

PRIMITIVE LATTICE POINTS IN STARLIKE PLANAR SETS

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This article is concerned with the number $B_{\mathcal{D}}(x)$ of integer points with relative prime coordinates in $\sqrt{x}\mathcal{D}$, where x is a large real variable and \mathcal{D} is a starlike set in the Euclidean plane. Assuming the truth of the Riemann Hypothesis, we establish an asymptotic formula for $B_{\mathcal{D}}(x)$. Applications to certain special geometric and arithmetic problems are discussed.

1. Introduction.

Let \mathcal{D} denote a subset of \mathbb{R}^2 which is *starlike* with respect to the origin, i.e., if $\mathbf{u} \in \mathbb{R}^2$ belongs to \mathcal{D} , automatically $\lambda\mathbf{u} \in \mathcal{D}$ for $0 < \lambda < 1$. The distance function F of \mathcal{D} is defined by

$$F(\mathbf{u}) = \inf \left\{ \tau > 0 : \frac{\mathbf{u}}{\tau} \in \mathcal{D} \right\},$$

with the usual understanding that $\inf \emptyset = \infty$. Let us put $Q = F^2$, then Q is homogeneous of degree 2. For a large real variable x , we define $A_{\mathcal{D}}(x)$ as the number of lattice points of $\mathbb{Z}_*^2 := \mathbb{Z}^2 \setminus \{(0, 0)\}$ in the “blown up” domain $\sqrt{x}\mathcal{D}$, i.e.,

$$A_{\mathcal{D}}(x) = \# (\sqrt{x}\mathcal{D} \cap \mathbb{Z}_*^2).$$

We make the supposition that $A_{\mathcal{D}}(x)$ satisfies an asymptotic formula

$$(1.1) \quad A_{\mathcal{D}}(x) = \sum_{r=0}^R c_r x^{\alpha_r} + O(x^{\alpha}),$$

with

$$(1.2) \quad \alpha_0 = 1 > \alpha_1 > \cdots > \alpha_R > \alpha, \quad \alpha < \frac{1}{2}.$$

(For a wealth of results of the form (1.1) on specific planar lattice point problems the reader may consult the monograph of Krätzel [11].)

The objective of the present paper is to study the number $B_{\mathcal{D}}(x)$ of primitive lattice points in $\sqrt{x}\mathcal{D}$, i.e.,

$$B_{\mathcal{D}}(x) = \#\{\mathbf{m} = (m_1, m_2) \in \mathbb{Z}_*^2 : \mathbf{m} \in \sqrt{x}\mathcal{D}, \gcd(m_1, m_2) = 1\}.$$

By Möbius inversion,

$$(1.3) \quad B_{\mathcal{D}}(x) = \sum_{m \in \mathbb{N}} \mu(m) A_{\mathcal{D}}\left(\frac{x}{m^2}\right),$$

where $\mu(m)$ denotes the Möbius function. By an elementary convolution argument, one can derive from the bound

$$(1.4) \quad \sum_{m \leq Y} \mu(m) \ll Y \omega(Y)$$

(see Ivić [8, p. 309]), combined with (1.1) and (1.3),

$$(1.5) \quad B_{\mathcal{D}}(x) = \sum_{r: \alpha_r \geq \frac{1}{2}} \frac{c_r}{\zeta(2\alpha_r)} x^{\alpha_r} + O\left(x^{1/2} \omega(x)\right),$$

where

$$\omega(x) = \exp(-c(\log x)^{3/5}(\log \log x)^{-1/5})$$

with $c > 0$, is a factor familiar from the Prime Number Theorem. (1.4) and (1.5) contain the strongest information available to date concerning zero-free regions of the Riemann zeta-function. At the present state of art, it is not possible to reduce the exponent $\frac{1}{2}$ of x in the O -term of (1.5). This will be evident from Lemma 1 below (with $y = 1$), in view of the fact that the Riemann zeta-function could have zeros with real part arbitrarily close to 1.

It is therefore natural to search for stronger estimates assuming the truth of the *Riemann Hypothesis* (henceforth quoted as RH).

This problem has been attacked by Moroz [15], for the slightly simplified case that $R = 0$. He obtained the result that

$$B_{\mathcal{D}}(x) = c_0 \frac{6}{\pi^2} x + O\left(x^{\frac{2-\alpha}{5-4\alpha} + \varepsilon}\right) \quad (\varepsilon > 0),$$

conditionally under RH.

We remark that recently Hensley [5] has recently written a paper on the subject, too, apparently unaware of Moroz's work. He used a methodically original approach but failed to sharpen the estimate.

In this paper, our ultimate goal will be to prove the following.

Theorem. *Suppose that $\mathcal{D} \subset \mathbb{R}^2$ is starlike with respect to the origin and that $A_{\mathcal{D}}(x)$ satisfies the asymptotic formula (1.1). If RH is true,*

$$B_{\mathcal{D}}(x) = \sum_{r: \alpha_r > \theta} \frac{c_r}{\zeta(2\alpha_r)} x^{\alpha_r} + O(x^{\theta+\varepsilon}),$$

for a large real variable x , arbitrary fixed $\varepsilon > 0$, and

$$\theta := \frac{4 - \alpha}{11 - 8\alpha}.$$

Before going into technical details (which we postpone to Sections 2 and 3), we outline the essential ideas of the proof.

