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# ENTROPY OF CUNTZ'S CANONICAL ENDOMORPHISM

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# ENTROPY OF CUNTZ'S CANONICAL ENDOMORPHISM

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Let  $\{S_i\}_{i=1}^n$  be generators of the Cuntz algebra  $\mathcal{O}_n$  and let  $\Phi$  be the \*-endomorphism of  $\mathcal{O}_n$  defined by  $\Phi(x) = \sum_{i=1}^n S_i x S_i^*$ . Then both of Connes–Narnhofer–Thirring's entropy  $h_{\phi}(\Phi)$  and Voiculescu's topological entropy  $ht(\Phi)$  are  $\log n$ , where  $\phi$  is the unique  $\log n$ -KMS state of  $\mathcal{O}_n$ . Also Longo's canonical endomorphism for  $N \subset M$  have the same entropy  $\log n$ , where the inclusion  $N \subset M$  comes from  $\mathcal{O}_n$ .

### 1. Introduction.

Connes-St $\phi$ rmer entropy  $H(\cdot)$  extended the entropy invariant of Kolmogorov-Sinai to trace preserving automorphisms of finite von Neumann algebras ([**CS**]). Replacing a finite trace to an invariant state  $\phi$ , Connes-Narnhofer-Thirring entropy  $h_{\phi}(\cdot)$  is defined for automorphisms of  $C^*$ -algebras as a generalization of  $H(\cdot)$  ([**CNT**]). These entropies depend on an invariant state under a given automorphism.

The first typical interesting example to compute the entropy is the Bernoulli shift  $\beta_n$  on the infinite product space of *n*-point sets.

In the context of operator algebras (von Neumann algebras or  $C^*$ -algebras), the non-commutative Bernoulli shift  $\alpha_n$  takes the place of the the Bernoulli shift  $\beta_n$ . It is the shift automorphism on the infinite tensor product  $A = \bigotimes_{i=-\infty}^{\infty} A_i$  (where  $A_i$  is the  $n \times n$ -matrix algebra) and  $H(\alpha_n) = \log n = h_{\tau}(\alpha_n)$  ([**CS**], [**CNT**]), where  $\tau$  is the unique tracial state of A.

Let  $\gamma$  be an aperiodic automorphism of an algebra B. Then there exists an implimenting unitary operator u for  $\gamma$  in the crossed product  $M = B \rtimes_{\gamma} \mathbb{Z}$ . The inner automorphism  $Ad_u$ ,  $(Ad_u(x) = uxu^*)$  of M is an extension of  $\gamma$  to M. In general, the entropy of  $\gamma$  is less than the entropy of  $Ad_u$ . Størmer [S] asked if the equality between the entropies of  $\gamma$  and  $Ad_u$  holds.

Voiculescu  $[\mathbf{V}]$  defined topological entropy  $ht(\cdot)$  for automorphisms of nuclear  $C^*$ -algebras (cf.  $[\mathbf{Hu}], [\mathbf{T}]$ ), which does not depend on any state but is based on approximations. As an application, he showed that his topological entropy satisfies the equality for the Bernoulli shift  $\beta_n$ , so that Connes-Narnhofer-Thirring entropy does too.

In this paper, we show the equality for both of the automorphism  $\alpha_n$  and the unital \*-endomorphism of the type of the non-commutative Bernoulli shift.

In  $\S3$ , we denote only the fact that

$$H(Ad_u) = h_\tau(Ad_u) = ht(Ad_u) = \log n,$$

where  $\tau$  is the unique tracial state of the reduced crossed product  $A \rtimes_{\alpha_n} \mathbb{Z}$ . These are proved by similar method as in §4 and §5.

The definition of Connes-St $\phi$ rmer entropy is available to trace preserving \*-endomorphisms on finite von Neumann algebras. Similarly, we can apply the definition of Connes-Narnhofer-Thirring entropy to unital and state preserving \*-endomorphisms of C\*-algebras, and also Voiculescu's topological entropy to unital \*-endomorphisms of nuclear C\*-algebras. We apply here, in particular, to the unital \*-endomorphism which is an extension of the \*-endomorphism coming from the non-commutative Bernoulli shift  $\alpha_n$  as follows.

