

## Characteristic classes of proalgebraic varieties and motivic measures

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Gromov initiated what he calls “symbolic algebraic geometry”, in which he studied proalgebraic varieties. In this paper we formulate a general theory of characteristic classes of proalgebraic varieties as a natural transformation, which is a natural extension of the well-studied theories of characteristic classes of singular varieties. Fulton–MacPherson bivariant theory is a key tool for our formulation and our approach naturally leads us to the notion of motivic measure and also its generalization.

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*Dedicated to Clint McCrory on the occasion of his 65th birthday*

### 1 Introduction

This work was originally motivated by Gromov’s papers [38; 39] and partly by our paper [78].

A pro-category was introduced by Grothendieck [41] and used to develop the Etale Homotopy Theory by Artin and Mazur [3] and Shape Theory by Borsuk [8], Edwards [28], Mardešić and Segal [53], etc. A *pro-algebraic variety* is a projective system of complex algebraic varieties and a *proalgebraic variety* is the projective limit of a pro-algebraic variety. In [38], Gromov investigated *surjunctivity* (see Gottschalk [37]), ie, *being either surjective or noninjective*, in the category of proalgebraic varieties. The original surjunctivity theorem is Ax’ *Theorem* [5], saying that every regular self-mapping of a complex algebraic variety is surjunctive.

In this paper we do not deal with Ax-type theorems, but we consider characteristic classes of proalgebraic varieties. In [38] Gromov uses *proconstructible set* or *proconstructible space* at several places, but he does not seem to give precise definitions for these terms. So a naïve question is how one should define “proconstructible set” or equivalently “proconstructible function” on a proalgebraic variety, and therefore how one should define the Chern–Schwartz–MacPherson class of a proalgebraic variety. This is surely hinted by MacPherson’s Chern class transformation  $c_*: F \rightarrow H_*$  from

the covariant constructible function functor  $F$  to the homology theory [51] (also see Brasselet and Schwarz [15], and Schwarz [67; 68]). A very simple example of a proalgebraic variety is the Cartesian product  $X^{\mathbb{N}}$  of an infinite countable copies of a complex algebraic variety  $X$ , which is one of the main objects treated in [38]. What would be the Chern–Schwarz–MacPherson class of  $X^{\mathbb{N}}$ ? In particular, what would be the Euler–Poincaré characteristic of  $X^{\mathbb{N}}$ ? Our answers are that they are respectively the Chern–Schwarz–MacPherson class  $c_*(X)$  and the Euler–Poincaré characteristic  $\chi(X)$  in a sense which will be clarified later. It is this very simple observation that led us to this work.

We will give a general theory of characteristic classes of proalgebraic varieties, which is formulated as a natural transformation just like the now well-known theories of characteristic classes of singular varieties. In Section 2 we quickly recall the theory of characteristic classes of singular varieties, which is the base of the present work. We discuss whether one should consider the inductive limit or the projective limit in order to consider a reasonable notion of “proconstructible function” on the proalgebraic variety. As reasonable models for characteristic classes of proalgebraic varieties we consider some simple but instructive situations. In Section 3, suggested by the results in Section 2, from a bifunctor  $\mathcal{F}$  we introduce the notion of  $\chi_{\mathcal{F}}$ -stable objects and obtain  $\mathcal{F}$ -characteristics of proalgebraic varieties or more generally projective systems, as a simple or natural pro-analogue of the classical characteristics such as Euler–Poincaré characteristic, arithmetic genus, signature and Hirzebruch  $\chi_y$ -genus. We show that our approach naturally leads us to *motivic measure* (see, eg, Craw [23], Denef and Loeser [24; 25], Kontsevich [45], Looijenga [50] and Veys [70]) and its generalization. In Section 4 we give a class version of the above  $\mathcal{F}$ -characteristics. For that we need more requirements on the bifunctor  $\mathcal{F}$  and also pro-morphisms between pro-algebraic varieties; in particular, we need the base change formula for the bifunctor (pre-Mackey functor). Furthermore in a general context we formulate a general theory of characteristic classes of pro-objects as a natural transformation, using Fulton–MacPherson bivariant theory [34]. In Section 5 we consider Green functors and Grothendieck–Green functors, which are (pre-)Mackey functors with additional structure, and show a uniqueness theorem concerning the constructible function functor  $F(X)$  and the relative Grothendieck group  $K_0(\mathcal{V}/X)$ .

## 2 Preliminaries

### 2.1 Pro-category

Let  $\mathcal{C}$  be a given category and  $I$  a directed set. A projective system is a system  $\{X_i, \pi_{ii'}: X_{i'} \rightarrow X_i \ (i < i')\}$  consisting of objects  $X_i \in \text{Obj}(\mathcal{C})$ , morphisms

$\pi_{ii'}: X_{i'} \rightarrow X_i \in \text{Mor}(\mathcal{C})$  for each  $i < i'$  and the index set  $I$ . The morphism  $\pi_{ii'}: X_{i'} \rightarrow X_i$  is called a *structure morphism* or a *bonding morphism*; see Mardešić and Segal [53]. The projective system  $\{X_i, \pi_{ii'}: X_{i'} \rightarrow X_i (i < i')\}$  is sometimes simply denoted by  $\{X_i\}_{i \in I}$ .

Given a category  $\mathcal{C}$ ,  $\text{pro-}\mathcal{C}$  is the category whose objects are projective systems  $X = \{X_i\}_{i \in I}$  in  $\mathcal{C}$  and whose set of morphisms from  $X = \{X_i\}_{i \in I}$  to  $Y = \{Y_j\}_{j \in J}$  is  $\text{pro-}\mathcal{C}(X, Y) := \lim_{\leftarrow J} (\lim_{\rightarrow I} \mathcal{C}(X_i, Y_j))$ . An object in  $\text{pro-}\mathcal{C}$  is called a *pro-object* and a morphism in  $\text{pro-}\mathcal{C}$  a *pro-morphism*.

The above definition of a pro-morphism is not crystal clear, but a more down-to-earth definition is given by, eg, Fox [31] or Mardešić and Segal [53]. It follows from [53] that for two pro-objects  $X = \{X_i\}_{i \in I}$  and  $Y = \{Y_j\}_{j \in J}$ , a pro-morphism  $f = \{f_i\}_{i \in I}: X \rightarrow Y$  is described as follows: there is an order-preserving map  $\xi: J \rightarrow I$ , ie,  $\xi(i) < \xi(i')$  for  $i < i'$ , and for each  $i \in I$  there is a morphism  $f_i: X_{\xi(i)} \rightarrow Y_i$  such that for  $i < i'$  the following diagram commutes:

$$\begin{array}{ccc} X_{\xi(i')} & \xrightarrow{f_{i'}} & Y_{i'} \\ \pi_{\xi(i)\xi(i')} \downarrow & & \downarrow \rho_{ii'} \\ X_{\xi(i)} & \xrightarrow{f_i} & Y_i. \end{array}$$

From now on, to make the presentation simpler, we assume that a pro-morphism (promorphism, resp.) is (the projective limit of, resp.) a projective system of morphisms of objects with the same directed set  $I$  and that the order-preserving map  $\xi: I \rightarrow I$  is the identity.

**2.1.1 Remark** Given a projective system  $X = \{X_i\}_{i \in I}$  the projective limit  $X_\infty := \varprojlim X_i$  may not belong to the source category  $\mathcal{C}$ . For a certain sufficient condition for the existence of the projective limit in the category  $\mathcal{C}$ ; see Mardešić and Segal [53] for example.

Let  $\mathfrak{F}: \mathcal{C} \rightarrow \mathcal{D}$  be a covariant functor between two categories  $\mathcal{C}, \mathcal{D}$ . Obviously the covariant functor  $\mathfrak{F}$  extends to a covariant pro-functor  $\text{pro-}\mathfrak{F}: \text{pro-}\mathcal{C} \rightarrow \text{pro-}\mathcal{D}$  defined by  $\text{pro-}\mathfrak{F}(\{X_i\}_{i \in I}) := \{\mathfrak{F}(X_i)\}_{i \in I}$ . Let  $\mathfrak{F}_1, \mathfrak{F}_2: \mathcal{C} \rightarrow \mathcal{D}$  be two covariant functors and  $\mathfrak{N}: \mathfrak{F}_1 \rightarrow \mathfrak{F}_2$  be a natural transformation between the two functors  $\mathfrak{F}_1$  and  $\mathfrak{F}_2$ . Then the natural transformation  $\mathfrak{N}: \mathfrak{F}_1 \rightarrow \mathfrak{F}_2$  extends to a natural pro-transformation  $\text{pro-}\mathfrak{N}: \text{pro-}\mathfrak{F}_1 \rightarrow \text{pro-}\mathfrak{F}_2$ , taking the projective limit of which gives rise to the pro-transformation  $\varprojlim \mathfrak{N}: \varprojlim \mathfrak{F}_1 \rightarrow \varprojlim \mathfrak{F}_2$ . Here we denote  $\mathfrak{F}^{\text{pro}}(X_\infty) := \varprojlim \mathfrak{F}(X_i)$  for a covariant functor  $\mathfrak{F}$  and  $\mathfrak{N}^{\text{pro}} = \varprojlim \mathfrak{N}$ .

## 2.2 Characteristic classes of singular varieties

In this section we quickly recall well-studied theories of characteristic classes of singular varieties, which are typical models for the natural transformation  $\mathfrak{N}: \mathfrak{F}_1 \rightarrow \mathfrak{F}_2$ , where  $\mathfrak{F}_1$  and  $\mathfrak{F}_2$  are covariant functors from the category of complex algebraic varieties to the category of abelian groups.

The classical theory of characteristic class is a natural transformation from the contravariant functor of vector bundles to the contravariant cohomology theory. When it comes to characteristic classes of singular spaces, they are considered in homology theory, instead of cohomology theory and still formulated as *natural transformations from a covariant functor  $\mathcal{F}$  to a (suitable) homology theory  $H_*$* . Topologically or geometrically the following are most important and interesting:

- (1) MacPherson's Chern class transformation [51]:

$$c_*: F(X) \rightarrow H_*(X),$$

- (2) Baum, Fulton and MacPherson's Todd class or Riemann–Roch [6]:

$$\mathrm{td}_*: G_0(X) \rightarrow H_*(X) \otimes \mathbb{Q},$$

- (3) Goresky and MacPherson's homology  $L$ -class [36], which is extended as a natural transformation by Cappell and Shaneson [21] (also see Yokura [73]):

$$L_*: \Omega(X) \rightarrow H_*(X) \otimes \mathbb{Q}.$$

Here  $F(X)$  is the abelian group of constructible functions on  $X$ ,  $G_0(X)$  is the Grothendieck group of coherent sheaves on  $X$ ,  $\Omega(X)$  is the Cappell–Shaneson–Youssin cobordism group of self-dual constructible sheaves (see Cappell and Shaneson [21], Woolf [71] and You [82]) and  $H_*(X)$  is the Borel–Moore homology theory (eg, see Fulton [33]).

Since MacPherson's Chern class is the most fundamental one in the development of characteristic classes of singular varieties and we also need some facts on constructible functions in sections below, we quickly recall MacPherson's Chern class.

A constructible function on a variety is an integer-valued function for which the variety has a finite stratification into constructible sets such that the function is constant on each constructible set. Another simpler description of  $F(X)$  is the following. For a subvariety  $W \subset X$ , let  $\mathbb{1}_W$  be the characteristic function supported on  $W$ , ie,  $\mathbb{1}_W(x) = 1$  for  $x \in W$  and  $\mathbb{1}_W(x) = 0$  for  $x \notin W$ . Then  $F(X)$  consists of all finite linear combinations of such characteristic functions supported on subvarieties with integer coefficients. With the pullback  $f^*: F(Y) \rightarrow F(X)$  defined by  $f^*\alpha :=$

$\alpha \circ f$ , the assignment  $X \mapsto F(X)$  is a *contravariant functor*. With the pushforward  $f_*: F(X) \rightarrow F(Y)$  defined by  $f_*(\mathbb{1}_W)(p) = \chi(f^{-1}(p) \cap W)$ , the assignment  $X \mapsto F(X)$  is a *covariant functor* by MacPherson [51, Proposition 1]. The *Euler–Poincaré characteristic* homomorphism  $\chi: F(X) \rightarrow \mathbb{Z}$  is defined by  $\chi(\alpha) := \sum_{n \in \mathbb{Z}} n \chi(\alpha^{-1}(n))$ . Then for a morphism  $\pi_X: X \rightarrow \text{pt}$  to a point  $\text{pt}$ , the pushforward  $(\pi_X)_*: F(X) \rightarrow F(\text{pt}) = \mathbb{Z}$  is nothing but  $\chi: F(X) \rightarrow \mathbb{Z}$ . So, for a morphism  $f: X \rightarrow Y$ , from the covariance of  $F$  we get the following commutative diagram:

$$\begin{array}{ccc}
 F(X) & \xrightarrow{f_*} & F_*(Y) \\
 & \searrow \chi & \swarrow \chi \\
 & & \mathbb{Z}
 \end{array}$$

In fact, the commutativity of this diagram follows from the definition of the pushforward  $f_*: F(X) \rightarrow F(Y)$  and the stratification theory (see [51]).

Deligne and Grothendieck conjectured and MacPherson affirmatively solved the following:

**2.2.1 Theorem** [51, Theorem 1] *There exists a unique natural transformation from the covariant constructible function functor to the Borel–Moore homology covariant functor  $c_*: F(-) \rightarrow H_*(-)$  such that for a nonsingular variety  $X$  the value of the characteristic function  $\mathbb{1}_X$  is the Poincaré dual of the total Chern cohomology class:  $c_*(\mathbb{1}_X) = c(TX) \cap [X]$  where  $TX$  is the tangent bundle of  $X$ .*

**2.2.2 Remark** The above theorem is an answer for the question of if there exists (uniquely) a homomorphism  $\phi(X): F(X) \rightarrow H_*(X)$  such that the following diagram commutes:

$$\begin{array}{ccc}
 F(X) & \xrightarrow{\phi(X)} & H_*(X) \\
 & \searrow \chi & \swarrow \int \\
 & & \mathbb{Z} \\
 & \swarrow \chi & \searrow \int \\
 F(Y) & \xrightarrow{\phi(Y)} & H_*(Y)
 \end{array}$$

$f_*$  (vertical arrow from  $F(X)$  to  $F(Y)$ )  
 $f_*$  (vertical arrow from  $H_*(X)$  to  $H_*(Y)$ )

Here  $\int: H_*(X) \rightarrow \mathbb{Z}$  is the integration or equal to  $(\pi_X)_*: H_*(X) \rightarrow H_*(\text{pt}) = \mathbb{Z}$ . It is obviously a Grothendieck–Riemann–Roch type question for Chern classes just like Grothendieck extended Hirzebruch–Riemann–Roch theorem to the Grothendieck–Riemann–Roch theorem (cf Grothendieck [40, Part II, note(87<sub>1</sub>), pages 361 ff]).

The constructible function functor  $F$  is a bifunctor, ie, a functor which is both covariant and contravariant. Another bifunctor which we need in later sections is the *relative Grothendieck group*  $K_0(\mathcal{V}/X)$ . This was introduced by Looijenga [50] and further studied by Bittner [7].

**2.2.3 Definition**  $K_0(\mathcal{V}/X)$  is the quotient of the free abelian group of isomorphism classes of morphisms to  $X$  (denoted by  $[Y \rightarrow X]$ ,  $[Y \xrightarrow{h} X]$  or  $[h: Y \rightarrow X]$ ), modulo the relation

$$[Y \xrightarrow{h} X] = [Z \hookrightarrow Y \xrightarrow{h} X] + [Y \setminus Z \hookrightarrow Y \xrightarrow{h} X]$$

for a closed subvariety  $Z \subset Y$ . The isomorphism class  $[h: Y \rightarrow X]$  shall be called the *Grothendieck class* of  $h: Y \rightarrow X$ .

