# ANNALS OF K-THEORY

vol. 4 no. 3 2019

# Linkage of Pfister forms over $\mathbb{C}(x_1, \ldots, x_n)$

### Adam Chapman and Jean-Pierre Tignol



A JOURNAL OF THE K-THEORY FOUNDATION



# Linkage of Pfister forms over $\mathbb{C}(x_1, \ldots, x_n)$

Adam Chapman and Jean-Pierre Tignol

We prove the existence of a set of cardinality  $2^n$  of *n*-fold Pfister forms over  $\mathbb{C}(x_1, \ldots, x_n)$  which do not share a common (n - 1)-fold factor. This gives a negative answer to a question raised by Becher. The main tools are the existence of the dyadic valuation on the complex numbers and recent results on symmetric bilinear forms over fields of characteristic 2.

The field  $\mathbb{C}(x_1, x_2)$  of rational functions in two indeterminates over the field of complex numbers is known to be a  $C_2$ -field in the sense of Lang; see [Elman] et al. 2008, Section 97]. It follows that every quadratic form in five variables over  $\mathbb{C}(x_1, x_2)$  is isotropic, which implies that any two quaternion algebras over  $\mathbb{C}(x_1, x_2)$  share a common maximal subfield; see [Lam 2005, Theorem X.4.20]. Fields with this property are said to be *linked*. It was noticed by Becher [2018] and by Chapman, Dolphin, and Leep [Chapman et al. 2018, Corollary 5.3] that the following stronger property holds:  $\mathbb{C}(x_1, x_2)$  is 3-linked in the sense that any three quaternion algebras over  $\mathbb{C}(x_1, x_2)$  share a common maximal subfield. In contrast, algebraic number fields are known to be *m*-linked for *every* integer *m*; this follows from Lenstra's proof that  $K_2$  of global fields consists of symbols [Lenstra 1976, Proposition, p. 70]. We are indebted to an anonymous referee for the following short argument: a common maximal subfield of quaternion algebras  $Q_1, \ldots, Q_m$ defined over a number field F is given by  $F(\sqrt{d})$ , where  $d \in F^{\times}$  is a nonsquare in each of the completions  $F_p$ , where p runs through the finitely many primes that are either archimedean or dyadic, or where at least one of the  $Q_i$  is nonsplit. Comparison with the case of number fields suggests asking whether there exists an upper bound on the integer *m* for which  $\mathbb{C}(x_1, x_2)$  is *m*-linked.

**Theorem A.** The following quaternion algebras over  $\mathbb{C}(x_1, x_2)$  do not share a common maximal subfield:

 $(x_1, x_2),$   $(x_1, x_2 + 1),$   $(x_2, x_1 + 1),$   $(x_2, x_1 x_2 + 1).$ 

Tignol acknowledges support from the Fonds de la Recherche Scientifique–FNRS under grant number J.0159.19.

MSC2010: primary 11E81; secondary 11E04, 19D45.

Keywords: quadratic forms, linkage, rational function fields.

The arguments apply to a more general linkage question raised by Becher [2018]. Given a field F, the Witt ring WF of (Witt classes of) symmetric bilinear forms over F has a natural filtration by the powers of the maximal ideal IF of evendimensional forms:

$$WF \supset IF \supset I^2F \supset \cdots$$
.

Each  $I^n F$  is generated by (bilinear) *n*-fold Pfister forms, i.e., forms of the shape

$$\langle\!\langle \alpha_1,\ldots,\alpha_n\rangle\!\rangle = \langle 1,-\alpha_1\rangle\otimes\cdots\otimes\langle 1,-\alpha_n\rangle$$
 with  $\alpha_1,\ldots,\alpha_n\in F^{\times}$ .

For  $m, n \ge 2$ , we say that  $I^n F$  is *m*-linked if any *m* bilinear *n*-fold Pfister forms over *F* share a common (n - 1)-fold factor. If  $char(F) \ne 2$ , quadratic forms can be identified with their symmetric bilinear polar forms, and in particular the 2-fold Pfister forms are the norm forms of quaternion algebras. Hence *F* is *m*-linked in the sense discussed above if and only if  $I^2 F$  is *m*-linked. Becher raised the following question:

**Question** [Becher 2018, Question 5.2]. Suppose  $I^n F$  is 3-linked for some  $n \ge 2$ . Does it follow that  $I^n F$  is *m*-linked for every  $m \ge 3$ ?