First of all, it will be convenient to consider the quantities

$$A_{\mathcal{D}}^*(x) := \#\{\mathbf{m} \in \mathbb{Z}_*^2 : Q(\mathbf{m}) \leq x\},$$

and

$$B_{\mathcal{D}}^*(x) := \#\{\mathbf{m} = (m_1, m_2) \in \mathbb{Z}_*^2 : Q(\mathbf{m}) \leq x, \gcd(m_1, m_2) = 1\},$$

instead of $A_{\mathcal{D}}(x)$, $B_{\mathcal{D}}(x)$. Since, for every $\delta > 0$,

$$A_{\mathcal{D}}(x) \leq A_{\mathcal{D}}^*(x) \leq A_{\mathcal{D}}(x + \delta), \quad B_{\mathcal{D}}^*(x - \delta) \leq B_{\mathcal{D}}(x) \leq B_{\mathcal{D}}^*(x),$$

$A_{\mathcal{D}}^*(x)$ satisfies the asymptotic formula (1.1) as well, and the Theorem is immediate for $B_{\mathcal{D}}(x)$ if it has been proved for $B_{\mathcal{D}}^*(x)$.

Further, it is clear that

$$Q_1 := \inf_{\mathbf{u} \in \mathbb{Z}_*^2} Q(\mathbf{u}) > 0.$$

Thus we may restrict the summation in (1.3) to $1 \leq m \leq \sqrt{x/Q_1}$, and obtain by splitting up

$$(1.6) \quad B_{\mathcal{D}}^*(x) = \sum_{m \leq y} \mu(m) A_{\mathcal{D}}^*\left(\frac{x}{m^2}\right) + \sum_{m > y} \mu(m) A_{\mathcal{D}}^*\left(\frac{x}{m^2}\right) =: S_1 + S_2,$$

where $y = y(x) < \sqrt{x/Q_1}$ is a parameter remaining at our disposition. By (1.1),

$$(1.7) \quad S_1 = \sum_{r=0}^R c_r x^{\alpha_r} \sum_{m \leq y} \frac{\mu(m)}{m^{2\alpha_r}} + O(x^{\alpha} y^{1-2\alpha}).$$

Using the classic conditional bound (valid under RH)

$$(1.8) \quad \sum_{m \leq Y} \mu(m) \ll Y^{1/2+\varepsilon'} \quad (\varepsilon' > 0),$$

summation by parts gives

$$\sum_{m \leq y} \frac{\mu(m)}{m^{2\alpha_r}} = \begin{cases} \frac{1}{\zeta(2\alpha_r)} + O\left(y^{\frac{1}{2}-2\alpha_r+\varepsilon'}\right) & \text{if } \alpha_r > \frac{1}{4}, \\ O\left(y^{\frac{1}{2}-2\alpha_r+\varepsilon'}\right) & \text{else.} \end{cases}$$

Thus (1.7) may be rewritten in the form

$$(1.9) \quad \begin{aligned} S_1 = & \sum_{r: \alpha_r > \frac{1}{3}(2+\alpha)} c_r x^{\alpha_r} \sum_{m \leq y} \frac{\mu(m)}{m^{2\alpha_r}} + \sum_{r: \frac{1}{4} < \alpha_r \leq \frac{1}{3}(2+\alpha)} \frac{c_r}{\zeta(2\alpha_r)} x^{\alpha_r} \\ & + \sum_{r: \alpha_r \leq \frac{1}{3}(2+\alpha)} O\left(x^{\alpha_r} y^{\frac{1}{2}-2\alpha_r+\varepsilon'}\right) + O\left(x^\alpha y^{1-2\alpha}\right). \end{aligned}$$

To deal with S_2 , an obvious possibility is to use (1.8) one more time and to apply summation by parts repeatedly. Observing that $A_{\mathcal{D}}^*(w)$ is monotone and $\ll w$, one obtains

$$S_2 \ll x^{\varepsilon'} y^{1/2} \frac{x}{y^2}.$$

(See Moroz [15, formula (8)].)

The key step of the present paper is to improve this elementary estimate by a contour integration technique in the spirit of a classic paper due to Montgomery and Vaughan [14].

Proposition. *If the Riemann Hypothesis is true,*

$$(1.10) \quad \begin{aligned} S_2 = & \sum_{m > y} \mu(m) A_{\mathcal{D}}^*\left(\frac{x}{m^2}\right) = \sum_{r: \alpha_r > \frac{1}{3}(2+\alpha)} c_r x^{\alpha_r} \sum_{m > y} \frac{\mu(m)}{m^{2\alpha_r}} \\ & + O\left(x^{\alpha+\varepsilon'}\right) + O\left(x^{\varepsilon'} y^{1/2} \left(\frac{x}{y^2}\right)^{\frac{2+\alpha}{3}}\right) \quad (\varepsilon' > 0), \end{aligned}$$

for large real parameters x and y with $1 \leq y \ll x^{1/2}$.

