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PURE EIGENSTATES FOR THE SUM OF GENERATORS
OF THE FREE GROUP

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We consider certain positive definite functions on a finitely generated free group G that are defined with respect to a given basis in terms of word length and the number of negative-to-positive generator exponent switches. Some of these functions are eigenfunctions for right convolution by the sum of the generators, and give rise to irreducible unitary representations of G . We show that any state of the reduced C^* -algebra of G whose left kernel contains a polynomial in one of the generators must factor through the conditional expectation on the C^* -subalgebra generated by that generator. Our results lend some support to the conjecture that an element of the complex group algebra of G can lie in the left kernel of only finitely many pure states of the reduced C^* -algebra of G .

1. Introduction.

Let G be the free group on n generators u_1, u_2, \dots, u_n , where $n \geq 2$. We will regard the complex group algebra $\mathbb{C}G$ variously as a subalgebra of the reduced C^* -algebra $C_r^*(G)$ (the operator norm closure of the image of $\mathbb{C}G$ under the left regular representation of G on $\ell^2(G)$) and as a subalgebra of the full group C^* -algebra $C^*(G)$ (the completion of $\mathbb{C}G$ in the norm obtained by taking the supremum over all unitary representations of G). Positive definite functions on G will be thought of as extended linearly to positive functionals on the $*$ -algebra $\mathbb{C}G$ and thence to positive linear functionals on $C^*(G)$ or, if appropriate, on $C_r^*(G)$; a positive functional on $\mathbb{C}G$ that extends positively to $C_r^*(G)$ will be called reduced. The term state refers to positive definite functions or positive linear functionals which take the value 1 at the identity of G . Pure states are extreme points of the set of states of the the relevant $*$ -algebra; they give rise by a familiar construction to irreducible unitary representations of G . For a scalar λ and an algebra element x , a λ -eigenstate of x is a state with $x - \lambda$ in its left kernel, that is, a state annihilating $(x - \lambda)^*(x - \lambda)$.

The work reported on below is motivated in large part by a conjecture about how $\mathbb{C}G$ fits into $C_r^*(G)$, namely that each nonzero element of $\mathbb{C}G$ belongs to the left kernel of only finitely many pure states of $C_r^*(G)$. In other

words, we surmise that for each nonzero y in $\mathbb{C}G$, the (convex) set of states on G weakly associated to the left regular representation and annihilating y^*y has only finitely many extreme points. We will show this when y is the sum of the generators minus a scalar of modulus \sqrt{n} , in which case the set of states in question is a singleton, and also when y is a polynomial in one of the generators. Our results on λ -eigenstates of $u_1 + u_2 + \cdots + u_n$ for $|\lambda| < \sqrt{n}$ point strongly in the direction of the conjecture, but leave open the faint possibility that there might be an essential difference between the interior and the boundary of the spectrum of $u_1 + u_2 + \cdots + u_n$ as an operator on $\ell^2(G)$.

We remark that such operators can have no eigenvectors in $\ell^2(G)$. This follows from a result of P.A. Linnell [L] stating that for many torsion-free groups, including free groups, the ℓ^2 -kernel of a matrix with entries in the group algebra must have integer von Neumann dimension. In particular, no nonzero element of the group algebra can convolve a nonzero ℓ^2 -function to zero.

Whatever the fate of the conjecture, we hope to persuade the reader that it is edifying to look for pure eigenstates, especially but not exclusively those that come from $C_r^*(G)$, of particular elements of $\mathbb{C}G$. An example of what can come from this pursuit under favorable circumstances is the program recounted in [FTP] of harmonic analysis on G from the point of view of radial functions, in which pure eigenstates for the symmetrized sum of generators

$$u_1 + u_1^{-1} + u_2 + u_2^{-1} + \cdots + u_n + u_n^{-1}$$

play a central role. (Uniqueness of the eigenstates for this element among radial functions is established fairly easily in [FTP]; the question of whether a $C_r^*(G)$ -eigenstate for the symmetrized sum must be radial is open.) See also [FTS] for harmonic analysis based on arbitrary linear combinations of the $u_j + u_j^{-1}$'s.

Our treatment of eigenstates for the unsymmetrized sum in Section 2 below begins with the definition of a certain family of functions ϕ on G . We show by calculating matrix eigenvalues that each such ϕ is so to speak positive definite over the positive semigroup of G . This yields a Hilbert space on which the u_j 's act isometrically. An appropriate dilation then yields a unitary representation of G on a larger Hilbert space from which ϕ can be recovered by composing with a vector state. Using the result of Linnell mentioned above, we show that $u_1 + u_2 + \cdots + u_n$ has exactly one eigenvalue (depending on which ϕ one starts with) in this representation, with a one-dimensional eigenspace. It follows from this that different ϕ 's give rise to unitarily inequivalent irreducible unitary representations of G . In Section 3 we consider these functions in the context of a somewhat larger set of states, defined, like the original ϕ 's, in terms of word length and the

number of negative-to-positive generator exponent changes. We determine which of these are states of the reduced C^* -algebra. We also indicate how the irreducible representations in Section 2 (for an appropriate range of spectral values) can be realized in terms of the action of G on its combinatorial boundary; the measures on the boundary that we consider are rather like those treated by G. Kuhn and T. Steger in [KS]. We prove uniqueness of the $C_r^*(G)$ -eigenstate of the sum of the generators for eigenvalues of modulus \sqrt{n} in Section 4, and show also that states of $C_r^*(G)$ whose left kernel contains a given polynomial in a generator u_j must factor through the conditional expectation on the C^* -algebra generated by u_j . When we identify this C^* -algebra with the algebra of continuous functions on the unit circle, the relevant pure states are point evaluations at zeros of modulus 1 of the given polynomial preceded by the conditional expectation; hence, there are only finitely many such pure states.

Heartfelt thanks are owed the referee of this paper, who patiently pointed out numerous *faux pas*, and suggested that the rather cumbersome argument originally provided for Theorem 4.5 be replaced by the straightforward proof that now appears.

2. The eigenstates and their representations.

In seeking eigenstates, reduced or not, for $u_1 + u_2 + \dots + u_n$, we may confine our attention to spectral values in the interval $[0, n]$. This is because for each complex z of modulus 1 there are automorphisms of both $C_r^*(G)$ and $C^*(G)$ sending each u_j to zu_j . It is convenient to divide by n and use $[0, 1]$ for the parameter interval. Thus a in the unit interval corresponds to the spectral value na . For such a , define ϕ_a on G by

$$\phi_a(s) = a^{|s|-2\gamma(s)} \left(\frac{na^2 - 1}{n - 1} \right)^{\gamma(s)},$$

where $|s|$ is the length of s as a reduced word in the given generators and their inverses, and $\gamma(s)$ is the number of negative-to-positive generator exponent changes in s . (Thus for example $\gamma(u_1^{-2}u_2^3u_1^{-1}) = 1$.) We will often write ϕ instead of ϕ_a . In case a is 0 or $1/\sqrt{n}$, we take 0^0 to be 1. Let us check that

$$\sum_{j=1}^n \phi(su_j) = na\phi(s)$$

for every s in G . Since $\phi(1) = 1$ and $\phi(u_j) = a$ for each j , this is true when $s = 1$. If s ends in u_i^{-1} for some i , then $|su_j| = |s| + 1$ and $\gamma(su_j) = \gamma(s) + 1$ for $j \neq i$, while $|su_i| = |s| - 1$ and $\gamma(su_i) = \gamma(s)$, so

$$\sum_{j=1}^n \phi(su_j) = \left(\frac{n-1}{a} \cdot \frac{na^2-1}{n-1} + \frac{1}{a} \right) \phi(s) = na\phi(s).$$

(For the case $a = 0$, take limits in this calculation.) If s ends in u_i for some i , then $\phi(su_j) = a\phi(s)$ for every j , and again the formula holds. Notice, incidentally, that $|s^{-1}| = |s|$ and $\gamma(s^{-1}) = \gamma(s)$ for every s in G , so ϕ is selfadjoint.

