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We show that every finitely generated nilalgebra having nilalgebras of matrices is a homomorphic image of nilalgebras constructed by the Golod method (Golod, 1965 and 1969). By applying some elements of module theory to these results, we construct over any field non-residually finite nilalgebras and Golod groups with non-residually finite quotients. This solves Šunkov's problem (Kourovka Notebook, 1995, Problem 12.102). Also, we reduce Kaplansky's problem on the existence of a f.g. infinite  $p$ -group  $G$  such that the augmentation ideal  $\omega K[G]$  over a nondenumerable field  $K$  is a nilideal (Kaplansky, 1957, Problem 9) to the study of the just-infinite quotients of Golod groups.

### 1. Introduction.

This paper deals with finitely generated (f.g.) infinite dimensional nilalgebras and their associated groups. Using Golod's algebras Anan'in and Puczyłowski constructed over fields of characteristic zero f.g. non-nilpotent nilalgebras which are not residually finite [2, 15]. On the other hand, Rowen has proved their existence over every field [16]. Here we shall construct such examples over every field. This will enable us to solve in the negative Šunkov's problem [11, Problem 12.102] by constructing Golod groups with non-residually finite quotients. To this end we shall first start constructing Golod algebras as extensions of some nilalgebras. This is a completely different view from the classical one where Golod algebras are seen as homomorphic images. On the other hand the proofs of Theorems 2 and 3 are careful analysis of the Golod method. However, a great deal of information is extracted. For example, we prove that every f.g. nilalgebra over a nondenumerable field is a homomorphic image of a Golod algebra. As a consequence, Kaplansky's problem on the existence of a f.g. infinite  $p$ -group  $G$  such that the augmentation ideal  $\omega K[G]$  over a nondenumerable field  $K$  is a nilideal [10, Problem 9] is reduced to the study of the just-infinite quotients of Golod groups. In the denumerable case we obtain some results, although because of the Köthe conjecture [12] the situation is quite complicated and we are far from understanding it.

Let  $K$  be any field and let  $F^{(1)}$  be the free associative algebra of polynomials without constant terms in the non-commuting indeterminates  $X_1, \dots, X_d$  ( $d \geq 2$ ) over  $K$ . In this work an algebra means an associative algebra unless otherwise stated.

**Lemma 1** ([6, 7]). *Let  $I$  be an ideal of  $F^{(1)}$  generated by a family of homogeneous polynomials  $f_1, f_2, \dots$  of non-decreasing degrees greater than or equal to 2. Let  $r_i$  be the number of polynomials of each degree  $i \geq 2$  in the sequence  $f_1, f_2, \dots$ . If the coefficients of the series  $\left(1 - dt + \sum_{i=2}^{+\infty} r_i t^i\right)^{-1}$  are positive, then the algebra  $F^{(1)}/I$  is of infinite dimension. In particular this is true if for a fixed real  $\epsilon$ ,  $0 < \epsilon < 1/2$ ,  $r_i \leq \epsilon^2(d - 2\epsilon)^{i-2}$ , for every  $i \geq 2$ .*

A Golod algebra is a f.g. non-nilpotent nilalgebra which satisfies Lemma 1 and which is constructed by the Golod method as in [6, 7].

An algebra  $A$  over a field  $k$  is absolutely nil if for every extension field  $K \supset k$ ,  $A \otimes K$  is a nilalgebra [1, 1c, p. 51].