If we restrict our algebra A to the half side infinite  $C^*$ -tensor product (or von Neumann tensor product)  $B = \bigotimes_{i=0}^{\infty} A_i$  of matrix algebras, then the restriction of  $\alpha_n$  to B defines a unit preserving \*-endomorphism  $\sigma_n$  of B, which is canonical in the sense of [Ch2, Ch3]. Then we have the extension algebra  $\langle B, \sigma_n \rangle$  of B by  $\sigma_n$  ([Ch2, Ch3]). In the case of  $C^*$  algebras,  $\langle B, \sigma_n \rangle$  is the crossed product  $B \rtimes_{\rho} \mathbb{N}$  of B by the corner endomorphism  $\rho$  in [**R**, **I2**], which is defined by  $\sigma_n$  using the canonical property of  $\sigma_n$ . Further, the canonical extension  $\hat{\sigma}_n$  (in the sense of [Ch2, Ch3]) of  $\sigma_n$ to  $\langle B, \sigma_n \rangle$  is obtained. The \*-endomorphism  $\hat{\sigma}_n$  of  $\langle B, \sigma_n \rangle$  is defined by a modification of the automorphism  $Ad_u$  of  $A \rtimes_{\alpha_n} \mathbb{Z}$  and has the property like the canonical extension in the sense of [I1, HS]. In the case of  $C^*$ algebras, the extension algebra  $\langle B, \sigma_n \rangle$  is the Cuntz algebra  $\mathcal{O}_n$  and  $\hat{\sigma}_n$ is nothing but Cuntz's canonical inner endomorphism  $\Phi$  of  $\mathcal{O}_n$  defined by  $\Phi(x) = \sum_{i=1}^{n} S_i x S_i^*, (x \in \mathcal{O}_n)$  for generators  $\{S_1, \ldots, S_n\}$  of  $\mathcal{O}_n$ . In the case of von Neumann algebras,  $\langle B, \sigma_n \rangle$  is the unique injective type  $III_{1/n}$  factor and  $\hat{\sigma}_n$  is Longo's canonical endomorphism for the subfactor of  $\langle B, \sigma_n \rangle$ , which appears naturally in the construction of the extension algebra  $\langle B, \sigma_n \rangle$ by the canonical \*-endomorphism  $\sigma_n$  ([Ch3]).

In  $\S4$ , we show that

$$ht(\Phi) = \log n = ht(\sigma_n).$$

Applying to Connes-Narnhofer-Thirring's entropy  $h_{\phi}(\cdot)$  relative to the unique log *n*-KMS state  $\phi$  of  $\mathcal{O}_n$ , we have

$$h_{\phi}(\Phi) = \log n = h_{\phi}(\sigma_n).$$

This relation implies the same relation for Longo's canonical endomorphism. Thus the canonical extension of the non-commutative Bernoulli shift has the same entropy with the original one in the case of \*-endomorphisms too.

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#### 2. Preliminaries.

**2.1.** Let  $H_0$  be a Hilbert space of dimension  $n < \infty$ . Put  $H_i = H_0$ ,  $i \in \mathbb{Z}$ . For two integers i and j with i < j, we put

$$H_{[i,j]} = H_i \otimes H_{i+1} \otimes \cdots \otimes H_j$$

Let  $\{\delta(i) : i = 1, ..., n\}$  be an orthonormal basis of  $H_0$ . The emmbedding  $H_{[i,j]} \hookrightarrow H_{[i-1,j+1]}$  is given by  $\xi \in H_{[i,j]} \to \delta(1) \otimes \xi \otimes \delta(1) \in H_{[i-1,j+1]}$ . We denote by  $\mathcal{H}_i$  the inductive limit of  $\{H_{[i,i+j]} : j = 0, 1, ...\}$  and by  $\mathcal{H}$  the inductive limit of the incleasing sequence  $\{\mathcal{H}_i : i = 0, -1, ...\}$ .

