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We prove that any finitely presented group can be realized as the fundamental group of a spin Lefschetz fibration over the 2-sphere. We also show that any admissible lattice point in the symplectic geography plane below the Noether line can be realized by a simply connected spin Lefschetz fibration.

1. Introduction

Explicit constructions of Lefschetz fibrations with prescribed fundamental groups were given by Amorós, Bogomolov, Katzarkov and Pantev [1] and by Korkmaz [14]; also see [12]. We show that the same result holds for a much smaller family of Lefschetz fibrations:

Theorem A. *Given any finitely presented group G , there exists a spin symplectic Lefschetz fibration $X \rightarrow S^2$ with $\pi_1(X) \cong G$.*

These results were inspired by the pioneering work of Gompf, who proved that any finitely presented group G is the fundamental group of a closed symplectic 4-manifold [9], which can be assumed to be spin. By the existence of Lefschetz pencils on any symplectic 4-manifold due to Donaldson [6], it follows a priori that, after blowing up the base points of the pencil, one can realize G as the fundamental group of a symplectic Lefschetz fibration; however, these are never spin.

On the other hand, unlike Kähler surfaces, there are minimal symplectic 4-manifolds of general type violating the Noether inequality, which was shown again by Gompf [9]. More recently, Korkmaz, Simone, and Baykur showed that all the lattice points in the symplectic geography plane below the Noether line can be further realized by simply connected symplectic Lefschetz fibrations [4]. We prove that a similar result holds in the spin case:

Theorem B. *For any pair of nonnegative integers (m, n) satisfying the inequalities $n \geq 0$, $n \equiv 8m \pmod{16}$, $n \leq 8(m - 6)$ and $n \leq \frac{16}{3}m$, there exists a simply connected spin symplectic Lefschetz fibration $X \rightarrow S^2$ such that $\chi_h(X) = m$ and $c_1^2(X) = n$. In particular, any admissible point in the symplectic geography plane below the Noether line is realized by a simply connected spin Lefschetz fibration.*

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Among the hypotheses in the theorem, the first inequality is due to a theorem of Taubes, who showed that $c_1^2(X) \geq 0$ for any nonruled minimal symplectic 4-manifold X , whereas the second equality follows from Rokhlin's theorem. A pair $(m, n) \in \mathbb{N}^2$ satisfying this condition is called *admissible*. The first systematic production of spin symplectic 4-manifolds realizing the above admissible lattice points, but without the Lefschetz fibration structure we get, was first obtained by J. Park in [15].

Our examples are produced explicitly via positive Dehn twist factorizations in the mapping class group. The spin Lefschetz fibrations for Theorems A and B are obtained by adapting the strategies of [14] and [4], respectively, together with a subtle use of the breeding technique [2; 3] for the latter. The main challenge in producing the examples in either theorem is due to the fact that the monodromy of a spin Lefschetz fibration lies in a proper subgroup of the mapping class group (fixing a spin structure on the fiber), so throughout our work, we restrain ourselves to algebraic manipulations in this smaller mapping class group.

2. Preliminaries

We begin with a review of the concepts and background results underlying the rest of our article, along with our conventions. We refer the reader to [10] for more details and comprehensive references on Lefschetz fibrations, symplectic 4-manifolds, and monodromy factorizations, and to [3] for their interplay with spin structures.

2.1. Lefschetz fibrations and positive factorizations. A *Lefschetz fibration* on a closed smooth oriented 4-manifold X is a smooth surjective map $f : X \rightarrow S^2$, a submersion on the complement of finitely many points $\{p_i\} \neq \emptyset$ all in distinct fibers, around which f conforms (compatibly with fixed global orientations on X and S^2) to the local complex model of a nodal singularity $(z_1, z_2) \mapsto z_1 z_2$. We assume that there are no exceptional spheres contained in the fibers. Each nodal fiber of the Lefschetz fibration (X, f) is obtained by crashing a simple closed curve, called a *vanishing cycle*, on a reference regular fiber F .

We denote by Σ_g^b a compact connected oriented surface of genus g with b boundary components. Let $\text{Diff}^+(\Sigma_g^b)$ denote the group of orientation-preserving diffeomorphisms of Σ_g^b compactly supported away from the boundary. The *mapping class group* of Σ_g^b is defined as $\text{Mod}(\Sigma_g^b) := \pi_0(\text{Diff}^+(\Sigma_g^b))$. When $b=0$, we simply drop b from the above notation. Unless mentioned otherwise, by a *curve* c on Σ_g^b we mean a smooth simple closed curve.

We denote by $t_c \in \text{Mod}(\Sigma_g^b)$ the positive (right-handed) Dehn twist along the curve $c \subset \Sigma_g^b$. For any $\psi, \phi \in \text{Mod}(\Sigma_g^b)$ we write the conjugate of ψ by ϕ as $\psi^\phi = \phi\psi\phi^{-1}$. We act on any curve c in the order $(\phi\psi)(c) = \phi(\psi(c))$. An elementary but crucial

point is that $t_c^\phi = t_{\phi(c)}$. For any product of Dehn twists $W = \prod_{i=1}^{\ell} t_{c_i}^{k_i}$ and ϕ in $\text{Mod}(\Sigma_g^b)$, we denote the conjugated product by $W^\phi = \prod_{i=1}^{\ell} t_{\phi(c_i)}^{k_i}$.

Let $\{c_i\}$ be a nonempty collection of curves on Σ_g^b which do not become null-homotopic after an embedding $\Sigma_g^b \hookrightarrow \Sigma_g$. Let $\{\delta_j\}$ be a collection of b curves parallel to distinct boundary components of Σ_g^b . A relation of the form

$$(1) \quad t_{c_1} t_{c_2} \cdots t_{c_\ell} = t_{\delta_1}^{k_1} \cdots t_{\delta_b}^{k_b} \quad \text{in } \text{Mod}(\Sigma_g^b)$$

corresponds to a genus- g Lefschetz fibration (X, f) with a reference regular fiber F identified with Σ_g , with vanishing cycles $\{c_i\}$ and b disjoint sections $\{S_j\}$ of self-intersections $S_j \cdot S_j = -k_j$.

The product on the left-hand side of the equality (1), the word P in positive Dehn twists, is called a *positive factorization* of the mapping class on the right-hand side that maps to the trivial word under the homomorphism induced by an embedding $\Sigma_g^b \hookrightarrow \Sigma_g$. We will often denote the corresponding Lefschetz fibration as X_P .

