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# ON THE SECOND $K$ -GROUP OF A RATIONAL FUNCTION FIELD

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**We give an optimal bound on the minimal length of a sum of symbols in the second Milnor  $K$ -group of a rational function field in terms of the degree of the ramification.**

## 1. Introduction

Let  $E$  be an arbitrary field and  $F$  the function field of the projective line  $\mathbb{P}_E^1$  over  $E$ . For  $m \in \mathbb{N}$ , there is a well-known exact sequence

$$(1.1) \quad 0 \longrightarrow K_2^{(m)} E \longrightarrow K_2^{(m)} F \xrightarrow{\partial} \bigoplus_{x \in \mathbb{P}_E^{1(1)}} K_1^{(m)} E(x) \longrightarrow K_1^{(m)} E \longrightarrow 0,$$

due to Milnor and Tate; see [Milnor 1970, (2.3)]. Here,  $K_1^{(m)}$  and  $K_2^{(m)}$  are the functors that associate to a field its first and second  $K$ -groups modulo  $m$ , respectively, and  $\mathbb{P}_E^{1(1)}$  is the set of closed points of  $\mathbb{P}_E^1$ . The map  $\partial$  is called the *ramification map*. By [Gille and Szamuely 2006, (7.5.4)], for  $m$  prime to the characteristic of  $E$ , the sequence (1.1) translates into a sequence in Galois cohomology, and the proof of its exactness essentially goes back to [Faddeev 1951].

In this article we study how for a given element  $\rho$  in the image of  $\partial$  one finds a good  $\xi \in K_2^{(m)} F$  with  $\partial(\xi) = \rho$ . Our main result [Theorem 3.10](#) states that there is such a  $\xi$  that is a sum of  $r$  symbols (canonical generators of  $K_2^{(m)} F$ ) where  $r$  is bounded by half the degree of the support of  $\rho$ . This generalizes results from [Kunyavskii et al. 2006; Rowen et al. 2005; Sivatski 2007], where the problem has been studied in terms of Brauer groups in the presence of a primitive  $m$ -th root of unity in  $E$  for  $m > 0$ . Developing further an idea in [Sivatski 2007, Proposition 2], we provide examples ([Example 4.3](#)) where the bound on  $r$  cannot be improved.

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## 2. Milnor $K$ -theory of a rational function field

We recall the basic terminology of  $K$ -theory for fields as introduced in [Milnor 1970], with slightly different notation. Let  $F$  be a field. For  $m, n \in \mathbb{N}$ , let  $K_n^{(m)} F$  denote the abelian group generated by elements called *symbols*, which are of the form  $\{a_1, \dots, a_n\}$  with  $a_1, \dots, a_n \in F^\times$ , subject to the defining relations that  $\{\cdot, \dots, \cdot\} : (F^\times)^n \rightarrow K_n^{(m)} F$  is a multilinear map, that  $\{a_1, \dots, a_n\} = 0$  whenever  $a_i + a_{i+1} = 1$  in  $F$  for some  $i < n$ , and that  $m \cdot \{a_1, \dots, a_n\} = 0$ . For  $a, b \in F^\times$  we have  $\{ab\} = \{a\} + \{b\}$  in  $K_1^{(m)} F$ . The second relation above is void when  $n = 1$ , hence  $K_1^{(m)} F$  is the same as  $F^\times / F^{\times m}$ , only with different notation for the elements and the group operation. As shown in [Milnor 1970, (1.1) and (1.3)], it follows from the defining relations that, for  $a_1, \dots, a_n \in F^\times$ , we have  $\{a_{\sigma(1)}, \dots, a_{\sigma(n)}\} = \varepsilon \{a_1, \dots, a_n\}$  for any permutation  $\sigma$  of the numbers  $1, \dots, n$  with signature  $\varepsilon = \pm 1$ , and furthermore  $\{a_1, \dots, a_n\} = 0$  whenever  $a_i + a_{i+1} = 0$  for some  $i < n$ .

With this notation,  $K_n^{(0)} F$  is the full Milnor  $K$ -group  $K_n F$  introduced in [Milnor 1970], and  $K_n^{(m)} F$  is its quotient modulo  $m$  for  $m \geq 1$ .

