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A VIRTUAL KAWASAKI-RIEMANN-ROCH FORMULA

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Kawasaki’s formula is a tool to compute holomorphic Euler characteristics of vector bundles on a compact orbifold  $\mathcal{X}$ . Let  $\mathcal{X}$  be an orbispace with perfect obstruction theory which admits an embedding in a smooth orbifold. One can then construct the virtual structure sheaf and the virtual fundamental class of  $\mathcal{X}$ . In this paper we prove that Kawasaki’s formula “behaves well” with working “virtually” on  $\mathcal{X}$  in the following sense: if we replace the structure sheaves, tangent and normal bundles in the formula by their virtual counterparts then Kawasaki’s formula stays true. Our motivation comes from studying the quantum  $K$ -theory of a complex manifold  $X$  (Givental and Tonita, 2014), with the formula applied to Kontsevich moduli spaces of genus-0 stable maps to  $X$ .

## 1. Introduction

Given a manifold  $\mathcal{X}$  and a vector bundle  $V$  on  $\mathcal{X}$ , then the Hirzebruch–Riemann–Roch formula states that

$$\chi(\mathcal{X}, V) = \int_{\mathcal{X}} \text{ch}(V) T d(T_{\mathcal{X}}).$$

Kawasaki [1979] generalized this formula to the case when  $\mathcal{X}$  is an orbifold. He reduces the computation of Euler characteristics on  $\mathcal{X}$  to the computation of certain cohomological integrals on *the inertia orbifold*  $I\mathcal{X}$ :

$$(1) \quad \chi(\mathcal{X}, V) = \sum_{\mu} \frac{1}{m_{\mu}} \int_{\mathcal{X}_{\mu}} T d(T_{\mathcal{X}_{\mu}}) \text{ch}\left(\frac{\text{Tr}(V)}{\text{Tr}(\Lambda^{\bullet} N_{\mu}^*)}\right).$$

We explain below the ingredients in the formula:

$I\mathcal{X}$  is defined as follows: around any point  $p \in \mathcal{X}$  there is a local chart  $(\tilde{U}_p, G_p)$  such that locally  $\mathcal{X}$  is represented as the quotient of  $\tilde{U}_p$  by  $G_p$ . Consider the set of conjugacy classes  $(1) = (h_p^1), (h_p^2), \dots, (h_p^{n_p})$  in  $G_p$ . Define

$$I\mathcal{X} := \{(p, (h_p^i)) \mid i = 1, 2, \dots, n_p\}.$$

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Pick an element  $h_p^i$  in each conjugacy class. Then a local chart on  $I\mathcal{X}$  is given by

$$\coprod_{i=1}^{n_p} \tilde{U}_p^{(h_p^i)} / Z_{G_p}(h_p^i),$$

where  $Z_{G_p}(h_p^i)$  is the centralizer of  $h_p^i$  in  $G_p$ . Denote by  $\mathcal{X}_\mu$  the connected components of the inertia orbifold (we'll often refer to them as Kawasaki strata). The multiplicity  $m_\mu$  associated to each  $\mathcal{X}_\mu$  is given by

$$m_\mu := |\ker(Z_{G_p}(g) \rightarrow \text{Aut}(\tilde{U}_p^g))|.$$

For a vector bundle  $V$  we will denote by  $V^*$  the dual bundle to  $V$ . The restriction of  $V$  to  $\mathcal{X}_\mu$  decomposes in characters of the  $g$  action. Let  $E_r^{(l)}$  be the subbundle of the restriction of  $E$  to  $\mathcal{X}_\mu$  on which  $g$  acts with eigenvalue  $e^{2\pi il/r}$ . Then the trace  $\text{Tr}(V)$  is defined to be the orbundle whose fiber over the point  $(p, (g))$  of  $\mathcal{X}_\mu$  is

$$\text{Tr}(V) := \sum_l e^{\frac{2\pi il}{r}} E_r^{(l)}.$$

Finally,  $\Lambda^\bullet N_\mu^*$  is the  $K$ -theoretic Euler class of the normal bundle  $N_\mu$  of  $\mathcal{X}_\mu$  in  $\mathcal{X}$ .  $\text{Tr}(\Lambda^\bullet N_\mu^*)$  is invertible because the symmetry  $g$  acts with eigenvalues different from 1 on the normal bundle to the fixed point locus. We call the terms corresponding to the identity component in the formula *fake Euler characteristics*:

$$\chi^f(\mathcal{X}, V) = \int_{\mathcal{X}} \text{ch}(V) T d(T_{\mathcal{X}}).$$

In the case where  $\mathcal{X}$  is a global quotient, formula (1) is the Lefschetz fixed point formula.

