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ON DIVISORS OF SUMS OF INTEGERS. V

ANDRÁS SÁRKÖZY AND CAMERON LEIGH STEWART

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A. SÁRKÖZY AND C. L. STEWART

Dedicated to Professor P. Erdős on the occasion of his eightieth birthday.

Let N be a positive integer and let A and B be subsets of $\{1, \dots, N\}$. In this article we shall estimate both the maximum and the average of $\omega(a + b)$, the number of distinct prime factors of $a + b$, where a and b are from A and B respectively.

1. Introduction. For any set X let $|X|$ denote its cardinality and for any integer n larger than one let $\omega(n)$ denote the number of distinct prime factors of n . Let I be an integer larger than one and let ϵ be a positive real number. Let $2 = p_1, p_2, \dots$ be the sequence of prime numbers in increasing order and let m be that positive integer for which $p_1 \cdots p_m \leq N \leq p_1 \cdots p_{m+1}$. In [3], Erdős, Pomerance, Sárközy and Stewart proved that there exist positive numbers C_0 and C_1 which are effectively computable in terms of ϵ , such that if N exceeds C_0 and A and B are subsets of $\{1, \dots, N\}$ with $(|A||B|)^{1/2} > \epsilon N$ then there exist integers a from A and b from B for which

$$\omega(a + b) > m - C_1 \sqrt{m}.$$

They also showed that there is a positive real number ϵ , with $\epsilon < 1$, and an effectively computable positive number C_2 such that for each positive integer N there is a subset A of $\{1, \dots, N\}$ with $|A| \geq \epsilon N$ for which

$$\max_{a, a' \in A} \omega(a + a') < m - \frac{C_2 \sqrt{m}}{\log m}.$$

Notice by the prime number theorem that

$$m = (1 + o(1))(\log N)/(\log \log N).$$

In this article we shall study both the maximum of $\omega(a + b)$ and the average of $\omega(a + b)$ as a and b run over A and B respectively where A and B are subsets of $\{1, \dots, N\}$ for which $(|A||B|)^{1/2}$ is much smaller than ϵN . Our principal tool will be the large sieve inequality.

THEOREM 1. *Let θ be a real number with $1/2 < \theta \leq 1$ and let N be a positive integer. There exists a positive number C_3 , which is effectively computable in terms of θ , such that if A and B are subsets of $\{1, \dots, N\}$ with N greater than C_3 and*

$$(1) \quad (|A||B|)^{1/2} \geq N^\theta,$$

then there exists an integer a from A and an integer b from B for which

$$(2) \quad \omega(a + b) > \frac{1}{6} \left(\theta - \frac{1}{2} \right)^2 (\log N) / \log \log N.$$

In [6] Pomerance, Sárközy and Stewart showed that if A and B are sufficiently dense sets then there is a sum $a + b$ which is divisible by a small prime factor. In particular they proved the following result. Let β be a positive real number. There is a positive number C_4 , which is effectively computable in terms of β , such that if A and B are subsets of $\{1, \dots, N\}$ with $(|A||B|)^{1/2} > C_4 N^{1/2}$ then there is a prime number p with $\beta < p < C_4(N/(|A||B|)^{1/2})$, an integer a from A and an integer b from B such that p divides $a + b$. As a byproduct of our proof of Theorem 1 we are able to improve upon this result.

THEOREM 2. *Let N be a positive integer and let θ and β be real numbers with $1/2 < \theta < 1$. There is a positive number C_5 , which is effectively computable in terms of θ and β , such that if A and B are subsets of $\{1, \dots, N\}$ with*

$$(3) \quad (|A||B|)^{1/2} \geq N^\theta,$$

and N exceeds C_5 then there is a prime number p with

$$\beta < p \leq \left(\frac{\log N}{2} \right)^{1/(2\theta-1)}$$

such that every residue class modulo p contains a member of $A + B$.

