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# ON THE HOROBOUNDARY AND THE GEOMETRY OF RAYS OF NEGATIVELY CURVED MANIFOLDS

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We study the Gromov compactification of quotients  $X/G$  of a Hadamard space  $X$  by a discrete group of isometries  $G$ , pointing out the main differences with the simply connected case. We prove a criterion for the Busemann equivalence of rays on these quotients and show that the “visual” description of the Gromov boundary breaks down, producing examples for the main pathologies that may occur in the nonsimply connected case, such as: divergent rays having the same Busemann functions, points on the Gromov boundary that are not Busemann functions of any ray, and discontinuity of the Busemann functions with respect to the initial conditions. Finally, for geometrically finite quotients  $X/G$ , we recover a simple description of the Gromov boundary, and prove that in this case the compactification is a singular manifold with boundary, with a finite number of conical singularities.

## 1. Introduction

The problem of understanding the geometry and dynamics of geodesics and rays (that is, distance-minimizing half-geodesics) on Riemannian manifolds dates back at least to Hadamard [1898], who studied the qualitative behavior of geodesics on nonpositively curved surfaces of  $\mathbb{R}^3$ . In particular, he first distinguished between different kinds of ends on such surfaces and introduced the notion of asymptote, with which we are concerned in this paper.

Half a century later, Busemann in his seminal book [1955] introduced an amazingly simple notion for measuring the “angle at infinity” between rays (now known as the *Busemann function*) as a tool to develop a theory of parallels on geodesic spaces. The Busemann function of a ray  $\alpha$  is the two-variable function

$$B_\alpha(x, y) = \lim_{t \rightarrow +\infty} d(x, \alpha(t)) - d(\alpha(t), y),$$

and has played an important role (far beyond the purposes of its creator) in the study of complete noncompact Riemannian manifolds.

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It has been used to derive fundamental results in nonnegative curvature, such as Cheeger, Gromoll, and Meyer's soul theorem and Toponogov's splitting theorem [Shiohama 1984], and in the function theory of harmonic and noncompact symmetric spaces [Anderson and Schoen 1985; Ji and MacPherson 2002]; and it has a special place in the geometry of Hadamard spaces and in the dynamics of Kleinian groups. The main reason for this importance is that any simply connected, nonpositively curved space  $X$  (a *Hadamard space*) has a natural, "visual" compactification whose boundary  $X(\infty)$  is easily described in terms of *asymptotic rays*; and, when  $X$  is given a discrete group  $G$  of motions, the Busemann functions of rays appear as the densities at infinity of the Patterson–Sullivan measures of  $G$  [Roblin 2003; Sullivan 1979].

The simple visual picture of the compactification of a Hadamard space unfortunately breaks down for general, nonsimply connected manifolds; but Busemann functions (or more precisely, their direct generalizations known as *horofunctions*) have inspired Gromov to define a natural, universal compactification (the *horofunction compactification*), whose properties, however, are more difficult to describe. The aim of this paper is to investigate how far the visual description of this boundary and the usual properties of rays carry over in the negatively curved, nonsimply connected case, and to stress the main differences.

Let us start by describing a first, naïf approach to the problem of finding a "good" geometric compactification of a general complete Riemannian manifold. The first idea is to add all "asymptotic directions" to the space, similarly to  $\mathbb{E}^n$ , which can be compactified as the closed ball  $B^n = \mathbb{E}^n \cup S^n$  by adding the set of all oriented half-lines modulo (orientation-preserving) parallelism. Now, on a general Riemannian manifold, we have at least two elementary notions of asymptoticity for rays  $\alpha, \beta : \mathbb{R}^+ \rightarrow X$ , with origins  $a, b$  respectively:

- **Distance asymptoticity:** we say that  $d_\infty(\alpha, \beta) < \infty$  if  $\sup_t d(\alpha(t), \beta(t)) < \infty$ , and then we say that  $\alpha$  and  $\beta$  are *distance-asymptotic* (or simply *asymptotic*).
- **Visual asymptoticity:** we say that  $\alpha$  *tends visually*<sup>1</sup> to  $\beta$ , and write it  $\alpha > \beta$ , if there exist minimizing geodesic segments

$$\beta_n = [b, \alpha(t_n)]$$

such that  $\beta_n \rightarrow \beta$  (that is, the angle  $\angle \beta, \beta_n \rightarrow 0$ ); it is also current to say in this case that  $\beta$  is a *coray* to  $\alpha$  ( $\beta < \alpha$ ), following Busemann [1955]. Then, we say that  $\alpha$  and  $\beta$  are *visually asymptotic* if  $\alpha > \beta$  and  $\beta > \alpha$  ( $\alpha < > \beta$ ).

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<sup>1</sup>To avoid an unnatural, too-restrictive notion of visual asymptoticity, the correct definition is slightly weaker (see Section 2.2, Definition 13): one allows that  $\beta_n = [b_n, \alpha(t_n)]$  for some  $b_n \rightarrow b$ . Take, for instance, a hemispherical cap, with pole  $N$ , attached to an infinite flat cylinder: two meridians issuing from the pole  $N$  (to which we obviously want to assign the same asymptotic direction) would never be corays if we did not allow the origins of the  $\beta_n$  to be moved slightly.

It is classical that these two notions of asymptoticity coincide for Hadamard spaces. For a Hadamard space  $X$ , we then define the *visual boundary*  $X(\infty)$  as the set of rays  $\mathcal{R}(X)$  modulo asymptoticity and give to  $\bar{X} = X \cup X(\infty)$  a natural topology that coincides on  $X$  with the original one and makes of it a compact metrizable space: we refer to  $\bar{X}$  as to the *visual compactification* of  $X$  (see [Eberlein 1996] and Section 3.1).

The idea of proceeding analogously for a general Riemannian manifold is tempting but disappointing. The first reason is that apart from the case of Hadamard spaces, the relation  $\prec$  is known to be generally *not symmetric*, and the relation  $\prec \succ$  is *not an equivalence relation* (except for rays having the same origin, as Theorem 16 shows). Some indirect<sup>2</sup> examples of the asymmetry can be found in the literature about surfaces with *variable curvature* [Innami 1985], or about graphs [Papadopoulos 2005]. We give in Section 6 an example of a hyperbolic surface (the asymmetric hyperbolic flute) that makes evident the general asymmetry of the coray relation, which can be interpreted in terms of the geometrical asymmetry of the surface itself. More difficult is to exhibit a case where  $\prec \succ$  is not an equivalence relation: Theorem 16 and Example 44(a) (the hyperbolic ladder) make it explicit. The problem that visual asymptoticity is not an equivalence relation has been bypassed by some authors [Lewis 1972; Nasu 1955] by taking the equivalence relation generated by  $\prec$  (this means partitioning all the corays to some ray  $\alpha$  into maximal packets each of which contains only rays that are corays to each other): *this exactly coincides with taking rays with the same Busemann function* (see [Kim and Jeon 2004] and Section 2), which explains the original interest of Busemann in this function. We will see in Section 2.2 that the condition  $B_\alpha = B_\beta$  geometrically simply means that *we can see  $\alpha(t)$  and  $\beta(t)$ , for  $t \gg 0$ , under a same direction from any point of the manifold*.

The second reason is that distance and visual asymptoticity (even in this stronger form) are strictly distinct relations on general manifolds: there exist rays staying at bounded distance from each other having different Busemann functions, and also, more surprisingly, diverging rays defining the same Busemann function. This already happens in constant negative curvature:

**Theorem 1** (the hyperbolic ladder, Example 44, and the symmetric hyperbolic flute, Example 41). *There exist hyperbolic surfaces  $S_1, S_2$  and rays  $\alpha_i, \alpha'_i$  on  $S_i$  such that*

- (i)  $d_\infty(\alpha_1, \alpha'_1) < \infty$  but  $B_{\alpha_1} \neq B_{\alpha'_1}$  and
- (ii)  $B_{\alpha_2} = B_{\alpha'_2}$  but  $d_\infty(\alpha_2, \alpha'_2) = +\infty$ .

Worst, trying to define a boundary  $X^d(\infty)$  or  $X^v(\infty)$  from  $\mathcal{R}(X)$  by identifying rays under any of these asymptotic relations generally leads to a non-Hausdorff

<sup>2</sup>[Innami 1985] concerns the construction of a maximal coray that is not a maximal ray; this property implies that the coray relation is not symmetric.

space, because these relations are not closed (with  $\mathcal{R}(X)$  endowed with the topology of uniform convergence on compacts):

**Theorem 2** (the twisted hyperbolic flute, [Example 42](#)). *There exist a hyperbolic surface  $X$  and rays  $\alpha_n \rightarrow \alpha$  on  $X$  such that:*

- (i)  $d_\infty(\alpha_n, \alpha_m) < \infty$  but  $d_\infty(\alpha_n, \alpha) = \infty$  for all  $n, m$ ;
- (ii)  $B_{\alpha_n} = B_{\alpha_m}$  but  $B_{\alpha_n} \neq B_\alpha$  for all  $n, m$ .

This prevents the use of any reasonable measure theory, such as Patterson–Sullivan theory, on any compactification built out of  $X^d(\infty)$ ,  $X^v(\infty)$ . A remarkable example where this problem occurs is the Teichmüller space  $\mathcal{T}_g$ , which, endowed with the Teichmüller metric, has a non-Hausdorff visual boundary for  $g \geq 2$  [[McCarthy and Papadopoulos 1999](#)].

Gromov’s idea of compactification overrides the difficulty of using asymptotic rays by considering the topological embedding

$$b : X \hookrightarrow C(X)/\mathbb{R}, \quad P \mapsto [d(P, \cdot)]$$

of any Riemannian manifold  $X$  in the space of real continuous functions on  $X$  (with the uniform topology), up to additive constants. He defines  $\bar{X}$  as the closure of  $b(X)$  in  $C(X)/\mathbb{R}$ , and its boundary as  $\partial X = \bar{X} - b(X)$ , obtaining a compact, Hausdorff (even metrizable) space in which  $X$  sits. We call  $\bar{X}$  the *horofunction compactification*<sup>3</sup> of  $X$ , and  $\partial X$  the *horoboundary* of  $X$ .

The points of  $\partial X$  are commonly called *horofunctions*; Busemann functions then naturally arise as particular horofunctions. Actually, for points of  $X$  diverging along a ray  $P_n = \alpha(n)$ , we have that

$$b(P_n) = [d(P_n, \cdot)] = [d(x, P_n) - d(P_n, \cdot)] \longrightarrow [B_\alpha(x, \cdot)]$$

in  $C(X)/\mathbb{R}$ ; see [Section 2](#) for details. Accordingly, the *Busemann map*

$$B : \mathcal{R}(X) \rightarrow \partial X$$

is the map that associates to each ray the class of its Busemann function. For Hadamard manifolds, it is classical that  $B$  induces a homeomorphism between the visual boundary  $X(\infty)$  and the horoboundary  $\partial X$  (see [Section 2](#)).

The properties of the Busemann map for general nonpositively curved Riemannian manifolds are the second object of our interest in this paper. The main questions we address are:

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<sup>3</sup>This construction first appeared, as far as we know, in [[Gromov 1981](#)] (see also, for instance, [[Ballmann et al. 1985](#); [Bridson and Haefliger 1999](#)]), and therefore is also known as the *Gromov compactification* (or also as the *Busemann* or *metric compactification*) of  $X$ . We stick to the name “horofunction compactification,” keeping the other for the well-known compactification of Gromov-hyperbolic spaces.

(a) *The Busemann Equivalence*: when do the Busemann functions of two distinct rays coincide?

Actually, the equivalence relation generated by the coray relation is difficult to test in concrete examples. In [Section 4](#), we discuss several notions of equivalence of rays related to the Busemann equivalence; then we give a characterization ([Theorem 28](#)) of the Busemann equivalence for rays on quotients of Hadamard spaces, in terms of the points at infinity of their lifts, which we call *weak G-equivalence*. For rays with the same origin, it can be stated as follows:

**Criterion 3.** *Let  $X = G \backslash \tilde{X}$  be a regular quotient of a Hadamard space. Let  $\alpha, \beta$  be rays based at  $o$ , with lifts  $\tilde{\alpha}, \tilde{\beta}$  from  $\tilde{o} \in \tilde{X}$ , and let  $H_{\tilde{\alpha}}, H_{\tilde{\beta}}$  be the horoballs through  $\tilde{o}$  centered at the respective points at infinity  $\tilde{\alpha}^+, \tilde{\beta}^+$ . Then*

$$B_\alpha = B_\beta \iff \text{there exist } (g_n), (h_n) \in G$$

$$\text{such that } \begin{cases} g_n \tilde{\alpha}^+ \rightarrow \beta^+, \\ d(g_n^{-1} \tilde{o}, H_{\tilde{\alpha}}) \rightarrow 0 \end{cases} \quad \text{and} \quad \begin{cases} h_n \tilde{\beta}^+ \rightarrow \alpha^+, \\ d(h_n^{-1} \tilde{o}, H_{\tilde{\beta}}) \rightarrow 0. \end{cases}$$

This reduces the problem of the Busemann equivalence for rays  $\alpha, \beta$  on quotients of a Hadamard space to the problem of approaching the limit points (of their lifts)  $\tilde{\alpha}^+, \tilde{\beta}^+$  with sequences  $g_n \tilde{\beta}^+, h_n \tilde{\alpha}^+$  in the respective orbits, keeping control at the same time of the dynamics of the inverses  $g_n^{-1}, h_n^{-1}$ .

(b) *The surjectivity of the Busemann map*: is any point in the horoboundary of  $X$  equal to the Busemann function of some ray?

From this perspective, it is natural to extend the Busemann map  $B$  to the set  $q\mathcal{R}(X)$  of *quasirays* (half-lines  $\alpha : R^+ \rightarrow X$  that are only *almost-minimizing*; see [Definition 8](#)); we then define the *Busemann boundary*  $\mathcal{B}X = B(q\mathcal{R}(X))$ . The problem whether  $\mathcal{B}X$  equals  $\partial X$  has been considered by several authors for surfaces with finitely generated fundamental group [[Shioya 1991](#); [Yim 1995](#)]. In [[Yim 1995](#)], there are examples of *nonnegatively* curved surfaces admitting horofunctions that are not in  $\mathcal{B}X$ , and even of surfaces where the set of Busemann functions of rays emanating from one point is different from that of rays emanating from another point<sup>4</sup>. This explains our interest in considering rays with variable initial points, instead of keeping the base point fixed once and for all.

Ledrappier and Wang [[2010](#)] started to develop Patterson–Sullivan theory on nonsimply connected manifolds, and the question naturally arises whether an orbit accumulates to a limit point that is a true Busemann function. The theorem below

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<sup>4</sup>For surfaces with finite total curvature, Yim uses the terminology *convex* and *weakly convex at infinity*, which is suggestive of the meaning of the value of  $2\pi \chi(X) - \int_X K_K$  (to be interpreted, for surfaces with boundary, as the convexity of the boundary). However, this can be misleading, suggesting the possibility of joining any two points at infinity with bi-infinite rays. As our manifolds are generally infinitely connected, we do not adhere to this terminology.

shows that, in this context, Patterson–Sullivan theory must take into account limit points that are not Busemann functions, and that some paradoxical facts already happen in the simplest cases.

**Theorem 4** (the hyperbolic ladder, [Example 44](#)). *There exists a Galois covering  $X \rightarrow \Sigma_2$  of a hyperbolic surface of genus 2, with automorphism group  $\Gamma \cong \mathbb{Z}$ , such that:*

- (i)  $\mathcal{B}X$  consists of 4 points, while  $\partial X$  consists of a continuum of points.
- (ii) the limit set  $L\Gamma = \overline{\Gamma x_0} \cap \partial X$  depends on the choice of the base point  $x_0$ , and for some  $x_0$  it is included in  $\partial X - \mathcal{B}X$ .

The problem of surjectivity and the interest in finding Busemann points in the horoboundary seem to have been revitalized due to recent work on Hilbert spaces [[Walsh 2007; 2008](#)], on the Heisenberg group [[Klein and Nicas 2009](#)], and on word-hyperbolic groups and general Cayley graphs [[Bjorklund 2010; Webster and Winchester 2006](#)]. A construction similar to that of [Theorem 4](#) is discussed in [[Bridson and Haefliger 1999](#)] as an example where the *boundary of a Gromov-hyperbolic space* does not coincide with the horoboundary (notice, however, that the notion of boundary for Gromov-hyperbolic spaces differs from  $\mathcal{B}X$ , as it is defined up to a bounded function).

(c) *The continuity of the Busemann map*: how do the Busemann functions change with respect to the initial direction of rays?

This is crucial to understanding the topology of the horofunction compactification and, beyond the simply connected case, it has not been much investigated in the literature so far. Busemann himself seemed to exclude it in full generality.<sup>5</sup>

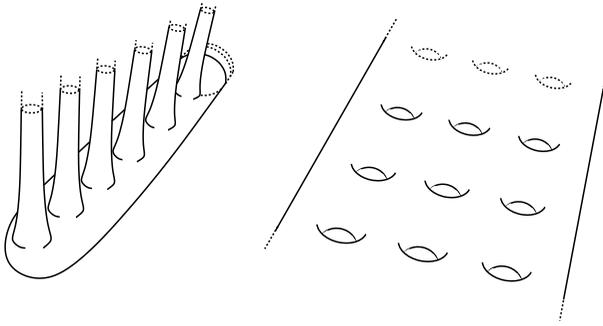
We see that, in general, the dependence on the initial conditions is only lower-semicontinuous:

**Theorem 5** ([Proposition 30](#) and the twisted hyperbolic flute, [Example 42](#)). *Let  $X = G \backslash \tilde{X}$  be the regular quotient of a Hadamard space.*

- (i) *For any sequence of rays  $\alpha_n \rightarrow \alpha$ , we have  $\lim_{n \rightarrow \infty} B_{\alpha_n} \geq B_\alpha$ .*
- (ii) *There exist  $X = G \backslash \mathbb{H}^2$  and rays  $\alpha_n \rightarrow \alpha$  such that  $\lim_{n \rightarrow \infty} B_{\alpha_n} > B_\alpha$ . (Convergence of rays always means uniform convergence on compacts.)*

The example of the twisted hyperbolic flute [42](#) is the archetype where a jump between  $\lim_{n \rightarrow \infty} B_{\alpha_n}$  and  $B_\alpha$  occurs; we explain this geometrically, producing the discontinuity in terms of a discontinuity in the limit of the *maximal horoballs* associated to the  $\alpha_n$  in the universal covering; see [Definition 20](#) and [Remark 43](#). Interpreting  $e^{-B_\alpha(o, \cdot)}$  as a reparametrized distance to the point at infinity of  $\alpha$ , the

<sup>5</sup>Busemann [[1955](#)] wrote: “It is not possible to make statements about the behaviour of the function  $B_\alpha$  under general changes of  $\alpha$  [...]”.



**Figure 1.** Geometric realization of flutes and ladders.

jump can be seen as a hole suddenly appearing in a limit direction of a hyperbolic sky.

We stress that the problem of continuity makes sense only for rays  $\alpha_n$  (whose velocity vectors yield minimizing directions): it is otherwise easy to produce a discontinuity in the Busemann function of a sequence of quasirays tending to some limit curve that is not minimizing (and for which the Busemann function may be not defined); see [Example 29](#) in [Section 4](#) and the discussion therein.

It is noticeable that all the possible pathologies in the geometry of rays that we describe above already occur for hyperbolic surfaces belonging to two basic classes: flutes and ladders (see [Section 6](#)). These are surfaces with infinitely generated fundamental group whose topological realizations are, respectively, infinitely-punctured spheres and  $\mathbb{Z}$ -coverings of a compact surface of genus  $g \geq 2$  (see [Figure 1](#)).

On the other hand, limiting ourselves to the realm of surfaces with finitely generated fundamental group, all the above pathologies disappear, and we recover the familiar picture of rays on Hadamard manifolds. More generally, in [Section 5](#), we consider properties of rays and the Busemann map for *geometrically finite manifolds*: these are the geometric generalizations, in dimension greater than 2, of the idea of negatively curved surfaces with finite connectivity (that is, finite Euler–Poincaré characteristic). The precise definition of this class and much of these manifolds is due to Bowditch [[1995](#)]; we summarize the necessary definitions and properties in [Section 5](#). We prove:

**Theorem 6** (Propositions [33](#), [34](#), [35](#), [36](#) and [Corollary 37](#)). *Let  $X = G \backslash \tilde{X}$  be a geometrically finite manifold.*

- (i) *Every quasiray on  $X$  is finally a ray (that is, it is a preray; see [Definition 8](#)).*
- (ii)  *$d_\infty(\alpha, \beta) < \infty \Leftrightarrow B_\alpha = B_\beta \Leftrightarrow \alpha < \beta$ , for rays  $\alpha, \beta$  on  $X$ .*
- (iii) *The Busemann map  $\mathcal{R}(X) \rightarrow \partial X$  is surjective and continuous.*

*As a consequence,  $X(\infty) = \mathcal{R}(X)/(\text{Busemann eq.})$  is homeomorphic to  $\partial X$  and:*

- If  $\dim(X) = 2$ , then  $\bar{X}$  is a compact surface with boundary.
- If  $\dim(X) > 2$ , then  $\bar{X}$  is a compact manifold with boundary, with a finite number of conical singularities (one for each class of maximal parabolic subgroups of  $G$ ).

In this regard, it is of interest to recall that the question whether any geometrically finite manifold has *finite topology* (that is, is homeomorphic to the interior of a compact manifold with boundary) was asked by Bowditch [1995], and recently answered by Belegradek and Kapovitch [2006]. However, Belegradek and Kapovitch's proof yields a natural topological compactification whose boundary points are less related to the geometry of the interior than in the horofunction compactification. According to [Belegradek and Kapovitch 2006], any horosphere quotient is diffeomorphic to a flat Euclidean vector bundle over a compact base, so a parabolic end can be seen as the interior of a closed cylinder over a closed disk-bundle. On the other hand, in the horofunction compactification, a parabolic end is compactified as a cone over the Thom space of this disk-bundle (see Corollary 37 and Examples 38): one pays for the geometric content of the horofunction compactification with the presence of (topological) conical singularities.