This question was answered in the negative for fields F of char(F) = 2 in [Chapman 2018]. In this note, we show how Becher's question can be answered also in the case of char(F) = 0 using the main result of [Chapman 2018] on symmetric bilinear forms over fields of characteristic 2 and the existence of a dyadic valuation on  $\mathbb{C}$ :

**Theorem B.** For  $F = \mathbb{C}(x_1, \ldots, x_n)$  with  $n \ge 2$ ,  $I^n F$  is 3-linked but not  $2^n$ -linked.

#### Proofs

**Notation 1.** For a given integer  $n \ge 2$ , let  $2^n = \{0, 1\}^{\times n}$ , and write  $\mathbf{0} = (0, \dots, 0) \in 2^n$ . Given a sequence  $\alpha_1, \dots, \alpha_n$  in the multiplicative group of a field F and  $d = (d_1, \dots, d_n) \in 2^n$ , let  $\alpha^d = \prod_{i=1}^n \alpha_i^{d_i} \in F^{\times}$ . If  $d \ne \mathbf{0}$  and  $1 + \alpha^d \ne 0$ , let

$$\varphi_d = \langle\!\langle \alpha_1, \ldots, \widehat{\alpha_\ell}, \ldots, \alpha_n \rangle\!\rangle \otimes \langle\!\langle 1 + \alpha^d \rangle\!\rangle_{\mathcal{A}}$$

where  $\ell$  is the minimal index in  $\{1, \ldots, n\}$  for which  $d_{\ell} \neq 0$ , and let

$$\varphi_{\mathbf{0}} = \langle\!\langle \alpha_1, \ldots, \alpha_n \rangle\!\rangle.$$

The following result is from [Chapman 2018, Theorem 3.3]:

**Proposition 2.** Suppose char(F) = 2 and  $\alpha_1, \ldots, \alpha_n$  are 2-independent in F, which means that  $(\alpha^d)_{d \in 2^n}$  is a linearly independent family in F viewed as an  $F^2$ -vector space. Then the forms  $\varphi_d$  for  $d \in 2^n$  are anisotropic and have no common 1-fold factor.

The main result from which Theorems A and B derive is the following.

**Proposition 3.** Let  $F = k(x_1, ..., x_n)$  be the field of rational functions in n indeterminates over an arbitrary field k of characteristic zero, for some  $n \ge 2$ . Let  $\varphi_d$  for  $d \in 2^n$  be the Pfister forms defined as in Notation 1 with the sequence  $x_1, ..., x_n$  for  $\alpha_1, ..., \alpha_n$ . The forms  $\varphi_d$  do not have a common 1-fold factor.

*Proof.* A theorem of Chevalley [Engler and Prestel 2005, Theorem 3.1.2] shows that the 2-adic valuation on  $\mathbb{Q}$  extends to a valuation  $v_0$  on k. Let  $\bar{k}$  be the residue field of this valuation, which has characteristic 2. The valuation  $v_0$  has a Gauss extension to a valuation v on F such that  $v(x_i) = 0$  for i = 1, ..., n and  $\overline{x_1}, ..., \overline{x_n}$  are algebraically independent over  $\bar{k}$ ; see [Engler and Prestel 2005, Corollary 2.2.2]. The residue field of v is thus  $\bar{F} = \bar{k}(\overline{x_1}, ..., \overline{x_n})$ , a field of rational functions in n indeterminates over  $\bar{k}$ . Since the coefficients of the forms { $\varphi_d : d \in 2^n$ } are all of value 0, they have residue forms { $\overline{\varphi}_d : d \in 2^n$ }, where the coefficients of  $\overline{\varphi}_d$  are the residues of the coefficients of  $\varphi_d$ . The forms  $\overline{\varphi}_d$  are bilinear Pfister forms as defined in Notation 1, with the 2-independent sequence  $\overline{x_1}, ..., \overline{x_n}$  for  $\alpha_1, ..., \alpha_n$ .