- The ring structure is given for  $[f: Y \rightarrow X], [g: W \rightarrow X] \in K_0(\mathcal{V}/X)$  by

$$[Y \xrightarrow{f} X] \cdot [W \xrightarrow{g} X] := [Y \times_X W \xrightarrow{f \times_X g} X].$$

- For a morphism  $f: X' \rightarrow X$ , the pushforward  $f_*: K_0(\mathcal{V}/X') \rightarrow K_0(\mathcal{V}/X)$  is defined by  $f_*[h: Y \rightarrow X'] := [f \circ h: Y \rightarrow X]$ .
- For a morphism  $f: X' \rightarrow X$ , the pullback  $f^*: K_0(\mathcal{V}/X) \rightarrow K_0(\mathcal{V}/X')$  is defined by  $f^*[g: Y \rightarrow X] := [g': Y' \rightarrow X']$ , using the fiber square

$$\begin{array}{ccc} Y' & \xrightarrow{g'} & X' \\ f' \downarrow & & \downarrow f \\ Y & \xrightarrow{g} & X. \end{array}$$

- The exterior product  $\times: K_0(\mathcal{V}/X) \times K_0(\mathcal{V}/Y) \rightarrow K_0(\mathcal{V}/X \times Y)$  is defined by

$$[V \xrightarrow{h} X] \times [W \xrightarrow{k} Y] := [V \times W \xrightarrow{h \times k} X \times Y].$$

**2.2.4 Remark** When  $X = \text{pt}$  is a point, the relative Grothendieck ring  $K_0(\mathcal{V}/\text{pt})$  is the usual Grothendieck ring  $K_0(\mathcal{V})$ . By considering  $\pi_X: X \rightarrow \text{pt}$ , we get the homomorphism  $\pi_{X*}: K_0(\mathcal{V}/X) \rightarrow K_0(\mathcal{V})$ , which shall be denoted by  $\chi_{\text{Gro}}$ . Then we have the following commutative diagram:

$$\begin{array}{ccc} K_0(\mathcal{V}/X) & \xrightarrow{f_*} & K_0(\mathcal{V}/Y) \\ & \searrow \chi_{\text{Gro}} & \swarrow \chi_{\text{Gro}} \\ & & K_0(\mathcal{V}) \end{array}$$

In Brasselet, Schürmann and Yokura [14] (cf work of Brasselet, Schürmann and Yokura [11; 64; 66; 81]) we showed the following theorem (originally, using Saito’s theory of mixed Hodge modules [60]):

**2.2.5 Theorem** (Motivic Hirzebruch class of singular varieties) *There exists a unique natural transformation  $T_{y*}: K_0(\mathcal{V}/-) \rightarrow H_*(-) \otimes \mathbb{Q}[y]$  satisfying the normalization condition that for a smooth variety  $X$ ,  $T_{y*}([\text{id}_X: X \rightarrow X]) = \text{td}_{(y)}(TX) \cap [X]$ .*

Here the Hirzebruch class  $\text{td}_{(y)}(E)$  of the complex vector bundle  $E$  [42; 43] is

$$\text{td}_{(y)}(E) := \prod_{i=1}^{\text{rank } E} \left( \frac{\alpha_i(1+y)}{1 - e^{-\alpha_i(1+y)}} - \alpha_i y \right) \in H^*(X) \otimes \mathbb{Q}[y],$$

where  $\alpha_i$  is the Chern root of  $E$ , ie,  $c(E) = \prod_{i=1}^{\text{rank } E} (1 + \alpha_i)$ . Note that

- $\text{td}_{(-1)}(E) = c(E)$ , the Chern class,
- $\text{td}_{(0)}(E) = \text{td}(E)$ , the Todd class,
- $\text{td}_{(1)}(E) = L(E)$ , the Thom–Hirzebruch  $L$ -class.

**2.2.6 Definition**  $T_{y*}(X) := T_{y*}([\text{id}_X: X \rightarrow X])$  is called the *Hirzebruch class of  $X$*  and  $\chi_y(X) := \int T_{y*}(X) = T_{y*}([X \rightarrow \text{pt}])$  is called the *Hirzebruch  $\chi_y$ -characteristic of  $X$* .

**2.2.7 Remark** [14] Here we should note that for a smooth variety  $X$  we have  $T_{-1*}(X) = c_*(X)$ ,  $T_{0*}(X) = \text{td}_*(X)$ ,  $T_{1*}(X) = L_*(X)$ . If  $X$  is singular, in general we have  $T_{0*}(X) \neq \text{td}_*(X)$ ,  $T_{1*}(X) \neq L_*(X)$ ,  $\chi_0(X) \neq \chi_a(X)$ ,  $\chi_1(X) \neq \sigma(X)$ , although  $T_{-1*}(X) = c_*(X)$  always holds whether  $X$  is singular or not. Here  $\chi_a(X) := \chi(X, \mathcal{O}_X)$  is the arithmetic genus and  $\sigma(X)$  is the signature defined via the intersection homology of Goresky and MacPherson [36]. Thus  $T_{0*}(X)$  and  $T_{1*}(X)$  are respectively called *Hodge–Todd class* and *Hodge– $L$  class*, and  $\chi_0(X)$  and  $\chi_1(X)$  are respectively called *Hodge–arithmetic genus* and *Hodge signature*.

This motivic Hirzebruch class  $T_{y*}: K_0(\mathcal{V}/-) \rightarrow H_*(-) \otimes \mathbb{Q}[y]$  “unifies” the aforementioned three characteristic classes of singular varieties (cf MacPherson [52] and Yokura [74]). For more details, see Brasselet, Schürmann and Yokura [14]. For further and related works on the motivic Hirzebruch class, eg, see the works by Cappell, Libgober, Maxim, Saito, Schürmann, Shaneson and Yokura [20; 16; 18; 17; 19; 54; 55; 63; 65; 80].

### 2.3 Proconstructible functions, indconstructible functions and cylinder functions

From MacPherson’s Chern class transformation  $c_*: F \rightarrow H_*$ , we get

$$c_*^{\text{pro}}: F^{\text{pro}}(X_\infty) \rightarrow H_*^{\text{pro}}(X_\infty).$$

What would be the value of an element  $\alpha_\infty = (\alpha_i) \in F^{\text{pro}}(X_\infty)$  at a point  $(x_i) \in X_\infty$ ? A very naïve definition could be  $\alpha_\infty((x_i)) = \alpha_i(x_i)$  for all  $i$ . The equality  $\pi_{ij*}(\alpha_j) = \alpha_i$  implies that  $\alpha_i(x_i) = \pi_{ij*}(\alpha_j)(x_i) = \chi(\pi_{ij}^{-1}(x_i); \alpha_j)$ . Here,  $\chi(A; \alpha) := \chi(\alpha|_A)$ . Thus, in general,  $\pi_{ij}(x_j) = x_i$  and  $\pi_{ij*}(\alpha_j) = \alpha_i$  do not imply that  $\alpha_i(x_i) = \alpha_j(x_j)$ . Thus  $\alpha_\infty((x_i)) = \alpha_i(x_i)$  is not well-defined. Hence, an element of the pro-group  $F^{\text{pro}}(X_\infty)$  would not be a good candidate to be considered as a function on the proalgebraic variety  $X_\infty$ . However, the equalities  $\pi_{ij}(x_j) = x_i$  and  $\pi_{ij}^*(\alpha_i) = \alpha_j$  imply that  $\alpha_\infty((x_i)) = \alpha_i(x_i)(\forall i)$  is well-defined, since we have  $\alpha_j(x_j) = (\pi_{ij}^*(\alpha_i))(x_j) = \alpha_i(\pi_{ij}(x_j)) = \alpha_i(x_i)$ . Namely, to define a reasonable notion of *proconstructible function* on a proalgebraic variety we need to take the *inductive limit* instead of the projective limit, using the *contravariant nature* of the covariant functor  $F$ . So the following definition is reasonable.

**2.3.1 Definition** For a proalgebraic variety  $X_\infty = \varprojlim\{X_i, \pi_{ij}: X_j \rightarrow X_i (i < j)\}$ , the inductive limit  $\varinjlim\{F(X_i), \pi_{ij}^*: F(X_i) \rightarrow F(X_j) (i < j)\}$  is denoted by  $F^{\text{ind}}(X_\infty)$  and the equivalence class of  $\alpha_j$  is denoted by  $[\alpha_j]$ . An element of the indgroup  $F^{\text{ind}}(X_\infty)$  is called an *indconstructible function* on the proalgebraic variety  $X_\infty$ .

**2.3.2 Remark** (1) In [2] (cf [1]), Aluffi considered the above projective limit  $F^{\text{pro}}$  for a certain special projective system of morphisms called *modification system*, which is more precisely a projective system of birational morphisms.

(2) Since the above indconstructible function is a function defined on a proalgebraic variety, we could still call it a “proconstructible” function as in Gromov [38], but we want to emphasize the fact that it is defined via the inductive limit and call it so.

(3) The indconstructible function  $[\mathbb{1}_{X_i}]$  shall be called the *indcharacteristic function* on  $X_\infty$  and denoted by  $\mathbb{1}_{X_\infty}$ .

For a later reference we take a closer look at the above fact that an element of  $F^{\text{ind}}(X_\infty)$  can be considered as a function on the proalgebraic variety  $X_\infty$ . We denote the above correspondence as  $\Psi: F^{\text{ind}}(X_\infty) \rightarrow \text{Fun}(X_\infty, \mathbb{Z})$  defined by  $\Psi([\alpha_i])(x_j) := \alpha_i(x_i)$ . One can describe this in a fancier way as follows. Let  $\pi_i: X_\infty \rightarrow X_i$  denote the



canonical projection. Consider the following commutative diagram (which follows from  $\pi_i = \pi_{ij} \circ \pi_j$  ( $i < j$ )):

$$\begin{array}{ccc}
 F(X_i) & \xrightarrow{\pi_{ij}^*} & F(X_j) \\
 \searrow \pi_i^* & & \swarrow \pi_j^* \\
 & \text{Fun}(X_\infty, \mathbb{Z}) &
 \end{array}$$

Then it follows from a standard fact on inductive limits that the homomorphism  $\Psi: F^{\text{ind}}(X_\infty) \rightarrow \text{Fun}(X_\infty, \mathbb{Z})$  is nothing but the unique homomorphism such that the following diagram commutes:

$$\begin{array}{ccc}
 & F(X_i) & \\
 \rho^i \swarrow & & \searrow \pi_i^* \\
 F^{\text{ind}}(X_\infty) & \xrightarrow{\Psi} & \text{Fun}(X_\infty, \mathbb{Z}).
 \end{array}$$

To avoid possible confusion, the image  $\Psi([\alpha_i]) = \pi_i^* \alpha_i$  shall be denoted by  $[\alpha_i]_\infty$ . For a constructible set  $W_i \in X_i$ , by the definition we have  $[\mathbb{1}_{W_i}]_\infty = \mathbb{1}_{\pi_i^{-1}(W_i)}$ .  $\pi_i^{-1}(W_i)$  is called a *cylinder set (of level  $i$ )*, mimicking Craw [23]. And the characteristic function supported on a cylinder set (of level  $i$ ) is called a *cylinder-characteristic function (of level  $i$ )* and a finite linear combination of cylinder-characteristic functions is called a *cylinder function*. Let  $F^{\text{cyl}}(X_\infty)$  denote the abelian group of all cylinder functions on the proalgebraic variety  $X_\infty$ . Thus we have the following:

**2.3.3 Proposition** For a proalgebraic variety  $X_\infty = \varprojlim \{X_i, \pi_{ij}: X_j \rightarrow X_i\}$ ,

$$F^{\text{cyl}}(X_\infty) = \text{Image } \Psi: F^{\text{ind}}(X_\infty) \rightarrow \text{Fun}(X_\infty, \mathbb{Z}) = \bigcup_i \pi_i^*(F(X_i)).$$

**2.3.4 Proposition** If the structure morphisms  $\pi_{ij}: X_j \rightarrow X_i$  ( $i < j$ ) are all surjective, then for the proalgebraic variety  $X_\infty = \varprojlim \{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  we have

$$F^{\text{ind}}(X_\infty) \cong F^{\text{cyl}}(X_\infty).$$

**Proof** That all the structure morphisms  $\pi_{ij}: X_j \rightarrow X_i$  ( $i < j$ ) are surjective implies that all the projections  $\pi_i: X_\infty \rightarrow X_i$  are surjective. Which implies in turn that all the homomorphism  $\pi_i^*: F(X_i) \rightarrow \text{Fun}(X_\infty, \mathbb{Z})$  are injective. Since the inductive limit is an exact functor, it follows that the homomorphism  $\Psi: \varinjlim F(X_\lambda) \rightarrow \text{Fun}(X_\infty, \mathbb{Z})$  is also injective. Thus we get the above isomorphism.  $\square$

**2.3.5 Question** Is  $\Psi: F^{\text{ind}}(X_\infty) \rightarrow \text{Fun}(X_\infty, \mathbb{Z})$  always injective?

**2.3.6 Remark** Suppose that  $\Psi([\alpha_j]) = 0$ , ie,  $\Psi([\alpha_j])(x_i) = \alpha_j(x_j) = 0$  for any  $(x_i) \in X_\infty$ . Hence we have  $\alpha_j(\pi_j(X_\infty)) = 0$ . At the moment we do not know whether we can conclude  $[\alpha_j] = 0$  from this condition. A key point of the above proof is the fact that all the projections  $\pi_i: X_\infty \rightarrow X_i$  are surjective, thus one might guess that the existence of a nonsurjective projection  $\pi_i: X_\infty \rightarrow X_i$  might lead to a negative answer to the above question. But that is not the case; here is a very simple example such that  $\alpha_j(\pi_j(X_\infty)) = 0, \pi_j(X_\infty) \neq X_j$  and  $\alpha_j \neq 0$ , but  $[\alpha_j] = 0$ : Let  $X_1 = \{a, b\}$  be a space of two different points, and let  $X_n = \{a\}$  for any  $n > 1$ . Let  $\pi_{12}: X_2 \rightarrow X_1$  be the injection map sending  $a$  to  $a$  and the other structure morphism  $\pi_{n(n+1)}: X_{n+1} \rightarrow X_n$  is the identity for  $n > 1$ . Then the projective limit  $X_\infty = \{(a)\}$  consists of one point  $(a, a, a, \dots)$ . Let  $\alpha_1 = p \cdot \mathbb{1}_b \in F(X_1)$ . Then we have  $\alpha_1(\pi_1(X_\infty)) = 0, \pi_1(X_\infty) \neq X_1$  and  $\alpha_1 \neq 0$ , but  $[\alpha_1] = 0$ . We suspect that in general  $\Psi$  might be not necessarily injective, but we have been unable to find such an example.

**2.4 Characteristic “indhomology” classes**

First of all, let us consider a projective system  $\{M_i, \pi_{ij}: M_j \rightarrow M_i (i < j)\}$  of compact complex manifolds  $M_i$ ’s. From this we get the inductive system of homology groups  $\{H_*(M_i), (\pi_{ij})^!: H_*(M_i) \rightarrow H_*(M_j) (i < j)\}$ . Here  $(\pi_{ij})^!: H_*(M_i) \rightarrow H_*(M_j)$  is the Gysin homomorphism, ie, for a morphism  $f: M \rightarrow N$ ,  $f^! := PD_M \circ f^* \circ PD_M^{-1}$ , where  $PD_W: H^*(W) \rightarrow H_*(W)$  is the Poincaré duality isomorphism via capping with the fundamental class;  $PD_W(x) = x \cap [W]$ .

