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## GROUP-GRADED RINGS AND FINITE BLOCK THEORY

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**Affirmative answers to two questions of Dade are given: 1. If the 1-component  $R_1$  of a ring  $R$  graded by a finite group contains only finitely many central idempotents then so does  $R$ . 2. If  $R$  is a ring fully graded by a finite group  $G$  and if  $S$  is a  $G$ -invariant unitary subring of  $R$  then, for every block idempotent  $a$  of  $R$ , the block idempotents  $b$  of  $S$  such that  $ab \neq 0$  form a single  $G$ -orbit.**

### 1. Notation and terminology.

All rings in this paper will be associative with identity element. For a ring  $R$ , we denote by  $Z(R)$  its center, by  $J(R)$  its Jacobson radical, and by  $U(R)$  its group of units. Note that an element  $r \in R$  is contained in  $U(R)$  if and only if its residue class  $r + J(R)$  is contained in  $U(R/J(R))$ .

A homomorphism of rings  $\phi : R \rightarrow S$  is not required to satisfy  $\phi(1_R) = 1_S$ ; if it does then we say that  $\phi$  is unitary. Similarly, a subring  $S$  of a ring  $R$  is not required to satisfy  $1_S = 1_R$ ; if it does then we call  $S$  a unitary subring of  $R$ .

An element  $e \in R$  such that  $e^2 = e$  is called an idempotent. It is well-known that 0 is the only idempotent of  $R$  which is contained in  $J(R)$ . Two idempotents  $e, f \in R$  are called orthogonal if  $ef = 0 = fe$ . A nonzero idempotent  $e \in R$  is called primitive in  $R$  if it is impossible to write  $e = f + g$  with nonzero orthogonal idempotents  $f, g \in R$ . A block idempotent of  $R$  is an idempotent in  $Z(R)$  which is primitive in  $Z(R)$ . We denote the set of block idempotents of  $R$  by  $\text{Bl}(R)$ .

Following [1], we say that  $R$  has finite block theory if  $Z(R)$  contains only finitely many idempotents. By [1, Proposition 1.4], this is equivalent to saying that  $1_R$  can be written as a finite sum  $1_R = b_1 + \cdots + b_m$  of block idempotents  $b_1, \dots, b_m \in \text{Bl}(R)$ . In this case we even have  $\text{Bl}(R) = \{b_1, \dots, b_m\}$ . We note that  $|\text{Bl}(R)| < \infty$  alone does not guarantee that  $R$  has finite block theory.

Throughout this paper, we will work with a fixed finite group  $G$ . A  $G$ -ring is a ring  $R$ , together with an action of  $G$  on  $R$  via ring automorphisms. We denote by  ${}^x r$  the image of an element  $r \in R$  under an element  $x \in G$ , and by  $R^G = \{r \in R : {}^x r = r \text{ for } x \in G\}$  the fixed point subring of  $R$  under  $G$ . It is shown in [1, Theorem 2.2] that  $R$  has finite block theory if  $R^G$  has.

A  $G$ -graded ring is a ring  $R$ , together with a fixed decomposition  $R = \bigoplus_{x \in G} R_x$  into additive subgroups  $R_x$  (the  $x$ -components of  $R$ ) such that  $R_x R_y \subseteq R_{xy}$  for  $x, y \in G$ ; here  $R_x R_y$  denotes the additive subgroup of  $R$  consisting of all finite sums of elements  $r_x s_y$  with  $r_x \in R_x$  and  $s_y \in R_y$ . The 1-component  $R_1$  of  $R$  is always a unitary subring of  $R$ , and  $J(R_1) = R_1 \cap J(R)$  by [2, Corollary 2(c)].

A graded subring of  $R$  is a subring  $S$  of  $R$  such that  $S = \bigoplus_{x \in G} (S \cap R_x)$ . In this case  $S$  itself becomes a  $G$ -graded ring with  $x$ -component  $S_x = S \cap R_x$  for  $x \in G$ . Similarly, a graded ideal of  $R$  is an ideal  $I$  of  $R$  such that  $I = \bigoplus_{x \in G} (I \cap R_x)$ . In this case,  $I_x = I \cap R_x$  is called the  $x$ -component of  $I$  for  $x \in G$ . Moreover,  $R/I$  becomes a  $G$ -graded ring with  $x$ -component  $(R/I)_x = (R_x + I/I) \cong R_x/I_x$  for  $x \in G$ .