It follows from the work of Elliott and Sárközy [1], see also Erdős, Maier and Sárközy [2] and Tenenbaum [7], that if A and B are subsets of $\{1, \dots, N\}$ with

$$(4) \quad (|A||B|)^{1/2} = N / \exp(o((\log \log N)^{1/2} \log \log \log N))$$

and N is sufficiently large then a theorem of Erdős-Kac type holds for $\omega(a + b)$. In particular for A and B satisfying (4) we have

$$(5) \quad \frac{1}{|A||B|} \sum_{a \in A} \sum_{b \in B} \omega(a + b) \sim \log \log N.$$

Let δ be a positive real number. If A and B are subsets of $\{1, \dots, N\}$ with $|A| \sim |B| \sim N \exp(-\delta \log \log \log N)$, then (5) need not hold. For instance we may take A and B to be the subset of $\{1, \dots, N\}$ consisting of the multiples of $\prod_{p < \delta \log \log N} p$. Then for N sufficiently large the average of $\omega(a + b)$ is at least $(1 + \delta/2) \log \log N$. On the other hand we conjecture that if A and B are subsets of $\{1, \dots, N\}$ with

$$(6) \quad \min(|A|, |B|) > \exp((\log N)^{1+o(1)}),$$

ϵ is a positive real number and N is sufficiently large in terms of ϵ then

$$(7) \quad \frac{1}{|A||B|} \sum_{a \in A} \sum_{b \in B} \omega(a + b) > (1 - \epsilon) \log \log N.$$

On taking A and B to be positive integers up to $\exp((\log N)^{1-\epsilon})$ we see that condition (6) cannot be weakened substantially. Furthermore, we conjecture that if we let N tend to infinity and A and B run over subsets of $\{1, \dots, N\}$ with

$$\frac{\log(\min(|A|, |B|))}{\log \log N} \rightarrow \infty$$

then

$$\frac{1}{|A||B|} \sum_{a \in A} \sum_{b \in B} \omega(a + b) \rightarrow \infty.$$

While we have not been able to establish (7) for all subsets A and B satisfying (6), we have been able to determine the average order for the number of large prime divisors of the sums $a + b$ for sufficiently dense sets A and B . As a consequence we are able to establish (7) for such sets.

THEOREM 3. *There exists an effectively computable positive constant C_6 such that if T and N are positive integers with $T \leq \sqrt{2N}$ and A and B are non-empty subsets of $\{1, \dots, N\}$ then*

$$\left| \frac{1}{|A||B|} \sum_{T < p} \sum_{a \in A, b \in B, p|(a+b)} 1 - (\log \log N - \log \log(3T)) \right| < C_6 + \frac{3N}{(|A||B|)^{1/2}T}.$$

We now take $T = N/(|A||B|)^{1/2}$ in Theorem 3 to obtain the following result.

COROLLARY 1. *There exists an effectively computable positive constant C_7 such that if N is a positive integer and A and B are subsets of $\{1, \dots, N\}$ with $|A||B| > N$ then*

$$\left| \frac{1}{|A||B|} \sum_{p > N(|A||B|)^{-1/2}} \sum_{a \in A, b \in B, p|(a+b)} 1 - (\log \log N - \log \log N(|A||B|)^{1/2}) \right| < C_7.$$

Therefore (7) holds for N sufficiently large provided that A and B are subsets of $\{1, \dots, N\}$ with

$$(|A||B|)^{1/2} = N \exp((\log N)^{o(1)}).$$

2. Preliminary Lemmas. For any real number x let $e(x) = e^{2\pi i x}$ and let $\|x\|$ denote the distance from x to the nearest integer.

Let M and N be integers with N positive and let a_{M+1}, \dots, a_{M+N} be complex numbers. Define $S(x)$ by

$$(8) \quad S(x) = \sum_{M+1}^{M+N} a_n e(nx).$$

Let X be a set of real numbers which are distinct modulo 1 and define δ by

$$(9) \quad \delta = \min_{x, x' \in X, x \neq x'} \|x - x'\|.$$

The analytical form of the large sieve inequality, (see Theorem 1 of [5]), is required for the proof of Theorem 3 and it is given below.

LEMMA 1. *Let $S(x)$ and δ be as in (8) and (9), respectively. Then*

$$\sum_{x \in X} |S(x)|^2 \leq (N + \delta^{-1}) \sum_{n=M+1}^{M+N} |a_n|^2.$$

We shall also make use of the following result, see Theorem 1 of [6], which was deduced with the aid of the arithmetical form of the large sieve inequality.

LEMMA 2. *Let N be a positive integer and let A and B be non-empty subsets of $\{1, \dots, N\}$. Let S be a set of prime numbers, let Q be a positive integer and let J denote the number of square-free positive integers up to Q all of whose prime factors are from S . If*

$$(10) \quad J(|A||B|)^{1/2} > N + Q^2,$$

then there is a prime p in S such that each residue class modulo p contains a member of the sum set $A + B$.

