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THE COVERS OF A NOETHERIAN MODULE

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In this paper we define the covers of a module and describe some of their applications.

1. Introduction.

Let R be a commutative ring and A an R-module. A cover of A is defined to be a subset T of Max(R) satisfying that for any $x \in A$, $x \neq 0$, there is $M \in T$ such that $0:_R x \subseteq M$. If we denote by J the intersection of all the maximal ideals belonging to T and suppose that $A \neq 0$ is finitely generated, then we have $JA \neq A$. This generalises the Nakayama's lemma; if, in addition, R is Noetherian, then $\bigcap_{n=1}^{\infty} J^n A = 0$. This is a generalization of a well-known result. A key observation for the covers is that, in the case that R is Noetherian and A is finitely generated, there is a cover T of A which is itself a finite set. From this we have the following result: Let R be a Noetherian ring. Then there is a finite number of maximal ideals M_1, \ldots, M_m of R such that $\bigcap_{n=1}^{\infty} J^n = 0$, where $J = \bigcap_{i=1}^{m} M_i$. This generalises the Krull's theorem for Jacobson radicals. Using this result we can embed the Noetherian ring Rin the J-adic completion \hat{R} of R, which is a complete semi-local Noetherian ring; besides, if R is a Cohen-Macaulay (C-M for short) ring, then R is a C-M ring. We also use the covers to deal with the maximal component of a finitely generated module over a Noetherian ring, which was introduced by Matlis in [3].

Throughout the paper, R will denote a (non-trivial) commutative ring with identity. Also, if T is a subset of Max(R) we denote by $\cap T$ (resp. $\cup T$) the intersection (resp. union) of all the maximal ideals belonging to T.

2. The covers.

In this section we define the covers of a module and generalise some known results.

Definition. Let A be an R-module. A subset T of Max(R) is called a cover of A if for any $x \in A$, $x \neq 0$, there is $M \in T$ such that $0:_R x \subseteq M$.

Clearly, if T is a cover of A and B is a submodule of A, then T is a cover of B. If T is a cover of A and $T \subseteq T' \subseteq Max(R)$, then T' is a cover of A. We say that T is a finite cover of A, or A has a finite cover T, if T is a cover of A and T is itself a finite set. If T is a cover of A, we also say that T covers A.

Lemma 2.1. Let T be a cover of A. Then each $r \in R - \cup T$ is A-regular. Indeed if $a \in A - \{0\}$ and ra = 0, then $r \in (0 :_R a) \subseteq M$ for some $M \in T$, a contradiction.

Proposition 2.2. Let $A \neq 0$ be a finitely generated *R*-module and *T* a cover of *A*. Then $JA \neq A$, where $J = \cap T$.

Proof. Suppose that JA = A, then there is $r \in J$ such that (1 + r)A = 0, which contadicts Lemma 2.1.

Proposition 2.3. Let A be an R-module, T a cover of A, and $I \not\subseteq 0 :_R A$ an ideal of R. Set $J = \cap T$. If $A/0 :_A I$ is finitely generated, then $JA + (0 :_A I) \neq A$.

Proof. Since $I \not\subseteq 0 :_R A$, $A/0 :_A \neq 0$. Let $\bar{x} \in A/0 :_A I$ and $\bar{x} \neq 0$. Then $0 :_R \bar{x} = (0 :_A I) :_R x \subseteq 0 :_R Ix$. Since $x \notin 0 :_A I$, $Ix \neq 0$. Take $r \in I$ such that $rx \neq 0$, then $0 :_R \bar{x} \subseteq 0 :_R rx$. it follows that T is a cover of $A/0 :_A I$. By Proposition 2.2, $J(A/0 :_A I) \neq A/0 :_A I$, hence $JA + (0 :_A I) \neq A$.

Proposition 2.4. Let R be a Noetherian ring, A a finitely generated R-module, T a cover of A, and $I \subseteq \cap T$ an ideal of R. Then $\bigcap_{n=1}^{\infty} I^n A = 0$.

Proof. Set $\bigcap_{n=1}^{\infty} I^n A = B$. By Krull's theorem, there is $r \in I$ such that (1 + r)B = 0. From Lemma 2.1, B = 0.