Our main project in this section is to show that ϕ is positive definite and that the unitary representation of G to which it gives rise is irreducible. The case $a = 0$, alas, requires somewhat special treatment, so we will assume until further notice that $0 < a \leq 1$. To save space, let us write

$$b = \frac{na^2 - 1}{n - 1}.$$

Let G^+ be the unital semigroup in G generated by u_1, u_2, \dots, u_n , and let G_k^+ be the set of group elements in G^+ of length k . For $k = 1, 2, \dots$, let A_k be the $n^k \times n^k$ matrix with entries indexed by $G_k^+ \times G_k^+$ whose (s, t) -entry is $\phi(s^{-1}t)$. Since ϕ is real-valued and satisfies $\phi(s) = \phi(s^{-1})$, the matrices A_k are hermitian.

Lemma 2.1. *The matrix A_k is positive semidefinite for $k = 1, 2, \dots$.*

Proof. Notice that A_1 is the $n \times n$ matrix with 1's on the diagonal and b in every off-diagonal position. The spectrum of A_1 is easily seen to be

$$\{1 - b, 1 + (n - 1)b\},$$

so since $-(n - 1)^{-1} < b \leq 1$, we have $A_1 \geq 0$. For the inductive step, regard G_{k+1}^+ as the disjoint union of n copies of G_k^+ by writing

$$G_{k+1}^+ = u_1G_k^+ \cup u_2G_k^+ \cup \dots \cup u_nG_k^+.$$

We can then write A_{k+1} in terms of A_k as an $n \times n$ matrix of $n^k \times n^k$ matrices. Namely, A_{k+1} has A_k in each of the n diagonal blocks, and all of its off-diagonal blocks are $a^{2k}b$ times the $n^k \times n^k$ matrix E_k with all entries equal to 1. This is because $\phi(s^{-1}u_i^{-1}u_jt)$, for s, t in G_k^+ , is $\phi(s^{-1}t)$ if $i = j$ and $a^{2k}b$ if $i \neq j$. It follows by induction on k that the entries in every row of A_k sum to the common value

$$1 + (n - 1)b + (n - 1)na^{2b} + \dots + (n - 1)n^{k-1}a^{2k-2}b = (na^2)^k.$$

Let λ be an eigenvalue of A_{k+1} which is not an eigenvalue of A_k . The corresponding eigenvector is an n -tuple $(\xi_1, \xi_2, \dots, \xi_n)$ of vectors ξ_j with entries indexed by G_k^+ satisfying

$$(A_k - \lambda)\xi_i + a^{2k}bE_k \left(\sum_{j \neq i} \xi_j \right) = 0$$

for $i = 1, 2, \dots, n$. The range of E_k , vectors with all entries the same, is invariant under A_k (since the latter has constant row sums). Because $A_k - \lambda$

is invertible, it follows that each ξ_i belongs to the range of E_k . Let c_i denote the common value of the entries of ξ_i . Then

$$((na^2)^k - \lambda)c_i + n^k a^{2k} b \sum_{j \neq i} c_j = 0$$

for $i = 1, 2, \dots, n$, which is to say that λ is an eigenvalue of $(na^2)^k A_1$, hence nonnegative. If we know that $A_k \geq 0$, then $A_{k+1} \geq 0$.

The Hilbert space H of the representation we seek is constructed as follows. By Lemma 2.1, there is for each positive integer k a finite dimensional complex inner product space E_k spanned by vectors $\{\Delta_s : s \in G_k^+\}$ with inner product $\langle \cdot, \cdot \rangle$ satisfying $\langle \Delta_t, \Delta_s \rangle = \phi(s^{-1}t)$. (We write E_0 for the one-dimensional inner product space spanned by the unit vector Δ_1 .) Because

$$(na)^{-1} \phi \left(\sum x u_i \right) = \phi(x) = (na)^{-1} \phi \left(\sum u_i^{-1} x \right)$$

for all x in $\mathbb{C}G$, we have an isometry from E_k into E_{k+1} for each k sending Δ_s to $(na)^{-1} \sum_i \Delta_{su_i}$. Let H_0 be the Hilbert space inductive limit of the resulting tower $E_0 \rightarrow E_1 \rightarrow E_2 \rightarrow \dots$. Thus H_0 is the closed linear span of $\{\Delta_s : s \in G^+\}$, and these vectors satisfy

$$\sum_i \Delta_{su_i} = na \Delta_s \quad \text{and} \quad \langle \Delta_t, \Delta_s \rangle = \phi(s^{-1}t).$$

Left multiplication by each generator u_i gives rise to an isometry V_i of H_0 into itself. Let H'_i be the kernel of V_i , in other words, the orthogonal complement in H_0 of the range of V_i . For each i , let S_i^- be the subset of G consisting of the reduced words ending in a negative power of u_i , with natural orthonormal basis $\{\delta_s : s \in S_i^-\}$. The Hilbert space H is by definition

$$H = H_0 \oplus \bigoplus_{i=1}^n (\ell^2(S_i^-) \otimes H'_i).$$

For each i , let U_i be the unitary operator on H that maps H_0 to $V_i H_0$ by V_i , maps $\delta_{u_i^{-1}} \otimes H'_i$ to $H'_i = H_0 \ominus V_i H_0$ by erasing the tensor, and maps $\delta_s \otimes \eta$ to $\delta_{u_i s} \otimes \eta$ for all other s ending in a negative generator power, and for η in the appropriate space H'_j . Denote by π the unitary representation of G on H that takes u_i to U_i .

We now show that $\phi(s) = \langle \pi(s)\Delta_1, \Delta_1 \rangle$ for all s in G . This is mostly a matter of decomposing $\pi(s)\Delta_1$ into orthogonal pieces as in the definition of H .

Lemma 2.2. *For $i = 1, 2, \dots, n$, and $s \in G^+ \setminus \{1\}$ not beginning with u_i , the vectors*

$$\Delta_1 - a \Delta_{u_i} \quad \text{and} \quad \Delta_s - a^{|s|-1} b \Delta_{u_i}$$

both belong to H'_i , and hence

$$\begin{aligned}
 U_i^* \Delta_1 &= a\Delta_1 + \delta_{u_i^{-1}} \otimes (\Delta_1 - a\Delta_{u_i}), \\
 U_i^* \Delta_s &= a^{|s|-1} b\Delta_1 + \delta_{u_i^{-1}} \otimes (\Delta_s - a^{|s|-1} b\Delta_{u_i}).
 \end{aligned}$$

Proof. Take t in G^+ . Calculating in H_0 , we have

$$\langle \Delta_1 - a\Delta_{u_i}, \Delta_{u_i t} \rangle = \phi(t^{-1}u_i^{-1}) - a\phi(t^{-1}) = a^{|t|+1} - aa^{|t|} = 0,$$

and

$$\begin{aligned}
 \langle \Delta_s - a^{|s|-1} b\Delta_{u_i}, \Delta_{u_i t} \rangle &= \phi(t^{-1}u_i^{-1}s) - a^{|s|-1} b\phi(t^{-1}) \\
 &= a^{|t|+1+|s|-2} b - a^{|s|-1} ba^{|t|} = 0.
 \end{aligned}$$

Thus Δ_1 and Δ_s are written with respect to the orthogonal decomposition $H_0 = U_i H_0 \oplus H'_i$ as

$$\Delta_1 = a\Delta_{u_i} + (\Delta_1 - a\Delta_{u_i}), \quad \Delta_s = a^{|s|-1} b\Delta_{u_i} + (\Delta_s - a^{|s|-1} b\Delta_{u_i}),$$

and the rest of the lemma follows by noticing that $U_i^* \Delta_{u_i} = \Delta_1$, while $U_i^* \eta = \delta_{u_i^{-1}} \otimes \eta$ for η in H'_i .