By a  $\mathbb{Z}$ -valuation we mean a valuation with value group  $\mathbb{Z}$ . Given a  $\mathbb{Z}$ -valuation  $v$  on  $F$  we denote by  $\mathbb{O}_v$  its valuation ring and by  $\kappa_v$  its residue field. For  $a \in \mathbb{O}_v$  let  $\bar{a}$  denote the natural image of  $a$  in  $\kappa_v$ . By [ibid., (2.1)], for  $n \geq 2$  and a  $\mathbb{Z}$ -valuation  $v$  on  $F$ , there is a unique homomorphism  $\partial_v : K_n^{(m)} F \rightarrow K_{n-1}^{(m)} \kappa_v$  such that

$$\partial_v(\{f, g_2, \dots, g_n\}) = v(f) \cdot \{\bar{g}_2, \dots, \bar{g}_n\} \quad \text{for } f \in F^\times \text{ and } g_2, \dots, g_n \in \mathbb{O}_v^\times.$$

When  $n = 2$ , for  $f, g \in F^\times$  we have  $f^{-v(g)} g^{v(f)} \in \mathbb{O}_v^\times$  and

$$\partial_v(\{f, g\}) = \{(-1)^{v(f)v(g)} \overline{f^{-v(g)} g^{v(f)}}\} \quad \text{in } K_1^{(m)} \kappa_v.$$

We turn to the situation where  $F$  is the function field of  $\mathbb{P}^1$  over  $E$ . By the choice of a generator, we identify  $F$  with the rational function field  $E(t)$  in the variable  $t$  over  $E$ . Let  $\mathcal{P}$  denote the set of monic irreducible polynomials in  $E[t]$ . Any  $p \in \mathcal{P}$  determines a  $\mathbb{Z}$ -valuation  $v_p$  on  $E(t)$  that is trivial on  $E$  and such that  $v_p(p) = 1$ . There is further a unique  $\mathbb{Z}$ -valuation  $v_\infty$  on  $E(t)$  such that  $v_\infty(f) = -\deg(f)$  for any  $f \in E[t] \setminus \{0\}$ . We set  $\mathcal{P}' = \mathcal{P} \cup \{\infty\}$ . For  $p \in \mathcal{P}'$  we write  $\partial_p$  for  $\partial_{v_p}$  and we denote by  $E_p$  the residue field of  $v_p$ . Note that  $E_p$  is naturally isomorphic to  $E[t]/(p)$  for  $p \in \mathcal{P}$ , and  $E_\infty$  is naturally isomorphic to  $E$ .

It follows from [ibid., Section 2] that the sequence

$$(2.1) \quad 0 \longrightarrow K_n^{(m)} E \longrightarrow K_n^{(m)} E(t) \xrightarrow{\bigoplus \partial_p} \bigoplus_{p \in \mathcal{P}} K_{n-1}^{(m)} E_p \longrightarrow 0$$

is split exact. We are going to reformulate this fact for  $n = 2$  and to relate the sequences (2.1) and (1.1). We set

$$\mathfrak{R}'_m(E) = \bigoplus_{p \in \mathcal{P}'} K_1^{(m)} E_p.$$

For  $p \in \mathcal{P}'$ , the norm map of the finite extension  $E_p/E$  yields a group homomorphism  $K_1^{(m)} E_p \rightarrow K_1^{(m)} E$ . Summation over these maps for all  $p \in \mathcal{P}'$  yields a homomorphism  $N : \mathfrak{R}'_m(E) \rightarrow K_1^{(m)} E$ . Let  $\mathfrak{R}_m(E)$  denote the kernel of  $N$ . We set  $\partial = \bigoplus_{p \in \mathcal{P}'} \partial_p$ . By [Gille and Szamuely 2006, (7.2.4) and (7.2.5)] we obtain an exact sequence

$$(2.2) \quad 0 \longrightarrow K_2^{(m)} E \longrightarrow K_2^{(m)} E(t) \xrightarrow{\partial} \mathfrak{R}'_m(E) \xrightarrow{N} K_1^{(m)} E \longrightarrow 0.$$

In particular,  $\mathfrak{R}_m(E)$  is equal to the image of  $\partial : K_2^{(m)} E(t) \rightarrow \mathfrak{R}'_m(E)$ .