We combine this result with (1.9) and note that the last O -terms in (1.9) and (1.10), respectively, are of the same order (apart from ε 's) for

$$(1.11) \quad y = x^{\frac{4(1-\alpha)}{11-8\alpha}}.$$

This choice of y readily yields the assertion of our Theorem, since it is easily verified that, for $\alpha_r \leq \frac{1}{3}(2 + \alpha)$,

$$x^{\alpha_r} y^{\frac{1}{2}-2\alpha_r} \ll x^\theta.$$

2. Some Lemmas.

For $\operatorname{Re} s > 1$, we define the zeta-function $Z_{\mathcal{D}}(s)$ of the set \mathcal{D} by the absolutely convergent Dirichlet series

$$Z_{\mathcal{D}}(s) = \sum_{\mathbf{m} \in \mathbb{Z}_*^2: Q(\mathbf{m}) < \infty} (Q(\mathbf{m}))^{-s}.$$

We further put, for real $y \geq 1$ and a complex variable s ,

$$(2.1) \quad f_y(s) = \frac{1}{\zeta(s)} - \sum_{m \leq y} \frac{\mu(m)}{m^s}.$$

This is regular in every $s \in \mathbb{C}$ which is not a zero of the Riemann zeta-function.

Lemma 1. *For a large real variable x , and any fixed $C \geq 5$,*

$$\begin{aligned} S_2 &= \sum_{m > y} \mu(m) A_{\mathcal{D}}^* \left(\frac{x}{m^2} \right) \\ &= \frac{1}{2\pi i} \int_{3-ix^C}^{3+ix^C} Z_{\mathcal{D}}(s) f_y(2s) \frac{x^s}{s} ds + O(x^{\alpha+\varepsilon}) \quad (\varepsilon > 0), \end{aligned}$$

uniformly in $1 \leq y \ll \sqrt{x}$.

Proof. This clearly is a type of truncated Perron's formula. It is hard to find an explicit reference in the literature, although the argument runs on familiar lines:

Let us write the (positive and finite) values attained by $Q(\mathbf{m})$, as \mathbf{m} runs through \mathbb{Z}_*^2 , in form of a strictly increasing sequence $(\lambda_k)_{k \in \mathbb{N}}$. Put further

$$\mu_y(m) = \begin{cases} \mu(m) & \text{if } m > y, \\ 0 & \text{else,} \end{cases}$$

then it follows by the homogeneity of Q that, for $\operatorname{Re} s > 1$,

$$(2.2) \quad Z_{\mathcal{D}}(s) f_y(2s) = \sum_{\mathbf{n} \in \mathbb{Z}_*^2: Q(\mathbf{n}) < \infty} \gamma(\mathbf{n})(Q(\mathbf{n}))^{-s} = \sum_{k=1}^{\infty} \eta_k \lambda_k^{-s},$$

with

$$\begin{aligned} \gamma(\mathbf{n}) &:= \sum_{m|\mathbf{n}} \mu_y(m), \\ \eta_k &:= \sum_{\mathbf{n}: Q(\mathbf{n})=\lambda_k} \gamma(\mathbf{n}). \end{aligned}$$

Here $m|(n_1, n_2)$ means that $m|\gcd(n_1, n_2)$. For later reference, we note that, for any $\mathbf{n} = (n_1, n_2) \in \mathbb{Z}_*^2$ with $Q(\mathbf{n}) < \infty$,

$$(2.3) \quad \gcd(n_1, n_2) \ll Q(\mathbf{n}).$$

To realize this, let

$$\mathbf{n}^* := \left(\frac{n_1}{\gcd(n_1, n_2)}, \frac{n_2}{\gcd(n_1, n_2)} \right),$$

then $\mathbf{n}^*, 2\mathbf{n}^*, \dots, \gcd(n_1, n_2)\mathbf{n}^*$ all belong to $\sqrt{2Q(\mathbf{n})}\mathcal{D} \cap \mathbb{Z}_*^2$. Therefore, by (1.1),

$$\gcd(n_1, n_2) \leq A_{\mathcal{D}}(2Q(\mathbf{n})) \ll Q(\mathbf{n}).$$

Furthermore,

$$(2.4) \quad S_2 = \sum_{m>y} \mu(m) \left(\sum_{Q(\mathbf{n}) \leq \frac{x}{m^2}} 1 \right) = \sum_{m, \mathbf{n}: Q(m\mathbf{n}) \leq x} \mu_y(m) = \sum_{k: \lambda_k \leq x} \eta_k.$$

It is well-known that, for $a > 0$, $a \neq 1$, and T sufficiently large,

$$\frac{1}{2\pi i} \int_{3-iT}^{3+iT} \frac{a^s}{s} ds = \begin{cases} \chi(a) + O\left(\frac{a^3}{T|\log a|}\right), & (*) \\ O(a^3), & (**) \end{cases}$$

where χ is the characteristic function of the interval $]1, \infty[$. Of this formula, (*) may be found in Apostol [1, p. 243], while (**) is immediate by taking as a path of integration the boundary of the domain $\{s \in \mathbb{C} : |s| \leq T, \operatorname{Re} s \leq 3\}$ if $a > 1$, resp., of $\{s \in \mathbb{C} : |s| \leq T, \operatorname{Re} s \geq 3\}$ if $a < 1$ (cf. Prachar [21, p. 379]).

