z_2$ -action is a free action on  $S^3 - \text{int}(N(K))$ .

(c)<sub>3</sub>  $K$  intersects the 2-sphere fixed points of  $R'$  transversely in two points. In this case,  $K$  is of the form  $K = L\#(-L)$  for some knot  $L$ .

(d)  $n \geq 4$ . Then the action is induced by a twisted  $2\pi/n$ -rotation of  $S^3$  about an axis  $L$ .  $K$  is invariant, and is disjoint from  $L$ .

We state the following as a corollary for convenience.

**COROLLARY 4.** *If a cyclic group  $Z_n$  generated by  $g$  acts smoothly on a non-trivial knot complement  $S^3 - \text{int}(N(K))$  such that  $g_*([l]) = -[l]$  in  $H_1(\partial N(K), \mathbb{Z})$ , then  $g$  is an involution.*

Combining Corollaries 3 and 4, smooth action of dihedral groups on a knot complement can also be classified. We omit it here.

Recall that a knot  $K$  is invertible if  $K$  is oriented equivalent to  $-K$ , the inverted knot of  $K$ ;  $K$  is amphicheiral if  $K$  is equivalent to its mirror-image  $K^*$ .

**COROLLARY 5.** *If  $K$  is a hyperbolic knot in  $S^3$ , then the following holds.*

(a)  $K$  is invertible if and only if  $K$  is invariant under a  $\pi$ -rotation in  $S^3$  about an axis  $L$  such that  $L$  intersects  $K$  transversely in two points.

(b)  $K$  is amphicheiral if and only if  $K$  is invariant under a twisted  $2\pi/n$ -rotation of  $S^3$  about an axis missing  $K$ , for  $n \geq 4$ , or  $K$  is invariant under a reflection of  $S^3$  through two points missing  $K$ .

(c) If  $K$  is both invertible and amphicheiral, then  $K$  is invariant under a reflection of  $S^3$  through two points contained in  $K$ .

In §1, we prove Theorem 1. In §2, we prove Theorem 2, and its corollaries. In the appendix, we prove the following proposition concerning smooth non-orientation preserving cyclic group actions on  $S^3$ .

**PROPOSITION.** *Any smooth non-orientation preserving cyclic group action on the 3-sphere is conjugate to a twisted rotation or a reflection of the sphere through two points.*

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**1. Proof of Theorem 1.** Let  $K$  be a hyperbolic knot in  $S^3$  with  $S^3 - K$  having a hyperbolic metric;  $N(K)$  be a regular neighborhood of  $K$  such that  $\partial N(K)$  is a flat torus in  $S^3 - K$  with respect to the hyperbolic metric;  $m, l$  be a meridian and a preferred longitude of  $K$  respectively,  $m, l$  lie in  $\partial N(K)$  and be realized as geodesics.  $m, l$  will also be used to denote the elements in  $\pi_1(S^3 - \text{int}(N(K)))$  represented by them. Let  $[m], [l]$  be the homology classes in  $H_1(\partial N(K), Z)$  represented by  $m, l$  respectively. Let  $h$  be a self-diffeomorphism of  $S^3 - \text{int}(N(K))$ . Our goal is to prove that  $h_*([m])$  is  $\pm[m]$  in  $H_1(\partial N(K), Z)$ . Since if this condition is satisfied,