Proposition 2.3. $\phi(s) = \langle \pi(s)\Delta_1, \Delta_1 \rangle$ for all s in G .

Proof. Write $\psi(s) = \langle \pi(s)\Delta_1, \Delta_1 \rangle$. The argument that $\psi(s) = \phi(s)$ is by induction on $|s|$. The cases $|s| = 0, |s| = 1$ are clear. For (part of) the induction, consider $\psi(tu_i^{-1})$ versus $\psi(t)$, where t is a reduced word in G that doesn't end in a positive power of u_i . We have

$$\pi(t)U_i^* \Delta_1 = \pi(t)(a\Delta_1 + \delta_{u_i^{-1}} \otimes \eta)$$

for appropriate η in H'_i by Lemma 2.2. Furthermore, the definition of π and our assumption on t ensure that

$$\pi(t)(\delta_{u_i^{-1}} \otimes H'_i) \subseteq \ell^2(S_i^-) \otimes H'_i.$$

Since Δ_1 is orthogonal to the latter subspace, we have $\psi(tu_i^{-1}) = a\psi(t)$. In the same situation, we can compare $\psi(tu_i^{-1}u_j)$ with $\psi(tu_i^{-1})$ when $i \neq j$. Indeed, Lemma 2.2 shows that for appropriate ξ in H'_i we have

$$\pi(t)U_i^* U_j \Delta_1 = \pi(t)U_i^* \Delta_{u_j} = \pi(t)(b\Delta_1 + \delta_{u_i^{-1}} \otimes \xi),$$

and hence $\psi(tu_i^{-1}u_j) = b\psi(t) = (b/a)\psi(tu_i^{-1})$. We must show as well that $\psi(su_j) = a\psi(s)$ for every generator u_j if s ends in a positive generator power. It follows from Lemma 2.2 and the definition of π that for such an s , we have $\pi(s^{-1})\Delta_1 = c\Delta_1 + \rho$, where ρ is orthogonal to H_0 , and c is a real constant namely $c = \psi(s^{-1}) = \psi(s)$. (Start with Δ_1 and apply each factor u_i^{\pm} of s^{-1} in succession. The last factor applied has exponent -1 .) Thus

$$U_j^* \pi(s^{-1})\Delta_1 = ca\Delta_1 + \delta_{u_j^{-1}} \otimes \eta + U_j^* \rho$$

for appropriate η in H'_j . Since $H \ominus H_0$ is invariant under U_j^* , this makes $\psi(u_j^{-1}s^{-1}) = ca = a\psi(s)$, and finally $\psi(su_j) = a\psi(s)$. Thus, when the length of a word is increased by 1 by non-cancelling right multiplication by a generator or its inverse, the value of ψ is multiplied by b/a or a depending on whether or not γ increases by 1. This is the same rule that ϕ obeys, so ϕ and ψ must coincide.

The next proposition implies that π is irreducible, and that different a 's in the interval $(0, 1]$ give rise to unitarily inequivalent representations.

Proposition 2.4. *The only eigenvalue that $u_1 + \dots + u_n$ has in the representation π is na . The eigenspace consists of scalar multiples of Δ_1 .*

Proof. We have already observed that $\sum_j \Delta_{su_j} = na\Delta_s$ for all s in G^+ . In particular, $(\sum_j U_j - na)\Delta_1 = 0$.

Suppose that λ is an eigenvalue for $\sum_j U_j$ with eigenvector ξ . We first claim that ξ must belong to H_0 . Fix a generator u_i , and take η in H'_i . Define f_0 on S_i^- (the set of reduced words in G ending in a negative power of u_i) by $f_0(s) = \langle \xi, \delta_s \otimes \eta \rangle$. Then $f_0 \in \ell^2(S_i^-)$ and satisfies $\sum_j f_0(u_j^{-1}s) = \lambda f_0(s)$ for all s in S_i^- . This is because

$$\lambda f_0(s) = \left\langle \left(\sum_j U_j \right) \xi, \delta_s \otimes \eta \right\rangle = \left\langle \xi, \sum_j (\delta_{u_j^{-1}s} \otimes \eta) \right\rangle.$$

Pick a different generator u_h and let σ be the automorphism of G that interchanges u_i and u_h , and fixes the other generators. Define f in $\ell^2(G)$ by setting $f(s) = f_0(s)$ for s in S_i^- , and $f(s) = -f_0(\sigma(s))$ for s in S_h^- , and $f(s) = 0$ for all other s in G . This makes $\sum_j f(u_j^{-1}s) = \lambda f(s)$ for all s in G . (Equality holds for s in $S_i^- \cup S_h^-$ by construction. In case $s = 1$, the right-hand side is 0 and the left-hand side is $f(u_i^{-1}) + f(u_h^{-1})$, which is 0. For all other s , we have $f(s) = 0$, and each summand on the left is 0.) In other words, when we let G act on $\ell^2(G)$ by the left regular representation, the ℓ^2 function f belongs to the kernel of $u_1 + \dots + u_n - \lambda$ (as an operator on $\ell^2(G)$). By 3.6 in [L] (the result of Linnell mentioned in the introduction), this forces f , and hence f_0 , to vanish everywhere. Since η in H'_i was arbitrary, we have shown that ξ is orthogonal to each summand $\ell^2(S_i^-) \otimes H'_i$, which means $\xi \in H_0$.

Consider now $\langle \xi, \Delta_s \rangle$ for s in G^+ . For such s and any generator u_j , we have

$$\lambda \langle \xi, \Delta_{u_j s} \rangle = \langle \xi, (U_1^* + \dots + U_n^*) \Delta_{u_j s} \rangle.$$

Because $\xi \in H_0$, we see by applying Lemma 2.2 to the right-hand side that

$$(*) \quad \lambda \langle \xi, \Delta_{u_j s} \rangle = \langle \xi, \Delta_s \rangle + (n - 1)a^{|s|}b \langle \xi, \Delta_1 \rangle.$$

With $s = 1$, this becomes

$$(**) \quad \lambda \langle \xi, \Delta_{u_j} \rangle = (1 + (n - 1)b) \langle \xi, \Delta_1 \rangle = na^2 \langle \xi, \Delta_1 \rangle.$$

Since $\Delta_{u_1} + \dots + \Delta_{u_n} = na\Delta_1$, when we sum on j in $(**)$ we obtain

$$\lambda na \langle \xi, \Delta_1 \rangle = n^2 a^2 \langle \xi, \Delta_1 \rangle.$$

In case $\langle \xi, \Delta_1 \rangle \neq 0$, we have $\lambda = na$, and when we replace ξ by $\xi' = \xi - \langle \xi, \Delta_1 \rangle \Delta_1$ (a vector in the na -eigenspace meet H_0 orthogonal to Δ_1), equation $(*)$ above becomes

$$na \langle \xi', \Delta_{u_j s} \rangle = \langle \xi', \Delta_s \rangle$$

for all generators u_j and all s in G^+ . The right-hand side is zero when $s = 1$, so by induction ξ' is orthogonal to all the Δ_s 's, so $\xi' = 0$, finishing the proof in this case. If, on the other hand, $\langle \xi, \Delta_1 \rangle = 0$, the same argument using $(*)$ shows $\xi = 0$ if $\lambda \neq 0$, while if λ and $\langle \xi, \Delta_1 \rangle$ are both 0, then $(*)$ simply says that $\langle \xi, \Delta_s \rangle = 0$ for all s in G^+ , and again $\xi = 0$.

