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COMMUTATIVITY OF SELFADJOINT OPERATORS

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Nonnegative bounded operators A and B on a Hilbert space \mathcal{H} commute if $AB^n + B^nA \geq 0$ for $n = 1, 3, \dots$, or if $e^{tA} \leq e^{tA+sB} \leq e^{tA+s\|B\|}$ for every $s, t > 0$.

In this paper A and B represent (not necessarily bounded) self-adjoint operators with spectral families $\{E_\lambda\}$ and $\{F_\lambda\}$, respectively, on a Hilbert space \mathcal{H} . We study some conditions which imply that A and B commute.

1. In general, $AB + BA$ is not necessarily nonnegative for some nonnegative operators A and B (cf. [3]).

THEOREM 1. *Let A and B be nonnegative and bounded operators. Then $AB = BA$ if and only if*

$$0 \leq AB^n + B^nA \quad \text{for } n = 1, 2, \dots$$

To prove this theorem, we need the following:

LEMMA. *If a projection P satisfies $0 \leq AP + PA$, then $AP = PA$.*

Proof. For arbitrary vectors $x \in P\mathcal{H}$, $y \in (1-P)\mathcal{H}$, and arbitrary complex numbers s and t , we have

$$\begin{aligned} 0 &\leq ((AP + PA)(tx + sy), (tx + sy)) \\ &= 2|t|^2(Ax, x) + 2\operatorname{Re} t\bar{s}(Ax, y), \end{aligned}$$

from which it follows that $0 = (Ax, y)$. Thus we get $AP = PA$.

Proof of Theorem 1. The “only if” part is clear, so we show the “if” part. We may assume that $\|B\| \leq 1$, which means $0 \leq B \leq 1$. Since $0 \leq AB^n + B^nA$, we get

$$(1) \quad 0 \leq A \exp(tB) + \exp(tB)A \quad \text{for every } t > 0,$$

from which it follows that

$$0 \leq \exp(-tB)A + A \exp(-tB).$$

Thus (1) is valid for $-\infty < t < \infty$. Since $0 \leq A \exp(tB) \exp(sB) + \exp(sB) \exp(tB)A$ for $-\infty < s, t < \infty$, we have

$$0 \leq \exp(-sB)A \exp(tB) + \exp(tB)A \exp(-sB).$$

By the Laplace transform relation

$$(2) \int_0^\infty s^{n-1} \exp(-\lambda s) \exp(-sB) ds = (n-1)!(B+\lambda)^{-n} \quad \text{for } \lambda > 0,$$

we obtain

$$0 \leq (B+\lambda)^{-n}A \exp(tB) + \exp(tB)A(B+\lambda)^{-n} \quad \text{for } \lambda > 0,$$

which implies that

$$0 \leq A \exp(tB)(B+\lambda)^n + (B+\lambda)^n \exp(tB)A.$$

Since A and B are continuous, by letting $\lambda \rightarrow 0$, we get

$$\begin{aligned} 0 &\leq A \exp(tB)B^n + B^n \exp(tB)A \\ &= AB^n \exp(tB) + \exp(tB)B^n A \quad \text{for } -\infty < t < \infty. \end{aligned}$$

It is easy to show that

$$0 \leq \exp(-t(I-B))AB^n + B^n A \exp(-t(I-B)) \quad \text{for } t > 0,$$

from which, using (2) again, we obtain

$$0 \leq AB^n(1-B)^m + (1-B)^m B^n A \quad \text{for } m, n = 0, 1, 2, \dots$$

By Bernstein's theorem, each polynomial $p(x)$ which is positive on the interval $[0, 1]$ is a linear combination of polynomials of the form $x^n(1-x)^m$ with real nonnegative coefficients. Thus we have

$$0 \leq Ap(B) + p(B)A.$$

For each continuous function $f(x)$ which is > 0 on $[0, 1]$ we can select a sequence of polynomials as above which uniformly converges to $f(x)$. Therefore we have

$$0 \leq Af(B) + f(B)A.$$

It is easy to show that the latter inequality holds for any continuous function $f(x)$ which is ≥ 0 on $[0, 1]$, and hence that $0 \leq AF_\lambda + F_\lambda A$, where $\{F_\lambda\}$ is the spectral family corresponding to b . From the lemma we obtain $AF_\lambda = F_\lambda A$ and hence $AB = BA$. This concludes the proof.