Given  $k, l \in \mathbb{Z}$  k < l, let

$$W_{[k,l]}^n = \{ \mu = (\mu_k, \dots, \mu_l) : \mu_i \in \{1, \dots, n\}, \ (k \le i \le l) \}.$$

Let  $\mu \in W_{[k,l]}^n$  and  $\nu \in W_{[l+1,m]}^n$ . We put

$$\mu \cdot \nu = (\mu_k, \ldots, \mu_l, \nu_{l+1}, \ldots, \nu_m).$$

Further, let

$$W_0^n = \{0\}, \quad W_{[0,\infty]}^n = \cup_{k=0}^{\infty} W_{[0,k]}^n \text{ and } W_{\infty}^n = \cup_{k=0}^{\infty} W_{[-k,k]}^n$$

The shift  $\alpha : i \in \mathbb{Z} \to i+1$  induces the mapping on  $W_{\infty}^n$ , which we denote by the same notation  $\alpha$ .

For  $\mu \in W_{[k,l]}^n$ , we put

$$\delta(\mu) = \delta(\mu_k) \otimes \cdots \otimes \delta(\mu_l) \in H_{[k,l]}.$$

Then  $\{\delta(\mu) : \mu \in W_{[k,l]}^n\}$  is an orthonormal basis in  $H_{[k,l]}$ .

Let  $A_0 = B(H_0)$  and  $\{e(i, j) : i, j = 1, ..., n\}$  be the matrix unit of  $A_0$ with respect to the orthonormal basis  $\{\delta(i) : i = 1, ..., n\}$ . We denote the trace (1/n)Tr of  $A_0$  by  $\tau_0$ . Put  $A_i = A_0$ ,  $(i \in \mathbb{Z})$  and  $\tau_i = \tau_0$ . For two integers i < j, let

$$A_{[i,j]} = A_i \otimes A_{i+1} \otimes \cdots \otimes A_j.$$

For  $\mu, \nu \in W^n_{[k,l]}$ , we put

$$e(\mu,\nu) = e(\mu_k,\nu_k) \otimes \cdots \otimes e(\mu_l,\nu_l) \in A_{[k,l]}$$

Then  $\{e(\mu,\nu): \mu, \nu \in W_{[k,l]}^n\}$  is a matrix units of  $A_{[k,l]}$ .

**2.2.** We apply the entropy of Connes-Narnhofer-Thirring and Voiculescu's topological entropy to both of automorphisms and unital \*-endomorphisms on  $C^*$ -algebras. To fix notations, we recall the definition of the topological entropy. Let B be a nuclear  $C^*$ -algebra with unity. Let CAP(B) be triples  $(\rho, \eta, C)$ , where C is a finite dimensional  $C^*$ -algebra, and  $\rho : B \to C$  and  $\eta : C \to B$  are unital completely positive maps. Let  $\Omega$  be the set of finite subsets of B. For an  $\omega \in \Omega$ , put

$$rcp(\omega; \delta) = \inf\{ \operatorname{rank} C : (\rho, \eta, C) \in CAP(B), \|\eta \cdot \rho(a) - a\| < \delta, a \in B \},\$$

where rank C means the dimension of a maximal abelian self-adjoint subalgebra of C. For a unital \*-endomorphism  $\beta$  of B, put

$$ht(\beta,\omega;\delta) = \overline{\lim}_{N\to\infty} \frac{1}{N} \log rcp \left(\omega \cup \beta(\omega) \cup \cdots \cup \beta^{N-1}(\omega);\delta\right)$$

and

$$ht(\beta,\omega) = \sup_{\delta>0} ht(\beta,\omega;\delta)$$

Then the topological entropy  $ht(\beta)$  of  $\beta$  is defined by

$$ht(\beta) = \sup_{\omega \in \Omega} ht(\beta, \omega).$$

Assume that there exists an increasing sequence  $(\omega_j)_{j\in\mathbb{N}}$  of finite subsets of B such that the linear span of  $\cup_{j\in\mathbb{N}} \omega_j$  is dense in B. Even in the case of \*-endomorphisms which are not automorphisms, by the obvious analogoues of [**V**, Proposition 4.3],  $ht(\cdot)$  is obtained as the following form which we use later:

$$ht(\beta) = \sup_{j \in \mathbb{N}} ht(\beta, \omega_j).$$

Let  $\phi$  be a state of B with  $\phi \cdot \beta = \phi$ . The essential relation between  $ht(\beta)$ and Connes-Narnhofer-Thirring entropy  $h_{\phi}(\beta)$  is by [V, Proposition 4.6]

$$h_{\phi}(\beta) \le ht(\beta).$$

#### **3.** Entropy of $Ad_u$ for non-commutative Bernoulli shift.

In this section, we only state results without proof. We remark that these are proved by similar methods as in  $\S4$  and  $\S5$ .