We turn now to the hitherto excluded case $a = 0$, which is best argued separately.

Proposition 2.5. *Let $b = -1/(n - 1)$, and define ϕ on G by $\phi(s) = b^{\gamma(s)}$ if $|s| = 2\gamma(s)$, and $\phi(s) = 0$ otherwise. Then ϕ is positive definite. Furthermore, zero is the only eigenvalue of $u_1 + \dots + u_n$ in the cyclic unitary representation of G on Hilbert space to which ϕ gives rise, and the eigenspace is one-dimensional.*

Proof. The reason ϕ is positive definite is that it is the pointwise limit of functions that are positive definite by Proposition 2.3. Looking at the part of the corresponding representation space spanned by vectors from G^+ , we see that there is a Hilbert space H_0 which is the closed linear span of unit vectors Δ_s (s in G^+) satisfying

$$\sum_i \Delta_{su_i} = 0 \quad \text{and} \quad \langle \Delta_t, \Delta_s \rangle = \phi(s^{-1}t).$$

(Notice that this makes Δ_s and Δ_t orthogonal if either s or t has length greater than 1.) Using the same notation as in the construction immediately before Lemma 2.2, we obtain the Hilbert space

$$H = H_0 \oplus \bigoplus_{i=1}^n (\ell^2(S_i^-) \otimes H'_i)$$

and unitary operators U_1, \dots, U_n on H — with U_i taking $\delta_{u_i^{-1}} \otimes \eta$ to η for η in $H'_i = H_0 \ominus U_i H_0$, and so forth. This apparatus gives rise in turn to a unitary representation π of G on H with cyclic vector Δ_1 .

Define ψ on G by $\psi(s) = \langle \pi(s)\Delta_1, \Delta_1 \rangle$. By construction, ψ and ϕ coincide on G^+ ; they are both 1 at 1 and vanish on the rest of G^+ . A reduced word in

$G \setminus G^+$ can be written in the form $su_j^{-1}v$, where v (possibly empty) belongs to G^+ and does not begin with a positive power of u_j , and s is a reduced word (possibly empty) not ending in a positive power of u_j . The definition of ϕ entails that $\Delta_v \in H'_j$ if $v = 1$ or if $|v| > 1$. In case $v = u_i$ for some $i \neq j$, the orthogonal projection of Δ_v on H'_j is $\Delta_{u_i} - b\Delta_{u_j}$. Thus

$$\pi(u_j^{-1}v)\Delta_1 = \begin{cases} b\Delta_1 + \delta_{u_j^{-1}} \otimes (\Delta_{u_i} - b\Delta_{u_j}) & v = u_i \text{ for } i \neq j \\ \delta_{u_j^{-1}} \otimes \Delta_v & v \in G^+ \setminus \{u_1, \dots, u_n\}. \end{cases}$$

This makes

$$\psi(su_j^{-1}v) = \begin{cases} b\psi(s) & v = u_i \text{ for } i \neq j \\ 0 & v \in G^+ \setminus \{u_1, \dots, u_n\}. \end{cases}$$

The same holds for ϕ , whence it follows that ϕ and ψ coincide on all of G .

Suppose now that λ is an eigenvalue of $U_1 + \dots + U_n$ with eigenvector ξ . We must show that $\lambda = 0$ and ξ is a scalar multiple of Δ_1 . That ξ belongs to H_0 follows exactly as in the proof of Proposition 2.4. For any s in G^+ and any generator u_j we have

$$\lambda \langle \xi, \Delta_{u_j s} \rangle = \left\langle \xi, \sum_i U_i^* \Delta_{u_j s} \right\rangle = \begin{cases} \langle \xi, \Delta_s \rangle & s \in G^+ \setminus \{1\} \\ 0 & s = 1 \end{cases}.$$

This is because in the first case, $U_i^* \Delta_{u_j s}$ is orthogonal to H_0 , hence to ξ , for $i \neq j$, and in the second case, the right-hand side is

$$\langle \xi, \Delta_1 + b(n-1)\Delta_1 \rangle = \langle \xi, \Delta_1 - \Delta_1 \rangle.$$

If λ is different from zero, we get $\langle \xi, \Delta_{u_j} \rangle = 0$ for all j and then $\langle \xi, \Delta_s \rangle = 0$ for all other s in $G^+ \setminus \{1\}$, forcing ξ to be a multiple of Δ_1 , so $\xi = 0$. On the other hand, $\lambda = 0$ forces $\langle \xi, \Delta_s \rangle = 0$ for s in $G^+ \setminus \{1\}$, so ξ in this case must be a multiple of Δ_1 .

We now summarize the results of this section.

Theorem 2.6. *Let G be the free group on the generators u_1, \dots, u_n , where $n \geq 2$. Let $|\cdot|$ denote the corresponding length function on G , and let γ be the function on G that counts the number of negative-to-positive exponent changes, from left to right, in a reduced word in these generators. Given $0 \leq a \leq 1$, define ϕ on G by*

$$\phi(s) = a^{|s|-2\gamma(s)} \left(\frac{na^2 - 1}{n - 1} \right)^{\gamma(s)}.$$

Then ϕ is a pure na -eigenstate of G , the unitary representation of G on Hilbert space to which ϕ gives rise is irreducible, and different values of a yield unitarily inequivalent representations.

Proof. We have exhibited a representation π of G on a Hilbert space H with cyclic vector Δ_1 such that $\phi(s) = \langle \pi(s)\Delta_1, \Delta_1 \rangle$ for s in G . We have further shown that the kernel of $\pi(u_1) + \dots + \pi(u_n) - na$ consists of scalar multiples of Δ_1 . Thus, projection on the subspace spanned by Δ_1 belongs to the double commutant of $\pi(G)$. By cyclicity of Δ_1 , it follows that the double commutant must include all bounded operators on H , which means that π is irreducible. Finally, the point spectrum of $\pi(u_1) + \dots + \pi(u_n)$, namely $\{na\}$ by Propositions 2.4 and 2.5, is invariant under unitary transformation of π .

For other spectral values, rotate Theorem 2.6. More precisely, to multiply the spectral value na by a phase $e^{i\theta}$ and thereby cover the entire spectrum of $u_1 + \dots + u_n$ relative to $C^*(G)$, simply compose ϕ with the automorphism of $\mathbb{C}G$ that multiplies each u_j by $e^{i\theta}$. Let $\tau : G \rightarrow Z$ be the homomorphism sending each u_j to 1. Then

$$s \mapsto e^{i\tau(s)\theta} a^{|s|-2\gamma(s)} \left(\frac{na^2 - 1}{n - 1} \right)^{\gamma(s)}$$

is a pure $nae^{i\theta}$ - eigenstate of G , and different spectral values give rise to unitarily inequivalent irreducible representations. Multiplying by -1 , in particular, we see that the interval $[0,1]$ in Theorem 2.6 can be replaced by the interval $[-1,1]$.

3. More states; reduced states.

For a in the interval $[-1, 1]$, the function $s \mapsto a^{|s|-2\gamma(s)}$ is positive definite on G . This follows from [DMFT] after a simple change of generators. Namely, consider the “ u_1 -length” function $|\cdot|_1$ on G that adds the absolute values of all exponents of u_1 in a reduced word. Notice that $|st|_1 = |s|_1 + |t|_1$ whenever there is no cancellation in multiplying s and t , so $s \mapsto a^{|s|_1}$ is positive definite by Theorem 1 of [DMFT]. To apply this to our situation, consider the automorphism β of G that fixes u_1 and takes u_j to u_1u_j for $j = 2, \dots, n$; one checks easily that $|\beta(s)|_1 = |s| - 2\gamma(s)$ for s in G .