The choice of the generator of  $F$  over  $E$  fixes a bijection  $\phi : \mathbb{P}_E^{1(1)} \rightarrow \mathcal{P}'$  and for any  $x \in \mathbb{P}_E^{1(1)}$  a natural isomorphism between  $E(x)$  and  $E_{\phi(x)}$ . This identifies  $\bigoplus_{x \in \mathbb{P}_E^{1(1)}} K_1^{(m)} E(x)$  with  $\mathfrak{R}'_m(E)$ , and further the sequence (1.1) with (2.2). We will work with (2.2) in the sequel.

For  $\rho = (\rho_p)_{p \in \mathcal{P}'} \in \mathfrak{R}'_m(E)$  we denote  $\text{Supp}(\rho) = \{p \in \mathcal{P}' \mid \rho_p \neq 0\}$  and  $\deg(\rho) = \sum_{p \in \text{Supp}(\rho)} [E_p : E]$ , and call this the *support* and the *degree* of  $\rho$ . The degree of an element of  $\mathfrak{R}'_m(E)$  is invariant under automorphisms of  $E(t)/E$ .

### 3. Bound for representation by symbols in terms of the degree

In this section we study the relation between the degree of  $\rho \in \mathfrak{R}_m(E)$  and the properties of elements  $\xi \in K_2^{(m)} E(t)$  with  $\partial(\xi) = \rho$ . In Theorem 3.10 we will show that there always exists such  $\xi$  that is a sum of  $r$  symbols where  $r$  is the integral part of  $\deg(\rho)/2$ . In particular, any ramification of degree at most three is realized by a symbol. This settles a question in [Kunyavskii et al. 2006, (2.5)]. In some of the following statements, we consider elements of  $\mathfrak{R}'_m(E)$ , rather than only of  $\mathfrak{R}_m(E)$ .

**Proposition 3.1.** *If  $\rho \in \mathfrak{R}_m(E)$  then  $\deg(\rho) \neq 1$ .*

*Proof.* Consider an element  $\rho \in \mathfrak{R}'_m(E)$  with  $\deg(\rho) = 1$ . The support of  $\rho$  consists of one rational point  $p \in \mathcal{P}'$ . Hence  $N(\rho) = \rho_p \neq 0$  in  $K_1^{(m)} E$ , whereby  $\rho \notin \mathfrak{R}_m(E)$ .  $\square$

We say that  $p \in \mathcal{P}'$  is *rational* if  $[E_p : E] = 1$ . We call a subset of  $\mathcal{P}'$  *rational* if all its elements are rational. We give two examples showing how to realize a given ramification of small degree and with rational support by one symbol.

**Examples 3.2.** (1) Let  $a, c \in E^\times$  and  $c \notin E^{\times m}$ . The symbol  $\sigma = \{t - a, c\}$  in  $K_2^{(m)} E(t)$  satisfies  $\text{Supp}(\sigma) = \{t - a, \infty\}$ ,  $\partial_{t-a}(\sigma) = \{c\}$  and  $\partial_\infty(\sigma) = \{c^{-1}\}$ .

(2) For  $a_1, a_2, c_1, c_2 \in E^\times$  with  $a_1 \neq a_2$ , we compute the ramification of the symbol

$$\sigma = \left\{ \frac{t - a_1}{c_2(a_2 - a_1)}, \frac{c_1(t - a_2)}{a_1 - a_2} \right\}$$

in  $K_2^{(m)}E(t)$ . It has  $\text{Supp}(\sigma) \subseteq \{t - a_1, t - a_2, \infty\}$ ,  $\partial_{t-a_i}(\sigma) = \{c_i\}$  for  $i = 1, 2$ , and  $\partial_\infty(\sigma) = \{(c_1c_2)^{-1}\}$ .