**3.1.** Let  $n(2 \leq n < \infty)$  be an integer. Let  $A_i, \tau_i (i \in \mathbb{Z})$  be as in §2.1 and let A be the infinite  $C^*$ -tensor product  $A = \bigotimes_{i \in \mathbb{Z}} A_i$ . We denote the unique tracial state of A by  $\tau$ . The non-commutative Bernoulli shift  $\alpha_n$  is the automorphism of the  $C^*$ -algebra A induced by the shift  $\alpha : i(\in \mathbb{Z}) \rightarrow$ i+1. Let u be the implimenting unitary in the reduced  $C^*$ -crossed product  $A \rtimes_{\alpha_n} \mathbb{Z}$  for  $\alpha_n$ . Let E be the conditional expectation of  $A \rtimes_{\alpha_n} \mathbb{Z}$  onto Awith  $E(u^j) = 0, (j \neq 0)$ . Then  $\tau \cdot E$  is a tracial state of  $A \rtimes_{\alpha_n} \mathbb{Z}$  which is invariant under  $Ad_u$ . We denote by the same notation  $\alpha_n$  the extension of  $\alpha_n$  to the hyperfinite II\_1 factor  $\bigotimes_{i \in \mathbb{Z}} (A_i, \tau_i) \rtimes_{\alpha_n} \mathbb{Z}$ .

**Theorem 3.2.** Under the above notations,

$$ht(\alpha_n) = ht(Ad_u) = h_{\tau \cdot E}(Ad_u) = h_{\tau}(\alpha_n) = \log n = H(\alpha_n) = H(Ad_u).$$

### 4. Entropy of Cuntz's canonical endomorphism.

In this section, we apply the definition of Connes-Narnhofer-Thirring entropy and Voiculescu's topological entropy for unital \*-endomorphisms of nuclear  $C^*$ -algebras. All facts for automorphisms, which we need here, work for unital \*-endomorphisms by the analogues of definitions and proofs in [**CNT**] and [**V**].

Let  $n \ (2 \le n < \infty)$  be an integer. Given n isometries  $\{S_i\}$  on a Hilbert space such that  $\sum_{i=1}^n S_i S_i^* = 1$ , Cuntz defined the Cuntz algebra  $\mathcal{O}_n$  as the  $C^*$ -algebra generated by  $\{S_i\}_i$  ([**Cu1**]). So called Cuntz's canonical endomorphism  $\Phi$  of  $\mathcal{O}_n$  is defined by

$$\Phi(x) = \sum_{i=1}^{n} S_i x S_i^*, \quad (x \in \mathcal{O}_n).$$

The  $\mathcal{O}_n$  has exactly one log *n*-KMS state  $\phi$  ([**OP**]). In this section we compute Voiculescu's topological entropy of  $\Phi$  and Connes-Narnhofer-Thiring's entropy  $h_{\phi}(\Phi)$ . Applying to the factor generated by  $\pi_{\phi}(\mathcal{O}_n)$ , we get the entropy of Longo's canonical endomorphism.

**4.1.** To compute the entropy of  $\Phi$ , we recall some of the representation for the Cuntz algebra  $\mathcal{O}_n$  as a crossed product in [**Cu1**], (cf., [**Ch2**, **I2**, **P**, **R**]). Let  $A_i, \tau_i, (i \in \mathbb{Z})$  and  $e(i, j), (i, j \in \mathbb{N})$  be the same as in §2.1. For a  $j \in \mathbb{Z}$ ,  $\mathcal{A}_j$  is given as the infinite tensor product:

$$\mathcal{A}_j = \bigotimes_{i=j}^{\infty} A_i.$$

Define embeddings

$$\mathcal{A}_j \hookrightarrow \mathcal{A}_{j-1} \hookrightarrow \mathcal{A}_{j-2} \hookrightarrow \cdots$$

