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The bridge number of arborescent links with many twigs

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We prove the meridional rank conjecture for arborescent links associated to plane trees with the following property: all branching points carry a straight branch to at least three leaves. The proof involves obtaining an upper bound on the bridge number in terms of the maximal number of link components of the underlying tree, which is valid for all arborescent links.

[57K10](#); [20F55](#)

1 Introduction

The family of arborescent tangles can be defined as the minimal family of tangles containing all rational tangles, closed under horizontal and vertical tangle composition; see Conway [7]. Their closures — arborescent links — admit a description via weighted plane trees, where each vertex stands for a twisted band, and edges indicate how these bands are glued together. See Bonahon and Siebenmann [5] or Gabai [8] for a precise definition, and [Figure 1](#) for an illustration (ignoring the additional labels and dots in the link diagram for the time being). These descriptions are not unique, since small weights typically allow for simplifications of the underlying tree, without changing the link type.

The meridional rank conjecture by Cappell and Shaneson posits an equality between the bridge number and the meridional rank of a link; see Kirby [9, Problem 1.11]. Early evidence towards this was derived by Boileau and Zimmermann, who showed that two-bridge links are the only links with meridional rank two [4], and by Rost and Zieschang, who proved the conjecture for torus links [10].

We recall that the bridge number $\beta(L)$ of a link $L \subset \mathbb{R}^3$ is the minimal number of local maxima of L with respect to a fixed direction, minimized over all isotopic

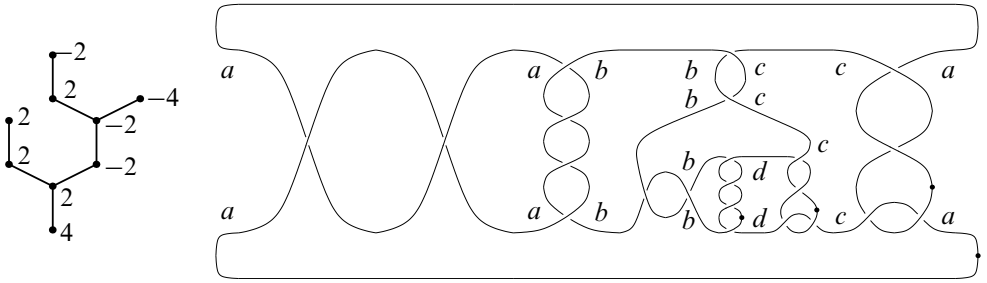


Figure 1: Example of an arborescent knot and underlying weighted tree.

representatives of L . The meridional rank $\mu(L)$ is the minimal number of generators of the fundamental group $\pi_1(\mathbb{R}^3 \setminus L)$, where all generators are required to be conjugate to a standard meridional loop of the link L . The bridge number of a link is bounded below by its meridional rank.

Given a fixed plane tree T , each choice of weights for its vertices determines an arborescent link $L(T)$. Define $m(T)$ to be the maximal number of components of $L(T)$ over all links obtained by assigning weights to the vertices of T . We will show that $m(T)$ admits a description in terms of the combinatorics of the tree.

Theorem 1 *For every arborescent link $L(T)$ determined by a weighted plane tree T , the bridge number of $L(T)$ is bounded above by the maximal component number of T ,*

$$\beta(L(T)) \leq m(T).$$

This bound is sharp for a class of trees, defined next. A twig is a straight branch connecting a leaf to a branching point. A tree T is said to have many twigs if it is obtained from a subtree $T' \subset T$ by adding at least three twigs to every vertex of T' .

Theorem 2 *Let $L(T)$ be an arborescent link associated to a plane tree T with many twigs and all weights $\neq 0, \pm 1$. The meridional rank conjecture holds for $L(T)$ and*

$$\mu(L(T)) = \beta(L(T)) = m(T).$$

To evaluate the maximal component number $m(T)$, we shall use $f(T)$, the flattening number of T . Define a subset of edges of T to be flattening if the complement of their interiors is a subforest of T with no vertex of valency bigger than two. The natural number $f(T)$ is the minimal number of edges among all flattening subsets; the first author gives this definition in the context of braid indices of fibered arborescent links

in [1]. In the special case of trees with a bipartite ramification structure, where all vertices of valency bigger than two are even distance apart, the number $f(T)$ is easily seen to coincide with the number of leaves of T minus two. The first three authors proved the meridional rank conjecture for links associated to bipartite trees in [2], establishing Theorems 1 and 2 for this class of links, although the formulation in terms of the maximal component number is new. Trees with many twigs and trees with a bipartite ramification structure have a small intersection, consisting of star-like trees. The links corresponding to these trees are known as Montesinos links.