The problem of relating the ideal boundary and the horoboundary for geometrically finite groups has also been considered by Ji and MacPherson [2002]; they prove that, in the case of arithmetic lattices of symmetric spaces, both compactifications coincide with the Tits compactification, and they also discuss the relation with the Martin boundary.

*Overview of the paper.* Section 2 is preliminary: we report some generalities about the Busemann functions and the coray relation.

From Section 3 on, we focus on nonpositively curved manifolds. We briefly recall the classical visual properties of rays on Hadamard spaces, and then we turn our attention to their quotients  $X = G \backslash \tilde{X}$ . The difference between rays and quasirays is deeply related to the different kinds of points at infinity of their lifts to  $\tilde{X}$ ; that is why we review a dictionary between limit points of  $G$  and corresponding quasirays on  $X$ . Then we prove a formula (Theorem 24) expressing the Busemann function of a ray  $\alpha$  on  $X$  in terms of the Busemann function of a lift  $\tilde{\alpha}$  of  $\alpha$  to  $\tilde{X}$ . We use this formula to translate the Busemann equivalence in terms of the weak  $G$ -equivalence above; this turns out to be the key tool for constructing examples having Busemann functions with prescribed behavior.

In Section 4, we discuss the properties of the Busemann map on general quotients of Hadamard spaces; here we prove Criterion 3 and lower semicontinuity. Section 5 is devoted to geometrically finite manifolds and contains the proof of Theorem 6. Finally, we collect in Section 6 the main examples of the paper (the asymmetric, symmetric and twisted hyperbolic flutes and the hyperbolic ladder).

In the [Appendix](#) we report, for the convenience of the reader, proofs of those facts that are either classical but essential to our arguments, or not easily found in the literature.

We always assume that geodesics are parametrized by arc length, and we use the symbol  $[p, q]$  for a minimizing geodesic segment connecting two points  $p, q$ . Moreover, we often use, in computations, the notations  $x \lesssim_\epsilon y$  for  $x \leq y + \epsilon$  and  $x \approx_\epsilon y$  for  $|x - y| \leq \epsilon$ , and abbreviate  $d(x, y)$  with  $xy$ .

## 2. Busemann functions on Riemannian manifolds

**2.1. Horofunctions and Busemann functions.** Let  $X$  be any complete Riemannian manifold (not necessarily simply connected). The horofunction compactification of  $X$  is obtained by embedding  $X$  in a natural way into the space  $C(X)$  of real continuous functions on  $X$ , endowed with the  $C^0$ -topology (of uniform convergence on compact sets)

$$b : X \hookrightarrow C(X), \quad P \mapsto -d(P, \cdot),$$

and then defining  $\bar{X} \doteq \overline{b(X)}$  and  $\partial X \doteq \bar{X} - b(X)$ .

An (apparently) more complicated version of this construction has the advantage of making the Busemann functions naturally appear as boundary points. For fixed  $P$ , define the *horofunction cocycle* as the following function of  $x, y$ :

$$b_P(x, y) = d(x, P) - d(P, y).$$

Then consider the space of functions in  $C(X)$  up to an additive constant (with the quotient topology) and the same map,

$$b : X \rightarrow C(X)/\mathbb{R}, \quad P \mapsto [-d(P, \cdot)] = [d(x, P) - d(P, \cdot)] = [b_P(x, \cdot)]$$

(which is independent from the choice of  $x$ ). The following properties hold, in all generality, for any complete Riemannian manifold, and can be found, for instance, in [\[Ballmann 1995\]](#) or [\[Bridson and Haefliger 1999\]](#):

- (i)  $b$  is a topological embedding, that is, an injective map that is a homeomorphism when restricted to its image.
- (ii)  $\bar{X}$  is a compact, second-countable, metrizable space.

**Definition 7** (horoboundary and horofunctions). The *horofunction compactification* of  $X$  and the *horoboundary* of  $X$  are respectively the sets  $\bar{X} \doteq \overline{b(X)}$  and  $\partial X \doteq \bar{X} - b(X)$ . A *horofunction* is an element  $\xi \in \partial X$  that is the limit of a sequence  $[b_{P_n}]$  for  $P_n \in X$  going to infinity; we write  $\xi = B_{(P_n)}$ .

Notice that, as  $b_P(x, y) - b_P(x', y) = b_P(x, x')$ , saying that  $(P_n) \rightarrow \xi \in \partial X$  is equivalent to saying that, for any fixed  $x$ , the horofunction cocycle  $b_{P_n}(x, \cdot)$

converges uniformly on compacts for  $n \rightarrow \infty$  (to a representative of  $\xi$ ). Concretely, we see a horofunction  $\xi = B_{(P_n)}$  as a function of two variables  $(x, y)$  satisfying:

- (i) *Cocycle condition:*  $B_{(P_n)}(x, y) - B_{(P_n)}(x', y) = B_{(P_n)}(x, x')$ , or, equivalently,<sup>6</sup>  
 $B_{(P_n)}(x, x') + B_{(P_n)}(x', y) = B_{(P_n)}(x, y)$ .

The following properties follow right from the definitions:

- (ii) *Skew-symmetry:*  $B_{(P_n)}(x, y) = -B_{(P_n)}(y, x)$ .  
 (iii) *1-Lipschitz:*  $B_{(P_n)}(x, y) \leq d(x, y)$ .  
 (iv) *Invariance by isometries:*  $B_{(gP_n)}(gx, gy) = B_{(P_n)}(x, y)$ , for all  $g \in \text{Isom}(X)$ .  
 (v) *Continuous extension:* the cocycle  $b_P(x, y)$  can be extended to a continuous function  $B : X \times \bar{X} \times X \rightarrow \mathbb{R}$ ; that is,  $B_\xi(x, y) = \lim_{n \rightarrow \infty} b_{P_n}(x, y)$  if  $(P_n) \rightarrow \xi$ .  
 (vi) *Extension to the boundary:* every  $g \in \text{Isom}(X)$  naturally extends to a homeomorphism  $g : \partial X \rightarrow \partial X$ .

The simplest way of diverging, for a sequence of points  $\{P_n\}$  on an open manifold  $X$ , is to go to infinity along a geodesic. As we deal with nonsimply connected manifolds, we need to distinguish between geodesics and minimizing geodesics:

**Definition 8** (excess and quasirays). The *length excess* of a curve  $\alpha$  defined on an interval  $I$  is the number

$$\Delta(\alpha) = \sup_{t, s \in I} \ell(\alpha; t, s) - d(\alpha(t), \alpha(s))$$

that is the greatest difference between the length of  $\alpha$  between two of its points, and their effective distance. Accordingly, we say that a geodesic  $\alpha$  in a manifold  $X$  is *quasiminimizing* if  $\Delta(\alpha) < +\infty$ , and  $\epsilon$ -*minimizing* if  $\Delta(\alpha) \leq \epsilon$ .

A *quasiray* is a quasiminimizing half-geodesic  $\alpha : \mathbb{R}_+ \rightarrow X$ . For a quasiray  $\alpha$ , there are three possibilities:

- either  $\alpha$  is minimizing (that is,  $\Delta(\alpha) = 0$ ), and  $\alpha$  is a true *ray*;
- or  $\alpha|_{[a, +\infty]}$  is minimizing for some  $a > 0$ , and we call  $\alpha$  a *preray*;
- or  $\Delta(\alpha) < \infty$ , but  $\alpha|_{[a, +\infty]}$  is never minimizing, for any  $a \in \mathbb{R}$ ; in this case, following [Haas 1996], we call  $\alpha$  a *rigid quasiray*.

We denote by  $\mathcal{R}(X)$  and  $q\mathcal{R}(X)$  the sets of rays and quasirays of  $X$  (and those with origin  $o$  by  $\mathcal{R}_o(X)$  and  $q\mathcal{R}_o(X)$ , respectively), with the uniform topology given by convergence on compact sets.

There exist, in the literature, examples of all three kinds of quasirays. An enlightening example is the modular surface  $X = \text{PSL}(2, \mathbb{Z}) \backslash \mathbb{H}^2$  (though only an

<sup>6</sup>This formulation is very suggestive, for when thinking of horofunctions as reparametrized distance functions from points at infinity, we see that the usual triangular inequality becomes an equality for all points at infinity.

orbifold).  $X$  has a 6-sheeted, smooth covering  $\hat{X} = \Gamma(2) \backslash \mathbb{H}^2 \rightarrow X$ , with finite volume; the half-geodesics  $\alpha$  of  $\hat{X}$  with infinite excess are precisely the bounded geodesics and the unbounded, recurrent ones (those that come back infinitely often in a compact set); their lifts in the half-plane model of  $\mathbb{H}^2$  correspond to the half-geodesics  $\tilde{\alpha}$  having extremity  $\tilde{\alpha}^+ \in \mathbb{R} - \mathbb{Q}$ . Moreover,  $\alpha$  is bounded if and only if  $\tilde{\alpha}^+$  is a *badly approximated* number (that is, its continued fraction expansion is a sequence of bounded integers); see [Dal’Bo 2007]. In this case, all half-geodesics  $\alpha$  with  $\Delta(\alpha) < \infty$  (corresponding to lifts  $\tilde{\alpha}$  with rational extremity) are minimizing after some time; that is, they are prerays.

On the other hand, in [Haas 1996], one can find examples and classification of rigid quasirays on particular (undistorted) hyperbolic flute surfaces.

For future reference, we report here some properties of the length excess:

**Properties 9.** *Let  $\alpha, \alpha_k : [0, +\infty] \rightarrow X$  be curves with origins  $a, a_k$ , respectively:*

(i) *If  $\Delta(\alpha) < \infty$ , then for every  $\epsilon > 0$  there exists  $T_\epsilon \gg 0$  such that*

$$\Delta(\alpha|_{[T_\epsilon, +\infty)}) \leq \epsilon \quad \text{and} \quad \Delta(\alpha|_{[0, T_\epsilon]}) \geq \Delta(\alpha) - \epsilon.$$

(ii) *If  $\alpha_k \rightarrow \alpha$  uniformly on compacts, then  $\Delta(\alpha) \leq \liminf_{k \rightarrow \infty} \Delta(\alpha_k)$ . In particular, any limit of minimizing geodesics segments is minimizing.*

(iii) *Assume now that the universal covering of  $X$  is a Hadamard space. If  $\tilde{\alpha}$  is a lift of  $\alpha$  to  $\tilde{X}$  with origin  $\tilde{a}$ , then*

$$\Delta(\alpha) = \lim_{t \rightarrow +\infty} d(\tilde{a}, \tilde{\alpha}(t)) - d(a, \alpha(t)).$$

*Proof.* Item (i) follows from the fact that the excess is increasing with the width of intervals. For (ii), pick  $T_\epsilon$  as in (i) for  $\alpha$ , and  $k \gg 0$  such that  $d(\alpha_k(t), \alpha(t)) \leq \epsilon$  for all  $t \in [0, T_\epsilon]$ ; then

$$a_k \alpha_k(T_\epsilon) \lesssim_{2\epsilon} \alpha \alpha(T_\epsilon) \lesssim_\epsilon T_\epsilon - \Delta(\alpha) = \ell(\alpha_k) - \Delta(\alpha),$$

and therefore  $\Delta(\alpha_k) \geq \Delta(\alpha) - 3\epsilon$ . By passing to the limit for  $k \rightarrow \infty$ , as  $\epsilon$  is arbitrary, we deduce that  $\liminf_{k \rightarrow \infty} \Delta(\alpha_k) \geq \Delta(\alpha)$ . Finally, if  $\tilde{X}$  is Hadamard, then  $d(\tilde{a}, \tilde{\alpha}(t)) = t = \ell(\alpha; 0, t)$  for all  $t$ ; hence, by monotonicity of the excess on intervals,

$$\Delta(\alpha) = \lim_{t \rightarrow +\infty} \ell(\alpha; 0, t) - d(a, \alpha(t)) = \lim_{t \rightarrow +\infty} d(\tilde{a}, \tilde{\alpha}(t)) - d(a, \alpha(t)). \quad \square$$

**Proposition 10.** *Let  $\alpha : \mathbb{R}_+ \rightarrow X$  be a quasiray. Then the horofunction cocycle  $b_{\alpha(t)}(x, y)$  converges uniformly on compacts to a horofunction for  $t \rightarrow +\infty$ .*

**Definition 11** (Busemann functions). Given a quasiray  $\alpha$ , the cocycle  $b_{\alpha(t)}(x, y)$  is called a *Busemann cocycle*, and the horofunction  $B_\alpha(x, y) = \lim_{t \rightarrow \infty} b_{\alpha(t)}(x, y)$  is called a *Busemann function*; the Busemann function of  $\alpha$  is also denoted by  $\alpha^+$ .

The *Busemann map* is the map

$$B : q\mathcal{R}(X) \rightarrow \partial X, \quad \alpha \mapsto B_\alpha.$$

The image of this map, denoted by  $\mathcal{B}X$ , is the subset of Busemann functions that is those particular horofunctions associated to quasirays. We denote by  $\mathcal{B}_o X$  the image of the Busemann map restricted to  $q\mathcal{R}_o(X)$ .

The proof of [Proposition 10](#) relies on the following item:

**Property 12** (monotonicity of the Busemann cocycle). *Let  $\alpha$  be a quasiray from  $a$ ; for all  $\epsilon > 0$  and  $s > t > T_\epsilon$ , there exists  $T_\epsilon$  such that  $b_{\alpha(s)}(a, y) \gtrsim_{2\epsilon} b_{\alpha(t)}(a, y)$ .*

Actually, if  $\Delta(\alpha) = \Delta$ , by [Property 9\(i\)](#) we have, for  $s, t \geq T_\epsilon$ ,

$$\begin{aligned} b_{\alpha(s)}(a, y) - b_{\alpha(t)}(a, y) &= [a\alpha(s) - \alpha(s)y] - [a\alpha(t) - \alpha(t)y] \\ &\gtrsim_{2\epsilon} [\ell(\alpha|_{[0,s]}) - \alpha(s)y] - [\ell(\alpha|_{[0,t]}) - \alpha(t)y] \\ &\geq \ell(\alpha|_{[t,s]}) - \alpha(t)\alpha(s) \geq 0. \end{aligned}$$

Notice that this is a true monotonicity property when  $\alpha$  is a ray.

*Proof of [Proposition 10](#).* Since  $b_P(x, y) - b_P(x', y) = b_P(x, x')$ , the cocycle  $b_{\alpha(t)}(x, y)$  converges for  $t \rightarrow \infty$  if and only if  $b_{\alpha(t)}(x', y)$  converges; we may therefore assume that  $x = a$  is the origin of  $\alpha$ . The Lipschitz functions  $b_{\alpha(t)}(a, \cdot)$  are uniformly bounded on compacts, and hence a subsequence  $b_{\alpha(t_n)}$  of them converges uniformly on compacts, for  $t_n \rightarrow \infty$ ; then [Property 12](#) easily implies that  $b_{\alpha(t)}$  must also converge uniformly for  $t \rightarrow \infty$  to the same limit, and uniformly.  $\square$

**2.2. Horospheres and the coray relation.** If  $\xi$  is a horofunction and  $x \in X$  is fixed, then the sup-level set

$$H_\xi(x) = \{y \mid \xi(x, y) \geq 0\}$$

(resp. the level set  $\partial H_\xi(x) = \{y \mid \xi(x, y) = 0\}$ ) is called the *horoball* (resp. the *horosphere*) centered at  $\xi$ , passing through  $x$ .

If  $H_\xi, H'_\xi$  are horoballs centered at  $\xi \in \partial X$ , we define the *signed distance* to a horoball as

$$\begin{aligned} \rho(x, H_\xi) &= \begin{cases} d(x, \partial H_\xi) & \text{if } x \notin H_\xi(y), \\ -d(x, \partial H_\xi) & \text{otherwise;} \end{cases} \\ \rho(H_\xi, H'_\xi) &= \begin{cases} d(\partial H_\xi, \partial H'_\xi) & \text{if } H_\xi \supset H'_\xi, \\ -d(\partial H_\xi, \partial H'_\xi) & \text{otherwise.} \end{cases} \end{aligned}$$

By the Lipschitz condition, we always have  $B_\xi(x, y) \leq \rho(H_\xi(x), H_\xi(y))$ .

On the other hand, notice that when  $\alpha$  is a ray and  $x = \alpha(t)$ ,  $y = \alpha(s)$  are points on  $\alpha$  with  $s > t$ , we have

$$B_\alpha(x, y) = d(x, y) = \rho(H_{\alpha^+}(x), H_{\alpha^+}(y)).$$

It is a remarkable rigidity property that the equality holds precisely for points that lie on rays that are *corays to*  $\alpha$ :

**Definition 13** (corays). The definition of the coray formalizes the idea of seeing (asymptotically) two rays under the same direction, from the origin of one of them. A half-geodesic  $\alpha$  with origin  $a$  is a *coray*<sup>7</sup> to a quasiray  $\beta$  in  $X$  — or, equivalently,  $\beta$  *tends visually to*  $\alpha$  (in symbols:  $\alpha < \beta$ ) — if there exists a sequence of minimizing geodesic segments  $\alpha_n = [a_n, b_n]$  with  $a_n \rightarrow a$  and  $b_n = \beta(t_n) \rightarrow \infty$  such that  $\alpha_n \rightarrow \alpha$  uniformly on compacts; or equivalently, such that  $\alpha'_n(0) \rightarrow \alpha'(0)$ .

If  $\alpha < \beta$  and  $\beta < \alpha$ , we write  $\alpha < > \beta$  and say that they are *visually asymptotic*. We say that  $\alpha, \beta$  are *visually equivalent from*  $o$  if there exists a ray  $\gamma$  with origin  $o$  such that  $\gamma < \alpha$  and  $\gamma < \beta$  (that is, if we can see  $\alpha$  and  $\beta$  under a same direction from  $o$ ).

Given  $x, y \in X$ , we denote by  $\overrightarrow{x\hat{y}}$  a complete half-geodesic that is the continuation beyond  $y$  of a *minimizing* geodesic segment  $[x, y]$ .

**Proposition 14.** For any quasiray  $\beta$ , we have  $B_\beta(x, y) = d(x, y) \Leftrightarrow \overrightarrow{x\hat{y}} < \beta$ . In particular, if  $B_\beta(x, y) = d(x, y)$ , then the extension of any minimizing segment  $[x, y]$  beyond  $y$  is always a ray.

**Remarks 15.** It follows that:

- (i) any coray  $\alpha < \beta$  (and  $\beta$  itself, if it is a ray) minimizes the distance between the  $\beta$ -horospheres that it meets;
- (ii) for any quasiray  $\beta$ , we have the equality  $B_\beta(x, y) = \rho(H_\beta(x), H_\beta(y))$  (as it is always possible to define a coray  $\alpha$  to  $\beta$  intersecting  $H_\beta(x)$  and  $H_\beta(y)$ , and  $B_\beta$  increases exactly as  $t$  along  $\alpha(t)$ ).

**Theorem 16.** Assume that  $\alpha, \beta$  are rays in  $X$  with origins  $a, b$ , respectively. The following conditions are equivalent:

- (a)  $B_\alpha(x, y) = B_\beta(x, y)$  for all  $x, y \in X$ ;
- (b)  $\alpha < > \beta$  and  $B_\alpha(a, b) = B_\beta(a, b)$ ;
- (c)  $\alpha$  and  $\beta$  are visually equivalent from every  $o \in X$ .

Proposition 14 is well known (under the unnecessary, extra assumption that  $\overrightarrow{x\hat{y}}$  is a ray), and it is already present in [Busemann 1955]. Theorem 16 (a) $\Leftrightarrow$ (c) is a reformulation in terms of visibility of the equivalence, proved in [Kim and Jeon

<sup>7</sup>We stress the fact that, by Property 9(ii) of the excess, every coray is necessarily a ray.

2004], between Busemann equivalence and the coray relation generated by  $\prec$ ; part (a)  $\Leftrightarrow$  (b) stems from the work of Busemann [1955] and Shiohama [1984], but we were not able to find it explicitly stated anywhere. For these reasons, we report the proofs of both results in the [Appendix](#).

**Remarks 17.** (i) The coray relation is not symmetric and the visual asymptoticity is not transitive, in general, already for (nonsimply connected) negatively curved surfaces, as we see in Examples 40 and 44. On the other hand, visual asymptoticity is an equivalence relation when restricted to rays having all the same origin, by [Theorem 16](#).

(ii) The condition  $B_\alpha(a, b) = B_\beta(a, b)$  is not just a normalization condition. In [Example 44](#), we show that there exist rays  $\alpha, \beta$  satisfying  $\alpha \prec \beta$ , but such that  $B_\alpha$  and  $B_\beta$  are unequal and do not differ by a constant.

(iii) Horospheres are generally not smooth, as Busemann functions and horofunctions generally are only Lipschitz [[Eberlein 1996](#); [Yim 1995](#)]. This explains the possible existence of multiple corays, from one fixed point, to a given ray  $\alpha$ , as well as the asymmetry of the coray relation; actually, in every point of differentiability of  $B_\alpha$ , the direction of a coray to  $\alpha$  necessarily coincides with the gradient of  $B_\alpha$ , by [Proposition 14](#).

### 3. Busemann functions in nonpositive curvature

**3.1. Hadamard spaces.** Let  $\tilde{X}$  be a simply connected, nonpositively curved manifold (that is, a *Hadamard space*). In this case, every geodesic is minimizing; moreover, as the equation of geodesics has solutions that depend continuously on the initial conditions,  $\mathcal{R}(\tilde{X})$  can be topologically identified with the unit tangent bundle  $S\tilde{X}$ .