Now let  $\mathcal{X}$  be a compact, complex orbispace (Deligne–Mumford stack) with a perfect obstruction theory  $E^{-1} \rightarrow E^0$ . This is used to define the intrinsic normal cone, which is embedded in  $E_1$  — the dual bundle to  $E^{-1}$  (see [Li and Tian 1998; Behrend and Fantechi 1997]). The virtual structure sheaf  $\mathcal{O}_{\mathcal{X}}^{\text{vir}}$  was defined in [Lee 2004] as the  $K$ -theoretic pullback by the zero section of the structure sheaf of this cone. Let  $I\mathcal{X} = \coprod_{\mu} \mathcal{X}_\mu$  be the inertia orbifold of  $\mathcal{X}$ . We denote by  $i_\mu$  the inclusion of a stratum  $\mathcal{X}_\mu$  in  $\mathcal{X}$ . For a bundle  $V$  on  $\mathcal{X}$ , we write  $i_\mu^* V = V_\mu^f \oplus V_\mu^m$  for its decomposition as the direct sum of the fixed part and the moving part under the action of the symmetry associated to  $\mathcal{X}_\mu$ . To avoid ugly notation we will often simply write  $V^m, V^f$ . The virtual normal bundle to  $\mathcal{X}_\mu$  in  $\mathcal{X}$  is defined as  $[E_0^m] - [E_1^m]$ . We will in addition assume that  $\mathcal{X}$  admits an embedding  $j$  in a smooth compact orbifold  $\mathcal{Y}$ . This is always true for the moduli spaces of genus-0 stable maps  $X_{0,n,d}$  because an embedding  $X \hookrightarrow \mathbb{P}^N$  induces an embedding  $X_{0,n,d} \hookrightarrow (\mathbb{P}^N)_{0,n,d}$ .

**Theorem 1.1.** Denote by  $N_\mu^{\text{vir}}$  the virtual normal bundle of  $\mathcal{X}_\mu$  in  $\mathcal{X}$ . Then

$$(2) \quad \chi(\mathcal{X}, j^*(V) \otimes \mathbb{O}_{\mathcal{X}}^{\text{vir}}) = \sum_{\mu} \frac{1}{m_{\mu}} \chi^f \left( \mathcal{X}_{\mu}, \frac{\text{Tr}(V_{\mu} \otimes \mathbb{O}_{\mathcal{X}_{\mu}}^{\text{vir}})}{\text{Tr}(\Lambda^{\bullet}(N_{\mu}^{\text{vir}*})} \right).$$

**Remark 1.2.** A perfect obstruction theory  $E^{-1} \rightarrow E^0$  on  $\mathcal{X}$  induces canonically a perfect obstruction theory on  $\mathcal{X}_{\mu}$  by taking the fixed part of the complex  $E_{\mu}^{-1, f} \rightarrow E_{\mu}^{0, f}$ . The proof is the same as that of Proposition 1 in [Graber and Pandharipande 1999]. This is then used to define the sheaf  $\mathbb{O}_{\mathcal{X}_{\mu}}^{\text{vir}}$ .

**Remark 1.3.** It is proved in [Fantechi and Göttsche 2010] that if  $\mathcal{X}$  is a scheme, the Grothendieck–Riemann–Roch theorem is compatible with virtual fundamental classes and virtual fundamental sheaves, that is,

$$\chi^f(\mathcal{X}, V \otimes \mathbb{O}_{\mathcal{X}}^{\text{vir}}) = \int_{[\mathcal{X}]} \text{ch}(V \otimes \mathbb{O}_{\mathcal{X}}^{\text{vir}}) \cdot T d(T^{\text{vir}}),$$

where  $[\mathcal{X}]$  is the virtual fundamental class of  $\mathcal{X}$  and  $T^{\text{vir}}$  is its virtual tangent bundle. Their arguments carry over to the case when  $\mathcal{X}$  is a stack.