Positive-definiteness of $s \mapsto a^{|s|_1}$ is all we need for the sequel, but it will not take us too far afield to look at the corresponding unitary representations in the spirit of the partial tensor product construction used in the previous section. Fix a in $[-1, 1]$ and consider the positive definite function $k \mapsto a^{|k|}$ on the group of integers. (The reason this is positive definite is that

$$1 + \sum_{k=1}^{\infty} a^k (e^{ik\theta} + e^{-ik\theta}) = \frac{1 - a^2}{1 + a^2 - 2a \cos \theta}$$

for $-1 < a < 1$.) Let π_0 be the associated unitary representation on the Hilbert space H_0 , with cyclic vector Δ_1 , thought of as a representation of

the subgroup of G generated by u_1 . Thus, $\langle \pi_0(u_1)^k \Delta_1, \Delta_1 \rangle = a^{|k|}$ for all integers k . Let H'_0 be the subspace of H_0 orthogonal to Δ_1 ; it is more or less immediate that the vectors $\pi_0(u_1^k) \Delta_1 - a^{|k|} \Delta_1$ for $k \neq 0$ span a dense subspace of H'_0 . Let S be the subset of G consisting of all nonempty reduced words not ending in a power of u_1 , that is, all reduced words ending in a power of u_j for some $j > 1$. Define the Hilbert space H by

$$H = H_0 \oplus (\ell^2(S) \otimes H'_0).$$

Let U_1 be the unitary operator on H such that $U_1 \xi = \pi_0(u_1) \xi$ for ξ in H_0 and $U_1(\delta_s \otimes \eta) = \delta_{u_1 s} \otimes \eta$ for s in S and η in H'_0 . Define unitaries U_2, \dots, U_n by:

$$U_j \Delta_1 = \Delta_1; \quad U_j \eta = \delta_{u_j} \otimes \eta;$$

$$U_j(\delta_{u_j^{-1}} \otimes \eta) = \eta; \quad \text{and} \quad U_j(\delta_s \otimes \eta) = \delta_{u_j s} \otimes \eta$$

for η in H'_0 and s in $S \setminus \{u_j^{-1}\}$. Let π be the representation of G on H that takes u_i to U_i for $i = 1, \dots, n$.

Proposition 3.1. *The representation π satisfies $\langle \pi(s) \Delta_1, \Delta_1 \rangle = a^{|s|_1}$ for all s in G , and is irreducible. Different values of a in $[-1, 1]$ give rise to unitarily inequivalent representations.*

Proof. Notice that $\pi(s)H'_0 = \delta_s \otimes H'_0$ for s in S , so in particular $\pi(s)H'_0$ is orthogonal to Δ_1 . It follows that

$$\langle \pi(su_1^k) \Delta_1, \Delta_1 \rangle = \langle \pi(s)(\pi(u_1^k) \Delta_1 - a^{|k|} \Delta_1 + a^{|k|} \Delta_1), \Delta_1 \rangle$$

$$= a^{|k|} \langle \pi(s) \Delta_1, \Delta_1 \rangle$$

for s in S and any integer k , because $\pi(u_1^k) \Delta_1 - a^{|k|} \Delta_1 \in H'_0$. It is furthermore plain that multiplying s in G on the left by a power of u_j for $j \geq 2$ does not change the value of $\langle \pi(s) \Delta_1, \Delta_1 \rangle$. Our formula for $\langle \pi(\cdot) \Delta_1, \Delta_1 \rangle$ follows.

To distinguish between different values of a , fix sequences $\{s_k\}, \{t_k\}$ in the subgroup of G generated by u_2, \dots, u_n such that $|s_k| \rightarrow \infty$ and $|t_k| \rightarrow \infty$ as $k \rightarrow \infty$. We claim that the sequence $\{\pi(s_k u_1 t_k)\}$ converges in the weak operator topology to a times the orthogonal projection on Δ_1 . To see this, let $\eta = U_1 \Delta_1 - a \Delta_1$, so $\eta \in H'_0$, and $\pi(s_k) \eta = \delta_{s_k} \otimes \eta$. This means that $\pi(s_k) \eta \rightarrow 0$ weakly as $k \rightarrow \infty$ and hence

$$\pi(s_k u_1 t_k) \Delta_1 = \pi(s_k u_1) \Delta_1 = \pi(s_k)(a \Delta_1 + \eta) \rightarrow a \Delta_1$$

weakly. For any η in H'_0 , we have $\pi(s_k u_1 t_k) \eta = \delta_{s_k u_1 t_k} \otimes \eta$ for all k , and for any s in S , we have $\pi(s_k u_1 t_k) \delta_s \otimes \eta = \delta_{s_k u_1 t_k s} \otimes \eta$ for sufficiently large k . It follows that $\pi(s_k u_1 t_k) \xi \rightarrow 0$ weakly for any ξ in H orthogonal to Δ_1 , which establishes our claim. The property that all sequences of this type have weak-operator limit a certain scalar times a one-dimensional projection is of course invariant under unitary transformation of π .

If $a \neq 0$, the argument just given also shows that π is irreducible, since Δ_1 is a cyclic vector. In case $a = 0$, the representation π is the one that comes from the left action of G on its quotient by the subgroup generated by u_2, \dots, u_n , and irreducibility can be proved directly in several ways.

We now consider a two-parameter family of states on G defined in terms of $|\cdot|$ and γ . For real a and b , define $\psi_{a,b}$ on G by

$$\psi_{a,b}(s) = a^{|s|-2\gamma(s)} b^{\gamma(s)}.$$

Proposition 3.2. *The function $\psi_{a,b}$ is positive definite if and only if*

$$-1 \leq a \leq 1 \quad \text{and} \quad \frac{na^2 - 1}{n - 1} \leq b \leq 1.$$

Proof. Assume that $\psi_{a,b}$ is positive definite. The inequalities $|a| \leq 1$ and $b \leq 1$ are immediate because $\psi_{a,b}$ takes the values $1, a$, and b at $1, u_1$, and $u_1^{-1}u_2$ respectively. The quadratic inequality comes from the observation that

$$\begin{aligned} \psi_{a,b} & \left(\left(na - \sum_i u_i^{-1} \right) \left(na - \sum_i u_i \right) \right) \\ & = n^2 a^2 - 2na \sum_i \psi_{a,b}(u_i) + \sum_{i,j} \psi_{a,b}(u_i^{-1}u_j) \\ & = -n^2 a^2 + n + n(n - 1)b. \end{aligned}$$

Suppose conversely that the indicated inequalities hold. It is then straightforward to find α and r with

$$-1 \leq r \leq 1, \quad 0 \leq \alpha \leq 1, \quad a = r\alpha, \quad \text{and} \quad b = \frac{n\alpha^2 - 1}{n - 1}.$$

It follows from Proposition 3.1 that $\psi_{r,1}$ is positive definite, while $\psi_{\alpha,b}$ is positive definite by Proposition 2.3, since $\psi_{\alpha,b} = \phi_\alpha$. We have $\psi_{a,b} = \psi_{r,1}\psi_{\alpha,b}$, so $\psi_{a,b}$ is positive definite.

We next sort out which values of (a, b) in the region specified by Proposition 3.2 give rise to reduced states of G , that is, to states whose associated unitary representation is weakly contained in the regular representation.