**Proposition 18.** *Let  $\tilde{X}$  be a Hadamard space.*

(i) *If  $\alpha, \beta$  are rays, then  $d_\infty(\alpha, \beta) < \infty \Leftrightarrow B_\alpha = B_\beta \Leftrightarrow \alpha \prec \beta$ .*

*Moreover, two rays with the same origin are Busemann equivalent if and only if they coincide, so the restriction of the Busemann map  $B_o : \mathcal{R}_o(\tilde{X}) \rightarrow \partial\tilde{X}$  is injective. Accordingly, we denote by  $[o, \xi]$  the only geodesic starting at  $o$  with point at infinity  $\xi$ .*

(ii) *For any  $o \in \tilde{X}$ , the restriction of the Busemann map  $B_o : \mathcal{R}_o(\tilde{X}) \rightarrow \partial\tilde{X}$  is surjective, and hence  $\mathcal{B}\tilde{X} = \mathcal{B}_o\tilde{X} = \partial\tilde{X}$ .*

(iii) *The Busemann map  $B : \mathcal{R}(\tilde{X}) \rightarrow \partial\tilde{X}$  is continuous.*

*The space  $\mathcal{R}_o(\tilde{X}) \cong S_o(\tilde{X})$  being compact, the map  $B_o$  gives a homeomorphism  $S_o(\tilde{X}) \cong \partial\tilde{X}$  for any  $o$ . (For this reason, the topology of the horoboundary  $\partial\tilde{X}$  for Hadamard manifolds is also known as the *sphere topology*).*

Also notice that [Proposition 14](#), together with item (i) above, implies the following fact, which we frequently use:

(iv) If  $B_\beta(x, y) = d(x, y)$  for some  $x \neq y$ , then  $\vec{xy}^+ = \beta^+$ .

These properties of rays in a Hadamard space are well-known [[Ballmann 1995](#); [Eberlein 1996](#); [Bridson and Haefliger 1999](#)]; we give in the [Appendix](#) a unified proof of (i), (ii) and (iii) for the convenience of the reader. Here we just want to stress that the distinctive feature of a Hadamard space that makes this case so special: *the Busemann function  $B_\alpha(x, y)$  is approximated on compacts by its Busemann cocycle  $b_{\alpha(t)}(x, y)$  uniformly with respect to the ray  $\alpha$* . That is:

**Lemma 19** (uniform approximation lemma). *Let  $\tilde{X}$  be a Hadamard space. For any compact set  $K$  and  $\epsilon > 0$ , there exists a function  $T(K, \epsilon)$  such that for any  $x, y \in K$  and any ray  $\alpha$  issuing from  $K$ , we have  $|B_\alpha(x, y) - b_{\alpha(t)}(x, y)| \leq \epsilon$ , provided that  $t \geq T(K, \epsilon)$ .*

In fact, properties (ii) and (iii) follow directly from the above approximation lemma, while (i) is a consequence of convexity of the distance function on a Hadamard manifold and of standard comparison theorems (see [Section A.2](#) for details).

A uniform approximation result such as [Lemma 19](#) does not hold for general quotients of Hadamard spaces: actually, from a uniform approximation of the Busemann functions by the Busemann cocycles, one easily deduces surjectivity and continuity of the Busemann map as in the proof of (ii) and (iii) in [Subsection A.2](#), whereas [Example 44](#) shows that for general quotients of Hadamard spaces, the Busemann map is not surjective.

**3.2. Quotients of Hadamard spaces.** Let  $X = G \backslash \tilde{X}$  be a nonpositively curved manifold, that is, the quotient of a Hadamard space by a discrete, torsionless group of isometries  $G$  (we call it a *regular* quotient). In this section we explain the relation between the Busemann function of a quasiray  $\alpha$  of  $X$  and the Busemann function of a lift  $\tilde{\alpha}$  of  $\alpha$  to  $\tilde{X}$ , which is crucial for the following sections.

Let us recall some terminology:

**Definition 20.** Let  $G$  be a discrete group of isometries of a Hadamard space  $\tilde{X}$ . The *limit set* of  $G$  is the set  $LG$  of accumulation points in  $\partial\tilde{X}$  of any orbit  $G\tilde{x}$  of  $G$ ; the set  $\text{Ord } G = \partial\tilde{X} - LG$  is the *discontinuity domain* for the action of  $G$  on  $\partial\tilde{X}$ , and its points are called *ordinary points*. A point  $\xi \in LG$  is called:

- a *radial* point if one (and hence, every) orbit  $G\tilde{x}$  meets an  $r$ -neighborhood of  $[x, \xi]$  (for some  $r$  depending on  $\tilde{x}$ ) infinitely many times;
- a *horospherical* point if one (and hence, every) orbit  $G\tilde{x}$  meets every horoball centered at  $\xi$ , that is,  $\sup_{g \in G} B_\xi(\tilde{x}, g\tilde{x}) = +\infty$ , for every  $\tilde{x} \in \tilde{X}$ .

Radial points clearly are horospherical points, and correspond to the extremities of rays  $\tilde{\alpha}$  whose projections  $\alpha$  to  $X$  come back infinitely many times into some compact set (so  $\Delta(\alpha) = \infty$ ). A simple example of a nonhorospherical point is the fixed point of a parabolic isometry of a Fuchsian group<sup>8</sup> (a *parabolic* point). For finitely generated Fuchsian groups, it is known that all horospherical points are radial; but starting from dimension 3, there exist examples of horospherical nonradial (even parabolic) points [Dal'Bo 2007; Dal'Bo and Starkov 2000].

If  $\xi$  is nonhorospherical, then for every  $\tilde{x}$  there exists a *maximal horoball*

$$H_{\xi}^{\max}(x) = \{\tilde{y} \in \tilde{X} \mid B_{\xi}(\tilde{x}, \tilde{y}) \geq \sup_{g \in G} B_{\xi}(\tilde{x}, g\tilde{x})\},$$

only depending on  $\xi$  and on the projection  $x$  of  $\tilde{x}$  on  $X = G \backslash \tilde{X}$ , whose interior does not contain any point of  $G\tilde{x}$ . For Kleinian groups, there is great freedom in the orbital approach of the maximal horosphere, which leads to the following distinction:

**Definition 21.** Let  $\xi$  be a nonhorospherical point of  $G$ , and let  $\tilde{x} \in \tilde{X}$ .

- $\xi$  is a  $\tilde{x}$ -Dirichlet point if  $\tilde{x} \in H_{\xi}^{\max}(x)$ , that is,  $\sup_{g \in G} B_{\xi}(\tilde{x}, g\tilde{x}) = 0$ .
- $\xi$  is a  $\tilde{x}$ -Garnett point if it is not  $g\tilde{x}$ -Dirichlet for all  $g \in G$ , which means that  $B_{\xi}(\tilde{x}, g\tilde{x}) < \sup_{g \in G} B_{\xi}(\tilde{x}, g\tilde{x}) < +\infty$  for all  $g \in G$ .
- $\xi$  is *universal Dirichlet* if for all  $\tilde{x} \in \tilde{X}$ , there exists  $g \in G$  such that  $\xi$  is  $g\tilde{x}$ -Dirichlet, and a *Garnett point* otherwise.

In literature, one can find examples of limit points that are  $\tilde{x}$ -Dirichlet points but  $\tilde{x}'$ -Garnett for  $\tilde{x}' \neq \tilde{x}$ , and also of points that are  $\tilde{x}$ -Garnett for all  $\tilde{x}$  [Nicholls 1980; Nicholls and Waterman 1990]. Notice that Dirichlet points may be ordinary or limit points; on the other hand, any ordinary point is universal Dirichlet (because if there exists a sequence  $g_n \in G$  such that  $d(g_n\tilde{x}, H_{\xi}^{\max}(x)) \rightarrow 0$ , then  $\xi$  is necessarily a limit point). We meet another relevant class of universal Dirichlet points in Section 5 (the *bounded parabolic points*). Notice that we have, by definition,

$$LG = L^{\text{hor}}G \sqcup L^{\text{u.dir}}G \sqcup L^{\text{gar}}G,$$

a disjoint union of the subsets of horospherical, universal Dirichlet, and Garnett points.

Consider now the closed *Dirichlet domain of  $G$  centered at  $\tilde{x} \in \tilde{X}$* :

$$D(G, \tilde{x}) = \{y \in \tilde{X} \mid d(y, x) \leq d(y, g\tilde{x}) \text{ for all } g \in G\}.$$

<sup>8</sup>On the other hand, in dimension  $n \geq 3$ , parabolic points can be horospherical [Starkov 1995].

This is a convex, locally finite<sup>9</sup> fundamental domain for the  $G$ -action on  $\tilde{X}$ ; we denote by

$$\partial D(G, \tilde{x}) = \overline{D(G, \tilde{x})} \cap \partial \tilde{X}$$

its trace at infinity. Then we have the following characterization, which explains the name ‘‘Dirichlet point’’:

**Proposition 22** (characterization of Dirichlet points). *Let  $\xi \in \partial \tilde{X}$  and  $\tilde{x} \in \tilde{X}$ . Then  $\xi$  is  $\tilde{x}$ -Dirichlet if and only if  $\xi$  belongs to  $\partial D(G, \tilde{x})$ .*

*Proof.* Let  $\tilde{\gamma} = [\tilde{x}, \xi]$ . As the Dirichlet domain is convex, we have that  $\xi \in \partial D(G, \tilde{x})$  if and only if  $\tilde{\gamma}(t) \in D(G, \tilde{x})$  for all  $t$ , which means that

$$(1) \quad d(\tilde{\gamma}(t), \tilde{x}) \leq d(\tilde{\gamma}(t), g\tilde{x}) \quad \text{for } t \geq 0 \text{ and for all } g \in G.$$

On the other hand, condition (1) is equivalent to

$$(2) \quad \sup_{g \in G} B_{\xi}(\tilde{x}, g\tilde{x}) \leq 0, \quad \text{that is, } \xi \text{ is } \tilde{x}\text{-Dirichlet.}$$

In fact, we obtain (2) from (1) by passing to the limit for  $t \rightarrow +\infty$ . Conversely, (2) implies that  $\tilde{x} \in H_{\xi}^{\max}(x)$ , and because we know that the direction  $\tilde{\gamma}$  is the shortest to travel out of the horoball from  $\tilde{\gamma}(t)$ , we deduce (1).  $\square$

The relation with the excess is explained by the following *excess lemma*:

**Lemma 23.** *Let  $X = G \backslash \tilde{X}$  be a regular quotient of a Hadamard space  $\tilde{X}$ . Assume that  $\alpha$  is a half-geodesic in  $X$  with origin  $a$ , and lift it to  $\tilde{\alpha}$  in  $\tilde{X}$  with origin  $\tilde{a}$ . Then*

$$\Delta(\alpha) = \sup_{g \in G} B_{\alpha}(\tilde{a}, g\tilde{a}) = d(\tilde{a}, H_{\tilde{\alpha}^+}^{\max}(a)).$$

*Proof.* We have, for any  $g \in G$ ,

$$\Delta(\alpha) = \lim_{t \rightarrow \infty} d(\tilde{a}, \tilde{\alpha}(t)) - d(a, \alpha(t)) \geq \lim_{t \rightarrow \infty} d(\tilde{a}, \tilde{\alpha}(t)) - d(\tilde{\alpha}(t), g\tilde{a}) = B_{\tilde{\alpha}}(\tilde{a}, g\tilde{a}),$$

so  $\Delta(\alpha) \geq \sup_{g \in G} B_{\tilde{\alpha}}(\tilde{a}, g\tilde{a})$ . On the other hand, for arbitrary  $\epsilon > 0$ , let  $t \gg 0$  such that  $\Delta(\alpha|_{[0,t]}) \simeq_{\epsilon} \Delta(\alpha)$ , and let  $g_t \in G$  such that  $d(a, \alpha(t)) = d(g_t \tilde{a}, \tilde{\alpha}(t))$ . Then, by the monotonicity of the Busemann cocycle (Property 12), we have, for  $s > t$ ,

$$\Delta(\alpha) \simeq_{\epsilon} d(\tilde{a}, \tilde{\alpha}(t)) - d(\tilde{\alpha}(t), g_t \tilde{a}) \leq d(\tilde{a}, \tilde{\alpha}(s)) - d(\tilde{\alpha}(s), g_t \tilde{a}).$$

Letting  $s \rightarrow +\infty$ , we get  $\Delta(\alpha) \lesssim_{\epsilon} B_{\tilde{\alpha}}(\tilde{a}, g_t \tilde{a})$ , and, as  $\epsilon$  is arbitrary, we deduce the converse inequality,  $\Delta(\alpha) \leq \sup_{g \in G} B_{\tilde{\alpha}}(\tilde{a}, g\tilde{a})$ .

To show that  $\sup_{g \in G} B_{\alpha}(\tilde{a}, g\tilde{a}) = d(\tilde{a}, H_{\tilde{\alpha}^+}^{\max}(a))$ , we just notice that, if  $\tilde{y}$  is the point cut on  $[a, \tilde{\alpha}^+]$  by  $H_{\tilde{\alpha}^+}^{\max}(a)$ , then by Proposition 14,

$$d(\tilde{a}, H_{\tilde{\alpha}^+}^{\max}(a)) = d(\tilde{a}, \tilde{y}) = B_{\tilde{\alpha}}(\tilde{a}, \tilde{y}) = \sup_{g \in G} B_{\tilde{\alpha}}(\tilde{a}, g\tilde{a}). \quad \square$$

<sup>9</sup>That is, for any compact set  $K \subset \tilde{X}$ , one has  $gD(G, \tilde{x}) \cap K \neq \emptyset$  only for finitely many  $g \in G$ .

**Theorem 24.** *Let  $X = G \setminus \tilde{X}$  be a regular quotient of a Hadamard space  $\tilde{X}$ . Assume that  $\alpha$  is a quasiray on  $X$  with origin  $a$ , and lift it to  $\tilde{\alpha}$  in  $\tilde{X}$ , with origin  $\tilde{a}$ . Then for all  $x, y \in X$ , we have*

$$(3) \quad B_\alpha(x, y) = \rho(H_{\tilde{\alpha}^+}^{\max}(x), H_{\tilde{\alpha}^+}^{\max}(y)).$$

*In the particular case where  $x = a$ , the formula becomes*

$$(4) \quad B_\alpha(a, y) = \sup_{g \in G} B_{\tilde{\alpha}}(\tilde{a}, g\tilde{y}) - \Delta(\alpha) = \rho(\tilde{a}, H_{\tilde{\alpha}^+}^{\max}(y)) - \Delta(\alpha),$$

*and, if  $\alpha$  is a ray,*

$$(5) \quad B_\alpha(a, y) = \sup_{g \in G} B_{\tilde{\alpha}}(\tilde{a}, g\tilde{y}) = \rho(\tilde{a}, H_{\tilde{\alpha}^+}^{\max}(y)).$$

Notice that, in the particular case of a ray  $\alpha$ , formula (4) is quite natural, if we interpret  $B_\alpha(a, y)$  as a (renormalized, sign-opposite) “distance to the point at infinity”  $\alpha^+$  in  $\partial X$ ; in fact, the distance on the quotient manifold  $X = G \setminus \tilde{X}$  can always be expressed as  $d(a, y) = \inf_{g \in G} d(\tilde{a}, g\tilde{y})$ .

*Proof of Theorem 24.* We first prove formula (4). Since

$$\ell(\alpha; 0, t) - d(a, \alpha(t)) \leq \Delta(\alpha) \quad \text{for all } t,$$

we get

$$\begin{aligned} B_\alpha(a, y) &= \lim_t [d(a, \alpha(t)) - d(\alpha(t), y)] \\ &\geq \lim_t [\ell(\alpha; 0, t) - \Delta(\alpha) - \inf_{g \in G} d(\tilde{\alpha}(t), g\tilde{y})] \\ &\geq \lim_t [d(\tilde{a}, \tilde{\alpha}(t)) - d(\tilde{\alpha}(t), g\tilde{y})] - \Delta(\alpha) = B_{\tilde{\alpha}}(\tilde{a}, g\tilde{y}) - \Delta(\alpha), \end{aligned}$$

for all  $g \in G$ . To prove the converse inequality, pick for each  $t > 0$  a preimage  $\tilde{y}_t$  of  $y$  in  $\tilde{X}$  such that  $d(\alpha(t), y) = d(\tilde{\alpha}(t), \tilde{y}_t)$ . By monotonicity and Property 9(i), we have, for all  $s > t \gg 0$ ,

$$\begin{aligned} d(\tilde{a}, \tilde{\alpha}(s)) - d(\tilde{\alpha}(s), \tilde{y}_t) &\geq d(\tilde{a}, \tilde{\alpha}(t)) - d(\tilde{\alpha}(t), \tilde{y}_t) \\ &\geq_\epsilon d(a, \alpha(t)) + \Delta(\alpha) - d(\alpha(t), y). \end{aligned}$$

Therefore, letting  $s \rightarrow +\infty$ , we get

$$\sup_{g \in G} B_{\tilde{\alpha}}(\tilde{a}, g\tilde{y}) \geq B_\alpha(\tilde{a}, \tilde{y}_t) \geq_\epsilon b_{\alpha(t)}(a, y) + \Delta(\alpha),$$

and as  $\epsilon$  is arbitrarily small, for  $t \rightarrow +\infty$ , this yields (4). Then (3) follows from (4) and the cocycle condition, because for any  $\tilde{x}' \in \partial H_{\tilde{\alpha}^+}^{\max}(x)$  and  $\tilde{y}' \in \partial H_{\tilde{\alpha}^+}^{\max}(y)$ ,

$$B_\alpha(x, y) = B_\alpha(a, y) - B_\alpha(a, x) = B_\alpha(\tilde{a}, \tilde{y}') - B_\alpha(\tilde{a}, \tilde{x}') = B_{\tilde{\alpha}}(\tilde{x}', \tilde{y}'),$$

and this is precisely the signed distance between the two maximal horospheres, by Remark 15(ii). The second inequalities in (4) and (5) are just geometric reformulations, because for  $\tilde{y}' \in H_{\tilde{\alpha}^+}^{\max}(y)$ , we have  $\sup_{g \in G} B_{\tilde{\alpha}}(\tilde{a}, g\tilde{y}') = B_{\tilde{\alpha}}(\tilde{a}, \tilde{y}') = d(\tilde{a}, H_{\tilde{\alpha}^+}^{\max}(y))$ .  $\square$

We conclude the section by mentioning the relation between boundary points and types of quasirays, which is an immediate corollary of Properties 9 and the excess lemma (Lemma 23). This was first pointed out by Haas [1996], for Kleinian groups of  $\mathbb{H}^n$ :

**Corollary 25.** *Let  $\pi : \tilde{X} \rightarrow X = G \backslash \tilde{X}$  and  $\xi \in \partial \tilde{X}$ .*

- (i)  $\xi$  is nonhorospherical if and only if for all  $\tilde{x} \in \tilde{X}$ , the projection  $\pi([\tilde{x}, \xi])$  is a quasiray.
- (ii)  $\xi$  is  $\tilde{x}$ -Dirichlet if and only if  $\pi([\tilde{x}, \xi])$  is a ray.
- (iii)  $\xi$  is  $\tilde{x}$ -Garnett if and only if for all  $g \in G$ , the curve  $\pi([g\tilde{x}, \xi])$  is a quasiray but not a ray.

We shall see in Section 5 a special class of manifolds where every quasiray is a preray: the *geometrically finite* manifolds.

## 4. The Busemann map

**4.1. The Busemann equivalence.** We consider several different types of equivalence between rays and quasirays on quotients of Hadamard spaces. The main motivation for this is to find workable criteria to know when two rays  $\alpha, \beta$  are *Busemann equivalent*, that is, when  $B_\alpha = B_\beta$ . We first consider the most natural notion of asymptoticity:

**Definition 26** (distance asymptoticity). For quasirays  $\alpha, \beta$  on a general manifold  $X$ , we define

$$d_\infty(\alpha, \beta) = \frac{1}{2} \limsup_{t \rightarrow +\infty} [d(\alpha(t), \beta) + d(\alpha, \beta(t))],$$

and we say that  $\alpha, \beta$  are *asymptotic* if  $d_\infty(\alpha, \beta) < \infty$  (resp. *strongly asymptotic* if  $d_\infty(\alpha, \beta) = 0$ ); we say that  $\alpha, \beta$  are *diverging*, otherwise.

Notice that *strongly asymptotic quasirays define the same Busemann function*, since for all  $\epsilon > 0$ , there exist  $t, s \gg 0$  such that  $|b_{\alpha(t)}(x, y) - b_{\beta(s)}(x, y)| < \epsilon$ .

On Hadamard spaces, we know by Proposition 18(i) that two rays are Busemann equivalent precisely when they are asymptotic (moreover, for Hadamard spaces of strictly negative curvature, the notions of asymptoticity and strong asymptoticity coincide). Unfortunately, this easy picture is false in general: Example 44 in Section 6 exhibits, in particular, *two asymptotic rays on a hyperbolic surface yielding different Busemann functions*; on the other hand, in Example 41, we

produce a hyperbolic surface with *two diverging rays defining the same Busemann function*.

This leads us to describe the Busemann equivalence in a different way. For quotients  $X = G \backslash \tilde{X}$  of Hadamard manifolds, we can characterize Busemann-equivalent rays in terms of the dynamics of  $G$  on the universal covering of  $X$ . Recall that, by property (v) after [Definition 7](#), the action of  $G$  on  $\tilde{X}$  extends in a natural way to an action by homeomorphisms on  $\partial \tilde{X}$ , which is properly discontinuous on  $\text{Ord } G$ .

