

Non-finiteness results for Nil-groups

JOACHIM GRUNEWALD

Generalizing an idea of Farrell we prove that for a ring Λ and a ring automorphism α of finite order the groups $\text{Nil}_0(\Lambda; \alpha)$ and all of its p -primary subgroups are either trivial or not finitely generated as an abelian group. We also prove that if β and γ are ring automorphisms such that $\beta \circ \gamma$ is of finite order then $\text{Nil}_0(\Lambda; \Lambda_\beta, \Lambda_\gamma)$ and all of its p -primary subgroups are either trivial or not finitely generated as an abelian group. These Nil-groups include the Nil-groups appearing in the decomposition of K_i of virtually cyclic groups for $i \leq 1$.

18F25; 19B28, 19D35

1 Introduction

Let Λ be a unital ring and α a ring automorphism. Farrell defined in his PhD thesis [2] twisted Nil-groups, $\text{Nil}_i(\Lambda; \alpha)$ for $i \in \mathbb{N}$. We denote the twisted polynomial ring by $\Lambda_\alpha[t]$. The Nil-group $\text{Nil}_i(\Lambda; \alpha)$ is the kernel of the map $\epsilon: K_{i+1}(\Lambda_\alpha[t]) \rightarrow K_{i+1}(\Lambda)$ which is induced by the augmentation map. Farrell–Hsiang [4] and Grayson [6] generalized the *fundamental lemma of algebraic K-theory* to twisted Laurent polynomial rings. They proved the exactness of the following sequence, relating the K -theory of the twisted Laurent polynomial ring $\Lambda_\alpha[t, t^{-1}]$ to the K -theory of Λ :

$$\begin{aligned} \cdots \longrightarrow K_{i+1}(\Lambda) \xrightarrow{1-\alpha_*} K_{i+1}(\Lambda) \longrightarrow \\ K_{i+1}(\Lambda_\alpha[t, t^{-1}]) / (\text{Nil}_i(\Lambda, \alpha) \oplus \text{Nil}_i(\Lambda, \alpha^{-1})) \longrightarrow K_i(\Lambda) \longrightarrow \cdots \end{aligned}$$

Let A , B and C be rings and let $\alpha: C \rightarrow A$ and $\beta: C \rightarrow B$ be inclusions which are *pure* and *free* (for a definition of pure and free see Waldhausen [10]). Let R be the push-out of the diagram

$$\begin{array}{ccc} C & \xrightarrow{\alpha} & A \\ \beta \downarrow & & \\ & & B \end{array}$$

in the category of rings. Waldhausen proved that there is a Mayer-Vietoris sequence for algebraic K -theory, which is exact up to Nil-groups $\text{Nil}_i(C; A', B')$, where A' is

defined to be the C -bimodule such that $A = \alpha(C) \oplus A'$ and B' is defined similarly [10; 11]. More precisely he proved that the following sequence is exact:

$$\begin{aligned} \cdots \longrightarrow K_{i+1}(C) \longrightarrow K_{i+1}(A) \oplus K_{i+1}(B) \longrightarrow \\ K_{i+1}(R)/\text{Nil}_i(C; A', B') \longrightarrow K_i(C) \longrightarrow \cdots \end{aligned}$$

In the article at hand we use an idea which goes back to Farrell [3] to prove that Nil-groups and its p -primary subgroups have the mysterious property of being either trivial or not finitely generated as an abelian group. For a ring automorphism $\alpha: \Lambda \rightarrow \Lambda$ we denote the Λ -bimodule Λ with Λ -action from the left via the identity and from the right via α by Λ_α . For an abelian group G and a prime p , define

$$G_p = \{x \in G : p^n x = 0 \text{ for some } n \geq 0\}.$$

G_p is called the p -primary subgroup of G .