We shall use the following characterization of absolutely nilalgebras:

**Lemma 2** ([1, 3c, p. 52]). *The algebra  $A$  is absolutely nil if for every finite set  $g_1, \dots, g_n$  of elements of  $A$ , there exists an integer  $m$  such that for every partition  $m = \mu_1 + \dots + \mu_n$ ,  $\mu_i \geq 0$ ,  $\phi_{\mu_1 \dots \mu_n}(g_1, \dots, g_n) = \sum g_{i_1} \dots g_{i_m} = 0$ , where  $\sum$  ranges over all the products which contain  $g_j$ ,  $\mu_j$  times for every  $j$ .*

The smallest such integer  $m$  is called the degree of absolute nillity of  $g_1, \dots, g_n$ . It is obvious that  $\phi_{\mu_1 \dots \mu_n}(g_1, \dots, g_n)$  is a homogeneous polynomial of degree  $m$  in the subalgebra generated by  $g_1, \dots, g_n$ .  $\phi_{\mu_1 \dots \mu_n}(g_1, \dots, g_n)$  is called a  $\phi_{\mu_1, \mu_n}(g_1, \dots, g_n)$  homogeneous polynomial. When there is no ambiguity, we speak about the  $\phi_{\mu_1, \mu_n}$  homogeneous polynomials (parts, components) where  $\mu_1, \dots, \mu_n$  range over all the partitions of  $m$ .

It is well-known that every f.g. nilalgebra over a nondenumerable field and every locally nilpotent algebra are absolutely nil [1]. It is observed [1, p. 56] and is proved below (see Remark 2) that Golod algebras are examples of non-locally nilpotent absolutely nilalgebras.

## 2. Residually finite case.

**Theorem 1.** *Let  $A = F^{(1)}/I$  be a nilalgebra with an absolutely nil ideal  $J/I$  such that  $J$  is a homogeneous ideal of  $F^{(1)}$ . Then  $A$  is a homomorphic image of a residually finite nilalgebra  $B = F^{(1)}/T$  such that  $T$  is a homogeneous ideal.*

*Proof.* Let  $g \in F^{(1)}$  and  $n$  be an integer such that  $g^n \in J$ . Then  $g^n = \sum_i \mathcal{M}_i$ , where  $\mathcal{M}_j$  are homogeneous polynomials of  $J$ . Since  $J/I$  is an absolutely nilalgebra, there exists an integer  $m = m(\mathcal{M}_{i_1}, \dots, \mathcal{M}_{i_k})$  such that all the homogeneous polynomials in the  $\mathcal{M}_j$ ,  $\phi_{\mu_1, \mu_k} = \sum \mathcal{M}_{j_1} \cdots \mathcal{M}_{j_m} \in I$ . But every element  $\mathcal{M}_j$  is homogeneous in  $F^{(1)}$ , so all the polynomials  $\phi_{\mu_1, \mu_k}$  are homogeneous in  $F^{(1)}$ . From the fact that  $(g^n)^m = \sum_{\mu_1 + \dots + \mu_k = m} \phi_{\mu_1, \mu_k}$  we see that  $(g^n)^m$  is a sum of homogeneous elements of  $I$ . Let  $T$  be the ideal of  $F^{(1)}$  generated by all the homogeneous polynomials  $\phi_{\mu_1, \mu_k}$ , so constructed. It is obvious that  $T \subset I$  is a homogeneous ideal and that  $F^{(1)}/T$  is a residually finite nilalgebra.

In view of this theorem we ask the following natural question:

**Question 1.** Let  $A$  be an algebra as in the previous theorem. Is  $A$  absolutely nil?

Although this question seems to be difficult, one can observe that if  $J/I$  is an ideal of  $A$  of finite codimension then  $A$  is absolutely nil. This gives the following characterization of f.g. non-absolutely nilalgebras. Examples of this sort are the nilalgebras generated by 3 elements constructed recently by Smoktunowicz [18].

**Corollary 1.** *Let  $A$  be a f.g. non-absolutely nilalgebra. Then for every  $n \geq 1$ ,  $A^n$  is a f.g. nilalgebra which is not absolutely nil.*

**Theorem 2.** *Let  $A = F^{(1)}/I$  be a nilalgebra over a denumerable field such that  $I$  is a homogeneous ideal. Then  $A$  is a homomorphic image of a residually finite nilalgebra  $B = F^{(1)}/J$  which satisfies Lemma 1.*

*Proof.* We will construct by induction a family of homogeneous polynomials  $f_1, f_2, \dots$  which generate the ideal  $J$ .