Our proof is inspired by the technique developed in [2]. We construct Coxeter quotients of the groups $\pi_1(\mathbb{R}^3 \setminus L(T))$ of rank $f(T) + 2$ for trees with many twigs. This is done in the next section and establishes the inequality

$$f(T) + 2 \leq \mu(L(T)).$$

In the third and final section, we show that the bridge number of arborescent links (without restriction) is bounded above by $f(T) + 2$,

$$\beta(L(T)) \leq f(T) + 2.$$

This in turn is done by computing the Wirtinger number of arborescent diagrams, a combinatorial version of the bridge number introduced by Blair, Kjuchukova, Velazquez and Villanueva in [3]. Moreover, for all plane trees we establish the equality

$$m(T) = f(T) + 2.$$

2 Coxeter quotients for arborescent links

Coxeter groups are encoded by finite simple weighted graphs. Let Γ be a finite simple graph with $v(\Gamma)$ vertices, whose edges carry integer weights ≥ 2 . The corresponding Coxeter group $C(\Gamma)$ is generated by $v(\Gamma)$ elements of order two, one for each vertex of Γ . Every edge with weight k stands for a relation of the form $(st)^k = 1$, where s, t is the pair of generators associated with the two vertices of that edge. Elements of $C(\Gamma)$ conjugate to these generators are called reflections. The minimal number of reflections needed to generate $C(\Gamma)$ is called the reflection rank of $C(\Gamma)$; it is known to equal $v(\Gamma)$. The following elementary lower bound for the meridional rank $\mu(L)$ of links in terms of the reflection rank was derived in [2, Section 2, Proposition 1].

Proposition 1 *Let L be a link whose fundamental group surjects onto a Coxeter group $C(\Gamma)$, so that all meridians are mapped to reflections. Then $\mu(L) \geq v(\Gamma)$.*

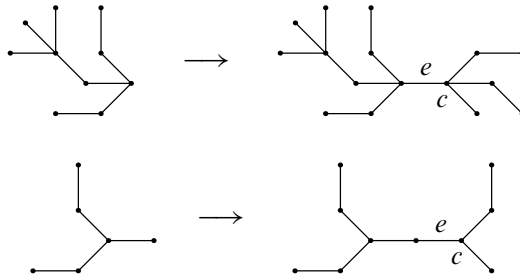


Figure 2: Adding ramification points of valency 4 and 3.

We will use the term Coxeter quotient for quotients of link groups that arise by sending all meridians of L to reflections of a Coxeter group. These were introduced by Brunner in [6] as homomorphisms onto Artin groups rather than Coxeter groups.

An important class of links that admit noncyclic Coxeter quotients are two-bridge links, which can be encoded by rational numbers α/β with relatively prime integers α, β and $-\alpha < \beta < \alpha$. As explained in [2], the two-bridge link $L(\alpha/\beta)$ admits a rank two Coxeter quotient generated by two reflections s, t satisfying the relation $(st)^\alpha = 1$.

This is simply the quotient of the link group by the square of all meridians, which is maximal among its Coxeter quotients. Any Coxeter quotient of a two-bridge link is therefore a dihedral group, the only possible cyclic quotient being $\mathbb{Z}/2\mathbb{Z}$.

The goal of this section is to construct Coxeter quotients of reflection rank $f(T) + 2$ for all arborescent links $L(T)$ with the restrictions stated in Theorem 2. For this purpose, we need a recursive formula for the flattening number $f(T)$. We say that a tree \bar{T} is obtained from T by adding a ramification point if \bar{T} contains an edge e whose complement is the union of T and a star-like tree, whose central vertex c is adjacent to e . Figure 2 illustrates this operation and serves as a hint for the proof of the following easy fact.