For  $d \in 2^n$ , let  $t_d = (t_{1,d}, \ldots, t_{2^n-1,d})$  be a  $(2^n - 1)$ -tuple of indeterminates. Suppose the bilinear forms  $\varphi_d$  have a common factor  $\langle \langle \alpha \rangle \rangle$ . Then the pure subforms  $\varphi'_d$  defined by the equation  $\varphi_d = \langle 1 \rangle \perp \varphi'_d$  all represent  $-\alpha$ . Hence the system of equations

$$\varphi'_d(t_d, t_d) = -\alpha \quad \text{for } d \in 2^n$$

has a solution. We may therefore find nontrivial solutions to the system of equations

$$\varphi'_d(t_d, t_d) = \varphi'_0(t_0, t_0) \quad \text{for } d \in 2^n \setminus \{0\}.$$

Since these equations are homogeneous, upon scaling we may find solutions  $(u_d)_{d \in 2^n}$  such that

$$\min\{v(u_{i,d}) \mid i = 1, \dots, 2^n - 1, d \in \mathbf{2}^n\} = 0.$$

Taking residues, we obtain

$$\overline{\varphi}'_{d}(\overline{u_{d}},\overline{u_{d}})=\overline{\varphi}'_{0}(\overline{u_{0}},\overline{u_{0}}) \quad \text{for } d \in 2^{n} \setminus \{0\}.$$

Since at least one  $\overline{u_{i,d}}$  is nonzero and the forms  $\overline{\varphi}'_d$  are anisotropic, it follows that these forms all represent some  $\beta \in \overline{F}^{\times}$ . Hence the forms  $\overline{\varphi}_d$  have a common factor  $\langle\!\langle \beta \rangle\!\rangle$  by [Elman et al. 2008, Lemma 6.11]. This yields a contradiction to Proposition 2.

Theorem A readily follows from Proposition 3 with n = 2 and  $k = \mathbb{C}$ , because the forms  $\varphi_0, \varphi_{(0,1)}, \varphi_{(1,0)}$ , and  $\varphi_{(1,1)}$  are the norm forms of the quaternion algebras  $(x_1, x_2), (x_1, x_2 + 1), (x_2, x_1 + 1)$ , and  $(x_2, x_1x_2 + 1)$ , respectively.

*Proof of Theorem B.* The field  $F = \mathbb{C}(x_1, \ldots, x_n)$  is a  $C_n$ -field, and hence F(t) is a  $C_{n+1}$ -field; see [Elman et al. 2008, Corollary 97.6]. In particular,  $u(F(t)) = 2^{n+1}$ , and it follows from [Becher 2018, Corollary 5.4] that  $I^n F$  is 3-linked. Apply

Proposition 3 with  $k = \mathbb{C}$  to obtain a set of cardinality  $2^n$  of *n*-fold Pfister forms that do not have a common 1-fold factor, and hence are not linked.

#### References

- [Becher 2018] K. J. Becher, "Triple linkage", Ann. K-Theory 3:3 (2018), 369-378. MR Zbl
- [Chapman 2018] A. Chapman, "Common slots of bilinear and quadratic Pfister forms", *Bull. Aust. Math. Soc.* **98**:1 (2018), 38–47. MR Zbl
- [Chapman et al. 2018] A. Chapman, A. Dolphin, and D. B. Leep, "Triple linkage of quadratic Pfister forms", *Manuscripta Math.* **157**:3-4 (2018), 435–443. MR Zbl
- [Elman et al. 2008] R. Elman, N. Karpenko, and A. Merkurjev, *The algebraic and geometric theory of quadratic forms*, American Mathematical Society Colloquium Publications **56**, American Mathematical Society, Providence, RI, 2008. MR Zbl
- [Engler and Prestel 2005] A. J. Engler and A. Prestel, Valued fields, Springer, 2005. MR Zbl
- [Lam 2005] T. Y. Lam, *Introduction to quadratic forms over fields*, Graduate Studies in Math. **67**, American Mathematical Society, Providence, RI, 2005. MR Zbl
- [Lenstra 1976] H. W. Lenstra, Jr., " $K_2$  of a global field consists of symbols", pp. 69–73 in *Algebraic K-theory* (Evanston, IL, 1976), edited by M. R. Stein, Lecture Notes in Math. **551**, 1976. MR Zbl
- Received 6 Mar 2019. Revised 21 May 2019. Accepted 11 Jun 2019.