$$h|_{\partial N(K)}: \partial N(K) \rightarrow \partial N(K)$$

extends to be a self-diffeomorphism of  $N(K)$  which in turn gives an extension of  $h$  to  $S^3$  by gluing. By Mostow Rigidity, one can assume that  $h$  is a hyperbolic isometry.  $h_*([l]) = \varepsilon_1[l]$  with  $\varepsilon_1$  being  $\pm 1$  in  $H_1(\partial N(K), Z)$ , because  $\pm[l]$  are the only primitive homology classes in  $H_1(\partial N(K), Z)$  which vanish in  $H_1(S^3 - \text{int}(N(K)), Z)$  under the inclusion homomorphism.  $h_*$  is an automorphism of  $H_1(\partial N(K), Z)$ ; hence  $h_*[m] = \varepsilon_2[m] + a[l]$ , where  $\varepsilon_2 = \pm 1$ , and  $a$  is in  $Z$ . Our goal is to show  $a = 0$ . If  $\varepsilon_1 = \varepsilon_2$ , i.e.,  $h$  is orientation preserving, the result is trivial because on one hand  $h$ , being an isometry of a hyperbolic manifold of finite volume, is of finite order (i.e., composition of  $h$  finite times is the identity map; see [M, B], or [Th]), on the other hand the matrix  $\begin{bmatrix} \varepsilon_1 & a \\ 0 & \varepsilon_2 \end{bmatrix}$  has infinite order if  $a$  is non-zero. Therefore, we need only to consider the case where  $\varepsilon_1 = -\varepsilon_2$ . Suppose conversely  $a \neq 0$ . Then by Culler, Gordon, Luecke, Shalen [CGLS], one has that  $a = \pm 1$ , and that  $K$  does not have property P. Since the matrix  $\begin{bmatrix} \varepsilon_1 & a \\ 0 & \varepsilon_2 \end{bmatrix}$  is of order two,  $h_*h_* = \text{id}$  in  $H_1(\partial N(K), Z)$ . Consider the orientation preserving isometry  $g = h \circ h$ .  $g$  is of finite order; hence it generates a finite cyclic group  $G$  acting isometrically on the flat torus  $\partial N(K)$ . Because  $g_*([m]) = [m]$  and  $g_*[l] = [l]$  in  $H_1(\partial N(K), Z)$ ,  $G$  preserves the foliations  $\partial N(K)$  by geodesic

meridians and by geodesic longitudes. The following lemma shows that the  $G$ -action on  $\partial N(K)$  can be extended to a  $G$ -action on  $N(K)$ .

**LEMMA 1.** *If  $G$  acts isometrically on a flat boundary  $\partial N$  of a solid torus  $N$  and  $g_*[m] = \pm[m]$ ,  $g_*[l] = \pm[l]$  in  $H_1(\partial N, \mathbb{Z})$  where  $g$  is a generator of  $G$ ,  $m$ ,  $l$  are a meridian and a longitude of  $\partial N$  respectively, then the  $G$ -action can be extended to an action on  $N$ . Moreover the extended  $G$ -action on the core of  $N$  preserves a flat Riemannian metric on it.*

*Proof.* Parametrize  $\partial N$  by  $(u, v)$ , where  $u, v$  are the unit complex numbers such that  $S^1 \times \{v\}$  and  $\{u\} \times S^1$  correspond to the geodesic meridian  $m$  and the geodesic longitude  $l$  in  $\partial N$ . Since the action on the homology group  $H_1(\partial N, \mathbb{Z})$  satisfies the conditions above, the  $G$ -action on  $\partial N$  corresponds now to a  $G$ -action on  $S^1 \times S^1$  preserving the standard product metric and the product structure. Extending the  $G$ -action on  $\partial N$  to  $N$  is the same as extending the  $G$ -action on  $S^1 \times S^1$  to  $D^2 \times S^1$ . The extension of the latter is trivial. To see this, for  $g \in G$ , we have,

$$g(u, v) = (\phi(u, g), \psi(v, g))$$

where  $u, v \in S^1$ ,  $\phi(u, g) = \alpha u$ , or  $\alpha \bar{u}$ , and  $\psi(v, g) = \beta v$  or  $\beta \bar{v}$ , for some roots of unity  $\alpha$  and  $\beta$ . The extension of the  $G$ -action to  $D^2 \times S^1$  is given by the same formula with  $u$  in  $D^2 = \{z \in \mathbb{C} \mid |z| \leq 1\}$ . The extended  $G$ -action still preserves the product metric and acts on the core  $\{0\} \times S^1$  isometrically with respect to the flat metric induced from  $D^2 \times S^1$ .