Let  $c\ell: K^0(-) \rightarrow H^*(-)$  be a multiplicative characteristic class of complex vector bundles, ie, a multiplicative sequence of Chern classes and let  $c\ell_*(M) := c\ell(TM) \cap [M]$ . Then the family  $\{c\ell_*(M_i)\}_{i \in I}$  is not compatible with the above inductive system  $\{H_*(M_i)\}$ , but it is compatible with the inductive system of the twisted pullback homomorphism  $\{H_*(M_i), (\pi_{ij})^{!!}: H_*(M_i) \rightarrow H_*(M_j) (i < j)\}$ , where  $f^{!!} := c\ell(T_f) \cap f^!$  with  $T_f := TM - f^*TN \in K^0(M)$ . Namely, for each  $i < j$  we have  $c\ell_*(M_j) = (\pi_{ij})^{!!}(c\ell_*(M_i))$ . Therefore any  $c\ell_*(M_i)$  determines the unique “indhomology” class  $[c\ell_*(M_i)]$  in the inductive limit:  $[c\ell_*(M_i)] \in H_{**}^{ind}(M_\infty)$ . Here  $H_{**}^{ind}(M_\infty)$  denotes the inductive limit of the above inductive system. So, this “indhomology” class  $[c\ell_*(M_i)]$  can be considered as the characteristic “indhomology” class  $c\ell_*(\{M_i\})$  of the pro-manifold  $\{M_i\}$  or the characteristic “indhomology” class  $c\ell_*(M_\infty)$  of the pro-manifold  $M_\infty$ .

**2.4.1 Remark** (1) If we consider the cohomology group  $H^*(M)$  instead of the homology group  $H_*(M)$ , then the twisted pullback  $f^{!!}: H^*(N) \rightarrow H^*(M)$  is  $f^{!!} = c\ell(T_f) \cup f^*$  and we get the characteristic “indcohomology” class  $c\ell^{ind}(\{M_i\})$  or  $c\ell^{ind}(M_\infty)$ .

(2) Here it should be warned that for *any* projective system of compact complex manifolds  $M_i$  we get the same “indhomology” class  $[c\ell_*(M_i)]$ , *independent of the structure of the projective limit*  $M_\infty$ .

(3) For *any* projective system of compact complex manifolds  $\{M_i\}$  the “indcharacteristic function”  $\mathbb{1}_{M_\infty}$  and the Chern “indhomology” class  $[c_*(M_i)]$  are both available. However, we do not necessarily have a homomorphism  $c_*^{\text{ind}}: F^{\text{ind}}(X_\infty) \rightarrow H_*^{\text{ind}}(X_\infty)$  such that  $c_*^{\text{ind}}(\mathbb{1}_{M_\infty}) = [c_*(M_i)]$ . For example, consider the case when at least one map  $\pi_{ij}: X_j \rightarrow X_i$  is constant. If  $f: M \rightarrow N$  is a constant map with  $f(M) = x_0$ , then the following diagram is not commutative:

$$\begin{array}{ccc} F(N) & \xrightarrow{c_*} & H_*(N) \\ f^* \downarrow & & \downarrow c(T_f) \cap f^! \\ F(M) & \xrightarrow{c_*} & H_*(M). \end{array}$$

Indeed, let us suppose that the above diagram commutes. Take another point  $x$  from  $N$  such that  $x \neq x_0$  and  $x$  is in the same component of  $x_0$ . Then  $c_*(\mathbb{1}_x) = [x] = [x_0] = c_*(\mathbb{1}_{x_0}) \in H_*(N)$ . Thus we have  $c_*(M) = c_*(\mathbb{1}_M) = c_*(f^*\mathbb{1}_{x_0}) = c(T_f) \cap f^!(c_*(\mathbb{1}_{x_0})) = c(T_f) \cap f^!(c_*(\mathbb{1}_x)) = c_*(f^*\mathbb{1}_x) = c_*(0) = 0$ . This is a contradiction.

So, to construct  $c_*^{\text{ind}}: F^{\text{ind}}(X_\infty) \rightarrow H_{**}^{\text{ind}}(X_\infty)$  we need to consider the commutativity of the following diagram with some suitable contravariantly functorial pullback homomorphism  $\pi_{ij}^{\natural}: H_*(X_i) \rightarrow H_*(X_j)$ :

$$\begin{array}{ccc} F(X_i) & \xrightarrow{c_*} & H_*(X_i) \\ \pi_{ij}^* \downarrow & & \downarrow \pi_{ij}^{\natural} \\ F(X_j) & \xrightarrow{c_*} & H_*(X_j). \end{array}$$

Hence, to get an inductive version  $\mathfrak{N}^{\text{ind}}: \mathfrak{F}^{\text{ind}}(-) \rightarrow H_*^{\text{ind}}(-) \otimes R$  from a theory  $\mathfrak{N}: \mathfrak{F} \rightarrow H_*(-) \otimes R$  of characteristic classes of singular varieties, which is any theory recalled in Section 2.2, we need to consider such a commutative diagram as above. One immediate answer for such a commutative diagram is the following Verdier-type Riemann–Roch theorem (see Schürmann [62] and Yokura [75]):

**2.4.2 Theorem** *Let  $X, Y$  be complex algebraic varieties and  $f: X \rightarrow Y$  be a smooth morphism between them. Then the following diagram commutes:*

$$\begin{array}{ccc} F(Y) & \xrightarrow{c_*} & H_*(Y) \\ f^* \downarrow & & \downarrow c(T_f) \cap f^! \\ F(X) & \xrightarrow{c_*} & H_*(X). \end{array}$$

Here  $T_f$  is the relative tangent bundle of  $f$  and  $f^!$  is the Gysin homomorphism.

The same formulas hold for Todd class  $td_*$  (which is the original Verdier–Riemann–Roch theorem [6]), for Hirzebruch class  $T_{y*}$  [14] and also for Whitney class  $w_*$  [34, Proposition 6B] (cf Fu and McCrory [32] and Sullivan [69]).

**2.4.3 Remark** For a more generalized Verdier-type Riemann–Roch theorem for Chern class, see Schürmann [62]. For the above Verdier–Riemann–Roch formula for Todd class, smooth morphism can be replaced by local complete intersection morphism [6]. We would speculate that for a smooth morphism  $f: X \rightarrow Y$  the following Verdier-type Riemann–Roch formula for the Cappell–Shaneson homology  $L$ -class  $L_*: \Omega(-) \rightarrow H_*(-) \otimes \mathbb{Q}$  also holds, but we have been unable to prove or disprove it (cf [14]):

$$\begin{array}{ccc} \Omega(Y) & \xrightarrow{L_*} & H_*(Y) \otimes \mathbb{Q} \\ f^* \downarrow & & \downarrow L(T_f) \cap f^! \\ \Omega(X) & \xrightarrow{L_*} & H_*(X) \otimes \mathbb{Q}. \end{array}$$

**2.4.4 Corollary** *For a projective system  $\{X_i, \pi_{ij}: X_j \rightarrow X_i \ (i < j)\}$  of smooth morphisms  $\pi_{ij}$  we have*

- (1) *the homomorphism  $c_*^{\text{ind}}: F^{\text{ind}}(X_\infty) \rightarrow H_{**}^{\text{ind}}(X_\infty)$  and the Chern–Schwartz–MacPherson “indhomology” class  $c_*^{\text{ind}}(X_\infty) = c_*^{\text{ind}}(1_{X_\infty}) = [c_*(X_i)]$ .*
- (2) *the homomorphism  $td_*^{\text{ind}}: G_0^{\text{ind}}(X_\infty) \rightarrow H_{**}^{\text{ind}}(X_\infty) \otimes \mathbb{Q}$  and the Baum–Fulton–MacPherson Todd “indhomology” class  $td_*^{\text{ind}}(X_\infty) = td_*^{\text{ind}}(\mathcal{O}_{X_\infty}) = [td_*(X_i)]$ .*
- (3) *the homomorphism  $T_{y*}^{\text{ind}}: K_0^{\text{ind}}(\mathcal{V}/X_\infty) \rightarrow H_{**}^{\text{ind}}(X_\infty) \otimes \mathbb{Q}[y]$  and Hirzebruch “indhomology” class  $T_{y*}^{\text{ind}}(X_\infty) = T_{y*}^{\text{ind}}([\text{id}: X_\infty \rightarrow X_\infty]) = [T_{y*}(X_i)]$ .*

**2.4.5 Remark** (1) At the moment  $c_*^{\text{ind}}, td_*^{\text{ind}}$  and  $T_{y*}^{\text{ind}}$  are just transformations. In fact, to make  $c_*^{\text{ind}}, td_*^{\text{ind}}$  and  $T_{y*}^{\text{ind}}$  natural transformations, we need more requirements and we treat that in Section 4 in a more general context.

(2) The above homomorphism  $c_*^{\text{ind}}: F^{\text{ind}}(X_\infty) \rightarrow H_{**}^{\text{ind}}(X_\infty)$  is closely related to the construction of equivariant Chern class due to Ohmoto [56] (cf [57]).

**2.4.6 Example** Let us take a look at another example which deals with nonsmooth maps. Consider an infinite sequence  $\{N_1, N_2, \dots, N_n, \dots\}$  of compact complex algebraic varieties  $N_i$ 's and let us set  $X_n := N_1 \times N_2 \times \dots \times N_n$  ( $n \in \mathbb{N}$ ). For each  $i < j$  we let  $\pi_{ij}: X_j \rightarrow X_i$  be the canonical projection  $\pi_{ij}(x_1, x_2, \dots, x_j) = (x_1, x_2, \dots, x_i)$ . Then consider the projective system  $\{X_i, \pi_{ij}: X_j \rightarrow X_i \ (i < j)\}$ , the projective limit of which is actually by definition to be the infinite product  $N_1 \times N_2 \times \dots \times N_n \times \dots$ . Then we have the commutative diagram

$$\begin{array}{ccc} F(X_n) & \xrightarrow{c_*} & H_*(X_n) \\ \pi_{n,n+1}^* \downarrow & & \downarrow \times c_*(N_{n+1}) \\ F(X_{n+1}) & \xrightarrow{c_*} & H_*(X_{n+1}). \end{array}$$

This commutativity follows from the (exterior) product formula of MacPherson's Chern class [46] (cf [47]):  $c_*(\alpha \times \beta) = c_*(\alpha) \times c_*(\beta)$  for  $\alpha \in F(X)$  and  $\beta \in F(Y)$ . Thus  $\{H_*(X_i), \times c_*(N_{i+1}) \times \dots \times c_*(N_j): H_*(X_i) \rightarrow H_*(X_j) \ (i < j)\}$  is clearly an inductive system, the inductive limit of which is again denoted by  $H_{**}^{\text{ind}}(X_\infty)$ , and thus we get the homomorphism  $c_*^{\text{ind}}: F^{\text{ind}}(X_\infty) \rightarrow H_{**}^{\text{ind}}(X_\infty)$  and we have  $c_*^{\text{ind}}(X_\infty) = [c_*(N_1)]$ .

In fact, the other three characteristic classes  $\text{td}_*$ ,  $L_*$  and  $T_{y*}$  also commute with the exterior products (see Baum, Fulton and MacPherson [6], Woolf [71] and Brasselet, Schürmann and Yokura [14], respectively), thus we get the following:

**2.4.7 Corollary** *Let the situation be as above. Let  $cl = c, \text{td}, L, \text{td}_{(y)}$  and let  $H_{**}^{\text{ind}}(X_\infty) \otimes R$  be the inductive limit of the inductive system of homology classes  $\{H_*(X_i) \otimes R, \times cl_*(N_{i+1}) \times \dots \times cl_*(N_j): H_*(X_i) \otimes R \rightarrow H_*(X_j) \otimes R \ (i < j)\}$ , where  $R = \mathbb{Z}$  when  $cl = c$ ,  $R = \mathbb{Q}$  when  $cl = \text{td}, L$  and  $R = \mathbb{Q}[y]$  when  $cl = \text{td}_{(y)}$ . Then we have the homomorphism  $cl_*^{\text{ind}}: F^{\text{ind}}(X_\infty) \rightarrow H_{**}^{\text{ind}}(X_\infty) \otimes R$  and  $cl_*^{\text{ind}}(X_\infty) = [cl_*(N_1)]$ .*

**2.4.8 Corollary** *Let  $cl = c, \text{td}, L, \text{td}_{(y)}$  and let  $X$  be a compact complex algebraic variety. The  $cl$  "indhomology" class of the infinite product  $X^{\mathbb{N}}$  is  $cl_*^{\text{ind}}(X^{\mathbb{N}}) = [cl_*(X)]$ .*

### 2.5 Characteristic "indnumbers"

Let  $cl$  be a multiplicative characteristic class as before and let us consider the corresponding characteristic "number" of a compact complex manifold  $M$ :

$$\sharp_{cl}(M) := \int_M cl_*(M) \in H_0(M) = R.$$

**2.5.1 Example** (i) If  $c\ell = c$  is Chern class,  $\sharp_c(M) = \chi(M)$  is the Euler–Poincaré characteristic of  $M$ .

(ii) If  $c\ell = \text{td}$  is Todd class,  $\sharp_{\text{td}}(M) = \chi_a(M)$  is the arithmetic genus of  $M$ .

(iii) If  $c\ell = L$  is Thom–Hirzebruch’s  $L$ -class,  $\sharp_L(M) = \sigma(M)$  is the signature of  $M$ .

For a morphism  $f: M \rightarrow N$  of compact complex manifolds we do have  $f^!(c\ell_*(N)) = c\ell_*(M)$ , but clearly in general  $\sharp_{c\ell}(M) \neq \sharp_{c\ell}(N)$ . So, for a projective system  $\{M_i\} := \{M_i, \pi_{ij}: M_j \rightarrow M_i \ (i < j)\}$  of compact complex manifolds, if there is an inductive system  $\{R_i = R, \phi_{ij}: R_i \rightarrow R_j \ (i < j)\}$  such that  $\phi_{ij}(\sharp_{c\ell}(M_i)) = \sharp_{c\ell}(M_j)$ , then we have the “indcharacteristic” of the pro-manifold  $M_\infty: \sharp_{c\ell}^{\text{ind}}(M_\infty) = [\sharp_{c\ell}(M_i)]$ .

**2.5.2 Example** As before, let us consider an infinite sequence  $\{N_1, N_2, \dots, N_n, \dots\}$  of compact complex manifolds  $N_i$ ’s,  $M_n := N_1 \times N_2 \times \dots \times N_n \ (n \in \mathbb{N})$  and  $\pi_{ij}: M_j \rightarrow M_i$ . Then we have  $\sharp_{c\ell}(M_n) = \sharp_{c\ell}(N_1) \cdot \sharp_{c\ell}(N_2) \cdot \dots \cdot \sharp_{c\ell}(N_n)$  and  $\sharp_{c\ell}(M_j) = p_{ij} \cdot \sharp_{c\ell}(M_i)$ . Here  $p_{ij} := \sharp_{c\ell}(M_{i+1}) \cdot \dots \cdot \sharp_{c\ell}(M_j) \in R$  and  $p_{ii} := 1$ . In this case the above homomorphism  $\phi_{ij}: R \rightarrow R$  is the multiplication by  $p_{ij}$ , ie,  $\phi_{ij}(a) = p_{ij} \cdot a$ . Hence the characteristic “indnumber” of the infinite product of complex manifolds  $N_1 \times N_2 \times \dots \times N_n \times \dots$  is equal to  $[\sharp_{c\ell} N_1] \in \varinjlim \{R_i = R; p_{ij} \times: R \rightarrow R\}$ . Furthermore, we let  $p_1 := 1, p_n := \sharp_{c\ell}(N_2) \cdot \dots \cdot \sharp_{c\ell}(N_n)$  and let  $P$  be the multiplicatively closed subset of  $R$  generated by all  $p_n$ ’s (ie, consisting of  $(p_{i_1})^{m_1} \cdot (p_{i_2})^{m_2} \cdot \dots \cdot (p_{i_k})^{m_k}$  with  $m_j \geq 0$ ) such that  $0 \notin P$  (see Remark 2.5.3 below). Let us consider the localization  $R_P$  of  $R$  with respect to  $P$  and the renormalization  $\phi_n: R_n = R \rightarrow R_P$  defined by  $\phi_n(a) := a/p_n$ . Then it follows from the standard facts of the inductive limits that there exists a unique homomorphism  $\Phi: \varinjlim R_n \rightarrow R_P$  such that the following diagram commutes:

$$\begin{array}{ccc}
 & R_n = R & \\
 \rho^n \swarrow & & \searrow \phi_n \\
 \varinjlim R_n & \xrightarrow{\Phi} & R_P.
 \end{array}$$

This homomorphism  $\Phi: \varinjlim R_n \rightarrow R_P$  is a kind of “realization homomorphism” of the abstract ring  $\varinjlim R_n$ . Thus we get the inductive characteristic

$$\sharp_{c\ell}^{\text{ind}}(N_1 \times N_2 \times \dots \times N_n \dots) = [\sharp_{c\ell}(N_1)] \in R_P.$$

For example, if we consider the Chern class  $c$  for the infinite product  $N^\infty = N \times N \times \dots$  of a compact complex manifold  $N$ , then we have the inductive Euler–Poincaré characteristic  $\chi^{\text{ind}}(N^\infty) = [\chi(N)] \in \mathbb{Z}_{(\chi(N))}$ . As we see above, the manifold  $N$  can

be replaced by a complex algebraic variety  $X$  and we have the same answer, ie,  $\chi^{\text{ind}}(X^\infty) = [\chi(X)] \in \mathbb{Z}_{(\chi(X))}$ .