COROLLARY 2. *Let A and B be nonnegative bounded operators. Then $AB = BA$ if $A^2 \leq (A + tB)^2$ for every $t > 0$ and $n = 1, 2, \dots$.*

Proof. From the assumption, it follows that

$$0 \leq (AB^n + B^n A) + tB^{2n} \quad \text{for } t > 0.$$

Letting $t \rightarrow 0$, we get $0 \leq AB^n + B^n A$.

COROLLARY 3. *Let $0 \leq A$ and $0 \leq B$. Suppose B is bounded. Then $BA \subset AB$ if for $n = 1, 2, \dots$,*

$$(3) \quad B\mathcal{D}(A) \subset \mathcal{D}(A) \quad \text{and} \quad 0 \leq ((AB^n + B^n A)x, x) \\ \text{for every } x \in \mathcal{D}(A).$$

Proof. For $t > 0$, $(t + A)^{-1}$ is bounded and nonnegative. From (3) it follows that $0 \leq (t + A)^{-1}B^n + B^n(t + A^{-1})$, which implies $(t + A)^{-1}B = B(t + A)^{-1}$ and hence $BA \subset AB$.

COROLLARY 4. *Let A be unbounded selfadjoint, and let B be selfadjoint and bounded from below. Then $E_\lambda F_\mu = F_\mu E_\lambda$ for every λ, μ if $0 \leq \exp(A) \exp(-nB) + \exp(-nB) \exp(A)$ for $n = 1, 2, \dots$, where the inequality should be interpreted like (3).*

Proof. Clearly $\exp(-B)$ is bounded and nonnegative. Since $\exp(-nB) = \{\exp(-B)\}^n$ (cf. §128 of [9]), we have

$$\exp(-B) \exp(A) \subset \exp(A) \exp(-B).$$

Since the spectral family corresponding to $\exp(A)$ is $\{E_{\log t}\}_{0 < t < \infty}$, $\exp(-B)$ and E_λ commute. Thus we get $E_\lambda F_\mu = F_\mu E_\lambda$.

For a C^* -algebra \mathcal{A} , Ogasawara [7] showed that \mathcal{A} is abelian if the condition $0 \leq a \leq b$, $a, b \in \mathcal{A}$ implies $a^2 \leq b^2$. In other words, \mathcal{A} is abelian if $0 \leq ab + ba$ for every $0 \leq a, b \in \mathcal{A}$. Clearly Theorem 1 and Corollary 2 are true for nonnegative a, b in \mathcal{A} . Consequently we can consider them to be extensions of Ogasawara's theorem.

2. Let us recall that if A and B are unbounded, then $A \leq B$ means that $\mathcal{D}(B^{1/2}) \subset \mathcal{D}(A^{1/2})$ and $\|A^{1/2}x\| \leq \|B^{1/2}x\|$ for $x \in \mathcal{D}(B^{1/2})$. We have

$$(4) \quad 0 \leq A \leq B \Rightarrow 0 \leq B^{-1} \leq A^{-1}.$$

PROPOSITION 5. *Let A and B be bounded from below, and suppose $A \geq -\zeta$, $B \geq -\zeta$. Then the following are equivalent:*

- (a) $(A + \zeta)^n \leq (B + \zeta)^n$ for every $n = 1, 2, \dots$.
- (b) $F_\lambda \leq E_\lambda$ for every λ .
- (c) $\exp(tA) \leq \exp(tB)$ for every $t > 0$.
- (d) $\exp(-tB) \leq \exp(-tA)$ for every $t > 0$.

Proof. Olson [8] (cf. [12]) showed that (a) and (b) are equivalent if A and B are bounded and $\zeta = 0$. We can easily apply his proof to this case. To show (a) \Rightarrow (d), we need the following (cf. Chap. 9 of [5]):

$$(5) \quad \exp(-tA) = \lim_{m \rightarrow \infty} (I + t/mA)^{-m}.$$

If $m > t\zeta$, then each term in the right side is positive and bounded. From (a) we get

$$(1 + t/mA)^{-m} \geq (1 + t/mB)^{-m} \quad \text{for } m > t\zeta.$$

By using (5) we have (d). We show (d) \Rightarrow (a). Since (d) is equivalent to

$$\exp(-t(B + \zeta)) \leq \exp(-t(A + \zeta)),$$

from (2) it follows that

$$(B + \zeta + \lambda)^{-n} \leq (A + \zeta + \lambda)^{-n} \quad \text{for } \lambda > 0, n = 1, 2, \dots$$

