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# Positive motivic measures are counting measures

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A *motivic measure* is a ring homomorphism from the Grothendieck group of a field  $K$  (with multiplication coming from the fiber product over  $\text{Spec } K$ ) to some field. We show that if a real-valued motivic measure  $\mu$  satisfies  $\mu([V]) \geq 0$  for all  $K$ -varieties  $V$ , then  $\mu$  is a counting measure; that is, there exists a finite field  $L$  containing  $K$  such that  $\mu([V]) = |V(L)|$  for all  $K$ -varieties  $V$ .

Let  $K$  be a field. By a  $K$ -variety, we mean a geometrically reduced, separated scheme of finite type over  $K$ . Let  $K_0(\text{Var}_K)$  denote the Grothendieck group of  $K$ , that is, the free abelian group generated by isomorphism classes  $[V]$  of  $K$ -varieties, with the scissors relations  $[V] = [W] - [V \setminus W]$  whenever  $W$  is a closed  $K$ -subvariety of  $V$ . There is a unique product on  $K_0(\text{Var}_K)$  characterized by the relation

$$[V] \cdot [W] = [V \times W],$$

where  $\times$  denotes the fiber product over  $\text{Spec } K$ . This product gives  $K_0(\text{Var}_K)$  a commutative ring structure with identity  $[\text{Spec } K]$ . For every extension  $L$  of  $K$ , extension of scalars gives a natural ring homomorphism  $K_0(\text{Var}_K) \rightarrow K_0(\text{Var}_L)$ . The map  $K \mapsto K_0(\text{Var}_K)$  can be regarded as a functor from fields to commutative rings. Throughout the paper, we follow the usual convention of writing  $\mathbb{L}$  for  $[\mathbb{A}_K^1]$ .

Following the terminology of [Larsen and Lunts 2003], we call a ring homomorphism from  $K_0(\text{Var}_K)$  to a field  $F$  a *motivic measure*. Note that the original meaning of this term [Hales 2005; Looijenga 2002] is different (though related). If  $K$  is a finite field, the map  $[V] \mapsto |V(K)|$  extends to a homomorphism  $\mu_K : K_0(\text{Var}_K) \rightarrow \mathbb{Z}$ , and therefore to an  $F$ -valued measure for any field  $F$ . More generally, if  $L$  is an extension of  $K$  which is also a finite field, the composition of  $\mu_L$  with the natural map  $K_0(\text{Var}_K) \rightarrow K_0(\text{Var}_L)$  gives for each  $F$  a motivic measure. We will call all such measures *counting measures*.

In this paper, we consider *positive* motivic measures, by which we mean  $\mathbb{R}$ -valued measures  $\mu$  such that  $\mu([V]) \geq 0$  for all  $K$ -varieties  $V$ . We now state our main result.

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**Theorem 1.** *Every positive motivic measure is a counting measure. In other words, if  $K$  is any field and  $\mu: K_0(\text{Var}_K) \rightarrow \mathbb{R}$  is positive, there exists a finite field  $L$  containing  $K$  such that  $\mu([V]) = |V(L)|$  for all  $K$ -varieties  $V$ .*

Of course, for other choices of  $F$  there may still be motivic measures such that  $\mu([V])$  lies in some interesting semiring of  $F$  for all  $K$ -varieties  $V$ . For example, if  $F$  is  $\mathbb{C}(u, v)$  and  $K = \mathbb{C}$ , the measure sending  $V$  to its Hodge–Deligne polynomial takes values in the semiring of polynomials in  $u, v$  whose term of highest total degree is a positive multiple of a power of  $uv$ .

We begin with a direct proof of the following obvious corollary of [Theorem 1](#).

**Proposition 2.** *If  $K$  is infinite, there are no positive motivic measures on  $K_0(\text{Var}_K)$ .*

*Proof.* Let  $\mu$  be such a measure. For any finite subset  $S$  of  $K$ , which we regard as a zero-dimensional subvariety of  $\mathbb{A}^1$ ,

$$0 \leq \mu(\mathbb{A}^1 \setminus S) = \mu(\mathbb{L}) - |S|.$$

Thus,  $\mu(\mathbb{L}) \geq |S|$  for all subsets  $S$  of  $K$ , which proves the proposition. □

For the remainder of the paper we may and do assume that  $K$  is finite, of cardinality  $q$ . We write  $\mathbb{F}_{q^n}$  for the degree  $n$  extension of  $K$ .