We suppose that the base field  $K$  is denumerable. In this case  $F^{(1)}$  is denumerable. Let us enumerate its elements as  $\{y_1, y_2, \dots\}$ . Choose an integer  $n$  greater than or equal to the index of nilpotency of  $(y_1 + I)$ . Then  $y_1^n$  is in  $I$  and since  $I$  is homogeneous, each of its homogeneous components  $f_1, \dots, f_t$  (with  $\deg f_j < \deg f_{j+1}$ ) is in  $I$ . Given any number  $k$ , there is no more than one  $f_i$  with degree  $k$ . So we have the set  $\{f_1, \dots, f_t\}$  satisfying Lemma 1. In particular, there exist homogeneous polynomials  $f_1, \dots, f_t$  with increasing degrees in  $I$  satisfying Lemma 1 such that  $y_1^n$  is in the ideal generated by  $\{f_1, \dots, f_t\} \subseteq I$ .

Suppose by induction, we have a Golod set  $\{f_1, \dots, f_s\} \subseteq I$  such that  $\deg f_i < \deg f_{i+1}$  and for each  $i = 1, \dots, k$  there is an integer  $n_i$  with  $y_i^{n_i}$  in the ideal generated by  $\{f_1, \dots, f_s\}$ . For  $y_{k+1}$  choose an integer  $m$  greater than both the index of nilpotency of  $(y_{k+1} + I)$  and  $\deg f_s$ . Since  $A$  is

nil and since  $I$  is a homogeneous ideal, we can write  $(y_{k+1})^m$  in terms of its homogeneous components all of which are in  $I$ , and all of which have degree larger than  $\deg f_s$ . Label these components  $f_{s+1}, \dots, f_r$ . Then the set  $\{f_1, \dots, f_s, f_{s+1}, \dots, f_r\} \subseteq I$  of homogeneous polynomials satisfies Lemma 1 such that for each  $i = 1, \dots, k+1$ , there is an integer  $n_i$  with  $y_i^{n_i}$  in the ideal generated by  $\{f_1, \dots, f_r\}$ . Now, by the induction we have an infinite set of homogeneous polynomials  $f_1, f_2, \dots$  in  $I$  satisfying Lemma 1, and which generates the ideal  $J$ , such that  $F^{(1)}/J$  is a nilalgebra.

**Theorem 3.** *Let  $A = F^{(1)}/I$  be an absolutely nilalgebra. Then  $A$  is a homomorphic image of a Golod algebra  $B = F^{(1)}/J$ .*

*Proof.* The proof is by induction on the degrees of general polynomials. Let  $g_1 = c_1X_1 + \dots + c_dX_d$  be a general polynomial of degree 1 in  $F^{(1)}$  and choose an integer  $l$  greater than or equal to the degree of absolute nillity of  $X_1 + I, \dots, X_d + I$ . Since  $A$  is absolutely nil, by Lemma 2, for every partition  $l = \mu_1 + \dots + \mu_d$ ,  $\mu_i \geq 0$ , the  $\phi_{\mu_1, \mu_d}(X_1, \dots, X_d)$  polynomials are in  $I$ . These polynomials are just the coefficients (homogeneous polynomials in  $X_1, \dots, X_d$ ) of  $g_1^l$  when seen as a polynomial in the commuting unknowns  $c_1, \dots, c_d$ . Let us denote these  $\phi_{\mu_1, \mu_d}(X_1, \dots, X_d)$  polynomials as  $f_1, \dots, f_{l_1}$ . Now, since the number  $r_i$  of polynomials of each degree  $i$  (in this case  $i = l$ ) in  $\{f_1, \dots, f_{l_1}\}$  does not exceed  $(l + d - 1)^{d-1}$ , for  $l$  big enough,  $r_i \leq (l + d - 1)^{d-1} \leq \epsilon^2(d - 2\epsilon)^{i-2}$ . Thus, the set  $\{f_1, \dots, f_{l_1}\}$  satisfies Lemma 1.