A ramification of degree two can, under some extra conditions, be realized by a symbol one of whose entries is a constant:

**Proposition 3.3.** *Let  $\rho \in \mathfrak{R}_m(E)$  be such that  $\deg(\rho) = 2$ . If  $\text{Supp}(\rho)$  is rational or  $\text{char}(E) \neq m = 2$ , there exist  $e \in E^\times$  and  $f \in E(t)^\times$  such that  $\rho = \partial(\{e, f\})$ .*

*Proof.* Suppose first that the support of  $\rho$  is rational. We choose  $a, e \in E^\times$  such that  $t - a \in \text{Supp}(\rho)$  and  $\rho_{t-a} = \{e\}$  in  $K_1^{(m)}E$ . Then  $\text{Supp}(\rho) = \{t - a, p\}$  where  $p \in \mathcal{P}'$  is rational. As  $N(\rho) = 0$  we obtain that  $\rho_p = \{e^{-1}\}$  in  $K_1^{(m)}E_p$ . If  $p = \infty$ , we set  $f = 1/(t - a)$ . Otherwise  $p = t - b$  for some  $b \in E$ , and we set  $f = (t - b)/(t - a)$ . In either case we obtain  $\rho = \partial(\{e, f\})$ .

It remains to consider the case where  $\text{char}(E) \neq m = 2$  and  $\text{Supp}(\rho) = \{p\}$  for a quadratic polynomial  $p \in \mathcal{P}$ . Then  $E_p/E$  is a separable quadratic extension. Let  $x \in E_p^\times$  be such that  $\rho_p = \{x\}$ . As  $\text{Supp}(\rho) = \{p\}$  and  $N(\rho) = 0$ , we obtain that the norm of  $x$  with respect to the extension  $E_p/E$  lies in  $E^{\times 2}$ , and therefore  $x E_p^{\times 2} = e E_p^{\times 2}$  for some  $e \in E^\times$ ; see [Lam 2005, Chapter VII, (3.9)]. Hence,  $\rho_p = \{x\} = \{e\}$  in  $K_1^{(2)}E_p$ , and we obtain  $\rho = \partial(\{e, p\})$ .  $\square$

In Proposition 3.3 the rationality of the support when  $m \neq 2$  is not a superfluous condition; the following example was pointed out to us by J.-P. Tignol.

**Example 3.4.** Let  $k$  be a field. We consider the rational function field in two variables  $u$  and  $v$  over  $k$ . Let  $\tau$  denote the  $k$ -automorphism of  $k(u, v)$  satisfying  $\tau(u) = v$  and  $\tau(v) = u$ . Then  $\tau^2$  is the identity map on  $k(u, v)$ , and  $E = \{x \in k(u, v) \mid \tau(x) = x\}$  is a subfield of  $k(u, v)$  such that  $[k(u, v) : E] = 2$ . Consider the element  $y = v/u \in k(u, v)$ . Since  $y \notin E$ , the quadratic polynomial

$$p = (t - y)(t - \tau(y)) = t^2 - \frac{u^2 + v^2}{uv}t + 1$$

is irreducible over  $E$ .

Let  $m$  be an odd positive integer. We consider the symbol  $\sigma = \{p, t\}$  in  $K_2^{(m)}E(t)$ . Note that the support of  $\partial(\sigma)$  is contained in  $\{p\}$  and  $\partial_p(\sigma) = \{\bar{t}\}$ . Moreover, mapping  $t$  to  $y$  induces an  $E$ -isomorphism  $E_p \rightarrow k(u, v)$ . Since  $y$  is not an  $m$ -th power in  $k(u, v)$ , it follows that  $\partial_p(\sigma) \neq 0$ . Hence,  $\text{Supp}(\partial(\sigma)) = \{p\}$  and  $\deg(\partial(\sigma)) = 2$ .