Finally, to prove Theorems 1 and 2 we shall require the next result.

LEMMA 3. *Let α and β be real numbers with $\alpha > 1$ and let N be a positive integer. Let T be the set of prime numbers p which satisfy $\beta < p \leq (\log N)^\alpha$ and let S be a subset of T consisting of all but*

at most $2 \log N$ elements of T . Let R denote the set of square-free positive integers less than or equal to N all of whose prime factors are from S . There exists a real number C_8 , which is effectively computable in terms of α and β , such that

$$|R| > 20N^{1-1/\alpha},$$

whenever N is greater than C_8 .

Proof. C_9, C_{10} and C_{11} will denote positive numbers which are effectively computable in terms of α and β . By the prime number theorem with error term,

$$(11) \quad |S| \geq \pi((\log N)^\alpha) - \pi(\beta) - 2 \log N > \frac{(\log N)^\alpha}{\alpha \log \log N},$$

provided that N is greater than C_9 . For any real number x let $[x]$ denote the greatest integer less than or equal to x . We now count the number of distinct ways of choosing $[\log N/(\alpha \log \log N)]$ primes from S . Each choice gives rise to a distinct square-free integer, given by the product of the primes, which does not exceed N and is composed only of primes from S . Then $|R| \geq \omega$ where

$$\omega = \left(\left[\frac{|S|}{\alpha \log \log N} \right] \right).$$

Thus

$$\omega \geq \frac{\left(|S| - \left[\frac{\log N}{\alpha \log \log N} \right] \right)^{\frac{\log N}{\alpha \log \log N} - 1}}{\left[\frac{\log N}{\alpha \log \log N} \right]!},$$

and so, by (11) and Stirling's formula,

$$\omega \geq \frac{\left(\frac{(\log N)^\alpha}{\alpha \log \log N} \left(1 - \frac{1}{(\log N)^{\alpha-1}} \right) \right)^{\frac{\log N}{\alpha \log \log N}}}{(\log N)^{\alpha+1} \left(\frac{\log N}{e\alpha \log \log N} \right)^{\frac{\log N}{\alpha \log \log N}}},$$

for $N > C_{10}$. Since $\log(1-x) > -2x$ for $0 < x < 1/2$, we find that, for $N > C_{11}$,

$$\omega \geq N^{1-1/\alpha} e^{\left(\frac{\log N}{\alpha \log \log N} - \frac{2(\log N)^{2-\alpha}}{\alpha \log \log N}\right)} (\log N)^{-\alpha-1},$$

hence

$$\omega > 20N^{1-1/\alpha},$$

as required. \square

3. Proof of Theorem 1. Let $\theta_1 = (\theta + 1/2)/2$ and define G and v by

$$G = (\log N)^{1/(2\theta_1-1)},$$

and

$$(12) \quad v = \left\lceil \frac{1}{6} \left(\theta - \frac{1}{2} \right)^2 \frac{\log N}{\log \log N} \right\rceil + 1,$$

respectively.

Put $A_0 = A, B_0 = B$ and $W_0 = \emptyset$. We shall construct inductively sets $A_1, \dots, A_v, B_1, \dots, B_v$ and W_1, \dots, W_v with the following properties. First, W_i is a set of i primes q satisfying $10 < q \leq G$, $A_i \subseteq A_{i-1}$ and $B_i \subseteq B_{i-1}$ for $i = 1, \dots, v$. Secondly every element of the sum set $A_i + B_i$ is divisible by each prime in W_i for $i = 1, \dots, v$. Finally,

$$(13) \quad |A_i| \geq \frac{|A|}{G^{3i}} \quad \text{and} \quad |B_i| \geq \frac{|B|}{G^{3i}},$$

for $i = 1, \dots, v$. Note that this suffices to prove our result since A_v and B_v are both non-empty and on taking a from A_v and b from B_v we find that $a + b$ is divisible by the v primes from W_v and so (2) follows from (12).