by  $x \in \mathcal{A}_j \to e_{j-1}(1,1) \otimes x \in \mathcal{A}_{j-1}$ , where  $e_{j-1}(i,l)$  is a copy of e(i,l) in  $\mathcal{A}_{j-1}$ . The inductive limit of this sequence is denoted by  $\mathcal{A}$ . Since the embedding  $\mathcal{A}_j \hookrightarrow \mathcal{A}_{j-1}$  and the embedding  $\mathcal{H}_i \hookrightarrow \mathcal{H}_{i-1}$  in §2.1 are compatible, we can consider  $\mathcal{A}$  acting faithfully on  $\mathcal{H}$ .

The automorphism  $\sigma$  of  $\mathcal{A}$  is induced by the shift  $\alpha : i \in \mathbb{Z} \to i + 1$ .

Then the crossed product  $\mathcal{A} \rtimes_{\sigma} \mathbb{Z}$  acts faithfully on the Hilbert space

$$K = \sum_{i \in \mathbb{Z}} \bigoplus u^i \mathcal{H},$$

where u is the implimenting unitary in  $\mathcal{A} \rtimes_{\sigma} \mathbb{Z}$  for the automorphism  $\sigma$  of  $\mathcal{A}$ . Let p be the unit of  $\mathcal{A}_0 \subset \mathcal{A} \rtimes_{\sigma} \mathbb{Z}$  and put

$$w = up.$$

We remark  $u^j p = w^j$ .

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Then Cuntz algebra  $\mathcal{O}_n$  is reresented as  $p(\mathcal{A} \rtimes_{\sigma} \mathbb{Z})p$ , which is the  $C^*$ subalgebra  $C^*(\mathcal{A}_0, w)$  of  $(\mathcal{A} \rtimes_{\sigma} \mathbb{Z})$  generated by  $\{\mathcal{A}_0, w\}$ . There exists a conditional expectation E of  $C^*(\mathcal{A}_0, w)$  onto  $\mathcal{A}_0$  with  $E(w^j) = 0$  for all  $j = 1, 2, \ldots$ . The unique tracial state  $\tau$  of  $\mathcal{A}_0$  is extended to the state  $\phi$  of  $C^*(\mathcal{A}_0, w)$  by  $\phi = \tau \cdot E$ . Then  $\phi$  is the unique log *n*-KMS state of  $C^*(\mathcal{A}_0, w)$ ([**OP**]).

**4.2.** Since

$$\sigma^{j}(p)(\mathcal{H}) = \mathcal{H}_{j}, \quad j \in \mathbb{Z},$$

the algebra  $p(\mathcal{A} \rtimes_{\sigma} \mathbb{Z})p$  is acting faithfully on

$$pK = \sum_{i \in \mathbb{Z}} \bigoplus u^i \mathcal{H}_{-i}.$$

The restriction  $\sigma|_{\mathcal{A}_0}$  of  $\sigma$  to  $\mathcal{A}_0$  is the one sided non commutative Bernoulli shift. Cuntz's canonical inner endomorphism  $\Phi$  of  $\mathcal{O}_n$  is nothing but the extension of  $\sigma|_{\mathcal{A}_0}$  to the Cuntz algebra  $C^*(\mathcal{A}_0, w)$  which maps

$$a \to \sigma(a), \ (a \in \mathcal{A}_0), \quad \text{and} \quad w \to vw,$$

where

$$v = \sum_{j=1}^{n} e((j,1), (1,j)) \in A_{[0,1]},$$

([**Cu2**], cf. [**Ch2**]).