If  $R' = \bigoplus_{x \in G} R'_x$  is another  $G$ -graded ring then a graded homomorphism from  $R$  to  $R'$  is a ring homomorphism  $\phi : R \rightarrow R'$  such that  $\phi(R_x) \subseteq R'_x$  for  $x \in G$ . Then the kernel  $\text{Ker}(\phi)$  is a graded ideal of  $R$  while the image  $\phi(R)$  is a graded subring of  $R'$ . Conversely, for a graded subring  $S$  of  $R$  and a graded ideal  $I$  of  $R$ , the canonical maps  $S \rightarrow R$  and  $R \rightarrow R/I$  are graded homomorphisms.

If  $R = \bigoplus_{x \in G} R_x$  is a  $G$ -graded ring then the centralizer

$$C = C_R(R_1) = \{c \in R : cr_1 = r_1c \text{ for } r_1 \in R_1\}$$

of  $R_1$  in  $R$  is a  $G$ -graded unitary subring of  $R$ . The 1-component  $C_1 = Z(R_1)$  of  $C$  is contained in  $Z(C)$ , and so is  $Z(R)$ . Thus, if  $C$  has finite block theory then so has  $R$ , and  $|\text{Bl}(R)| \leq |\text{Bl}(C)|$  in this case.

A  $G$ -graded ring  $R = \bigoplus_{x \in G} R_x$  is called fully graded (resp. a crossed product) if  $R_x R_{x^{-1}} = R_1$  for  $x \in G$  (resp. if  $R \neq 0$  and  $R_x \cap U(R) \neq \emptyset$  for  $x \in G$ ). Of course, every crossed product is fully graded. If  $R = \bigoplus_{x \in G} R_x$  is fully graded then there is a canonical action of  $G$  on  $C = C_R(R_1)$  via ring automorphisms (cf. [1, Lemma 5.1]), and  $C$  becomes a  $G$ -ring with fixed point subring  $C^G = Z(R)$ . Moreover, the  $G$ -action on  $C$  is compatible with the  $G$ -grading of  $C$ , in the sense that  ${}^x C_y = C_{xyx^{-1}}$  for  $x, y \in G$ .

A subring  $S$  of a fully  $G$ -graded ring  $R = \bigoplus_{x \in G} R_x$  is called  $G$ -invariant if  $R_x S R_{x^{-1}} = S$  for  $x \in G$ . In this case  $Z(S)$  is a  $G$ -subring of  $C = C_R(R_1)$ , by [1, Proposition 8.3].

## 2. The main results.

Our first main result gives a positive answer to Question 10.1 in [1].

**Theorem 1.** *Let  $G$  be a finite group, and let  $R = \bigoplus_{x \in G} R_x$  be a  $G$ -graded ring such that the 1-component  $R_1$  of  $R$  has finite block theory. Then  $R$  has finite block theory, and  $|\text{Bl}(R)| \leq |G| \cdot |\text{Bl}(R_1)|$ .*

Theorem 1 will follow from the next result which gives a more precise description of the situation in a special case.

**Theorem 2.** *Let  $G$  be a finite group, and let  $R = \bigoplus_{x \in G} R_x$  be a  $G$ -graded ring such that  $R_1$  has finite block theory and  $R_1 \subseteq Z(R)$ . If  $E$  is a set of pairwise orthogonal nonzero idempotents in  $R$  then  $|E| \leq |G| \cdot |\text{Bl}(R_1)|$ .*

Our next main result gives a positive answer to Question 10.3 in [1].

**Theorem 3.** *Let  $G$  be a finite group, let  $S$  be a  $G$ -invariant unitary subring of a fully  $G$ -graded ring  $R = \bigoplus_{x \in G} R_x$ , and let  $a$  be a block idempotent of  $R$ . Then the block idempotents  $b$  of  $S$  such that  $ab \neq 0$  form a single  $G$ -orbit  $B$ , and  $a \sum_{b \in B} b = a$ .*

The proof of Theorem 3 will be a consequence of results in [1], together with the following fact.

**Theorem 4.** *Let  $G$  be a finite group, let  $R = \bigoplus_{x \in G} R_x$  be a  $G$ -graded ring such that  $R_1 \subseteq Z(R)$ , and let  $e$  be a block idempotent in  $R$ . Then there is a block idempotent  $e_1$  in  $R_1$  such that  $ee_1 = e$ .*

The results above, together with certain facts from [1], lead to the following application to Clifford theory of blocks.