Proposition 3.3. *For a, b as in Proposition 3.2, the state $\psi_{a,b}$ is reduced if and only if*

$$b \leq \frac{1 - na^2}{n - 1}.$$

Proof. Fix a and b satisfying the inequalities in Proposition 3.2, and write $\psi = \psi_{a,b}$. By Theorem 3.1 in [H], whether or not ψ is reduced depends on

whether the radius of convergence of the power series with order k coefficient

$$C_k \equiv \sum_{|s|=k} |\psi(s)|^2$$

has radius of convergence at least 1, or less than 1. We can obtain the C_k 's explicitly in the present instance by solving a pair of one-step linear difference equations. For $k \geq 1$, let

$$A_k = \sum \{|\psi(s)|^2 : |s| = k, s \text{ ends in a positive generator power}\}$$

and let B_k be the corresponding sum over words ending in a negative generator power, so $C_k = A_k + B_k$. Notice that $A_1 = B_1 = na^2$.

If $a \neq 0$, we have

$$A_{k+1} = na^2 A_k + (n-1) \frac{b^2}{a^2} B_k$$

$$B_{k+1} = (n-1)a^2 A_k + na^2 B_k.$$

(For the first equation, take s ending in a positive generator power with $|s| = k+1$. Then either $s = tu_i u_j$ for some j , with n choices for i and $\psi(s) = a\psi(tu_i)$, or $s = tu_i^{-1} u_j$, with $n-1$ choices for i and $\psi(s) = (b/a)\psi(tu_i^{-1})$. The second equation is proved similarly.) If furthermore $b \neq 0$, the recurrence has two distinct eigenvalues, namely

$$\lambda_+ = na^2 + (n-1)b \quad \text{and} \quad \lambda_- = na^2 - (n-1)b$$

with eigenvectors $(\pm b, a^2)$. The solution may be written

$$A_k = \frac{n}{2}(a^2 + b)\lambda_+^{k-1} + \frac{n}{2}(a^2 - b)\lambda_-^{k-1}$$

$$B_k = \frac{n}{2}(a^2 + a^4/b)\lambda_+^{k-1} + \frac{n}{2}(a^2 - a^4/b)\lambda_-^{k-1}.$$

By continuity, this holds as well when $a = 0$, so the solution above covers the entire case $b \neq 0$. When $b = 0$, the coefficient matrix is lower triangular and one calculates directly that

$$A_k = \lambda^k \quad \text{and} \quad B_k = \frac{kn - k + 1}{n} \lambda^k,$$

where λ is the common value of λ_+ and λ_- , namely na^2 .

If

$$b > \frac{1 - na^2}{n - 1},$$

we have $\lambda_+ > 1$, and hence the power series with coefficients C_k has radius of convergence less than 1. If

$$b \leq \frac{1 - na^2}{n - 1},$$

then also $|a| \leq \sqrt{n}$ and

$$|b| \leq \frac{1 - na^2}{n - 1},$$

which makes $|\lambda_+| \leq 1$ and $|\lambda_-| \leq 1$. In this case, then, the power series has radius of convergence at least 1.

Remark 3.4. (a) If a and b satisfy $|b| < (1 - na^2)/(n - 1)$, then $\sum C_k < \infty$, that is $\psi_{a,b} \in \ell^2(G)$, so the associated representation is contained (not just weakly contained) in the regular representation. In particular, $\psi_{a,b}$ cannot be a pure state in this situation.

(b) The proposition above shows that the state ϕ_a considered in Section 2 is reduced if and only if $|a| \leq 1/\sqrt{n}$.

It is opportune to remark here as well that the spectral radius of $u_1 + \dots + u_n$ as an operator on $\ell^2(G)$ is indeed \sqrt{n} , as 3.4(b) suggests. (The spectral radius is at least \sqrt{n} by 3.4(b), and in the other direction, the operator norm of the k th power is at most $(k + 1)n^{k/2}$ by Haagerup’s inequality [H].)

At least for spectral values of modulus strictly between 0 and \sqrt{n} , the reduced eigenstates in Section 2 for the sum of the generators can be obtained via the action of G on its combinatorial boundary. The compressed account that follows is in the spirit of G. Kuhn and T. Steger [KS]; the boundary representations considered here differ only slightly from the ones they treat. As in [KS], let Ω denote the set of all (one-way) infinite reduced words in the generators u_j and their inverses. When given the product topology, this is a compact space on which G acts by left multiplication. For a reduced word s in G , write $\Omega(s)$ for the cylinder set consisting of all infinite words in Ω that begin with s . Let $\Omega(1) = \Omega$. Defining a probability measure μ on Ω amounts to specifying $\mu(\Omega(s))$ in $[0,1]$ for each s in G in such a way that $\mu(\Omega(1)) = 1$ and

$$\mu(\Omega(s)) = \sum \{ \mu(\Omega(sv)) : |v| = 1, |sv| = |s| + 1 \}.$$

If μ is quasiinvariant under the left action of G , and if p_1, p_2, \dots, p_n are complex-valued measurable functions on Ω such that

$$|p_j(\omega)|^2 = \frac{d\mu(u_j^{-1}\omega)}{d\mu(\omega)}$$

for μ -almost all ω , then we obtain a unitary representation π of G on $L^2(\Omega, \mu)$ by sending each u_j to the unitary U_j defined on L^2 by

$$(U_j\xi)(\omega) = p_j(\omega)\xi(u_j^{-1}\omega).$$

The particular type of measure on Ω that we want to consider here is defined in terms of positive real numbers $\alpha_+, \alpha_-, \alpha_0, \alpha_1$ satisfying

$$n(\alpha_+ + \alpha_-) = 1 = n\alpha_0 + (n - 1)\alpha_1.$$

Let $\mu(\Omega(u_j)) = \alpha_+$ and $\mu(\Omega(u_j^{-1})) = \alpha_-$ for each j . Once $\mu(\Omega(\cdot))$ has been defined on words of length k , extend the definition to words of length $k+1$ by letting $\mu(\Omega(sv))$ (where $|v| = 1 = |sv| - |s|$) be either $\mu(\Omega(s))\alpha_1$ or $\mu(\Omega(s))\alpha_0$ depending on whether sv ends or doesn't end with a generator exponent change. (For example, $\mu(\Omega(u_2u_1u_2)) = \alpha_+\alpha_0^2$, while $\mu(\Omega(u_2u_1^{-1}u_2)) = \alpha_+\alpha_1^2$, and $\mu(\Omega(u_2u_1u_2^{-1})) = \alpha_+\alpha_0\alpha_1$.) It is then straightforward to show that

$$\frac{d\mu(u_j^{-1}\omega)}{d\mu(\omega)} = \begin{cases} \alpha_-\alpha_1/\alpha_+ & \omega \in \Omega(u_i) \ (i \neq j) \\ \alpha_0 & \omega \in \Omega(u_i^{-1}) \ (\text{any } i) \\ 1/\alpha_0 & \omega \in \Omega(u_ju_i) \ (\text{any } i) \\ \alpha_-/(\alpha_+\alpha_1) & \omega \in \Omega(u_ju_i^{-1}) \ (i \neq j) \end{cases} .$$

Take λ in the real interval $(0, \sqrt{n})$. Define α 's for the construction above by

$$\alpha_+ = \frac{(n-1)\lambda^2}{n(n^2-\lambda^2)}, \quad \alpha_- = \frac{n-\lambda^2}{n^2-\lambda^2}, \quad \alpha_0 = \frac{\lambda^2}{n^2}, \quad \alpha_1 = \frac{n-\lambda^2}{n(n-1)},$$

and let μ be the corresponding measure on Ω . Define the functions p_j by

$$p_j(\omega) = \begin{cases} (\lambda - n/\lambda)/(n-1) & \omega \in \Omega(u_i) \ (i \neq j) \\ \lambda/n & \omega \in \Omega(u_i^{-1}) \ (\text{any } i) \\ n/\lambda & \omega \in \Omega(u_j) \end{cases} .$$

One checks readily that p_j is appropriately related to the Radon-Nikodym derivative of the translate of μ by u_j . Let π be the resulting representation of G on $L^2(\Omega, \mu)$, and let ξ_0 be the constant function 1 on Ω . Define a state ϕ on G by $\phi(s) = \langle \pi(s)\xi_0, \xi_0 \rangle$, that is,

$$\phi(s) = \int_{\Omega} P(s, \omega) d\mu(\omega),$$

where $P(\cdot, \cdot)$ is the (nonzero-real-valued) cocycle such that $P(u_j, \cdot) = p_j$ for each j . A routine but tedious calculation, which we omit, establishes that this state ϕ coincides with the state $\phi_{\lambda/n}$ constructed in Section 2.