We claim that  $\partial_p(\sigma) \neq \partial_p(\{e, f\})$  for any  $e \in E^\times$  and  $f \in E(t)^\times$ . Suppose on the contrary that there exist  $e \in E^\times$  and  $f \in E(t)^\times$  such that  $\partial_p(\sigma) = \partial_p(\{e, f\})$ . Then we obtain that  $e^{v_p(f)}y$  is an  $m$ -th power in  $k(u, v)$ , and taking norms with respect to the extension  $k(u, v)/E$  yields that  $e^{2v_p(f)} \in E^{\times m}$ . Since  $m$  is odd, it follows that  $e^{v_p(f)} \in E^{\times m}$ , and thus  $\partial_p(\{e, f\}) = 0$ , a contradiction.

The remainder of this section builds up to our main result, [Theorem 3.10](#).

**Lemma 3.5.** *Let  $\rho \in \mathfrak{R}'_m(E)$  with  $\deg(\rho) \geq 2$ . There exists a symbol  $\sigma$  in  $K_2^{(m)} E(t)$  such that  $\deg(\rho - \partial(\sigma)) \leq \deg(\rho) - 1$  and where this inequality is strict if  $\deg(\rho) \geq 3$  and  $\rho_\infty \neq 0$ . More precisely, one may choose  $\sigma = \{fh, g\}$  where  $f$  is the product of the polynomials in  $\text{Supp}(\rho)$  and where  $g, h \in E[t] \setminus \{0\}$  are such that  $\deg(g) < \deg(f)$  and, either  $\deg(h) < \deg(g)$ , or  $gh \in E^\times$ .*

*Proof.* Let  $f$  be the product of the polynomials in  $\text{Supp}(\rho)$ . By the Chinese Remainder Theorem, we may choose  $g \in E[t]$  prime to  $f$  with  $\deg(g) < \deg(f)$  such that  $\partial_p(\{f, g\}) = \rho_p$  for all monic irreducible polynomials  $p \in \text{Supp}(\rho)$ . If  $g$  is constant, let  $h = 1$ . If  $g$  is not square-free, let  $h$  be the product of the different monic irreducible factors of  $g$ . If  $g$  is square-free and not constant, then using the Chinese remainder theorem we choose  $h \in E[t]$  prime to  $g$  with  $\deg(h) < \deg(g)$  such that

$$\partial_p(\{f, g\}) - \rho_p = \{\bar{h}\}$$

in  $K_1^{(m)} E_p$  for every monic irreducible factor  $p$  of  $g$ . For  $\sigma = \{fh, g\}$  we obtain that  $\text{Supp}(\rho - \partial(\sigma)) \setminus \{\infty\}$  is contained in the set of monic irreducible factors of  $h$ , whereby  $g, h$ , and  $\sigma$  have the desired properties.  $\square$

**Lemma 3.6.** *Let  $d \in \mathbb{N} \setminus \{0\}$  and  $f \in E[t]$  nonconstant and square-free such that  $\deg(p) \geq d$  for every irreducible factor  $p$  of  $f$ . Let  $F = E[t]/(f)$  and let  $\vartheta$  denote the class of  $t$  in  $F$ . For any  $a \in F^\times$  there exist nonzero polynomials  $g, h \in E[t]$  with  $\deg(h) \leq d - 1$  and  $\deg(g) \leq \deg(f) - d$  such that  $a = g(\vartheta)/h(\vartheta)$ .*

*Proof.* Let

$$V = \bigoplus_{i=0}^{d-1} E \vartheta^i \quad \text{and} \quad W = \bigoplus_{i=0}^{e-d} E \vartheta^i,$$

where  $e = \deg(f)$ . By the choice of  $d$  and the Chinese Remainder Theorem, we have  $V \setminus \{0\} \subseteq F^\times$ , where  $F^\times$  denotes the group of invertible elements of  $F$ . As  $a \in F^\times$  we have  $\dim_E(Va) = \dim_E(V) = d$  and  $\dim_E(Va) + \dim_E(W) = e + 1 > e = [F : E]$ , so  $Va \cap W \neq 0$ . Therefore  $h(\vartheta)a = g(\vartheta)$  for certain  $h, g \in E[t] \setminus \{0\}$  with  $\deg(h) \leq d - 1$  and  $\deg(g) \leq e - d$ . Thus  $h(\vartheta) \in V \setminus \{0\} \subseteq F^\times$  and  $a = g(\vartheta)/h(\vartheta)$ .  $\square$