**Remark 1.4.** The bundles  $V$  to which we apply Theorem 1.1 in [Givental and Tonita 2014] are (sums and products of) cotangent line bundles  $L_i$  and evaluation classes  $\text{ev}_i^*(a_i)$  (where  $a_i$  are  $K$ -theoretic classes on the target). They are pullbacks of the corresponding bundles on  $(\mathbb{P}^N)_{0, n, d}$ .

## 2. Proof of Theorem 1.1

Before proving Theorem 1.1 we recall a couple of background facts and lemmata on  $K$ -theory which we will use.

Let  $K_0(X)$  be the Grothendieck group of coherent sheaves on  $X$ . Given a map  $f : X \rightarrow Y$ , the  $K$ -theoretic pullback  $f^*(\mathcal{F}) : K_0(Y) \rightarrow K_0(X)$  is defined as the alternating sum of derived functors  $\text{Tor}_{\mathbb{O}_Y}^i(\mathcal{F}, \mathbb{O}_X)$ , provided that the sum is finite. This is always true for instance if  $f$  is flat or if it is a regular embedding.

For any fiber square

$$\begin{array}{ccc} V' & \longrightarrow & V \\ \downarrow & & \downarrow \\ B' & \xrightarrow{i} & B \end{array}$$

with  $i$  a regular embedding one can define  $K$ -theoretic refined Gysin homomorphisms  $i^! : K_0(V) \rightarrow K_0(V')$  (see [Lee 2004]). One way to define the map  $i^!$  is the following: The class  $i_* (\mathbb{O}_{B'}) \in K^0(B)$  has a finite resolution of vector bundles, which is exact off  $B'$ . We pull it back to  $V$  and then cap (i.e., tensor product) with classes in  $K_0(V)$ , to get a class on  $K_0(V)$  with homology supported on  $V'$ , which

we can regard as an element of  $K_0(V')$ , because there is a canonical isomorphism between complexes on  $V$  with homology supported on  $V'$  and  $K_0(V')$ .

In the following two lemmata,  $X, Y, Y'$  are assumed DM stacks. We will use the following result:

**Lemma 2.1.** *Consider the diagram:*

$$\begin{array}{ccc}
 \iota^* C_{X/Y} & \longrightarrow & C_{X/Y} \\
 \downarrow & & \downarrow \\
 X' & \xrightarrow{\iota} & X \\
 \downarrow & & j \downarrow \\
 Y' & \xrightarrow{i} & Y
 \end{array}$$

with  $i$  a regular embedding and  $j$  an embedding,  $C_{X/Y}$  is the normal cone of  $X$  in  $Y$  and both squares are fiber diagrams. Then

$$(3) \quad i^! [\mathbb{O}_{C_{X/Y}}] = [\mathbb{O}_{C_{X'/Y'}}] \in K_0(\iota^* C_{X/Y}).$$

This is stated and proved in [Lee 2004, Lemma 2]. The proof is based on a more general statement (Lemma 1 of [Lee 2004]), which has been worked out in [Kresch 1999] on the level of Chow rings. Since  $K$ -theoretic statements are stronger, we give below the key ingredient which allows one to carry over Kresch’s proof to  $K$ -theory:

**Lemma 2.2.** *Let  $f : X \rightarrow Y$  be a closed embedding and let  $g : Y \rightarrow \mathbb{P}^1$  be a surjection such that  $g \circ f$  is flat. Denote by  $X_0$  and  $Y_0$  the fibers over  $0$  of  $g \circ f$  and  $g$ , respectively. Moreover, assume that the restriction of  $f$  to  $X \setminus X_0$  is an isomorphism. Then if  $i$  is the inclusion of  $\{0\}$  in  $\mathbb{P}^1$ , we have  $i^!(\mathbb{O}_Y) = \mathbb{O}_{X_0} \in K_0(Y_0)$ .*

