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NILPOTENT ALGEBRAS

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# EGGERT'S CONJECTURE ON THE DIMENSIONS OF NILPOTENT ALGEBRAS

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In this paper we prove that for a finite dimensional commutative nilpotent algebra  $A$  over a field of prime characteristic  $p > 0$ ,  $\dim A \geq p \dim A^{(p)}$ , where  $A^{(p)}$  is the subalgebra of  $A$  generated by the elements  $x^p$ . In particular, this solves Eggert's conjecture.

## 1. Introduction.

In 1971, Eggert [2] conjectured that for a finite commutative nilpotent algebra  $A$  over a field  $\mathbb{K}$  of prime characteristic  $p > 0$ ,  $\dim A \geq p \dim A^{(p)}$ , where  $A^{(p)}$  is the subalgebra of  $A$  generated by all the elements  $x^p$ ,  $x \in A$  and  $\dim A$ ,  $\dim A^{(p)}$  denote the dimensions of  $A$  and  $A^{(p)}$  as vector spaces over  $\mathbb{K}$ .

In [3], Stack conjectures that  $\dim A \geq p \dim A^{(p)}$  is true for every finite dimensional nilpotent algebra  $A$  over  $\mathbb{K}$ . We point out that some particular cases of Eggert's conjecture have been proved in [1, 2, 3, 4].

Here we prove the conjecture for finite dimensional commutative nilpotent algebras. This combined with the results of [2] completely describe the group of units of  $A$  and the problem set in [1]: "When a finite abelian group is isomorphic to the group of units of some finite commutative nilpotent algebras?" is solved. Recall that the group of units of  $A$  is the set  $A$  with the following operation:  $x \cdot y = x + y + xy$ ,  $\forall x, y \in A$ .

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## 2. Results.

Our main result is the following:

**Theorem.** *Let  $A$  be a finite dimensional commutative nilpotent algebra over a field  $\mathbb{K}$  of characteristic  $p > 0$  and let  $A^{(p)}$  be the subalgebra of  $A$  generated by all the elements  $x^p$ ,  $x \in A$ . Then  $\dim A \geq p \dim A^{(p)}$ .*

To prove the theorem we need an easy lemma on the partition of some sets in  $\mathbb{Z}_{\geq 0}^d$  of  $d$ -tuples ( $d > 0$ ) of nonnegative integers. Let  $\alpha = (\alpha_1, \dots, \alpha_d)$

and  $\beta = (\beta_1, \dots, \beta_d)$  be in  $\mathbb{Z}_{\geq 0}^d$ . Define  $\alpha > \beta$  if in the difference  $\alpha - \beta = (\alpha_1 - \beta_1, \dots, \alpha_d - \beta_d)$ , the left-most nonzero entry is positive and all other entries to the right are nonnegative. It is easy to prove that  $>$  is in fact a partial order on  $\mathbb{Z}_{\geq 0}^d$ , which is compatible with the addition.

**Lemma 1.** *Let  $(n_1, n_2, \dots, n_d) = n \in \mathbb{Z}_{\geq 0}^d$  be a fixed  $d$ -tuple such that  $(0, \dots, 0, 0) \neq n$  and consider the following subsets of  $\mathbb{Z}_{\geq 0}^d$ :*

$$\mathbb{Z}_{\geq 0}^d(n) = \{\alpha, (0, \dots, 0, 0) \neq \alpha \leq n\},$$

$$\mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) = \{(i_1, i_2, \dots, i_{d-1}, j), 1 \leq j \leq n_d\}, \quad 0 \leq i_k \leq n_k, 1 \leq k \leq d-1,$$

$$\mathbb{Z}_{\geq 0}^d(0) = \{(i_1, i_2, \dots, i_{d-1}, 0), (i_1, i_2, \dots, i_{d-1}, 0) \in \mathbb{Z}_{\geq 0}^d(n)\}.$$

Then the sets  $\mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1})$ , and  $\mathbb{Z}_{\geq 0}^d(0)$  form a partition of  $\mathbb{Z}_{\geq 0}^d(n)$ .

The proof of the [theorem](#) requires also the following lemma due to Bautista [[1](#), Proposition 2.1, p. 15]. For completeness, we will give a sketch of a proof of this result.