Therefore, by (2.2) and (2.4),

$$(2.5) \quad \begin{aligned} & \frac{1}{2\pi i} \int_{3-ix^C}^{3+ix^C} Z_{\mathcal{D}}(s) f_y(2s) \frac{x^s}{s} ds \\ &= S_2 + \sum_{k: |\lambda_k - x| \geq 1} O\left(\frac{|\eta_k|}{\lambda_k^3 x^2 |\log \lambda_k - \log x|}\right) + \sum_{k: |\lambda_k - x| < 1} O(|\eta_k|). \end{aligned}$$

By the mean-value theorem,

$$|\log \lambda_k - \log x|^{-1} \leq \frac{\max(\lambda_k, x)}{|\lambda_k - x|} \ll \frac{\lambda_k x}{|\lambda_k - x|},$$

thus the first error term sum here is

$$\ll \frac{1}{x} \sum_{k=1}^{\infty} |\eta_k| \lambda_k^{-2} \ll 1,$$

since the series in (2.2) converges absolutely for $\operatorname{Re} s > 1$. Further,

$$|\eta_k| \leq \sum_{\mathbf{n}: Q(\mathbf{n})=\lambda_k} |\gamma(\mathbf{n})| \ll \lambda_k^{\varepsilon'} \sum_{\mathbf{n}: Q(\mathbf{n})=\lambda_k} 1,$$

for any $\varepsilon' > 0$, in view of (2.3) and the definition of $\gamma(\mathbf{n})$. Thus the second error term sum in (2.5) is

$$\ll x^{\varepsilon'} \sum_{\mathbf{n}: |Q(\mathbf{n})-x|<1} 1 \ll x^{\varepsilon'} (A_{\mathcal{D}}^*(x+1) - A_{\mathcal{D}}^*(x-1)) \ll x^{\alpha+\varepsilon'},$$

in view of (1.1). This proves Lemma 1. □

The key point to prove the Proposition will be to have at hand the following estimates for the growth of the complex function $Z_{\mathcal{D}}(s)$ in the vertical direction.

Lemma 2.

(i) For any $\sigma_1 > \alpha$, there exists a positive real number $\omega < 1$ such that

$$Z_{\mathcal{D}}(\sigma + it) \ll |t|^{\omega},$$

uniformly in $\sigma \geq \sigma_1, |t| \geq 1$.

(ii) For a real parameter $T \geq 4$, fixed $\varepsilon' > 0$, and any fixed β with¹ $\frac{2+\alpha}{3} \leq \beta < 1$, it follows that

$$\int_T^{2T} |Z_{\mathcal{D}}(\beta + it)| dt \ll T^{1+\varepsilon'}.$$

Proof. Let us rewrite (1.1) in the form

$$(2.6) \quad P_{\mathcal{D}}^*(x) := A_{\mathcal{D}}^*(x) - \sum_{r=0}^R c_r x^{\alpha r} \ll x^{\alpha}.$$

Let further X denote a positive real number which is not attained by $Q(\mathbf{n})$ as \mathbf{n} runs through \mathbb{Z}_{**}^2 . Using Stieltjes integral calculus, we conclude that,

¹For $\beta \geq 1$, the estimate is trivial and not needed later.

for $\operatorname{Re} s > 1$,

$$\begin{aligned}
 Z_{\mathcal{D}}(s) - \sum_{0 < Q(\mathbf{n}) \leq X} (Q(\mathbf{n}))^{-s} &= \int_X^\infty w^{-s} d \left(\sum_{r=0}^R c_r w^{\alpha_r} + P_{\mathcal{D}}^*(w) \right) \\
 (2.7) \quad &= \sum_{r=0}^R c_r \alpha_r \int_X^\infty w^{-s+\alpha_r-1} dw + \int_X^\infty w^{-s} dP_{\mathcal{D}}^*(w) \\
 &= \sum_{r=0}^R c_r \frac{\alpha_r}{s - \alpha_r} X^{\alpha_r-s} - X^{-s} P_{\mathcal{D}}^*(X) + s \int_X^\infty w^{-s-1} P_{\mathcal{D}}^*(w) dw.
 \end{aligned}$$

In this identity we choose $0 < X < Q_1$ and let $X \rightarrow Q_1-$ to obtain

$$(2.8) \quad Z_{\mathcal{D}}(s) = \sum_{r=0}^R c_r \frac{s}{s - \alpha_r} Q_1^{\alpha_r-s} + s \int_{Q_1}^\infty w^{-s-1} P_{\mathcal{D}}^*(w) dw.$$