*Proof.* The skyscraper sheaves at all points of  $\mathbb{P}^1$  represent the same element in  $K_0(\mathbb{P}^1)$ , hence if we pull back a resolution of any point  $P \in \mathbb{P}^1$  by  $g$  we get the same elements of  $K_0(Y)$ . On the other hand since  $f$  is an isomorphism above  $\mathbb{P}^1 \setminus \{0\}$ , pulling back by  $g$  of the structure sheaf of a point  $P \neq 0$  is the same as pulling back by  $g \circ f$  followed by  $f_*$ . By what we said above we can replace  $P$  with  $0$ . Now from the flatness of  $g \circ f$  above  $0$  the pullback of the structure sheaf of  $0$  by  $g \circ f$  is the structure sheaf of the fiber  $X_0$ . The result then follows from the definition of  $i^!$ . □

**Remark 2.3.** Lemma 2.2 allows one to show Lemma 2.1: intermediately one shows, following [Kresch 1999] (notation is as in Lemma 2.1), that  $[\mathbb{O}_{C_1}] = [\mathbb{O}_{C_2}]$  in  $K_0(C_{X'}Y \times_Y C_XY)$ , where  $C_1 := C_{i^*C_XY}(C_XY)$  and  $C_2 := C_{j^*C_Y}(C_YY)$ .

We now go on to prove [Theorem 1.1](#). We have

$$\chi(\mathcal{X}, j^*V \otimes \mathbb{O}_{\mathcal{X}}^{\text{vir}}) = \chi(\mathcal{Y}, V \otimes j_*\mathbb{O}_{\mathcal{X}}^{\text{vir}}).$$

Kawasaki’s formula applied to the sheaf  $V \otimes j_*\mathbb{O}_{\mathcal{X}}^{\text{vir}}$  on  $\mathcal{Y}$  gives

$$(4) \quad \chi(\mathcal{Y}, V \otimes j_*\mathbb{O}_{\mathcal{X}}^{\text{vir}}) = \sum_{\mu} \frac{1}{m_{\mu}} \chi^f \left( \mathcal{Y}_{\mu}, \frac{\text{Tr}(V_{\mu} \otimes i_{\mu}^* j_* \mathbb{O}_{\mathcal{X}}^{\text{vir}})}{\text{Tr}(\Lambda^{\bullet} N_{\mu}^*)} \right).$$

From the fiber diagram

$$\begin{array}{ccc} \mathcal{X}_{\mu} & \xrightarrow{i'_{\mu}} & \mathcal{X} \\ j' \downarrow & & \downarrow j \\ \mathcal{Y}_{\mu} & \xrightarrow{i_{\mu}} & \mathcal{Y} \end{array}$$

and [Theorem 6.2](#) in [\[Fulton 1998\]](#) (where this is proved for Chow rings) we have  $i_{\mu}^* j_* \mathbb{O}_{\mathcal{X}}^{\text{vir}} = j'_* i'_{\mu} \mathbb{O}_{\mathcal{X}}^{\text{vir}}$ . Plugging this in (4) gives

$$(5) \quad \chi^f \left( \mathcal{Y}_{\mu}, \frac{\text{Tr}(V_{\mu} \otimes i_{\mu}^* j_* \mathbb{O}_{\mathcal{X}}^{\text{vir}})}{\text{Tr}(\Lambda^{\bullet} N_{\mu}^*)} \right) = \chi^f \left( \mathcal{Y}_{\mu}, \frac{\text{Tr}(V_{\mu} \otimes j'_* i'_{\mu} \mathbb{O}_{\mathcal{X}}^{\text{vir}})}{\text{Tr}(\Lambda^{\bullet} N_{\mu}^*)} \right).$$