Theorem 1.1 *Let Λ be a ring, p a prime and α a ring automorphism of finite order. The groups $\text{Nil}_0(\Lambda; \alpha)$ and $\text{Nil}_0(\Lambda; \alpha)_p$ are either trivial or not finitely generated as an abelian group. If β and γ are ring automorphisms such that $\beta \circ \gamma$ is of finite order then $\text{Nil}_0(\Lambda; \Lambda_\beta, \Lambda_\gamma)$ and $\text{Nil}_0(\Lambda; \Lambda_\beta, \Lambda_\gamma)_p$ are either trivial or not finitely generated as an abelian group.*

The non-finiteness of $\text{Nil}_0(\Lambda; \alpha)$ for $\alpha = \text{id}$ was already known [3] and the non-finiteness of $\text{Nil}_0(\mathbb{Z}G; \alpha)$ for a finite group G was independently proven by Ramos [9].

For topology the K -theory of group rings is of special importance and the Farrell-Jones conjecture, which is known to be true for a large class of groups, predicts that the building blocks of the K -theory of a group ring is the K -theory of virtually cyclic groups. There are two types of infinite virtually cyclic groups:

- (i) the semidirect product $G \rtimes \mathbb{Z}$ of a finite group G and the infinite cyclic group;
- (ii) the amalgamated product $G_1 *_H G_2$ of two finite groups G_1 and G_2 over a subgroup H such that $[G_1 : H] = 2 = [G_2 : H]$;

If one decomposes the K -theory of infinite virtually cyclic groups the Nil-groups of finite groups appear. Since for a finite group all automorphisms are of finite order we obtain the following corollary about Nil-groups of finite groups.

Corollary 1.2 *Let R be a ring, G a finite group, p a prime and α and β group automorphisms. The groups $\text{Nil}_i(RG; \alpha)$, $\text{Nil}_i(RG; \alpha)_p$, $\text{Nil}_i(RG; RG_\alpha, RG_\beta)$ and $\text{Nil}_i(RG; RG_\alpha, RG_\beta)_p$ are either trivial or not finitely generated as an abelian group for $i \leq 0$.*

For $R = \mathbb{Z}$ the considered Nil-groups are known to vanish for $i \leq -2$ (see Farrell and Jones [5]) and are known to be n -torsion for an arbitrary group of finite order n (see Kuku and Tang [8]).

2 Non-finiteness results for Nil-groups

In the following Λ will always be a unital ring and α a ring automorphism of finite order n , that is, $\alpha^n = \text{id}$.

For $m \in \mathbb{N}$ we have canonical inclusion maps

$$\sigma_m: \Lambda_{\alpha^{nm+1}}[t^{nm+1}] \rightarrow \Lambda_{\alpha}[t].$$

Those maps induce transfer and induction maps

$$\begin{aligned} \sigma_*^m: K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]) &\rightarrow K_1(\Lambda_{\alpha}[t]) \\ \sigma_m^*: K_1(\Lambda_{\alpha}[t]) &\rightarrow K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]). \end{aligned}$$

Since $\text{Nil}_0(\Lambda; \alpha) = \text{Nil}_0(\Lambda; \alpha^{nm+1})$ we have an embedding

$$\iota': \text{Nil}_0(\Lambda; \alpha) \hookrightarrow K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]).$$

The proof of the non-finiteness result is based on the following diagram:

$$\begin{array}{ccc} \text{Nil}_0(\Lambda; \alpha) & \xrightarrow{\iota'} & K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]) \\ & & \downarrow \sigma_*^m \\ \text{Nil}_0(\Lambda; \alpha) & \xrightarrow{\iota} & K_1(\Lambda_{\alpha}[t]) \\ & & \downarrow \sigma_m^* \\ & & K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]). \end{array}$$

The idea is to choose, for a finitely generated Nil-group, m such that $\sigma_m^* \sigma_*^m \iota'$ is a monomorphism (Lemma 2.1) and trivial (Proposition 2.3). Thus every finitely generated Nil-group is trivial.

