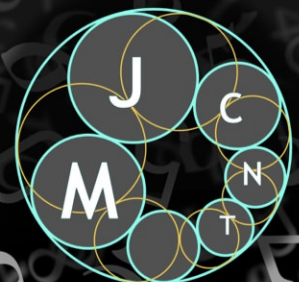


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## The Lind–Lehmer Constant for $\mathbb{Z}_2^r \times \mathbb{Z}_4^s$

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For a finite abelian group the Lind–Lehmer constant is the minimum positive logarithmic Lind–Mahler measure for that group. Finding this is equivalent to determining the minimal nontrivial group determinant when the matrix entries are integers.

For a group of the form  $G = \mathbb{Z}_2^r \times \mathbb{Z}_4^s$  with  $|G| \geq 4$  we show that this minimum is always  $|G| - 1$ , a case of sharpness in the trivial bound. For  $G = \mathbb{Z}_2 \times \mathbb{Z}_2^n$  with  $n \geq 3$  the minimum is 9, and for  $G = \mathbb{Z}_3 \times \mathbb{Z}_3^n$  the minimum is 8. Previously the minimum was only known for 2- and 3-groups of the form  $G = \mathbb{Z}_p^k$  or  $\mathbb{Z}_{p^k}$ . We also show that a congruence satisfied by the group determinant when  $G = \mathbb{Z}_p^r$  generalizes to other abelian  $p$ -groups.

### 1. Introduction

Recall that for a polynomial  $F(x_1, \dots, x_k)$  in  $\mathbb{Z}[x_1, \dots, x_k]$ , one defines the traditional logarithmic Mahler measure by

$$m(F) = \int_0^1 \cdots \int_0^1 \log |F(e^{2\pi i x_1}, \dots, e^{2\pi i x_k})| dx_1 \cdots dx_k.$$

Lind [2005] viewed  $[0, 1]^k$  as the group  $(\mathbb{R}/\mathbb{Z})^k$  and generalized the Mahler measure to arbitrary compact abelian groups. In particular, for the finite abelian group

$$G = \mathbb{Z}_{n_1} \times \cdots \times \mathbb{Z}_{n_k} \tag{1}$$

and  $F \in \mathbb{Z}[x_1, \dots, x_k]$ , we define the *logarithmic Lind–Mahler measure* by

$$m_G(F) = \frac{1}{|G|} \sum_{x_1=1}^{n_1} \cdots \sum_{x_k=1}^{n_k} \log |F(e^{2\pi i x_1/n_1}, \dots, e^{2\pi i x_k/n_k})|.$$

Writing

$$w_n := e^{2\pi i/n},$$

we plainly have

$$m_G(F) = \frac{1}{|G|} \log |M_G(F)|,$$

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where

$$M_G(F) := \prod_{j_1=1}^{n_1} \cdots \prod_{j_k=1}^{n_k} F(w_{n_1}^{j_1}, \dots, w_{n_k}^{j_k}) \in \mathbb{Z}.$$

The close connection of the Lind–Mahler measure to the group determinant was explored by Vipismakul [2013]. Recall that for a finite group  $G = \{g_1, \dots, g_N\}$  one assigns a variable  $x_g$  for each  $g$  in  $G$  and defines the group determinant,  $\mathcal{D}_G(x_{g_1}, \dots, x_{g_N})$ , to be the determinant of the  $N \times N$  matrix whose  $ij$ -th entry is  $x_{g_i g_j^{-1}}$ , a homogeneous polynomial of degree  $N$  in the  $x_g$ . From Dedekind’s factorization of the group determinant of an abelian group in terms of the group characters [Dedekind 1968, pp. 420–421] (see also [Lang 1978, p. 89], or the historical survey [Conrad 1998]), it is readily seen that for a group of the form (1) we have

$$\mathcal{D}_G(a_{g_1}, \dots, a_{g_N}) = M_G(F), \quad F(x_1, \dots, x_k) := \sum_{g=(m_1, \dots, m_k) \in G} a_g x_1^{m_1} \cdots x_k^{m_k}. \quad (2)$$