Let  $G_{\mu}$  be the cyclic group generated by one element of the conjugacy class associated to  $\mathcal{X}_{\mu}$ . Then we will show that

$$(6) \quad \text{Tr} \left( \frac{i'_{\mu} \mathbb{O}_{\mathcal{X}}^{\text{vir}}}{\Lambda^{\bullet}(N_{\mu}^*)} \right) = \text{Tr} \left( \frac{\mathbb{O}_{\mathcal{X}_{\mu}}^{\text{vir}}}{\Lambda^{\bullet}(N_{\mu}^{\text{vir}})^*} \right)$$

in the  $G_{\mu}$ -equivariant  $K$ -ring of  $\mathcal{X}_{\mu}$ . This is essentially the computation of [Section 3](#) in [\[Graber and Pandharipande 1999\]](#) carried out in  $\mathbb{C}^*$ -equivariant  $K$ -theory. [Relation \(6\)](#) then follows by embedding the group  $G_{\mu}$  in the torus and specializing the value of the variable  $t$  in the ground ring of  $\mathbb{C}^*$ -equivariant  $K$ -theory to a  $|G_{\mu}|$ -root of unity.

If we define a cone  $D := \mathcal{C}_{\mathcal{X}/\mathcal{Y}} \times_{\mathcal{X}} E_0$ , then this is a  $T_{\mathcal{Y}}$  cone (see [\[Behrend and Fantechi 1997\]](#)). The virtual normal cone  $D^{\text{vir}}$  is defined as  $D/T_{\mathcal{Y}}$  and  $\mathbb{O}_{\mathcal{X}}^{\text{vir}}$  is the pullback by the zero section of the structure sheaf of  $D^{\text{vir}}$ . Alternatively there is a fiber diagram

$$\begin{array}{ccc} T_{\mathcal{Y}} & \longrightarrow & D \\ \downarrow & & \downarrow \\ \mathcal{X} & \xrightarrow{0_{E_1}} & E_1 \end{array}$$

where the bottom map is the zero section of  $E_1$ . Then one can define  $\mathbb{O}_{\mathcal{X}}^{\text{vir}}$  as  $0_{T_{\mathcal{Y}}}^* 0_{E_1}^! [\mathbb{O}_D]$ . We’ll prove [formula \(6\)](#) following closely the calculation in [\[Graber](#)

and Pandharipande 1999]. First, by definition of  $\mathbb{O}_{\mathcal{X}}^{\text{vir}}$  and by commutativity of Gysin maps, we have

$$(7) \quad i'_\mu \mathbb{O}_{\mathcal{X}}^{\text{vir}} = i'_\mu 0_{T_{\mathcal{Y}}}^* 0_{E_1}^! [\mathbb{O}_D] = 0_{T_{\mathcal{Y}}}^* 0_{E_1}^! i'_\mu [\mathbb{O}_D].$$

We pull back relation (3) to  $(i'_\mu)^* D = (i'_\mu)^*(C_{\mathcal{X}/\mathcal{Y}} \times E_0)$  to get

$$(8) \quad i'_\mu \mathbb{O}_D = [\mathbb{O}_{D_\mu} \times (E_0^m)^*].$$

In the equality above we have used the fact that  $D_\mu = C_{\mathcal{X}_\mu/\mathcal{Y}_\mu} \times E_0^f$  and we identified the sheaf of sections of the bundle  $E_0^m$  with the dual bundle  $(E_0^m)^*$ . Plugging (8) in (7) we get

$$(9) \quad i'_\mu \mathbb{O}_{\mathcal{X}}^{\text{vir}} = 0_{T_{\mathcal{Y}}}^* 0_{E_1}^! [\mathbb{O}_{D_\mu} \times (E_0^m)^*].$$

Notice that the action of  $T_{\mathcal{Y}_\mu}$  leaves  $D_\mu \times (E_0^m)^*$  invariant (it acts trivially on  $(E_0^m)^*$ ). Now we can write  $0_{T_{\mathcal{Y}}}^* = 0_{T_{\mathcal{Y}_\mu}^f}^* \times 0_{T_{\mathcal{Y}_\mu}^m}^*$  and since  $D_\mu^{\text{vir}} = D_\mu / T_{\mathcal{Y}_\mu}$  we rewrite (9) as

$$(10) \quad i'_\mu \mathbb{O}_{\mathcal{X}}^{\text{vir}} = 0_{T_{\mathcal{Y}_\mu}^m}^* 0_{E_1}^! [\mathbb{O}_{D_\mu^{\text{vir}}} \times (E_0^m)^*].$$