Lemma 2.1 *Let G be a finitely generated subgroup of $K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}])$. For every $K \in \mathbb{N}$ there is an $m \geq K$ such that $\sigma_m^* \sigma_*^m$ is a monomorphism on G .*

Proof Let T be the exponent of the torsion subgroup of G and let F be the rank of a maximal torsion free subgroup. Choose $\ell \in \mathbb{N}$ such that $\ell \cdot T \geq K$. For $x \in$

$K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}])$ we have

$$\sigma_{\ell \cdot T}^* \sigma_*^{\ell \cdot T}(x) = \sum_{i=0}^{n \cdot \ell \cdot T} \alpha_*^i(x) = x + \ell \cdot T \sum_{i=1}^n \alpha_*^i(x)$$

where $\alpha_*: K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]) \rightarrow K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}])$ is the map which is induced by the ring automorphism on $\Lambda_{\alpha^{nm+1}}[t^{nm+1}]$ which sends an element $\sum r_i t^i$ to the element $\sum \alpha(r_i) t^i$. The automorphism α_* restricts to an automorphism of $A := \cup_{i=1}^n \alpha^i(\mathbb{Z}^F)$ where \mathbb{Z}^F is a maximal torsion free subgroup of G . The map $\alpha_*|_A$ is conjugate to a diagonal matrix, that is,

$$g \alpha_*|_A g^{-1} = \begin{pmatrix} \zeta_1 & & \\ & \ddots & \\ & & \zeta_r \end{pmatrix}$$

where $g \in GL_r(\mathbb{C})$ and $\zeta_1, \dots, \zeta_r \in \mathbb{C}$ are n th roots of unity. We can find $k \in \mathbb{N}$ such that $\sum_{i=1}^{k \cdot \ell \cdot T} \zeta_j^i \neq -1$ for all $j \in \{1, \dots, r\}$. One verifies easily that $\sigma_{k \cdot \ell \cdot T}^* \sigma_*^{k \cdot \ell \cdot T}(x)$ is a monomorphism. \square

Lemma 2.2 *The image of ι' is mapped into the image of ι by every σ_*^m .*

Proof The result follows since the diagram

$$\begin{array}{ccc} K_1(\Lambda_{\alpha^{nm+1}}[t^{nm+1}]) & \xrightarrow{\epsilon} & K_1(\Lambda) \\ \sigma_*^m \downarrow & & \downarrow \text{id} \\ K_1(\Lambda_{\alpha}[t]) & \xrightarrow{\epsilon} & K_1(\Lambda) \end{array}$$

commutes. We denote the maps which are induced by the augmentation map by ϵ . \square

Proposition 2.3 *For every $x \in \text{Nil}_0(\Lambda; \alpha)$, there exists an integer $K(x)$ such that $\sigma_m^*(x) = 0$ for all integers $m \geq K(x)$.*

For the proof of Proposition 2.3 we need the following lemma. We denote by $GL_n(\Lambda)$ the group of invertible $n \times n$ matrices, by $GL(\Lambda)$ the colimit over $GL_n(\Lambda)$ and by $E(\Lambda_{\alpha}[t])$ the subgroup of $GL(\Lambda_{\alpha}[t])$ generated by all elementary matrices. For a matrix N we denote by $\alpha(N)$ the matrix obtained for N by applying α to each component.

Lemma 2.4 *Every matrix $B \in GL(\Lambda_{\alpha}[t])$ can be reduced, modulo $GL(\Lambda)$ and $E(\Lambda_{\alpha}[t])$, to a matrix of the form $1 + Nt$, where*

$$\prod_{j=0}^M \alpha^{-j}(N) = 0$$

for some $M \in \mathbb{N}$.