**Proposition 3.** *Let  $\Omega^n$  denote the variety obtained from  $\mathbb{A}^n$  by removing all proper affine-linear subspaces defined over  $\mathbb{F}_q$ . Then*

$$[\Omega^n] = (\mathbb{L} - q)(\mathbb{L} - q^2) \cdots (\mathbb{L} - q^n).$$

*Proof.* For any  $\mathbb{F}_q$ -rational affine-linear subspace  $A$  of  $\mathbb{A}^n$ , let  $A^\circ$  denote the open subvariety of  $A$  which is the complement of all proper  $\mathbb{F}_q$ -rational affine-linear subspaces of  $A$ . Then  $[A^\circ] = [\Omega^{\dim A}]$ , and one can write recursively

$$[\Omega^n] = \mathbb{L}^n - \sum_{i=1}^{n-1} a_{n,i} [\Omega^i],$$

where  $a_{n,i}$  is the number of  $\mathbb{F}_q$ -rational  $i$ -dimensional affine linear subspaces of  $\mathbb{A}^n$ . Thus,  $[\Omega^n]$  can be expressed as  $P_n(\mathbb{L})$ , where  $P_n \in \mathbb{Z}[x]$  is monic and of degree  $n$ . It suffices to prove that  $q^d$  is a root of  $P_n(x)$  for all integers  $d \in \{1, 2, \dots, n\}$ .

For any  $d$  in this range  $\Omega^n(\mathbb{F}_{q^d})$  is empty. Indeed, if  $x \in \mathbb{A}^n(\mathbb{F}_{q^d})$ , then the  $n$  coordinates of  $x$  together with 1 cannot be linearly independent over  $\mathbb{F}_q$ , which implies that  $x$  lies in a proper  $\mathbb{F}_q$ -rational affine-linear subspace of  $\mathbb{A}^n$ . Thus,

$$0 = \mu_{\mathbb{F}_{q^d}}(\Omega^n) = P_n(q^d). \quad \square$$

**Corollary 4.** *If  $\mu$  is a positive measure on  $K_0(\text{Var}_{\mathbb{F}_q})$ , there exists a positive integer  $n$  such that  $\mu(\mathbb{L}) = q^n$ .*

*Proof.* If  $q^{n-1} < \mu(\mathbb{L}) < q^n$  for some integer  $n$ , then  $\mu(\Omega^n) < 0$ , contrary to positivity.  $\square$

Our goal is then to prove that  $\mu(\mathbb{L}) = q^n$  implies  $\mu = \mu_{\mathbb{F}_{q^n}}$ . We prove first that these measures coincide for varieties of the form  $\text{Spec } \mathbb{F}_{q^d}$  and deduce that they coincide for all affine varieties. As  $K_0(\text{Var}_{\mathbb{F}_q})$  is generated by the classes of affine varieties, this implies [Theorem 1](#).

**Lemma 5.** *Let  $\mu$  be a real-valued motivic measure of  $K_0(\text{Var}_{\mathbb{F}_q})$  and  $m$  a positive integer. Then*

$$\mu(\text{Spec } \mathbb{F}_{q^m}) \in \{0, m\}.$$

*If  $\text{Spec } \mathbb{F}_{q^m}$  has measure  $m$ , then  $\text{Spec } \mathbb{F}_{q^d}$  has measure  $d$  whenever  $d$  divides  $m$ .*

*Proof.* As

$$\mathbb{F}_{q^m} \otimes_{\mathbb{F}_q} \mathbb{F}_{q^m} = \mathbb{F}_{q^m}^m,$$

the class  $x$  of  $\text{Spec } \mathbb{F}_{q^m}$  satisfies  $x^2 = mx$ . If  $d$  divides  $m$ ,

$$\mathbb{F}_{q^d} \otimes_{\mathbb{F}_q} \mathbb{F}_{q^m} = \mathbb{F}_{q^d}^m,$$

so  $\mu(\text{Spec } \mathbb{F}_{q^m}) = m$  implies  $\mu(\text{Spec } \mathbb{F}_{q^d}) = d$ .  $\square$

Of course,

$$\mu_{\mathbb{F}_{q^n}}(\text{Spec } \mathbb{F}_{q^m}) = \begin{cases} m & \text{if } m \mid n, \\ 0 & \text{otherwise.} \end{cases}$$

We will prove the same thing for the values of  $\mu(\text{Spec } \mathbb{F}_{q^m})$ . We begin with:

**Proposition 6.** *If  $\mu(\mathbb{L}) = q^n$  and  $\mu(\text{Spec } (\mathbb{F}_{q^k})) = k$  for some  $k \geq n$ , then*

$$\mu(\text{Spec } \mathbb{F}_{q^m}) = \begin{cases} m & \text{if } m \mid n, \\ 0 & \text{otherwise.} \end{cases} \quad (1)$$

For any integer  $k$ , we denote by  $X_k$  the complement in  $\mathbb{A}^1$  of the set of all points with residue field contained in  $\mathbb{F}_{q^k}$ .