**Lemma 3.7.** *Let  $\rho \in \mathfrak{R}'_m(E)$  and  $q \in \text{Supp}(\rho)$  such that  $\deg(q) = 2n + 1$  with  $n \geq 1$ . There exists a symbol  $\sigma$  in  $K_2^{(m)} E(t)$  such that  $\deg(\rho - \partial(\sigma)) \leq \deg(\rho) - 2$ . More precisely, one may choose  $\sigma = \{qhf^{-2}g^{-2}, g^{-1}f\}$  with  $f, g, h \in E[t] \setminus \{0\}$  such that  $\deg(f), \deg(g) \leq n$  and  $\deg(h) \leq 2n - 1$ .*

*Proof.* Applying Lemma 3.6 for  $d = n + 1$  we find  $f, g \in E[t] \setminus \{0\}$  with  $\deg(f), \deg(g) \leq n$  such that  $\partial_q(\{q, g^{-1}f\}) = \rho_q$ . Then  $q$  is prime to  $fg$ . If  $fg$  is constant, let  $h = 1$ . If  $fg$  is not square-free, let  $h$  be the product of the different monic irreducible factors of  $fg$ . If  $fg$  is square-free and not constant, we choose  $h \in E[t]$  prime to  $fg$  and with  $\deg(h) < \deg(fg)$  such that

$\partial_p(\{h, g^{-1}f\}) = \partial_p(\{q^{-1}f^2g^2, g^{-1}f\})$  for every monic irreducible factor  $p$  of  $fg$ . In any case  $\deg(h) \leq 2n - 1 = \deg(q) - 2$ .

Let  $\sigma = \{qhf^{-2}g^{-2}, g^{-1}f\}$ . Then we have  $\partial_q(\sigma) = \rho_q$  and  $\partial_p(\sigma) = 0$  for every monic irreducible polynomial  $p \in E[t]$  prime to  $h$  and not contained in  $\text{Supp}(\rho)$ . It follows that  $q \in \text{Supp}(\rho) \setminus \text{Supp}(\rho - \partial(\sigma))$  and that every polynomial in  $\text{Supp}(\rho - \partial(\sigma)) \setminus \text{Supp}(\rho)$  divides  $h$ . Furthermore, if  $\deg(h) = 2n - 1$ , then  $\deg(f) = \deg(g) = n$ , so that  $\deg(qh) = 4n = 2 \deg(fg)$  and thus  $\partial_\infty(\sigma) = 0$ . We conclude that  $\deg(\rho - \partial(\sigma)) \leq \deg(\rho) - 2$  in any case.  $\square$

**Proposition 3.8.** *Let  $\rho \in \mathfrak{X}'_m(E)$  with  $\deg(\rho) \geq 2$ . There exists a symbol  $\sigma$  in  $K_2^{(m)}E(t)$  such that  $\deg(\rho - \partial(\sigma)) \leq \deg(\rho) - 1$ . Moreover, if  $\deg(\rho) \geq 3$  and  $\text{Supp}(\rho)$  contains an element of odd degree, then there exists a symbol  $\sigma$  in  $K_2^{(m)}E(t)$  such that  $\deg(\rho - \partial(\sigma)) \leq \deg(\rho) - 2$ .*

*Proof.* In view of [Lemma 3.5](#) only the second part of the statement remains to be proven. If  $\text{Supp}(\rho)$  contains a nonrational point of odd degree, the statement follows from [Lemma 3.7](#). Suppose now that  $\text{Supp}(\rho)$  contains a rational point. Note that the statement is invariant under  $E$ -automorphisms of  $E(t)$ . Hence, we may assume that  $\infty \in \text{Supp}(\rho)$ , in which case the statement follows from [Lemma 3.5](#).  $\square$

**Question 3.9.** Given  $\rho \in \mathfrak{X}_m(E)$  with  $\deg(\rho) \geq 3$ , does there always exist a symbol  $\sigma$  in  $K_2^{(m)}E(t)$  such that  $\deg(\rho - \partial(\sigma)) \leq \deg(\rho) - 2$ ?