**4.3.** Let  $k, m \in \mathbb{N}$ . We define

$$K(k,m) = \sum_{l=-k}^{k} \bigoplus u^{l} H_{[-l,-l+m]}$$

and we denote the orthogonal projection of K onto K(k,m) by Q(k,m). The set  $\{u^j\delta(\mu): -k \leq j \leq k, \ \mu \in W^n_{[-j,-j+m]}\}$  is an orthonomal basis of K(k,m). We denote by  $E((j,\mu),(l,\nu))$  the partial isometry in B(K(k,m)) such that

$$E((j,\mu),(l,\nu)): u^{l}\delta(\nu) \to u^{j}\delta(\mu), \quad \left(\mu \in W^{n}_{[-j,-j+m]}, \ \nu \in W^{n}_{[-l,-l+m]}\right).$$

Then the set

$$\mathcal{E}(k,m) = \left\{ E((j,\mu),(l,\nu)) : -k \le j, l \le k, \ \mu \in W^n_{[-j,-j+m]}, \ \nu \in W^n_{[-l,-l+m]} \right\}$$

is a matrix units of B(K(k,m)).

**4.4.** Let  $k, m \in \mathbb{N}$ . We define the completely positive unital linear map

 $\varphi_{k,m}: p(\mathcal{A} \rtimes_{\sigma} \mathbb{Z})p \to B(K(k,m))$ 

by

$$\varphi_{k,m}(x) = Q(k,m)xQ(k,m)|_{K(k,m)}, \quad x \in p(\mathcal{A} \rtimes_{\sigma} \mathbb{Z})p$$

We remark that if  $e(\mu,\nu)w^j \neq 0$  for  $\nu$  in  $W^n_{[0,b]}$ ,  $(b \geq j)$ , then

$$\nu = (1, \dots, 1, \nu_j, \dots, \nu_b)$$
 and  $\delta(\nu) \in H_{[j,b]}$ 

For two integers a and b with a < b, we let

$$\omega_{a,b} = \left\{ e(\mu,\nu)w^j : 0 \le j \le a \quad \text{and} \quad \mu,\nu \in W^n_{[0,b]} \right\}.$$

Let  $e(\mu,\nu)w^j \in \omega_{a,b}$  for  $a, b \in \mathbb{N}$ , (a < b) and  $e(\mu,\nu)w^j \neq 0$ . Since  $\sigma^{-l}(p)\delta(\mu) = \delta(\mu)$  for  $u^l\delta(\mu) \in K(k,m)$ , we have that if  $k \ge a$  and  $m \ge b$  then

$$\varphi_{k,m}(e(\mu,\nu)w^{j}) = \sum_{l=-k}^{k-j} E((j+l, \ \alpha^{-(j+l)}(\mu) \cdot \beta_{l}), \ (l, \ \alpha^{-(j+l)}(\nu) \cdot \gamma_{l})),$$

where

$$\beta_l = (1, \dots, 1) \in W^n_{[-(j+l)+b+1, -(j+l)+m]},$$
  
$$\gamma_l = (1, \dots, 1) \in W^n_{[-l+b+1, -l+m]}.$$

We remark that

$$\delta(\alpha^{-(j+l)}(\nu)) \in H_{[-l,-l+b+1]},$$
  
so that  $E((j+l, \alpha^{-(j+l)}(\mu) \cdot \beta_l), (l, \alpha^{-(j+l)}(\nu) \cdot \gamma_l)) \in \mathcal{E}(k,m).$ 

**4.5.** We define the linear map

$$\psi_{k,m}: B(K(k,m)) \to p(\mathcal{A} \rtimes_{\sigma} \mathbb{Z})p$$

by

$$\psi_{k,m}(E((j,\mu),(l,\nu))) = \frac{1}{2k+1}pu^{j}e(\mu,\nu)u^{*l}p,$$

for  $E((j,\mu),(l,\nu)) \in \mathcal{E}(k,m)$ .

Let  $T_j, (j \in \mathbb{Z})$  be the unitary operator on K defined by

$$T_j(u^i\delta(\mu)) = u^{i+j}\delta(\alpha^{-j}(\mu)), \quad i \in \mathbb{Z}, \ \mu \in W^n_{\infty}.$$

Then we have

$$w - \lim_{r \to \omega} \sum_{i=-r}^{r} T_i E((j,\mu), (l,\nu)) T_i^* = u^j e(\mu,\nu) u^{*l}$$

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for any  $E((j,\mu),(l,\nu)) \in B(K(k,m))$ . Here  $\omega$  is a nontrivial ultrafilter on  $\mathbb{N}$ . Hence  $\psi_{k,m}$  is a unital completely positive map from B(K(k,m)) to  $p(\mathcal{A} \rtimes_{\sigma} \mathbb{Z})p$ . Since  $u^{j}p = w^{j}$ , we have

$$\psi_{k,m} \cdot \varphi_{k,m}(e(\mu,\nu)w^j) = \frac{2k-j+1}{2k+1}e(\mu,\nu)w^j,$$

for all  $e(\mu,\nu)w^j \in \omega_{a,b}$ ,  $a \leq k$  and  $b \leq m$ .