Lemma 1 *If \bar{T} is obtained from T by adding a ramification point of valency $k \geq 2$, then $f(\bar{T}) = f(T) + k - 2$.*

Adding a ramification point of valency k to a tree T has the effect of inserting $k - 1$ rational tangles to the arborescent link $L(T)$. This is illustrated in Figure 3 for $k = 4$, where each of the three boxes labeled A, B and C stands for a rational tangle determined by the branches incident to the new ramification point, and the number of twists in the central band is given by the weight of that point. Recall that a tree T satisfying the hypotheses of Theorem 2 is obtained from a subtree $T' \subset T$ by adding at least three

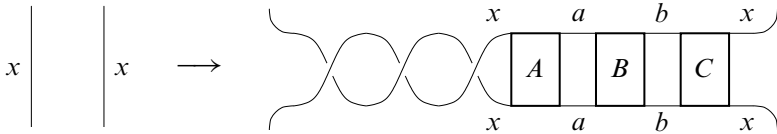


Figure 3: Extending a system of Coxeter generators.

straight branches, or twigs, to every vertex of T' . For this reason, T can be constructed inductively from a star-shaped tree by adding ramification points of valency at least four to branching points, ie to vertices of valency at least three, as in the upper part of Figure 2.

We will construct a Coxeter quotient of rank $f(T) + 2$ by induction on the number of vertices of T' . An important element of this construction is that each twist region of the arborescent diagram corresponding to a vertex of T' — that is, to a branching point of T — carries a single Coxeter generator. Coincidentally, the base case and the inductive step can be understood in the same diagram: Figure 3 illustrates the base case of a star-shaped tree with three branches, as well as the addition of a ramification point of valency four to an existing branching point. The three labels x , a and b stand for labels of a Coxeter group. Here a label z means that the meridian around the labeled string gets mapped to the generator z of a Coxeter group determined by the link diagram. Our assumption on the weights makes sure that all the rational tangles have nontrivial numerators, hence give rise to Coxeter relations $(st)^\alpha = 1$ with $\alpha \geq 2$ (compare the discussion in the second paragraph after Proposition 1).

For the base case, a star-shaped tree, we observe that $L(T)$ admits a Coxeter quotient with $f(T) + 2$ generators — as many as the number of branches. Indeed, the defining diagram of $L(T)$ consists of a single twist region associated with the center of the star, with a chain of $f(T) + 2$ rational tangles attached, one for each branch. This diagram comes with a canonical Coxeter quotient with $f(T) + 2$ generators and equally many relations. The corresponding Coxeter graph is a cycle, whose edges are labeled with the nominators of the rational tangles, in the cyclic order given by the branches of the tree.

The case of a star with three branches is illustrated on the right side of Figure 3, with A , B and C the rational tangles corresponding to the branches and x , a and b the Coxeter generators of the quotient.

For the inductive step, we construct a Coxeter quotient of rank

$$f(\overline{T}) + 2 = f(T) + 2 + k - 2$$

for the link $L(\overline{T})$ by adding $k - 2$ new reflection generators and $k - 1$ new Coxeter type relations determined by the new rational tangles. This is again illustrated in [Figure 3](#) for $k = 4$, where the label x stands for the generator of the branching point, to which we add the new ramification point. The new generators are labeled a and b . At this point, it is essential that the new ramification point has at least three twigs. If it had only two twigs, the single new generator a would satisfy two Coxeter type relations with x , which are possibly in contradiction. For example, if $p, q \in \mathbb{N}$ are coprime, then the two relations $(ax)^p = 1 = (ax)^q$ enforce $a = x$.

This inductive construction, together with [Proposition 1](#), proves the desired lower bound on the meridional rank of arborescent links $L(T)$ associated to trees T with many twigs and all weights $\neq 0, \pm 1$,

$$\mu(L(T)) \geq f(T) + 2.$$

As pointed out above, the inductive step does not work for arbitrary trees. However, the class of trees T admitting a Coxeter quotient of rank $f(T) + 2$ is bigger than the class of trees with many twigs. For example, the labels a, b, c and d of the arborescent knot $L(T)$ in [Figure 1](#) generate a Coxeter quotient of rank $f(T) + 2 = 4$, obtained by a similar procedure. The Coxeter relations satisfied by these generators are

$$(ab)^4 = (ac)^3 = (bc)^2 = (bd)^4 = (cd)^5 = 1.$$

3 Wirtinger and bridge number of arborescent links

The Wirtinger number of a link, introduced in [\[3\]](#), is a combinatorial version of the bridge number. We fix a connected link diagram D with n crossings, whose complement is a union of n arcs. Marking k of these arcs — called seeds — by a dot, we obtain a partial coloring of the diagram. We allow the coloring to propagate over crossings by the rule depicted in [Figure 4](#), motivated by the Wirtinger calculus. The idea is that the meridians of all strands marked with a dot are in the subgroup of the link group generated by the meridians of the initially dotted strands, or seeds.