Analogous to the classical Lehmer problem [1933], we can ask for the minimal positive  $m_G(F)$ , and to this end we define the *Lind–Lehmer constant* for  $G$  by

$$\lambda(G) := \min\{|M_G(F)| > 1 : F \in \mathbb{Z}[x_1, \dots, x_k]\}.$$

We use  $|M_G(F)|$  rather than  $m_G(F)$  or  $|M_G(F)|^{1/|G|}$  so that we are dealing with integers; of course the minimal positive logarithmic measure will be  $(1/|G|) \log \lambda(G)$ . As Lind observed, for  $|G| \geq 3$  we always have the trivial bound

$$\lambda(G) \leq |G| - 1, \quad (3)$$

achieved, for example, by

$$F(x_1, \dots, x_k) = -1 + \prod_{i=1}^k \left( \frac{x_i^{n_i} - 1}{x_i - 1} \right).$$

Lind also showed that for prime powers  $p^\alpha$  with  $\alpha \geq 1$  we have

$$\lambda(\mathbb{Z}_{p^\alpha}) = \begin{cases} 3 & \text{if } p = 2, \\ 2 & \text{if } p \geq 3, \end{cases} \quad (4)$$

achieved with  $x^2 + x + 1$  if  $p = 2$  and  $x + 1$  if  $p \geq 3$ . Lind’s results for cyclic groups were extended by Kaiblinger [2010] and Pigno and Pinner [2014] so that  $\lambda(\mathbb{Z}_m)$  is now known if  $892\,371\,480 \nmid m$ . The value for the  $p$ -group  $\mathbb{Z}_p^k$  was recently established by De Silva and Pinner [2014], but little is known for direct products involving at least one term  $\mathbb{Z}_{p^\alpha}$  with  $\alpha \geq 2$ .

Here we are principally interested in the case of 2-groups

$$G = \mathbb{Z}_{2^{\alpha_1}} \times \cdots \times \mathbb{Z}_{2^{\alpha_k}}. \quad (5)$$

It was shown in [DeSilva and Pinner 2014] that for all  $k \geq 2$

$$\lambda(\mathbb{Z}_2^k) = 2^k - 1, \quad (6)$$

a case of equality in (3). We establish two main results regarding the Lind–Lehmer constant for groups of the form (5). First, we prove that equality occurs in (3) whenever  $G$  is a 2-group whose factors are all  $\mathbb{Z}_2$  or  $\mathbb{Z}_4$ .

**Theorem 1.1.** *If  $G = \mathbb{Z}_2^r$  or  $\mathbb{Z}_4^s$  or  $\mathbb{Z}_2^r \times \mathbb{Z}_4^s$ , then*

$$\lambda(G) = \max\{3, |G| - 1\}.$$

Second, we show that this is not true for all 2-groups: if we allow  $\alpha_i \geq 3$  in (5) then (3) need not be sharp.

**Theorem 1.2.** *For  $n \geq 3$*

$$\lambda(\mathbb{Z}_2 \times \mathbb{Z}_{2^n}) = 9,$$

*achieved with  $F(x, y) = y^2 + y + 1$ .*

Crucial to our proofs of these statements will be a congruence satisfied by  $M_G(F)$  when  $G$  is an abelian  $p$ -group. This generalizes a result [DeSilva and Pinner 2014, Lemma 2.1] for groups of the form  $G = \mathbb{Z}_p^k$ ; see also [Vipismakul 2013, Theorem 2.1.2].