**Definition 27** (*G*-equivalent and weakly *G*-equivalent rays). Let  $\alpha, \beta$  be quasirays with origins  $a, b$ , and lift them to rays  $\tilde{\alpha}, \tilde{\beta}$  in  $\tilde{X}$ , with origins  $\tilde{a}, \tilde{b}$ .

- We say that  $\alpha$  and  $\beta$  are *G*-equivalent ( $\alpha \approx_G \beta$ ) if  $\tilde{\alpha}^+ \in G\tilde{\beta}^+$ ;
- We say that  $\alpha$  is *weakly G*-equivalent to  $\beta$  ( $\alpha \prec_G \beta$ ) if there is a sequence  $g_n \in G$  such that  $g_n\tilde{\beta}^+ \rightarrow \tilde{\alpha}^+$  and the quasirays  $\alpha_n = \pi[\tilde{a}, g_n\tilde{\beta}^+]$  have  $\Delta(\alpha_n) \rightarrow 0$ . This is equivalent<sup>10</sup> to asking whether there exists a sequence  $(g_n)$  such that

$$g_n\tilde{\beta}^+ \rightarrow \tilde{\alpha}^+ \quad \text{and} \quad B_{\tilde{\beta}}(\tilde{b}, g_n^{-1}\tilde{a}) \rightarrow B_{\beta}(b, a),$$

where the second condition geometrically means that  $d(g_n^{-1}\tilde{a}, H_{\tilde{\beta}^+}^{\max}(a)) \rightarrow 0$ .

We say that  $\alpha$  and  $\beta$  are *weakly G*-equivalent ( $\alpha \prec_G \succ \beta$ ) if  $\alpha \prec_G \beta$  and  $\beta \prec_G \alpha$ .

Obviously, *G*-equivalent rays are always weakly asymptotic (as they admit lifts with common point at infinity); the converse is false in general, as [Example 44](#) in [Section 6](#) shows. Further, notice that *G*-equivalent rays  $\alpha, \beta$  define the same Busemann function; in fact, if  $\alpha^+ = g\beta^+$ , then according to [Theorem 24](#),

$$B_{\alpha}(x, y) = \rho(H_{\tilde{\alpha}^+}^{\max}(x), H_{\tilde{\alpha}^+}^{\max}(y)) = \rho(gH_{\tilde{\beta}^+}^{\max}(x), gH_{\tilde{\beta}^+}^{\max}(y)) = B_{\beta}(x, y);$$

but we see that, in general, *two Busemann-equivalent rays need not be G*-equivalent ([Example 41](#)).

Interest in the weak *G*-equivalence is explained by the following:

**Theorem 28.** *Let  $X = G \backslash \tilde{X}$  be a regular quotient of a Hadamard space. Let  $\alpha, \beta$  be rays in  $X$  with origins  $a, b$ . Then:*

- $\alpha \prec \beta$  if and only if  $\alpha \prec_G \beta$ .
- $B_{\alpha} = B_{\beta}$  if and only if  $\alpha \prec_G \succ \beta$  and  $B_{\alpha}(a, b) = B_{\beta}(a, b)$ .

As a corollary, for rays with the same origin  $o$ , we obtain [Criterion 3](#).

<sup>10</sup>As  $\alpha_n = \pi[\tilde{a}, g_n\tilde{\beta}^+] = \pi[g_n^{-1}\tilde{a}, \tilde{\beta}^+]$ , the excess condition says that  $d(g_n^{-1}\tilde{a}, H_{\tilde{\beta}^+}^{\max}(a)) \rightarrow 0$ ; by formula (3), this means that  $B_{\tilde{\beta}}(\tilde{b}, g_n^{-1}\tilde{a}) \rightarrow \rho(H_{\tilde{\beta}^+}^{\max}(b), H_{\tilde{\beta}^+}^{\max}(a)) = B_{\beta}(b, a)$ .

*Proof of Theorem 28.* Lift  $\alpha, \beta$  to  $\tilde{\alpha}, \tilde{\beta}$  on  $\tilde{X}$  with origins  $\tilde{a}, \tilde{b}$ . By Proposition 14, we know that  $\alpha < \beta$  if and only if  $B_\beta(a, \alpha(t)) = B_\alpha(a, \alpha(t)) = t$  for all  $t$ . On the other hand, we have

$$\begin{aligned} B_\beta(a, \alpha(t)) &= B_\beta(a, b) + B_\beta(b, \alpha(t)) \\ &= B_\beta(a, b) + \sup_{g \in G} [B_{\tilde{\beta}}(\tilde{b}, g\tilde{a}) + B_{\tilde{\beta}}(g\tilde{a}, g\tilde{\alpha}(t))] \\ &\leq -B_\beta(b, a) + \sup_{g \in G} B_{\tilde{\beta}}(\tilde{b}, g\tilde{a}) + \sup_{g \in G} B_{g^{-1}\tilde{\beta}}(\tilde{a}, \tilde{\alpha}(t)) \\ &\leq d(\tilde{a}, \tilde{\alpha}(t)) = t, \end{aligned}$$

so  $\alpha < \beta$  precisely if there exists a sequence  $g_n \in G$  such that

$$B_{\tilde{\beta}}(\tilde{b}, g_n\tilde{a}) \rightarrow B_\beta(b, a) \quad \text{and} \quad B_{g_n^{-1}\tilde{\beta}}(\tilde{a}, \tilde{\alpha}(t)) \rightarrow d(\tilde{a}, \tilde{\alpha}(t)) = B_\alpha(a, \alpha(t)),$$

that is,  $g_n^{-1}\tilde{\beta}^+ \rightarrow \tilde{\alpha}^+$ . This shows (i). Part (ii) follows from Theorem 16(b).  $\square$

**4.2. Lower semicontinuity.** The behavior of Busemann functions with respect to the initial directions of quasirays is intimately related with the excess.

On the one hand, a limit of quasiminimizing directions does not usually give a direction for which the Busemann function is defined: for instance, if  $X = G \backslash \tilde{X}$  and the limit set  $LG$  contains at least a Dirichlet point  $\zeta$  and a radial point  $\xi$ , then (as  $LG$  is the minimal  $G$ -invariant closed subset of  $\partial\tilde{X}$ ) there also exists a sequence  $\zeta_n = g_n\zeta \rightarrow \xi$ ; the projections  $\alpha_n$  on  $X$  of rays  $[\tilde{o}, \zeta_n]$  give a family of  $G$ -equivalent quasirays, all defining the same Busemann function, while the limit curve  $\alpha$  is the projection of  $[\tilde{o}, \xi]$ , and is a recurrent geodesic for which the Busemann function is not defined.

Even when the limit curve is a ray or a quasiray, with no control of the excess of the family, we cannot expect any continuity, as the following example shows:

**Example 29.** Let  $G < \text{Is}(\mathbb{H}^2)$  be a discrete subgroup generated by two parabolic isometries  $p, q$  with distinct, fixed points  $\zeta, \xi$ , and assume they are in Schottky position, that is  $(\mathbb{H}^2 - D(\langle p \rangle, \tilde{o})) \cap (\mathbb{H}^2 - D(\langle q \rangle, \tilde{o})) = \emptyset$ , for some  $\tilde{o} \in \mathbb{H}^2$ .

For instance, we can take the group  $\Gamma(2)$ , generated by  $p(z) = z/(2z+1)$  and  $q(z) = z+2$  in the Poincaré half-plane model, with  $\tilde{o} = i$ . In this case,  $LG = \partial\mathbb{H}^2$  and  $\partial D(G, \tilde{o})$  consists of two parabolic fixed points  $\zeta = 0, \xi = \infty$  and two  $G$ -equivalent points  $\omega = -1$  and  $\omega' = 1$ . The quotient surface  $X = G \backslash \mathbb{H}^2$  has three cusps corresponding to  $\zeta, \xi$ , and  $\omega' = p(\omega) = q(\omega)$ , and only four rays with origin  $\tilde{o}$ : the projections  $\alpha, \beta, \gamma$  and  $\gamma'$  of, respectively,  $[\tilde{o}, \zeta], [\tilde{o}, \xi], [\tilde{o}, \omega]$  and  $[\tilde{o}, \omega']$ , only the last two of which are Busemann equivalent.

By the minimality of  $LG$ , there exists a sequence  $\zeta_n = g_n\zeta \rightarrow \xi$ ; then the projections  $\alpha_n$  on  $X$  of the rays  $[\tilde{o}, \zeta_n]$  are all  $G$ -equivalent quasirays (by Corollary 25, the  $\zeta_n$  being horospherical) that tend to  $\beta$ . However,  $B_{\alpha_n} = B_\alpha$  for all  $n$ , and therefore their limit is  $B_\alpha$ , while the Busemann function of the limit curve is  $B_\beta \neq B_\alpha$ .

Notice that in the above examples, the excess of the  $\alpha_n$  tends to infinity (by Property 9(ii) in the first case, and by direct computation or Proposition 30 in Example 29). Keeping control of the length excess yields at least *lower semicontinuity* of the Busemann function with respect to the initial directions:

**Proposition 30.** *Let  $X = G \backslash \tilde{X}$  be a regular quotient of a Hadamard space.*

(i) *For any sequence of rays  $\alpha_n \rightarrow \alpha$  uniformly on compacts, we have*

$$\liminf_{n \rightarrow +\infty} B_{\alpha_n}(x, y) \geq B_{\alpha}(x, y).$$

(ii) *For any sequence of quasirays  $\alpha_n \rightarrow \alpha$  with  $\Delta(\alpha_n) \rightarrow \Delta(\alpha) + \delta$ , we have*

$$\liminf_{n \rightarrow +\infty} B_{\alpha_n}(x, y) \geq B_{\alpha}(x, y) - \delta.$$

*Proof.* Part (i) is a particular case of (ii). So let  $\tilde{\alpha}_n, \tilde{\alpha}$  be lifts of the quasirays  $\alpha_n, \alpha$  to  $\tilde{X}$ , with origins  $\tilde{a}_n, \tilde{a}$  with  $\tilde{a}_n \rightarrow \tilde{a}$ , projecting respectively to  $a_n, a$ . By the cocycle condition, we may assume that  $x = a$ . By (4), we deduce

$$B_{\alpha_n}(a, y) \geq B_{\tilde{\alpha}_n}(\tilde{a}, g\tilde{y}) - \Delta(\alpha_n) - 2d(a, a_n)$$

for all  $g \in G$ . As  $\tilde{\alpha}_n^+$  tends to  $\tilde{\alpha}^+$  in  $\partial\tilde{X}$ , we have convergence on compacts of  $B_{\tilde{\alpha}_n}$  to  $B_{\tilde{\alpha}}$ ; hence, taking limits for  $n \rightarrow \infty$  yields

$$\liminf_{n \rightarrow \infty} B_{\alpha_n}(a, y) \geq B_{\tilde{\alpha}}(\tilde{a}, g\tilde{y}) - \Delta(\alpha) - \delta$$

for all  $g$ , and again we conclude by using (4). □

Lower semicontinuity is the best we can expect, in general, for the Busemann map: Example 42 gives a case where the strict inequality  $B_{\alpha} < \liminf_{n \rightarrow +\infty} B_{\alpha_n}$  holds, for a sequence of rays  $\alpha_n \rightarrow \alpha$ .

## 5. Geometrically finite manifolds

We recall the definition and some properties of geometrically finite groups. Let  $G$  be a Kleinian group, that is, a discrete, torsionless group of isometries of a negatively curved, simply connected space  $\tilde{X}$  with  $-a^2 < k(\tilde{X}) \leq -b^2 < 0$ .

Let  $\tilde{C}_G \subset \tilde{X}$  be the convex hull of the limit set  $LG$ ; the quotient  $C_G := G \backslash \tilde{C}_G$  is called the *Nielsen core* of the manifold  $X = G \backslash \tilde{X}$ . The Nielsen core is the relevant subset<sup>11</sup> of  $X$  where the dynamics of geodesics take place.

The group  $G$  (equivalently, the manifold  $X$ ) is *geometrically finite* if some (any)  $\epsilon$ -neighborhood of  $C_G$  in  $X$  has finite volume. The simplest examples of geometrically finite manifolds are *lattices*, that is, Kleinian groups  $G$  such that  $\text{vol}(G \backslash \tilde{X}) < +\infty$ . In dimension 2, the class of geometrically finite groups coincides with that of

<sup>11</sup> $C_G$  coincides with the smallest closed and convex subset of  $X$  containing all the geodesics that meet infinitely often any fixed compact set.

finitely generated Kleinian groups; in dimension  $n > 2$ , geometric finiteness is a condition strictly stronger than being finitely generated [Apanasov 1982]. The following proposition sums up most of the main properties of geometrically finite groups that we use:

**Proposition 31** [Bowditch 1995]. *Let  $X = G \backslash \tilde{X}$  be a geometrically finite manifold.*

(i)  *$LG$  is the union of its radial subset  $L^{\text{rad}}G$  and of a set  $L^{\text{b.par}}G = \bigsqcup_{i=1}^l G\xi_i$  made up of finitely many orbits of bounded parabolic fixed points; this means that each  $\xi \in L^{\text{b.par}}G$  is the fixed point of some maximal parabolic subgroup  $P$  of  $G$  acting cocompactly on  $LG - \xi$ ; equivalently,  $P$  preserves every horoball  $H_\xi$  centered at  $\xi$  and acts cocompactly on  $\partial H_\xi \cap \tilde{C}_G$ .*

(ii) (Margulis' lemma). *There exist closed horoballs  $H_{\xi_1}, \dots, H_{\xi_l}$  centered at  $\xi_1, \dots, \xi_l$ , such that  $gH_{\xi_i} \cap H_{\xi_j} = \emptyset$  for all  $1 \leq i, j \leq l$ , and  $g \in G - P_i$ .*

Accordingly, geometrically finite manifolds fall in two classes:

- either  $C_G$  is compact, in which case  $G$  (and  $X$ ) is called *convex-cocompact*;
- or  $C_G$  is not compact, in which case it can be decomposed into a disjoint union of a compact part  $C_0$  and finitely many "cuspidal ends"  $C_1, \dots, C_l$ : each  $C_i$  is isometric to the quotient, by a maximal parabolic group  $P_i \subset G$ , of the intersection between  $\tilde{C}_G \cap H_{\xi_i}$ , where  $H_{\xi_i}$  is a horoball preserved by  $P_i$  and centered at  $\xi_i$ .

This yields a first topological description of geometrically finite manifolds; for more details on the topology of a horosphere quotient, see [Belegradek and Kapovitch 2006]. In the sequel, we always assume that  $X$  is noncompact.

We also repeatedly use the following facts:

**Lemma 32.** *Let  $X = G \backslash \tilde{X}$  be a geometrically finite manifold, and let  $\xi \in LG$  be a bounded parabolic point, fixed by some maximal parabolic subgroup  $P < G$ .*

- (i)  $\xi$  is nonhorospherical and universal Dirichlet.
- (ii) *There exists a subset  $G_\xi \subset G$  of representatives of  $P \backslash G$  such that  $\xi \notin \overline{G_\xi \tilde{x}}$ , for every  $\tilde{x} \in \tilde{X}$ .*

*Proof.* By Proposition 31(i), we know that  $\xi = g\xi_i$  for some  $g \in G$ ,  $\xi_i \in P_i$ , and that  $P = g_i P_i g_i^{-1}$ . Consider the family of horoballs  $H_{\xi_i}$  given by Margulis' lemma, let  $H_\xi = gH_{\xi_i}$ , and choose a point  $\tilde{x}_0 \in \partial H_\xi$ , projecting to  $x_0 \in X$ . By Margulis' lemma, we know that there is no point of the orbit  $G\tilde{x}_0$  inside  $H_\xi$ , and hence  $H_\xi^{\text{max}}(x_0) = H_\xi$  and  $\xi$  is nonhorospherical.

To see (ii), fix a compact fundamental domain  $K$  for the action of  $G$  on  $LG - \xi$ ; then, define the subset  $G_\xi$  by choosing the identity of  $G$  as representative of the class  $P$  and, for every  $g \in G - P$ , a representative  $\hat{g} \in Pg$  such that  $\hat{g}\xi \in K$ . Since  $K$  is compact in  $LG - \xi$ , it is separated from  $\xi$  by an open neighborhood  $U_K$  of

$K$  in  $\bar{X}$ , with  $\xi \notin U_K$ . Now, as  $\xi$  is universal Dirichlet, for every fixed  $\tilde{x}$  we can find  $g \in G$  such that  $\xi \in \partial D(G, g\tilde{x})$ ; by construction, the orbit  $G_\xi \xi$  accumulates to  $K$  and, as the Dirichlet domain is locally finite, the domain  $D(G, g\tilde{x})$  as well. Since  $d(\tilde{x}, g\tilde{x}) < \infty$ , we also deduce that the subset  $G_\xi \tilde{x}$  is included (up to a finite subset) in  $U_K$ ; this shows that  $\xi \notin \overline{G_\xi \tilde{x}}$ .  $\square$

**Proposition 33.** *Let  $X = G \backslash \tilde{X}$  be a geometrically finite manifold: then, every quasiray of  $X$  is a preray.*

*Proof.* Let  $\alpha$  be a quasiray of  $X$  with origin  $a$ , and lift it to a ray  $\tilde{\alpha}$  of  $\tilde{X}$ , with origin  $\tilde{a}$ . Assume that  $\alpha$  is not a preray: then by Property 9(i), we would have a positive, strictly decreasing sequence  $\Delta_n = \Delta(\alpha|_{[t_n, +\infty)})$ , tending to zero, for some  $t_n \rightarrow +\infty$ . Since  $\tilde{\alpha}^+$  is a nonhorospherical point, it is either ordinary or bounded parabolic; either way, it is a universal Dirichlet point by Lemma 32, so for each  $n$  we can find  $g_n$  such that  $g_n^{-1}\tilde{\alpha}(t_n) \in \partial H_{\tilde{\alpha}^+}^{\max}(\alpha(t_n))$ .

Let  $P$  be the maximal parabolic subgroup fixing  $\xi = \tilde{\alpha}^+$ , and let  $\hat{g}_n = p_n g_n$  be the representative of  $g_n \in G_\xi$  given by Lemma 32, for  $p_n \in P$ . We have

$$\begin{aligned} \Delta(\alpha) &\geq B_{\tilde{\alpha}}(\tilde{a}, g_n^{-1}\tilde{a}) = B_{\tilde{\alpha}}(\tilde{a}, \hat{g}_n^{-1}\tilde{a}) \\ &= B_{\tilde{\alpha}}(\tilde{a}, \tilde{\alpha}(t_n)) + B_{\tilde{\alpha}}(\tilde{\alpha}(t_n), \hat{g}_n^{-1}\tilde{\alpha}(t_n)) + B_{\tilde{\alpha}}(\hat{g}_n^{-1}\tilde{\alpha}(t_n), \hat{g}_n^{-1}\tilde{a}) \\ &\geq t_n + \Delta_n - B_{\hat{g}_n\tilde{\alpha}}(\tilde{a}, \tilde{\alpha}(t_n)), \end{aligned}$$

which, since the excess of  $\alpha$  is finite, shows that  $\hat{g}_n\tilde{\alpha}^+ \rightarrow \tilde{\alpha}^+$  necessarily, for  $n \rightarrow \infty$ . By the local finiteness of the Dirichlet domain, we deduce that  $\hat{g}_n\tilde{a} \rightarrow \tilde{\alpha}^+$  as well, which contradicts (ii) of Lemma 32.  $\square$

For geometrically finite manifolds, the equivalence problem is answered by:

**Proposition 34.** *Let  $X = G \backslash \tilde{X}$  be a geometrically finite manifold, and let  $\alpha, \beta$  be rays. The following conditions are equivalent:*

$$(i) B_\alpha = B_\beta; \quad (ii) \alpha \approx_G \beta; \quad (iii) \alpha < \beta; \quad (iv) d_\infty(\alpha, \beta) < \infty.$$

*Proof.* Let  $a, b$  be the origins of the two rays  $\alpha, \beta$ , and let  $\tilde{\alpha}$  and  $\tilde{\beta}$  be the lifts of  $\alpha, \beta$  to  $\tilde{X}$ , with origins  $\tilde{a}, \tilde{b}$ , respectively. Now assume that  $\alpha < \beta$ . Consider the quasiray  $\beta'$  that is the projection of  $\tilde{\beta}' = [\tilde{a}, \tilde{\beta}^+]$  to  $X$ , and fix a  $t_0 > 0$ . Since  $\alpha < \beta \approx_G \beta'$ , we have, by Proposition 14 and Theorem 28,

$$B_{\beta'}(a, \alpha(t_0)) = B_\beta(a, \alpha(t_0)) = d(a, \alpha(t_0)) = t_0.$$

As  $G$  is geometrically finite,  $\tilde{\beta}^+$  is universal Dirichlet and there exists  $g_0$  such that  $g_0\tilde{\alpha}(t_0) \in \partial H_{\tilde{\beta}^+}^{\max}(\alpha(t_0))$ . Then, by Theorem 24 and the excess lemma (Lemma 23),

$$\begin{aligned} t_0 &= B_{\beta'}(a, \alpha(t_0)) = B_{\tilde{\beta}'}(\tilde{a}, g_0\tilde{a}) + B_{\tilde{\beta}'}(g_0\tilde{a}, g_0\tilde{\alpha}(t_0)) - \Delta(\beta') \\ &\leq B_{g_0^{-1}\tilde{\beta}'}(\tilde{a}, \tilde{\alpha}(t_0)) \leq d(\tilde{a}, \tilde{\alpha}(t_0)) = t_0. \end{aligned}$$