Thus for $x \in \mathcal{D}((A + \zeta)^{-n/2})$ we have

$$\|(B + \zeta + \lambda)^{-n/2}x\| \leq \|(A + \zeta + \lambda)^{-n/2}x\| \leq \|(A + \zeta)^{-n/2}x\|.$$

By using Fatou's lemma we obtain

$$\|(B + \zeta)^{-n/2}x\| \leq \lim_{\lambda \rightarrow 0} \|(B + \zeta + \lambda)^{-n/2}x\| \leq \|(A + \zeta)^{-n/2}x\|,$$

that is, $(B + \zeta)^{-n} \leq (A + \zeta)^{-n}$. Taking their inverses, we obtain (a). Now we have only to show (c) \Leftrightarrow (d). But since

$$I = \exp(tA) \exp(-tA) \supset \exp(-tA) \exp(tA)$$

(cf. §128 of [9]), $\exp(tA)$ is the inverse of $\exp(-tA)$; by (4) we obtain it. This concludes the proof.

THEOREM 6. *Let A and B be unbounded selfadjoint operators with spectral families $\{E_\lambda\}$ and $\{F_\lambda\}$, respectively. Then the following are equivalent:*

- (b) $F_\lambda \leq E_\lambda$ for every λ .
- (c) $\exp(tA) \leq \exp(tB)$ for every $t > 0$.
- (d) $\exp(-tB) \leq \exp(-tA)$ for every $t > 0$.

Proof. (b) implies that for every $\mu > 0$, $F_{\log \mu} \leq E_{\log \mu}$. Since these operators are the spectral families corresponding to $\exp(B)$ and $\exp(A)$, respectively, by Proposition 5 we obtain

$$(6) \quad 0 \leq (\exp(A))^n \leq (\exp(B))^n \quad \text{for } n = 1, 2, \dots$$

To see that the above inequalities hold for all $t > 0$, we use Heinz's inequality [6]. Since $\exp(tA) = (\exp(A))^t$, we have (c). Conversely, (c) implies (6). By using Proposition 5 again, we arrive at (b). (c) \Leftrightarrow (d) is obvious. This concludes the proof.

THEOREM 7. *Let A be a (not necessarily bounded) selfadjoint operator. Let X be a bounded operator which is nonnegative. If there is a real number $\alpha \geq \|X\|$ such that*

$$(7) \quad \exp(tA) \leq \exp(t(A + \varepsilon X)) \leq \exp(t(A + \varepsilon \alpha I)) \quad \text{for every } t, \varepsilon > 0,$$

then $XA \subset AX$.

Proof. Set $B = A + \varepsilon X$. Then B is selfadjoint and $\mathcal{D}(B) = \mathcal{D}(A)$. Now let us denote the spectral families corresponding A and B by $E(\lambda)$ and $F(\lambda)$, respectively. From Theorem 6, it follows that

$$E(\lambda - \varepsilon \alpha) \leq F(\lambda) \leq E(\lambda) \quad \text{for } -\infty < \lambda < \infty.$$

The above inequalities are equivalent to

$$E(\lambda)\mathcal{H} \subset F(\lambda + \varepsilon \alpha)\mathcal{H} \subset E(\lambda + \varepsilon \alpha)\mathcal{H} \quad \text{for } -\infty < \lambda < \infty.$$

Since $BE(\lambda)\mathcal{H} \subset BF(\lambda + \varepsilon \alpha)\mathcal{H} \subset F(\lambda + \varepsilon \alpha)\mathcal{H} \subset E(\lambda + \varepsilon \alpha)\mathcal{H}$, we have $XE(\lambda)\mathcal{H} \subset E(\lambda + \varepsilon \alpha)\mathcal{H}$. Since $E(\lambda)$ is continuous from the right, we obtain $XE(\lambda)\mathcal{H} \subset E(\lambda)\mathcal{H}$ and hence $XE(\lambda) = E(\lambda)X$, which implies $XA \subset AX$. Thus the proof is complete.

COROLLARY 8. *Let A and X be nonnegative operators. Suppose X is bounded. If there is a real number $\alpha \geq \|X\|$ such that*

$$(8) \quad A^n \leq (A + \varepsilon X)^n \leq (A + \varepsilon \alpha I)^n \quad \text{for every } \varepsilon > 0, \quad n = 1, 2, \dots,$$

then $XA \subset AX$.

Proof. It is clear.