As shown by Gompf, every Lefschetz fibration (X, f) admits a Thurston-type symplectic form with respect to which the fibers are symplectic.

2.2. Fiber sums and fundamental groups. A Lefschetz fibration X_P corresponding to a positive factorization $P := t_{c_1} t_{c_2} \cdots t_{c_\ell}$ in $\text{Mod}(\Sigma_g^1)$ (of some power of the boundary twist) has $\pi_1(X_P) \cong \pi_1(\Sigma_g)/N(\{c_i\})$, where $N(\{c_i\})$ is the subgroup of $\pi_1(\Sigma_g)$ generated normally by collection of the vanishing cycles c_i .

Given $P_1 := t_{c_1} t_{c_2} \cdots t_{c_\ell} = t_\delta^{k_1}$ and $P_2 := t_{d_1} t_{d_2} \cdots t_{d_l} = t_\delta^{k_2}$, and any $\phi \in \text{Mod}(\Sigma_g^1)$, we can always derive another positive factorization $P_1 P_2^\phi = t_\delta^{k_1+k_2}$ in $\text{Mod}(\Sigma_g^1)$, prescribing a new Lefschetz fibration $X_{P_1 P_2^\phi}$ with a section of self-intersection $-(k_1+k_2)$. This coincides with the well-known *twisted fiber sum* operation applied to the Lefschetz fibrations X_{P_1} and X_{P_2} . We have

$$\pi_1(X_{P_1 P_2^\phi}) \cong \pi_1(\Sigma_g)/N(\{c_i\} \cup \{\phi(d_j)\}).$$

A neat trick of Korkmaz, applicable in the more special setting described in the next proposition, will come in handy for our arguments to follow:

Proposition 1 (Korkmaz [14]). Let $P = t_{c_1} t_{c_2} \cdots t_{c_\ell}$ be a positive factorization of (some power of) a boundary twist in $\text{Mod}(\Sigma_g^1)$. Let d be a curve on Σ_g intersecting at least one c_i transversally at one point. Then $\pi_1(X_{P P^d}) \cong \pi_1(\Sigma_g)/N(\{c_i\} \cup \{d\})$.

2.3. Spin monodromies and fibrations. A *spin structure* s on Σ_g is a cohomology class $s \in H^1(UT(\Sigma_g); \mathbb{Z}_2)$ evaluating to 1 on a fiber of the unit tangent bundle $UT(\Sigma_g)$. There is a bijection between the set of spin structures on Σ_g , which we denote by $\text{Spin}(\Sigma_g)$, and the set of quadratic forms on $H_1(\Sigma_g; \mathbb{Z}_2)$ with respect to the intersection pairing. Recall that $q : H_1(\Sigma_g; \mathbb{Z}_2) \rightarrow \mathbb{Z}_2$ is such a quadratic form if $q(a+b) = q(a) + q(b) + a \cdot b$ for every $a, b \in H_1(\Sigma_g; \mathbb{Z}_2)$.

For a fixed spin structure s on Σ_g , the *spin mapping class group* $\text{Mod}(\Sigma_g, s)$ is the stabilizer group of s , or equivalently that of the corresponding quadratic form q , in $\text{Mod}(\Sigma_g)$. For any nonseparating curve $c \subset \Sigma_g$, we have $t_c \in \text{Mod}(\Sigma_g, s)$ if and only if $q(c) = 1$.

The following, which is a reformulation of a theorem of Stipsicz, provides us with a criterion for the existence of a spin structure on a Lefschetz fibration:

Theorem 2 (Stipsicz [16]). *Let X_P be the Lefschetz fibration prescribed by a positive factorization*

$$P := t_{c_1} t_{c_2} \cdots t_{c_l} = t_\delta^k \quad \text{in } \text{Mod}(\Sigma_g^1),$$

and let us denote the images of the twist curves under the embedding $\Sigma_g^1 \hookrightarrow \Sigma_g$ also by $\{c_i\}$. Then, X_P admits a spin structure with a quadratic form q if and only if k is even and $q(c_i) = 1$ for all i .

3. Spin Lefschetz fibrations with prescribed fundamental group

We prove [Theorem A](#), adapting the strategy in [14], where Korkmaz takes twisted fiber sums of many copies of the same Lefschetz fibration (the building block) to obtain a new Lefschetz fibration whose fundamental group is the prescribed finitely presented group. To accomplish the same with spin fibrations, there are two essential refinements we will need to make. First is to identify a building block X_P where the monodromy curves in the positive factorization P will satisfy the spin condition for some quadratic form we will describe. That is, we will show that $P := t_{c_1} t_{c_2} \cdots t_{c_\ell}$ in $\text{Mod}(\Sigma_g, s)$ for a carefully chosen spin structure s . Second is to make sure that when taking the twisted fiber sums to land on the desired fundamental group, in the corresponding positive factorization $PP^{\phi_1} \cdots P^{\phi_m}$, we only use conjugations $\phi_i \in \text{Mod}(\Sigma_g, s)$.

3.1. The building block. A generalization of the monodromy factorization of the well-known genus-1 Lefschetz fibration on $\mathbb{C}\mathbb{P}^2 \# 9 \overline{\mathbb{C}\mathbb{P}^2} \cong S^2 \times S^2 \# 8 \overline{\mathbb{C}\mathbb{P}^2}$ to any odd genus $g = 2n + 1$ Lefschetz fibration on $S^2 \times \Sigma_n \# 8 \overline{\mathbb{C}\mathbb{P}^2}$ was given by Korkmaz in [13], and by Cadavid in [5]. It has the monodromy factorization

$$(t_{B_0} \cdots t_{B_g} t_a^2 t_b^2)^2 = t_\delta \quad \text{in } \text{Mod}(\Sigma_g^1),$$

where the curves B_i, a, b are shown in the [Figure 1](#). Capping off the boundary component of Σ_g^1 , we will regard the same curves also in Σ_g . Let us denote the above positive factorization by $P_g := (t_{B_0} \cdots t_{B_g} t_a^2 t_b^2)^2$.