**Lemma 1.3.** *If  $p$  is a prime and*

$$G = \mathbb{Z}_{p^{\alpha_1}} \times \cdots \times \mathbb{Z}_{p^{\alpha_k}}, \tag{7}$$

*then*

$$M_G(F) \equiv F(1, \dots, 1)^{|G|} \pmod{p^k}.$$

By the correspondence (2) this gives us a congruence satisfied by the group determinant, when the variables are integers and  $G$  is of the form (7),

$$\mathcal{D}_G(a_{g_1}, \dots, a_{g_N}) \equiv \left( \sum_{g \in G} a_g \right)^N \pmod{p^k}.$$

Notice that for the  $p$ -group (7) we have

$$M_G(F) = \prod_{t_1=0}^{\alpha_1} \cdots \prod_{t_k=0}^{\alpha_k} N_{t_1, \dots, t_k}(F),$$

where

$$N_{t_1, \dots, t_k}(F) = \prod_{\substack{j_1=1 \\ (j_1, p^{\alpha_1})=p^{t_1}}}^{p^{\alpha_1}} \cdots \prod_{\substack{j_k=1 \\ (j_k, p^{\alpha_k})=p^{t_k}}}^{p^{\alpha_k}} F(w_{p^{\alpha_1}}^{j_1}, \dots, w_{p^{\alpha_k}}^{j_k}) \in \mathbb{Z}.$$

Since  $|1 - w_{p^{\alpha}}^j|_p < 1$  and the  $N_{t_1, \dots, t_k}(F)$  are integers, we have

$$N_{t_1, \dots, t_k}(F) \equiv F(1, \dots, 1)^{\varphi(p^{\alpha_1-t_1}) \cdots \varphi(p^{\alpha_k-t_k})} \pmod{p}. \tag{8}$$

In particular if  $p \mid F(1, \dots, 1)$  we have  $p \mid N_{t_1, \dots, t_k}(F)$  for all  $t_i$  and  $|G|p^k \mid M_G(F)$ . So, in view of (3), we can assume for the  $p$ -group (7) that  $p \nmid F(1, \dots, 1)$  for any  $F$  achieving  $\lambda(G)$ .

Thus, in the case of 2-groups we can assume an  $F$  with minimal measure has  $F(1, \dots, 1)$  odd, and by Lemma 1.3 we see that

$$M_G(F) \equiv 1 \pmod{2^k}. \quad (9)$$

Note this immediately produces (6).

Similarly for 3-groups we can assume that an  $F$  with minimal measure has  $3 \nmid F(1, \dots, 1)$  and  $M_G(F) \equiv \pm 1 \pmod{3^k}$ . This produces another case of equality in (3):

$$\lambda(\mathbb{Z}_3^k) = 3^k - 1,$$

as observed in [DeSilva and Pinner 2014]. For  $G = \mathbb{Z}_3 \times \mathbb{Z}_{3^n}$ , we have  $M_G(F) \equiv \pm 1 \pmod{9}$  and so we immediately obtain the minimal measure for an additional family of 3-groups.

**Theorem 1.4.** *For  $n \geq 1$*

$$\lambda(\mathbb{Z}_3 \times \mathbb{Z}_{3^n}) = 8,$$

*achieved with  $F(x, y) = y + 1$ .*

Section 2 of this article is devoted to the proof of Lemma 1.3, Section 3 establishes Theorem 1.1, and Section 4 proves Theorem 1.2.

## 2. Proof of Lemma 1.3

We proceed by induction on  $\alpha_1 + \dots + \alpha_k$ . If  $G = \mathbb{Z}_p$  then, as in (8), we can just use that  $|w_p - 1|_p = p^{-1/(p-1)} < 1$ ; since  $M_G(F) \in \mathbb{Z}$  and  $M_G(F) \equiv F(1)^p \pmod{(1 - w_p)}$  we see that  $M_G(F) \equiv F(1)^p \pmod{p}$ .