In view of (2.6), this provides a meromorphic continuation of $Z_{\mathcal{D}}(s)$ to the half-plane $\operatorname{Re} s > \alpha$, with simple poles at $s = \alpha_r$, $r = 0, \dots, R$. At the same time, (2.8) shows that

$$Z_{\mathcal{D}}(\sigma + it) \ll |t|,$$

uniformly in $\sigma \geq \sigma_0$, $|t| \geq 1$, where $\sigma_0 > \alpha$ is arbitrary but fixed. Since, by absolute convergence, $Z_{\mathcal{D}}(\sigma + it)$ is uniformly bounded in every half-plane $\sigma \geq \sigma_2 > 1$, a Phragmén-Lindelöf argument² establishes part (i) of Lemma 2, if we put $\sigma_0 = \frac{1}{2}(\alpha + \sigma_1)$ for arbitrary given $\sigma_1 > \alpha$.

To show (ii), we apply the identity derived in (2.7) one more time, with

$$\begin{aligned}
 (2.9) \quad T^\xi \leq X \leq 2T^\xi, \quad \xi &:= \frac{3}{2(1-\alpha)}, \\
 s = \beta + it, \quad T \leq t \leq 2T.
 \end{aligned}$$

This is clearly justified by analytic continuation. We obtain

$$(2.10) \quad Z_{\mathcal{D}}(\beta + it) = S_X(t) + O(T^{-1}X^{1-\beta}) + O(TX^{-\beta+\alpha}),$$

with

$$S_X(t) := \sum_{\mathbf{m} \in \mathbb{Z}_+^2: Q(\mathbf{m}) \leq X} (Q(\mathbf{m}))^{-\beta-it}.$$

²For a classic reference, see Landau [13, p. 229].

Integration over $T \leq t \leq 2T$ gives

$$(2.11) \quad \int_T^{2T} |Z_{\mathcal{D}}(\beta + it)| dt \ll \int_T^{2T} |S_X(t)| dt + O(X^{1-\beta}) + O(T^2 X^{-\beta+\alpha}).$$

By Cauchy's inequality³,

$$\begin{aligned} \left(\int_T^{2T} |S_X(t)| dt \right)^2 &\ll T \int_T^{2T} |S_X(t)|^2 dt \\ &\ll T \sum_{Q(\mathbf{m}) \leq Q(\mathbf{n}) \leq X} (Q(\mathbf{m}) Q(\mathbf{n}))^{-\beta} \left| \int_T^{2T} \left(\frac{Q(\mathbf{n})}{Q(\mathbf{m})} \right)^{it} dt \right|. \end{aligned}$$

For $Q(\mathbf{m}) < Q(\mathbf{n})$, the integrals in this sum can be estimated by

$$\begin{aligned} \left| \int_T^{2T} \exp(it(\log Q(\mathbf{n}) - \log Q(\mathbf{m}))) dt \right| &\leq \frac{2}{\log Q(\mathbf{n}) - \log Q(\mathbf{m})} \\ &\leq \frac{2Q(\mathbf{n})}{Q(\mathbf{n}) - Q(\mathbf{m})}. \end{aligned}$$

Along with the trivial bound, this gives

$$(2.12) \quad \begin{aligned} \left(\int_T^{2T} |S_X(t)| dt \right)^2 &\ll T \sum_{Q(\mathbf{n}) \leq X} (Q(\mathbf{n}))^{-\beta} \\ &\times \left(\sum_{\mathbf{m}: Q(\mathbf{m}) \leq Q(\mathbf{n})} (Q(\mathbf{m}))^{-\beta} \left(\max \left(\frac{1}{T}, \frac{Q(\mathbf{n}) - Q(\mathbf{m})}{Q(\mathbf{n})} \right) \right)^{-1} \right). \end{aligned}$$

We now keep $\mathbf{n} \in \mathbb{Z}_*^2$ fixed for the moment and split up the inner sum over \mathbf{m} : For that purpose, we define a sequence $(\delta_j)_{j=0}^J$ by $\delta_j = 2^j Q(\mathbf{n}) T^{-1}$, with J such that $\frac{1}{8}Q(\mathbf{n}) < \delta_J \leq \frac{1}{4}Q(\mathbf{n})$. We distinguish three cases according to the relative size of $Q(\mathbf{n}) - Q(\mathbf{m})$.