**Theorem 5.** *Let  $G$  be a finite group, and let  $R = \bigoplus_{x \in G} R_x$  be a fully  $G$ -graded ring.*

- (i) *For every block idempotent  $e$  in  $R$ , the block idempotents  $e_1$  in  $R_1$  such that  $ee_1 \neq 0$  form a single  $G$ -orbit; in particular, there is at least one, and there are at most finitely many of them.*
- (ii) *Conversely, if  $e_1$  is a block idempotent in  $R_1$  then the sum of all  $G$ -conjugates of  $e_1$  can be written as a sum of finitely many block idempotents in  $R$ .*
- (iii) *If  $e_1$  is a fixed block idempotent of  $R_1$ , and if we set  $H = \{y \in G : {}^ye_1 = e_1\}$  and  $S := \bigoplus_{y \in H} R_y$ , then the map  $e \mapsto f = ee_1$  yields a one-to-one correspondence between block idempotents  $e$  in  $R$  such that  $ee_1 \neq 0$  and block idempotents  $f$  in  $S$  such that  $fe_1 \neq 0$ .*
- (iv) *If  $e$  and  $f$  correspond as in (iii) then the rings  $eR$  and  $fS$  are Morita equivalent.*

In Section 3 we will prove some general properties of rings graded by a finite group, and in Section 4 we will consider the special case  $R_1 \subseteq Z(R)$ . Proofs of our main results will be found in Section 5.

### 3. Some general facts.

We fix a finite group  $G$  and a  $G$ -graded ring  $R = \bigoplus_{x \in G} R_x$ . The following lemma is related to a result in [4].

**Lemma 6.** *Let  $I = \bigoplus_{x \in G} I_x$  be a  $G$ -graded ideal of  $R$ . If there is a subgroup  $H$  of  $G$  such that  $I_y = 0$  for  $y \in H$  then  $I^m = 0$  where  $m = |G : H|$ .*

*Proof.* It is clear that  $I^m = \sum_{x_1, \dots, x_m \in G} I_{x_1} \cdots I_{x_m}$ . For any sequence  $x_1, \dots, x_m \in G$ , the  $m+1$  cosets  $H, x_1H, x_1x_2H, \dots, x_1 \dots x_mH$  cannot all be different. Thus two of them coincide, say  $x_1 \dots x_{s-1}H = x_1 \dots x_{s-1}x_s \dots x_tH$  where  $1 \leq s \leq t \leq m$ . Then  $x_s \dots x_t \in H$ , so  $I_{x_1} \dots I_{x_m} \subseteq RI_{x_s \dots x_t}R = 0$ .

Our next result is an easy consequence of Lemma 6.

**Lemma 7.** *Let  $I = \bigoplus_{x \in G} I_x$  be a graded ideal of  $R$  such that  $I_1 \subseteq J(R_1)$ . Then  $I \subseteq J(R)$ .*

*Proof.* It is easy to see that  $RI_1R$  is a graded ideal of  $R$  with  $x$ -component  $(RI_1R)_x = \sum_{y \in G} R_y I_1 R_{y^{-1}x}$  for  $x \in G$ . Since  $RI_1R$  is contained in  $I$ ,  $I/RI_1R$  is a graded ideal of the  $G$ -graded ring  $R/RI_1R$ , and its 1-component is  $(I/RI_1R)_1 = (I_1 + RI_1R)/RI_1R = 0$ . Hence, by Lemma 6,  $I/RI_1R$  is nilpotent; in particular, we have  $I/RI_1R \subseteq J(R/RI_1R)$ . On the other hand, [2, Corollary 2(c)] implies that  $RI_1R \subseteq RJ(R_1)R \subseteq J(R)$ , so we conclude that  $J(R/RI_1R) = J(R)/RI_1R$ . Thus we obtain  $I \subseteq J(R)$ .

In the following, we set  $G_R = \{y \in G : R_y R_{y^{-1}} = R_1\}$ .