**Lemma 2.** *Let  $A$  be a commutative nilpotent algebra over a field  $\mathbb{K}$  generated by  $X_1, \dots, X_d$ . Let  $(\alpha_1, \dots, \alpha_d)$  be an element of  $\mathbb{Z}_{\geq 0}^d$  such that  $X_1^{\alpha_1} \dots X_d^{\alpha_d} \neq 0$  but  $\forall (\beta_1, \dots, \beta_d) \in \mathbb{Z}_{\geq 0}^d, (\beta_1, \dots, \beta_d) > (\alpha_1, \dots, \alpha_d), X_1^{\beta_1} \dots X_d^{\beta_d} = 0$ . Then for the set of ordered  $d$ -tuples*

$$S = \left\{ (i_1, \dots, i_d) \in \mathbb{Z}_{\geq 0}^d; (\alpha_1, \dots, \alpha_d) - (i_1, \dots, i_d) \in \mathbb{Z}_{\geq 0}^d \right\},$$

$\{X_1^{i_1} \dots X_d^{i_d}; (i_1, \dots, i_d) \in S\}$  is linearly independent.

*Sketch of Proof.* Suppose that the family

$$\left\{ X_1^{i_1} \dots X_d^{i_d}; (i_1, \dots, i_d) \in \mathbb{Z}_{\geq 0}^d; (\alpha_1, \dots, \alpha_d) - (i_1, \dots, i_d) \in \mathbb{Z}_{\geq 0}^d \right\}$$

is linearly dependent. Then there exists a set of nonzero elements  $\lambda_{i_1, \dots, i_d} \in \mathbb{K}$  such that  $\sum_{\alpha - I \in \mathbb{Z}_{\geq 0}^d} \lambda_{i_1, \dots, i_d} X_1^{i_1} \dots X_d^{i_d} = 0$ ,  $\alpha = (\alpha_1, \dots, \alpha_d)$ ,  $I = (i_1, \dots, i_d)$ .

Let  $L = (l_1, \dots, l_d)$  be a minimal element such that  $\lambda_{l_1, \dots, l_d} \neq 0$ . Then

$$\lambda_{l_1, \dots, l_d} X_1^{l_1} \dots X_d^{l_d} + \sum_{I > L} \lambda_{i_1, \dots, i_d} X_1^{i_1} \dots X_d^{i_d} = 0.$$

By multiplying on the right by  $X_1^{(\alpha_1 - l_1)} \dots X_d^{(\alpha_d - l_d)}$  and using the commutativity of  $A$ , we obtain:

$$\lambda_{l_1, \dots, l_d} X_1^{\alpha_1} \dots X_d^{\alpha_d} + \sum_{I > L} \lambda_{i_1, \dots, i_d} X_1^{i_1 + (\alpha_1 - l_1)} \dots X_d^{i_d + (\alpha_d - l_d)} = 0.$$

However, it is easy to see that  $(i_1 + \alpha_1 - l_1, \dots, i_d + \alpha_d - l_d) > (\alpha_1, \dots, \alpha_d)$ .

Thus,

$$\sum_{l>L} \lambda_{i_1, \dots, i_d} X_1^{i_1+(\alpha_1-l_1)} \dots X_d^{i_d+(\alpha_d-l_d)} = 0.$$

So,  $\lambda_{l_1, \dots, l_d} X_1^{\alpha_1} \dots X_d^{\alpha_d} = 0$ . But,  $\lambda_{l_1, \dots, l_d} \neq 0$ . Thus,  $X_1^{\alpha_1} \dots X_d^{\alpha_d} = 0$ . This contradicts our hypothesis and proves the lemma.