ADAM CHAPMAN: adam1chapman@yahoo.com Department of Computer Science, Tel-Hai Academic College, Upper Galilee, Israel

JEAN-PIERRE TIGNOL: jean-pierre.tignol@uclouvain.be ICTEAM Institute, UCLouvain, Louvain-la-Neuve, Belgium



# **ANNALS OF K-THEORY**

msp.org/akt

EDITORIAL	BOARD
-----------	-------

Joseph Ayoub	ETH Zürich, Switzerland
	joseph.ayoub@math.uzh.ch
Paul Balmer	University of California, Los Angeles, USA
	balmer@math.ucla.edu
Guillermo Cortiñas	Universidad de Buenos Aires and CONICET, Argentina
	gcorti@dm.uba.ar
Hélène Esnault	Freie Universität Berlin, Germany
	liveesnault@math.fu-berlin.de
Eric Friedlander	University of Southern California, USA
	ericmf@usc.edu
Max Karoubi	Institut de Mathématiques de Jussieu - Paris Rive Gauche, France
	max.karoubi@imj-prg.fr
Moritz Kerz	Universität Regensburg, Germany
	moritz.kerz@mathematik.uni-regensburg.de
Huaxin Lin	University of Oregon, USA
	livehlin@uoregon.edu
Alexander Merkurjev	University of California, Los Angeles, USA
	merkurev@math.ucla.edu
Birgit Richter	Universität Hamburg, Germany
	birgit.richter@uni-hamburg.de
Jonathan Rosenberg	(Managing Editor)
	University of Maryland, USA
Manag Cablishting	jmr@math.umd.edu
Marco Schlichting	University of Warwick, UK schlichting@warwick.ac.uk
Charles Weibel	(Managing Editor)
Charles weber	Rutgers University, USA
	weibel@math.rutgers.edu
Guoliang Yu	Texas A&M University, USA
Outshang Tu	guoliangyu@math.tamu.edu
PRODUCTION	
Silvio Levy	(Scientific Editor)
	production@msp.org

Annals of K-Theory is a journal of the K-Theory Foundation (ktheoryfoundation.org). The K-Theory Foundation acknowledges the precious support of Foundation Compositio Mathematica, whose help has been instrumental in the launch of the Annals of K-Theory.

See inside back cover or msp.org/akt for submission instructions.

The subscription price for 2019 is US \$490/year for the electronic version, and \$550/year (+\$25, if shipping outside the US) for print and electronic. Subscriptions, requests for back issues and changes of subscriber address should be sent to MSP.

Annals of K-Theory (ISSN 2379-1681 electronic, 2379-1683 printed) at Mathematical Sciences Publishers, 798 Evans Hall #3840, c/o University of California, Berkeley, CA 94720-3840 is published continuously online. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices.

AKT peer review and production are managed by EditFlow<sup>®</sup> from MSP.

PUBLISHED BY mathematical sciences publishers nonprofit scientific publishing http://msp.org/

© 2019 Mathematical Sciences Publishers

# ANNALS OF K-THEORY

2019	vol. 4	no. 3
Motivic analogues o DONDI ELLIS	f MO and MSO	345
The IA-congruence DAVID EL-CH	kernel of high rank free metabelian groups AI BEN-EZRA	383
Vanishing theorems for the negative <i>K</i> -theory of stacks MARC HOYOIS and AMALENDU KRISHNA		439
Higher genera for proper actions of Lie groups PAOLO PIAZZA and HESSEL B. POSTHUMA		473
Periodic cyclic homology and derived de Rham cohomology BENJAMIN ANTIEAU		505
e e e e e e e e e e e e e e e e e e e	orms over $\mathbb{C}(x_1, \ldots, x_n)$ AN and JEAN-PIERRE TIGNOL	521