Clearly, $\pi_1(X_{P_g}) \cong \pi_1(\Sigma_n)$ will have larger number of generators we can work with as we increase $g = 2n + 1$. Let us first review the presentation for $\pi_1(X_{P_g})$. Consider the geometric basis $\{a_i, b_i\}_{i=1}^g$ for $\pi_1(\Sigma_g)$, where the based oriented curves

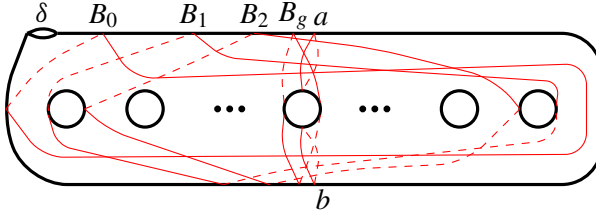


Figure 1. The vanishing cycles B_i, a, b of X_{P_g} in $\Sigma_g^1 \subset \Sigma_g$.

a_i, b_i are as shown in [Figure 2](#). We have

$$\pi_1(X) \cong \langle a_1, \dots, a_g, b_1, \dots, b_g \mid C_g a, b, B_0, \dots, B_g \rangle,$$

where¹

$$(2) \quad \begin{cases} B_0 = b_1 \cdots b_g, \\ B_{2k-1} = a_k b_k \cdots b_{g+1-k} C_{g+1-k} a_{g+1-k}, & 1 \leq k, \leq n+1, \\ B_{2k} = a_k b_{k+1} \cdots b_{g-k} C_{g-k} a_{g+1-k}, & 1 \leq k, \leq n, \\ a = a_{n+1}, \\ b = C_n a_{n+1}, \\ C_1 = b_1^{-1} a_1 b_1 a_1^{-1}, \\ C_i = b_i^{-1} C_{i-1} a_i b_i a_i^{-1}, & 2 \leq i \leq g. \end{cases}$$

Next, we will describe a spin structure for which the vanishing cycles of this Lefschetz fibration satisfy the monodromy condition.² Forgetting the base point, the geometric basis $\{a_j, b_j\}$ for $\pi_1(\Sigma_g)$ in [Figure 2](#) becomes freely homotopic to a standard symplectic basis on Σ_g . We can then describe a quadratic form with respect to this basis and evaluate it on the mod-2 homology classes of the vanishing cycles described in this basis. The latter is easily derived from (2):

$$\begin{cases} B_0 = b_1 + \cdots + b_g, \\ B_{2k-1} = a_k + (b_k + \cdots + b_{g+1-k}) + a_{g+1-k}, & 1 \leq k \leq n+1, \\ B_{2k} = a_k + (b_{k+1} + \cdots + b_{g-k}) + a_{g+1-k}, & 1 \leq k \leq n, \\ a = a_{n+1}, \\ b = a_{n+1}. \end{cases}$$

¹Here we adopted Korkmaz's generating set to make our calculations comparable to his work in [14], which yields a nonstandard expression for the surface relator as iterated conjugates, resulting in $C_g = 1$.

²It may be worth noting that this is not a trial and error process. By the heuristic arguments of [3], we are proceeding with an educated guess, since these fibrations are known to come from pencils on the spin manifolds $S^2 \times \Sigma_n$; see [11].

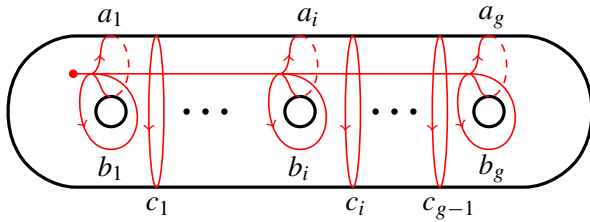


Figure 2. The generators for $\pi_1(\Sigma_g)$ and the C_i curves.

Set $q : H_1(\Sigma_g, \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$ as $q(a_i) = q(b_i) = 1$. (There are in fact 2^n different spin structures that would work here; we are picking the one that will serve our needs the most in the next stages of the proof.) Note that for any ordered set of curves $\{d_j\}$ we have $q(\sum_{i=1}^n d_i) = \sum_{i=1}^n q(d_i) + \sum_{i < j} d_i \cdot d_j$. Thus, for each k as above,

$$\begin{aligned}
 q(B_0) &= \sum_{i=1}^g q(b_i) = g = 1, \\
 q(B_{2k-1}) &= q(a_k) + \sum_{i=k}^{g+1-k} q(b_i) + q(a_{g+1-k}) + a_k \cdot b_k + b_{g+1-k} \cdot a_{g+1-k} \\
 &= 1 + (g + 1 - k - k + 1) + 1 + 1 + 1 = 1, \\
 q(B_{2k}) &= q(a_k) + \sum_{i=k+1}^{g-k} q(b_i) + q(a_{g+1-k}) \\
 &= 1 + (g - k - k) + 1 = 1, \\
 q(a) &= q(a_{n+1}) = 1, \\
 q(b) &= q(a_{n+1}) = 1.
 \end{aligned}$$

Hence all the monodromy curves of X_{P_g} satisfy the spin condition, which is all we needed at this point.³ To sum up, we have the following:

Lemma 3. *Let $s \in \text{Spin}(\Sigma_g)$ correspond to the quadratic form q that satisfies $q(a_i) = q(b_i) = 1$, for $i = 1, \dots, g$, on the symplectic basis $\{a_i, b_i\}$ above. We have*

$$(t_{B_0} \cdots t_{B_g} t_a^2 t_b^2)^2 = 1 \quad \text{in } \text{Mod}(\Sigma_g, s),$$

where B_i, a, b are the curves on $\Sigma_g^1 \subset \Sigma_g$ in [Figure 1](#).

3.2. The construction. In anticipation of a forthcoming issue, here we deviate a bit from Korkmaz's steps. In order to guarantee that we can represent the relators by embedded curves on Σ_g , we change the given presentation. Instead of reinventing the wheel here, we invoke the following result (cf. [\[8, Lemma 6.2\]](#)):

³Recall that t_δ has odd power in [Section 3.1](#), so X_{P_g} is not a spin Lefschetz fibration, as it shouldn't be, remembering that $X_{P_g} \cong S^2 \times \Sigma_n \# 8\mathbb{C}\mathbb{P}^2$.

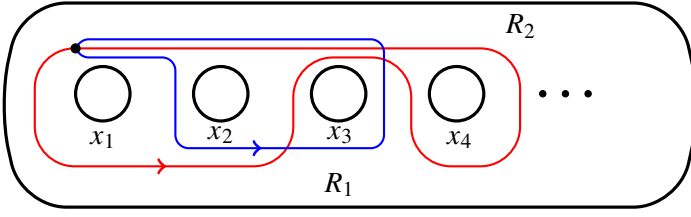


Figure 3. Relator curves on Σ_g .