Proof We have

$$B = B_0 + B_1t + \dots + B_nt^n$$

with $B_i \in \text{Mat}_m(\Lambda)$. In $\text{GL}(\Lambda_\alpha[t])$ we have

$$B = \begin{pmatrix} B & 0 \\ 0 & \text{id} \end{pmatrix}.$$

Modulo $E(\Lambda_\alpha[t])$ we have:

$$\begin{pmatrix} B & 0 \\ 0 & \text{id} \end{pmatrix} = \begin{pmatrix} B & B_nt^n \\ 0 & \text{id} \end{pmatrix} = \begin{pmatrix} B - B_nt^n & B_nt^n \\ -t & \text{id} \end{pmatrix}.$$

This implies by induction that

$$B = \tilde{B}_0 + \tilde{B}_1t.$$

Since $B \in \text{GL}_k(\Lambda_\alpha[t])$ there exists B^{-1} with

$$B^{-1} = C_0 + C_1t + \dots + C_mt^m.$$

where $C_i \in \text{Mat}_k(\Lambda)$. We have

$$1 = BB^{-1} = B_0C_0 + B_1tC_0 + \dots + B_1tC_mt^m.$$

Thus $B_0C_0 = 1$ and therefore $B = 1 + Nt$ module $\text{GL}(\Lambda)$. Let $L = L_0 + L_1t + \dots + L_mt^m$ be the inverse of $(1 + Nt)$. We have

$$\begin{aligned} 1 &= (1 + Nt)(L_0 + L_1t + \dots + L_mt^m) \\ &= L_0 + NtL_0 + L_1t + NtL_1t + \dots + L_mt^m + NtL_mt^m \\ &= L_0 + \sum_{i=0}^{m-1} (N\alpha^{-1}(L_i) + L_{i+1})t^{i+1} + N\alpha^{-1}(L_m)t^{m+1} \end{aligned}$$

This implies the following identities:

$$\begin{aligned} L_0 &= 1 \\ N\alpha^{-1}(L_0) + L_1 &= 0 \\ &\vdots \\ N\alpha^{-1}(L_i) + L_{i+1} &= 0 \\ &\vdots \\ N\alpha^{-1}(L_{m-1}) + L_m &= 0 \\ N\alpha^{-1}(L_m) &= 0. \end{aligned}$$

Thus

$$\prod_{j=0}^{m-1} \alpha^{-j}(N) = 0.$$

□

Proof of Proposition 2.3 By Lemma 2.4 we have

$$x = 1 + Nt$$

with

$$\prod_{i=0}^M \alpha^{-i}(N) = 0.$$

The element $\sigma_*^m(x)$ is represented by the matrix

$$\begin{pmatrix} \text{id} & & & & \alpha^{-nm}(N)t^{nm+1} \\ N & \text{id} & & & \\ & \alpha^{-1}(N) & \ddots & & \\ & & \ddots & \text{id} & \\ & & & \alpha^{-nm+1}(N) & \text{id} \end{pmatrix}.$$

Thus $\sigma_*^m(x)$ is also represented by the following matrix:

$$\begin{pmatrix} \text{id} + (-1)^{nm} (\prod_{i=0}^{nm} \alpha^{-i}(N))t^{nm+1} & \dots & (-1)^{nm-j} (\prod_{i=j}^{nm} \alpha^{-i}(N))t^{nm+1} & \dots & \alpha^{-nm}(N)t^{nm+1} \\ & \text{id} & & & \\ & & \ddots & & \\ & & & \ddots & \\ & & & & \text{id} \end{pmatrix}$$

This implies that for m such that $n \cdot m \geq M$ we have $\sigma_*^m(x) = 0$. □

Theorem 2.5 *Let Λ be a ring, p a prime and α a ring automorphism of finite order. The groups $\text{Nil}_0(\Lambda; \alpha)$ and $\text{Nil}_0(\Lambda; \alpha)_p$ are either trivial or not finitely generated as an abelian group.*

Proof Assume $\text{Nil}_0(\Lambda; \alpha)$ to be a finitely generated abelian group. By Lemma 2.2 and Proposition 2.3 we can find K such that $\sigma_m^* \sigma_*^m t'(x) = 0$ for all $x \in \text{Nil}(\Lambda; \alpha)$ and $m \geq K$. By Lemma 2.1 we can find an $m \geq K$ such that $\sigma_m^* \sigma_*^m t'$ is a monomorphism. Thus $\text{Nil}_0(\Lambda; \alpha)$ is the trivial group. The proof for $\text{Nil}(\Lambda; \alpha)_p$ goes in exactly the same way. □