We have now a cyclic group  $G$  which acts on  $S^3$  preserving  $K$ . If  $G$  is non-trivial, then  $K$  has property P by Corollary 7 of Culler, Gordon, Luecke, Shalen [CGLS] which contradicts  $a \neq 0$ . Therefore  $h \circ h = \text{id}$  in  $S^3 - \text{int}(N(K))$ . It is easy to check, using  $a = \pm 1$ ,  $h_*([m]) = -\varepsilon_1[m] + a[l]$  and  $h_*([l]) = \varepsilon_1[l]$ , that

$$h_*(-2\varepsilon_1 a[m] + [l]) = -\varepsilon_1(-2\varepsilon_1 a[m] + [l]).$$

Note that  $[l]$ , and  $-2\varepsilon_1 a[m] + [l]$  are primitive elements, and are the  $(\pm 1)$ -eigenvectors of  $h_*$  in  $H_1(\partial N(K), \mathbb{Z})$ . The algebraic intersection number of  $[l]$  and  $-2\varepsilon_1 a[m] + [l]$  is  $\pm 2$ . The following lemma shows that  $h$  has fixed points in  $\partial N(K)$ .

**LEMMA 2.** *Suppose  $h$  is an orientation reversing fixed point free involution of a torus  $T^2$ , then the  $(\pm 1)$ -eigenspaces of  $h_*$  are generated by two primitive classes with  $\pm 1$  as their algebraic intersection number.*

*Proof.* Since any orientation reversing fixed point free involution of  $T^2$  has the quotient space homeomorphic to the Klein bottle, and since the Klein bottle has only one orientable two-fold cover up to covering equivalence, any two orientation reversing fixed point free involutions on  $T^2$  are conjugate. Because the hypothesis and the conclusion of the lemma are invariant under conjugation, the lemma follows by checking a concrete example. Take  $T^2$  to be  $S^1 \times S^1$  parametrized by  $(u, v)$ , where  $u, v \in S^1$ , the unit circle in the complex plane. Let  $h: T^2 \rightarrow T^2$  be the automorphism sending  $(u, v)$  to  $(\bar{u}, -v)$ .  $h$  generates a fixed point free orientation reversing involution of  $T^2$ . The 1-eigenspace of  $h_*$  is generated by the homology class of the curve  $\{1\} \times S^1$ , and the  $(-1)$ -eigenspace of  $h_*$  is generated by the homology class of the curve  $S^1 \times \{1\}$ . Hence the algebraic intersection number of the primitive generators of  $(\pm 1)$ -eigenspaces is  $\pm 1$ .

By the lemma,  $h$  has fixed points in  $\partial N(K)$ . However,  $h$  is an orientation reversing involution,  $\text{Fix}(h|_{\partial N(K)})$  is a 1-dimensional submanifold. This implies that  $\text{Fix}(h)$  contains a 2-manifold, say  $F$ . We claim that this is impossible. By Smith theory (see [B], Theorem 5.1), for the  $Z_2$ -action generated by  $h$  on the 1-dimensional  $Z_2$ -homology sphere  $S^3 - \text{int}(N(K))$ , the fixed point set  $\text{Fix}(h)$  is a  $Z_2$ -homology sphere of dimension at most one. Hence  $\text{Fix}(h)$  ( $= F$ ) is an annulus or a Möbius band.

*Case 1.*  $F$  is an annulus. Since  $S^3 - K$  has a hyperbolic structure,  $S^3 - \text{int}(N(K))$  is annulus free. Hence  $F$  is parallel to an annulus in  $\partial N(K)$ . In particular,  $F$  is separating. The two components of the complement of  $F$  in  $S^3 - \text{int}(N(K))$  are interchanged by  $h$  and hence are homeomorphic. Therefore both of them are solid tori. This implies that  $S^3 - \text{int}(N(K))$  is the union of two solid tori along an annulus in their boundaries which contradicts the existence of the hyperbolic structure of finite volume in  $S^3 - K$ .