Lemma 4 (Ghigini, Golla and Plamanevskaya [8]). *For any finitely presented group G , there exists a presentation $G \cong \langle x_1, \dots, x_n \mid r_1, \dots, r_m \rangle$ such that*

- (i) *each r_j is a positive (no inverses) word in x_1, \dots, x_n ;*
- (ii) *each generator x_i appears at most once in each r_j ;*
- (iii) *the cyclic order (by index) of the generators x_1, \dots, x_n is preserved in each r_j .*

This means that if our generating set consists of only the curves $\{b_i\}$, we can assume that all the relators in the generating set can be nicely represented by the embedded curves as in Figure 3, where R_1 represents x_2x_3 , R_2 represents $x_1x_2x_4$, and so on.

We are now ready to present our construction.

Proof of Theorem A. Given a finitely presented group G , take a (new) presentation of $G \cong \langle x_1, \dots, x_n \mid r_1, \dots, r_m \rangle$ as in Lemma 4. Set $g = 2n + 1$.

Let $P_g := (t_{B_0} \cdots t_{B_g} t_a^2 t_b^2)^2$ be the positive factorization in $\text{Mod}(\Sigma_g, s)$ given in Lemma 3. Because $q(a_i) = 1$, we have $t_{a_i} \in \text{Mod}(\Sigma_g, s)$ for all i . So we get a new spin factorization

$$P_g P_g^{t_{a_1}} P_g^{t_{a_2}} \cdots P_g^{t_{a_g}} = 1 \quad \text{in } \text{Mod}(\Sigma_g, s)$$

for each odd $g \in \mathbb{Z}^+$, which lifts to a positive factorization of t_δ^{g+1} in $\text{Mod}(\Sigma_g^1)$.

From the expression of the monodromy curves of P_g in the $\pi_1(\Sigma_g)$ basis $\{a_i, b_i\}$ given in (2), one easily deduces that

$$\begin{aligned} \langle a_1, \dots, a_g, b_1, \dots, b_g \mid C_g, a, b, B_0, \dots, B_g, a_1, \dots, a_g \rangle, \\ \cong \langle b_1, \dots, b_{2n+1} \mid b_1 \cdots b_{2n+1}, b_2 \cdots b_{2n}, \dots, b_n b_{n+1} b_{n+2}, b_{n+1} \rangle \\ \cong \langle b_1, \dots, b_n \rangle, \end{aligned}$$

that is, we get a free group on n generators. For the first step, simply note that all a_j and C_j we had in (2) are trivial in this group.

Now, identifying each generator x_i with b_i , for $i = 1, \dots, n$, we can represent each relator r_j by an embedded curve on R_j on Σ_g . (This is why we switched to this special presentation.) All $\{R_j\}$ can be contained on $\Sigma_n^1 \subset \Sigma_g$ bounded by c_n . It is possible that some $q(R_j) = 0$. If that is the case, we replace this R_j with

an embedded curve R'_j representing $R_j a_{n+1}$ in $\pi_1(\Sigma_g)$. Such an embedded curve always exists; R_j can be isotoped to meet a_{n+1} only at the base point and one can then resolve the intersection point compatibly with the orientations. So now $q(R'_j) = 1$. Otherwise we just take $R'_j := R_j$. We have $t_{R'_j} \in \text{Mod}(\Sigma_g, s)$ for all $j = 1, \dots, m$.

It follows that we have a spin positive factorization

$$P_g P_g^{t_{a_1}} P_g^{t_{a_2}} \dots P_g^{t_{a_g}} P_g^{R'_1} P_g^{R'_2} \dots P_g^{R'_m} = 1 \quad \text{in } \text{Mod}(\Sigma_g, s),$$

which now lifts to a positive factorization of t_δ^{g+m+1} in $\text{Mod}(\Sigma_g^1)$. If m is odd, we add one more P_g factor to the positive factorization above, so then its lift is a positive factorization of t_δ^{g+m+2} . If m is even, leave it as it is. In either case let us denote this final positive factorization in $\text{Mod}(\Sigma_g, s)$ simply by P . Let X_P denote the corresponding Lefschetz fibration. By [Theorem 2](#), X_P is spin. By [Proposition 1](#), and the above discussion, we have

$$\begin{aligned} \pi_1(X_P) &\cong \langle a_1, \dots, a_g, b_1, \dots, b_g \mid C_g, a, b, B_0, \dots, B_g, a_1, \dots, a_g, R'_1, \dots, R'_m \rangle \\ &\cong \langle b_1, b_2, \dots, b_n \mid R_1, \dots, R_m \rangle, \end{aligned}$$

which is the presentation we had for G . □

4. Geography of spin Lefschetz fibrations

We prove [Theorem B](#) by a direct construction of a family of spin Lefschetz fibrations $Z_{g,k}$ populating the region below the Noether line in the geography plane. We prescribe these fibrations via new positive factorizations via algebraic manipulations in the mapping class group corresponding to twisted fiber sums and breedings [\[2; 3\]](#). We then verify how our careful choice of building blocks out of monodromy factorizations for Lefschetz pencils and fibrations indeed yields positive factorizations in spin mapping class groups. A somewhat longer calculation will show that our choices also guarantee that $Z_{g,k}$ are simply connected. We will then conclude by describing the portion of the geography plane spanned by our spin fibrations.

While some of the particular choices we will make in the construction of $Z_{g,k}$ may look arbitrary at first, they are to achieve two somewhat competing properties simultaneously: the existence of a spin structure on $Z_{g,k}$ and the simple-connectivity of $Z_{g,k}$. The latter calculation implies that the spin structure we describe on $Z_{g,k}$ is in fact unique.

4.1. The construction. Our first building block is a positive factorization for a Lefschetz fibration on $\mathbb{C}\mathbb{P}^2 \# (4g+5)\overline{\mathbb{C}\mathbb{P}^2}$ given in [\[4\]](#). Taking $p = q = 2g + 2$ in

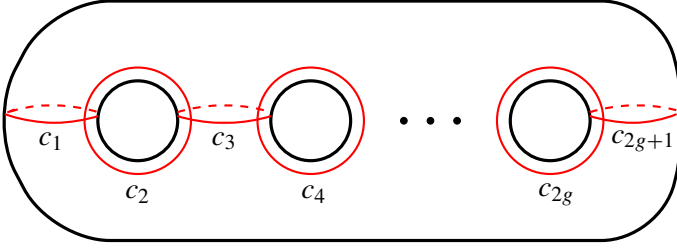


Figure 4. Dehn twist curves c_i on Σ_g .