Proposition 2.5. Let T be a finite subset of Max(R) and A an R-module. Set $J = \cap T$. If $\bigcap_{n=1}^{\infty} J^n A = 0$, then T is a cover of A.

Proof. If it were not true, there would be a non-zero element x of A such that for any $M \in T$, $0:_R x \notin M$. Thus for any integer n > 0 we have $(0:_R x) + M^n = R$, so $M^n x = Rx$. It then follows that $J^n x = Rx$, and thus $\bigcap_{n=1}^{\infty} J^n A \neq 0$, a contradiction.

Let R be a Noetherian ring and A a finitely generated R-module. We know that Ass(A) is a finite set. Let $Ass(A) = \{P_1, \ldots, P_n\}$. Choose a finite subset T of Max(R) in such a way that for any P_i , there is $M_i \in T$ such that $P_i \subseteq M_i$. Since for any $x \in A$, $x \neq 0$, there is P_i such that $0 :_R x \subseteq P_i$, it follows that T is a finite cover of A. Hence finite covers exist for any finitely generated module over a Noetherian ring. In particular, any Noetherian ring (as a module over itself) has finite covers.

As a consequence of the above remarks and Proposition 2.4 we have the following theorem.

Theorem 2.6. Let R be a Noetherian ring and A a finitely generated Rmodule. Then there is a finite subset T of Max(R) such that $\bigcap_{n=1}^{\infty} J^n A = 0$, where $J = \cap T$. In particular, if A = R, $\bigcap_{n=1}^{\infty} J^n = 0$.

It is clear that if R is a Noetherian ring and A is a finitely generated R-module, then for any cover T of A we have $T \supseteq Ass(A) \cap Max(R)$.

In general, if T is a cover of the module A and B is a submodule of A, T is not a cover of A/B. For example, if T is a cover of the ring R and $T \neq Max(R)$, then for any $M \in Max(R) - T$, T is not a cover of R/M.

Proposition 2.7. Let R be a Noetherian ring, A a finitely generated Rmodule, B a submodule of A, and T a finite cover of A. Then T is a cover of A/B if and only if B is a closed submodule of A in the J-adic topology, where $J = \cap T$.

Proof. Suppose first that B is closed, then we have $\bigcap_{n=1}^{\infty} (J^n A + B) = B$, so $\bigcap_{n=1}^{\infty} J^n(A/B) = 0$. By Proposition 2.5, T is a cover of A/B. Conversely, if T is a cover of A/B, then $\bigcap_{n=1}^{\infty} J^n(A/B) = 0$, by Proposition 2.4. So $\bigcap_{n=1}^{\infty} (J^n A + B) = B$, and hence B is closed.

Proposition 2.8. Let R be a Noetherian ring, A a finitely generated Rmodule, B a submodule of A, and I an ideal of R. Then it is possible to choose a finite subset T of Max(R) such that $\bigcap_{n=1}^{\infty} (J^n A + I^s B) = I^s B$, for all $s \ge 0$, where $J = \cap T$.

Proof. By [5, Theorem 5.5(1)], the sequence $\operatorname{Ass}(A/I^sB)$ is constant for large s, thus the set $\bigcup_{s=0}^{\infty} \operatorname{Ass}(A/I^sB)$ is finite. Hence it is possible to choose a finite subset T of $\operatorname{Max}(R)$ in such a way that T covers all A/I^sB . By Proposition 2.4, the Proposition follows.

3. The maximal component of a Noetherian module.

Throughout this section and the next section the ring R will be Noetherian and the modules will be finitely generated.

Let A be an R-module and define $X(A) = \{x \in A | \text{ every prime ideal containing } 0 :_R x \text{ is maximal } \}$. Then X(A) is a submodule of A. Matlis [3] called X(A) the maximal component of A. By [3, Corollary (3)], X(A) is the sum of all Artinian submodules of A, and hence is the largest Artinian submodule of A, since A is Noetherian. Further, X(A/X(A)) = 0.

Chatters [4] gave a similar discussion for Noetherian rings (not necessary to be commutative).

From [3, Corollary (1)] and the fact that X(A) has finite length we have the following result.