**2.5.3 Remark** The requirement  $0 \notin P$  for the multiplicatively closed subset  $P$  of  $R$  generated by all  $p_n$ 's is necessary when we consider the quotient ring  $R_P$ , ie, when we consider the realization homomorphism  $\Phi: \varinjlim R_n \rightarrow \mathcal{R}_P$ .  $0 \notin P$  implies that each  $p_i$  is nonnilpotent. If  $R$  is an integral domain, then  $0 \notin P$  if and only if each  $p_i$  is nonnilpotent. However, if  $R$  is not an integral domain, that each  $p_i$  is nonnilpotent is not necessarily sufficient. Later we deal with the Grothendieck ring  $K_0(\mathcal{V})$ , which is not an integral domain; see Poonen [58].

**2.5.4 Example** Let  $f: M \rightarrow N$  be a submersion of compact complex manifolds and assume that the fundamental group of the base manifold acts trivially on the cohomology  $H^*(F)$  of its fiber  $F$ , then we have that  $\chi(M) = \chi(F)\chi(N)$  [22]. Provisionally such a submersion shall be called a *perfect submersion* for short. (Note that Atiyah [4] and Kodaira [44] showed that if a submersion is not perfect, then the above multiplicative formula does not necessarily hold.) In general we have  $\chi_y(M) = \chi_y(F)\chi_y(N)$ . So, for a projective system  $\{M_i\} := \{M_i, \pi_{ij}: M_j \rightarrow M_i \ (i < j)\}$  of perfect submersions of compact complex manifolds with  $p_{ij} := \chi_y(F_{ij})$  for a fiber  $F_{ij}$  of  $\pi_{ij}$ , we have  $\chi_y(M_i) = p_{ij} \cdot \chi_y(M_j)$ . Hence we can define the inductive Hirzebruch  $\chi_y$ -characteristic  $\chi_y^{\text{ind}}(M_\infty) = [\chi_y(M_i)]$ .

### 3 Characteristics of proalgebraic varieties

#### 3.1 Bifunctors

In the previous section we saw that a bifunctor plays a key role in the consideration of proalgebraic analogues of characteristics and characteristic classes. So, from now on we consider a *bifunctor*  $\mathcal{F}: \mathcal{C} \rightarrow \mathcal{AB}$  from a category  $\mathcal{C}$  to the category  $\mathcal{AB}$  of abelian groups, ie,  $\mathcal{F}$  is a pair  $(\mathcal{F}_*, \mathcal{F}^*)$  of a covariant functor  $\mathcal{F}_*$  and a contravariant functor  $\mathcal{F}^*$  such that  $\mathcal{F}_*(X) = \mathcal{F}^*(X)$  for any object  $X$ . Unless some confusion occurs, we just denote  $\mathcal{F}(X)$  for  $\mathcal{F}_*(X) = \mathcal{F}^*(X)$ . Furthermore we assume that for a final object  $\text{pt} \in \text{Obj}(\mathcal{C})$ ,  $\mathcal{F}(\text{pt})$  is a commutative ring  $\mathcal{R}$  with a unit. Then the covariance of the bifunctor  $\mathcal{F}$  induces the homomorphism  $\mathcal{F}(\pi_X): \mathcal{F}(X) \rightarrow \mathcal{F}(\text{pt}) = \mathcal{R}$ , which shall be denoted by  $\chi_{\mathcal{F}}: \mathcal{F}(X) \rightarrow \mathcal{R}$  and called the  $\mathcal{F}$ -characteristic, just mimicking the Euler–Poincaré characteristic  $\chi: F(X) \rightarrow \mathbb{Z}$  in the case when  $\mathcal{F} = F$ . Then furthermore the covariance of  $\mathcal{F}$  implies that for a morphism  $f: X \rightarrow Y$  we get the

commutative diagram:

$$\begin{array}{ccc}
 \mathcal{F}(X) & \xrightarrow{f_*} & \mathcal{F}(Y) \\
 & \searrow \chi_{\mathcal{F}} & \swarrow \chi_{\mathcal{F}} \\
 & \mathcal{R} &
 \end{array}$$

**3.1.1 Remark** In the above definition we require the contravariance of  $\mathcal{F}$  for all morphisms in the category  $\mathcal{C}$ . But, as seen before, when it comes to dealing with pullbacks, the contravariance is not required on all morphisms but only on projective systems which you consider. From now on our bifunctors are understood to be such ones. Sloppily we say that  $\mathcal{F}$  is a bifunctor on projective systems.

**3.2  $\chi_{\mathcal{F}}$ -Stable objects and inductive characteristics**

**3.2.1 Definition** Let  $\{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  be a projective system and let  $P = \{p_{ij}\}$  be a projective system of elements of  $\mathcal{R}$  by the directed set  $I$ , ie, a set such that  $p_{ii} = 1$  and  $p_{ij} \cdot p_{jk} = p_{ik}$  ( $i < j < k$ ). Let  $\mathcal{F}$  be a bifunctor on the projective system  $\{X_i\}$ .

- (1) For each  $i \in I$  we define the following subobject of  $\mathcal{F}(X_i)$ :

$$\mathcal{F}_P^{\text{st}}(X_i) := \{\alpha_i \in \mathcal{F}(X_i) \mid \chi_{\mathcal{F}}(\pi_{ij}^* \alpha_i) = p_{ij} \cdot \chi_{\mathcal{F}}(\alpha_i) \text{ for all } j > i\}.$$

- (2) For each  $i \in I$ , an element of  $\mathcal{F}_P^{\text{st}}(X_i)$  is called a  $\chi_{\mathcal{F}}$ -stable object (of level  $i$ ) with respect to the projective system  $P$ .

**3.2.2 Lemma** For each structure morphism  $\pi_{ij}: X_j \rightarrow X_i$  the pullback homomorphism  $\pi_{ij}^*: \mathcal{F}(X_i) \rightarrow \mathcal{F}(X_j)$  preserves  $\chi_{\mathcal{F}}$ -stable objects with respect to the projective system  $P = \{p_{ij}\}$ , namely it induces the homomorphism (using the same symbol)

$$\pi_{ij}^*: \mathcal{F}_P^{\text{st}}(X_i) \rightarrow \mathcal{F}_P^{\text{st}}(X_j).$$

**3.2.3 Definition** The inductive limit of the inductive system

$$\{\mathcal{F}_P^{\text{st}}(X_i), \pi_{ij}^*: \mathcal{F}_P^{\text{st}}(X_i) \rightarrow \mathcal{F}_P^{\text{st}}(X_j) \ (i < j)\}$$

is denoted by  $\mathcal{F}_P^{\text{st.ind}}(X_{\infty})$  and an element of this inductive limit shall be called a  $\chi_{\mathcal{F}}$ -stable indobject of  $\mathcal{AB}$  on the proalgebraic variety  $X_{\infty}$  with respect to the projective system  $P$ .

**3.2.4 Remark** We see that this can be also directly defined as

$$\{[\alpha_i] \in \mathcal{F}^{\text{ind}}(X_{\infty}) \mid \chi_{\mathcal{F}}(\pi_{ij}^* \alpha_j) = p_{ij} \cdot \chi_{\mathcal{F}}(\alpha_i) \ (i < j)\}.$$



The following is an application of standard facts on inductive systems and inductive limits, but nevertheless it is a key and important observation for the rest of the paper, in particular in connection to motivic measures, so it is stated as a theorem.

**3.2.5 Theorem** (1) *For a projective system  $\{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  and a projective system  $P = \{p_{ij}\}$  of nonzero elements of  $\mathcal{R}$ , we have the homomorphism*

$$\chi_{\mathcal{F}}^{\text{ind.}}: \mathcal{F}_P^{\text{st.ind}}(X_\infty) \rightarrow \varinjlim \{\times p_{ij}: \mathcal{R} \rightarrow \mathcal{R}\},$$

which is called the inductive  $\mathcal{F}$ -characteristic (or “ $\mathcal{F}$ -indcharacteristic”) homomorphism.

(2) *In the case when  $\Lambda = \mathbb{N}$ , for a projective system  $X_\infty = \varprojlim \{X_n, \pi_{nm}: X_m \rightarrow X_n\}$  and a projective system  $P = \{p_{nm}\}$  of nonzero elements of  $\mathcal{R}$  such that the multiplicatively closed set  $S$  generated by  $P$  does not contain the zero, the inductive  $\mathcal{F}$ -characteristic homomorphism*

$$\chi_{\mathcal{F}}^{\text{ind.}}: \mathcal{F}_P^{\text{st.ind}}(X_\infty) \rightarrow \varinjlim \{\times p_{nm}: \mathcal{R} \rightarrow \mathcal{R}\}$$

is realized as the homomorphism  $\widetilde{\chi}_{\mathcal{F}}^{\text{ind.}}: \mathcal{F}_P^{\text{st.ind}}(X_\infty) \rightarrow \mathcal{R}_P$  defined by

$$\widetilde{\chi}_{\mathcal{F}}^{\text{ind.}}([\alpha_n]) := \frac{\chi_{\mathcal{F}}(\alpha_n)}{p_{01} \cdot p_{12} \cdot p_{23} \cdots p_{(n-1)n}}.$$

Here  $p_{01} := 1$  and  $\mathcal{R}_P$  is the ring  $\mathcal{R}_S$  of fractions of  $\mathcal{R}$  with respect to  $S$ .

(3) *In particular, if each  $p_{nm} = p^{m-n}$  for a nonnilpotent element  $p$ , we get the homomorphism*

$$\widetilde{\chi}_{\mathcal{F}}^{\text{ind.}}: \mathcal{F}_P^{\text{st.ind}}(X_\infty) \rightarrow \mathcal{R}_P \quad \text{defined by} \quad \widetilde{\chi}_{\mathcal{F}}^{\text{ind.}}([\alpha_n]) := \frac{\chi_{\mathcal{F}}(\alpha_n)}{p^{n-1}}.$$

**Proof** (1) follows from taking the inductive limit of the commutative diagram

$$\begin{array}{ccc} \mathcal{F}_P^{\text{st}}(X_i) & \xrightarrow{\chi_{\mathcal{F}}} & \mathcal{R} \\ \pi_{ij}^* \downarrow & & \downarrow \times p_{ij} \\ \mathcal{F}_P^{\text{st}}(X_j) & \xrightarrow{\chi_{\mathcal{F}}} & \mathcal{R}. \end{array}$$

For a general directed set  $I$ , we do not know how to describe the homomorphism  $\chi_{\mathcal{F}}^{\text{ind}}$  in a bit more down-to-earth way. However, when it comes to the case when  $I = \mathbb{N}$ , we can get the above claim as follows:

Let  $R_n = \mathcal{R}$  for each  $n$  and for  $n < m$  let  $\rho_{nm}: R_n \rightarrow R_m$  denote the homomorphism defined by  $\rho_{nm}(r_n) = r_n \cdot p_{n(n+1)} \cdot p_{(n+1)(n+2)} \cdots p_{(m-1)m}$ . And let  $\phi^n: R_n \rightarrow \mathcal{R}_P$  be the homomorphism defined by

$$\phi^n(r_n) := \frac{r_n}{p_{01} \cdot p_{12} \cdot p_{23} \cdots p_{(n-1)n}}.$$

Then for  $n < m$  we have  $\phi^m \circ \rho_{nm} = \phi^n$ . Therefore it follows from the standard facts of the inductive limits that there exists a unique homomorphism  $\Phi: \varinjlim R_n \rightarrow \mathcal{R}_P$  such that the following diagram commutes:

$$\begin{array}{ccc} & R_n & \\ \rho^n \swarrow & & \searrow \phi_n \\ \varinjlim R_n & \xrightarrow{\Phi} & \mathcal{R}_P. \end{array}$$

By composing  $\chi_{\mathcal{F}}^{\text{ind.}}: \mathcal{F}_P^{\text{st.ind.}}(X_\infty) \rightarrow \varinjlim \{ \times p_{nm}: \mathcal{R} \rightarrow \mathcal{R} \}$  with this “realization homomorphism”  $\Phi$ , we get the above homomorphism

$$\widetilde{\chi}_{\mathcal{F}}^{\text{ind.}}: \mathcal{F}_P^{\text{st.ind.}}(X_\infty) \rightarrow \mathcal{R}_P. \quad \square$$

**3.2.6 Remark** (1) Let  $X_i = \text{pt}$  be a point for any  $i \in I$  and let  $\pi_{ij} = \text{id}: X_i \rightarrow X_j$  be the identity. Then  $\varprojlim \{ X_i, \pi_{ij}: X_j \rightarrow X_i \}$  is a point and is called a *pro-point* and is denoted by  $\text{pt}_\infty$ . Then for the pro-point  $\text{pt}_\infty$  we define  $\mathcal{F}_P^{\text{st.ind.}}(\text{pt}_\infty)$  to be  $\varinjlim \{ \times p_{ij}: \mathcal{F}(\text{pt}) \rightarrow \mathcal{F}(\text{pt}) \} = \varinjlim \{ \times p_{ij}: \mathcal{R} \rightarrow \mathcal{R} \}$ . In this sense, the above inductive  $\mathcal{F}$ -characteristic homomorphism  $\chi_{\mathcal{F}}^{\text{ind.}}: \mathcal{F}_P^{\text{st.ind.}}(X_\infty) \rightarrow \varinjlim \{ \times p_{ij}: \mathcal{R} \rightarrow \mathcal{R} \}$  is expressed as  $\chi_{\mathcal{F}}^{\text{ind.}}: \mathcal{F}_P^{\text{st.ind.}}(X_\infty) \rightarrow \mathcal{F}_P^{\text{st.ind.}}(\text{pt}_\infty)$  and it is an inductive limit version of the  $\mathcal{F}$ -characteristic  $\chi_{\mathcal{F}}: \mathcal{F}(X) \rightarrow \mathcal{F}(\text{pt}) = \mathcal{R}$ .

(2) The above realization is a *canonical* one in the sense that there are many other realizations by considering

$$\phi'_n(r_n) = \frac{r_n}{\omega \cdot p_{01} \cdot p_{12} \cdot p_{23} \cdots p_{(n-1)n}}$$

with any nonzero element  $\omega$  such that the multiplicatively closed set generated by  $P \cup \{ \omega \}$  does not contain the zero.