**Theorem 4.6.** Let  $\Phi$  be Cuntz's canonical inner endomorphism of  $\mathcal{O}_n$ . Then

$$ht(\Phi) = \log n.$$

*Proof.* Let  $e(\mu, \nu)w^j \in \omega_{a,b}$ . Then we have, for  $a \leq k$  and  $b \leq m$ , by §4.5

$$\|\psi_{k,m} \cdot \varphi_{k,m}(e(\mu,\nu)w^j) - e(\mu,\nu)w^j\| = \frac{j}{2k+1} \|e(\mu,\nu)w^j\| \le \frac{a}{2k+1}$$

and we have for an  $i \in \mathbb{N}$ 

$$\Phi^{i}(e(\mu,\nu)w^{j}) = \sigma^{i}(e(\mu,\nu))\sum_{s=1}^{n} e(\beta_{s},\gamma_{s})w^{j}$$
$$= \sum_{s=1}^{n} e(\bar{\beta}_{s}\cdot\alpha^{i}(\mu),\gamma_{s}\cdot\nu_{j})w^{j}.$$

Here

$$\beta_s = (1, \dots, 1, \underset{i-1}{s}, 1, \dots, 1) \in W^n_{[0,j+i-1]},$$
  
$$\gamma_s = (1, \dots, 1, s) \in W^n_{[0,j+i-1]}$$

and

$$\bar{\beta}_s = (1, \dots, 1, \underset{i-1}{s}) \in W^n_{[0,i-1]}, \quad \nu_j = (\nu_{j+i}, \dots, \nu_b) \in W^n_{[j+i,b+i]}.$$

Hence for  $k \ge a$  and  $m \ge b + i$  we have

$$\|\psi_{k,m}\cdot\varphi_{k,m}(\Phi^i(e(\mu,\nu)w^j))-\Phi^i(e(\mu,\nu)w^j)\|\leq \frac{an}{2k+1}.$$

Therefore, we have for  $N \in \mathbb{N}$ 

$$rcp\left(\bigcup_{i=0}^{N} \Phi^{i}\left(\omega_{a,b} \cup (\omega_{a,b})^{*} : \frac{an}{2k+1}\right)\right) \leq \operatorname{rank} B(K(k, N+b+1))$$
$$= (2k+1)n^{N+b+1},$$

where  $(\omega_{a,b})^* = \{x^*; x \in \omega_{a,b}\}$ . This implies that for all integers a, b with a < b,

$$ht\left(\Phi,\omega_{a,b}\cup(\omega_{a,b})^*;\frac{an}{2k+1}\right)\leq\overline{\lim}_{N\to\infty}\frac{1}{N}\log\left((2k+1)n^{N+b+1}\right)=\log n.$$

Increasing k, we have  $ht(\Phi, \omega_{a,b} \cup (\omega_{a,b})^*) \leq \log n$ , for all  $a, b \in \mathbb{N}$  with a < b. Put  $\omega_a = \omega_{a,2a} \cup (\omega_{a,2a})^*$ , for  $a \in \mathbb{N}$ . Then the set  $\{\omega_a : a \in \mathbb{N}\}$  is an increasing sequence of finite subsets of  $p(\mathcal{A} \rtimes_{\sigma} \mathbb{Z})p$  and the linear span of  $\bigcup \{\omega_a : a \in \mathbb{N}\}$  is dense in  $p(\mathcal{A} \rtimes_{\sigma} \mathbb{Z})p$ . Hence