**Lemma 8.** *With notation as above,  $G_R$  is a subgroup of  $G$  such that  $R_x R_y = R_{xy}$  and  $R_y R_x = R_{yx}$  for  $x \in G$  and  $y \in G_R$ .*

*Proof.* It is clear that  $1 \in G_R$ . For  $x \in G$  and  $y \in G_R$ , we have

$$R_{yx} = R_1 R_{yx} = R_y R_{y^{-1}} R_{yx} \subseteq R_y R_{y^{-1}yx} = R_y R_x \subseteq R_{yx},$$

so  $R_{yx} = R_y R_x$ . Hence, for  $z \in G_R$ , it follows that

$$R_{yz} R_{z^{-1}y^{-1}} = R_y R_z R_{z^{-1}y^{-1}} = R_y R_{zz^{-1}y^{-1}} = R_y R_{y^{-1}} = R_1.$$

Hence, in particular, we have  $yz \in G_R$ . Since  $G$  is finite we conclude that  $G_R$  is a subgroup of  $G$ , and

$$R_{xy} = R_{xy} R_1 = R_{xy} R_{y^{-1}} R_y \subseteq R_{xyy^{-1}} R_y = R_x R_y \subseteq R_{xy}$$

for  $x \in G$  and  $y \in G_R$ .

For a subset  $H$  of  $G$ , we set  $R[H] = \bigoplus_{y \in H} R_y$ . If  $H$  is a subgroup of  $G$  then  $R[H]$  becomes an  $H$ -graded ring with  $y$ -component  $R[H]_y = R_y$  for  $y \in H$ . We denote by  $G - H$  the (set-theoretic) complement of  $H$  in  $G$ .

**Lemma 9.** *If  $R_1$  is a division ring then the  $G_R$ -graded ring  $R[G_R]$  is a crossed product, and  $R[G - G_R]$  is a nilpotent graded ideal of  $R$ .*

*Proof.* Let  $y \in G_R$ . Then there are  $s \in R_y$ ,  $t \in R_{y^{-1}}$  such that  $st \neq 0$ . But  $st$  is contained in the division ring  $R_1$ , so  $st$  is invertible in both  $R_1$  and  $R$ . Thus  $s$  has a right inverse in  $R$ . Note that  $0 \neq stst$ ; in particular, we have  $0 \neq ts \in R_1$ . Hence  $s$  has a left inverse as well, so  $s \in R_y \cap U(R)$ . This shows that  $R[G_R]$  is a crossed product.

We claim that  $R[G - G_R]$  is an ideal of  $R$ . By symmetry, it suffices to show that  $R[G - G_R]$  is a left ideal. Thus we prove that  $R_x R_w \subseteq R[G - G_R]$  whenever  $x \in G$  and  $w \in G - G_R$ . This is trivial in case  $xw \in G - G_R$ . Thus we may assume that  $xw \in G_R$ . Then  $x \in G - G_R$ , so  $R_x R_{x^{-1}} \neq R_1$ . But  $R_x R_{x^{-1}}$  is an ideal in the division ring  $R_1$ , so  $R_x R_{x^{-1}} = 0$ . Moreover, since  $w^{-1}x^{-1} \in G_R$ , Lemma 8 implies that  $R_w R_{w^{-1}x^{-1}} = R_{x^{-1}}$ . Thus we conclude that

$$\begin{aligned} R_x R_w &= R_x R_w R_1 = R_x R_w R_{w^{-1}x^{-1}} R_{xw} = R_x R_{x^{-1}} R_{xw} \\ &= 0 R_{xw} = 0 \subseteq R[G - G_R]. \end{aligned}$$

This shows that  $R[G - G_R]$  is an ideal of  $R$ . It is clearly graded, and its 1-component is zero. Thus  $R[G - G_R]$  is nilpotent by Lemma 6.

#### 4. Central 1-components.

We start by recalling some concepts and facts from commutative algebra. For a commutative ring  $A$ , we denote by  $\text{Spec}(A)$  the spectrum of  $A$ , i.e., the set of prime ideals of  $A$ . Then  $\text{Spec}(A)$  is a topological space with respect to the Zariski topology; its closed subsets have the form

$$\mathcal{V}(I) = \{P \in \text{Spec}(A) : I \subseteq P\}$$

where  $I$  is a subset of  $A$ . Thus its open subsets have the form

$$\mathcal{X}(I) = \{P \in \text{Spec}(A) : I \not\subseteq P\}$$

where  $I$  is a subset of  $A$ . It is well-known that the map

$$e \longmapsto \mathcal{X}(e) = \{P \in \text{Spec}(A) : e \notin P\}$$

is a bijection between the set of all idempotents  $e$  in  $A$  and the set of all subsets of  $\text{Spec}(A)$  which are both open and closed in  $\text{Spec}(A)$  (cf. [3, Theorem 7.3 and its Corollary]). It follows that  $\text{Spec}(A)$  is connected if and only if 0 and 1 are the only idempotents in  $A$ . In this case  $A$  is also called connected.