**3.2.7 Definition** Let  $\mathcal{F}$  be a bifunctor on a category  $\mathcal{C}$  such that  $\mathcal{R} = \mathcal{F}(\text{pt})$  is a commutative ring with a unit and let  $\chi_{\mathcal{F}}: \mathcal{F}(X) \rightarrow \mathcal{R}$  be the  $\mathcal{F}$ -characteristic.

(1) If a morphism  $f: X \rightarrow Y$  satisfies the condition that for an element  $\alpha \in \mathcal{F}(Y)$ ,  $\chi_{\mathcal{F}}(f_* f^* \alpha) = c_f \cdot \chi_{\mathcal{F}}(\alpha)$  with some element called “multiplier”  $c_f \in \mathcal{R}$ , then we

say that  $f$  is  $\chi_{\mathcal{F}}$ -constant with respect to  $\alpha$  with the multiplier  $c_f$ . ( $c_f$  could be considered as the “ $\chi_{\mathcal{F}}$ -characteristic of the fiber of  $f$ ”.)

(2) If  $f$  is a  $\chi_{\mathcal{F}}$ -constant with respect to any element  $\alpha \in \mathcal{F}(Y)$  with the multiplier  $c_f$ , then the morphism  $f: X \rightarrow Y$  is called  $\chi_{\mathcal{F}}$ -constant with the multiplier  $c_f$ .

(3) (a bit stronger) Let  $\mathcal{F}$  be a bifunctor from a category  $\mathcal{C}$  to the category of  $\mathcal{R}$ -modules such that  $\mathcal{F}(\text{pt}) = \mathcal{R}$ . If a morphism  $f: X \rightarrow Y$  satisfies the condition that  $f_* f^* = c_f \cdot \text{Id}_{\mathcal{F}(Y)}: \mathcal{F}(Y) \rightarrow \mathcal{F}(Y)$  with some element  $c_f \in \mathcal{R}$ , where  $\text{Id}_{\mathcal{F}(Y)}$  denotes the identity homomorphism, then  $f$  is also called  $\chi_{\mathcal{F}}$ -constant with the multiplier  $c_f$ . (Note that in this case  $f_* f^* = c_f \cdot \text{Id}_{\mathcal{F}(Y)}$  implies that  $\chi_{\mathcal{F}}(f_* f^* \alpha) = c_f \cdot \chi_{\mathcal{F}}(\alpha)$  for any  $\alpha \in \mathcal{F}(Y)$ .)

**3.2.8 Remark**  $\alpha_i \in \mathcal{F}_P^{\text{st}}(X_i)$  means that  $\pi_{ij}$  is  $\chi_{\mathcal{F}}$ -constant with respect to  $\alpha_i$  with the multiplier  $p_{ij}$  for any  $j$  such that  $i < j$ . A Zariski locally trivial fiber bundle is a  $\chi_{\text{Gro}}$ -constant morphism with the multiplier being the Grothendieck class  $[F]$  of its fiber variety  $F$ .

**3.2.9 Proposition** Let  $\{X_n, \pi_{nm}: X_m \rightarrow X_n\}$  be a projective system such that each structure morphism  $\pi_{n(n+1)}: X_{n+1} \rightarrow X_n$  is  $\chi_{\mathcal{F}}$ -constant with the multiplier  $c_{n(n+1)} \in \mathcal{R}$ . We assume that the multiplicatively closed set  $S$  generated by  $\{c_{nm}\}$  does not contain the zero. Then we get the inductive  $\mathcal{F}$ -characteristic homomorphism  $\chi_{\mathcal{F}}^{\text{ind}}: \mathcal{F}^{\text{ind}}(X_{\infty}) \rightarrow \mathcal{R}_P$  defined by

$$\chi_{\mathcal{F}}^{\text{ind}}([\alpha_n]) := \frac{\chi_{\mathcal{F}}(\alpha_n)}{c_{01} \cdot c_{12} \cdot c_{23} \cdots c_{(n-1)n}}.$$

Here  $c_{01} := 1$  and  $\mathcal{R}_P$  is the ring  $\mathcal{R}_S$  of fractions of  $\mathcal{R}$  with respect to  $S$ .

**3.2.10 Corollary** Let  $\{X_n, \pi_{nm}: X_m \rightarrow X_n\}$  be a pro-algebraic variety such that for each  $n$  the structure morphism  $\pi_{n(n+1)}: X_{n+1} \rightarrow X_n$  satisfies the condition that the Euler–Poincaré characteristics  $e_n$  of the fibers of  $\pi_{n(n+1)}$  are nonzero. We set  $e_0 := 1$ . Then we get the inductive Euler–Poincaré characteristic homomorphism

$$\chi^{\text{ind}}: F^{\text{ind}}(X_{\infty}) \rightarrow \mathbb{Q} \quad \text{described by} \quad \chi^{\text{ind}}([\alpha_n]) = \frac{\chi(\alpha_n)}{e_0 \cdot e_1 \cdot e_2 \cdots e_{n-1}}.$$

**Proof** Let  $f: X \rightarrow Y$  be a morphism such that its fibers all have the same nonzero Euler–Poincaré characteristic, denoted by  $e_f$ . Then we can see that for any characteristic function  $\mathbb{1}_W$  we have  $f_* f^* \mathbb{1}_W = e_f \cdot \mathbb{1}_W$ . Hence  $f$  is  $\chi_{\mathcal{F}}$ -constant with the multiplier  $e_f$ . □

### 3.3 A generalization of motivic measure

In this section we show that our approach automatically leads us to the notion of motivic measure (eg, see Craw [23], Denef and Loeser [24; 25], Kontsevich [45], Looijenga [50] and Veys [70]) and also its generalization.

The canonical homomorphism  $e: K_0(\mathcal{V}/X) \rightarrow F(X)$  (see [14]) defined by

$$e([Y \xrightarrow{f} X]) := f_* \mathbb{1}_Y$$

gives us a natural transformation  $e: K_0(\mathcal{V}/-) \rightarrow F(-)$ . It will be explained in Section 5 that this natural transformation is unique in a sense.

There exists a canonical homomorphism  $\iota: F(X) \rightarrow K_0(\mathcal{V}/X)$  defined by  $\iota(\mathbb{1}_W) := [i_W: W \rightarrow X]$ , where  $i_W: W \rightarrow X$  is the inclusion map. The composite homomorphism  $\Gamma := \chi_{\text{Gro}} \circ \iota: F(X) \rightarrow K_0(\mathcal{V})$  is more directly and simply defined by  $\Gamma(\mathbb{1}_W) := [W]$  or more meaningfully  $\Gamma(\alpha) = \sum_{n \in \mathbb{Z}} n[\alpha^{-1}(n)]$ . And we have the following commutative diagram:

$$\begin{array}{ccc} F(X) & \xrightarrow{\Gamma} & K_0(\mathcal{V}) \\ & \searrow \chi & \swarrow e \\ & \mathbb{Z} & \end{array}$$

**3.3.1 Definition** Let  $R$  be a commutative ring. A map  $\epsilon: \text{Obj}(\mathcal{V}) \rightarrow R$  is called a *generalized Euler characteristic with value in  $R$*  if the following three conditions hold:

- (1)  $\epsilon(X) = \epsilon(Y)$  if  $X \cong Y$ .
- (2)  $\epsilon(X) = \epsilon(Y) + \epsilon(X \setminus Y)$  for  $Y \subset X$ .
- (3)  $\epsilon(X \times Y) = \epsilon(X) \cdot \epsilon(Y)$ .

A typical example of  $\epsilon$  is of course the topological Euler–Poincaré characteristic  $\chi$  with  $R = \mathbb{Z}$  and  $\epsilon$  induces the homomorphism  $\epsilon_F: F(X) \rightarrow R$  defined simply by  $\epsilon_F(\sum_S a_S \mathbb{1}_S) := \sum_S a_S \epsilon(S)$ . And  $\epsilon_F$  factors through the above “tautological” homomorphism  $\Gamma: F(X) \rightarrow K_0(\mathcal{V})$ :

$$\begin{array}{ccc} F(X) & \xrightarrow{\Gamma} & K_0(\mathcal{V}) \\ & \searrow \epsilon_F & \swarrow \tilde{\epsilon} \\ & R & \end{array}$$

where  $\tilde{\epsilon}: K_0(\mathcal{V}) \rightarrow R$  is defined by  $\tilde{\epsilon}([X]) := \epsilon(X)$ .

So  $\Gamma: F(X) \rightarrow K_0(\mathcal{V})$  is a “motivic” version of the topological Euler–Poincaré characteristic  $\chi: F(X) \rightarrow \mathbb{Z}$  and provisionally called the *Grothendieck class homomorphism*.

**3.3.2 Observation** Here we emphasize that unlike the Euler–Poincaré characteristic  $\chi$ ,  $\Gamma: F(X) \rightarrow K_0(\mathcal{V})$  does not commute with the pushforward  $f_*: F(X) \rightarrow F(Y)$  for a morphism  $f: X \rightarrow Y$ , ie, the following diagram is not commutative:

$$\begin{array}{ccc} F(X) & \xrightarrow{f_*} & F(Y) \\ & \searrow \Gamma & \swarrow \Gamma \\ & & K_0(\mathcal{V}) \end{array}$$

Let  $G = \{\gamma_{ij}\}$  be a projective system of nonnilpotent Grothendieck classes  $\gamma_{ij} \in K_0(\mathcal{V})$  indexed by the directed set  $I$ . Then in the same way as done before, we can define

$$F_G^{\text{st}}(X_i) := \{\alpha_i \in F(X_i) \mid \Gamma(\pi_{ij}^* \alpha_i) = \gamma_{ij} \cdot \Gamma(\alpha_i) \text{ for any } j > i\}.$$

For each  $i \in I$ , an element of  $F_G^{\text{st}}(X_i)$  is called a  $\Gamma$ –stable constructible function with respect to the projective system  $G$  of nonzero Grothendieck classes. And for a proalgebraic variety  $X_\infty = \varprojlim \{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  we define

$$F_G^{\text{st.ind}}(X_\infty) := \varinjlim \{F_G^{\text{st}}(X_i), \pi_{ij}^*: F_G^{\text{st}}(X_i) \rightarrow F_G^{\text{st}}(X_j) \ (i < j)\}$$

and an element of this group shall be called a  $\Gamma$ –stable indconstructible function on the proalgebraic variety  $X_\infty$  with respect to the projective system  $G$  of nonzeros Grothendieck classes. Then as in Theorem 3.2.5 we get the following:

**3.3.3 Corollary** (1) For a proalgebraic variety  $X_\infty = \varprojlim \{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  and a projective system  $G = \{\gamma_{ij}\}$  of nonzero Grothendieck classes, we get the proalgebraic Grothendieck class homomorphism

$$\Gamma^{\text{ind}}: F_G^{\text{st.ind}}(X_\infty) \rightarrow \varinjlim \{\times \gamma_{ij}: K_0(\mathcal{V}) \rightarrow K_0(\mathcal{V})\}.$$

(2) In the case when  $\Lambda = \mathbb{N}$ , for a pro-algebraic variety  $\{X_n, \pi_{nm}: X_m \rightarrow X_n\}$  and a projective system  $G = \{\gamma_{n,m}\}$  of nonzero Grothendieck classes such that the multiplicatively closed set  $S$  generated by  $G$  does not contain the zero, we have the following canonical proalgebraic Grothendieck class homomorphism

$$\widetilde{\Gamma}^{\text{ind}}: F_G^{\text{st.ind}}(X_\infty) \rightarrow K_0(\mathcal{V})_G \quad \text{defined by} \quad \widetilde{\Gamma}^{\text{ind}}([\alpha_n]) := \frac{\Gamma(\alpha_n)}{\gamma_{01} \cdot \gamma_{12} \cdot \gamma_{23} \cdots \gamma_{(n-1)n}}.$$

Here we set  $\gamma_{01} := \mathbb{1}$  and  $K_0(\mathcal{V})_G$  is the ring of fractions of  $K_0(\mathcal{V})$  with respect to  $S$ .

- (3) Let  $X_\infty = \varprojlim \{X_n, \pi_{nm}: X_m \rightarrow X_n\}$  be a proalgebraic variety such that each structure morphism  $\pi_{n(n+1)}: X_{n+1} \rightarrow X_n$  satisfies the condition that for each  $n$  there exists a nonnilpotent element  $\gamma_n \in K_0(\mathcal{V})$  such that  $\pi_{n(n+1)}^{-1}(S_n) = \gamma_n \cdot [S_n]$  for any constructible set  $S_n \subset X_n$ ; for example,  $\pi_{n(n+1)}: X_{n+1} \rightarrow X_n$  is a Zariski locally trivial fiber bundle with fiber variety being  $F_n$  (in which case  $\gamma_n = [F_n] \in K_0(\mathcal{V})$ ). We assume that the multiplicatively closed set  $S$  generated by  $\{\gamma_m\}$  does not contain the zero. Then we have the canonical proalgebraic Grothendieck class homomorphism

$$\Gamma^{\text{ind}}: F^{\text{ind}}(X_\infty) \rightarrow K_0(\mathcal{V})_G \quad \text{described by} \quad \Gamma^{\text{ind}}([\alpha_n]) = \frac{\Gamma(\alpha_n)}{\gamma_0 \cdot \gamma_1 \cdot \gamma_2 \cdots \gamma_{n-1}}.$$

Here  $\gamma_0 := \mathbb{1}$  and  $K_0(\mathcal{V})_G$  is the ring of fractions of  $K_0(\mathcal{V})$  with respect to  $S$ .

- (4) In particular, if  $\gamma_n$  are all the same and nonnilpotent, say  $\gamma_n = \gamma$  for any  $n$ , then we have the canonical proalgebraic Grothendieck class homomorphism

$$\Gamma^{\text{ind}}: F^{\text{ind}}(X_\infty) \rightarrow K_0(\mathcal{V})_G \quad \text{described by} \quad \Gamma^{\text{ind}}([\alpha_n]) = \frac{\Gamma(\alpha_n)}{\gamma^{n-1}}.$$

In this special case the quotient ring  $K_0(\mathcal{V})_G$  shall be denoted by  $K_0(\mathcal{V})_\gamma$ .

**3.3.4 Example** (1) The arc space  $\mathcal{L}(X)$  of an algebraic variety  $X$  is defined to be the projective limit of the projective system consisting of truncated arc varieties  $\mathcal{L}_n(X)$  and projections  $\pi_{n(n+1)}: \mathcal{L}_{n+1}(X) \rightarrow \mathcal{L}_n(X)$ . Thus the arc space is a nontrivial example of a proalgebraic variety. If  $X$  is nonsingular and of complex dimension  $d$ , then the projection  $\pi_{n(n+1)}: \mathcal{L}_{n+1}(X) \rightarrow \mathcal{L}_n(X)$  is a Zariski locally trivial fiber bundle with fiber being  $\mathbb{C}^d$ . Thus in this case, in Corollary 3.3.3(4) the Grothendieck class  $\gamma$  is  $\mathbb{L}^d$ .

(2) In the case of the arc space  $\mathcal{L}(X)$  of a nonsingular variety  $X$ , each structure morphism  $\pi_{n(n+1)}: \mathcal{L}_{n+1}(X) \rightarrow \mathcal{L}_n(X)$  is always surjective, hence it follows from Proposition 2.3.4 we get that for the arc space  $\mathcal{L}(X)$  of a nonsingular variety  $X$  we have the canonical isomorphism:  $F^{\text{ind}}(\mathcal{L}(X)) \cong F^{\text{cyl}}(\mathcal{L}(X))$ .