Suppose that we have constructed in  $I$  a system of homogeneous polynomials  $f_1, \dots, f_{l_k}$  satisfying Lemma 1 and that for every polynomial  $y \in F^{(1)}$  of a degree not exceeding  $k$  there exists an integer  $l' = l'(y)$  such that the homogeneous parts of  $y^{l'}$  are in the ideal generated by  $f_1, \dots, f_{l_k}$ . Let

$$g_{k+1} = c_1^{(1)}X_1 + \dots + c_d^{(1)}X_d + c_1^{(2)}X_1^2 + c_2^{(2)}X_1X_2 + \dots + c_d^{(2)}X_d^2 + \dots + c_d^{(k+1)}X_d^{k+1}$$

be a general polynomial of  $F^{(1)}$  of degree  $k+1$ . Let  $n$  be an integer greater than  $\max(\deg f_1, \dots, \deg f_{l_k}, m(X_1, \dots, X_1X_d, \dots, X_d^{k+1}))$ , where,  $m(X_1, \dots, X_d^{k+1})$  is the degree of absolute nillity of  $X_1, \dots, X_1X_d, \dots, X_d^{k+1}$ . By Lemma 2, for every partition  $n = \mu_1 + \dots + \mu_q$ ,  $\mu_i \geq 0$ ,  $q = d + \dots + d^{k+1}$  the  $\phi_{\mu_1, \mu_q}(X_1, \dots, X_d^{k+1})$  polynomials are in  $I$ . As in the case of  $g_1$ , by the choice of the integer  $n$ , the coefficients of  $g_{k+1}^n$ , seen as a polynomial in the commuting unknowns  $c_1^{(1)}, \dots, c_d^{(k+1)}$ , are the  $\phi_{\mu_1, \mu_q}(X_1, \dots, X_d^{k+1}) \in I$ . Let us denote them by  $f_{l_k+1}, \dots, f_{l_{k+1}}$  and construct a new family of homogeneous polynomials  $f_1, \dots, f_{l_k}, f_{l_k+1}, \dots, f_{l_{k+1}}$  satisfying Lemma 1. Indeed, the number  $r_i$  of polynomials of degree  $i > \max(\deg f_1, \dots, \deg f_{l_k})$  does not exceed  $(n + q - 1)^{q-1}$ . For  $n$  big enough, we have  $r_i \leq (n + q - 1)^{q-1} \leq \epsilon^2(d - 2\epsilon)^{i-2}$ . For  $i \leq \max(\deg f_1, \dots, \deg f_{l_k})$  this property is satisfied in

the system  $f_1, \dots, f_{l_k}$ . So we have constructed a family of polynomials  $f_1, \dots, f_{l_{k+1}}$  satisfying Lemma 1 and for every polynomial  $z \in F^{(1)}$  of a degree not exceeding  $k+1$  there exists an integer  $n' = n'(z)$  such that the homogeneous parts of  $z^{n'}$  are in the ideal generated by  $f_1, \dots, f_{n_{k+1}}$ . The union of all these families so constructed gives an infinite system of homogeneous polynomials  $f_1, f_2, \dots$  which generate the ideal  $J$ . We have proved the theorem.

### Remarks.

1. If  $A$  is such that specific elements generate a nilpotent (soluble, finite dimensional, ...) subalgebra, then one can construct  $B$  with the same properties as  $A$ .
2. From the proof of Theorem 3, we see that the Golod algebras are absolutely nil. Therefore, Golod algebras have nilalgebras of matrices. This solves P.M. Cohn's question [4, p. 387 and Exercise 6°, p. 395].