Theorem 3.1. Let T be a finite cover of A. Set $J = \cap T$. Then $X(A) = \bigcup_{n=1}^{\infty} (0:_A J^n)$.

The following result is standard.

Lemma 3.2. Let I be an ideal of R and $A \neq 0$ an R-module. Then $dep_I(A) > 0$ if and only if $0 :_A I = 0$.

Theorem 3.3. Let A be an R-module, not Artinian. Let T be a finite cover of A and set $J = \cap T$. Then X(A) is the least element of the set

 $S = \{B|B \text{ is a proper submodule of } A \text{ and } \deg_J(A/B) > 0\}.$

Proof. Since A is not Artinian, X(A) is a proper submodule of A. By Theorem 3.1, we may assume that $X(A) = 0 :_A J^N$. Now

$$0:_{A/X(A)} J = (X(A):_A J) / X(A) = 0:_A J^{N+1} / 0:_A J^N = 0.$$

From Lemma 3.2, $\deg_J(A/X(A)) > 0$. Hence we have $X(A) \in S$. If B is a proper submodule of A satisfying that $\deg_J(A/B) > 0$, again by Lemma 3.2, $0:_{A/B} J = (B:_A J)/B = 0$, i.e., $B:_A J = B$. Hence for any integer n > 0, $B:_A J^n = B$. Thus we get that $B = B:_A J^N \supseteq 0:_A J^N = X(A)$, i.e., X(A) is the least element of S.

Corollary 3.4. Let A be a non-zero R-module and T a finite cover of A. Set $J = \cap T$. Then dep_J(A) > 0 if and only if X(A) = 0.

Let $T = \{M_1, \ldots, M_n\}$ be a finite cover of the *R*-module *A*. We want to find the relations between X(A) and $X(A_{M_i})$, $1 \le i \le n$. For any $P \in$ $\operatorname{Spec}(R)$, if *K* is an *R*_P-submodule of *A*_P, denote by K^c the contradiction of *K* to *A*. We have $(K^c)_P = K$. If *B* is a submodule of *A*, then $(B_P)^c = \bigcup_{r \in R-P} (B :_A r)$. It is also easily checked that if *B* is a submodule of *A* and K is an R_P -submodule of B_P , then $(K^c \cap B)_P = K$. It follows that if B is an Artinian submodule of A, then B_P is an Artinian submodule of A_P . In particular, we have $X(A)_P \subseteq X(A_P)$.

Theorem 3.5. Let A be an R-module and $T = \{M_1, \ldots, M_n\}$ be a finite cover of A. Set $J = \cap T$. Then

$$X(A) = \bigcap_{i=1}^{n} X(A_{M_i})^c.$$

Proof. Since $X(A) \subseteq (X(A)_{M_i})^c \subseteq X(A_{M_i})^c$ for all i, we have $X(A) \subseteq \bigcap_{i=1}^n X(A_{M_i})^c$. On the other hand, from Theorem 3.1 we can take a fixed integer s > 0 such that $X(A_{M_i}) = 0:_{A_{M_i}} M_i^s R_{M_i}$ for all i. Hence

$$X(A_{M_{i}})^{c} = \left(0:_{A_{M_{i}}} M_{i}^{s} R_{M_{i}}\right)^{c} = \left(\left(0:_{A} M_{i}^{s}\right)_{M_{i}}\right)^{c} = \bigcup_{r \in R-M_{i}} \left(\left(0:_{A} M_{i}^{s}\right):_{A} r\right).$$

If $x \in \bigcap_{i=1}^{n} X(A_{M_i})^c$, then for each *i* there is $r_i \in R - M_i$ such that $r_i M_i^s x = 0$. Since $r_i R + M_i = R$, we have $M_i^{s+1} x = M_i^s x$. Thus

$$M_1^{s+1}M_2^{s+1}x = M_1^{s+1}M_2^sx = M_2^sM_1^{s+1}x = M_2^sM_1^sx = M_1^sM_2^sx.$$

Similarly we have $M_1^{s+1} \cdots M_n^{s+1} x = M_1^s \cdots M_n^s x$. So $J^{s+1} x = J^s x$, and hence $J^s x = 0$ by Proposition 2.2. Thus $x \in 0 :_A J^s \subseteq X(A)$, and the proof is complete.