*Case 2.*  $F$  is a Möbius band.  $\partial F$  is now a simple closed curve in  $\partial N(K)$  fixed by  $h$ , and hence  $[\partial F]$  is in the 1-eigenspace of  $h_*$  which is generated by  $[l]$ , or by  $2a[m] + [l]$  according to  $\varepsilon_1 = 1$ , or  $-1$ . Thus  $\partial F$  and  $K$  bound an annulus  $A$  in  $N(K)$ . The Möbius band  $F \cup_{\partial} A$  in  $S^3$  has  $K$  as its boundary. Let  $L$  be the core of

the Möbius band. If  $L$  is non-trivial,  $K$  is the cable knot of  $L$ . This contradicts that  $K$  is a hyperbolic knot. If  $L$  is the trivial knot, then  $K$  is the  $(2, n)$ -torus which is again absurd.

This completes the proof of Theorem 1.

Since any non-trivial knot with property P has the property that any self-diffeomorphism of the knot complement preserves the meridian, and since the only non-trivial knots which are not known to have property P are some hyperbolic knots by the work of Gabai and others, Corollary 2 follows from Theorem 1.

**2. Proof of Theorem 2.** We shall still use the same notations introduced in §1. Hence  $K$  is a non-trivial knot in  $S^3$ ;  $N(K)$  is a regular neighborhood of  $K$ ;  $m, l$  are a meridian and a preferred longitude of  $K$  respectively.  $m, l$  lie in  $\partial N(K)$ . Our first observation is that there exists a flat metric on  $\partial N(K)$  such that  $G$  acts on  $\partial N(K)$  isometrically. This follows from the Geometrization Theorem that any action of a finite group  $G$  on a 2-manifold is equivalent to a geometric group action (see [E]). Fix the metric on  $\partial N(K)$ , and realize  $m, l$  by geodesics in  $\partial N(K)$ . Theorem 1 shows that the  $G$ -action on  $\partial N(K)$  preserves the geodesic meridians and geodesic longitudes in  $\partial N(K)$ . By Lemma 1, the  $G$ -action on  $\partial N(K)$  extends to a  $G$ -action on  $N(K)$  such that the extended  $G$ -action preserves a flat metric on  $K$ . Hence the  $G$ -action on  $S^3 - \text{int}(N(K))$  extends to a  $G$ -action on  $S^3$  which preserves  $K$  and acts on  $K$  preserving a flat metric  $d$ . The restriction of the  $G$ -action to  $K$  gives a representation:

$$\sigma: G \rightarrow \text{Isom}(K, d).$$

The solution of the Smith Conjecture shows that  $\sigma$  is a monomorphism. To see this, let  $h \in \ker(\sigma)$ , and  $H$  be the cyclic group by  $h$ . Then  $H$  acts on  $S^3$  with fixed point set containing  $K$ , and  $H$  preserves each geodesic meridian in  $\partial N(K)$ . Moreover,  $h_*([l]) = [l]$  in  $H_1(\partial N(K), \mathbb{Z})$ . There are now two cases that might happen.

*Case 1.*  $h_*([m]) = [m]$ .  $h$  is now an orientation preserving homeomorphism because  $h_*([l]) = [l]$  and  $h_*([m]) = [m]$  imply that  $h$  is an orientation preserving homeomorphism in  $H_1(\partial N(K), \mathbb{Z})$ . Therefore the  $H$ -action on a geodesic meridian  $m$  is a rotation. Suppose  $h \neq \text{id}$ ; then  $H$  acts non-trivially on  $m$ . Therefore  $K$  is the only fixed point set of  $h$  in  $N(K)$ . By Smith theory,  $\text{Fix}(h) = K$ , which then contradicts the solution of the Smith Conjecture.



*Case 2.*  $h_*([m]) = -[m]$ .  $h$  is now an orientation reversing homeomorphism. Since  $h \circ h \in \ker(\sigma)$ , and  $h_*h_*([m]) = [m]$ , one has  $h \circ h = \text{id}$  by the solution of Case 1. Hence  $h$  is an orientation reversing involution of  $S^3$  with fixed point set containing  $K$ . Because the  $\text{Fix}(h)$  is a submanifold of odd codimension and contains  $K$ ,  $\text{Fix}(h)$  contains a 2-manifold. By Smith Theory, the  $\text{Fix}(h)$  is a  $Z_2$ -homology sphere. Hence  $\text{Fix}(h)$  is a 2-sphere and contains  $K$ . This implies that  $K$  is a trivial knot which is absurd.