Having in mind that a f.g. nilalgebra over a nondenumerable field is absolutely nil [1], we obtain:

**Corollary 2.** *Every f.g. nilalgebra over a nondenumerable field is a homomorphic image of a Golod algebra.*

Let  $A$  be a Golod algebra generated by  $\bar{X}_1, \dots, \bar{X}_d$  ( $d \geq 2$ ). The group generated by  $1 + \bar{X}_1, \dots, 1 + \bar{X}_d$  is called the Golod group of  $A$  and the Lie algebra generated by  $\bar{X}_1, \dots, \bar{X}_d$  is the Golod-Lie algebra.

**Corollary 3.** *For any integer  $d \geq 2$ , every  $d$ -generator group arising from an absolutely nilalgebra is a homomorphic image of a  $d$ -generator Golod group. In particular, so is every finite  $p$ -group, for every prime integer  $p$ .*

In [10, Problem 9], Kaplansky asked whether the augmentation ideal  $\omega K[G]$  of a f.g. infinite  $p$ -group  $G$  could be a nilideal. A particular case is Passman's question on the use of Golod groups to solve this problem [13, p. 121 and Problem 18, p. 133], [14, p. 415]. The following result confirms Passman's observation and reduces Kaplansky's problem to the study of the quotients of Golod groups:

**Corollary 4.** *Let  $K$  be a nondenumerable field of characteristic  $p > 0$ . Then, there exists a f.g. infinite  $p$ -group  $\bar{G}$  such that the augmentation ideal  $\omega K[\bar{G}]$  is nil if and only if there exists a just-infinite homomorphic image  $G$  of a Golod  $p$ -group such that  $\omega K[G]$  is nil.*

*Proof.* Let  $\bar{G}$  be as in the corollary. Since it is f.g. and infinite, it has a just-infinite homomorphic image  $G$ . Hence, the augmentation ideal  $\omega K[G]$  is a quotient of  $\omega K[\bar{G}]$  and so it is a nilalgebra over a nondenumerable field  $K$ . By Corollary 2,  $G$  and  $\bar{G}$  are quotients of a Golod group. The converse is obvious.

On the other hand we point out that since non-absolutely nilalgebras cannot be quotients of Golod algebras, their associated groups have non-nil augmentation ideals. The only examples of this type are the nilalgebras generated by 3 elements constructed by Smoktunowicz [18]. The following result is analogous to the results obtained in the case of the 2-generated Grigorchuk groups [5], the 3-generated Gupta-Sidki groups [17] and the free Burnside groups [9]:

**Corollary 5.** *Let  $K$  be a nondenumerable field of characteristic  $p > 0$ . Let  $G$  be a f.g.  $p$ -group associated to a non-absolutely nilalgebra. Then the augmentation ideal  $\omega K[G]$  is not nil. Moreover  $\omega K[G]$  has a just-infinite primitive homomorphic image.*

**Question 2.** Could the group algebra in the preceding Corollary contain a free associative algebra with two non-commuting indeterminates?

**Corollary 6.** *For any integer  $d \geq 2$ , every  $d$ -generator Lie algebra arising from an absolutely nilalgebra is a homomorphic image of a  $d$ -generator Golod-Lie algebra.*

### 3. Non-residually finite case.

We turn now to non-residually finite quotients of nilalgebras and their associated groups. We point out that a f.g. just-infinite nilalgebra or a f.g. just-infinite Jacobson radical ring is residually finite [9] and that some infinite dimensional quotients of Golod algebras are also Golod algebras (the same result holds for Golod groups and Golod-Lie algebras) [8, 19]. A subset  $E$  of a ring  $A$  is  $T$ -nilpotent if for every sequence  $g_1, g_2, \dots$  of elements of  $E$ , there exists an integer  $k$  with  $g_1 g_2 \cdots g_k = 0$ . It is obvious that  $T$ -nilpotency implies local nilpotency. In our investigations, a key role is played by the following generalization of Nakayama's lemma:

**Lemma 3** ([20, §43.5, p. 386]). *Let  $A$  be an algebra. Then,  $AM \neq M$  for every left  $A$ -module  $M$ , if and only if  $A$  is  $T$ -nilpotent.*