In the following, let  $G$  be a finite group and  $R = \bigoplus_{x \in G} R_x$  a  $G$ -graded ring such that  $R_1 \subseteq Z(R)$ . We are going to apply the considerations above with  $A = R_1$ . For  $P \in \text{Spec}(R_1)$ , we denote by

$$R_P = \left\{ \frac{r}{w} : r \in R, w \in R_1 - P \right\}$$

the localization of  $R$  at  $P$ . It is easily verified that  $R_P$  is a  $G$ -graded ring with  $x$ -component

$$(R_P)_x = (R_x)_P = \left\{ \frac{r}{w} : r \in R_x, w \in R_1 - P \right\}$$

for  $x \in G$ . The 1-component  $(R_P)_1 = (R_1)_P$  of  $R_P$  is a local ring contained in  $Z(R_P)$ , its maximal ideal is

$$P_P = \left\{ \frac{p}{w} : p \in P, w \in R_1 - P \right\},$$

and the residue field  $(R_1)_P/P_P$  can be identified with the field of fractions of the integral domain  $R_1/P$  (i.e., with the localization of  $R_1/P$  at the prime ideal  $P/P = 0$ ). We obtain the following commutative diagram of rings:

$$\begin{array}{ccc}
 & R & \xrightarrow{\alpha_P} & R_P \\
 (\Delta_P) \quad & \downarrow \beta_P & & \downarrow \delta_P \\
 & R/PR & \xrightarrow{\gamma_P} & (R/PR)_{P/P} = R_P/P_P R_P
 \end{array}$$

In this diagram all maps are canonical and therefore graded homomorphisms. Both vertical maps are residue class maps, and both horizontal maps can be viewed as canonical maps into localizations.

**Lemma 10.** *Let  $P \in \text{Spec}(R_1)$ . Then, in the diagram  $(\Delta_P)$  above, the kernels of  $\gamma_P$  and  $\delta_P$  are contained in the Jacobson radicals of  $R/PR$  and  $R_P$ , respectively; in particular, these kernels do not contain any nonzero idempotents.*

*Proof.* The restriction of  $\gamma_P$  to the 1-components of both  $G$ -graded rings is just the inclusion map of the integral domain  $R_1/P$  into its field of fractions. Thus the 1-component of the graded ideal  $\text{Ker}(\gamma_P)$  is zero. Hence, by Lemma 6,  $\text{Ker}(\gamma_P)$  is nilpotent; in particular, we have  $\text{Ker}(\gamma_P) \subseteq \text{J}(R/PR)$ .

The kernel of  $\delta_P$  is  $P_P R_P = \text{J}((R_P)_1) R_P$ , and this is contained in  $\text{J}(R_P)$  by [2, Corollary 2(c)].

We continue to use the notation introduced above.

**Lemma 11.** *Let  $P \in \text{Spec}(R_1)$ , and let  $e$  be an idempotent in  $R$ . Then  $\alpha_P(e) \neq 0$  if and only if  $\beta_P(e) \neq 0$  if and only if  $\text{Ann}_{R_1}(e) \subseteq P$ .*

Here  $\text{Ann}_{R_1}(e) = \{a \in R_1 : ae = 0\}$  denotes the annihilator of  $e$  in  $R_1$ , an ideal of  $R_1$ .

*Proof.* Lemma 10, together with the commutativity of  $(\Delta_P)$ , implies the following:

$$\alpha_P(e) = 0 \iff \delta_P(\alpha_P(e)) = 0 \iff \gamma_P(\beta_P(e)) = 0 \iff \beta_P(e) = 0.$$

Moreover, the definition of the localization  $R_P$  shows:

$$\alpha_P(e) = 0 \iff we = 0 \text{ for some } w \in R_1 - P \iff \text{Ann}_{R_1}(e) \not\subseteq P.$$

For an idempotent  $e$  in  $R$ , we set

$$\begin{aligned}
 \mathcal{X}(e) &= \{P \in \text{Spec}(R_1) : \alpha_P(e) \neq 0\} = \{P \in \text{Spec}(R_1) : \beta_P(e) \neq 0\} \\
 &= \{P \in \text{Spec}(R_1) : \text{Ann}_{R_1}(e) \subseteq P\} = \mathcal{V}(\text{Ann}_{R_1}(e)).
 \end{aligned}$$

In case  $e \in R_1$ , we have  $\beta_P(e) \neq 0$  if and only if  $e \notin P$ . Thus our notation here is compatible with the notation introduced at the beginning of this section. Moreover, we see that, for any idempotent  $e$  in  $R$ ,  $\mathcal{X}(e)$  is closed in  $\text{Spec}(R_1)$ . Our aim is to show that  $\mathcal{X}(e)$  is also open in  $\text{Spec}(R_1)$ . Our main tool will be the following result.