In the remainder of this section we consider modules over local rings.

Lemma 3.6. Let (R, M) be a local ring (M is the unique maximal ideal of R) and A an R-module. If A is not Artinian, then $\dim(A) = \dim(A/X(A))$.

Proof. By the definitions of dim(A) and dim(A/X(A)) we need to show that $\operatorname{rad}(0:_R A) = \operatorname{rad}(0:_R (A/X(A)))$. Clearly, we need only to show that $0:_R (A/X(A)) \subseteq \operatorname{rad}(0:_R A)$. This follows from the fact that if $r \in R$ such that $rA \subseteq X(A)$, then $rM^sA \subseteq M^sX(A) = 0$ for some integer s > 0, hence $r^{s+1} \in 0:_R A$.

Lemma 3.7. [6, p. 105]. Let R be a local ring and A an R-module. If r_1, \ldots, r_n is an A-sequence, then

$$\dim(A/(r_1,\ldots,r_n)A) = \dim(A) - n.$$

Theorem 3.8. Let (R, M) be a local ring and $A \neq 0$ an R-module. Then there is a strictly ascending chain $A_1 \subset \cdots \subset A_s$ of submodules of A such that

$$\sum_{i=1}^{s} \operatorname{dep}(A/A_i) = \operatorname{dim}(A).$$

Proof. We use induction on $d = \dim(A)$. If d = 0, then $R/(0 :_R A)$ is Artinian. It follows that $0 :_R A$ is M-primary, and hence $M^r \subseteq 0 :_R A$ for some integer r > 0. It is clear that $\deg(A) = 0$, and we can take s = 1 and $A_1 = 0$ in this case. If d > 0, then $0 :_R A$ is not M-primary, and thus $M^n \notin 0 :_R A$ for any integer n > 0. It then follows that $A \neq X(A)$, by Theorem 3.1. Since X(A/X(A)) = 0, $\deg(A/X(A)) > 0$, by Corollary 3.4. Take a maximal A/X(A)-sequence x_1, \ldots, x_n and set $B = (x_1, \ldots, x_n)A + X(A)$. Further, set A' = A/X(A). From Lemma 3.7 and Lemma 3.6, $\dim(A/B) = \dim(A'/(x_1, \ldots, x_n)A') = \dim(A') - n = \dim(A) - n < \dim(A)$. By induction there is a strictly ascending chain $A_2/B \subset \cdots \subset A_s/B$ of submodules of A/B such that $\sum_{i=2}^s \deg(A/A_i) = \dim(A/B)$. Set $A_1 = X(A)$, then the submodules A_1, \ldots, A_s satisfy the required conditions.

4. The completions and embeddings.

Proposition 4.1. Let T be a finite cover of the Noetherian ring R, I an ideal of R. If we consider R with the I-adic topology, the following conditions are equivalent:

- (1) $I \subseteq \cap T;$
- (2) the zero ideal and every prime ideal contained in $\cup T$ is closed;

(3) $f^{-1}(M\widehat{R}) = M$ for all $M \in T$, where \widehat{R} is the *I*-adic completion of R and $f: R \to \widehat{R}$ is the natural map.

Proof. (1) \Rightarrow (2). Since $\bigcap_{m=1}^{\infty} I^m = 0$ the zero ideal is closed. If $P \subseteq \cup T$ is a prime ideal, then $P \subseteq M$ for some $M \in T$. Since $\operatorname{Ass}_R(R/P) = \{P\}$, we see that T is a cover of R/P. By Proposition 2.4, $\bigcap_{m=1}^{\infty} (I^m + P) = P$, i.e., P is closed.

 $(2) \Rightarrow (3)$. Since $\{0\}$ is closed, we can assume that $R \subseteq \widehat{R}$. Let $M \in T$. By [2, Theorem 21; p. 421], $M\widehat{R}$ is the closure of M in \widehat{R} , hence $M\widehat{R} \cap R$ consists of elements of R which are limits of elements contained in M. Since M is closed we get that $M\widehat{R} \cap R = M$.