For  $x \in \mathbb{R}$ , the unique  $z \in \mathbb{Z}$  such that  $z \leq x < z + 1$  is denoted by  $\lfloor x \rfloor$ .

**Theorem 3.10.** *For  $\rho \in \mathfrak{X}_m(E)$  and  $n = \lfloor \deg(\rho)/2 \rfloor$ , there exist symbols  $\sigma_1, \dots, \sigma_n$  in  $K_2^{(m)}E(t)$  such that  $\rho = \partial(\sigma_1 + \dots + \sigma_n)$ .*

*Proof.* We proceed by induction on  $n$ . If  $n = 0$  then  $\rho = 0$  by [Proposition 3.1](#) and the statement is trivial. Assume that  $n > 0$ . We have either  $\deg(\rho) = 2n + 1$ , in which case  $\rho$  contains a point of odd degree, or  $\deg(\rho) = 2n$ . Hence, by [Proposition 3.8](#) there exists a symbol  $\sigma$  in  $K_2^{(m)}E(t)$  with  $\deg(\rho - \partial(\sigma)) \leq 2n - 1$ . By the induction hypothesis there exist symbols  $\sigma_1, \dots, \sigma_{n-1}$  in  $K_2^{(m)}E(t)$  with  $\rho - \partial(\sigma) = \partial(\sigma_1 + \dots + \sigma_{n-1})$ . Then  $\rho = \partial(\sigma_1 + \dots + \sigma_{n-1} + \sigma)$ .  $\square$

If we knew that for  $m \geq 1$  every element of  $\mathfrak{X}_m(E)$  had a lift to  $\mathfrak{X}_0(E)$  of the same degree, it would be sufficient to formulate and prove [Theorem 3.10](#) for  $m = 0$ .

#### 4. Example showing that the bound is sharp

In this section we show that the bound in [Theorem 3.10](#) is sharp for all  $m$  and in all degrees. In order to obtain an example in [Example 4.3](#) where the bound of [Theorem 3.10](#) is an equality, we adapt Sivatski's argument [[2007](#), Proposition 2].

For any  $a \in E$ , there is a unique homomorphism  $s_a : K_n^{(m)}E(t) \rightarrow K_n^{(m)}E$  such that  $s_a(\{f_1, \dots, f_n\}) = \{f_1(a), \dots, f_n(a)\}$  for any  $f_1, \dots, f_n \in E[t]$  prime to  $t - a$  and such that  $s_a(\{t - a, \cdot, \dots, \cdot\}) = 0$ ; see [[Gille and Szamuely 2006](#), (7.1.4)].

**Lemma 4.1.** *The homomorphism  $s = s_0 - s_1 : K_n^{(m)} E(t) \rightarrow K_n^{(m)} E$  has the following properties:*

- (a)  $s(K_n^{(m)} E) = 0$ .
- (b)  $s(\{(1-a)t + a, b_2, \dots, b_n\}) = \{a, b_2, \dots, b_n\}$  for any  $a, b_2, \dots, b_n \in E^\times$ .
- (c) Any symbol in  $K_n^{(m)} E(t)$  is mapped under  $s$  to a sum of two symbols in  $K_n^{(m)} E$ .

*Proof.* Since  $s_0$  and  $s_1$  both restrict to the identity on  $K_n^{(m)} E$ , part (a) is clear. For  $a, b_2, \dots, b_n \in E^\times$  and  $\sigma = \{(1-a)t + a, b_2, \dots, b_n\}$ , we have  $s_1(\sigma) = 0$  and thus  $s(\sigma) = s_0(\sigma) = \{a, b_2, \dots, b_n\}$ . This shows (b). Part (c) follows from the observation that both  $s_0$  and  $s_1$  map symbols to symbols.  $\square$