Lemma 4 of [4], we obtain

$$(3) \quad U := t_1^{2g+2} t_3^{2g+2} (t_1^{t_2} t_2^{t_3} \cdots t_{2g}^{t_{2g+1}}) (t_{2g+1}^{t_{2g}} \cdots t_4^{t_3}) (t_3^{t_2} t_2^{t_3} t_1) = 1 \quad \text{in Mod}(\Sigma_g),$$

which is in fact Hurwitz equivalent to the square of the positive factorization of the hyperelliptic involution $h := (t_1 \cdots t_{2g} t_{2g+1}^2 t_{2g} \cdots t_1)$ in $\text{Mod}(\Sigma_g)$. Here t_i denotes a Dehn twist along the curve c_i shown in Figure 4. We also assume that $g \geq 5$ and is odd. Let also V be the following conjugate of U :

$$(4) \quad V := (t_1^{t_2} t_2^{t_3} \cdots t_{2g}^{t_{2g+1}}) (t_{2g+1}^{t_{2g}} \cdots t_4^{t_3}) (t_3^{2g+2} t_2^{t_3} t_1) t_1^{2g+2} t_3^{2g+2} = 1 \quad \text{in Mod}(\Sigma_g).$$

Consider the two mapping classes

$$\begin{aligned} \phi &:= (t_8 t_7 t_6 t_a) (t_5 t_6 t_7 t_8) (t_4 t_5 t_6 t_7) (t_3 t_4 t_5 t_6) (t_2 t_3 t_4 t_5) (t_1 t_2 t_3 t_4), \\ \psi &:= (t_8 t_9 t_{10} t_d) (t_7 t_8 t_9 t_{10}) (t_6 t_7 t_8 t_9) \cdots (t_1 t_2 t_3 t_4). \end{aligned}$$

We claim that $\phi(c_1) = a$, $\phi(c_3) = b$ and $\psi(c_1) = c$, $\psi(c_3) = d$; see Figure 6. This can be easily verified because of the following elementary observation: whenever we have a k -chain of curves u_1, \dots, u_k ,

$$t_{u_1} t_{u_2} \cdots t_{u_k} (u_i) = u_{i+1} \quad \text{for every } 1 \leq i \leq k-1.$$

Let us denote by Z_g the Lefschetz fibration corresponding to the positive factorization $P := V^\phi U^\psi$ in $\text{Mod}(\Sigma_g)$, a twisted fiber sum of the Lefschetz fibration on $\mathbb{C}\mathbb{P}^2 \# (4g+5)\overline{\mathbb{C}\mathbb{P}^2}$ with itself. Note that we have

$$\begin{aligned} (5) \quad P &= V^\phi U^\psi = V_1 t_a^{2g+2} t_b^{2g+2} \cdot t_c^{2g+2} t_d^{2g+2} U_1 \\ &= V_1 (t_a t_b t_c t_d)^{2g+2} U_1 \\ &= 1 \quad \text{in Mod}(\Sigma_g), \end{aligned}$$

where V_1, U_1 are the products of positive Dehn twists

$$\begin{aligned} U_1 &:= ((t_1^{t_2} t_2^{t_3} \cdots t_{2g}^{t_{2g+1}}) (t_{2g+1}^{t_{2g}} \cdots t_4^{t_3}) (t_3^{t_2} t_2^{t_3} t_1))^\psi, \\ V_1 &:= ((t_1^{t_2} t_2^{t_3} \cdots t_{2g}^{t_{2g+1}}) (t_{2g+1}^{t_{2g}} \cdots t_4^{t_3}) (t_3^{2g+2} t_2^{t_3} t_1))^\phi. \end{aligned}$$

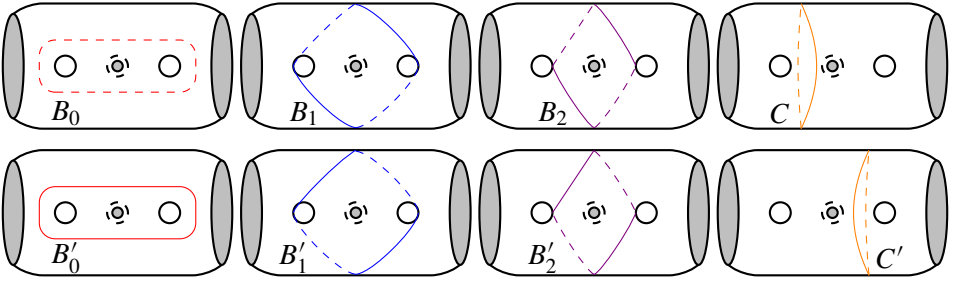


Figure 5. Vanishing cycles of the genus-2 pencil.

Our second building block is the following positive factorization by Hamada:

$$(6) \quad Q := t_{B_0} t_{B_1} t_{B_2} t_C t_{C'} t_{B'_2} t_{B'_1} t_{B'_0} = t_{\delta_1} t_{\delta_2} t_{\delta_3} t_{\delta_4} \quad \text{in } \text{Mod}(\Sigma_2^4)$$

for a genus-2 Lefschetz pencil on $S^2 \times T^2$, where the twist curves are as shown in Figure 5; see [3; 11].

Since the curves $\{a, b, c, d\}$ cobound a subsurface Σ_2^4 of Σ_g , we can *breed* (see [2; 3]) the genus-2 pencil prescribed by (6) into the Lefschetz fibration prescribed by (5) for k times, for any $k \leq 2g + 2$, and get a new positive factorization

$$(7) \quad P_{g,k} := V_1(t_a t_b t_c t_d)^{2g+2-k} R^k U_1 = 1 \quad \text{in } \text{Mod}(\Sigma_g),$$