(3) \Rightarrow (1). Since $M\hat{R}$ is closed in \hat{R} and since the map $f : R \to \hat{R}$ is continuous, M is closed in R for all $M \in T$. If $I \not\subseteq \cap T$, then $I \not\subseteq M$ for some $M \in T$. But then we have $I^m + M = R$ for all integer m > 0, contradicting the fact that M is closed.

Let T be a finite cover of R and set $J = \cap T$ and $S = R - \cup T$. It is immediate from Lemma 2.1 that the map $A \to A_S$ is injective. Also, the J-adic completion of R is the same as the JR_S -adic completion of R_S . So we have the following result. **Theorem 4.2.** Any Noetherian ring R can be embedded in a complete semilocal Noetherian ring; moreover, if R is irreducible, then R can be embedded in a complete local Noetherian ring.

If I is an ideal of R, we write dep(I) to stand for $dep_I(R)$.

Theorem 4.3. Let $T = \{M_1, \ldots, M_n\}$ be a finite cover of the Noetherian ring R and set $J = \cap T$ and $S = R - \cup T$. Then the J-adic completion \widehat{R} of R is a C-M ring if and only if dep $(M_i) = ht(M_i), i = 1, 2, \ldots, n$.

Proof. To prove the theorem, it suffices to show that $ht(M_i) = ht(M_i\hat{R})$ and $dep(M_i) = dep(M_i\hat{R}), i = 1, 2, ..., n$.

(1). The proof of $\operatorname{ht}(M_i) = \operatorname{ht}(M_i\widehat{R})$. Let $B = R_S$, $Q_i = M_iR_S$, and $R_i = B_{Q_i}$. We now regard \widehat{R} as the *JB*-adic completion of *B*. From [1, Theorem 8.15], $\widehat{R} = \widehat{R}_1 \times \cdots \times \widehat{R}_n$, where \widehat{R}_i is the completion of the local ring R_i . By [2, Theorem 30; p. 433] we have

$$\operatorname{ht}\left(\left(Q_{i}R_{i}\right)\widehat{R}_{i}\right) = \operatorname{dim}\left(\widehat{R}_{i}\right) = \operatorname{ht}\left(Q_{i}\right) = \operatorname{ht}\left(M_{i}\right).$$

Thus

$$\operatorname{ht}\left(M_{i}\widehat{R}\right) = \operatorname{ht}\left(\left(Q_{i}R_{i}\right)\widehat{R}_{i}\right) = \operatorname{ht}\left(M_{i}\right).$$

(2). The proof of dep $(M_i) = dep (M_i \hat{R})$. We may view R as a subring of \hat{R} . If A is an R-module, let \hat{A} be the J-adic completion of A, z(A)and $z(\hat{A})$ the sets of annihilators of A and \hat{A} respectively. First we have that if $x \notin z(A)$, then $x \notin z(\hat{A})$. This is because tensoring \hat{R} over R preserves the monomorphism $A \xrightarrow{x} A$, for \hat{R} is R-flat. Let $dep(M_i) = s$ and x_1, \ldots, x_s be a maximal regular sequence (on R) contained in M_i . Since $x_{j+1} \notin z(R/(x_1, \ldots, x_j))$ implies $x_{j+1} \notin z(\hat{R}/(x_1, \ldots, x_j)\hat{R})$, we have that $x_1 \ldots, x_s$ is a regular sequence on \hat{R} contained in $M_i \hat{R}$, so dep $(M_i \hat{R}) \ge s$.

On the other hand, since $M_i \subseteq z(R/(x_1, \ldots, x_s))$ and since M_i is maximal, there is $x \in R$ such that $M_i = (x_1, \ldots, x_s) :_R x$. Thus we have $M_i \hat{R} = (x_1, \ldots, x_s) \hat{R} :_{\widehat{R}} x$, by [2, Lemma 7; p. 424]. So $M_i \hat{R} \subseteq z(\hat{R}/(x_1, \ldots, x_s)\hat{R})$ and hence dep $(M_i \hat{R}) = s = dep(M_i)$. The proof is complete. \Box

Corollary 4.4. Let R be a semi-local Noetherian ring and J the Jacobson radical of R. Then the J-adic completion \widehat{R} of R is a C-M ring if and only

if R is a C-M ring.

Corollary 4.5. Any C-M ring can be embedded in a complete semi-local C-M ring.

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