Then  $B_{g_0^{-1}\tilde{\beta}'}(\tilde{\alpha}, \tilde{\alpha}(t_0)) = d(\tilde{\alpha}, \tilde{\alpha}(t_0))$ , and hence  $g_0^{-1}\tilde{\beta}^+ = g_0^{-1}\tilde{\beta}'^+ = \tilde{\alpha}^+$ . Therefore  $\alpha \approx_G \beta$ , which implies  $B_\alpha = B_\beta$ . As (i) implies (iii), this shows that the first three conditions are equivalent. To conclude, let us show that (ii) and (iv) are equivalent. We already remarked that  $G$ -equivalence implies asymptoticity. So, assume now that  $d_\infty(\alpha, \beta) < +\infty$ . Up to replacing  $\beta$  with the  $G$ -equivalent quasiray  $\beta'$  defined above, which still has  $d_\infty(\alpha, \beta') \leq M < +\infty$ , we can assume that their lifts  $\tilde{\alpha}$  and  $\tilde{\beta}$  have the same origin  $\tilde{\alpha}$ . Then, let  $t_k, t'_k \rightarrow +\infty$  and  $g_k \in G$  such that  $d(\alpha(t_k), \beta(t'_k)) = d(\tilde{\alpha}(t_k), g_k\tilde{\beta}(t'_k)) \leq M$ ; this implies that  $g_k\tilde{\beta}^+ \rightarrow \alpha^+$ . Now, if the  $g_k$ 's form a finite set, then  $g_k\tilde{\beta}^+ = \tilde{\alpha}^+$  for some  $k$ , and the rays are  $G$ -equivalent. Otherwise, since  $G$  acts discontinuously on  $\partial\tilde{X} - LG$ , we deduce that  $\tilde{\alpha}^+ \in LG$ ; moreover, as  $\tilde{\alpha}^+$  is a Dirichlet point, it necessarily is a bounded parabolic point of  $G$ . We deduce analogously that  $\tilde{\beta}^+$  is parabolic. But now, if  $\tilde{\beta}^+ \notin G\tilde{\alpha}^+$ , Margulis' lemma yields horoballs  $H_{\tilde{\alpha}^+}, H_{\tilde{\beta}^+}$ , respectively containing  $\tilde{\alpha}(t_k)$  and  $\tilde{\beta}(t_k)$  for  $k \gg 0$ , such that  $H_{\tilde{\alpha}^+} \cap gH_{\tilde{\beta}^+} = \emptyset$  for all  $g \in G$ . Then  $d(\tilde{\alpha}(t_k), g_k\tilde{\beta}(t_k)) \geq d(\tilde{\alpha}(t_k), H_{\tilde{\alpha}^+}) \rightarrow +\infty$ , which contradicts our assumption.  $\square$

**Proposition 35.** *Let  $X = G \backslash \tilde{X}$  be a geometrically finite manifold. For any  $o \in X$ , the Busemann map  $B_o : \mathcal{R}_o X \rightarrow \partial X$  is surjective, that is,  $\mathcal{B}X = \partial X$ . More precisely, let  $(x_n)$  be a sequence of points converging to a horofunction  $B_{(x_n)}$ . If  $\tilde{x}_n$  are lifts of the  $x_n$  in a Dirichlet domain  $D(G, \tilde{o})$ , accumulating to some  $\xi \in \partial D(G, o)$ , then  $B_{(x_n)} = B_\alpha$ , where  $\alpha$  is the ray projection of  $[\tilde{o}, \xi]$  to  $X$ .*

*Proof.* First notice that we have  $d(o, x_n) - d(x_n, x) \geq d(\tilde{o}, \tilde{x}_n) - d(\tilde{x}_n, g\tilde{x})$  for every  $g$ , and by taking limits, we get  $B_{(x_n)}(o, x) \geq B_\xi(\tilde{o}, g\tilde{x})$ , as the  $\tilde{x}_n$  accumulate to  $\xi$ ; therefore,  $B_{(x_n)}(o, x) \geq \sup_g B_\xi(\tilde{o}, g\tilde{x}) = B_\alpha(o, x)$ , by (5).

To show the converse inequality, let  $x$  be fixed, and for each  $n$  choose  $g_n$  such that  $d(x, x_n) = d(g_n\tilde{x}, \tilde{x}_n)$ . We show that there exists  $\hat{g} \in G$  such that

$$(6) \quad d(g_n\tilde{x}, \tilde{x}_n) - d(\hat{g}\tilde{x}, \tilde{x}_n) \rightarrow 0 \quad \text{as } n \rightarrow \infty$$

up to a subsequence; then, from this we deduce that

$$[d(o, x_n) - d(x_n, x)] - [d(\tilde{o}, \tilde{x}_n) - d(\tilde{x}_n, \hat{g}\tilde{x})] \rightarrow 0,$$

and as the first summand tends to  $B_{(x_n)}(o, x)$  and the second to  $B_\xi(\tilde{o}, \hat{g}\tilde{x})$ , we can conclude that  $B_{(x_n)}(o, x) = B_\xi(\tilde{o}, \hat{g}\tilde{x}) \leq \sup_g B_\xi(\tilde{o}, g\tilde{x}) = B_\alpha(o, x)$ .

Let us then show (6). Notice that this is evident when the set of the  $g_n$  is finite. So, assume that the set is infinite; then  $g_n\tilde{x}$  accumulates to some limit point  $\eta$ . If  $\eta \neq \xi$ , let  $\vartheta_0 = \xi\widehat{\partial}\eta > 0$ ; then, by comparison geometry, there exists  $c = c(\vartheta_0)$  (also depending on the upper bounds of the sectional curvature of  $\tilde{X}$ ) such that for  $n \gg 0$ ,

$$d(g_n\tilde{x}, \tilde{x}_n) \sim_{c(\vartheta_0)} d(g_n\tilde{x}, \tilde{o}) + d(\tilde{o}, \tilde{x}_n);$$

but, as  $d(g_n \tilde{x}, \tilde{o}) \rightarrow +\infty$ , this contradicts the fact that  $d(\tilde{o}, \tilde{x}_n) - d(\tilde{x}_n, g_n \tilde{x})$  converges. Therefore  $g_n \tilde{x} \rightarrow \xi \in LG \cap \partial D(G, \tilde{o})$ , and  $\xi$  is necessarily a bounded parabolic point. Then, let  $P$  be the maximal parabolic subgroup fixing  $\xi$ , and let  $\hat{g}_n = p_n g_n$  be the representative of  $g_n$  in the subset  $G_\xi$  given by Lemma 32, for  $p_n \in P$ . We again have  $p_n x_n \rightarrow \xi$  up to a subsequence; in fact,  $x_n$  tends to  $\xi$  within  $D(G, \tilde{o})$ , so either the  $p_n$ 's form a finite set and  $p_n x_n = p x_n \rightarrow p \xi = \xi$ , or the whole  $p_n D(G, \tilde{o})$  converges to  $\xi$  (the Dirichlet domain being locally finite). We now infer that the set of  $\hat{g}_n$  is finite: otherwise, the points  $\hat{g}_n \tilde{x} = p_n g_n \tilde{x}$  would accumulate to some  $\eta$  other than  $\xi$  (by Lemma 32); and the same comparison argument as above would give

$$d(x, x_n) = d(g_n \tilde{x}, \tilde{x}_n) = d(\hat{g}_n \tilde{x}, p_n \tilde{x}_n) \sim_{c(\vartheta_0)} d(\hat{g}_n \tilde{x}, \tilde{x}) + d(\tilde{x}, p_n \tilde{x}_n) \gg d(x, x_n)$$

for  $n$  large enough, which is a contradiction. Thus, the set of  $\hat{g}_n$  is finite, and we may assume that  $g_n = \hat{g}$  definitely. Now

$$(7) \quad [d(\tilde{o}, \tilde{x}_n) - d(\tilde{o}, p_n \tilde{x}_n)] + [d(\tilde{x}_n, p_n^{-1} \hat{g} \tilde{x}) - d(\tilde{x}_n, \hat{g} \tilde{x})]$$

$$(8) \quad = [d(\tilde{o}, \tilde{x}_n) - d(\tilde{x}_n, \hat{g} \tilde{x})] - [d(\tilde{o}, p_n \tilde{x}_n) - d(p_n \tilde{x}_n, \hat{g} \tilde{x})] \rightarrow 0,$$

as we know that both  $\tilde{x}_n$  and  $p_n \tilde{x}_n$  tend to  $\xi$ , so both terms in (8) tend to  $B_\xi(\tilde{o}, \hat{g} \tilde{x})$ . The first summand  $[d(\tilde{o}, \tilde{x}_n) - d(\tilde{o}, p_n \tilde{x}_n)]$  in (7) is nonpositive, since the  $\tilde{x}_n$  belong to  $D(G, \tilde{o})$ ; the second summand in (7) also is nonpositive, because for all  $g \in G$ ,

$$d(\tilde{x}_n, p_n^{-1} \hat{g} \tilde{x}) = d(\tilde{x}_n, g_n \tilde{x}) \leq d(\tilde{x}_n, g \tilde{x})$$

by assumption; therefore, by (8) we deduce that  $d(\tilde{x}_n, g_n \tilde{x}) - d(\tilde{x}_n, \hat{g} \tilde{x}) \rightarrow 0$ , which proves (6) and concludes the proof.  $\square$

For the next result, we need to recall the Gromov–Bourdon metric on  $\partial \tilde{X}$ . This is a family of metrics indexed by the choice of a base point  $\tilde{o} \in \tilde{X}$ : for any  $\tilde{x} \in [\eta, \xi]$ ,

$$D_{\tilde{o}}(\eta, \xi) = e^{-(1/2)|B_\eta(\tilde{o}, \tilde{x}) + B_\xi(\tilde{o}, \tilde{x})|}.$$

The exponent corresponds to minus the length of the finite geodesic segment cut on  $[\eta, \xi]$  by the horospheres  $H_\eta(\tilde{o})$ ,  $H_\xi(\tilde{o})$ . The fundamental property of these metrics is that any isometry of  $\tilde{X}$  acts by conformal homeomorphisms on  $\partial \tilde{X}$  with respect to them; moreover, the conformal coefficient can be easily expressed in terms of the Busemann function [Bourdon 1995]:

$$(9) \quad D_{\tilde{o}}(g\eta, g\xi) = \sqrt{g'(\eta)} \sqrt{g'(\xi)} D_{\tilde{o}}(\eta, \xi), \quad \text{where } g'(\zeta) = e^{B_\zeta(\tilde{o}, g^{-1}\tilde{o})}.$$

**Proposition 36.** *Let  $X = G \backslash \tilde{X}$  be a geometrically finite manifold, and let  $\alpha_n$  be a sequence of rays converging to  $\alpha$ . Then  $B_{\alpha_n}(x, y) \rightarrow B_\alpha(x, y)$  uniformly on compacts.*

*Proof.* Notice that the limit curve  $\alpha$  still is a ray by [Properties 9](#). Also, notice that if  $a$  is the origin of  $\alpha$ , then by the cocycle condition it is enough to show that  $B_{\alpha_n}(a, x)$  converges uniformly on compacts to  $B_\alpha(a, x)$ . Then, let  $\tilde{\alpha}, \tilde{\alpha}_n$  be rays of  $\tilde{X}$  with origins  $\tilde{a}, \tilde{a}_n$  projecting respectively to  $\alpha$  and  $\alpha_n$ , and let  $\epsilon_n = d(\tilde{a}, \tilde{a}_n) \rightarrow 0$ . Now choose any point  $x \in X$ . Since  $G$  is geometrically finite,  $\alpha^+$  and  $\alpha_n^+$  are either ordinary or bounded parabolic points; either way, they are universal Dirichlet, so let  $\tilde{x}$  and  $g_n\tilde{x}$  be lifts of  $x$  such that

$$B_\alpha(a, x) = B_{\tilde{\alpha}}(\tilde{a}, \tilde{x}), \quad B_{\alpha_n}(a_n, x) = B_{\tilde{\alpha}_n}(\tilde{a}_n, g_n\tilde{x}),$$

by [Theorem 24](#). If  $\tilde{\alpha}^+$  is parabolic, let  $P$  be its maximal parabolic subgroup and let  $\hat{g}_n = p_n g_n$  be the representative of  $g_n$  modulo  $P$  given by [Lemma 32](#), with  $p_n \in P$ ; if  $\tilde{\alpha}^+$  is ordinary, just set  $\hat{g}_n = g_n$  and  $p_n = \text{id}$ . Then, consider the set  $F$  of all the  $\hat{g}_n$ 's: we claim that  $F$  is finite. In fact, first notice that

$$D_{\tilde{a}}(p_n \tilde{\alpha}_n^+, \tilde{\alpha}^+) = \sqrt{p_n'(\tilde{\alpha}_n^+)} D_{\tilde{a}}(\tilde{\alpha}_n^+, \tilde{\alpha}^+) \leq e^{2\epsilon_n} D_{\tilde{a}}(\tilde{\alpha}_n^+, \tilde{\alpha}^+),$$

as  $B_{\tilde{\alpha}_n^+}(\tilde{a}, p_n^{-1}\tilde{a}) \leq 2\epsilon_n$ ,  $\alpha_n$  being a ray from  $a_n$  with  $d(a, a_n) = \epsilon_n$ ; therefore, we deduce that  $p_n \tilde{\alpha}_n^+ \rightarrow \tilde{\alpha}^+$ . Moreover, we have

$$(10) \quad -d(a, x) \leq B_{\alpha_n}(a, x) = B_{\tilde{\alpha}_n}(\tilde{a}, p_n^{-1}\tilde{a}) + B_{\tilde{\alpha}_n}(p_n^{-1}\tilde{a}, g_n\tilde{x}) \lesssim_{2\epsilon_n} B_{p_n\tilde{\alpha}_n}(\tilde{a}, \hat{g}_n\tilde{x}).$$

If  $F$  is infinite, we deduce  $\hat{g}_n\tilde{x} \rightarrow \xi \neq \tilde{\alpha}^+$  by [Lemma 32](#), so  $B_{p_n\tilde{\alpha}_n}(\tilde{a}, \hat{g}_n\tilde{x}) \rightarrow -\infty$ , contradicting (10). So,  $F$  is finite and we may assume that  $\hat{g}_n = \hat{g}$  definitely. But then, passing to limits in (10), we get

$$\lim_{n \rightarrow +\infty} B_{\alpha_n}(a, x) \leq B_{\tilde{\alpha}}(\tilde{a}, \hat{g}\tilde{x}) \leq B_\alpha(a, x).$$

By the lower semicontinuity ([Proposition 30](#)), we deduce that  $B_{\alpha_n}(a, x)$  converge pointwise to  $B_\alpha(a, x)$ ; but as  $B_{\alpha_n}(a, x)$  are a family of 1-Lipschitz functions of  $x$ , this implies uniform convergence on compacts.  $\square$

**Corollary 37.** *Let  $X = G \backslash \tilde{X}$  be a geometrically finite,  $n$ -dimensional manifold. For any  $\tilde{o} \in \tilde{X}$  projecting to  $o \in X$ , the horoboundary  $\partial X$  of  $X$  is homeomorphic to*

$$(11) \quad \mathcal{R}_o(X) / (\text{Busemann eq.}) \cong G \backslash \partial D(G, \tilde{o}),$$

and the horofunction compactification of  $X$  is  $\bar{X} \cong G \backslash \overline{D(G, \tilde{o})}$ .

If  $n = 2$  or  $G$  has no parabolic subgroups, then  $\bar{X}$  is a topological manifold with boundary. If  $n \geq 3$  and  $G$  has parabolic subgroups, then  $\bar{X}$  is a topological manifold with boundary with a finite number of conical singularities, each corresponding to a conjugate class of maximal parabolic subgroups of  $G$ .

Here, we call a *conical singularity* a point  $\xi$  with a neighborhood homeomorphic to the cone over some topological manifold (with or without boundary)  $Y$ :

$$C(Y, \xi) = (Y \times [0, 1]) /_{(y,1)=\xi, y \in Y},$$

and we say that  $\bar{X}$  is a *topological manifold with conical singularities* if  $\bar{X}$  has a discrete subset  $S = \{\xi_k\}$  of conical singularities such that  $\bar{X} - S$  is a usual topological manifold (with or without boundary).

*Proof.* By Property 9(ii), for any  $o \in X$ , the set of rays from  $o$  can be topologically identified to a *closed* subset of the tangent sphere  $S_o X$  at  $o$ , and hence it is compact. Then, by Propositions 35 and 36, we deduce that the restriction of the Busemann map  $B_o : \mathcal{R}_o(X) / (\text{Busemann eq.}) \rightarrow \partial X$  is a homeomorphism. Moreover, the set of rays of  $X$  with origin  $o$  consists of all projections of half-geodesics from  $\tilde{o}$  in  $\tilde{X}$  staying in the Dirichlet domain, that is, whose boundary points belong to  $\partial D(G, \tilde{o})$ . Since by Proposition 34 the Busemann equivalence is the same as  $G$ -equivalence, this establishes the bijection (11). Notice that this is a homeomorphism, as the uniform topology on  $\mathcal{R}_o(X)$  corresponds to the sphere topology on (the subset of minimizing directions of)  $S_o X$ . Then, as  $G \setminus D(G, \tilde{o}) \cong X$ , the map  $b$  of Section 2.1 establishes the homeomorphism  $G \setminus \overline{D(G, \tilde{o})} \cong \bar{X}$ . Let us now make precise the structure of  $\bar{X}$  at its boundary points.

We know that  $\partial D(G, \tilde{o})$  is made up of ordinary points of  $\text{Ord } G$  and finitely many orbits of bounded parabolic points  $\xi_k$ ; let  $\partial_{\text{ord}} D(G, \tilde{o})$  the subset of ordinary points on the trace of the Dirichlet domain. Every ordinary point  $\xi \in \text{Ord } G$  has a neighborhood homeomorphic to a neighborhood of a boundary point of the closed, unitary Euclidean ball in  $T_o X$  centered at 0, and the action of  $G$  on  $\text{Ord } G$  is proper. So the space

$$X' = G \setminus (\tilde{X} \cup \text{Ord } G) = G \setminus [D(G, \tilde{o}) \cup \partial_{\text{ord}} D(G, \tilde{o})]$$

has a structure of ordinary topological manifold with boundary. This structure coincides with the uniform topology of the horofunction compactification, as a sequence  $(x_n)$  in  $D(G, \tilde{o})$  tends to an ordinary point  $\xi$  if and only if  $b_{x_n} \rightarrow B_\xi$ , by Proposition 35. Now,  $X'$  has a finite number of ends  $E_k$ , corresponding to the classes modulo  $G$  of the bounded parabolic points  $\xi_k$ ; we use the description of such ends, due to Bowditch, to figure out their horofunction compactification. Let  $P_k$  be the maximal parabolic subgroup associated with  $\xi_k$ , let  $H_k$  be some horosphere centered at  $\xi_k$ , with quotient  $Y_k = P_k \setminus H_{\xi_k}$ , and let  $X_k = P_k \setminus \tilde{X}$ .  $X_k$  is a geometrically finite manifold, with one orbit of parabolic points corresponding to  $\xi_k$ , and the manifold with boundary

$$X'_k = P_k \setminus (\tilde{X} \cup \text{Ord } P_k) = P_k \setminus [\overline{D(P_k, \tilde{o})} - \xi_k]$$

has one end *isometric to the end*  $E_k$ ; see [Bowditch 1995]. Topologically, we have  $X'_k = Y_k \times [0, \infty)$ . By [Belegradek and Kapovitch 2006],  $Y_k$  is a vector bundle over a compact manifold  $M_k$ , so let  $\mathcal{D}(Y_k)$  and  $\mathcal{S}(Y_k)$  be the associated closed disk and sphere bundles. The horofunction compactification of the end  $E_k$ , by (11), has just one point at infinity corresponding to  $\xi_k$ , and is homeomorphic to

$$C(\mathcal{T}(Y_k), \xi_k) = \frac{\mathcal{D}(Y_k) \times [0, \infty]}{(\mathcal{S}(Y_k) \times [0, \infty]) \cup (\mathcal{D}(Y_k) \times \{\infty\})} = \frac{\mathcal{T}(Y_k) \times [0, \infty]}{\mathcal{T}(Y_k) \times \{\infty\}},$$

the cone over the Thom space  $\mathcal{T}(Y_k) = \mathcal{D}(Y_k)/\mathcal{S}(Y_k)$  of  $Y_k$ , with vertex  $\xi_k$ ; actually, every sequence of points diverging in the end yields the same horofunction (the Busemann function of the projection to  $X_k$  of  $[\tilde{\partial}, \xi_k]$ , by Proposition 35). Notice that, on each fiber of  $Y_k$  over  $m \in M_k$ , the space

$$\frac{\mathcal{D}_m(Y_k) \times [0, \infty]}{(\mathcal{S}_m(Y_k) \times [0, \infty]) \cup (\mathcal{D}_m(Y_k) \times \{\infty\})}$$

is homeomorphic to the cone  $C(\mathcal{D}_m(Y_k), \xi_m(k))$  with base  $\mathcal{D}_m(Y_k)$  and vertex  $\xi_m(k)$ ; it follows that

$$C(\mathcal{T}(Y_k), \xi_k) \cong \frac{\bigcup_{m \in M_k} C(\mathcal{D}_m(Y_k), \xi_m(k))}{\bigcup_{m \in M_k} \xi_m(k)} \cong \frac{\mathcal{D}(Y_k) \times [0, \infty]}{\mathcal{D}(Y_k) \times \{\infty\}} = C(\mathcal{D}(Y_k), \xi_k)$$

is homeomorphic to the cone over the closed manifold (with boundary)  $\mathcal{D}(Y_k)$ . Clearly,  $\mathcal{T}(Y_k) = \mathcal{D}(Y_k) = Y_k = M_k$  if  $n = 2$ , and in this case  $C(\mathcal{D}(Y_k), \xi_k)$  is a closed topological disk; on the other hand, in dimension  $n \geq 3$ , this cone is always singular at  $\xi_k$  (since  $Y_k$  is not simply connected, the subset  $C(\mathcal{D}(Y_k), \xi_k) - \xi_k$  is not locally simply connected).  $\square$

**Examples 38** (the horofunction compactification of an unbounded cusp).

(i) Let  $X = P \setminus \mathbb{H}^3$ , where  $P$  is generated by a parabolic isometry  $p$  with fixed point  $\xi$ . In the Poincaré half-space model, assume that  $\xi$  is the point at infinity, fix some horosphere  $H_\xi$ , and choose an origin  $\tilde{\partial}$ . The Dirichlet domain  $D(P, \tilde{\partial})$  is an infinite vertical corridor, with parallel vertical walls  $W_1, W_2$  paired by  $p$ .  $X$  is homeomorphic to an open cylindrical shell, which is the product of the horosphere quotient  $Y = P \setminus H_\xi = \text{Cyl}$  (a flat infinite cylinder) with  $\mathbb{R}_+^*$ :

$$X = P \setminus D(P, \tilde{\partial}) \cong \text{Cyl} \times (0, \infty).$$

We may take  $\text{Cyl} \cong S^1 \times (-1, 1)$  with closure  $\overline{\text{Cyl}} = S^1 \times [-1, 1]$ . Then the manifold  $X'$  is

$$X' = P \setminus [\mathbb{H}^3 \cup \text{Ord } P] = P \setminus [\overline{D(P, \tilde{\partial})} - \xi] \cong \text{Cyl} \times [0, \infty),$$

the end of which corresponds to a neighborhood of the bases  $B^\pm = S^1 \times \{\pm 1\}$  of the cylinder and of the internal boundary  $\text{Cyl}^\infty = \text{Cyl} \times \{\infty\}$  of the shell (a solid

hourglass). The horofunction compactification is

$$\bar{X} \cong \overline{\text{Cyl}} \times [0, \infty] /_{(B^+ = B^- = \text{Cyl}^\infty)},$$

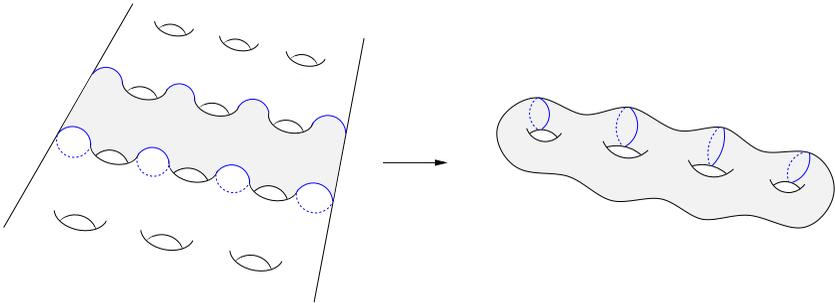
that is, a spindle solid torus whose center corresponds to the unique singular point at infinity of the compactification.