Therefore  $G$  is a subgroup of  $\text{Isom}(K, d)$ . It is well known that a finite subgroup of  $\text{Isom}(K, d)$  is a cyclic or a dihedral group. In case  $K$  is a hyperbolic knot,  $\text{Out}(\pi_1(S^3 - K))$  acts isometrically on  $S^3 - \text{int}(N(K))$  where  $\partial N(K)$  is a flat torus in  $S^3 = K$  (see [M, B], or [Th]). Hence  $\text{Out}(\pi_1(S^3 - K))$  (or the same  $\text{Isom}(S^3 - K)$ ) is a cyclic or a dihedral group.

*Proof of Corollary 3.* By Theorem 2 and its proof, the  $Z_n$ -action extends to a  $Z_n$ -action on  $S^3$  such that  $K$  is invariant and  $K$  intersects the fixed point set of a nontrivial element  $f$  in  $Z_n$  if and only if  $\text{Fix}(\sigma(f)) \cap K \neq \emptyset$ . But  $\text{Fix}(\sigma(f)) \cap K \neq \emptyset$  if and only if  $\sigma(f)$  is a reflection on  $K$  which in turn is the same as  $f_*([l]) = -[l]$  in  $H_1(\partial N(K), Z)$ . Moreover, in this case,  $K$  intersects  $\text{Fix}(f)$  transversely in two points. The classification is now reduced to the classification of smooth cyclic group actions on  $S^3$ .

(I) The  $Z_n$ -action preserves the orientation.

If the  $Z_n$ -action on  $S^3$  is fixed point free, we have (a). Otherwise, by Smith theory, the fixed point set is a knot, say  $L$ . The solution of the Smith Conjecture shows that  $L$  is a trivial knot, and the  $Z_n$ -action is a  $2\pi/n$ -rotation about  $L$ . Let  $g$  be a generator of the  $Z_n$ -action. If  $L$  intersects  $K$ , then by the remark above, we have  $g_*([l]) = [l]$ , and  $\sigma(g)$  is a reflection in  $K$ . Hence  $\text{Fix}(g \circ g)$  contains  $K$ . However  $g \circ g$  is orientation preserving. Therefore the solution of the Smith Conjecture implies that  $g \circ g$  is the identity, i.e.,  $n = 2$ . This proves (b).

(II) The  $Z_n$ -action does not preserve the orientation.

Let  $g$  still be the generator of the  $Z_n$ -action on  $S^3$ . Since  $g$  reverses the orientation,  $g$  has fixed points in  $S^3$ ,  $n$  is even, and  $\text{Fix}(g)$  is a submanifold of odd codimension in  $S^3$ .

(c)  $n = 2$ .

By Smith theory,  $\text{Fix}(g)$  is a  $Z_2$ -homology sphere. Hence  $\text{Fix}(g)$  is the two points set or the 2-sphere. If  $\text{Fix}(g)$  is the two points set,

by Livesay's theorem [L], the  $Z_2$ -action is a reflection of  $S^3$  through two points; if  $\text{Fix}(g)$  is a 2-sphere, then the action is a reflection of  $S^3$  with respect to a 2-sphere by Schonflies theorem. Now the  $Z_2$ -action is classified as follows. If  $g_*([l]) = [l]$ , then  $\text{Fix}(g) \cap K = \emptyset$ . In this case  $\text{Fix}(G)$  cannot be a 2-sphere. To see this,  $\text{Fix}(g) \cap K = \emptyset$ . In this case  $\text{Fix}(G)$  cannot be a 2-sphere. To see this,  $\text{Fix}(g) \cap K = \emptyset$  implies the fixed point set of  $g$  in  $S^3$  is actually in  $S^3 - \text{int}(N(K))$ . By Smith theory, for the  $g$  involution on the one-dimensional homology sphere  $S^3 - \text{int}(N(K))$ ,  $\text{Fix}(g|_{S^3 - \text{int}(N(K))})$  is a  $Z_2$ -homology sphere of dimension at most one. Hence  $\text{Fix}(g)$  are two points. This gives  $(c)_1$ . If  $g_*([l]) = -[l]$ , then  $\sigma(g)$  is a reflection in  $K$ , and  $K$  intersects  $\text{Fix}(g)$  transversely in two points.  $(c)_2$ ,  $(c)_3$  follow from the above mentioned classification of the orientation reversing involutions of  $S^3$ .