**Lemma 12.** *Let  $e$  be a nonzero idempotent in  $R$ , and write  $e = \sum_{x \in G} e_x$  with  $e_x \in R_x$  for  $x \in G$ . If  $R_1$  is a local ring then  $e_x$  is invertible in  $R$  for some  $x \in G$ .*

*Proof.* Let  $M$  denote the maximal ideal of  $R_1$ . Then  $R/MR$  is a  $G$ -graded ring whose 1-component is the field  $R_1/M$ . Since  $MR = J(R_1)R \subseteq J(R)$  by [2, Corollary 2(c)], we have  $e + MR \neq 0$ . Moreover, an element  $r \in R$  is invertible in  $R$  if and only if  $r + MR$  is invertible in  $R/MR$ . Thus we can replace  $R$  by  $R/MR$  and therefore assume that  $R_1$  is a field.

Then, by Lemma 9,  $R[G_R]$  is a crossed product, and  $R[G - G_R]$  is a nilpotent graded ideal of  $R$ . Thus we can also replace  $R$  by  $R/R[G - G_R]$  and  $G$  by  $G_R$ . Therefore we may assume that  $R$  is a crossed product. In this situation the assertion is obvious.

The following is the main result of this section.

**Proposition 13.** *If  $e$  is an idempotent in  $R$  then  $\mathcal{X}(e)$  is both open and closed in the Zariski topology of  $\text{Spec}(R_1)$ .*

*Proof.* We know already that  $\mathcal{X}(e)$  is closed, so it suffices to show that  $\mathcal{X}(e)$  is open. We write  $e = \sum_{x \in G} e_x$  with  $e_x \in R_x$  for  $x \in G$ . If  $P \in \mathcal{X}(e)$  then  $\alpha_P(e)$  is a nonzero idempotent in  $R_P$ . Since the 1-component  $(R_1)_P$  of  $R_P$  is a local ring contained in  $Z(R_P)$ , Lemma 12 implies that  $\alpha_P(e_x)$  is invertible in  $R_P$  for some  $x \in G$ . Then  $a := e_x^{|G|}$  is contained in  $R_1$ , and  $\alpha_P(a) = \alpha_P(e_x)^{|G|}$  is invertible in both  $R_P$  and  $(R_1)_P$ ; in particular, we have  $a \notin P$  and  $P \in \mathcal{X}(\{a\})$ .

Let  $Q \in \mathcal{X}(\{a\})$  be arbitrary. Then  $a \notin Q$ , so  $\alpha_Q(a)$  is invertible in both  $(R_1)_Q$  and  $R_Q$ . Since  $\alpha_Q(a) = \alpha_Q(e_x)^{|G|}$ ,  $\alpha_Q(e_x)$  is invertible in  $R_Q$ , too; in particular, we have  $\alpha_Q(e) \neq 0$ , i.e.,  $Q \in \mathcal{X}(e)$ .

This shows that  $\mathcal{X}(e)$  contains the open neighborhood  $\mathcal{X}(\{a\})$  of  $P$ . Since  $P \in \mathcal{X}(e)$  was arbitrary we conclude that  $\mathcal{X}(e)$  is an open subset of  $\text{Spec}(R_1)$ .

## 5. Proofs of the main results.

We start with a proof of Theorem 2.

*Proof of Theorem 2.* We write  $\text{Bl}(R_1) = \{b_1, \dots, b_m\}$  and replace each idempotent  $e \in E$  by the nonzero elements in  $\{eb_1, \dots, eb_m\}$ . Then, for  $j = 1, \dots, m$ ,  $Rb_j = \bigoplus_{x \in G} R_x b_j$  is a  $G$ -graded ring such that  $R_1 b_j \subseteq Z(R) b_j = Z(Rb_j)$ , and  $E_j := \{eb_j \neq 0 : e \in E\}$  is a set of pairwise orthogonal nonzero idempotents in  $Rb_j$ . Moreover, we have  $R = \bigoplus_{j=1}^m Rb_j$  and  $|E| \leq \sum_{j=1}^m |E_j|$ . Thus the result will follow if  $|E_j| \leq |G|$  for each  $j$ .