**3.3.5 Corollary** When  $X$  is a nonsingular variety of dimension  $d$ , we have the following canonical Grothendieck class homomorphism  $\Gamma^{\text{ind}}: F^{\text{ind}}(\mathcal{L}(X)) \rightarrow K_0(\mathcal{V})_{[\mathbb{L}^d]}$  described by  $\Gamma^{\text{ind}}([\alpha_n]) = \Gamma(\alpha_n)/[\mathbb{L}]^{nd}$ . In particular,  $\Gamma^{\text{ind}}([\mathbb{1}_{\mathcal{L}(X)}]) = \Gamma^{\text{ind}}([\mathbb{1}_X]) = [X]$ .

**3.3.6 Remark** Note that in the case of arc space  $\mathcal{L}(X)$ , since  $\mathcal{L}_0(X) = X$ , the indexed set is not  $\mathbb{N}$  but  $\{0\} \cup \mathbb{N}$ . Hence the canonical one is not  $\Gamma^{\text{ind}}([\alpha_n]_\infty) = \Gamma(\alpha_n)/[\mathbb{L}]^{(n-1)d}$ .

**3.3.7 Remark** If  $X$  is singular, the arc space  $\mathcal{L}(X)$  is *not* the projective limit of a projective system of Zariski locally trivial fiber bundles with fiber being  $\mathbb{C}^{\dim X}$  any longer and each projection morphism  $\pi_{n(n+1)}: \mathcal{L}_{n+1}(X) \rightarrow \mathcal{L}_n(X)$  is complicated and thus as a proalgebraic variety  $\mathcal{L}(X)$  is complicated. A crucial ingredient in studying motivic measure or motivic integration is the so-called *stable set* of the arc space  $\mathcal{L}(X)$ . A subset  $A$  of the arc space  $\mathcal{L}(X)$  is called a *stable set* if it is a cylinder set, ie,  $A = \pi_n^{-1}(C_n)$  for a constructible set  $C_n$  in the  $n$ -th arc space  $\mathcal{L}_n(X)$ , such that the restriction of each projection  $\pi_{m(m+1)}|_{\pi_{m+1}(A)}: \pi_{m+1}(A) \rightarrow \pi_m(A)$  for each  $m \geq n$  is a Zariski locally trivial fiber bundle with the fiber being  $\mathbb{C}^{\dim X}$ . So, our  $\Gamma$ -stable indconstructible function is a generalization of the characteristic function supported on this stable set. Therefore we can see that our proalgebraic Grothendieck class homomorphism  $\widetilde{\Gamma}^{\text{ind}}: F_G^{\text{st.ind}}(X_\infty) \rightarrow K_0(\mathcal{V})_G$  given in Corollary 3.3.3 (2) is a generalization of motivic measure.

## 4 Characteristic classes of proalgebraic varieties

### 4.1 Pre-Mackey functor

Let  $\{f_i: X_i \rightarrow Y_i\}$  be a pro-morphism of pro-objects  $\{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  and  $\{Y_i, \rho_{ij}: Y_j \rightarrow Y_i\}$ . Then it follows from the contravariance of the bifunctor  $\mathcal{F}$  that the following diagram commutes

$$\begin{array}{ccc} \mathcal{F}(Y_i) & \xrightarrow{f_i^*} & \mathcal{F}(X_i) \\ \rho_{ij}^* \downarrow & & \downarrow \pi_{ij}^* \\ \mathcal{F}(Y_j) & \xrightarrow{f_j^*} & \mathcal{F}(X_j), \end{array}$$

which in turn implies that the pullback homomorphism  $f_\infty^* := \varinjlim \{f_i^*\}: \mathcal{F}^{\text{ind}}(Y_\infty) \rightarrow \mathcal{F}^{\text{ind}}(X_\infty)$  is contravariantly functorial. However, to claim the covariance of  $\mathcal{F}^{\text{ind}}$ , we need the following requirements; one for the bifunctor  $\mathcal{F}$  and one for the pro-morphism  $\{f_i: Y_i \rightarrow X_i\}_{i \in I}$ :

**4.1.1 Definition** If a bifunctor  $\mathcal{F}: \mathcal{V} \rightarrow \mathcal{A}$  satisfies the following two properties (M-1) and (M-2), then it is called a *Mackey functor*:

(M-1) For a fiber square

$$\begin{array}{ccc} X' & \xrightarrow{g'} & X \\ f' \downarrow & & \downarrow f \\ Y' & \xrightarrow{g} & Y \end{array}$$

the diagram

$$\begin{array}{ccc} \mathcal{F}(X) & \xrightarrow{g'^*} & \mathcal{F}(X') \\ f_* \downarrow & & \downarrow f'_* \\ \mathcal{F}(Y) & \xrightarrow{g^*} & \mathcal{F}(Y'), \end{array}$$

commutes, ie, “pullback and pushforward commute”.

(M-2)  $\mathcal{F}(X \coprod Y) = \mathcal{F}(X) \oplus \mathcal{F}(Y)$ .

**4.1.2 Example** The constructible function functor  $\mathcal{F}(X)$  and the relative Grothendieck group functor  $K_0(\mathcal{V}/X)$  are both Mackey functors.

**4.1.3 Remark** The notion of Mackey functor was introduced by Dress [26; 27] (cf Bouc [9]) in the representation theory of finite groups. In what follows, the property we need is just the property (M-1), which is sometimes called the *base change formula*. A bifunctor satisfying only (M-1) is called a *pre-Mackey functor*.

Let  $\mathcal{F}, \mathcal{G}: \mathcal{V} \rightarrow \mathcal{A}$  be two pre-Mackey functors, and let  $\mathcal{N}: \mathcal{F} \rightarrow \mathcal{G}$  be a natural transformation, ie, for a morphism  $f: X \rightarrow Y$  the following diagrams commute:

$$\begin{array}{ccc} \mathcal{F}(X) & \xrightarrow{\mathcal{N}_X} & \mathcal{G}(X) \\ \mathcal{F}_*(f) \downarrow & & \downarrow \mathcal{G}_*(f) \\ \mathcal{F}(Y) & \xrightarrow{\mathcal{N}_Y} & \mathcal{G}(Y) \end{array} \qquad \begin{array}{ccc} \mathcal{F}(Y) & \xrightarrow{\mathcal{N}_Y} & \mathcal{G}(Y) \\ \mathcal{F}^*(f) \downarrow & & \downarrow \mathcal{G}^*(f) \\ \mathcal{F}(X) & \xrightarrow{\mathcal{N}_X} & \mathcal{G}(X). \end{array}$$

From now on, unless some confusion is possible, we just denote  $f_*$  for both  $\mathcal{F}_*(f)$  and  $\mathcal{G}_*(f)$ ,  $f^*$  for both  $\mathcal{F}^*(f)$  and  $\mathcal{G}^*(f)$ , and  $\mathcal{N}$  for  $\mathcal{N}_X, \mathcal{N}_Y$  without subscripts.

**4.1.4 Definition** Let  $\{f_i: X_i \rightarrow Y_i\}_{i \in I}$  be a pro-morphism of pro-objects

$$\{X_i, \pi_{ij}: X_j \rightarrow X_i\} \quad \text{and} \quad \{Y_i, \rho_{ij}: Y_j \rightarrow Y_i\}.$$

If the commutative diagram for  $i < j$

$$\begin{array}{ccc} X_j & \xrightarrow{f_j} & Y_j \\ \pi_{ij} \downarrow & & \downarrow \rho_{ij} \\ X_i & \xrightarrow{f_i} & Y_i \end{array}$$

is a fiber square, then we call the pro-morphism  $\{f_i: X_i \rightarrow Y_i\}_{i \in I}$  a *fiber-square pro-morphism*, abusing words.



**4.1.5 Theorem** (1) Let  $\mathcal{F}: \mathcal{V} \rightarrow \mathcal{A}$  be a pre-Mackey functor and let  $\mathcal{F}(\text{pt}) = \mathcal{R}$  be an  $R$ -module with a commutative ring  $R$  with a unit. Then for a projective system  $P = \{p_{ij}\}$  of nonzero elements  $p_{ij}$  and for a fiber-square pro-morphism  $\{f_i: X_i \rightarrow Y_i\}_{i \in I}$  of pro-objects  $\{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  and  $\{Y_i, \rho_{ij}: Y_j \rightarrow Y_i\}$ , the pushforward homomorphisms

$$f_{\infty*} := \varinjlim \{f_{i*}\}: \mathcal{F}^{\text{ind}}(X_{\infty}) \rightarrow \mathcal{F}^{\text{ind}}(Y_{\infty})$$

and  $f_{\infty*} := \varinjlim \{f_{i*}\}: \mathcal{F}_P^{\text{st.ind}}(X_{\infty}) \rightarrow \mathcal{F}_P^{\text{st.ind}}(Y_{\infty})$

are covariantly functorial.

(2) Let  $\mathcal{F}, \mathcal{G}: \mathcal{V} \rightarrow \mathcal{A}$  be two pre-Mackey functors and  $\mathcal{N}: \mathcal{F} \rightarrow \mathcal{G}$  be a natural transformation. For a projective system  $P = \{p_{ij}\}$  of nonzero elements  $p_{ij}$  of  $R$  and a fiber-square pro-morphism  $\{f_i: X_i \rightarrow Y_i\}$  of pro-objects  $\{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  and  $\{Y_i, \rho_{ij}: Y_j \rightarrow Y_i\}$ , then

$$\mathcal{N}^{\text{ind}}: \mathcal{F}^{\text{ind}}(X_{\infty}) \rightarrow \mathcal{G}^{\text{ind}}(X_{\infty}) \quad \text{and} \quad \mathcal{N}^{\text{ind}}: \mathcal{F}_P^{\text{st.ind}}(X_{\infty}) \rightarrow \mathcal{G}_P^{\text{st.ind}}(X_{\infty})$$

are natural transformations.

(3) Furthermore we suppose that  $v = \mathcal{N}(\text{pt}): \mathcal{R} = \mathcal{F}(\text{pt}) \rightarrow \mathcal{R}' = \mathcal{G}(\text{pt})$  is an  $R$ -module homomorphism. Then we have the following commutative diagram:

$$\begin{array}{ccc} \mathcal{F}^{\text{ind}}(Y_{\infty}) & \xrightarrow{\mathcal{N}^{\text{ind}}} & \mathcal{G}^{\text{ind}}(Y_{\infty}) \\ \chi_{\mathcal{F}}^{\text{ind}} \downarrow & & \downarrow \chi_{\mathcal{G}}^{\text{ind}} \\ \varinjlim \{\times p_{ij}: \mathcal{R} \rightarrow \mathcal{R}\} & \xrightarrow[v^{\text{ind}}]{} & \varinjlim \{\times p_{ij}: \mathcal{R}' \rightarrow \mathcal{R}'\}. \end{array}$$

**Proof** It suffices to see that for a fiber-square pro-morphism  $\{f_i: X_i \rightarrow Y_i\}$  of pro-objects  $\{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  and  $\{Y_i, \rho_{ij}: Y_j \rightarrow Y_i\}$ , we get the commutative cubic diagram

$$\begin{array}{ccccc} & & \mathcal{F}(X_i) & \xrightarrow{f_{i*}} & \mathcal{F}(Y_i) \\ & \swarrow \mathcal{N} & \downarrow & & \downarrow \rho_{ij*} \\ \mathcal{G}(X_i) & \xrightarrow{f_{i*}} & \mathcal{G}(X_i) & & \mathcal{G}(X_i) \\ \downarrow \pi_{ij*} & & \downarrow \pi_{ij*} & & \downarrow \rho_{ij*} \\ & & \mathcal{F}(X_j) & \xrightarrow{f_{j*}} & \mathcal{F}(Y_j) \\ & \swarrow \mathcal{N} & \downarrow & & \downarrow \rho_{ij*} \\ \mathcal{G}(X_j) & \xrightarrow{f_{j*}} & \mathcal{G}(Y_j) & & \mathcal{G}(Y_j) \end{array}$$

which completes the proof. □

**4.1.6 Remark** As seen in the above proof, we do not need to require that  $\mathcal{F}$  and  $\mathcal{G}$  are pre-Mackey on the whole category  $\mathcal{V}$ , but we just need to require that  $\mathcal{F}$  and  $\mathcal{G}$  satisfy the base change formula (M-1) for a fiber-square of each structure morphism of projective systems. To say this property, we simply say that  $\mathcal{F}$  and  $\mathcal{G}$  are *pre-Mackey functors on projective systems* (cf [79]).

## 4.2 Fulton–MacPherson bivariant theory

Since we are interested in characteristic homology classes of singular varieties, the target functor is mainly the homology theory  $H_*$ . Unfortunately the homology theory is not a pre-Mackey functor on an arbitrary fiber square, but still a pre-Mackey functor on some restricted fiber squares. In order to deal with such pre-Mackey functors in a general setup we use Fulton–MacPherson Bivariant Theory [34] (cf [33]). So, first we quickly recall only necessary ingredients of the Bivariant Theory. For full details, see [34, Section 2].

Let  $\mathcal{C}$  be a category with a final object  $\text{pt}$ , a class of “independent squares” and a class of “confined maps”, which is closed under composition and base change in independent squares and contains all identity maps. For example, in the category of topological spaces, a fiber square is an independent square and a proper map is a confined map.

A bivariant theory  $\mathbb{B}$  on such a category  $\mathcal{C}$  with values in the category of abelian groups is an assignment to each morphism  $f: X \rightarrow Y$  in the category  $\mathcal{C}$  an abelian group  $\mathbb{B}(f: X \rightarrow Y)$  which is equipped with the following three basic operations:

*Products:* For morphisms  $f: X \rightarrow Y$  and  $g: Y \rightarrow Z$ , the product operation

$$\bullet: \mathbb{B}(X \xrightarrow{f} Y) \otimes \mathbb{B}(Y \xrightarrow{g} Z) \rightarrow \mathbb{B}(X \xrightarrow{gf} Z),$$

*Pushforwards:* For morphisms  $f: X \rightarrow Y$  and  $g: Y \rightarrow Z$  with  $f$  *confined*, the pushforward operation  $f_*: \mathbb{B}(gf: X \rightarrow Z) \rightarrow \mathbb{B}(g: Y \rightarrow Z)$ ,

*Pullbacks:* For an *independent* square

$$\begin{array}{ccc} X' & \xrightarrow{g'} & X \\ f' \downarrow & & \downarrow f \\ Y' & \xrightarrow[g]{} & Y, \end{array}$$

the pullback operation  $g^*: \mathbb{B}(f: X \rightarrow Y) \rightarrow \mathbb{B}(f': X' \rightarrow Y')$ .

And these three operations are required to satisfy the seven compatibility axioms [34, Part I, Section 2.2].

A bivariant theory  $\mathbb{B}$  is said to *have units* [34, Section 2.2] if there exists an element  $1_X \in \mathbb{B}(\text{id}_X: X \rightarrow X)$  such that

- $\alpha \bullet 1_X = \alpha$  for all maps  $W \rightarrow X$  and all  $\alpha \in \mathbb{B}(W \rightarrow X)$ ,
- $1_X \bullet \beta = \beta$  for all maps  $X \rightarrow Y$  and all  $\beta \in \mathbb{B}(X \rightarrow Y)$ ,
- $g^* 1_X = 1_{X'}$  for all  $g: X' \rightarrow X$ .

Let  $\mathbb{B}, \mathbb{B}'$  be two bivariant theories on a category  $\mathcal{C}$ . Then a *Grothendieck transformation*  $\gamma: \mathbb{B} \rightarrow \mathbb{B}'$  from  $\mathbb{B}$  to  $\mathbb{B}'$  is a collection of homomorphisms  $\mathbb{B}(X \rightarrow Y) \rightarrow \mathbb{B}'(X \rightarrow Y)$  for a morphism  $X \rightarrow Y$  in the category  $\mathcal{C}$ , which preserve the above three basic operations:

- (1)  $\gamma(\alpha \bullet_{\mathbb{B}} \beta) = \gamma(\alpha) \bullet_{\mathbb{B}'} \gamma(\beta)$ ,
- (2)  $\gamma(f_* \alpha) = f_* \gamma(\alpha)$ ,
- (3)  $\gamma(g^* \alpha) = g^* \gamma(\alpha)$ .