*Proof.* By [Lemma 5](#),  $\mu(\text{Spec } \mathbb{F}_{q^d}) = d$  when  $d$  divides  $k$ . Choose an  $m$  not dividing  $k$ , and let  $Y_{k,m}$  denote the complement in  $X_k$  of the set of points with residue field  $\mathbb{F}_{q^m}$ . Then

$$\mu([Y_{k,m}]) = \mu(\mathbb{L}) - \sum_{d \mid k} c_d d - c_m \mu(\text{Spec } \mathbb{F}_{q^m}),$$

where  $c_i$  is the number of points in  $\mathbb{A}^1$  with residue field  $\mathbb{F}_{q^i}$ . From the positivity of  $\mu([Y_{k,m}])$  and the fact that

$$0 = \mu_{\mathbb{F}_{q^k}}([Y_{k,m}]) = q^k - \sum_{d \mid k} c_d d,$$

we see that  $\mu(\mathbb{L}) - q^k = q^n - q^k$  must be nonnegative, which is to say  $k = n$ , and that  $\mu(\text{Spec } \mathbb{F}_{q^m}) = 0$ .  $\square$

**Proposition 7.** *If  $\mu(\mathbb{L}) = q^n$ , then  $\mu(\text{Spec } \mathbb{F}_{q^n}) = n$ .*

*Proof.* The assertion is clear for  $n = 1$ , so we assume  $n > 1$ . Let  $c_i$  denote the number of points in  $\mathbb{A}^1$  with residue field  $\mathbb{F}_{q^i}$ . Thus  $ic_i \leq q^i - 1$  for all  $i > 1$ . If  $\mu(\text{Spec } \mathbb{F}_{q^n}) = 0$ , then  $\mu(\text{Spec } (\mathbb{F}_{q^i})) = 0$  for all  $i \geq n$ , so for all  $k > 0$  we have

$$\mu([X_k]) \geq q^n - q - \sum_{i=2}^{n-1} (q^i - 1) \geq 2.$$

Now we consider all curves in  $\mathbb{A}^2$  of the form  $y = P(x)$  where  $P(x) \in \mathbb{F}_q[x]$  has degree  $\leq 2n$ . The total number of such curves is greater than  $q^{2n}$ , and for any intersection point  $(\alpha, \beta)$  of any two distinct curves of this family,  $\alpha$  satisfies a polynomial equation of degree  $\leq 2n$  over  $\mathbb{F}_q$ . Therefore, the open curves

$$C_P := \{(x, P(x)) \mid x \notin \mathbb{F}_{q^{(2n)!}}\},$$

indexed by polynomials  $P$  of degree  $\leq 2n$ , each isomorphic to  $X_{(2n)!}$ , are mutually disjoint. If  $C$  denotes the closure of the union of the  $C_P$  in  $\mathbb{A}^2$ , it follows that

$$\mu([C]) > q^{2n} \mu([X_{(2n)!}]) > q^{2n},$$

so  $\mu([\mathbb{A}^2 \setminus C]) < 0$ , which is absurd.  $\square$

Together, the two preceding propositions imply (1).

We can now prove [Theorem 1](#). We assume  $\mu(\mathbb{L}) = q^n$ . It suffices to check that  $\mu([V]) = |V(\mathbb{F}_{q^n})|$  for all affine  $\mathbb{F}_q$ -varieties  $V$ .

Each closed point of  $V$  with residue field  $\mathbb{F}_{q^d}$  corresponds to a  $d$ -element Galois orbit in  $V(\mathbb{F}_{q^d})$ . If  $d$  divides  $n$ , it gives a  $d$ -element subset of  $V(\mathbb{F}_{q^n})$  and the subsets arising from different closed points are mutually disjoint. Since  $V(\mathbb{F}_{q^n})$  is the union of all these subsets, and  $\mu(\text{Spec } \mathbb{F}_{q^d}) = d$ , we have

$$\mu([V]) \geq |V(\mathbb{F}_{q^n})| \tag{2}$$

for each  $\mathbb{F}_q$ -variety  $V$ . However, embedding  $V$  as a closed subvariety of  $\mathbb{A}^m$  for some  $m$ , the complement  $W = \mathbb{A}^m \setminus V$  is again a variety, so

$$\mu([W]) \geq |W(\mathbb{F}_{q^n})|. \tag{3}$$

Since

$$\begin{aligned} q^{mn} &= \mu([\mathbb{A}^m]) = \mu([V]) + \mu([W]) \\ &\geq |V(\mathbb{F}_{q^n})| + |W(\mathbb{F}_{q^n})| \\ &= |\mathbb{A}^m(\mathbb{F}_{q^n})| = q^{mn}, \end{aligned}$$

we must have equality in (2) and (3).

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