The calculation we are leaving out here may be viewed as a mild test of the conjecture set forth in the introduction to this paper. It is more or less immediate that the sum of the functions p_j above is the constant function λ , in other words that ξ_0 is a λ -eigenvector for $\pi(u_1 + \cdots + u_n)$, which makes $\langle \pi(\cdot)\xi_0, \xi_0 \rangle$ a λ -eigenstate for the sum of the generators. Furthermore, Theorem 2.7 in [S] (see also Theorem 1X in [KS]) says that π (like all other boundary representations) is weakly contained in the left regular representation, so one knows that this state is reduced even before checking

that it coincides with $\phi_{\lambda/n}$. It would appear at first glance that breaking some of the symmetry in the construction above ought to yield a great many reduced λ -eigenstates for the sum of the generators. The only requirements are: (1) a quasiinvariant probability measure μ ; and (2) (measurable) complex functions z_1, \dots, z_n on Ω of modulus 1 such that

$$\sum_{j=1}^n z_j(\omega) \sqrt{d\mu(u_j^{-1}\omega)/d\mu(\omega)} = \lambda$$

for almost every ω . Condition (2) seems not particularly onerous; for instance, in the case of two generators, (2) amounts to

$$\begin{aligned} \left| \sqrt{d\mu(u_1^{-1}\omega)/d\mu(\omega)} - \sqrt{d\mu(u_2^{-1}\omega)/d\mu(\omega)} \right| &\leq \lambda \\ &\leq \sqrt{d\mu(u_1^{-1}\omega)/d\mu(\omega)} + \sqrt{d\mu(u_2^{-1}\omega)/d\mu(\omega)} \end{aligned}$$

almost everywhere. This seems to be not so easy to achieve, however. Modest numerical experimentation instead favors the conjecture that the essential supremum of

$$\left| \sqrt{d\mu(u_1^{-1}\omega)/d\mu(\omega)} - \sqrt{d\mu(u_2^{-1}\omega)/d\mu(\omega)} \right|$$

is always greater than or equal to the essential infimum of

$$\sqrt{d\mu(u_1^{-1}\omega)/d\mu(\omega)} + \sqrt{d\mu(u_2^{-1}\omega)/d\mu(\omega)},$$

with equality only in the situation of the previous paragraph. In any event, questions of uniqueness of reduced eigenstates plainly have a good deal to do with the behavior of quasiinvariant measures on Ω .

4. Paucity of eigenstates.

We conjecture that for $|\lambda| \leq \sqrt{n}$, there is only one reduced λ -eigenstate for $u_1 + \dots + u_n - \lambda$, namely the one exhibited in Section 2. We will prove this below in case $\lambda = \sqrt{n}$ (so by rotating, for $|\lambda| = \sqrt{n}$).

Henceforth we will work in $C_r^*(G)$, and think of group elements and the group algebra as acting on $\ell^2(G)$ via the left regular representation. Let

$$T = \frac{1}{\sqrt{n}}(u_1 + \dots + u_n),$$

so T belongs to $L(\ell^2(G))$, the algebra of bounded operators on $\ell^2(G)$. Let S^+ be set of reduced words in G beginning with a positive generator power, and let $S^- = G \setminus S^+$, so S^- consists of 1 together with the words beginning with a negative generator power. Let P be the orthogonal projection of $\ell^2(G)$ on $\ell^2(S^+)$, and write $Q = I - P$, the projection on $\ell^2(S^-)$.

The state whose uniqueness we are trying to prove is $\phi_{1/\sqrt{n}}$ from Section 2, which we will write simply as ϕ . Notice that $\phi(s) = n^{-|s|/2}$ if $\gamma(s) = 0$ (that is, if $s \in G^+(G^+)^{-1}$) and $\phi(s) = 0$ if $\gamma(s) > 0$.

Lemma 4.1.

(a) *If f is a state of $L(\ell^2(G))$ such that*

$$f(P) = 1 \quad \text{and} \quad f((T^* - I)(T - I)) = 0,$$

then $f(s) = \phi(s)$ for all s in G .

(b) *If instead*

$$f(Q) = 1 \quad \text{and} \quad f((T - I)(T^* - I)) = 0,$$

then $f(s) = \phi(\sigma(s))$ for all s in G , where σ is the automorphism of G that sends each generator u_j to its inverse.

Proof. (a) We show first that $f(s) = 0$ for s not in $G^+(G^+)^{-1}$. Indeed, if $\gamma(s) > 0$, then s contains $u_i^{-1}u_j$ for some $i \neq j$. For sufficiently large m , and any t_1, t_2 in G^+ of length m , we can write $t_1^{-1}st_2$ as $v_1^{-1}u_i^{-1}u_jv_2$ with no cancellation, where v_2, v_1 end in positive generator powers. Notice that

$$v_1^{-1}u_i^{-1}u_jv_2S^+ \subseteq S^-,$$

so $Pv_1^{-1}u_i^{-1}u_jv_2P = 0$. It follows that $P(T^*)^m s T^m P = 0$ for sufficiently large m . The hypotheses on f make $f(P(T^*)^m X T^m P) = f(X)$ for all X in $L(\ell^2(G))$, so $f(s) = 0$.

It is now easy to see that f and ϕ coincide on $G^+(G^+)^{-1}$. Namely for any s in $G^+(G^+)^{-1}$, including $s = 1$, we have

$$f(su_j^{-1}) = f(su_j^{-1}T) = \frac{1}{\sqrt{n}}f(s)$$

because $\gamma(su_j^{-1}u_i) > 0$ for $i \neq j$. Likewise

$$f(u_j s) = \frac{1}{\sqrt{n}}f(s).$$

Since $f(1) = 1$, iteration gives $f(s) = n^{-|s|/2}$ when $\gamma(s) = 0$.

(b) The slight asymmetry between (a) and (b) that results from our putting 1 in S^- rather than in S^+ is harmless because

$$v_1^{-1}u_i^{-1}u_jv_2 \in S^- \setminus \{1\}$$

in the argument above for (a).

The next lemma records two simple observations.

Lemma 4.2.

(a) $QTT^*Q = Q$;

(b) $Pu_i^{-1}u_jT^*Q = 0$ for $i \neq j$.

Proof. (a) Notice that

$$TT^* = \frac{1}{n} \left(nI + \sum_{i \neq j} u_i u_j^{-1} \right).$$

For $i \neq j$, we have $Qu_i u_j^{-1} Q = 0$.