A bivariant theory unifies both a covariant theory and a contravariant theory in the following sense:  $\mathbb{B}_*(X) := \mathbb{B}(X \rightarrow \text{pt})$  and  $\mathbb{B}^*(X) := \mathbb{B}(\text{id}: X \rightarrow X)$  become a covariant functor and a contravariant functor, respectively. And a Grothendieck transformation  $\gamma: \mathbb{B} \rightarrow \mathbb{B}'$  induces natural transformations  $\gamma_*: \mathbb{B}_* \rightarrow \mathbb{B}'_*$  and  $\gamma^*: \mathbb{B}^* \rightarrow \mathbb{B}'^*$ .

**4.2.1 Lemma** *Let  $\gamma: \mathbb{B} \rightarrow \mathbb{B}'$  be a Grothendieck transformation  $\gamma: \mathbb{B} \rightarrow \mathbb{B}'$ . Then any bivariant class  $b \in \mathbb{B}(f: X \rightarrow Y)$  gives rise to the following commutative diagram*

$$\begin{array}{ccc}
 \mathbb{B}_*(Y) & \xrightarrow{\gamma_*} & \mathbb{B}'_*(Y) \\
 b \bullet \downarrow & & \downarrow \gamma(b) \bullet \\
 \mathbb{B}_*(X) & \xrightarrow{\gamma_*} & \mathbb{B}'_*(X).
 \end{array}$$

*It is called a Verdier-type Riemann–Roch formula associated to the bivariant class  $b$ .*

**4.2.2 Example** The Fulton–MacPherson bivariant group  $\mathbb{F}(f: X \rightarrow Y)$  of constructible functions consists of all the constructible functions on  $X$  which satisfy the local Euler condition with respect to  $f$ . Here a constructible function  $\alpha \in F(X)$  is said to satisfy the *local Euler condition with respect to  $f$*  if for any point  $x \in X$  and for any local embedding  $(X, x) \rightarrow (\mathbf{C}^N, 0)$  the equality  $\alpha(x) = \chi(B_\epsilon \cap f^{-1}(z); \alpha)$  holds, where  $B_\epsilon$  is a sufficiently small open ball of the origin 0 with radius  $\epsilon$  and  $z$  is any point close to  $f(x)$  (cf Brasselet [10] and Sabbah [59]). In particular, if  $\mathbb{1}_f := \mathbb{1}_X$  belongs to the bivariant group  $\mathbb{F}(f: X \rightarrow Y)$ , then the morphism  $f: X \rightarrow Y$  is called an *Euler morphism*. And any constructible function in the bivariant group  $\mathbb{F}(f: X \rightarrow Y)$  is called a *bivariant constructible function*.

The three operations on  $\mathbb{F}$  are defined as follows:

- (1) The product  $\bullet$ :  $\mathbb{F}(f: X \rightarrow Y) \otimes \mathbb{F}(g: Y \rightarrow Z) \rightarrow \mathbb{F}(gf: X \rightarrow Z)$  is defined by  $\alpha \bullet \beta = \alpha \cdot f^* \beta$ ,
- (2) The pushforward  $f_*$ :  $\mathbb{F}(gf: X \rightarrow Z) \rightarrow \mathbb{F}(g: Y \rightarrow Z)$  is defined by  $f_*(\alpha)(y) = \int c_*(\alpha|_{f^{-1}(y)})$ ,
- (3) For a fiber square

$$\begin{array}{ccc} X' & \xrightarrow{g'} & X \\ f' \downarrow & & \downarrow f \\ Y' & \xrightarrow{g} & Y, \end{array}$$

the pullback  $g^*$ :  $\mathbb{F}(f: X \rightarrow Y) \rightarrow \mathbb{F}(f': X' \rightarrow Y')$  is the functional pullback  $g'^*$ , ie,  $g^*(\alpha)(x') := \alpha(g'(x'))$ .

**4.2.3 Remark** The group  $\mathbb{F}(\text{id}_X: X \rightarrow X)$  consists of all locally constant functions and  $\mathbb{F}(X \rightarrow \text{pt}) = F(X)$ .

**4.2.4 Proposition** For any bivariant constructible function  $\alpha \in \mathbb{F}(f: X \rightarrow Y)$ , the Euler–Poincaré characteristic  $\chi(f^{-1}(y); \alpha) = \int c_*(\alpha|_{f^{-1}(y)})$  is locally constant, ie, constant along connected components of the base variety  $Y$ . In particular, if  $f: X \rightarrow Y$  is an Euler proper morphism, then the Euler–Poincaré characteristic of the fibers are locally constant.

**4.2.5 Corollary** Let  $\{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  be a pro-algebraic variety such that for each  $i < j$  the structure morphism  $\pi_{ij}: X_j \rightarrow X_i$  is an Euler proper morphism (hence surjective) of topologically connected algebraic varieties. Let  $e_{ij}$  be the constant Euler–Poincaré characteristic  $e_{ij}$  of the fiber of the morphism  $\pi_{ij}$ . Then we get the inductive Euler–Poincaré characteristic homomorphism  $\chi^{\text{ind}}: F^{\text{ind}}(X_\infty) \rightarrow \varinjlim \{\times e_{ij}: \mathbb{Z} \rightarrow \mathbb{Z}\}$ .

### 4.3 Canonically oriented projective systems

**4.3.1 Definition** (A canonically oriented projective system (cf [34])) Let  $\mathbb{B}$  be a bivariant theory having units and let  $\{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  be a projective system. If there exists an assignment  $\Theta(\pi_{ij}) \in \mathbb{B}(\pi_{ij}: X_j \rightarrow X_i)$  such that

$$\Theta(\pi_{ii}) = 1_{X_i} \quad \text{and} \quad \Theta(\pi_{jk}) \bullet \Theta(\pi_{ij}) = \Theta(\pi_{ik}) \quad (i < j < k),$$

then we say that  $\Theta$  is a canonical orientation on the projective system  $\{X_i\}$  and that  $\{X_i, \pi_{ij}: X_j \rightarrow X_i, \Theta\}$  is a *canonically oriented projective system*. We set  $\Theta_{ij} := \Theta(\pi_{ij})$  and the map  $\Theta_{ij} \bullet: \mathbb{B}_*(X_i) \rightarrow \mathbb{B}_*(X_j)$  is denoted by  $\pi_{ij}^\dagger$ , called the Gysin map induced by the canonical orientation  $\Theta_{ij}$ .

**4.3.2 Remark** For the sake of convenience of presentation below, we sometimes write  $\{X_i, \pi_{ij}: X_j \rightarrow X_i, \{\Theta_{ij}\}\}$  instead of  $\{X_i, \pi_{ij}: X_j \rightarrow X_i, \Theta\}$ .

**4.3.3 Definition** If  $\{X_i, \pi_{ij}: X_j \rightarrow X_i, \Theta\}$  is a canonically oriented projective system, then the inductive limit  $\lim_{\rightarrow} \{\mathbb{B}_*(X_i), \pi_{ij}^!: \mathbb{B}_*(X_i) \rightarrow \mathbb{B}_*(X_j)\}$  is denoted by  $\mathbb{B}_*^{\text{ind}}(X_\infty; \Theta)$ .

**4.3.4 Example** In Corollary 4.2.5 we have that  $F^{\text{ind}}(X_\infty) = \mathbb{F}_*^{\text{ind}}(X_\infty; \{\mathbb{1}_{\pi_{ij}}\}) \cdot y$

**4.3.5 Proposition** Let  $\{X_n, \pi_{n(n+1)}: X_{n+1} \rightarrow X_n, \Theta\}$  be a canonically oriented projective system of topologically connected algebraic varieties with  $\Theta_{n(n+1)} \in \mathbb{F}(X_{n+1} \rightarrow X_n)$ . Assume that the (constant) Euler–Poincaré characteristic of  $\Theta_{n(n+1)}$  restricted to each fiber  $\pi_{n(n+1)}^{-1}(y)$ , ie,  $\chi(\pi_{n(n+1)}^{-1}(y); \Theta_{n(n+1)})$  is nonzero and it shall be denoted by  $e_f(\Theta_{n(n+1)})$ . And we set  $e_f(\Theta_{01}) := 1$ . Then the canonical inductive Euler–Poincaré characteristic homomorphism  $\chi^{\text{ind}}: \mathbb{F}_*^{\text{ind}}(X_\infty; \Theta) \rightarrow \mathbb{Q}$  is described by

$$\chi^{\text{ind}}([\alpha_n]) = \frac{\chi(\alpha_n)}{e_f(\Theta_{01}) \cdot e_f(\Theta_{12}) \cdots e_f(\Theta_{(n-1)n})}.$$

**Proof** This can be seen as follows. Let  $(f, \alpha): X \rightarrow Y$  be a morphism of topologically connected algebraic varieties with  $\alpha \in \mathbb{F}(f: X \rightarrow Y)$ . It follows from Proposition 4.2.4 that the Euler–Poincaré characteristic  $\chi(f^{-1}(y); \alpha)$  of  $\alpha$  restricted to each fiber  $f^{-1}(y)$  is constant (and nonzero by assumption). So  $f_*\alpha = e_f(\alpha) \cdot \mathbb{1}_Y$ . Then to prove the above statement, it suffices to see that we have the commutative diagram

$$\begin{array}{ccc} F(Y) & \xrightarrow{\chi} & \mathbb{Z} \\ \alpha \bullet \downarrow & & \downarrow \times e_f(\alpha) \\ F(X) & \xrightarrow{\chi} & \mathbb{Z}. \end{array}$$

To see this, we need the *projection formula*  $f_*(\alpha \cdot f^*\beta) = (f_*\alpha) \cdot \beta$  for a morphism  $f: X \rightarrow Y$  and constructible functions  $\alpha \in F(X)$  and  $\beta \in F(Y)$ . Then, using this projection formula we have  $\chi(\alpha \bullet \beta) = \chi(\alpha \cdot f^*\beta) = \chi(f_*\alpha \cdot \beta) = \chi((e_f(\alpha) \cdot \mathbb{1}_Y) \cdot \beta) = e_f(\alpha) \cdot \chi(\beta)$ . Thus we get the above commutative diagram.  $\square$

Mimicking the above proof, we can show the following:

**4.3.6 Corollary** Let  $\{X_i, \pi_{ij}: X_j \rightarrow X_i, \{\Theta_{ij}\}\}$  be a canonically oriented projective system. Assume that  $\mathbb{B}_*(\text{pt})$  is a commutative ring with a unit, denoted by  $\mathcal{R}^{\mathbb{B}}$ , and let  $P = \{p_{ij}\}$  be a projective system of nonzero elements  $p_{ij} \in \mathcal{R}^{\mathbb{B}}$ . Then, if we set

$$\mathbb{B}_{*,P}^{\text{st.ind}}(X_\infty; \{\Theta_{ij}\}) = \{[\alpha_\lambda] \in \mathbb{B}_*^{\text{ind}}(X_\infty; \{\Theta_{ij}\}) \mid \chi_{\mathbb{B}_*}(\Theta_{ij} \bullet \alpha_i) = p_{ij} \cdot \chi_{\mathbb{B}_*}(\alpha_i) \ (i < j)\}$$

we get the inductive  $\chi_{\mathbb{B}_*}$ -characteristic homomorphism

$$\chi_{\mathbb{B}_*}^{\text{ind}}: \mathbb{B}_{*,P}^{\text{st.ind}}(X_\infty; \{\Theta_{ij}\}) \rightarrow \varinjlim \{\times p_{ij}: \mathcal{R}^{\mathbb{B}} \rightarrow \mathcal{R}^{\mathbb{B}}\}.$$

### 4.4 Characteristic classes of projective systems

Fulton and MacPherson conjectured the existence of a bivariant Chern class [34] and Brasselet [10] found it (cf Sabbah [59], Yokura [76; 77] and Zhou [83; 84]):

**4.4.1 Theorem** *On the category of embeddable complex analytic varieties and cellular morphisms, there exists a Grothendieck transformation  $\gamma^{\text{Br}}: \mathbb{F} \rightarrow \mathbb{H}$  satisfying the normalization condition that  $\gamma^{\text{Br}}(\mathbb{1}_\pi) = c(TX) \cap [X]$  for  $X$  smooth, where  $\pi: X \rightarrow \text{pt}$  and  $\mathbb{1}_\pi = \mathbb{1}_X$ .*

**4.4.2 Corollary** *For a bivariant constructible function  $\alpha \in \mathbb{F}(f: X \rightarrow Y)$  we have the commutative diagram*

$$\begin{array}{ccc} F(Y) & \xrightarrow{c_*} & H_*(Y) \\ \alpha \bullet_{\mathbb{F}} = \alpha \cdot f^* \downarrow & & \downarrow \gamma^{\text{Br}}(\alpha) \bullet_{\mathbb{H}} \\ F(X) & \xrightarrow{c_*} & H_*(X). \end{array}$$

*In particular, for an Euler morphism we have the commutative diagram*

$$\begin{array}{ccc} F(Y) & \xrightarrow{c_*} & H_*(Y) \\ \mathbb{1}_f \bullet_{\mathbb{F}} = f^* \downarrow & & \downarrow \gamma^{\text{Br}}(\mathbb{1}_f) \bullet_{\mathbb{H}} \\ F(X) & \xrightarrow{c_*} & H_*(X). \end{array}$$

The homomorphism  $\gamma^{\text{Br}}(\mathbb{1}_f) \bullet_{\mathbb{H}}$  shall be denoted by  $f^{**}$ . Using Corollary 4.4.2, we get the following:

**4.4.3 Theorem** *Let  $\mathcal{V}$  be the category of embeddable complex analytic varieties and cellular morphisms.*

- (1) *Let  $\{f_i: X_i \rightarrow Y_i\}$  be a fiber-square pro-morphism between two pro-algebraic varieties  $\{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  and  $\{Y_i, \rho_{ij}: Y_j \rightarrow Y_i\}$  with structure morphisms being Euler morphisms. Then we have the commutative diagram*

$$\begin{array}{ccc} F^{\text{ind}}(X_\infty) & \xrightarrow{c_*^{\text{ind}}} & H_*^{\text{ind}}(X_\infty; \{\gamma^{\text{Br}}(\mathbb{1}_{\pi_{ij}})\}) \\ f_{\infty*} \downarrow & & \downarrow f_{\infty*} \\ F^{\text{ind}}(Y_\infty) & \xrightarrow{c_*^{\text{ind}}} & H_*^{\text{ind}}(Y_\infty; \{\gamma^{\text{Br}}(\mathbb{1}_{\rho_{ij}})\}). \end{array}$$

- (2) Let  $X_\infty = \varprojlim \{X_i, \pi_{ij}: X_j \rightarrow X_i\}$  be a proalgebraic variety such that for each  $i < j$  the structure morphism  $\pi_{ij}: X_j \rightarrow X_i$  is an Euler proper morphism (hence surjective) of topologically connected algebraic varieties with the constant Euler–Poincaré characteristic  $p_{ij}$  of the fiber of the morphism  $\pi_{ij}$  being nonzero. Then we get the commutative diagram:

$$\begin{array}{ccc}
 F^{\text{ind}}(X_\infty) & \xrightarrow{c_*^{\text{ind}}} & H_*^{\text{ind}}(X_\infty; \{\gamma^{\text{Br}}(\mathbb{1}_{\pi_{ij}})\}) \\
 \searrow \chi^{\text{ind}} & & \swarrow f^{\text{ind}} \\
 & \varinjlim \{ \times p_{ij}: \mathbb{Z} \rightarrow \mathbb{Z} \} &
 \end{array}$$

**Proof** It suffices to show the commutativity of the square in the front of the diagram

$$\begin{array}{ccccc}
 & F(X_i) & \xrightarrow{f_{i*}} & F(Y_i) & \\
 & \swarrow c_* & & \swarrow c_* & \\
 H_*(X_i) & \xrightarrow{f_{i*}} & H_*(Y_i) & & \downarrow \rho_{ij}^* \\
 & \downarrow \pi_{ij}^* & & \downarrow \rho_{ij}^{**} & \\
 & F(X_j) & \xrightarrow{f_{j*}} & F(Y_j) & \\
 \downarrow \pi_{ij}^{**} & \swarrow c_* & & \swarrow c_* & \\
 H_*(X_j) & \xrightarrow{f_{j*}} & H_*(Y_j) & &
 \end{array}$$

ie, for any  $x \in H_*(X_i)$ ,  $\rho_{ij}^{**}(f_{i*}(x)) = f_{j*}(\pi_{ij}^{**}(x))$ , which is more precisely

$$\gamma^{\text{Br}}(\mathbb{1}_{\rho_{ij}}) \bullet_{\mathbb{H}} f_{i*}(x) = f_{j*}(\gamma^{\text{Br}}(\mathbb{1}_{\pi_{ij}}) \bullet_{\mathbb{H}} x) = f_{j*}(f_i^* \gamma^{\text{Br}}(\mathbb{1}_{\rho_{ij}}) \bullet_{\mathbb{H}} x),$$

since  $\mathbb{1}_{\pi_{ij}} = f_i^* \mathbb{1}_{\rho_{ij}}$ . This is nothing but the *projection formula* of the Bivariant Theory [34, Section 2.2, (A<sub>123</sub>)]. Thus we get the theorem. □

Following the above construction, similarly we can get an inductive limit version of Baum, Fulton and MacPherson’s Riemann–Roch  $\tau_*: G_0(-) \rightarrow H_*(-) \otimes \mathbb{Q}$ , using the bivariant Riemann–Roch theorem [33; 34]. And much more general is the following theorem. Below, if each fiber square in a fiber-square pro-morphism is replaced by an independent square, then we call it an *independent-square pro-morphism*.