(ii) Let  $X = G \backslash \mathbb{H}^3$ , where  $G = \langle p, h \rangle$  is the free group generated by a parabolic isometry  $p$  and a hyperbolic isometry  $h$  in Schottky position. In this case, the Dirichlet domain is the same vertical corridor as above, minus two hemispherical caps (the attractive and repulsive domains of  $h$ ), and the horofunction compactification is the above spindle solid torus with a solid handle attached.

## 6. Examples

We present in this section some examples of two basic classes of complete, nongeometrically finite hyperbolic surfaces presenting the pathologies described in the [Introduction](#) (Theorems 1, 2, 4, and 5):

- **Hyperbolic ladders:** these are  $\mathbb{Z}$ -coverings of a hyperbolic closed surface  $\Sigma_g$  of genus  $g \geq 2$ , obtained by infinitely many copies of the base surface  $\Sigma_g$  cut along  $g$  simple, nonintersecting closed geodesics of a fundamental system, glued along the corresponding boundaries; see [Figure 2](#).
- **Hyperbolic flutes:** these are, topologically, spheres with infinitely many punctures  $e_i$  accumulating to one limit puncture  $e$ ; the surface thus has one end for each puncture  $e_i$  (called its *finite ends*), and an end corresponding to  $e$ , the *infinite end* of the flute. Geometrically, each end  $e_i$  other than  $e$  must be either a *cusps* (the quotient of a horoball  $H_\xi$  of  $\mathbb{H}^2$  by a parabolic subgroup  $P_\xi$  fixing the center  $\xi$  of  $H_\xi$ ) or a *funnel* (the quotient of a half-plane of  $\mathbb{H}^2$  by an infinite cyclic group of hyperbolic isometries).



**Figure 2.** Construction of ladders.

We obtain workable models of flutes via infinitely generated *Schottky groups*. Define the *attractive* and *repulsive* domains  $A(g, \tilde{o})$ ,  $A(g^{-1}, \tilde{o})$  of a parabolic or hyperbolic isometry  $g$ , with respect to some point  $\tilde{o} \in \mathbb{H}^2$ , respectively as

$$A(g^{\pm 1}, \tilde{o}) = \{x \in \mathbb{H}^2 \mid d(x, \tilde{o}) \geq d(x, g^{\pm 1}\tilde{o})\}.$$

We say that  $G$  is an infinitely generated Schottky group if it is generated by countably many hyperbolic isometries  $S = (g_n)$ , in *Schottky position with respect to some*  $\tilde{o} \in \mathbb{H}^2$ , that is,  $A(g_n^\epsilon, \tilde{o}) \cap A(g_m^{\epsilon'}, \tilde{o}) = \emptyset$  for all  $n, m$  and all  $\epsilon, \epsilon' \in \{\pm 1\}$ .

By a ping-pong argument, it follows that  $G$  is discrete and free over the generating set  $S$ ; moreover, its Dirichlet domain with respect to  $\tilde{o}$  is

$$D(G, \tilde{o}) = \mathbb{H}^2 \setminus \bigcup_{g_n \in S} (A(g_n, \tilde{o}) \cup A(g_n^{-1}, \tilde{o}))^o.$$

If the axes of the hyperbolic generators do not intersect and the domains  $A(g_n^{\pm 1}, \tilde{o})$  accumulate to one boundary point  $\zeta$  (or to different boundary points  $E = \{\zeta_k\}$ , all defining the same end of the quotient  $X = G \backslash \mathbb{H}^2$ ), then the resulting surface  $X = G \backslash \mathbb{H}^2$  is a hyperbolic flute: it has a cusp for every parabolic generator, a funnel for every hyperbolic generator, and an *infinite end* corresponding to  $\zeta$  (or to the set  $E$ ). For the construction of Schottky groups, we repeatedly make use of the following (see [Section A.3](#) for a proof):

**Lemma 39.** *Let  $\tilde{o} \in \mathbb{H}^2$ , and let  $C, C'$  be two ultraparallel geodesics (that is, with no common point in  $\mathbb{H}^2 \cup \partial\mathbb{H}^2$ ) such that  $d(\tilde{o}, C) = d(\tilde{o}, C')$ . Then:*

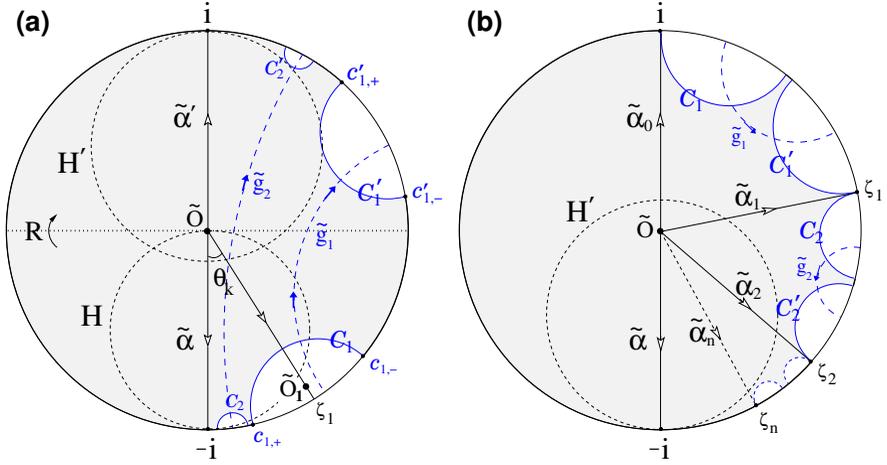
- (i) *There exists a unique hyperbolic isometry  $g$  with axis perpendicular to  $C, C'$  and such that  $g(C) = C'$ .*
- (ii)  *$g^{-1}\tilde{o}$  and  $g\tilde{o}$  are obtained, respectively, by the hyperbolic reflections of  $\tilde{o}$  with respect to  $C, C'$ .*
- (iii) *The Dirichlet domain  $D(g, \tilde{o})$  has boundary  $C \cup C'$ .*

**Example 40** (the asymmetric hyperbolic flute). We construct a hyperbolic flute  $X = G \backslash \mathbb{H}^2$  with two rays  $\alpha, \alpha'$  having the same origin, such that:

- (a)  $\alpha' \prec_G \alpha \not\prec_G \alpha'$  (that is,  $\alpha' \prec \alpha \not\prec \alpha'$ ); therefore,  $\alpha \not\approx_G \alpha'$  and  $B_\alpha \neq B_{\alpha'}$ ;
- (b)  $d_\infty(\alpha, \alpha') = \infty$ .

We use the disk model for  $\mathbb{H}^2$  with origin  $\tilde{o}$ . Let  $\tilde{o}' = -i/10$ , and consider the geodesics  $\tilde{\alpha} = [\tilde{o}, -i]$ ,  $\tilde{\alpha}' = [\tilde{o}, i]$ . Then let  $R$  be the reflection with respect to the real axis, and consider the horoballs  $H = H_{\tilde{\alpha}^+}(\tilde{o})$  and  $H' = H_{\tilde{\alpha}'^+}(\tilde{o}') \supset R(H)$ ; finally, choose some positive sequence  $\epsilon_k \searrow 0$ .

Let  $[\tilde{o}, \zeta_1]$  be a ray making angle  $\vartheta_1$  with  $\tilde{\alpha}$ , let  $\tilde{o}_1$  be the point on  $[\tilde{o}, \zeta_1]$  such that  $d(\tilde{o}_1, H) = \epsilon_1$ , and let  $C_1$  be the hyperbolic perpendicular bisector of the segment



**Figure 3.** Asymmetric and twisted flutes.

$[\tilde{\delta}, \tilde{\delta}_1]$ , with extremities  $c_{1,+}$  and  $c_{1,-}$ ; see Figure 3(a). Notice that, as  $\epsilon_1 > 0$ , the circle  $C_1$  does not intersect  $\tilde{\alpha}$  (the extremity  $c_{1,+}$  closest to  $\tilde{\alpha}^+$  coincides with  $\tilde{\alpha}^+$  if and only if  $\tilde{\delta}_1 \in \partial H$ ). Then, consider  $R(C_1)$  and rotate it clockwise around  $\tilde{\delta}$  until it is tangent to  $H'$ : call this new geodesic  $C'_1$  and its extremities  $c'_{1,+}$ ,  $c'_{1,-}$ .

Now let  $g_1$  be the hyperbolic isometry given by Lemma 39, with axis  $\tilde{g}_1$  perpendicular to  $C_1$ ,  $C'_1$ , and such that  $g_1(C_1) = C'_1$  and  $g_1^{-1}(\tilde{\delta}) = \tilde{\delta}_1$ . Then, construct  $g_2$  analogously; that is, choose a ray  $[\tilde{\delta}, \zeta_2]$ , for some  $\zeta_2$  between  $\tilde{\alpha}^+$  and  $c_{1,+}$ , making angle  $\vartheta_2 < \vartheta_1$  with  $\tilde{\alpha}$ ; call  $\tilde{\delta}_2$  the point on  $[\tilde{\delta}, \zeta_2]$  with  $d(\tilde{\delta}_2, H) = \epsilon_2$ ; and then let  $C_2, C'_2, \tilde{g}_2$  etc. be as before. Repeating this construction inductively, we obtain the infinitely generated group  $G = \langle g_1, g_2, \dots, g_k, \dots \rangle$ .

Moreover, choosing  $\vartheta_{k+1} \ll \vartheta_k$ , we can make the following conditions be satisfied:

$$(12) \quad A(g_n^\epsilon, \tilde{\delta}) \cap A(g_m^\tau, \tilde{\delta}) = \emptyset \quad \text{for all } n \neq m \text{ and } \epsilon, \tau \in \{\pm 1\},$$

$$(13) \quad U_n(\tilde{\alpha} \cup \tilde{\alpha}') \cap A(g_n^\epsilon, \tilde{\delta}) = \emptyset \quad \text{for all } n \in \mathbb{N} \text{ and } \epsilon \in \{\pm 1\},$$

where  $U_n(\tilde{\alpha} \cup \tilde{\alpha}')$  is the tubular neighborhood of  $\tilde{\alpha} \cup \tilde{\alpha}'$  of width  $n$ .

Condition (12) says that  $G$  is a discrete Schottky group. The quotient manifold  $X = G \backslash \mathbb{H}^2$  is a hyperbolic flute, with infinite end corresponding to the set  $E = \{\tilde{\alpha}^+, \tilde{\alpha}'^+\}$ . Let  $\alpha$  and  $\alpha'$  be projections of  $\tilde{\alpha}$ ,  $\tilde{\alpha}'$  to  $X$ , with common origin  $o$ : they are rays, as their lifts stay in  $D(G, \tilde{\delta})$  by construction.

*Proof of Properties 40(a) and 40(b).* By construction,  $\alpha \succ_G \alpha'$  as  $g_n \tilde{\alpha}^+ \rightarrow \tilde{\alpha}'^+$  and  $B_{\tilde{\alpha}}(\tilde{\delta}, g_n^{-1} \tilde{\delta}) \rightarrow 0$ . On the other hand, for every sequence  $h_k \in G$  such that

$h_k \tilde{\alpha}'^+ \rightarrow \tilde{\alpha}^+$ , the points  $h_k^{-1} \tilde{\delta}$  definitely lie in some of the attractive domains  $A(g_n, \tilde{\delta})$ , which are exterior to  $H'$ : thus,  $B_{\tilde{\alpha}'}(\tilde{\delta}, h_k^{-1} \tilde{\delta}) \geq \frac{1}{10}$  and does not tend to 0. This proves that  $\alpha \not\sim_G \alpha'$ . The other assertions in (a) follow from the construction of  $G$  and [Theorem 28](#). For (b), assume that  $d_\infty(\alpha, \alpha') < M$ : then we could find arbitrarily large  $t, t'$  and  $g_t \in G$  such that  $d(\tilde{\alpha}(t), g_t \tilde{\alpha}'(t')) < M$ . Let then  $g_{n(t)}$  be the generator such that  $g_t \tilde{\alpha}' \subset A(g_{n(t)}^\epsilon, \tilde{\delta})$ , for some  $\epsilon \in \{\pm 1\}$ . By (13), we deduce that  $d(\tilde{\alpha}(t), g_t \tilde{\alpha}'(t')) \geq d(\tilde{\alpha}, A(g_{n(t)}^\epsilon, \tilde{\delta})) \geq n(t)$ , which shows that we necessarily have  $n(t) = n$  for infinitely many, arbitrarily large  $t$ . Hence

$$\limsup_{t \rightarrow +\infty} d(\tilde{\alpha}(t), g_t \tilde{\alpha}'(t')) \geq \limsup_{t \rightarrow +\infty} d(\tilde{\alpha}(t), A(g_n^\epsilon, \tilde{\delta})) = \infty,$$

a contradiction. □

**Example 41** (the symmetric hyperbolic flute). We construct a hyperbolic flute  $X = \widehat{G} \backslash \mathbb{H}^2$  with two rays  $\alpha, \alpha'$  having the same origin, such that:

- (a)  $\alpha <_{\widehat{G}} \alpha'$  (that is,  $\alpha < \alpha'$ ); therefore,  $B_\alpha = B_{\alpha'}$ .
- (b)  $\alpha \not\sim_G \alpha'$ .
- (c)  $d_\infty(\alpha, \alpha') = \infty$ .

Let  $G = \langle g_1, \dots, g_n, \dots \rangle$  be the group constructed in [Example 40](#), and let  $S$  be the symmetry with respect to  $\tilde{\delta}$ . Then, for every  $n$ , consider the hyperbolic translation  $\hat{g}_n$  having axis  $S[\tilde{g}_n]$  and attractive/repulsive domains  $A(\hat{g}_n^{\pm 1}, \tilde{\delta}) = S[A(g_n^{\pm 1}, \tilde{\delta})]$ , and define  $\widehat{G} = \langle g_1, \hat{g}_1, \dots, g_n, \hat{g}_n, \dots \rangle$ .

Notice that, by symmetry, all these generators again satisfy the conditions (12) and (13), so  $\widehat{G}$  is a discrete Schottky group. Again, the quotient manifold  $X = \widehat{G} \backslash \mathbb{H}^2$  is a hyperbolic flute with infinite end corresponding to the set  $E = \{\tilde{\alpha}^+, \tilde{\alpha}'^+\}$ , and with the same notations as above, the projections  $\alpha$  and  $\alpha'$  on  $X$  are rays.

*Proof of Properties (a)–(c) in Example 41.* We deduce as before that  $\alpha \succ_{\widehat{G}} \alpha'$ ; but now we also have the sequence  $\hat{g}_n$  such that  $\hat{g}_n \tilde{\alpha}'^+ \rightarrow \tilde{\alpha}^+$  and  $B_{\alpha'}(\tilde{\delta}, \hat{g}_n^{-1} \tilde{\delta}) \rightarrow 0$ , so  $\alpha' \succ_{\widehat{G}} \alpha$  too. As the rays  $\alpha$  and  $\alpha'$  have a common origin, [Theorem 28](#) implies that  $B_\alpha = B_{\alpha'}$ . Again, assertion (b) follows by construction, and (c) is proved as before. □

**Example 42** (the twisted hyperbolic flute). We construct a hyperbolic flute  $X = G \backslash \mathbb{H}^2$  with a family of rays  $\alpha_n$  having same origin and converging to a ray  $\alpha$  such that:

- (a)  $\alpha_n \approx_G \alpha_m$  for all  $n, m$ ; therefore,  $d_\infty(\alpha_n, \alpha_m) < \infty$  and  $B_{\alpha_n} = B_{\alpha_m}$ .
- (b)  $d_\infty(\alpha_n, \alpha) = \infty$  for all  $n$ .
- (c)  $B_{\alpha_0} = \lim_{n \rightarrow +\infty} B_{\alpha_n} \neq B_\alpha$ .

Again, in the disk model for  $\mathbb{H}^2$  with origin  $\tilde{o}$ , consider a sequence of boundary points  $\zeta_0 = i$ ,  $\zeta_n = e^{i\vartheta_n}$ , for a decreasing sequence  $\pi/2 \geq \vartheta_n \searrow -\pi/2$ . Then, for every  $n \geq 1$ , choose a pair of ultraparallel geodesics  $C_n, C'_n$  such that  $d(\tilde{o}, C_n) = d(\tilde{o}, C'_n) = d_n$ , both contained in the disk sector  $[\zeta_{n-1}, \tilde{o}, \zeta_n]$ , and with points at infinity respectively equal to  $\zeta_{n-1}, \zeta_n$ . Finally, let  $g_n$  be the hyperbolic isometry with  $g_n(C_n) = C'_n$  whose axis is perpendicular to  $C_n, C'_n$ , given by [Lemma 39](#) — see [Figure 3\(b\)](#) — and set  $\tilde{\alpha}_n = [\tilde{o}, \zeta_n]$ ,  $\tilde{\alpha} = [\tilde{o}, -i]$ .

Moreover, if  $H' = H_{\tilde{\alpha}^+}(\tilde{o}')$  for  $\tilde{o}' = i/10$ , we can choose the  $d_n \gg 0$  in order that the following condition be satisfied:

$$(14) \quad H' \cap A(g_n^{\pm 1}, \tilde{o}) = \emptyset, \quad \text{for all } n.$$

Define  $G$  as the group generated by all the  $g_n$ . Again,  $G$  is an infinitely generated Schottky group, and the quotient manifold  $X = G \backslash \mathbb{H}^2$  is a flute whose infinite end corresponds to the set  $E = \{\tilde{\alpha}^+, \tilde{\alpha}_n^+ \mid n \geq 0\}$ . The projections  $\alpha_n$  and  $\alpha$  of all the  $\tilde{\alpha}_n, \tilde{\alpha}$  on  $X$  are rays, by construction, such that  $\alpha_n \rightarrow \alpha$ .