**Proposition 4.2.** *Let  $d \in \mathbb{N}$ ,  $a_1, \dots, a_d \in E^\times$ , and  $\sigma_1, \dots, \sigma_d$  symbols in  $K_{n-1}^{(m)} E$ . Assume that  $\sum_{i=1}^d \{a_i\} \cdot \sigma_i \in K_n^{(m)} E$  is not equal to a sum of less than  $d$  symbols and let*

$$\xi = \sum_{i=1}^d \{(1-a_i)t + a_i\} \cdot \sigma_i \in K_n^{(m)} E(t).$$

*Then  $\deg(\partial(\xi)) = d + 1$ , and if  $r \in \mathbb{N}$  is such that  $\partial(\xi) = \partial(\tau_1 + \dots + \tau_r)$  for symbols  $\tau_1, \dots, \tau_r$  in  $K_n^{(m)} E(t)$ , then  $r \geq \lfloor (d+1)/2 \rfloor$ .*

*Proof.* The hypothesis that  $\sum_{i=1}^d \{a_i\} \cdot \sigma_i \in K_n^{(m)} E$  cannot be written as a sum of less than  $d$  symbols has a few consequences. For  $i = 1, \dots, d$ , it follows that  $\{a_i\} \cdot \sigma_i \neq 0$ , so in particular  $a_i \neq 1$ , and with  $p = t + a_i/(1-a_i)$  we get that  $\partial_p(\xi) = \sigma_i \neq 0$  in  $K_{n-1}^{(m)} E$ . Furthermore, since

$$\sum_{i=1}^d \{a_i\} \cdot \sigma_i \neq \sum_{i=1}^{d-1} \{a_i a_d^{-1}\} \cdot \sigma_i,$$

we have  $\partial_\infty(\xi) = -\sum_{i=1}^d \sigma_i \neq 0$  in  $K_{n-1}^{(m)} E$ . Therefore we obtain

$$\text{Supp}(\partial(\xi)) = \left\{ t + \frac{a_i}{1-a_i} \mid 1 \leq i \leq d \right\} \cup \{\infty\}$$

and thus  $\deg(\partial(\xi)) = d + 1$ .

Assume now that  $r \in \mathbb{N}$  and  $\partial(\xi) = \partial(\tau_1 + \dots + \tau_r)$  for symbols  $\tau_1, \dots, \tau_r$  in  $K_n^{(m)} E(t)$ . Then  $\tau_1 + \dots + \tau_r - \xi$  is defined over  $E$ . Let  $s$  be the map from Lemma 4.1. By Lemma 4.1 we obtain that  $s(\tau_1 + \dots + \tau_r - \xi) = 0$  and thus

$$\sum_{i=1}^d \{a_i\} \cdot \sigma_i = s(\xi) = s(\tau_1) + \dots + s(\tau_r) \in K_n^{(m)} E,$$

which is a sum of  $2r$  symbols. Hence  $2r \geq d$ , by the hypothesis on  $d$ .  $\square$



**Example 4.3.** Let  $p$  be a prime dividing  $m$ . Let  $k$  be a field containing a primitive  $p$ -th root of unity  $\omega$  and  $a_1, \dots, a_d \in k^\times$  such that the Kummer extension  $k(\sqrt[p]{a_1}, \dots, \sqrt[p]{a_d})$  of  $k$  has degree  $p^d$ . Let  $b_1, \dots, b_d$  be indeterminates over  $k$  and set  $E = k(b_1, \dots, b_d)$ . Using [Tignol 1987, (2.10)] and [Becher and Hoffmann 2004, (2.1)], it follows that  $\sum_{i=1}^d \{a_i, b_i\}$  is not equal to a sum of less than  $d$  symbols in  $K_2^{(p)}E$ . Since  $p$  divides  $m$ , it follows immediately that  $\sum_{i=1}^d \{a_i, b_i\} \in K_2^{(m)}E$  is not a sum of less than  $d$  symbols in  $K_2^{(m)}E$ . Consider

$$\xi = \sum_{i=1}^d \{(1 - a_i)t + a_i, b_i\}$$

in  $K_2^{(m)}E(t)$ . By Proposition 4.2, for  $\rho = \partial(\xi)$  we have that  $\deg(\rho) = d + 1$  and  $\rho \neq \partial(\xi')$  for any  $\xi' \in K_2^{(m)}E(t)$  that is a sum of less than  $r = \lfloor \deg(\rho)/2 \rfloor$  symbols.

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