Corollary 2.6 *Let Λ be a ring, p be a prime and α and β be ring automorphisms such that $\alpha \circ \beta$ is of finite order. The groups $\text{Nil}_0(\Lambda; \Lambda_\alpha, \Lambda_\beta)$ and $\text{Nil}_0(\Lambda; \Lambda_\alpha, \Lambda_\beta)_p$ are either trivial or not finitely generated as an abelian group.*

Proof It is a result of Kuku and Tang [8] that $\text{Nil}_0(\Lambda; \Lambda_\alpha, \Lambda_\beta)$ can also be described as a Nil-group of type $\text{Nil}_0(\Lambda \times \Lambda; \gamma)$ where γ is the ring automorphism defined by

$$\gamma: (a, b) \mapsto (\beta(b), \alpha(a)). \quad \square$$

Corollary 2.7 *Let R be a ring, G a finite group, p a prime and α and β group automorphisms. The groups $\text{Nil}_i(RG; \alpha)$, $\text{Nil}_i(RG; \alpha)_p$, $\text{Nil}_i(RG; RG_\alpha, RG_\beta)$ and $\text{Nil}_i(RG; RG_\alpha, RG_\beta)_p$ are either trivial or not finitely generated as an abelian group for $i \leq 0$.*

Proof Using the suspension ring construction as explained by Bartels and Lück [1] and by the author [7], one gets that the considered Nil-groups are covered by Theorem 2.5 and Corollary 2.6. \square

References

- [1] **A Bartels, W Lück**, *Isomorphism conjecture for homotopy K -theory and groups acting on trees*, J. Pure Appl. Algebra 205 (2006) 660–696 MR2210223
- [2] **F T Farrell**, *The obstruction to fibering a manifold over a circle*, Indiana Univ. Math. J. 21 (1971/1972) 315–346 MR0290397
- [3] **F T Farrell**, *The nonfiniteness of Nil*, Proc. Amer. Math. Soc. 65 (1977) 215–216 MR0450328
- [4] **F T Farrell, W-C Hsiang**, *A formula for $K_1 R_\alpha[T]$* , from: “Applications of Categorical Algebra (Proc. Sympos. Pure Math., Vol. XVII, New York, 1968)”, Amer. Math. Soc., Providence, R.I. (1970) 192–218 MR0260836
- [5] **F T Farrell, L E Jones**, *The lower algebraic K -theory of virtually infinite cyclic groups*, K-Theory 9 (1995) 13–30 MR1340838
- [6] **D R Grayson**, *The K -theory of semilinear endomorphisms*, J. Algebra 113 (1988) 358–372 MR929766
- [7] **J Grunewald**, *The Behavior of Nil-Groups under Localization and the Relative Assembly Map*, Preprintreihe SFB 478–Geometrische Strukturen in der Mathematik, Münster, Heft 429 (2006)
- [8] **A O Kuku, G Tang**, *Higher K -theory of group-rings of virtually infinite cyclic groups*, Math. Ann. 325 (2003) 711–726 MR1974565
- [9] **R Ramos**, *Non Finiteness of twisted Nils*, preprint (2006)

- [10] **F Waldhausen**, *Algebraic K-theory of generalized free products I, II*, Ann. of Math. (2) 108 (1978) 135–204 MR0498807
- [11] **F Waldhausen**, *Algebraic K-theory of generalized free products III, IV*, Ann. of Math. (2) 108 (1978) 205–256 MR0498808

*Fachbereich Mathematik und Informatik, Westfälische Wilhelms-Universität Münster
Einsteinstrasse 62, D-48149 Münster, Germany*

`grunewal@math.uni-muenster.de`

Received: 6 May 2006