(d)  $n \geq 4$ .

The result is a consequence of the following proposition which will be proven in the appendix.

**PROPOSITION.** *Any smooth cyclic group action on  $S^3$  which does not preserve the orientation is conjugate to a twisted rotation of  $S^3$ , or to a reflection of  $S^3$  through two points.*

Applying the proposition, we need only to check that  $K$  is disjoint from the axis of the twisted rotation  $g$ . However the axis of  $g$  is  $\text{Fix}(g \circ g)$ .  $\text{Fix}(g \circ g)$  does not intersect  $K$  follows now from  $g_*g_*([l]) = [l]$ , and  $g \circ g \neq \text{id}$ . This completes the proof of (d).

Corollary 4 is actually proven in the proof of Corollary 3.

*Proof of Corollary 5.* (a) By Proposition 3.19 of [B, Z],  $K$  is invertible if and only if there is an automorphism

$$\phi: \pi_1(S^3 - \text{int}(N(K))) \rightarrow \pi_1(S^3 - \text{int}(N(K)))$$

such that  $\phi(m) = m^{-1}$  and  $\phi(l) = l^{-1}$ . Since  $K$  is a hyperbolic knot, Mostow Rigidity Theorem shows that  $\phi$  can be realized by a hyperbolic isometry  $h: S^3 - \text{int}(N(K)) \rightarrow S^3 - \text{int}(N(K))$  such that  $h_*([m]) = -[m]$ , and  $h_*([l]) = -[l]$  in  $H_1(\partial N(K), \mathbb{Z})$ . Here we have assumed that  $\partial N(K)$  is a flat torus in  $S^3 - K$ . The condition  $h_*([l]) = -[l]$  implies that  $h$  is an involution by Corollary 4. Because  $h_*([m]) = -[m]$ ,  $h$  is orientation preserving. Hence by Corollary 3, the  $Z_2$ -action generated by the extension of  $h$  on  $S^3$  is induced by a  $\pi$ -rotation of  $S^3$  about an axis  $L$ .  $H_*([l]) = -[l]$  implies that

$L$  intersects  $K$  transversely in two points. Therefore  $K$  is invariant under a  $\pi$ -rotation about an axis intersecting  $K$  at two points. The inverse implication is trivial.

(b) By Proposition 3.19 of [B, Z],  $K$  is amphicheiral if and only if there is an automorphism

$$\phi: \pi_1(S^3 - \text{int}(N(K))) \rightarrow \pi_1(S^3 - \text{int}(N(K)))$$

such that  $\phi(m) = m^{-1}$  and  $\phi(l) = l$ . Realize  $\phi$  by an isometry  $h: S^3 - \text{int}(N(K)) \rightarrow S^3 - \text{int}(N(K))$ .  $h$  is orientation reversing since  $h_*([m]) = -[m]$ , and  $h_*([l]) = [l]$  in  $H_1(\partial N(K), \mathbb{Z})$ .  $h$  generates a smooth cyclic group action on  $S^3 - \text{int}(N(K))$  which does not preserve the orientation. Hence by Corollary 3,  $h$  is induced by a twisted rotation of  $S^3$  about an axis  $L$  missing  $K$  if the order of  $h$  is at least four. If the order of  $h$  is two, the  $h$  involution is the case (c)<sub>1</sub> in Corollary 3 because  $h_*([l]) = [l]$ . Therefore, in this case  $K$  is invariant under a reflection of  $S^3$  through two points missing  $K$ . Then the condition is clearly sufficient.