Set

$$g(x_1, \dots, x_k) = \prod_{l_1=1}^{p^{\alpha_1}} \cdots \prod_{l_k=1}^{p^{\alpha_k}} F(x_1^{l_1}, \dots, x_k^{l_k})$$

and let  $I$  be the ideal in  $\mathbb{Z}[x_1, \dots, x_n]$  generated by  $x_1^{p^{\alpha_1}} - 1, \dots, x_k^{p^{\alpha_k}} - 1$ . Expanding, we have

$$g(x_1, \dots, x_k) = \sum_{0 \leq \ell_1 < p^{\alpha_1}} \cdots \sum_{0 \leq \ell_k < p^{\alpha_k}} a(\ell_1, \dots, \ell_k) x_1^{\ell_1} \cdots x_k^{\ell_k} \pmod{I}.$$

We set

$$\begin{aligned} S &:= \sum_{j_1=1}^{p^{\alpha_1}} \cdots \sum_{j_k=1}^{p^{\alpha_k}} g(w_{p^{\alpha_1}}^{j_1}, \dots, w_{p^{\alpha_k}}^{j_k}) \\ &= \sum_{0 \leq \ell_1 < p^{\alpha_1}} \cdots \sum_{0 \leq \ell_k < p^{\alpha_k}} a(\ell_1, \dots, \ell_k) \sum_{j_1=1}^{p^{\alpha_1}} \cdots \sum_{j_k=1}^{p^{\alpha_k}} w_{p^{\alpha_1}}^{j_1 \ell_1} \cdots w_{p^{\alpha_k}}^{j_k \ell_k} \\ &= a(0, \dots, 0) p^{\alpha_1 + \dots + \alpha_k}. \end{aligned}$$

If  $(j_1, p^{\alpha_1}) = \dots = (j_k, p^{\alpha_k}) = 1$ , then for these  $\varphi(p^{\alpha_1}) \cdots \varphi(p^{\alpha_k})$  values we have

$$g(w_{p^{\alpha_1}}^{j_1}, \dots, w_{p^{\alpha_k}}^{j_k}) = M_G(F).$$

Suppose that  $(j_1, p^{\alpha_1}) = p^{t_1}, \dots, (j_k, p^{\alpha_k}) = p^{t_k}$  with at least one  $t_j \neq 0$ , and with  $t_i = \alpha_i$  for exactly  $L \geq 0$  of the  $t_i$ . Suppose without loss of generality that  $t_i = \alpha_i$  for any  $i = 1, \dots, L$  and  $t_i < \alpha_i$  for any  $i = L+1, \dots, k$ . For these  $\varphi(p^{\alpha_{L+1}-t_{L+1}}) \dots \varphi(p^{\alpha_k-t_k})$  values, applying the induction hypothesis to  $G' = \mathbb{Z}_p^{\alpha_{L+1}-t_{L+1}} \times \dots \times \mathbb{Z}_p^{\alpha_k-t_k}$ , we have

$$\begin{aligned} g(w_{p^{\alpha_1}}^{j_1}, \dots, w_{p^{\alpha_k}}^{j_k}) &= M_{G'}(F(1, \dots, 1, x_{L+1}, \dots, x_k)) p^{t_1+\dots+t_k} \\ &= (F(1, \dots, 1)) p^{(\alpha_{L+1}-t_{L+1})+\dots+(\alpha_k-t_k)} + h p^{k-L} p^{t_1+\dots+t_k} \\ &\equiv F(1, \dots, 1)^{|G|} \pmod{p^{k-L+\alpha_1+\dots+\alpha_L+t_{L+1}+\dots+t_k}}. \end{aligned}$$

Hence these  $(p-1)^{k-L} p^{(\alpha_{L+1}-t_{L+1})+\dots+(\alpha_k-t_k)}$  terms contribute

$$\varphi(p^{\alpha_{L+1}-t_{L+1}}) \dots \varphi(p^{\alpha_k-t_k}) F(1, \dots, 1)^{|G|} \pmod{p^{\alpha_1+\dots+\alpha_k}}$$

to  $S$ . Thus

$$\begin{aligned} 0 &\equiv \varphi(p^{\alpha_1}) \dots \varphi(p^{\alpha_k}) M_G(F) + (p^{\alpha_1+\dots+\alpha_k} - \varphi(p^{\alpha_1}) \dots \varphi(p^{\alpha_k})) F(1, \dots, 1)^{|G|} \\ &\equiv (p-1)^k p^{\alpha_1+\dots+\alpha_k-k} (M_G(F) - F(1, \dots, 1)^{|G|}) \pmod{p^{\alpha_1+\dots+\alpha_k}} \end{aligned}$$

and the statement follows.  $\square$

### 3. Proof of Theorem 1.1

To prove Theorem 1.1, we require the following lemma.