**Lemma 3.** *Let  $A$  be a commutative nilpotent algebra over a field  $\mathbb{K}$  generated by  $d$  elements  $X_1, \dots, X_d$ . Suppose that  $A$  cannot be generated by  $d - 1$  elements. Let  $\mathcal{B} = \{X_1^{i_1} \dots X_d^{i_d}, (i_1, i_2, \dots, i_d) \in \mathbb{Z}_{\geq 0}^d, \text{ with the convention } X_k^0 = 1, 1 \leq k \leq d\}$  be a basis of  $A$  as a vector space over  $\mathbb{K}$ . Then  $X_d \in \mathcal{B}$  and some of the basis  $\mathcal{B}$  are such that, if for some  $(j_1, \dots, j_d), j_d \geq 2, X_1^{j_1} \dots X_d^{j_d} \in \mathcal{B}$  then  $X_1^{j_1} \dots X_{d-1}^{j_{d-1}} X_d^{j_d-1} \in \mathcal{B}$ .*

*Proof.* Suppose that  $X_d \notin \mathcal{B}$  and let us write it as a linear combination of elements of  $\mathcal{B}$ ,  $X_d = \sum_{i_1, \dots, i_d} \lambda_{i_1, \dots, i_d} X_1^{i_1} \dots X_d^{i_d}, \lambda_{i_1, \dots, i_d} \in \mathbb{K}$ . Since  $A$  is not generated by  $d - 1$  elements, for some  $i_d$  we have  $i_d \geq 1$ . So, one can write

$$X_d = \left( \sum_{i_1, \dots, i_d} \lambda_{i_1, \dots, i_d} X_1^{i_1} \dots X_d^{i_d-1} \right) \left( \sum_{i_1, \dots, i_d} \lambda_{i_1, \dots, i_d} X_1^{i_1} \dots X_d^{i_d} \right).$$

Since  $A$  is commutative and nilpotent, by repeating the above process we can write  $X_d$  as a linear combination of monomials in  $X_1, \dots, X_{d-1}$ . Thus  $A$  is generated by  $d - 1$  elements. This contradiction proves our assertion,  $X_d \in \mathcal{B}$ .

We prove now our second assertion. It is easy to see that  $X_1^{j_1} \dots X_d^{j_d} \in \mathcal{B}$  implies that there exists  $(\alpha_1, \dots, \alpha_d) \in \mathbb{Z}_{\geq 0}^d$  satisfying the hypothesis of Lemma 2 such that

$$(\alpha_1, \dots, \alpha_d) > (j_1, \dots, j_d) \text{ and } (\alpha_1 - j_1, \dots, \alpha_d - j_d) \in \mathbb{Z}_{\geq 0}^d.$$

But  $(j_1, \dots, j_d) > (j_1, \dots, j_{d-1}, j_d - 1)$ . So,  $(\alpha_1 - j_1, \dots, \alpha_{d-1} - j_{d-1}, \alpha_d - j_d - 1) \in \mathbb{Z}_{\geq 0}^d$ . Thus, Lemma 2 applies here.

Suppose now that  $X_1^{j_1} \dots X_{d-1}^{j_{d-1}} X_d^{j_d-1} \notin \mathcal{B}$ . Then  $\{X_1^{j_1} \dots X_{d-1}^{j_{d-1}} X_d^{j_d-1}, \mathcal{B}\}$  is linearly dependent which contradicts the preceding lemma.

*Proof of the Theorem.* We prove our theorem by induction on the number  $l$  of generators of the algebra  $A$ .

We first prove the conjecture for  $l = 1$ . Let  $X$  be a generator of  $A$  and  $m + 1$  be the degree of nilpotency of  $X$ . Then  $\{X, X^2, \dots, X^m\}$  is a basis for the vector space  $A$  and since  $A$  is commutative over a field of characteristic  $p$ ,  $\{X^p, \dots, X^{pk}\}$  is a basis of  $A^{(p)}$ . But the fact that  $m + 1$  is the degree of nilpotency of  $X$  yields to  $m \geq pk$ . So,  $\dim A = m \geq pk = p \dim A^{(p)}$ .

Suppose that the theorem is proved for every algebra generated by  $l$  elements,  $l \leq d - 1$  and consider a finite dimensional commutative nilpotent