First of all (Case 1),

$$\begin{aligned} Q(\mathbf{n}) - Q(\mathbf{m}) < \delta_0 &\iff \frac{1}{T} > \frac{Q(\mathbf{n}) - Q(\mathbf{m})}{Q(\mathbf{n})} \\ &\iff Q(\mathbf{m}) > Q(\mathbf{n}) \left(1 - \frac{1}{T} \right), \end{aligned}$$

³Here and in what follows, \mathbf{m} and \mathbf{n} denote elements of \mathbb{Z}_*^2 .

thus the contribution of these \mathbf{m} to the inner sum in (2.12) is

$$(2.13) \quad \begin{aligned} & \ll (Q(\mathbf{n}))^{-\beta} T \left(A_{\mathcal{D}}^* (Q(\mathbf{n})) - A_{\mathcal{D}}^* \left(Q(\mathbf{n}) \left(1 - \frac{1}{T} \right) \right) \right) \\ & \ll (Q(\mathbf{n}))^{1-\beta} + T(Q(\mathbf{n}))^{-\beta+\alpha}. \end{aligned}$$

Further (Case 2), for $0 \leq j \leq J$,

$$Q(\mathbf{n}) - Q(\mathbf{m}) \in [\delta_j, 2\delta_j[\iff Q(\mathbf{n}) - 2\delta_j < Q(\mathbf{m}) \leq Q(\mathbf{n}) - \delta_j,$$

thus the corresponding portion of the inner sum in (2.12) is

$$\begin{aligned} & \ll \frac{(Q(\mathbf{n}))^{1-\beta}}{\delta_j} (A_{\mathcal{D}}^* (Q(\mathbf{n}) - \delta_j) - A_{\mathcal{D}}^* (Q(\mathbf{n}) - 2\delta_j)) \\ & \ll (Q(\mathbf{n}))^{1-\beta} + \delta_j^{-1} (Q(\mathbf{n}))^{1-\beta+\alpha}. \end{aligned}$$

Summing this over $j = 0, \dots, J$ gives

$$(2.14) \quad O(J(Q(\mathbf{n}))^{1-\beta}) + O(\delta_0^{-1}(Q(\mathbf{n}))^{1-\beta+\alpha}) \ll T^\varepsilon (Q(\mathbf{n}))^{1-\beta} + T(Q(\mathbf{n}))^{\alpha-\beta}.$$

Finally (Case 3), the portion of the inner sum in (2.12) corresponding to the \mathbf{m} 's with $Q(\mathbf{n}) - Q(\mathbf{m}) \geq 2\delta_J$ is

$$(2.15) \quad \ll \sum_{\mathbf{m}: Q(\mathbf{m}) \leq Q(\mathbf{n})} (Q(\mathbf{m}))^{-\beta} = \int_{\frac{1}{2}}^{Q(\mathbf{n})} u^{-\beta} dA_{\mathcal{D}}^*(u) \ll (Q(\mathbf{n}))^{1-\beta}.$$

We now combine the upper bounds (2.13), (2.14), and (2.15), and use them in (2.12) to conclude that

$$\begin{aligned} \left(\int_T^{2T} |S_X(t)| dt \right)^2 & \ll T \sum_{Q(\mathbf{n}) \leq X} (Q(\mathbf{n}))^{-\beta} (T^\varepsilon (Q(\mathbf{n}))^{1-\beta} + T(Q(\mathbf{n}))^{\alpha-\beta}) \\ & = T^{1+\varepsilon} \int_{\frac{1}{2}}^X w^{1-2\beta} dA_{\mathcal{D}}^*(w) + T^2 \ll T^{1+\varepsilon} X^{2-2\beta} + T^2. \end{aligned}$$

Combining this with (2.11), we obtain

$$\int_T^{2T} |Z_{\mathcal{D}}(\beta + it)| dt \ll T^{1/2+\varepsilon} X^{1-\beta} + T + X^{1-\beta} + T^2 X^{-\beta+\alpha}.$$

It is easy to see that the choice of X according to (2.9) is optimal, and that the bound obtained is $\ll T^{1+\varepsilon}$ for $\beta \geq \frac{2+\alpha}{3}$. Thus the proof of Lemma 2 is complete. \square

Lemma 3. *If RH is true, the function $f_y(s)$ defined in (2.1) satisfies*

$$f_y(\sigma + it) \ll y^{\frac{1}{2}-\sigma+\varepsilon'} \left(|t|^{\varepsilon'} + 1 \right) \quad (\varepsilon' > 0 \text{ fixed}),$$

uniformly in $\sigma_1 \leq \sigma \leq \sigma_2$, $y \geq 1$, for arbitrary $\sigma_2 > \sigma_1 > \frac{1}{2}$.

Proof. This key lemma of the Montgomery-Vaughan method is meanwhile well-known. See, e.g., Nowak and Schmeier [20], or Baker [2, Lemma 1]. □

3. Proof of the Proposition.

We put

$$(3.1) \quad \beta := \frac{2 + \alpha}{3} + \varepsilon''$$

with $\varepsilon'' \geq 0$ as small as we please, such that ⁴

$$\beta \notin \{\alpha_0, \dots, \alpha_R\}.$$

We start from Lemma 1 and shift the line of integration to $\operatorname{Re} s = \beta$, applying the residue theorem. In view of clause (i) of Lemma 2 and Lemma 3, the horizontal segments contribute

$$\ll x^{3-C} \int_{\beta}^3 |Z_{\mathcal{D}}(\sigma + ix^C) f_y(2\sigma + 2ix^C)| \, d\sigma \ll x^{3-C+C(\omega+\varepsilon')} \ll 1$$

for C sufficiently large. Furthermore, by clause (ii) of Lemma 2 and Lemma 3,

$$\begin{aligned} & \int_{\beta-ix^C}^{\beta+ix^C} Z_{\mathcal{D}}(s) f_y(2s) x^s \frac{ds}{s} \\ & \ll x^{\beta} y^{\frac{1}{2}-2\beta+\varepsilon'} \left(1 + \sum_{T=2^{-j}x^C, j=1,2,\dots} T^{\varepsilon'-1} \int_T^{2T} |Z_{\mathcal{D}}(\beta + it)| \, dt \right) \\ & \ll x^{\beta+2C\varepsilon'} y^{\frac{1}{2}-2\beta+\varepsilon'}. \end{aligned}$$