(c) If the knot is both invertible and amphicheiral, then there exists an automorphism

$$\phi: \pi_1(S^3 - \text{int}(N(K))) \rightarrow \pi_1(S^3 - \text{int}(N(K)))$$

such that  $\phi(m) = m$ , and  $\phi(l) = l^{-1}$ .  $\phi$  is the composition of the two automorphisms coming from (a) and (b). Realize  $\phi$  by an orientation reversing hyperbolic isometry  $h$  such that  $h_*([m]) = [m]$ , and  $h_*([l]) = -[l]$  in  $H_1(\partial N(K), \mathbb{Z})$ . By Corollary 4,  $h_*([l]) = -[l]$  and  $h_*([m]) = [m]$  imply  $h$  is an orientation reversing involution of  $S^3 - \text{int}(N(K)) \rightarrow S^3 - N(K)$ . By Corollary 3,  $h$  is the case (c)<sub>2</sub> or the case (c)<sub>3</sub>. Case (c)<sub>3</sub> cannot happen since  $K$  is a prime knot. Hence  $K$  is invariant under the reflection of  $S^3$  through two points contained in  $K$ .

**Appendix.** We prove the following proposition concerning smooth cyclic group action on the 3-sphere which does not preserve the orientation.

**PROPOSITION.** *Any smooth non-orientation preserving cyclic group action on  $S^3$  is conjugate to a twisted rotation of  $S^3$ , or to a reflection of  $S^3$  through two points.*

*Proof.* Let  $g$  be a generator of the  $Z_n$ -action.  $n$  has to be even.  $g$  is orientation reversing, and hence has fixed points in  $S^3$ . If  $n = 2$ ,

we have shown in the proof of Corollary 3 (c) that the result holds. Assume  $n \geq 4$  from now on. Let  $h = g \circ g$ .  $h$  is an orientation preserving automorphism of order  $m$ , and has fixed points. The solution of the Smith Conjecture shows that the  $\text{Fix}(h)$  is a trivial knot, say  $L$ . Now  $L$  is invariant under  $g$ .  $g$  acts on  $L$  with fixed point and is of order two in  $L$ . Hence the action of  $g$  on  $L$  is a reflection by the classification of  $Z_2$ -action on the circle. Take a  $Z_n$ -equivariant regular neighborhood  $N(L)$  of  $L$  in  $S^3$  (see [B]). By the choice of the regular neighborhood, one knows that the action of  $Z_n$  on  $N(L)$  is standard. Therefore by choosing the generator  $g$  of the  $Z_n$ -action appropriately, we can assume that the restriction of  $g$  on  $N(L) = D^2 \times S^1$  is conjugate to  $\alpha$ , where

$$\alpha: D^2 \times S^1 \rightarrow D^2 \times S^1$$

sends  $(z, w)$  to  $(e^{2\pi i/n} z, \bar{w})$ , with  $z$  in  $D^2 = \{z \in C \mid |z| \leq 1\}$  and  $w$  in  $S^1 = \{z \in C \mid |z| = 1\}$ . Note that  $\alpha$  generates an orientation reversing  $Z_n$ -action on  $D^2 \times S^1$  with two fixed points in  $\{0\} \times S^1$ . Since  $L$  is the trivial knot,  $S^3 - \text{int}(N(L))$  is a solid torus. Let  $\phi: S^3 = (S^3 - \text{int}(N(L))) \cup N(L) \rightarrow \bar{S}^3 = (S^1 \times D^2) \cup_{\text{id}} (D^2 \times S^1)$  be a diffeomorphism taking  $N(L)$  to  $D^2 \times S^1$  such that  $\phi g|_{N(L)} \phi^{-1} = \alpha$ . Now extend  $\alpha$  to be a self-diffeomorphism  $\bar{\alpha}$  of  $\bar{S}^3$  by sending  $(z, w) S^1 \times D^2$  to  $(e^{2\pi i/n} z, \bar{w})$  with  $z \in S^1$  and  $w \in D^2$ . Then  $\bar{\alpha}$  generates a twisted  $2\pi/n$ -rotation of  $\bar{S}^3$ . Our goal is to show that  $\phi g \phi^{-1}$  is conjugate to  $\bar{\alpha}$  in  $\bar{S}^3$ . This is consequence of the following claim.