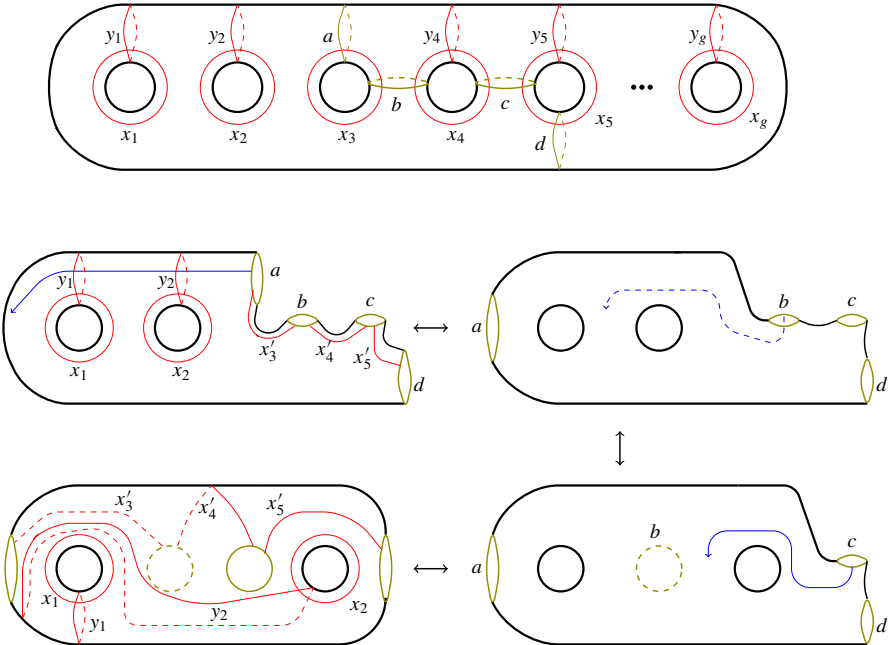


Figure 6. Embedding of Σ_2^4

where R is the image of the positive factorization Q under the homomorphism induced by a specific embedding $\Sigma_2^4 \hookrightarrow \Sigma_g$ we describe below. We let $Z_{g,k}$ denote the Lefschetz fibration corresponding to the positive factorization $P_{g,k}$.

The embedding $\Sigma_2^4 \hookrightarrow \Sigma_g$ is described in [Figure 6](#). Brown curves indicate where the boundary curves $\{\delta_i\}$ of Σ_2^4 in the positive factorization (6) are mapped to. Blue arrows illustrate how we isotope the boundaries of $\Sigma_2^4 \subset \mathbb{R}^3$ before embedding it into $\Sigma_g \subset \mathbb{R}^3$. Red curves constitute a geometric generating set for $H_1(\Sigma_g; \mathbb{Z}_2)$. Red arcs are the parts of these curves contained in the image of the embedding $\Sigma_2^4 \hookrightarrow \Sigma_g$.

4.2. The spin structure on $Z_{g,k}$. We are going to invoke [Theorem 2](#) to confirm that $Z_{g,k}$ admits a spin structure. The curves $\{x_i, y_i\}$ in [Figure 6](#) constitute a symplectic basis for $H_1(\Sigma_g; \mathbb{Z}_2)$. Consider the quadratic form q for a spin structure $s \in \text{Spin}(\Sigma_g)$, where for any $1 \leq i \leq g$,

$$\begin{aligned} q(x_i) &= 1 && \text{for all } i, \\ q(y_i) &= 1 && \text{for } i \text{ odd}, \\ q(y_i) &= 0 && \text{for } i \text{ even}. \end{aligned}$$

First of all, $c_{2i} = x_i$, $c_1 = y_1$, $c_{2g+1} = y_g$ and $c_{2i+1} = y_i - y_{i+1}$. This means that $q(c_i) = 1$ for each i . Therefore, $t_i := t_{c_i} \in \text{Mod}(\Sigma_g, s)$ for all i and the positive factorizations given in (3) and (4) are in fact factorizations in $\text{Mod}(\Sigma_g, s)$.

Secondly, $a = y_3$ and $d = y_5$ in $H_1(\Sigma_g; \mathbb{Z}_2)$, so $q(a) = 1 = q(d)$, in addition to $t_i \in \text{Mod}(\Sigma_g, s)$, so $\phi, \psi \in \text{Mod}(\Sigma_g, s)$. It follows that $P := V^\phi U^\psi = 1$ is a positive factorization in $\text{Mod}(\Sigma_g, s)$.

Thirdly, to check the spin condition for the new monodromy curves in R , we would like to express these curves in terms of the generators $\{x_i, y_i\}$.⁴ We get in $H_1(\Sigma_g, \mathbb{Z}/2)$ the expressions

$$\begin{aligned} B_0 &= x_1 + x_2 + y_3 + y_4, & B'_0 &= x_1 + x_2 + y_4 + y_5, \\ B_1 &= x_1 + x_2 + y_1 + y_2 + y_3 + y_4 + y_5, & B'_1 &= x_1 + x_2 + y_1 + y_2 + y_4, \\ B_2 &= y_1 + y_2 + y_3 + y_4 + y_5, & B'_2 &= y_1 + y_2 + y_4, \\ C &= y_3, & C' &= y_5. \end{aligned}$$

So we have

$$\begin{aligned} q(B_0) &= q(x_1 + x_2 + y_3 + y_4) = q(x_1) + q(x_2) + q(y_3) + q(y_4) = 1 + 1 + 1 + 0 = 1, \\ q(B'_0) &= q(x_1 + x_2 + y_4 + y_5) = q(x_1) + q(x_2) + q(y_4) + q(y_5) = 1 + 1 + 0 + 1 = 1, \end{aligned}$$

⁴Let F denote the embedding $\Sigma_2^4 \hookrightarrow \Sigma_g$ and let v_j be a Dehn twist curve in R . Instead of $F(v_j) \cdot x_i$ and $F(v_j) \cdot y_i$ we can look at $v_j \cdot F^{-1}(x_i)$ and $v_j \cdot F^{-1}(y_i)$ to run the calculation here. Note that if x_i or y_i is only partially contained in the image of F , then we denote the arc in its preimage by x'_i or y'_i .

$$\begin{aligned}
q(B_1) &= q(x_1) + q(x_2) + q(y_1) + q(y_2) + q(y_3) + q(y_4) + q(y_5) + 2 \\
&= 1 + 1 + 1 + 0 + 1 + 0 + 1 = 1, \\
q(B'_1) &= q(x_1) + q(x_2) + q(y_1) + q(y_2) + q(y_4) + 2 = 1 + 1 + 1 + 0 + 0 = 1, \\
q(B_2) &= q(y_1) + q(y_2) + q(y_3) + q(y_4) + q(y_5) = 1 + 0 + 1 + 0 + 1 = 1, \\
q(B'_2) &= q(y_1) + q(y_2) + q(y_4) = 1 + 0 + 0 = 1, \\
q(C) &= q(y_3) = 1, \\
q(C') &= q(y_5) = 1.
\end{aligned}$$

Hence, all the vanishing cycles of the Lefschetz fibration $Z_{g,k}$ satisfy the spin condition.