*Proof of Properties 42(a) and 42(c).* The rays  $\alpha_n$  are all  $G$ -equivalent by construction, as  $\tilde{\alpha}_n^+ = g_n \tilde{\alpha}_{n-1}^+$  for all  $n$ . The other assertions in (a) follow from the discussion after [Definition 27](#) (actually, as we are in strictly negative curvature, we have  $d_\infty(\alpha_n, \alpha_m) = 0$ ). On the other hand, by (14), all the images by  $G$  of  $\tilde{\alpha}_n$  are exterior to the horoball  $H'$ , except for  $\tilde{\alpha}_n$  itself; thus if  $s \gg 0$ , we have  $d(g\tilde{\alpha}_n, \tilde{\alpha}(s)) > s$  for all  $g$ . It follows that  $d_\infty(\alpha_n, \alpha) \geq \frac{1}{2} \limsup_{s \rightarrow +\infty} \inf_{g \in G} d(g\tilde{\alpha}_n, \tilde{\alpha}(s)) = +\infty$ . To conclude, we have to prove that  $B_{\alpha_0} \neq B_\alpha$ , and by [Theorem 28](#), it is enough to show that  $\alpha \not\prec_G \alpha_0$ . But for any sequence  $h_n$  with  $h_n \tilde{\alpha}^+ \rightarrow \tilde{\alpha}_0^+$ , we have  $B_{\tilde{\alpha}}(\tilde{o}, h_n \tilde{o}) < -\frac{1}{10}$ , since by construction this is true for all nontrivial  $g$  in  $G$ .  $\square$

**Remark 43.** The discontinuity (c) can be interpreted geometrically as follows. Consider the maximal horoballs  $H_{\tilde{\alpha}_n^+}^{\max}(o')$ ,  $H_{\tilde{\alpha}_0^+}^{\max}(o')$ , for the projection  $o'$  of  $\tilde{o}'$ . It is easy to see that  $H_{\tilde{\alpha}_n^+}^{\max}(o') = H_{\tilde{\alpha}_n^+}(\tilde{o}')$ , as all the  $g\tilde{o}'$ , for  $g \neq 1$ , stay far away from  $H'$ , by construction. Moreover, since  $o' \in \alpha_0$  and  $\alpha_0$  is a ray, we also deduce that

$$H_{\tilde{\alpha}_n^+}^{\max}(o') = H_{\tilde{\alpha}_0^+}(\tilde{o}')$$

precisely. Now  $B_{\alpha_n}(o, o') = B_{\alpha_0}(o, o')$ , so formula (5) shows that

$$d(\tilde{o}, H_{\tilde{\alpha}_n^+}^{\max}(o')) = d(\tilde{o}, H_{\tilde{\alpha}_0^+}^{\max}(o'));$$

then, by rotational symmetry,  $H_{\tilde{\alpha}_n^+}^{\max}(o')$  is the horoball centered at  $\tilde{\alpha}_n^+$  having the same Euclidean radius as  $H_{\tilde{\alpha}_0^+}(\tilde{o}')$ . Therefore, the discontinuity can be read in terms of a discontinuity in the limit of the maximal horoballs: in fact, the  $H_{\tilde{\alpha}_n^+}^{\max}(o')$ 's converge for  $n \rightarrow \infty$  to  $H_{\tilde{\alpha}^+}(-\tilde{o}')$ , which is strictly smaller than the maximal horoball  $H_{\tilde{\alpha}^+}(\tilde{o}')$  of the limit ray.

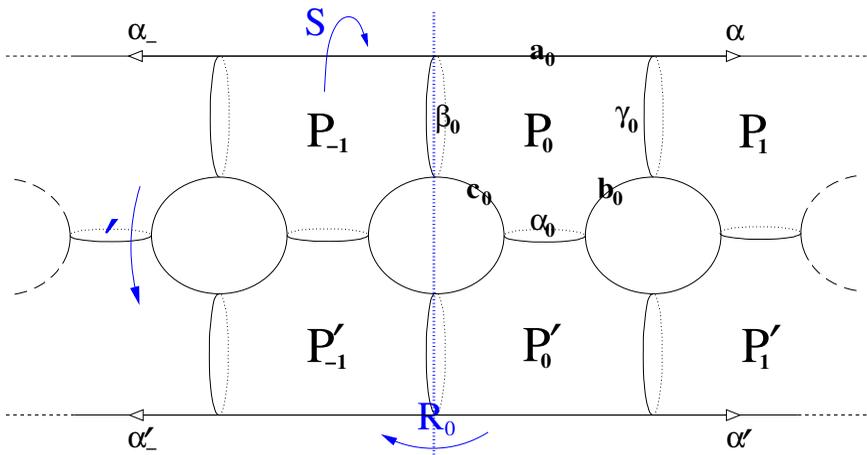
**Example 44** (the hyperbolic ladder). We construct a hyperbolic ladder that is a Galois covering  $X \rightarrow \Sigma_2$  of a hyperbolic surface of genus 2, with automorphism group  $\Gamma \cong \mathbb{Z}$ , such that:

- (a)  $X$  has distance-asymptotic rays  $\alpha, \alpha'$  with  $\alpha \prec \alpha'$ , but  $B_\alpha \neq B_{\alpha'}$ .
- (b)  $\mathcal{B}X$  consists of 4 points.
- (c)  $\partial X$  consists of a continuum of points.
- (d) The limit set  $L\Gamma = \overline{\Gamma x_0} \cap \partial X$  depends on the choice of the base point  $x_0$ , and for some  $x_0$  it is included in  $\partial X - \mathcal{B}X$ .

We construct  $X$  by gluing infinitely many pairs of hyperbolic pants. The following properties of hyperbolic pants are well-known:

**Lemma 45** [Fathi et al. 1979; Thurston 1997]. *Let  $H^+, H^-$  be two identical right-angled hyperbolic hexagons, with alternating edges labeled by  $a^\pm, b^\pm, c^\pm$  and opposite edges by  $\alpha^\pm, \beta^\pm, \gamma^\pm$ . Let  $P$  be the hyperbolic pant obtained by gluing them along  $a^\pm, b^\pm, c^\pm$ ; the identified edges  $a, b, c$  are called the seams of  $P$ , and the resulting boundaries  $\alpha = \alpha^+ \cup \alpha^-, \beta = \beta^+ \cup \beta^-, \gamma = \gamma^+ \cup \gamma^-$  of  $P$  are closed geodesics called the cuffs. The seams are the shortest geodesic segments connecting the cuffs of  $P$  and, reciprocally, the cuffs are the shortest ones connecting the seams.*

Now, we start from infinitely many copies  $P_n, P'_n$ , for  $n \in \mathbb{Z}$ , of the same pair of pants  $P$ , and we assume that  $\ell(b) = \ell(c) = L > \ell = \ell(a)$ . We glue them, as in Figure 4, by identifying via the identity the cuffs  $\alpha_n$  with  $\alpha'_n$ , and the cuffs  $\beta_n, \beta'_n$  with  $\gamma_{n-1}, \gamma'_{n-1}$  respectively (with no twist), obtaining a complete hyperbolic surface  $X = N \setminus \mathbb{H}^2$ . Note that, if  $\Sigma_2 = G \setminus \mathbb{H}^2$  is the hyperbolic surface obtained from  $P_0 \cup P'_0$  by identifying  $\alpha_0$  to  $\alpha'_0$  and  $\beta_0, \beta'_0$  respectively to  $\gamma_0, \gamma'_0$ , there is a



**Figure 4.** The hyperbolic ladder.

natural covering projection  $X \rightarrow \Sigma_2$ , with automorphism group  $\Gamma \cong \mathbb{Z} \cong G/N$ . The group  $\Gamma$  acts on  $X$  by “translations”  $T_k$ , sending  $P_n \cup P'_n$  into  $P_{n+k} \cup P'_{n+k}$ .

We define  $\alpha = \bigcup_{k \geq 0} a_k$ ,  $\alpha_- = \bigcup_{k < 0} a_k$ ,  $\alpha' = \bigcup_{k \geq 0} a'_k$ , and  $\alpha'_- = \bigcup_{k < 0} a'_k$ , and set  $\mathcal{A} = \alpha \cup \alpha_-$ , and  $\mathcal{A}' = \alpha' \cup \alpha'_-$ . Notice that the surface  $X$  is also endowed of:

- A natural *flip symmetry*, denoted  $'$ , obtained by sending a point in  $P_k$  to the corresponding point in  $P'_k$ ; let  $\mathcal{F} = \text{Fix}(\cdot)$  and call the *top* and the *bottom* of  $X$  the (closure of) the two connected components of  $X - \mathcal{F}$  interchanged by  $'$ .
- A natural *mirror symmetry*  $S$ , obtained by interchanging each point on a pant  $P_k$  (resp.  $P'_k$ ) with the corresponding point lying on the same pant, but on the opposite hexagon; if  $\mathcal{M} = \bigcup_{k \in \mathbb{Z}} b_k \cup b'_k \cup c_k \cup c'_k$ , we have  $\text{Fix}(S) = \mathcal{A} \cup \mathcal{A}' \cup \mathcal{M}$ , and we call the *back* and the *front* of  $X$  the closure of the two connected components of  $X - \text{Fix}(S)$  interchanged by  $S$ .
- A group of *reflections*  $R_n$  with respect to  $\beta_n \cup \beta'_n$ , exchanging  $P_{n+k} \cup P'_{n+k}$  with  $P_{n-k-1} \cup P'_{n-k-1}$ .

**Lemma 46.** (i) *No minimizing geodesic crosses  $\mathcal{A}$ ,  $\mathcal{A}'$ ,  $\mathcal{F}$ , or  $\mathcal{M}$  twice.*

(ii) *Every quasiray is strongly asymptotic to one of the four rays  $\alpha$ ,  $\alpha_-$ ,  $\alpha'$ ,  $\alpha'_-$ .*

*Proof.* (i) Assume that  $\gamma$  is a minimizing geodesic between  $x$  and  $y$ , crossing  $\mathcal{A}$  twice, at two points  $x_1, y_1$ . Break it as  $\gamma = \gamma_1 \cup [x_1, y_1] \cup \gamma_2$ , where  $[x_1, y_1]$  is the subsegment between  $x_1$  and  $y_1$ . Then, using the mirror symmetry  $S$ , we would obtain a curve  $\hat{\gamma} = \gamma_1 \cup S[x_1, y_1] \cup \gamma_2$  of the same length, still connecting  $x$  to  $y$ , but singular at  $x_1$  and  $y_1$ ; hence, it could be shortened, which is a contradiction. The proof is the same for  $\mathcal{A}'$ ,  $\mathcal{M}$ , and using the flip symmetry  $'$ , one analogously proves that a minimizing geodesic cannot twice cross  $\mathcal{F}$ .

For (ii), let us first show that, if  $\gamma$  is a quasiray included, say, in the top-front of  $X$ , then either  $d_\infty(\gamma, \alpha) = 0$  or  $d_\infty(\gamma, \alpha_-) = 0$ . Actually, assume that  $p_n = \gamma(t_n)$  is a sequence such that  $d(p_n, \mathcal{A}) > \epsilon$ , for  $n \geq 0$  and  $t_n \rightarrow \infty$ . Consider the projections  $q_n$  of  $p_n$  on  $\mathcal{A}$ , which we may assume to be at distance  $d(q_n, q_{n+1}) \gg 0$ ; as  $\gamma$  is included in a simply connected open set of  $X$  containing the bi-infinite geodesic  $\mathcal{A}$ , we can use hyperbolic trigonometry (see [Lemma 49](#) in [Section A.3](#)) to deduce that  $\ell(\gamma|_{[t_n, t_{n+1}]}) \geq q_n q_{n+1} + \delta(\epsilon)$ , for a universal function  $\delta(\epsilon) > 0$ .

As  $p_0 p_n \leq q_0 q_n + 2 \text{diam } P$ , we obtain

$$\Delta(\gamma|_{[t_0, t_N]}) \geq \sum_{n=0}^{N-1} q_n q_{n+1} + N\delta(\epsilon) - q_0 q_N - 2 \text{diam } P = N\delta(\epsilon) - 2 \text{diam } P,$$

which diverges as  $N \rightarrow \infty$ ; so  $\Delta(\gamma)$  is not bounded, a contradiction. As  $\epsilon$  is arbitrary, this shows that  $\gamma$  is strongly asymptotic either to  $\alpha$  or to  $\alpha_-$ . Finally, if  $\gamma$  is a quasiray that is not included in the top-front of  $X$ , we can use the symmetries  $S$  and  $'$  to define, from  $\gamma$ , a curve  $\hat{\gamma}$  fully included in the top-front of  $X$ , by reflecting

the subsegments that do not lie in the top-front of  $X$ . This new curve still has bounded excess (as it has the same length as  $\gamma$  on every interval, and the distance between endpoints is reduced by at most  $2 \operatorname{diam} P$ ), so as just proved, it is strongly asymptotic either to  $\alpha$  or to  $\alpha_-$ . In particular,  $\hat{\gamma}$  finally does not intersect  $C$ ; so  $\gamma|_{[t_0, +\infty]}$  for some  $t_0 \gg 0$  is included in an  $\epsilon$ -neighborhood of  $\mathcal{A}$ , for arbitrary  $\epsilon$ , and therefore it is strongly asymptotic to one of the four rays  $\alpha, \alpha_-, \alpha', \alpha'_-$ .  $\square$

*Proof of Properties (a)–(d) in Example 44.* The geodesic segments  $a_n$  are the shortest curves connecting the cuffs  $\beta_n, \gamma_n$  of  $P_n$ : this implies that  $\alpha$  cannot be shortened, so it is a ray; similarly for  $\alpha'$ . Let now  $x_0 = a_0 \cap \beta_0$ ,  $x_n = T_n(x_0)$  and let  $x'_n$  be their flips; finally, consider a sequence of minimizing segments  $\eta_n = [x_0, x'_{2n}]$  and their inverse paths  $-\eta_n$ . By (i) above, we know that  $\eta_n$  is included in the front (or the back) of  $X$ ; moreover, it can be broken as  $\eta_n = \eta_n^t \cup \eta_n^b$ , where  $\eta_n^t, \eta_n^b$  are subsegments in the top and bottom of  $X$ , respectively, meeting at some  $p_n \in \mathcal{F}$ . Therefore, each of these segments stays in a simply connected open set of  $X$ , isometric to an open set of  $\mathbb{H}^2$ ; then, since  $d(p_n, \alpha) = d(p_n, \alpha') < \operatorname{diam} P$ , we can apply standard hyperbolic trigonometry to deduce that  $\eta_n$  makes an angle  $\vartheta_n$  with either  $\alpha$  or  $\alpha'$ , such that

$$\tan \vartheta_n \leq \frac{\tanh(\operatorname{diam} P)}{\sinh(n\ell)} \rightarrow 0, \quad \text{for } n \rightarrow \infty.$$

By possibly replacing  $\eta_n$  with  $R_n(-\eta_n)'$ , we find a sequence of minimizing segments  $[x_0, x'_{2n}] \rightarrow \alpha$ , and hence  $\alpha' \succ \alpha$ . The converse relation  $\alpha \succ \alpha'$  is analogous. Let us now show that  $B_\alpha \neq B_{\alpha'}$ . It is enough to show that  $B_\alpha(x_0, x'_0) > 0$ ; then clearly, by the flip symmetry, we deduce  $B_{\alpha'}(x_0, x'_0) = B_\alpha(x'_0, x_0) < 0$ . Let us compute  $B_\alpha(x_0, x'_0) = \lim_{n \rightarrow \infty} x_0 x_n - x_n x'_0$ . Let  $v_n = [x_n, x'_0]$  be a minimizing segment intersecting  $\mathcal{F}$  at some  $p \in \hat{\alpha}_k$ , and break it as  $v_n = v_n^t \cup \hat{v}_n \cup v_n^b$ , where  $\hat{v}_n$  is the maximal subsegment of  $v_n$  included in  $P_k \cup P'_k$ ; then

$$x_n x'_0 \geq \ell(v_n^t) + d(\gamma_k, \beta'_k) + \ell(v_n^b) \geq (n-1)\ell + 2L \geq (n+1)\ell,$$

while clearly  $x_0 x_n = n\ell$ ; so  $B_\alpha(x_0, x'_0) \geq \ell$ .

(b) One proves analogously that  $\alpha_-$  and  $\alpha'_-$  are rays defining different Busemann functions, while it is clear that  $B_\alpha$  and  $B_{\alpha'}$  are different from  $B_{\alpha_-}$ ,  $B_{\alpha'_-}$ . Therefore,  $\mathcal{B}X$  has at least four points. On the other hand, by Lemma 46(ii), every quasiray in  $X$  is strongly asymptotic to one of the four above, thus defining the same Busemann function. This shows that  $\mathcal{B}X$  has precisely four points.

(c), (d) Clearly, the orbits  $\Gamma x_0$  and  $\Gamma x'_0$  accumulate to  $B_\alpha$  and  $B_{\alpha'}$ . Let now  $x(t)$  be a continuous curve from  $x_0 = x(0)$  to  $x'_0 = x(1)$ , and set  $x_n(t) = T_n(x(t))$ . For any fixed  $t$ , let  $B_{(x_n)(t)}$  be the limit of (a subsequence of)  $x_n(t)$  for  $n \rightarrow \infty$ . The family  $B_{(x_n)(t)}$  defines a continuous curve in  $\partial X$  connecting  $B_\alpha$  to  $B_{\alpha'}$ , as  $\|B_{(x_n)(t)} - B_{(x_n)(s)}\|_\infty \leq 2d(x_n(t), x_n(s))$ ; since it is nonconstant, its image is an

uncountable subset of  $\partial X$ . It remains to exhibit an orbit accumulating to a point of  $\partial X \setminus \mathcal{B}X$ . Let  $y_0 \in \alpha_0$ : we affirm that  $y_n = T_n y_0$  is such an orbit. Actually, if  $y_n$  converged to one of the four Busemann functions, say  $B_\alpha$ , then we would also have  $y_n = y'_n \rightarrow B_{\alpha'}$ , as the flip symmetry preserves the orbit and exchanges  $\alpha$  with  $\alpha'$ . Hence we would get  $B_\alpha = B_{\alpha'}$ , a contradiction.  $\square$

**Remark 47.** The surface  $X$  is quasi-isometric to  $\mathbb{Z}$ , and hence it is a Gromov-hyperbolic metric space. Its *boundary as a Gromov-hyperbolic space*  $X^g(\infty)$  [Bridson and Haefliger 1999; Papadopoulos 2005] consists of two points. So the Busemann boundary and the horoboundary prove to be finer invariants than  $X^g(\infty)$  (as they are not defined up to bounded functions, so they are not invariant by quasi-isometries).

## Appendix

### A.1. Rays on Riemannian manifolds.

**Lemma 48.** *Let  $\beta$  be a quasiray and let  $x, y \in X$  be such that  $B_\beta(x, y) = d(x, y)$ . Then:*

- (i)  $x$  and  $y$  minimize the distance between the horospheres  $H_{\beta^+}(x)$  and  $H_{\beta^+}(y)$ ;
- (ii)  $y$  is the only projection to  $H_{\beta^+}(y)$  of every  $z \in [x, y]$ , except possibly for  $x$ .

**Proposition 14.** *For any quasiray  $\beta$ , we have  $B_\beta(x, y) = d(x, y) \Leftrightarrow \vec{x\hat{y}} \prec \beta$ . In particular, if  $B_\beta(x, y) = d(x, y)$ , then the extension of any minimizing segment  $[x, y]$  beyond  $y$  is always a ray.*

**Theorem 16.** *Assume that  $\alpha, \beta$  are rays in  $X$  with origins  $a, b$ , respectively. The following conditions are equivalent:*

- (i)  $B_\alpha(x, y) = B_\beta(x, y)$  for all  $x, y \in X$ ;
- (ii)  $\alpha \prec \beta$  and  $B_\alpha(a, b) = B_\beta(a, b)$ ;
- (iii)  $\alpha$  and  $\beta$  are visually equivalent from every  $o \in X$ .

*Proof of Lemma 48.* (i) follows from the fact that any two points  $x', y'$  in  $H_{\beta^+}(x)$ ,  $H_{\beta^+}(y)$ , respectively, satisfy  $d(x', y') \geq B_\beta(x', y') = B_\beta(x, y) = d(x, y)$ . In particular,  $y$  is a projection to  $H_{\beta^+}(y)$  of any point  $z \in [x, y]$ , as

$$xz + zy = xy = d(x, H_{\beta^+}(y)) \leq xz + d(z, H_{\beta^+}(y)).$$

Moreover, let  $z \in [x, y]$  and  $z \neq x$  and assume that  $q$  is a projection of  $z$  on  $H_\beta(y)$  other than  $y$ . Then the angle between  $[x, z]$  and  $[z, q]$  would be different from  $\pi$ ; hence  $xq < xz + zq$  and

$$d(x, H_{\beta^+}(y)) < xz + zq = xz + zy = d(x, H_{\beta^+}(y)),$$

a contradiction.  $\square$

*Proof of Proposition 14.* Let  $\alpha = \overrightarrow{x\bar{y}}$  with  $x = \alpha(0)$ ,  $y = \alpha(\bar{s})$ . Assume  $\alpha < \beta$ . Then there exist minimizing geodesic segments  $\alpha_n = [a_n, b_n] \rightarrow \alpha$  such that  $a_n = \alpha_n(0) \rightarrow x$  and  $b_n = \alpha_n(s_n) = \beta(t_n) \rightarrow \beta^+$ , for sequences  $s_n, t_n \rightarrow +\infty$ . Let  $s$  be fixed and  $\epsilon$  arbitrary. There exists  $N(s, \epsilon)$  such that  $d(\alpha_n(s), \alpha(s)) < \epsilon$  and  $d(a_n, x) < \epsilon$  for  $n > N(s, \epsilon)$ ; therefore

$$B_\beta(x, \alpha(s)) = \lim_{n \rightarrow \infty} x b_n - b_n \alpha(s) \simeq_\epsilon \lim_{n \rightarrow \infty} x b_n - b_n \alpha_n(s) = s,$$

and as  $\epsilon$  is arbitrary, this shows that  $B_\beta(x, \alpha(s)) = s = d(x, \alpha(s))$  for all  $s$ , and hence  $B_\beta(x, y) = d(x, y)$ . Conversely, assume that  $B_\beta(x, y) = d(x, y)$ . Then

$$s = \bar{s} - (\bar{s} - s) \leq B_\beta(x, y) - B_\beta(\alpha(s), y) = B_\beta(x, \alpha(s)) \leq s,$$

for all  $s \in [0, \bar{s}]$ , and we deduce that  $B_\beta(x, x') = d(x, x')$  for all  $x, x'$  on  $\alpha$  between  $x$  and  $y$ . Now, fix  $0 < \epsilon < \bar{s}$  and consider minimizing geodesic segments  $\alpha_n^\epsilon = [\alpha(\epsilon), \beta(n)]$ ; up to a subsequence, they converge, for  $n \rightarrow \infty$ , to a ray  $\alpha^\epsilon$  that is, by definition, a coray of  $\beta$ . So (as we previously proved)

$$B_\beta(\alpha(\epsilon), \alpha^\epsilon(s)) = B_{\alpha^\epsilon}(\alpha(\epsilon), \alpha^\epsilon(s)) = s,$$

for all  $s > 0$ . But then, for  $s > \epsilon$ ,  $\alpha(s)$  and  $\alpha^\epsilon(s - \epsilon)$  are both projections of  $\alpha(\epsilon)$  to the horosphere  $H_{\beta^+}(\alpha(s))$  and, by Lemma 48(ii), we know that they coincide. This shows that  $\alpha^\epsilon = \alpha|_{\epsilon, +\infty}$  and that  $\alpha_n^{\epsilon'}(0)$  tend to  $\alpha'(\epsilon)$ , for every fixed  $\epsilon > 0$ ; by a diagonal argument, we then build a sequence of minimizing geodesic segments  $\alpha_k = \alpha_{n_k}^{\epsilon_k}$ , for  $\epsilon_k \rightarrow 0$  and  $n_k \rightarrow +\infty$ , such that  $\alpha_k \rightarrow \alpha$ . Thus  $\alpha < \beta$ .  $\square$

*Proof of Theorem 16.* Let us show that (a)  $\Rightarrow$  (b). Assume that  $B_\alpha = B_\beta$ , and let  $b = \beta(0)$ ,  $y = \beta(t)$ . As  $B_\alpha(b, y) = B_\beta(b, y) = d(b, y)$ , we deduce by Proposition 14 that  $\beta < \alpha$ . One proves that  $\alpha < \beta$  analogously.