*Claim.*  $g' = \phi g \phi^{-1}|_{S^1 \times D^2}$  is conjugate to  $\beta = \bar{\alpha}|_{S^1 \times D^2}$  by a piecewise smooth diffeomorphism  $\psi$  such that  $\psi$  is the identity map on  $\partial(S^1 \times D^2)$ .

Let us assume the claim and finish the proof. By gluing  $\psi$  with  $\text{Id}|_{D^2 \times S^1}$  along the boundaries, we obtain a piecewise smooth self-diffeomorphism of  $\bar{S}^3$  which conjugates  $\phi g \phi^{-1}$  to  $\bar{\alpha}$ . Therefore  $\phi g \phi^{-1}$  is smoothly conjugate to  $\bar{\alpha}$  by the work of Moise.

*Proof of the Claim.* By the choice of  $\phi$ ,  $g'$  is the same as  $\beta$  on  $\partial(S^1 \times D^2)$ . Using the equivariant Dehn's lemma, we can find  $n$  copies of disjoint properly embedded disks  $D_1, D_2, \dots, D_n$  with  $\partial D_j = e^{e\pi j i/n} \times \partial D^2$  in  $S^1 \times D^2$ , such that  $g'(D_j) = D_{j+1}$  for  $j =$

$1, 2, \dots, n$ , where  $D_1 = D_{n+1}$ .  $g'_j: D_j \rightarrow D_{j+1}$  is a diffeomorphism for each  $j$ . These disks cut  $S^1 \times D^2$  into  $n$  components, say  $B_1, B_2, \dots, B_n$  with  $D_j \cup D_{j+1} \subset \partial B_j$ , and each of  $B_j$  is a 3-ball by Schonflies' theorem. Let  $D'_j = e^{2\pi i j/n} \times D^2$  (where  $D'_{n+1} = D'_1$ );  $B'_j = \{e^{2\pi i t/n} | j \leq t \leq j+1\} \times D^2$ ; and  $E_j = \partial B'_j - (D_j \cup D_{j+1})$ , the annulus, for each  $i = 1, 2, \dots, n$ . The construction of  $\psi$  is now as follows. Let  $A_1: D_1 \rightarrow D'_1$  be a diffeomorphism which is the identity on  $\partial D_1$ . Define  $A_2: D_2 \rightarrow D'_2$  to be  $\beta|_{D'_1} A_1 g'^{-1}|_{D_2}$ . It is still a diffeomorphism which fixes  $\partial D_2$  pointwise. Since  $\partial B_1 = D_1 \cup E_1 \cup D_2$  and  $\partial B'_1 = D'_1 \cup E_1 \cup D'_2$ , glue  $A_1, A_2$  and  $\text{id}|_{E_1}$  along the boundaries, one obtains a piecewise smooth diffeomorphism from  $\partial B_1 \rightarrow \partial B'_1$  which is the identity on  $E_1$ . Extend it to be a piecewise smooth diffeomorphism from  $B_1$  to  $B'_1$  by Alexander's lemma, and call it  $\psi_1$ . Now  $\psi_j: B_j \rightarrow B'_j$  is defined to be

$$\beta_j|_{B'_1} \psi_1 g'^{-j}|_{B_j}$$

for  $j = 2, 3, \dots, n$ . All these piecewise smooth diffeomorphisms match on the  $D_j$ 's. Gluing them together along the  $D_j$ 's, we obtain a piecewise diffeomorphism  $\psi: S^1 \times D^2 \rightarrow S^1 \times D^2$ . Then  $\psi|_{\partial(S^1 \times D^2)} = \text{id}$  and  $\beta = \psi^{-1} \beta \psi$ .

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