(b) Plainly, $Pu_i^{-1} Q = 0$ and furthermore $Pu_i^{-1} u_j u_k^{-1} Q = 0$ provided $k \neq j$. Thus

$$Pu_i^{-1} u_j T^* Q = \frac{1}{\sqrt{n}} \sum_{k=1}^n Pu_i^{-1} u_j u_k^{-1} Q = 0.$$

Theorem 4.3. *The only reduced \sqrt{n} -eigenstate of $u_1 + \dots + u_n$ is ϕ , given by*

$$\phi(s) = \begin{cases} n^{-|s|/2} & s \in G^+(G^+)^{-1} \\ 0 & \text{else} \end{cases}.$$

Proof. Let ψ be such an eigenstate. Identifying ψ with a state of $C_r^*(G)$ and then extending to a state f on all of $L(\ell^2(G))$, we have $f((T^* - I)(T - I)) = 0$. In light of Lemma 4.1(a), we need only show $f(P) = 1$ (that is, $f(Q) = 0$) in order to prove the theorem. Suppose that $f(Q) > 0$. Let g be the state of $L(\ell^2(G))$ defined by $g(X) = f(QXQ)/f(Q)$. Then $g(Q) = 1$, and furthermore

$$g((I - T)(I - T^*)) = f(Q)^{-1}(f(Q) - f(QTQ) - f(QT^*Q) + f(QTT^*Q)).$$

Because $QT^*Q = T^*Q$, and because $I - T^*$ is in the right kernel of f , we have $f(QT^*Q) = f(Q)$. Taking adjoints gives $f(QTQ) = f(Q)$. Lemma 4.2(a) now makes $g((I - T)(I - T^*)) = 0$. It follows from Lemma 4.1(b) that $g(u_i^{-1} u_j) = 1/n$ for $i \neq j$. Consider now $f(u_i^{-1} u_j)$. We have

$$f(Pu_i^{-1} u_j Q) = f(Pu_i^{-1} u_j T^* Q) = 0$$

by Lemma 4.2(b). (We have already shown that $f((Q - QT)(Q - T^*Q)) = 0$, so $f(XQ) = f(XT^*Q)$ for all X in $L(\ell^2(G))$.) Taking adjoints and swapping i and j shows that $f(Qu_i^{-1} u_j P) = 0$. Plainly $Pu_i^{-1} u_j P = 0$, so we have

$$f(u_i^{-1} u_j) = f(Qu_i^{-1} u_j Q) = f(Q)g(u_i^{-1} u_j) = \frac{f(Q)}{n}$$

for $i \neq j$. Now $f(T^*T) = f(I) = 1$ because $I - T$ is in the left kernel of f . This forces the sum over unequal i and j of $f(u_i^{-1} u_j)$ to vanish, but by what we have just shown, this sum is $(n - 1)f(Q)$. Thus $f(Q)$ must after all be 0.

We conclude this paper with a look at the states of $C_r^*(G)$ that have a polynomial in one of the generators in their left kernel. The simplest case is $u_1 - z$, where z is a complex scalar of modulus 1. Let G_1 be the subgroup

of G generated by u_1 , and let χ_1 be the characteristic function of G_1 . It is easily checked that

$$\chi_1(s) = \lim_{k \rightarrow \infty} \frac{1}{k} \langle s\xi_k, \xi_k \rangle$$

for every s in G , where ξ_k is the characteristic function of $\{u_1, u_1^2, \dots, u_1^k\}$, so χ_1 is a reduced state of G . It is plainly also a 1-eigenstate for u_1 . To obtain z -eigenstates (for $|z| = 1$), precede χ_1 by the automorphism of the group algebra that sends u_1 to zu_1 and fixes the other generators. This gives the reduced z -eigenstate χ_z , which satisfies $\chi_z(u_1^j) = z^j$ and $\chi_z(s) = 0$ for s in $G \setminus G_1$. Let us write π_z for the unitary representation of G constructed from χ_z .

The representations π_z were first considered by H. Yoshizawa in [Y], where it is shown that these representations are irreducible, unitarily inequivalent to one another, and weakly contained in the left regular representation. These facts also follow from the lemma below, of course. Notice, by the way, that π_1 is the representation of G that comes from its left action on G/G_1 .

Lemma 4.4. *For $|z| = 1$, the only reduced state ψ of G such that $\psi(u_1) = z$ is χ_z .*

Proof. We may take $z = 1$ without loss of generality. The Cauchy-Schwarz inequality shows that $\psi(u_1s) = \psi(su_1) = \psi(s)$ for every s in G , so ψ is identically 1 on G_1 , and constant on each double coset G_1sG_1 . If $s \notin G_1$, then 0 belongs to the norm-closed convex hull of G_1sG_1 in $C_r^*(G)$. (This follows from Theorem IV J in [AO] because the double coset contains an infinite free subset of G , and also from the averaging result in [A].) Thus $\psi(s) = 0$.

The following theorem (whose proof was kindly supplied by the referee of this paper in place of a more involved argument) shows that only finitely many reduced pure states of G can have a polynomial in one of the generators in their left kernel, namely (if the generator is u_1) the χ_z 's for unimodular zeros z of the polynomial.

Theorem 4.5. *Let p be a polynomial with complex coefficients, and let ψ be a reduced state of G such that $\psi(|p(u_1)|^2) = 0$. Then ψ must be a convex combination of χ_z 's for z 's among the zeros of p on the unit circle. Said another way, the only representations π of G weakly contained in the left regular representation such that $\pi(p(u_1))$ has a nonzero kernel are direct sums of π_z 's (with $p(z) = 0$).*

Proof. Let H, π, ξ be the Hilbert space, unitary representation, and unit cyclic vector constructed from π , so $\psi(s) = \langle \pi(s)\xi, \xi \rangle$. Thus, $\pi(p(u_1))\xi = 0$. Restricting to the C^* -subalgebra A of $C_r^*(G)$ generated by u_1 — which we identify in the usual way with the algebra of continuous complex functions

on the unit circle — we find that $\psi|_A$ is a convex combination of point masses at zeros of p on the circle. By considering $\pi(g(u_1))\xi$ for continuous functions g on the circle that take the value 1 at a particular zero of p and vanish at all the other zeros that p has on the circle, we obtain nonzero vectors ξ_1, \dots, ξ_k in H and distinct modulus-one zeros z_1, \dots, z_k of p such that

$$\pi(f(u_1))\xi = \sum_{j=1}^k f(z_j)\xi_j$$

for all continuous functions f on the circle. By construction (or for other reasons), the vectors ξ_j are pairwise orthogonal and sum to ξ . (Only the zeros of p that contribute positively to $\psi|_A$ as a convex combination of point masses are counted among the z_j 's; we ignore the other zeros of p .) For $j = 1, \dots, k$, let $\alpha_j = \|\xi_j\|^2$ and let H_j be the closed subspace of H spanned by $\pi(G)\xi_j$. Since $\langle \pi(u_1)\xi_j, \xi_j \rangle = \langle \pi(u_1)\xi, \xi_j \rangle = \alpha_j z_j$, the reduced state $\alpha_j^{-1}\langle \pi(\cdot)\xi_j, \xi_j \rangle$ must by 4.4 be χ_{z_j} and the representation obtained by restricting π to H_j must be the Yoshizawa representation π_{z_j} . These subrepresentations are therefore irreducible and unitarily inequivalent. It follows that the subspaces H_j are orthogonal to one another. (If P_i is the orthogonal projection of H on H_i , then the restriction of P_i to H_j intertwines the restrictions of π to H_j and H_i .) The orthogonality of the H_j 's makes

$$\langle \pi(s)\xi, \xi \rangle = \sum_{j=1}^k \langle \pi(s)\xi_j, \xi_j \rangle = \sum_{j=1}^k \alpha_j \chi_{z_j}(s)$$

for all s in G .

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