It is well known that the Lefschetz fibration with positive factorization U admits a (-1) -section; in fact this fibration is Hurwitz equivalent to a Lefschetz fibration obtained by blowing up all $4g + 4$ base points of a genus- g pencil on $S^2 \times S^2$ [17]. Therefore U , V , and in turn U^ψ , V^ϕ , all lift to a positive factorization of t_δ in $\text{Mod}(\Sigma_g^1)$, where δ is a boundary parallel curve on Σ_g^1 . We can pick a (-1) -section so that in the lift of U (and V), the lifts of t_{c_1} , t_{c_3} are still along disjoint curves in Σ_g^1 .⁵ The same goes for t_a , t_b of V^ϕ and t_c , t_d of U^ψ . Let us continue denoting the twist curves in their lifts by a , b and c , d . After an isotopy, we can assume that $P = V^\phi U^\psi = 1$ lifts to a positive factorization of t_δ^2 in $\text{Mod}(\Sigma_g^1)$ so that the boundary component is not contained in the subsurface $\Sigma_2^4 \subset \Sigma_g$ cobounded by $\{a, b, c, d\}$.

Therefore, for any $k \leq 2g + 2$ we have a spin positive factorization

$$P_{g,k} = V_1(t_a t_b t_c t_d)^{2g+2-k} R^k U_1 = 1 \quad \text{in } \text{Mod}(\Sigma_g, s),$$

which lifts to a positive factorization

$$\tilde{P}_{g,k} = \tilde{V}_1(t_a t_b t_c t_d)^{2g+2-k} \tilde{R}^k \tilde{U}_1 = t_\delta^2 \quad \text{in } \text{Mod}(\Sigma_g^1).$$

Hence every $Z_{g,k}$ admits a spin structure by [Theorem 2](#).

4.3. The fundamental group. Let $\{x_i, y_i\}$ be a geometric basis for $\pi_1(\Sigma_g)$ as shown in [Figure 7](#). Since $Z_{g,k}$ has a section, we have $G := \pi_1(Z_{g,k}) \cong \pi_1(\Sigma_g)/N(\{v_j\})$, where v_j are the Dehn twist curves in the positive factorization $P_{g,k}$ of $Z_{g,k}$.

Set

$$S := (t_1^{t_2} t_2^{t_3} \cdots t_{2g}^{t_{2g+1}})(t_{2g+1}^{t_{2g}} \cdots t_4^{t_3})(t_3^{2g+2} t_2^{2g+2} t_1).$$

So $U^\psi = t_c^{2g+2} t_d^{2g+2} U_1$ with $U_1 = S^\psi$, and $V^\phi = V_1 t_a^{2g+2} t_b^{2g+2}$ with $V_1 = S^\phi$. While the fundamental group of $Z_{g,k}$ can be calculated from the factorization

⁵The Dehn twist curves may get entangled when we take lifts, but for just one section we are after, this is not a problem for our positive factorization; see, e.g., [17] for many possible choices.

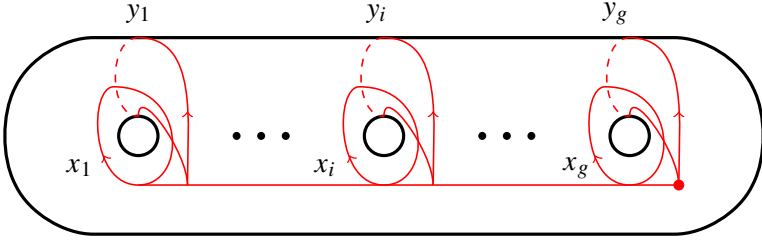


Figure 7. The generators x_i, y_i for $\pi_1(\Sigma_g)$.

$P_{g,k} = S^\phi(t_a t_b t_c t_d)^{2g+2-k} R^k S^\psi$, it can also be calculated from the factorization $P^{\phi^{-1}} = S(t_1 t_3 t_{\phi^{-1}(c)} t_{\phi^{-1}(d)})^{2g+2-k} (R^{\phi^{-1}})^k S^{\phi^{-1}\psi}$. We will run our calculations for the latter.

For $k < 2g + 2$ the Dehn twist curves of the latter factorization contain all the vanishing cycles $\{c_i\}$ in U , which we know kill all the generators of $\pi_1(\Sigma_g)$ to yield trivial the fundamental group, as P_U has total space $\mathbb{C}\mathbb{P}^2 \# (4g + 5) \overline{\mathbb{C}\mathbb{P}^2}$, a simply connected space. Thus, $\pi_1(Z_{g,k}) = 1$ for any $k < 2g + 2$.

For $k = 2g + 2$, first note that we can connect the vanishing cycles $\{c_i\}$ of U or V to the basepoint (where any two different paths connecting them to the base point will yield the same normal generating set) so that in $\pi_1(\Sigma_g)$ we have $c_{2i} = x_i$, $c_1 = y_1$, $c_{2g+1} = y_g$ and $c_{2i+1} = x_i x_{i+1}^{-1}$ for each i . It follows that $\pi_1(\Sigma_g)$ is generated by $\{c_i\}$. To get G we quotient $\pi_1(\Sigma_g)$ by normally generated subgroup by relators coming from the Dehn twist curves in S (and not U), which are of the form $t_i(c_{i-1})$ with $2 \leq i \leq 2g + 1$ and $t_3(c_4)$, along with several other relations. We may assume that c_i are oriented so that $c_{i-1} \cdot c_i = +1$ for all i . Then $t_i(c_{i-1}) = c_{i-1} c_i = 1$ and $t_3(c_4) = c_4 c_3^{-1}$. These relations imply that

$$c_1 = c_2^{-1} = c_3 = c_4^{-1} = \cdots = c_{2g}^{-1} = c_{2g+1}$$

and

$$c_3 = c_4.$$

We thus see that

$$G \cong \langle c_1 \mid c_1^2, \text{ rest of the relators coming from other vanishing cycles} \rangle$$

for our positive factorization $S(R^{\phi^{-1}})^{2g+2} S^{\phi^{-1}\psi}$.

At this point G is a quotient of the abelian group \mathbb{Z}_2 generated by c_1 , so it is certainly an abelian group, and it suffices to show that $H_1(Z_{g,2g+2}) = 0$.