The Wirtinger number $\omega(D)$ is the minimal number of seeds whose coloring propagates to a coloring of the entire diagram D . The main result in [\[3\]](#) states that the bridge

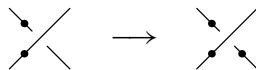


Figure 4: Propagation rule for colors.

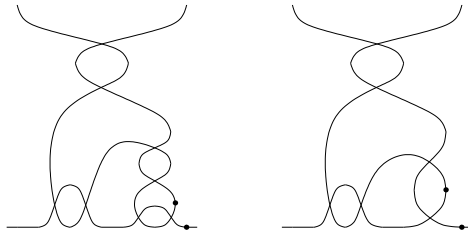


Figure 5: Initial seeds for rational tangles.

number $\beta(L)$ of a link L coincides with the Wirtinger number of L , that is the minimum value of $\omega(D)$ among all diagrams of L . In particular, the Wirtinger number of any diagram D of a link L is an upper bound for the bridge number,

$$\beta(L) \leq \omega(D).$$

In this section, we will prove that a suitable choice of $f(T) + 2$ seeds in a diagram of the arborescent link $L(T)$ propagates to a coloring of the entire diagram, without any restriction on the tree T and its weights. This implies that for all arborescent links $L(T)$,

$$\mu(L(T)) \leq \beta(L(T)) \leq f(T) + 2.$$

Combining this with the inequality of the previous section, $\mu(L(T)) \geq f(T) + 2$, valid for all arborescent links $L(T)$ with the restrictions stated in [Theorem 2](#), we obtain the two desired equalities,

$$\beta(L(T)) = \mu(L(T)) = f(T) + 2.$$

We are left to construct diagram colorings for $L(T)$ with $f(T) + 2$ seeds, starting with the base case $f(T) = 0$, or trees T without ramification points. The corresponding links $L(T)$ are precisely the two-bridge links, or closures of rational tangles. For each of the two rational tangles shown in [Figure 5](#), two initial seeds are enough to propagate to a coloring of the entire tangle. Note that no information on over- and undercrossings is needed in these diagrams.

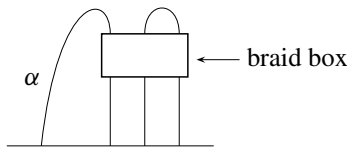


Figure 6: Rational tangle with a distinguished string α .

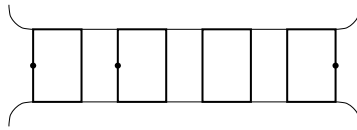


Figure 7: Adding three seeds.

This does not only work in these two illustrative examples, but generally for any rational tangle. In fact, an even stronger statement holds: a single seed on any of the four outgoing strings of a rational tangle can be complemented by a second seed, so that the coloring propagates to a coloring of the entire tangle. Indeed, for any rational tangle R and choice of incoming string α , a suitable isotopy will bring R into the position shown in Figure 6. See the claim in the proof of Theorem 1 in [11] for details.

Now, if α is a given seed, then choosing the string corresponding to the other maximum in Figure 6 as a second seed results in a coloring that propagates across the entire tangle.

We are now set for an inductive construction of a diagram coloring for $L(T)$ with $f(T) + 2$ initial seeds, making once again use of Lemma 1. Let \bar{T} be a tree obtained from T by adding a ramification point of valency k to T . Suppose that a link diagram of $L(T)$ admits a coloring by $f(T) + 2$ initial seeds that propagate to a coloring of the entire link diagram. This step potentially requires an ambient isotopy of $L(T)$ fixing the boundaries of all the rational tangles corresponding to branches of T , whereby each of these tangles is positioned like in Figure 6. We obtain a diagram of $L(\bar{T})$ by adding a twist region and $k - 1$ rational tangles to the diagram of $L(T)$. The twist region corresponding to the new ramification point can be included in any of these rational tangles. For example, in Figure 3 the three crossings together with tangle A form a single rational tangle. Adding $k - 2$ suitable seeds, one for each rational tangle except one, as shown schematically in Figure 7 for $k = 5$, gives rise to a set of $f(T) + k = f(\bar{T}) + 2$ seeds that propagate to a coloring of a link diagram of $L(\bar{T})$. This completes the proof of the inequality $\beta(L(T)) \leq f(T) + 2$.