The proof of Lemma 1 in [Graber and Pandharipande 1999] works in our set-up as well: it uses excess intersection formula which holds in  $K$ -theory. It shows that the following relation holds in the  $\mathbb{C}^*$ -equivariant  $K$ -ring of  $\mathcal{X}_\mu$ :

$$(11) \quad 0_{T_{\mathcal{Y}_\mu}^m}^* 0_{E_1}^! [\mathbb{O}_{D_\mu^{\text{vir}}} \times (E_0^m)^*] = 0_{E_0^m}^* (0_{E_1}^! [\mathbb{O}_{D_\mu^{\text{vir}}} \times (E_0^m)^*]) \cdot \frac{\Lambda^\bullet(T_{\mathcal{Y}_\mu}^m)^*}{\Lambda^\bullet(E_0^m)^*}.$$

The class  $0_{E_1}^! [\mathbb{O}_{D_\mu^{\text{vir}}} \times (E_0^m)^*]$  lives in the  $\mathbb{C}^*$ -equivariant  $K$ -ring of  $E_0^m$ . The class doesn't depend on the bundle map  $E_0^m \rightarrow E_1^m$  so we can assume this map to be 0. Then by excess intersection formula and the definition of  $\mathbb{O}_{\mathcal{X}_\mu}^{\text{vir}}$  we get

$$(12) \quad 0_{E_0^m}^* (0_{E_1}^! [\mathbb{O}_{D_\mu^{\text{vir}}} \times (E_0^m)^*]) = \mathbb{O}_{\mathcal{X}_\mu}^{\text{vir}} \cdot \Lambda^\bullet(E_1^m)^*.$$

Formula (12) holds because  $D_\mu^{\text{vir}} \times (E_0^m)^* \subset E_1^f \times E_0^m$  and  $0_{E_1}^!$  acts as  $0_{E_1^f}^! \times 0_{E_1^m}^!$  on factors.  $0_{E_1^f}^! [\mathbb{O}_{D_\mu^{\text{vir}}}] = \mathbb{O}_{\mathcal{X}_\mu}^{\text{vir}}$  by definition of  $\mathbb{O}_{\mathcal{X}_\mu}^{\text{vir}}$ . By excess intersection formula applied to the fiber square

$$\begin{array}{ccc} E_0^m & \longrightarrow & E_0^m \\ \pi \downarrow & & \downarrow \\ \mathcal{X}_\mu & \xrightarrow{0_{E_1^m}} & E_1^m \end{array}$$

we have  $0_{E_0^m}^* 0_{E_1^m}^! [(E_0^m)^*] = 0_{E_0^m}^* \pi^* \Lambda^\bullet(E_1^m)^* = \Lambda^\bullet(E_1^m)^*$ . Plugging formula (12) in (11) (note that  $N_\mu = T_{\mathcal{Y}_\mu}^m$  and  $N_\mu^{\text{vir}} = [E_0^m] - [E_1^m]$ ) and taking traces proves (6).

We now plug (6) in (5) and then pull back to  $\mathcal{X}_\mu$  to get

$$\begin{aligned} \chi^f \left( \mathcal{Y}_\mu, \frac{\mathrm{Tr}(V_\mu \otimes j_* i_\mu^* \mathbb{O}_{\mathcal{X}}^{\mathrm{vir}})}{\mathrm{Tr}(\Lambda^\bullet N_\mu^*)} \right) &= \chi^f \left( \mathcal{Y}_\mu, \mathrm{Tr}(V_\mu) \otimes j'_* \frac{\mathrm{Tr}(\mathbb{O}_{\mathcal{X}_\mu}^{\mathrm{vir}})}{\mathrm{Tr}(\Lambda^\bullet (N_\mu^{\mathrm{vir}})^*)} \right) \\ &= \chi^f \left( \mathcal{X}_\mu, \frac{\mathrm{Tr}(V_\mu \otimes \mathbb{O}_{\mathcal{X}_\mu}^{\mathrm{vir}})}{\mathrm{Tr}(\Lambda^\bullet (N_\mu^{\mathrm{vir}})^*)} \right). \quad \square \end{aligned}$$

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