Suppose that i is an integer with $0 \leq i < v$ and that A_i, B_i and W_i have been constructed with the above properties. We shall now show how to construct A_{i+1}, B_{i+1} and W_{i+1} . First, for each prime p with $10 < p \leq G$ let $a_1, \dots, a_{j(p)}$ be representatives for those residue classes modulo p which are occupied by fewer than $|A_i|/p^3$ terms of A_i . For each prime p with $10 < p \leq G$ we remove from A_i those

terms of A_i which are congruent to one of $a_1, \dots, a_{j(p)}$ modulo p . We are left with a subset A'_i of A_i with

$$(14) \quad |A'_i| \geq |A_i| \left(1 - \sum_{10 < p \leq G} \frac{j(p)}{p^3} \right) \geq |A_i| \left(1 - \sum_{10 < p} \frac{1}{p^2} \right) \geq \frac{|A_i|}{10}$$

and such that for each prime p with $10 < p \leq G$ and each a' in A'_i , the number of terms of A_i which are congruent to a' modulo p is at least $|A_i|/p^3$. Similarly, we produce a subset B'_i of B_i with

$$(15) \quad |B'_i| \geq \frac{|B_i|}{10}$$

and such that for each prime p with $10 < p \leq G$ and each residue class modulo p which contains an element of B'_i the number of terms of B_i in the residue class is at least $|B_i|/p^3$.

The number of terms in W_i is i which is less than v and, by (12), is at most $\log N$. Thus we may apply Lemma 3 with $\beta = 10$ and $\alpha = 1/(2\theta_1 - 1)$ to conclude that there is a real number C_{12} , which is effectively computable in terms of θ , such that if N exceeds C_{12} then the number of square-free positive integers less than or equal to $N^{1/2}$ all of whose prime factors p satisfy $10 < p \leq G$ and $p \notin W_i$ is greater than

$$(16) \quad 20 N^{\frac{1}{2}(1-(2\theta_1-1))} = 20 N^{1-\theta_1}.$$

By our inductive assumption (13) and by (1) and (12), we obtain

$$(17) \quad (|A_i||B_i|)^{1/2} \geq (|A||B|)^{1/2} G^{-3i} \geq N^{\theta_1}.$$

Thus, by (14), (15) and (17),

$$(18) \quad (|A'_i||B'_i|)^{1/2} \geq \frac{N^{\theta_1}}{10}.$$

We now apply Lemma 2 with $A = A'_i$, $B = B'_i$, $Q = N^{1/2}$ and S the set of primes p with $10 < p \leq G$ and $p \notin W_i$. Then J , the number of square-free integers up to Q divisible only by primes from S , is greater than $20N^{1-\theta_1}$ by (16), for $N > C_{12}$ and so, by (18), inequality (10) holds. Thus there is a prime q_{i+1} in S , an element

a' in A'_i and an element b' in B'_i such that q_{i+1} divides $a' + b'$. We put

$$A_{i+1} = \{a \in A_i : a \equiv a' \pmod{q_{i+1}}\},$$

$$B_{i+1} = \{b \in B_i : b \equiv b' \pmod{q_{i+1}}\},$$

and

$$W_{i+1} = W_i \cup \{q_{i+1}\}.$$

By our construction every element of $A_{i+1} + B_{i+1}$ is divisible by each prime in W_{i+1} . Further, we have, by (13),

$$|A_{i+1}| \geq \frac{|A_i|}{q_{i+1}^3} \geq \frac{|A_i|}{G^3} \geq \frac{|A|}{G^{3(i+1)}},$$

and

$$|B_{i+1}| \geq \frac{|B|}{G^{3(i+1)}},$$

as required. Our result now follows.

4. Proof of Theorem 2. Let S be the set of primes p which satisfy $\beta < p \leq (\log(N^{1/2}))^{1/(2\theta-1)}$. Put $\alpha = 1/(2\theta - 1)$ and observe that α is a real number greater than one since $1/2 < \theta < 1$. Next let J denote the number of square-free positive integer less than or equal to $N^{1/2}$ all of whose prime factors are from S . By Lemma 3 there exists a positive number C_{13} , which is effectively computable in terms of θ , such that if N exceeds C_{13} , then

$$(19) \quad J > 20(N^{1/2})^{1-(2\theta-1)} = 20N^{1-\theta}.$$

We now apply Lemma 2 with $Q = N^{1/2}$ and with J and S as above. From (3) and (19) we obtain (10) and so our result follows from Lemma 2.