algebra  $A$  over  $\mathbb{K}$  generated by  $d$  elements,  $X_1, \dots, X_d$ . Since  $A$  is nilpotent, there exists a  $d$ -tuple  $(n_1, n_2, \dots, n_d) = n \in \mathbb{Z}_{\geq 0}^d$  such that  $n_1 + 1, \dots, n_d + 1$  are the degrees of nilpotency of  $X_1, \dots, X_d$  respectively. Since  $A$  is commutative over a field of characteristic  $p$ , as vector spaces over  $\mathbb{K}$ ,  $A$  and  $A^{(p)}$  are generated by the monomials of the form  $\{X_1^{\beta_1} \cdots X_d^{\beta_d}, (\beta_1, \dots, \beta_d) \in \mathbb{Z}_{\geq 0}^d, \text{ where } X_i^0 = 1\}$  and  $X_1^{p\beta_1} \cdots X_d^{p\beta_d}$  respectively. So, one can extract a basis  $\mathcal{B}$  of  $A^{(p)}$  from the last cited monomials. Let  $\bar{\mathcal{B}}$  be a basis of  $A$  obtained by completing  $\mathcal{B}$ . Let  $\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}})$  be the set of all  $d$ -tuples  $(\alpha_1, \dots, \alpha_d) \in \mathbb{Z}_{\geq 0}^d$  such that  $X_1^{\alpha_1} \cdots X_d^{\alpha_d} \in \bar{\mathcal{B}}$  and denote by  $\mathbb{Z}_{\geq 0}^d(\mathcal{B})$  the set of all  $d$ -tuples  $(\alpha_1, \dots, \alpha_d) \in \mathbb{Z}_{\geq 0}^d$  such that  $X_1^{\alpha_1} \cdots X_d^{\alpha_d} \in \mathcal{B}$ .

With these notations,  $\dim A \geq p \dim A^{(p)}$  is the same as  $\#\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \geq p\#\mathbb{Z}_{\geq 0}^d(\mathcal{B})$ , where  $\#Y$  is the number of the elements of the set  $Y$ .

Let  $R$  be the subalgebra of  $A$  generated by  $\{X_1, \dots, X_{d-1}\}$ . Then by the hypothesis of induction,  $\dim R \geq p \dim R^{(p)}$ . But,  $\dim R = \#\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(0)$  and  $\dim R^{(p)} = \#\mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(0)$ . On the other hand, since  $\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}})$  and  $\mathbb{Z}_{\geq 0}^d(\mathcal{B})$  are included in  $\mathbb{Z}_{\geq 0}^d(n)$ , by Lemma 1 we have:

$$\begin{aligned} \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) &= \left( \bigcup_{i_1, \dots, i_{d-1}} \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) \cup \left( \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(0) \right) \\ \mathbb{Z}_{\geq 0}^d(\mathcal{B}) &= \left( \bigcup_{i_1, \dots, i_{d-1}} \mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) \cup \left( \mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(0) \right). \end{aligned}$$

Also, by Lemma 1 we have partitions of  $\mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}})$  and  $\mathbb{Z}_{\geq 0}^d(\mathcal{B})$ . Thus, we only need to prove that

$$\begin{aligned} \# \bigcup_{i_1, \dots, i_{d-1}} \left( \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) \\ \geq p \# \bigcup_{i_1, \dots, i_{d-1}} \left( \mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right). \end{aligned}$$

Moreover, since we have a disjoint union of sets, we prove that

$$\# \left( \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) \geq p \# \left( \mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right).$$

Fix  $(i_1, \dots, i_{d-1})$  and let  $j$  be the greatest integer such that  $X_1^{i_1} \cdots X_{d-1}^{i_{d-1}} X_d^j \in \bar{\mathcal{B}}$  (i.e.,  $(i_1, \dots, i_{d-1}, j) \in \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}})$ ).

If  $j = 0$  or  $j = 1$  then  $\mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) = \emptyset$  and our claim is obvious.

If  $j \geq 2$  then by Lemma 3,  $(i_1, \dots, i_{d-1}, k) \in \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}})$ ,  $\forall k, 1 \leq k \leq j$  and so, by the choice of the integer  $j$ ,

$$\# \left( \mathbb{Z}_{\geq 0}^d(\bar{\mathcal{B}}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) = j.$$

On the other hand

$$\mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) = \begin{cases} \emptyset \\ \text{or} \\ \{(i_1, \dots, i_{d-1}, pk), 1 \leq pk \leq j\}. \end{cases}$$

The first case is obvious and in the second as for an algebra generated by one element, we have

$$p\# \left( \mathbb{Z}_{\geq 0}^d(\mathcal{B}) \cap \mathbb{Z}_{\geq 0}^d(i_1, \dots, i_{d-1}) \right) = pt \leq j.$$

This ends the proof of the [theorem](#).

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