This means that we can replace  $R$  by  $Rb_j$  and therefore assume that  $R_1$  is connected. Then, for  $e \in E$ ,  $\mathcal{X}(e)$  is both open and closed in  $\text{Spec}(R_1)$  by Proposition 13. Since  $e \neq 0$ , we certainly have  $\mathcal{X}(e) \neq \emptyset$ . (For otherwise Lemma 11 would yield  $\text{Ann}_{R_1}(e) = R_1$  which is impossible.) Since  $\text{Spec}(R_1)$

is connected this means that  $\mathcal{X}(e) = \text{Spec}(R_1)$ , so  $\beta_P(e) \neq 0$  for every  $P \in \text{Spec}(R_1)$ .

Let  $M$  be a maximal ideal of  $R_1$ . Then  $\beta_M(E)$  is a set of pairwise orthogonal nonzero idempotents in the  $G$ -graded ring  $R/MR$  such that  $|\beta_M(E)| = |E|$ . Hence we can replace  $R$  by  $R/MR$  and therefore assume that  $R_1$  is a field.

In this case, the  $G_R$ -graded ring  $R[G_R]$  is a crossed product, and  $R[G - G_R]$  is a nilpotent graded ideal of  $R$ , by Lemma 9. Thus we can replace  $R$  by  $R/R[G - G_R]$  and  $G$  by  $G_R$  and therefore assume that  $R$  itself is a crossed product. Then  $R$  has dimension  $|G|$  over the field  $R_1$ . Since  $E$  is clearly linearly independent over  $R_1$  we conclude that  $|E| \leq |G|$ .

The proof of Theorem 1 is now easy.

*Proof of Theorem 1.* Let  $E$  be a set of pairwise orthogonal nonzero idempotents in  $Z(R)$ . It suffices to prove that  $|E| \leq |G| \cdot |\text{Bl}(R_1)|$ . The centralizer  $C = C_R(R_1)$  of  $R_1$  in  $R$  is a graded subring of  $R$  with 1-component  $C_1 = Z(R_1)$ . Moreover,  $C$  and  $E$  satisfy the hypotheses of Theorem 2. Thus  $|E| \leq |G| \cdot |\text{Bl}(C_1)| = |G| \cdot |\text{Bl}(R_1)|$ , and we are done.

We note that E.C. Dade has asked (private communication) whether Theorem 1 in this paper and Theorem 2.2 in [1] are just two special cases of a more general result on actions of Hopf algebras.

We now turn to a proof of Theorem 4.

*Proof of Theorem 4.* By Proposition 13,  $\mathcal{X}(e)$  is a closed and open subset of  $\text{Spec}(R_1)$ . Thus, by [3, Theorem 7.3], there is a unique idempotent  $e_1$  in  $R_1$  such that  $\mathcal{X}(e) = \mathcal{X}(e_1)$ . Note that

$$1 - e_1 \in \text{Ann}_{R_1}(e_1) \subseteq \bigcap_{P \in \mathcal{X}(e_1)} P = \bigcap_{P \in \mathcal{X}(e)} P = \sqrt{\text{Ann}_{R_1}(e)}.$$

But  $(1 - e_1)^2 = 1 - e_1$ , so we conclude that  $1 - e_1 \in \text{Ann}_{R_1}(e)$ . Hence we have  $(1 - e_1)e = 0$  and  $e = e_1e$ .

It remains to prove that  $e_1$  is primitive in  $R_1$ . Thus suppose that  $e_1 = f_1 + g_1$  with orthogonal idempotents  $f_1, g_1 \in R_1$ . Then  $e = e_1e = f_1e + g_1e$  with orthogonal idempotents  $f_1e, g_1e$  in  $Z(R)$ . Since  $e$  is a block idempotent of  $R$ , we conclude that  $f_1e = 0$  or  $g_1e = 0$ . Without loss of generality, we may assume that  $g_1e = 0$ . Then  $f_1e = e$  and  $\text{Ann}_{R_1}(e_1) \subseteq \text{Ann}_{R_1}(f_1) \subseteq \text{Ann}_{R_1}(e)$ . Thus

$$\mathcal{V}(\text{Ann}_{R_1}(e_1)) \supseteq \mathcal{V}(\text{Ann}_{R_1}(f_1)) \supseteq \mathcal{V}(\text{Ann}_{R_1}(e)),$$

i.e.,  $\mathcal{X}(e_1) \supseteq \mathcal{X}(f_1) \supseteq \mathcal{X}(e) = \mathcal{X}(e_1)$  and therefore  $\mathcal{X}(e_1) = \mathcal{X}(f_1)$ . So the uniqueness of  $e_1$  implies that  $e_1 = f_1$ , and we are done.