**4.4.4 Theorem** Let  $\gamma: \mathbb{B} \rightarrow \mathbb{B}'$  be a Grothendieck transformation between two bivariant theories  $\mathbb{B}, \mathbb{B}': \mathcal{C} \rightarrow \mathcal{A}$  and let  $\gamma_*: \mathcal{R} = \mathbb{B}_*(\text{pt}) \rightarrow \mathcal{R}' = \mathbb{B}'_*(\text{pt})$  be an  $R$ -module homomorphism with a commutative ring  $R$  with a unit. Let  $P = \{p_{ij}\}$  be a projective system of nonzero elements  $p_{ij} \in R$ .

(1) Let  $\{X_i, \pi_{ij}: X_j \rightarrow X_i, \{\Theta_{ij}\}\}$  be a canonically oriented projective system. Then we get the following inductive limit version of the natural transformation

$$\gamma_*: \mathbb{B}_* \rightarrow \mathbb{B}'_*:$$

$$\gamma_*^{\text{ind}}: \mathbb{B}_*^{\text{ind}}(X_\infty; \{\Theta_{ij}\}) \rightarrow \mathbb{B}'_*{}^{\text{ind}}(X_\infty; \{\gamma(\Theta_{ij})\}),$$

$$\gamma_*^{\text{ind}}: \mathbb{B}_{*,P}^{\text{st.ind}}(X_\infty; \{\Theta_{ij}\}) \rightarrow \mathbb{B}'_{*,P}{}^{\text{st.ind}}(X_\infty; \{\gamma(\Theta_{ij})\}).$$

(2) Let  $\{f_i: X_i \rightarrow Y_i\}$  be an independent-square pro-morphism between two oriented projective systems  $\{X_i, \pi_{ij}: X_j \rightarrow X_i, \{\Theta_{ij}\}\}$  and  $\{Y_i, \rho_{ij}: Y_j \rightarrow Y_i, \{\Theta'_{ij}\}\}$  such that  $\Theta_{ij} = f_i^* \Theta'_{ij}$ . Then we have the following commutative diagrams:

$$\begin{array}{ccc} \mathbb{B}_*^{\text{ind}}(X_\infty; \{\Theta_{ij}\}) & \xrightarrow{\gamma_*^{\text{ind}}} & \mathbb{B}'_*{}^{\text{ind}}(X_\infty; \{\gamma(\Theta_{ij})\}) \\ f_{\infty*} \downarrow & & \downarrow f_{\infty*} \\ \mathbb{B}_*^{\text{ind}}(Y_\infty; \{\Theta'_{ij}\}) & \xrightarrow{\gamma_*^{\text{ind}}} & \mathbb{B}'_*{}^{\text{ind}}(Y_\infty; \{\gamma(\Theta'_{ij})\}), \\ \\ \mathbb{B}_{*,P}^{\text{st.ind}}(X_\infty; \{\Theta_{ij}\}) & \xrightarrow{\gamma_*^{\text{ind}}} & \mathbb{B}'_{*,P}{}^{\text{st.ind}}(X_\infty; \{\gamma(\Theta_{ij})\}) \\ f_{\infty*} \downarrow & & \downarrow f_{\infty*} \\ \mathbb{B}_{*,P}^{\text{st.ind}}(Y_\infty; \{\Theta'_{ij}\}) & \xrightarrow{\gamma_*^{\text{ind}}} & \mathbb{B}'_{*,P}{}^{\text{st.ind}}(Y_\infty; \{\gamma(\Theta'_{ij})\}). \end{array}$$

(3) Let  $\mathbb{B}_*(\text{pt}) = \mathbb{B}'_*(\text{pt})$  be a commutative ring  $\mathcal{R}$  with a unit and we assume that the homomorphism  $\gamma: \mathbb{B}_*(\text{pt}) \rightarrow \mathbb{B}'_*(\text{pt})$  is the identity. Let  $P = \{p_{\lambda\mu}\}$  be a projective system of nonzero elements  $p_{\lambda\mu} \in \mathcal{R}$ . Then we get the commutative diagram

$$\begin{array}{ccc} \mathbb{B}_{*,P}^{\text{st.ind}}(X_\infty; \{\Theta_{ij}\}) & \xrightarrow{\gamma_*^{\text{ind}}} & \mathbb{B}'_{*,P}{}^{\text{st.ind}}(X_\infty; \{\gamma(\Theta_{ij})\}) \\ \chi_{\mathbb{B}_*}^{\text{ind}} \downarrow & & \downarrow \chi_{\mathbb{B}'_*}^{\text{ind}} \\ \varinjlim \{\times p_{ij}: \mathcal{R} \rightarrow \mathcal{R}\} & \xrightarrow{\gamma^{\text{ind}}} & \varinjlim \{\times p_{ij}: \mathcal{R}' \rightarrow \mathcal{R}'\}. \end{array}$$

As shown by Brasselet, Schürmann and Yokura [12] (cf Brasselet, Schürmann and Yokura [13], Ernström and Yokura [29; 30], Schürmann [61] and Yokura [77]), a natural transformation between two covariant functors commuting with exterior products is always extended to a Grothendieck transformation between their associated bivariant theories. Therefore we get the following:

**4.4.5 Corollary** *If a canonical orientation is defined for pro-objects, then a natural transformation between two covariant functors commuting with exterior products can*



be extended to a natural transformation between the inductive limit versions of the covariant functors.

## 5 Green functors and Grothendieck–Green functors

In this section we discuss a uniqueness of the homomorphism  $e: K_0(\mathcal{V}/X) \rightarrow F(X)$  defined by  $e([f: Y \rightarrow X]) := f_*\mathbb{1}_Y$ . A good reference for this section is Bouc [9].

### 5.1 Green functors

**5.1.1 Definition** (Green functor) A Green functor  $G = (G^*, G_*)$  is a Mackey functor endowed with a bilinear map (or an exterior product)  $G(X) \times G(Y) \rightarrow G(X \times Y)$  denoted by  $(x, y) \mapsto x \times y$  which are bifunctorial, associative and unitary, in the following sense:

(G-I) (bifunctoriality) For morphisms  $f: X \rightarrow X'$  and  $g: Y \rightarrow Y'$  the following diagrams commute:

$$\begin{array}{ccc} G(X) \times G(Y) & \xrightarrow{\times} & G(X \times Y) & & G(X') \times G(Y') & \xrightarrow{\times} & G(X' \times Y') \\ f_* \times g_* \downarrow & & \downarrow (f \times g)_* & & f^* \times g^* \downarrow & & \downarrow (f \times g)^* \\ G(X') \times G(Y') & \xrightarrow{\times} & G(X' \times Y') & & G(X) \times G(Y) & \xrightarrow{\times} & G(X \times Y). \end{array}$$

(G-II) (associativity)  $(x \times y) \times z = x \times (y \times z)$  for  $x \in G(X), y \in G(Y), z \in G(Z)$ . To be more precise, the square

$$\begin{array}{ccc} G(X) \times G(Y) \times G(Z) & \xrightarrow{\text{Id}_{G(X)} \times (\times)} & G(X) \times G(Y \times Z) \\ (\times) \times \text{Id}_{G(Z)} \downarrow & & \downarrow \times \\ G(X \times Y) \times G(Z) & \xrightarrow{\times} & G(X \times Y \times Z) \end{array}$$

is commutative, up to identifications  $(X \times Y) \times Z \cong X \times Y \times Z \cong X \times (Y \times Z)$ .

(G-III) (unitarity) For a point  $\text{pt}$  there exists a unit  $1_G \in G(\text{pt})$  such that for any  $x \in G(X)$ ,  $p_{1*}(x \times 1_G) = x = p_{2*}(1_G \times x)$ . Here  $p_1: X \times \text{pt} \rightarrow X$  and  $p_2: \text{pt} \times X \rightarrow X$  are the projections (which are in fact isomorphisms).

The corresponding ones in the representations of finite groups is called the Burnside ring or the Burnside functor [9].

**5.1.2 Remark** For a Green functor  $G$ , by the identification  $\text{pt} \times \text{pt} \cong \text{pt}$ , the abelian group  $G(\text{pt})$  becomes a ring with the exterior product operation and the other abelian group  $G(X)$  is a  $G(\text{pt})$ -module.

**5.1.3 Remark** The theory of *algebraic cobordism* that was introduced by Levine and Morel [48] is a much finer theory of Green functors in the sense that the pushforward homomorphisms are considered only for projective morphisms and the pullback homomorphisms are considered only for smooth morphisms. In such a restricted situation, it shall be called a *restricted Green functor*. Such a theory is sometimes called a *Borel–Moore functor with products*; see Levine and Pandharipande [49].

The constructible function functor  $F(X)$  and the relative Grothendieck group  $K_0(\mathcal{V}/X)$  are both Green functors by considering the usual exterior products.

If  $G, G'$  are Green functors on a category  $\mathcal{C}$ , a morphism or a natural transformation  $\tau$  from  $G$  to  $G'$  is a natural transformation of Mackey functors  $G$  and  $G'$  which is compatible with exterior products, ie, such that for a variety  $X$  the following diagram commutes:

$$\begin{CD} G(X) \times G(Y) @>\times>> G(X \times Y) \\ @V{\tau_X \times \tau_Y}VV @VV{\tau_{X \times Y}}V \\ G'(X) \times G'(Y) @>\times>> G'(X \times Y). \end{CD}$$

If moreover  $\tau_{\text{pt}}: G(\text{pt}) \rightarrow G'(\text{pt})$  sends the unit to the unit, then the natural transformation  $\tau$  is called *unitary*.

## 5.2 Grothendieck–Green functor

**5.2.1 Definition** If a Green functor  $G = (G^*, G_*)$  satisfies that for a closed subvariety  $Z \subset Y$ ,

$$p_Y^*(1_G) = i_{Y-Z}^* i_{Y-Z}^* p_Y^*(1_G) + i_Z^* i_Z^* p_Y^*(1_G),$$

then it is called a *Grothendieck–Green* functor. Here we let  $p_W: X \rightarrow \text{pt}$  be the map to a point for a variety  $W$ .

The constructible function functor  $F(X)$  and the relative Grothendieck group functor  $K_0(\mathcal{V}/X)$  are both Grothendieck–Green functors. Another highly nontrivial example of a Grothendieck–Green functor is the Grothendieck ring  $K_0(D^b(MHM(X)))$  of the derived category of mixed Hodge modules with the natural t-structure (see Getzler [35, Proposition 3.9 and Definition 4.3]).

The following theorem is an algebro-geometric analogue of [9, Proposition 2.4.4]:

**5.2.2 Theorem** For any unitary Grothendieck–Green functor  $G: \mathcal{V} \rightarrow \mathcal{A}$ , there exists a unique unitary natural transformation of Grothendieck–Green functors

$$\tau: K_0(\mathcal{V}/-) \rightarrow G(-).$$

**Proof** Let  $[h: W \rightarrow X] \in K_0(\mathcal{V}/X)$  and let  $p_W: W \rightarrow \text{pt}$  be the map to a point. Then  $[h: W \rightarrow X]$  can be expressed as  $[h: W \rightarrow X] = h_* p_W^*([\text{pt} \rightarrow \text{pt}])$ . Let  $G$  be another Grothendieck–Green functor. If there exists a unitary natural transformation  $\tau: K_0(\mathcal{V}/-) \rightarrow G(-)$ , then it follows from the naturality and unitarity that we have to have  $\tau_X([h: W \rightarrow X]) = \tau_X(h_* p_W^*([\text{pt} \rightarrow \text{pt}])) = h_* p_W^*(1_G)$ . So, all we have to do is to show that  $\tau_X([h: W \rightarrow X]) := h_* p_W^*(1_G)$  gives us a natural transformation between two Grothendieck–Green functors, and then we are done. Since the proof is straightforward, it is left for the reader.  $\square$

As a corollary of this theorem, a unitary natural transformation from  $e: K_0(\mathcal{V}/X) \rightarrow F(X)$  has to be defined by  $e([f: Y \rightarrow X]) := f_* 1_Y$ .

**5.2.3 Remark** In the above theorem, one cannot replace the Grothendieck–Green functor  $K_0(\mathcal{V}/-)$  by the constructible function Grothendieck–Green functor  $F$ . For the characteristic function  $1_W \in F(X)$  for a subvariety  $W \subset X$  we have that, as in the above proof,  $1_W$  can be expressed as  $1_W = i_{W*} p_W^*(1_{\text{pt}})$ , where  $i_W: W \rightarrow X$  be the inclusion. Hence, as in the above proof, we could define  $\tau_X(1_W) := (i_W)_* p_W^*(1_G)$ . Then, all the arguments of the above proof perfectly work even for the constructible function Grothendieck–Green functor  $F$ , except for the naturality of the pushforward:

$$\begin{array}{ccc} F(X) & \xrightarrow{\tau_X} & G(X) \\ f_* \downarrow & & \downarrow G(f)_* \\ F(Y) & \xrightarrow{\tau_Y} & G(Y). \end{array}$$

In fact, one can see that this does not already hold for  $G = K_0(\mathcal{V}/-)$ . Indeed, if it were the case, the uniqueness of such a unitary natural transformation would imply that for any variety  $X$  we should have the isomorphism  $K_0(\mathcal{V}/X) \cong F(X)$  and hence, in particular, we would have the isomorphism  $K_0(\mathcal{V}/\text{pt}) \cong F(\text{pt}) \cong \mathbb{Z}$ , which is not the case.

**5.2.4 Remark** Surely  $i_F: F(X) \rightarrow K_0(\mathcal{V}/X)$  defined by  $i_F(1_W) := [i_W: W \rightarrow X]$  is injective. However, the above remark implies that this injective transformation cannot be a unitary natural transformation between the two Grothendieck–Green functors.

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