$$ht(\Phi) = \sup_{a \in \mathbb{N}} ht(\Phi, \omega_a) \le \log n.$$

On the other hand, the restriction  $\Phi|\mathcal{A}_0$  of  $\Phi$  to  $\mathcal{A}_0$  is  $\sigma|_{\mathcal{A}_0} = \alpha_n|_{\mathcal{A}_0}$  and  $h_{\tau}(\alpha_n|_{\mathcal{A}_0}) = h_{\tau}(\alpha_n) = \log n$ . Since there exists a conditional expectation of  $\mathcal{O}_n$  onto  $\mathcal{A}_0$  and  $\tau \cdot \alpha_n|_{\mathcal{A}_0} = \tau$ ,

$$\log n = h_{\tau}(\alpha_n|_{\mathcal{A}_0}) \le ht(\Phi|_{\mathcal{A}_0}) \le ht(\Phi) \le \log n$$

by the version for unital \*-endomorphisms of [V, Proposition 4.4]. Therefore,  $ht(\Phi) = \log n$ .

**Corollary 4.7.** Let  $\phi$  be the unique log *n*-KMS state of  $\mathcal{O}_n$ . Then

$$h_{\phi}(\Phi) = \log n$$

*Proof.* Let  $\tau$  be the unique tracial state of  $\mathcal{A}_0$  and E be the conditional expectation of  $p(\mathcal{A} \rtimes_{\sigma} \mathbb{Z})p$  onto  $\mathcal{A}_0$ , then  $\phi = \tau \cdot E$ . Hence  $\phi \cdot \Phi = \phi$ . This relation implies, by the endomorphism version of [**V**, Proppsition 4.6],

$$\log n = h_{\tau}(\sigma | \mathcal{A}_0) \le h_{\phi}(\Phi) \le ht(\Phi) = \log n.$$

Therefore  $h_{\phi}(\Phi) = \log n$ .

## 5. Entropy of Longo's canonical endomorphism.

In this section we apply the result in §4 to Longo's canonical endomorphism. We use the same notations as in §4.

**5.1.** Let  $\tau_i$  be the tracial state of  $A_i$ , for  $i \in \mathbb{N}$  and let

$$\tilde{A} = \bigotimes_{i=0,}^{\infty} (A_i, \tau_i).$$

The  $\tilde{A}$  has the canonical trace  $\bigotimes_{i=0}^{\infty} \tau_i$ , which we denote by  $\tau$ . The shift  $\sigma | \mathcal{A}_0$  is extended to the \*-endomorphism  $\gamma$  of the hyperfinite II<sub>1</sub> factor  $\tilde{A}$ . The  $\gamma$  is canonical in the sense of [**Ch3**]. Hence we have the extension algebra  $\tilde{M} = \langle \tilde{A}, \sigma \rangle$ , which is the injective type III<sub>1/n</sub> factor generated by  $\tilde{A}$  and an isometry W. Then  $\gamma$  is extended to the canonical \*-endomorphism  $\Gamma$  of  $\tilde{M}$  and

 $\Gamma(a) = \gamma(a), a \in \tilde{A}, \text{ and } \Gamma(W) = \pi_{\phi}(vW).$ 

The  $\Gamma$  is Longo's canonical endomorphism for the inclusion  $N \subset M$  [Ch3, Theorem 6.10]. Here the subfactor  $\tilde{N}$  is obtained naturally in the step of

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constructing  $\tilde{M}$ . The factor  $\tilde{M}$  is the von Neumann algebra generated by  $\pi_{\phi}(\langle \mathcal{A}_0, \sigma |_{\mathcal{A}_0} \rangle)$  and the  $C^*$ -algebra  $\langle \mathcal{A}_0, \sigma |_{\mathcal{A}_0} \rangle$  is  $\mathcal{O}_n$ . Hence  $\Gamma$  is the extension of  $\Phi$  to  $\tilde{M}$ . Since  $\Phi$  is  $\phi$ -preserving, as an application of 4.7 Corollary, we have the following by **[CNT**, Theorem VII.2]:

**Corollary 5.2.** Let M be the von Neumann algera generated by  $\pi_{\phi}(\mathcal{O}_n)$ and let  $\Gamma$  be the extension of Cuntz's canonical endomorphism  $\Phi$  of  $\mathcal{O}_n$  to M. Then  $\Gamma$  is Longo's canonical endomorphism and

$$h_{\phi}(\Gamma) = \log n.$$

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