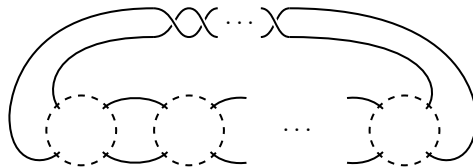


Figure 8: Montsesinos link on n rational tangles.

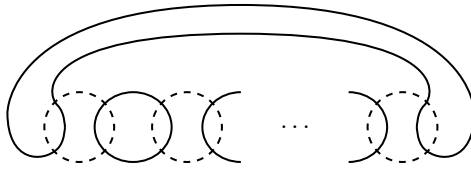


Figure 9: Connectedness diagram for a Montesinos link on n rational tangles with suitably chosen weights.

To obtain Theorems 1 and 2, it remains to show that $m(T) = f(T) + 2$ for all plane trees. Once again we consider star-like trees as a first step.

Lemma 2 *Given a star-like plane tree T , there exists a vertex labeling of T such that the corresponding link $L(T)$ has $f(T) + 2$ components.*

Proof Suppose the center vertex of T has valency $n \geq 2$. Then $f(T) = n - 2$ and $L(T)$ is a Montesinos link on n rational tangles as in Figure 8. It now suffices to show that we can choose the labels of T to achieve the connectedness diagram given in Figure 9, so that $L(T)$ has $n = f(T) + 2$ components. Let R be any of the n rational tangles. If R has length one — that is, it consists of a single twist region — then we may choose any even label to achieve the desired connectedness. If R has length at least two, all three ways to connect the four endpoints in pairs can be achieved. \square

Proposition 2 *For any plane tree T , the flattening number $f(T)$ and the maximal component number $m(T)$ are related by*

$$m(T) = f(T) + 2.$$

Proof Assign weights to T such that $L(T)$ is a link realizing the maximal component number $m(T)$. We then have

$$f(T) + 2 \geq \beta(L(T)) \geq m(T),$$

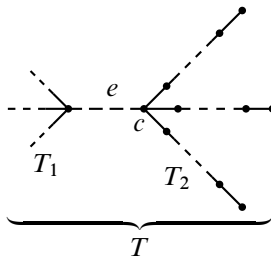


Figure 10: New ramification point, c .

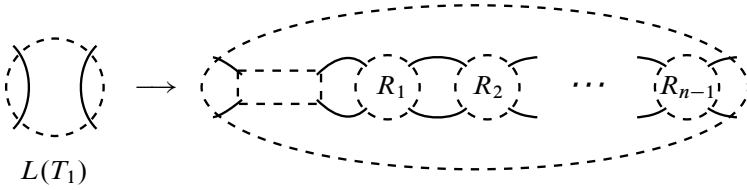


Figure 11: Tangle substitution corresponding to the new ramification point.

since the inequality $f(T) + 2 \geq \beta(L(T))$ holds for any choice of weights, and the number of components of the link $L(T)$ can not exceed its bridge number.

It remains to show that $f(T) + 2 \leq m(T)$. We do this by choosing labelings on T that result in a link $L(T)$ with $f(T) + 2$ components. We induct on the number of ramifications needed to construct T . The base case, T is star-like, is treated in Lemma 2. Now assume T is the result of adding a ramification point — that is, a star-like tree T_2 — to an arbitrary plane tree T_1 . Note that T_1 can be constructed using strictly fewer ramifications than T . By induction, there exists a labeling of T_i such that $L(T_i)$ has $f(T_i) + 2$ components for $i = 1, 2$. These labels of T_1 and T_2 induce a labeling of T which results in the connectedness diagram for $L(T)$ as in Figure 11. Here, the R_i are rational tangles and the ramification point has valence n .

Figure 12 shows the connectedness diagram induced by the chosen labels for T_2 , with $n - 2$ components of $L(T)$ contained therein. Hence, the number of components of $L(T)$ is

$$(f(T_1) + 2) + (n - 2) = f(T_1) + n.$$

By Lemma 1, $f(T) = f(T_1) + n - 2$, so $L(T)$ has $f(T) + 2$ components. □

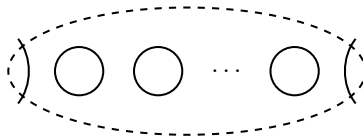


Figure 12: Connectedness diagram of inserted tangle induced by the choice of labels on T_2 .

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