For finite matrices or compact operators, we can get better conditions than (7) or (8). From now on, A and B are nonnegative

finite matrices or compact operators which are represented as $A = \sum \mu_i(A)e_i \otimes e_i$ and $B = \sum \mu_i(B)d_i \otimes d_i$, where $\{\mu_i(\cdot)\}$ is a decreasing sequence of eigenvalues. It is easy to see that, in this case, the condition (b) in Proposition 5 is equivalent to

$$(b') \quad \mu_i(A) \leq \mu_i(B), \quad \text{and} \quad \text{if } \mu_i(A) > \mu_j(B), \text{ then } e_i \perp d_j.$$

PROPOSITION 9. *Let A be a nonnegative finite matrix. Set $\delta(A) := \min\{|\lambda - \mu| : \lambda \neq \mu, \lambda, \mu \in \sigma_p(A)\}$.*

(i) *If $0 \leq X < \delta(A)$, and $(A + X)^n \geq A^n$ for $n = 1, 2, \dots$, then $AX = XA$.*

(ii) *If $0 \leq X < \delta(A)$, and $A^n \geq (A - X)^n \geq 0$ for $n = 1, 2, \dots$, then $AX = XA$.*

Proof. (i) Set $B = A + X$ and suppose $\mu_1(A) = \dots = \mu_i(A) > \mu_{i+1}(A)$. Then, by Ky Fan [4] (cf. [10]), we obtain

$$\mu_{i+1}(B) \leq \mu_{i+1}(A) + \mu_1(X) \leq \mu_{i+1}(A) + \delta(A) < \mu_i(A).$$

(b') implies $\{e_1, \dots, e_i\} \perp \{d_{i+1}, d_{i+2}, \dots\}$ and hence the subspace $\{e_1, \dots, e_i\} = \{d_1, \dots, d_i\}$ reduces A and B . Since the reduced operator of A is constant, A and B commute there. Repeating this procedure in the same way to the other restrictions of A and B , we can derive $AB = BA$, which means $AX = XA$.

(ii) To prove this in the same way as (i), we need only to start with the smallest eigenvalue of A . Thus the proof is complete.

COROLLARY 10. *Let A be a selfadjoint finite matrix which is not necessarily nonnegative.*

(i) *If $0 \leq X < \delta(A)$, and $\exp(tA) \leq \exp(t(A + X))$ for every $t > 0$, then $AX = XA$.*

(ii) *If $0 \leq X < \delta(A)$, and $\exp(t(A - X)) \leq \exp(tA)$ for every $t > 0$, then $AX = XA$.*

Proof. (i) Take a real number $\zeta > 0$ so that $A + \zeta I \geq 0$. From $\exp(t(A + \zeta I)) \leq \exp(t(A + \zeta I + X))$, using Proposition 5.9. $AX = XA$ follows.

(ii) Take $\zeta > 0$ such that $A + \zeta I - X \geq 0$. Then we can derive $AX = XA$.

PROPOSITION 11. *Let A and X be nonnegative compact operators. If $A^n \leq (A + sX)^n$ for every $s > 0$ and $n = 1, 2, \dots$, then $AX = XA$.*

Proof. Suppose $\mu_1(A) = \cdots = \mu_j(A) > \mu_{i+1}(A)$ as in the proof of Proposition 7. Let us take s which satisfies $s\|X\| < \mu_i(A) - \mu_{i+1}(A)$. Then the subspace $\{e_1, \dots, e_i\}$ reduces A and $A + sX$, where they commute. We have only to repeat this procedure to get $AXe_m = XAe_m$ for every m .

Let us end this paper by giving an example. Let A and B be nonnegative matrices. Set $V = \{rA + sB + tI; r, s, t > 0\}$. Then $AB = BA$ if

$$(9) \quad \exp\left(\frac{1}{2}(X + Y)\right) \leq \frac{1}{2}(\exp(X) + \exp(Y)) \quad \text{for every } X, Y \in V,$$

In fact, take $r > 0$ such that $A \leq rI \leq B + rI$. Then we have $\exp(tA) \leq \exp(t(B + rI))$ for every $t > 0$. From this and (9) it follows that

$$\exp\left(t(B + rI)\left(\frac{1}{2} + \left(\frac{1}{2}\right)^2 + \cdots + \left(\frac{1}{2}\right)^n\right) + t\left(\frac{1}{2}\right)^n A\right) \leq \exp(t(B + rI)).$$

By Corollary 10(ii), we get $AB = BA$. This example shows that we cannot regard $\exp(\frac{1}{2}(X + Y))$ as the geometric mean of $\exp X$ and $\exp Y$ if they do not commute (cf. [1]).

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