We will argue this by observing that the vanishing cycle coming from $t_{\phi^{-1}(B_2)}$ induces a relator killing the homology class of c_1 . This is because it is homologous to an odd factor of c_1 . For this reason, it is in fact enough to consider $t_{\phi^{-1}(B_2)}$ in

$H_1(Z_{g,2g+2}; \mathbb{Z}_2)$. By the previous computations, we have

$$B_2 = (c_1) + (c_1 + c_3) + \cdots + (c_1 + c_3 + \cdots + c_9) = c_1 + c_5 + c_9.$$

Let's apply ϕ^{-1} . Then

$$\begin{aligned} c_1 + c_5 + c_9 &\xrightarrow{t_8^{-1}} c_1 + c_5 + c_8 + c_9 \xrightarrow{t_7^{-1}} c_1 + c_5 + c_7 + c_8 + c_9 \xrightarrow{t_6^{-1}} c_1 + c_5 + c_7 + c_8 + c_9 \\ &\xrightarrow{t_a^{-1}} c_1 + c_5 + c_7 + c_8 + c_9 \xrightarrow{t_5^{-1}} c_1 + c_5 + c_7 + c_8 + c_9 \\ &\xrightarrow{t_6^{-1}} c_1 + c_5 + c_7 + c_8 + c_9 \xrightarrow{t_7^{-1}} c_1 + c_5 + c_8 + c_9 \xrightarrow{t_8^{-1}} c_1 + c_5 + c_9 \\ &\xrightarrow{t_4^{-1}} c_1 + c_4 + c_5 + c_9 \xrightarrow{t_5^{-1}} c_1 + c_4 + c_9 \xrightarrow{t_6^{-1}} c_1 + c_4 + c_9 \xrightarrow{t_7^{-1}} c_1 + c_4 + c_9 \\ &\xrightarrow{t_3^{-1}} c_1 + c_3 + c_4 + c_9 \xrightarrow{t_4^{-1}} c_1 + c_3 + c_9 \xrightarrow{t_5^{-1}} c_1 + c_3 + c_9 \xrightarrow{t_6^{-1}} c_1 + c_3 + c_9 \\ &\xrightarrow{t_2^{-1}} c_1 + c_3 + c_9 \xrightarrow{t_3^{-1}} c_1 + c_3 + c_9 \xrightarrow{t_4^{-1}} c_1 + c_3 + c_4 + c_9 \\ &\xrightarrow{t_5^{-1}} c_1 + c_3 + c_4 + c_5 + c_9 \xrightarrow{t_1^{-1}} c_1 + c_3 + c_4 + c_5 + c_9 \\ &\xrightarrow{t_2^{-1}} c_1 + c_3 + c_4 + c_5 + c_9 \xrightarrow{t_3^{-1}} c_1 + c_4 + c_5 + c_9 \xrightarrow{t_4^{-1}} c_1 + c_5 + c_9, \end{aligned}$$

which gives c_1 in G (after killing all other c_i). Hence $G \cong 1$.

4.4. The geography. We are left with determining the portion of the geography plane populated by our simply connected spin Lefschetz fibrations

$$\{Z_{g,k} \mid g \geq 5 \text{ and odd, } k \leq 2g + 2 \text{ and nonnegative}\}.$$

The Euler characteristic of $Z_{g,k}$ is given by the formula

$$e(Z_{g,k}) = 4 - 4g + \ell = 4 - 4g + (16g + 8 + 4k) = 12(g + 1) + 4k,$$

where ℓ is the number of Dehn twist curves in $P_{g,k}$.

Since the positive factorization U commutes with a hyperelliptic involution on Σ_g (after all, it is Hurwitz equivalent to the positive factorization of a hyperelliptic involution itself), by Endo's signature formula for hyperelliptic fibrations [7], it has signature $-4g - 4$ (as expected, since the total space is $\mathbb{C}\mathbb{P}^2 \# (4g + 5)\overline{\mathbb{C}\mathbb{P}^2}$). By the Novikov additivity, we then get $\sigma(Z_g) = -8g - 8$. Breeding the signature zero genus-2 Lefschetz pencil into this fibration (any number of times) does not change the signature [2] and we get

$$\sigma(Z_{g,k}) = -8(g + 1).$$

We thus have

$$\chi_h(Z_{g,k}) = \frac{1}{4}(e(Z_{g,k}) + \sigma(Z_{g,k})) = g + 1 + k$$

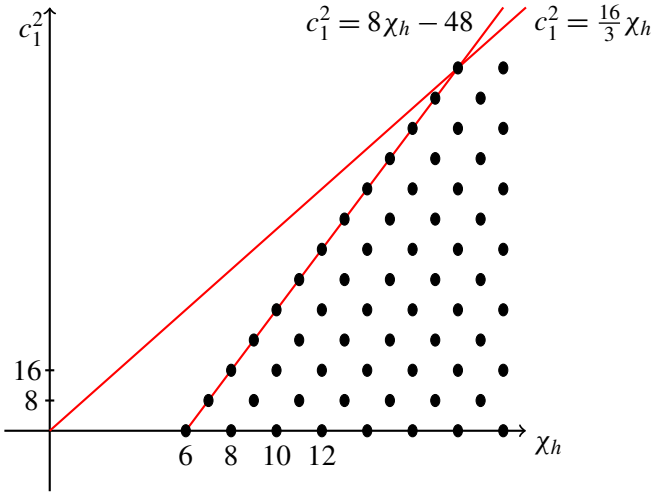


Figure 8. The region populated by spin $Z_{g,k}$.

and

$$c_1^2(Z_{g,k}) = 2e(Z_{g,k}) + 3\sigma(Z_{g,k}) = 8k.$$

Thus, setting $g = 2r + 5$, we see that $\{(\chi_h, c_1^2)(Z_{g,k})\}$ populate the region

$$\mathcal{R} = \{(6, 0) + r(2, 0) + k(1, 8) \mid (r, k) \in \mathbb{N} \times \mathbb{N} \text{ with } k \leq 4(r + 3)\}$$

of the geography plane, or equivalently,

$$\mathcal{R} = \{(m, n) \in \mathbb{N}^2 \mid n \geq 0, n \leq 8(m - 6), n \leq \frac{16}{3}m \text{ and } n \equiv 8m \pmod{16}\};$$

see **Figure 8**. In particular, one can easily see from the first description of \mathcal{R} above that we cover all of the admissible lattice points in \mathbb{N}^2 under the Noether line.

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