Collecting results, we arrive at

$$S_2 = \sum_{r: \alpha_r > \beta} \operatorname{Res}_{s=\alpha_r} \left(Z_{\mathcal{D}}(s) f_y(2s) \frac{x^s}{s} \right) + O(x^{\alpha+\varepsilon'}) + O\left(x^{\varepsilon} y^{1/2} \left(\frac{x}{y^2}\right)^{\frac{2+\alpha}{3}}\right).$$

Since, by (2.8),

$$\operatorname{Res}_{s=\alpha_r} \left(Z_{\mathcal{D}}(s) f_y(2s) \frac{x^s}{s} \right) = c_r x^{\alpha_r} \sum_{m>y} \frac{\mu(m)}{m^{2\alpha_r}}$$

for $\alpha_r > \beta$, this completes the proof of the Proposition and thereby that of our Theorem.

⁴ ε'' is only needed to deal with the case that $\frac{2+\alpha}{3}$ is equal to one of $\alpha_0, \dots, \alpha_R$.

4. Some applications to special problems.

4.1. Convex domains with nonzero curvature of the boundary.

The most “generic” example is probably a convex planar domain \mathcal{D} whose boundary $\partial\mathcal{D}$ is sufficiently smooth⁵ and has nonzero curvature throughout. We further suppose that the origin is an inner point of \mathcal{D} . Under these conditions, a very deep and rather recent result of Huxley [6] says that

$$(4.1) \quad A_{\mathcal{D}}(x) = \text{area}(\mathcal{D})x + O\left(x^{\frac{23}{73}}(\log x)^{\frac{315}{146}}\right).$$

Using this with our [Theorem](#), we obtain for the number of primitive lattice points in $\sqrt{x}\mathcal{D}$ (conditionally under RH),

$$B_{\mathcal{D}}(x) = \frac{6}{\pi^2} \text{area}(\mathcal{D})x + O\left(x^{\frac{269}{619} + \varepsilon}\right).$$

However, for this special problem, Huxley and the author [7] have established the better error term $O\left(x^{\frac{5}{12} + \varepsilon}\right)$. (Numerically, $\frac{269}{619} = 0.434571\dots$, while $\frac{5}{12} = 0.416666\dots$) This result does not depend on (4.1), but was derived using the mean-square bound

$$\int_0^x (A_{\mathcal{D}}(u) - \text{area}(\mathcal{D})u)^2 du \ll x^{3/2}.$$

For the case that \mathcal{D} is the unit disk (or any origin-centered rational ellipse), a recent idea of Baker [2] can be modified to prove (under RH) that

$$B_{\mathcal{D}}(x) = \frac{6}{\pi}x + O\left(x^{\frac{3}{8} + \varepsilon}\right).$$

(See also [7] for a bit more details.)

4.2. Sums and differences of relative prime k -th powers. For a fixed natural number $k \geq 3$, we ask for the average order of the arithmetic functions $r_k^+(n)$, $r_k^-(n)$, and $\rho_k^+(n)$, $\rho_k^-(n)$, which are defined, respectively, by

$$\begin{aligned} r_k^{\pm}(n) &:= \{(u, v) \in \mathbb{Z}^2 : |u|^k \pm |v|^k = n\}, \\ \rho_k^{\pm}(n) &:= \{(u, v) \in \mathbb{Z}^2 : |u|^k \pm |v|^k = n, \gcd(u, v) = 1\}. \end{aligned}$$

From a geometric viewpoint, these functions are associated with the starlike planar domains

$$\mathcal{D}^{\pm} := \{(u, v) \in \mathbb{R}^2 : 0 < |u|^k \pm |v|^k \leq 1\}.$$

⁵To be precise, it suffices that the curvature of $\partial\mathcal{D}$, as a function of the arclength, is twice continuously differentiable.