The existence of f.g. non-residually finite, infinite dimensional nilalgebras over every field was first proved in [16]. A simple observation yields a stronger result. Indeed, let  $d \geq 2$  be an integer and suppose that for any  $d$ -generator nilalgebra  $A$ , any left  $A$ -module  $M$  satisfies  $\cap A^i M = \langle 0 \rangle$ . So,  $AM \neq M$  and by Lemma 3,  $A$  is  $T$ -nilpotent. Thus every  $d$ -generator nilalgebra is nilpotent. This contradicts the Golod construction [6, 7] and proves:

**Proposition.** *For every integer  $d \geq 2$  and over any field, there exists a non-residually finite, non-nilpotent  $d$ -generator nilalgebra.*

**Theorem 4.** *Over any field, any f.g. non-nilpotent nilalgebra with involution is a homomorphic image of a f.g. non-residually finite nilalgebra.*

*Proof.* Let  $A$  be a f.g. non-nilpotent nilalgebra with involution. Since  $A$  is not locally finite, by Lemma 3 there exists a nondegenerate left  $A$ -module  $M$  such that  $AM = M$ . It is well-known that every left  $A$ -module can be considered as a right module over the opposite algebra  $A^o$  of  $A$ . But the fact that  $A$  has an involution yields  $A \cong A^o$  and turns  $M$  to a nondegenerate  $(A, A)$ -bimodule such that  $AM = MA = M$ . Let  $m$  be a nondegenerate element of  $M$  and consider the submodule  $N = \langle m \rangle$ . Since  $A$  has an involution and  $N$  is nondegenerate, we have  $AN = NA = N$ . Denote by  $\bar{A}$  the trivial extension of  $A$  by  $N$ ,

$$\bar{A} = \{(a, n), a \in A, n \in N\}.$$

With the usual addition and the following multiplication:

$$(a, n)(a', n') = (aa', an' + na'), \quad a, a' \in A, \quad n, n' \in N,$$

$\bar{A}$  is a non-nilpotent nilalgebra such that  $\bar{A}/I = A$ , where  $I$  is the ideal  $\langle (0, n), n \in N \rangle$ . From the fact that  $AN = NA = N$ , it follows that  $I$  is in  $\bar{A}^k$  for every integer  $k$ ; thus  $\bar{A}$  is not residually finite. Since  $A$  is f.g. and  $N = \langle m \rangle$ ,  $\bar{A}$  is f.g. Therefore, we proved the theorem.

**Corollary 7.** *Over every field, there exists a Golod algebra with non-residually finite quotients.*

*Proof.* Apply Theorems 1 and 2 or 3 to the non-residually finite nilalgebras of Theorem 4.

The following corollary solves in the negative Šunkov's problem [11, Problem 12.102]:

**Corollary 8.** *For every prime  $p$  (respectively  $p = 0$ ), there exists Golod  $p$ -groups (respectively torsion free groups) with non-residually finite quotients.*

*Proof.* Let  $\bar{A}$  be a non-residually finite homomorphic image of a Golod algebra  $B$  and denote by  $Y_1, \dots, Y_d$  its generators which are images of fixed generators of  $B$ . Since  $\bar{A}$  is f.g., and  $N = \langle m \rangle$  is a nondegenerate module satisfying  $AN = NA = N$  (see the proof of Theorem 4),  $1 + (0, m) \in \bar{G}$  where,  $\bar{G} = \langle 1 + Y_1, \dots, 1 + Y_d \rangle$ . Thus the Golod group of  $B$  has  $\bar{G}$  as a non-residually finite quotient.

We conclude with the following question which is related to Bergman's [3, Question 63]:

**Question 3.** Anan'in and Puczyłowski constructed over fields of characteristic zero, f.g. non-residually finite, non-nilpotent nilalgebras with non-radical tensor square [2, 15]. Could we construct such examples in characteristic  $p > 0$ ?



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