Now we combine Theorem 4 with results in [1] in order to prove Theorem 3.

*Proof of Theorem 3.* The centralizer  $C = C_R(R_1)$  of  $R_1$  in  $R$  is a  $G$ -graded subring of  $R$ . Moreover, [1, Theorem 5.8] implies that there is a block idempotent  $c$  in  $C$  such that  $ac = c$ . By Theorem 4, there exists a block idempotent  $c_1$  in  $C_1 = Z(R_1)$  such that  $c = cc_1 = acc_1$ .

But  $C$  is also a  $G$ -ring with  $C^G = Z(R)$ , and the action of  $G$  on  $C$  is compatible with the  $G$ -grading of  $C$ . Thus the sum of the  $G$ -orbit of  $c_1$  is an idempotent  $d$  in  $C_1^G \subseteq Z(R)$  such that  $adc_1 = ac_1 \neq 0$ . Since  $a$  is a block idempotent in  $R$  we conclude that  $ad = a$ . Moreover,  $d$  is a sum of finitely many block idempotents of  $R_1$ . Thus  $Rd$  is a fully  $G$ -graded ring, and its 1-component  $R_1d$  has finite block theory. Hence Theorem 1 implies that  $Rd$  has finite block theory, too.

Since  $S$  is a  $G$ -invariant unitary subring of  $R$ , it contains  $R_1 1_R R_1 = R_1$ . In particular, we have  $d \in Z(S)$ . Furthermore,  $Sd$  is a  $G$ -invariant unitary subring of  $Rd$ , and  $a$  is a block idempotent in  $Rd$ . Now [1, Proposition 9.2] implies that  $Sd$  has finite block theory. We write  $d = b_1 + \cdots + b_n$  with block idempotents  $b_1, \dots, b_n$  of  $Sd$  (and  $S$ ). Then  $ab_i \neq 0$  for some  $i \in \{1, \dots, n\}$ , and we have found a block idempotent  $b = b_i$  of  $S$  such that  $ab \neq 0$ .

By [1, Proposition 8.3],  $Z(S)$  is a unitary  $G$ -subring of  $C$ . Thus, for  $x \in G$ ,  ${}^x b$  is a block idempotent in  $S$  such that  $a({}^x b) = {}^x(ab) \neq 0$ . Moreover, the sum of the  $G$ -orbit  $B$  of  $b$  is an idempotent  $e$  in  $Z(S)^G \subseteq C^G = Z(R)$  such that  $ae \neq 0$ . Since  $a$  is a block idempotent in  $R$ , we conclude that  $ae = a$ . Hence every block idempotent  $b'$  of  $S$  such that  $ab' \neq 0$  satisfies  $eb' \neq 0$  and is therefore contained in  $B$ . We are done.

It remains to prove Theorem 5.

*Proof of Theorem 5.* (i) This is a consequence of Theorem 3, applied with  $S = R_1$ .

(ii) Let  $e_1$  be a block idempotent of  $R_1$ , and note that  $G$  acts on  $C_1 = Z(R_1)$ . Thus the  $G$ -orbit  $B$  of  $e_1$  is finite, and the sum of the elements in  $B$  is an idempotent  $d$  in  $C_1^G \subseteq Z(R)$ . Moreover,  $Rd = \bigoplus_{x \in G} R_x d$  is a fully  $G$ -graded ring whose 1-component  $R_1 d$  has finite block theory. Hence  $Rd$  has finite block theory as well by Theorem 1.

(iii) It is easy to see that a block idempotent  $e$  of  $R$  satisfies  $ee_1 \neq 0$  if and only if  $e \in Rd$ . Thus this part is a consequence of [1, Theorem 8.10], applied to the  $G$ -invariant subring  $R_1 d$  of the fully  $G$ -graded ring  $Rd$ .

(iv) This is a consequence of [1, Theorem 8.12].

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