Conversely, let us show that (b)  $\Rightarrow$  (a). Assume that  $\alpha < \beta$ , so we have geodesic segments  $\alpha_n = [a_n, b_n] \rightarrow \alpha$  with  $a_n = \alpha_n(0) \rightarrow a$  and  $b_n = \beta(t_n) = \alpha_n(s_n) \rightarrow \beta^+$ ; moreover, let  $N(s, \epsilon)$  as before such that  $d(\alpha_n(s), \alpha(s)) < \epsilon$  for  $n > N(s, \epsilon)$ . Then, for every  $x$  and  $n > N(s, \epsilon)$ ,

$$a\alpha(s) - \alpha(s)x \simeq_\epsilon s - \alpha_n(s)x \leq s - (b_n x - b_n \alpha_n(s)),$$

and, as  $b_n \alpha_n(s) = s_n - s$ , we deduce that

$$a\alpha(s) - \alpha(s)x \lesssim_\epsilon s_n - b_n x = (s_n - t_n) + (t_n - b_n x) \leq B_\beta(a_n, b) + B_\beta(b, x),$$

by monotonicity of the Busemann cocycle. Taking limits for  $s \rightarrow \infty$ , we deduce that  $B_\alpha(a, x) \lesssim_\epsilon B_\beta(a, x)$  for all  $x$  and, as  $\epsilon$  is arbitrary,  $B_\alpha(a, x) \leq B_\beta(a, x)$ . From  $\beta < \alpha$ , we deduce analogously that  $B_\beta(b, x) \leq B_\alpha(b, x)$ . Therefore,

$$B_\beta(b, x) \leq B_\alpha(b, x) = B_\alpha(b, a) + B_\alpha(a, x) \leq B_\alpha(b, a) + B_\beta(a, b) + B_\beta(b, x),$$

and since  $B_\alpha(b, a) = B_\beta(b, a)$ , we get the conclusion.

Let us now prove that (a)  $\Rightarrow$  (c). Assume again that  $B_\alpha = B_\beta$ , and let  $o \in X$ . Let  $\gamma$  be a limit of (a subsequence of) geodesic segments  $\gamma_n = [o, \alpha(n)]$ ; then  $\gamma$  is a ray (by [Properties 9](#)) and, by definition, is a coray to  $\alpha$ . Then, by [Proposition 14](#),

$$B_\beta(o, \gamma(t)) = B_\alpha(o, \gamma(t)) = d(o, \gamma(t)),$$

which, by the same proposition, also implies that  $\gamma < \beta$ .

Finally, let us show that (c)  $\Rightarrow$  (a). The functions  $B_\alpha(a, \cdot)$  and  $B_\beta(b, \cdot)$  are Lipschitz, and hence differentiable almost everywhere. Let  $o$  be a point of differentiability for both  $B_\alpha(a, \cdot)$  and  $B_\beta(b, \cdot)$ , and let  $\gamma$  be a ray from  $o$  that is a coray to  $\alpha$  and  $\beta$ . Then  $B_\alpha(o, \gamma(t)) = d(o, \gamma(t)) = B_\beta(o, \gamma(t))$  for all  $t$ , which implies that  $\text{grad}_o B_\alpha(a, \cdot) = \gamma'(0) = \text{grad}_o B_\beta(b, \cdot)$ . So  $B_\alpha(a, \cdot)$  and  $B_\beta(b, \cdot)$  are Lipschitz functions whose gradient is equal almost everywhere, and hence they differ by a constant and  $B_\alpha = B_\beta$ .  $\square$

## A.2. Rays on Hadamard spaces.

**Proposition 18.** *Let  $\tilde{X}$  be a Hadamard space.*

(i) *If  $\alpha, \beta$  are rays, then  $d_\infty(\alpha, \beta) < \infty \Leftrightarrow B_\alpha = B_\beta \Leftrightarrow \alpha < \beta$ .*

*Moreover, two rays with the same origin are Busemann equivalent if and only if they coincide, so the restriction of the Busemann map  $B_o : \mathcal{R}_o(\tilde{X}) \rightarrow \partial\tilde{X}$  is injective.*

(ii) *For any  $o \in \tilde{X}$ , the restriction of the Busemann map  $B_o : \mathcal{R}_o(\tilde{X}) \rightarrow \partial\tilde{X}$  is surjective, and hence  $\mathcal{B}\tilde{X} = \mathcal{B}_o\tilde{X} = \partial\tilde{X}$ .*

(iii) *The Busemann map  $B$  is continuous.*

**Lemma 19** (uniform approximation lemma). *Let  $\tilde{X}$  be a Hadamard space. For any compact set  $K$  and  $\epsilon > 0$ , there exists a function  $T(K, \epsilon)$  such that for any  $x, y \in K$  and any ray  $\alpha$  issuing from  $K$ , we have  $|B_\alpha(x, y) - b_{\alpha(t)}(x, y)| \leq \epsilon$ , provided that  $t \geq T(K, \epsilon)$ .*

*Proof of Lemma 19.* First notice that, by the cocycle condition (holding for  $b_{\alpha(t)}$  as well as for  $B_\alpha$ ), we can assume that  $x = \alpha(0) = a$ . Then, let  $z = \alpha(t)$  and  $z' = \alpha(t')$  for  $t' > t$ , and let us estimate  $b_{z'}(a, y) - b_z(a, y) = (yz + zz') - yz'$ . Assume that  $K \subset B(a, r)$ , denote by  $y'$  the projection of  $y$  on  $\alpha$ , and consider  $\vartheta = \widehat{yz'a}$ . The right triangle  $[y, y', z]$  has catheti  $yy' \leq r$  and  $zy' \geq t - r$  (as  $ay' \leq r$ ); by comparison with a Euclidean triangle, we deduce that  $0 < \vartheta \leq \vartheta_0 < \pi$  with  $\tan \vartheta_0 = r/(t - r)$ . Comparing now the triangle  $[y, z, z']$  with a Euclidean triangle  $[y_0, z_0, z'_0]$  such that

$$\widehat{y_0z_0z'_0} = \pi - \vartheta_0$$

and  $y_0z_0 = yz$ ,  $z_0z'_0 = zz'$ , we deduce that  $yz' \geq y_0z'_0$ . So

$$(15) \quad b_{z'}(a, y) - b_z(a, y) = (yz + zz') - yz' \leq (y_0z_0 + z_0z'_0) - y_0z'_0.$$

Now a straightforward computation in the plane shows that this tends to zero uniformly on  $y \in K$ , for  $t \rightarrow \infty$ . Actually, consider the projection  $y'_0$  of  $y_0$  on the line containing  $z_0, z'_0$ , and set  $r_0 = y_0 y'_0, s_0 = z_0 z'_0$  and  $\rho_0 = y'_0 z_0$ . Then, for  $r$  fixed and  $t$  tending to infinity, we have  $t + r \geq yz \geq \rho_0 = yz \cos \vartheta_0 \rightarrow +\infty$  while  $r_0 = \rho_0 \tan \vartheta_0 \leq r(t + r)/(t - r)$  stays bounded. Therefore,

$$(y_0 z_0 + z_0 z'_0) - y_0 z'_0 = \sqrt{r_0^2 + \rho_0^2} + s_0 - \sqrt{r_0^2 + (\rho_0 + s_0)^2} \leq \frac{2r_0^2}{\sqrt{r_0^2 + \rho_0^2} + \rho_0} \leq \epsilon,$$

for  $t > T(r, \epsilon)$ . As  $y' = \alpha(t')$  with  $t'$  arbitrarily greater than  $t$ , taking the limit in (15) for  $t' \rightarrow \infty$  proves the lemma.  $\square$

*Proof of Proposition 18.* Let us first prove (iii). Let  $\alpha, \beta$  be rays with origins  $a, b$  and initial conditions  $u = \alpha'(0), v = \beta'(0)$ , and let  $K$  be any fixed compact set containing  $a, b$ . We have to show that, for any arbitrary  $\delta > 0$ , if  $u$  is sufficiently close to  $v$ , then  $|B_\alpha(x, y) - B_\beta(x, y)| < \delta$  for all  $x, y \in K$ . Now, the [uniform approximation lemma](#) ensures that we can replace  $B_\alpha(x, y)$  and  $B_\beta(x, y)$  with  $b_{\alpha(t)}(x, y)$  and  $b_{\beta(t)}(x, y)$ , making an error smaller than  $\delta/3$ , by taking any  $t > T(K, \delta/3)$ . But the difference between these two functions is smaller than  $2d(\alpha(t), \beta(t))$ ; and this, for any fixed  $t$ , tends to zero as  $u \rightarrow v$ , on any Riemannian manifold.

Let us now prove (ii). Assume that  $(P_k) \rightarrow \xi = B_{(P_k)}(o, \cdot)$ . Then, consider the geodesic segments  $\alpha_k = [o, P_k]$  and their velocity vector  $u_k = \alpha'_k(0)$ . Up to a subsequence, the  $u_k$ 's converge to some unitary vector  $u \in S_o \tilde{X}$ . As before, for any fixed compact set  $K$ , the [uniform approximation lemma](#) ensures that  $b_{\alpha_k(t)}(x, y) \simeq_\epsilon B_{\alpha_k}(x, y)$ , for any  $t \geq T(K, \epsilon)$  and for all  $x, y \in K$ ; in particular,  $b_{P_k}(x, y) \simeq_\epsilon B_{\alpha_k}(x, y)$  if  $t_k = d(o, P_k) > T(K, \epsilon)$ . On the other hand,  $B_{\alpha_k}(x, y) \simeq_\epsilon B_\alpha(x, y)$  if  $k \gg 0$ , by (iii); so passing to limits for  $k \rightarrow \infty$ , we deduce that  $B_{(P_k)}(x, y) = B_\alpha(x, y)$  on  $K$  and, as  $K$  is arbitrary,  $B_{(P_k)} = B_\alpha$ .

We now prove the first equivalence in (i):  $d_\infty(\alpha, \beta) < \infty \Leftrightarrow B_\alpha = B_\beta$ . Let  $a = \alpha(0), b = \beta(0)$  be the origins of  $\alpha, \beta$ . If  $d_\infty(\alpha, \beta) < \infty$ , by convexity of the distance in nonpositive curvature, we deduce that there exist points  $a_k, b_k$  tending to infinity respectively along  $\alpha$  and  $\beta$ , such that

$$\lim_{k \rightarrow \infty} a_k b_k = d = d(\alpha, \beta).$$

Clearly, the angles  $\widehat{a a_k b_k}$  and  $\widehat{b b_k a_k}$  tend to  $\pi/2$ . Now let  $y$  be arbitrarily fixed, with  $D = d(a, y)$ . By comparison with the Euclidean case, the tangent of the angle  $\widehat{a a_k y}$  is smaller than  $D/(a a_k - D)$ , which goes to zero for  $k \rightarrow \infty$ , so the angle  $\vartheta_k = \widehat{y a_k b_k} \rightarrow \pi/2$ . Now we know, by comparison geometry, that

$$(b_k y)^2 \geq (a_k y)^2 + (a_k b_k)^2 - 2a_k y \cdot a_k b_k \cdot \cos \vartheta_k,$$

and hence  $\liminf_{k \rightarrow \infty} b_k y - a_k y \geq -\lim_k a_k b_k \cos \vartheta_k = 0$ . One proves analogously that  $\liminf_{k \rightarrow \infty} a_k y - b_k y = 0$ , and hence we deduce that  $\lim_{k \rightarrow \infty} b_k y - a_k y = 0$ . As  $y$  is arbitrary, this shows that  $B_\beta = B_\alpha$ .

Conversely, assume that  $d_\infty(\alpha, \beta) = \infty$ . Up to possibly extending  $\alpha$  and  $\beta$  beyond their origins, we may assume that  $a$  is the projection of  $b$  over  $\alpha$  and, moreover, that for  $t \gg 0$ ,

$$\widehat{ab\beta(t)} \geq \frac{\pi}{2}.$$

In fact, let  $\tilde{\alpha}$  and  $\tilde{\beta}$  be the bi-infinite geodesics extending  $\alpha$  and  $\beta$ : then either  $\limsup_{t \rightarrow -\infty} d(\tilde{\alpha}(t), \tilde{\beta}(t))$  is unbounded and thus, by convexity, there exists a minimal geodesic segment between  $\tilde{\alpha}$  and  $\tilde{\beta}$  (orthogonal to both  $\tilde{\alpha}$  and  $\tilde{\beta}$ ); or  $\limsup_{t \rightarrow -\infty} d(\tilde{\alpha}(t), \tilde{\beta}(t))$  is bounded, so the angle

$$\tilde{\alpha}(t)a\tilde{\beta}(t) \rightarrow 0,$$

and  $[a, b, \tilde{\beta}(t)]$  tends to the limit triangle  $\tilde{\alpha}|_{\mathbb{R}^-} \cup [a, b] \cup \tilde{\beta}|_{\mathbb{R}^-}$  for  $t \rightarrow -\infty$ ; as the sum of its angles cannot exceed  $\pi$ , we deduce that for  $t \gg 0$ ,

$$\widehat{ab\beta(t)} \geq \frac{\pi}{2}.$$

So, now consider the triangle  $[a, b, \beta(t)]$  for  $t \gg 0$ . The angle  $\alpha(t)\widehat{a\beta(t)}$  does not tend to zero for  $t \rightarrow +\infty$ , for otherwise  $\alpha|_{\mathbb{R}^+} \cup [a, b] \cup \beta|_{\mathbb{R}^+}$  would be again a limit triangle, whose sum of angles necessarily would be  $\pi$ ; thus, it would be flat and totally geodesic, and  $\lim_{t \rightarrow +\infty} d(\alpha(t), \beta(t))$  would be bounded. Therefore,  $\alpha(t)\widehat{a\beta(t)} \geq \vartheta_0 > 0$  for  $t \rightarrow +\infty$ . By comparing  $[a, \alpha(s), \beta(t)]$ , for  $s, t \geq 0$ , with a Euclidean triangle, we then get

$$(16) \quad (\alpha(s)\beta(t))^2 \geq s^2 + (a\beta(t))^2 - 2s \cdot a\beta(t) \cdot \cos \vartheta_0,$$

so  $B_\beta(a, \alpha(s)) = \lim_{t \rightarrow +\infty} a\beta(t) - \beta(t)\alpha(s) \leq s \cos \vartheta_0 < s = B_\alpha(a, \alpha(s))$ . This shows that  $B_\alpha \neq B_\beta$ .

We now prove the second equivalence in (i):  $B_\alpha = B_\beta \Leftrightarrow \alpha < \beta$ . One implication is true on any Riemannian manifold, as we have seen in [Theorem 16](#). So, assume that  $\alpha < \beta$ : let  $\alpha_n = \overrightarrow{a_n b_n} \rightarrow \alpha$  with  $a_n \rightarrow a$ ,  $b_n = \beta(t_n) = \alpha_n(s_n)$  for  $t_n, s_n \rightarrow +\infty$ . Let  $K$  be a compact set containing  $a, b$ , the  $a_n$ , and points  $x, y$ , and let  $\epsilon > 0$ ; then, choose  $n \gg 0$  such that  $s_n, t_n > T(K, \epsilon)$  of [Lemma 19](#) and such that  $B_{\alpha_n} \simeq_\epsilon B_\alpha$  on  $K$ , by (iii). By [Lemma 19](#) and the monotonicity of the Busemann cocycle, we get

$$B_\alpha(x, y) \simeq_\epsilon B_{\alpha_n}(x, y) \simeq_\epsilon b_{\alpha_n(s_n)}(x, y) = b_{\beta_n(t_n)}(x, y) \simeq_\epsilon B_\beta(x, y),$$

and as  $\epsilon$  is arbitrary, we deduce that  $B_\alpha(x, y) = B_\beta(x, y)$ .

Finally, if two rays  $\alpha$  and  $\beta$  with common origin  $o$  make angle  $\vartheta_0 \neq 0$ , then the function  $d(\alpha(s), \beta(t))$  grows, at least as fast as in the Euclidean space, according to

formula (16), and hence the rays are not Busemann equivalent, so the restriction of the Busemann map  $\mathcal{R}_o(X) \rightarrow \partial X$  is injective.  $\square$

### A.3. Hyperbolic computations.

**Lemma 39.** *Let  $\tilde{o} \in \mathbb{H}^2$ , and let  $C, C'$  be two ultraparallel geodesics (that is, with no common point in  $\mathbb{H}^2 \cup \partial\mathbb{H}^2$ ) such that  $d(\tilde{o}, C) = d(\tilde{o}, C')$ . Then:*

- (i) *There exists a unique hyperbolic isometry  $g$  with axis perpendicular to  $C, C'$  and such that  $g(C) = C'$ .*
- (ii)  *$g^{-1}\tilde{o}$  and  $g\tilde{o}$  are obtained, respectively, by the hyperbolic reflections of  $\tilde{o}$  with respect to  $C, C'$ .*
- (iii) *The Dirichlet domain  $D(g, \tilde{o})$  has boundary  $C \cup C'$ .*

*Proof.* By the convexity of the distance function, there exists a unique common perpendicular  $\tilde{g}$  to  $C, C'$ , so  $g$  is the unique hyperbolic translation along  $\tilde{g}$  sending  $C$  to  $C'$ . Let  $\Delta(g)$  be the displacement of  $g$ , let  $\tilde{o}_0$  be the projection of  $\tilde{o}$  on  $\tilde{g}$ , and let  $p = C \cap \tilde{g}$ . By symmetry,  $\Delta(g) = d(C, C') = 2\tilde{o}_0 p$ . Now consider the hyperbolic reflection  $R$  with respect to  $C$ , and define  $\tilde{c} = R(\tilde{o})$ ,  $\tilde{c}_0 = R(\tilde{o}_0)$  and  $q = [\tilde{o}, \tilde{c}] \cap C$ . Since  $\tilde{g}$  is perpendicular to  $C$ ,  $R$  preserves  $\tilde{g}$ ; we deduce that  $[\tilde{c}, \tilde{c}_0] = R([\tilde{o}, \tilde{o}_0])$  is also perpendicular to  $\tilde{g}$ . As  $\tilde{o}_0\tilde{c}_0 = 2\tilde{o}_0 p = \Delta(g)$ , it follows that  $g^{-1}\tilde{o} = \tilde{c}$ . Then  $C$  is one of the two boundaries of  $D(g, \tilde{o})$ , as it is the perpendicular bisector of  $[\tilde{o}, \tilde{c}]$ . The verification for  $g\tilde{o}$  and  $C'$  is the same.  $\square$

**Lemma 49.** *There exists a positive function  $\delta(t, \epsilon)$  for  $t, \epsilon > 0$ , increasing in  $t$ , with the following property. Let  $\alpha$  be any geodesic of  $\mathbb{H}^2$  and assume that  $p_1, p_2$  are points with projections  $q_1, q_2$  on  $\alpha$  such that  $d(q_1, q_2) = t$ : if  $d(p_1, \alpha) = \epsilon$ , then  $d(p_1, p_2) \geq t + \delta(t, \epsilon)$ .*

*Proof.* Consider the projection  $p'_1$  of  $p_1$  on the geodesic containing  $p_2, q_2$ , and let  $d = p_1 p'_1 \leq p_1 p_2$ . Let  $c = p_1 q_2$  and  $\beta = \widehat{p_1 q_2 q_1}$ . By the sine and cosine formulas applied, respectively, to the triangles  $[p_1, p'_1, q_2]$  and  $[p_1, q_1, q_2]$ , we find

$$\sinh d = \sinh c \cdot \cos \beta = \cosh c \cdot \tanh t,$$

and by Pythagoras's formula we deduce that  $\sinh d = \cosh \epsilon \sinh t$ . This shows that  $d = t + \delta(t, \epsilon)$ , for a positive function  $\delta(t, \epsilon)$  when  $t, \epsilon > 0$ . To see that  $\delta(t, \epsilon)$  is increasing with  $t$ , we just compute the derivative:

$$\partial_t \delta(t, \epsilon) = d(t)' - 1 = \frac{\cosh \epsilon \cosh t}{\cosh d} - 1 = \frac{\cosh c}{\cosh d} - 1 > 0,$$

as  $c > d$  for  $\epsilon > 0$ .  $\square$

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