5. Proof of Theorem 3. Put $R = \lfloor \sqrt{2N} \rfloor$. We have

$$\begin{aligned} & \left| \sum_{a \in A} \sum_{b \in B} \sum_{T < p, p|a+b} 1 - \sum_{a \in A} \sum_{b \in B} \sum_{T < p \leq R, p|a+b} 1 \right| \\ &= \left| \sum_{a \in A} \sum_{b \in B} \sum_{R < p \leq 2N, p|a+b} 1 \right| \leq \left| \sum_{a \in A} \sum_{b \in B} 1 \right| = |A||B|. \end{aligned}$$

We define, for each real number α ,

$$F(\alpha) = \sum_{a \in A} e(a\alpha) \quad \text{and} \quad G(\alpha) = \sum_{b \in B} e(b\alpha).$$

Then

$$\begin{aligned} (21) \quad \sum_{a \in A} \sum_{b \in B} \sum_{T < p \leq R, p|a+b} 1 &= \sum_{T < p \leq R} \frac{1}{p} \sum_{h=0}^{p-1} F\left(\frac{h}{p}\right) G\left(\frac{h}{p}\right) \\ &= \sum_{T < p \leq R} \frac{1}{p} \left(|A||B| + \sum_{h=0}^{p-1} F\left(\frac{h}{p}\right) G\left(\frac{h}{p}\right) \right). \end{aligned}$$

Further there is an effectively computable positive constant C_{14} such that

$$(22) \quad \left| \sum_{T < p \leq R} \frac{1}{p} - (\log \log R - \log \log(3T)) \right| < C_{14},$$

see Theorem 427 of [4]. Put

$$H = \left| \sum_{a \in A} \sum_{b \in B} \sum_{T < p, p|a+b} 1 - |A||B|(\log \log N - \log \log(3T)) \right|.$$

By (20), (21) and (22),

$$H \leq C_{15}|A||B| + \sum_{T < p \leq R} \frac{1}{p} \sum_{h=1}^{p-1} \left| F\left(\frac{h}{p}\right) G\left(\frac{h}{p}\right) \right|.$$

For all real numbers u and v , $|u||v| \leq (|u|^2 + |v|^2)/2$ and thus

$$\begin{aligned} (23) \quad H &\leq C_{15}|A||B| + \frac{1}{2} \sum_{T < p \leq R} \frac{1}{p} \sum_{h=1}^{p-1} \left(\left(\frac{|B|}{|A|} \right)^{1/2} \left| F\left(\frac{h}{p}\right) \right|^2 \right. \\ &\quad \left. + \left(\frac{|A|}{|B|} \right)^{1/2} \left| G\left(\frac{h}{p}\right) \right|^2 \right). \end{aligned}$$

Put

$$S(n) = \sum_{p < n} \sum_{h=1}^{p-1} \left| F\left(\frac{h}{p}\right) \right|^2.$$

Then by Lemma 1, for $n \leq R$,

$$S(n) \leq (N + n^2)|A| \leq 3N|A|.$$

Thus we obtain

(24)

$$\begin{aligned} & \sum_{T < p \leq R} \frac{1}{p} \sum_{h=1}^{p-1} \left| F \left(\frac{h}{p} \right) \right|^2 \\ &= \sum_{n=T+1}^R \frac{S(n) - S(n-1)}{n} \\ &= \sum_{n=T+1}^R S(n) \left(\frac{1}{n} - \frac{1}{n+1} \right) - \frac{S(T)}{T+1} + \frac{S(R)}{R+1} \\ &= \sum_{n=T+1}^R 3N|A| \left(\frac{1}{n} - \frac{1}{n+1} \right) + \frac{3N|A|}{R+1} = \frac{3N|A|}{T+1}, \end{aligned}$$

and similarly

$$(25) \quad \sum_{T < p \leq R} \frac{1}{p} \sum_{h=1}^{p-1} \left| G \left(\frac{h}{p} \right) \right|^2 \leq \frac{3N|B|}{T+1}.$$

Our result follows from (23), (24) and (25).

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THE UNIVERSITY OF WATERLOO
WATERLOO, ONTARIO, CANADA N2L 3G1
E-mail address: cstewart@watserv1.uwaterloo.ca

Permanent address of A. Sárközy:
MATHEMATICAL INSTITUTE
OF THE HUNGARIAN ACADEMY OF SCIENCES
REÁLTANODA U. 13-15,
BUDAPEST, HUNGARY, H-1053

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