It is known from classic results of Krätzel [9], [10], [11] that

$$(4.2) \quad \sum_{1 \leq n \leq T} r_k^\pm(n) = A_{\mathcal{D}^\pm}(T^{2/k}) = c_0^\pm(k)T^{2/k} + c_1^\pm(k)T^{1/(k-1)} + O\left(T^{\frac{1}{k} - \frac{1}{k^2}}\right),$$

with

$$\begin{aligned} c_0^+(k) &= \frac{2\Gamma^2\left(\frac{1}{k}\right)}{k\Gamma\left(\frac{2}{k}\right)}, & c_0^-(k) &= \frac{\Gamma^2\left(\frac{1}{k}\right)}{k \cos\left(\frac{\pi}{k}\right)\Gamma\left(\frac{2}{k}\right)}, \\ c_1^+(k) &= 0, & c_1^-(k) &= 4\zeta\left(\frac{1}{k-1}\right)k^{-1/(k-1)}. \end{aligned}$$

Our Theorem readily implies (provided that RH is true)

$$\begin{aligned} \sum_{1 \leq n \leq T} \rho_k^\pm(n) &= B_{\mathcal{D}^\pm}(T^{2/k}) \\ &= \frac{6}{\pi^2} c_0^\pm(k)T^{2/k} + \frac{c_1^\pm(k)}{\zeta\left(\frac{k}{k-1}\right)}T^{1/(k-1)} + O\left(T^{\frac{7k+1}{k(7k+4)} + \varepsilon}\right). \end{aligned}$$

Again the estimate can be improved slightly, making use of more precise representations of the error term in (4.2) (see [19]).

4.3. Primitive Pythagorean triangles. Let us define as a *primitive Pythagorean triangle* any triple of natural numbers (u, v, w) satisfying

$$u^2 + v^2 = w^2, \quad u \leq v, \quad \gcd(u, v, w) = 1.$$

For a large real parameter \mathcal{A} , let $p(\mathcal{A})$ denote the number of primitive Pythagorean triangles with area less than \mathcal{A} . The problem to establish an asymptotic formula for $p(\mathcal{A})$ has been attacked by Lambek and Moser [12], Wild [22], Duttlinger and Schwarz [4], Müller, Nowak and Menzer [17], and Müller and Nowak [16]. According to Lambek and Moser [12], it is known that

$$(4.3) \quad p(\mathcal{A}) = \sum_{k=0}^{\infty} (-1)^k B_{\mathcal{D}}\left(\sqrt{\mathcal{A}}2^{-k}\right),$$

where

$$\mathcal{D} := \{(u, v) \in \mathbb{R}^2 : uv(u^2 - v^2) < 1, 0 < v < u\}.$$

In [16] it has been shown that

$$A_{\mathcal{D}}(x) = c_0x + c_1x^{2/3} + O\left(x^{7/22}(\log x)^{45/22}\right),$$

with

$$c_0 = \frac{\Gamma^2\left(\frac{1}{4}\right)}{4\sqrt{2\pi}}, \quad c_1 = -\left|\zeta\left(\frac{1}{3}\right)\right| (1 + 2^{-1/3}).$$

Applying the most recent version of Huxley's lattice point theorems [6], it is straightforward to sharpen this error term to $O\left(x^{\frac{23}{73}} (\log x)^{\frac{315}{146}}\right)$. Using this with our Theorem and (4.3), one obtains (conditionally under RH)

$$p(\mathcal{A}) = c_0^* \mathcal{A}^{1/2} + c_1^* \mathcal{A}^{1/3} + O\left(\mathcal{A}^{\frac{269}{1238} + \varepsilon}\right)$$

with

$$c_0^* = \frac{\Gamma^2\left(\frac{1}{4}\right)}{\sqrt{2\pi^5}}, \quad c_1^* = -\frac{\left|\zeta\left(\frac{1}{3}\right)\right| (1 + 2^{-1/3})}{\zeta\left(\frac{4}{3}\right) (1 + 4^{-1/3})},$$

which improves upon all earlier results of this kind. (Numerically, $\frac{269}{1238} = 0.217285\dots$, while the best exponent in the error term known before was $\frac{37}{164} = 0.225609\dots$)

4.4. Primitive lattice points in special asteroid-shaped domains.

As a last somewhat “exotic” example we consider starlike sets

$$\mathcal{D}_a := \{(u, v) \in \mathbb{R}^2 : |u|^a + |v|^a \leq 1\}$$

where a is a fixed real number with $0 < a < 1$. It was known already to van der Corput [3] that

$$A_{\mathcal{D}_a}(x) = \text{area}(\mathcal{D}_a) x + \sum_{1 \leq r < \frac{1}{a}(1-2\lambda)} c_r(a) x^{(1-ar)/2} + O(x^\lambda),$$

with

$$c_r(a) = \frac{8(-1)^r \zeta(-ar) \Gamma\left(1 + \frac{1}{a}\right)}{r! \Gamma\left(1 + \frac{1}{a} - r\right)},$$

for $\lambda = \frac{1}{3}$. (Cf. also [18] for a generalization.) Appealing again to Huxley's work [6], this can be readily established for every $\lambda > \frac{23}{73}$. Thus our Theorem implies that (if RH is true)

$$B_{\mathcal{D}_a}(x) = \frac{6}{\pi^2} \text{area}(\mathcal{D}_a) x + \sum_{1 \leq r < \frac{81}{619a}} \frac{c_r(a)}{\zeta(1-ar)} x^{(1-ar)/2} + O\left(x^{\frac{269}{619} + \varepsilon}\right).$$

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