**Lemma 3.1.** *Suppose that  $F \in \mathbb{Z}[x_1, \dots, x_n]$ , and let  $I$  denote the ideal of  $\mathbb{Z}[x_1, \dots, x_n]$  generated by  $x_1^{n_1} - 1, \dots, x_k^{n_k} - 1$ . Then  $F(w_{n_1}^{j_1}, \dots, w_{n_k}^{j_k}) = 0$  for all  $1 \leq j_i \leq n_i$  if and only if  $F \in I$ .*

*Proof.* Plainly any  $F$  in  $I$  will have  $F(w_{n_1}^{j_1}, \dots, w_{n_k}^{j_k}) = 0$  for all  $0 \leq j_i < n_i$ . Conversely, suppose that  $F(w_{n_1}^{j_1}, \dots, w_{n_k}^{j_k}) = 0$  for all  $0 \leq j_i < n_i$ . Clearly any  $F$  can be reduced mod  $I$  to a polynomial of degree less than  $n_i$  in each  $x_i$ :

$$F(x_1, \dots, x_k) = \sum_{t_1=0}^{n_1-1} \dots \sum_{t_k=0}^{n_k-1} a(t_1, \dots, t_k) x_1^{t_1} \dots x_k^{t_k} \pmod{I}.$$

Since  $\sum_{j_i=0}^{n_i-1} w_{n_i}^{(t_i-T_i)j_i} = 0$  if  $t_i \not\equiv T_i \pmod{n_i}$  (and  $n_i$  otherwise) we have

$$a(T_1, \dots, T_k) = \frac{1}{n_1 \dots n_k} \sum_{j_1=0}^{n_1-1} \dots \sum_{j_k=0}^{n_k-1} F(w_{n_1}^{j_1}, \dots, w_{n_k}^{j_k}) w_{n_1}^{-T_1 j_1} \dots w_{n_k}^{-T_k j_k}.$$

So  $a(T_1, \dots, T_k) = 0$  for all  $0 \leq T_i < n_i$  and  $F = 0 \pmod{I}$ .  $\square$

We now proceed to the proof of our first principal result.

*Proof of Theorem 1.1.* Suppose that  $G = \mathbb{Z}_{2^{\alpha_1}} \times \dots \times \mathbb{Z}_{2^{\alpha_k}}$  with  $2^{\alpha_i} = 4$  for  $1 \leq i \leq s$  and  $2^{\alpha_i} = 2$  for  $s+1 \leq i \leq k$ . We write  $r = k - s$ . In view of (4) and (6) we may assume that  $k \geq 2$  and  $s \geq 1$ . Suppose that  $F(x_1, \dots, x_k)$  has

$$1 < |M_G(F)| < |G| - 1 = 2^{k+s} - 1,$$

where

$$M_G(F) = \prod_{\substack{u_1, \dots, u_s = \pm 1, \pm i \\ u_{s+1}, \dots, u_k = \pm 1}} F(u_1, \dots, u_k). \quad (10)$$

Suppose that one of the nonunits  $F(u_1, \dots, u_k)$  in the product (10) has at least one of its  $u_j$  complex, say  $u_1 = \pm i$ , and set  $G' = \mathbb{Z}_2^{\alpha_2} \times \cdots \times \mathbb{Z}_2^{\alpha_k}$ . Plainly we may write

$$M_G(F) = AB,$$

with

$$A := M_{\mathbb{Z}_2 \times G'}(F), \quad B := M_{G'}(F(i, x_2, \dots, x_k)F(-i, x_2, \dots, x_k)).$$

From (9) we know that  $M_G(F)$  and  $A$ , and hence  $B$ , are all congruent to 1 mod  $2^k$ . Also  $B$  will be of the form  $|a + ib|^2$  and hence cannot be negative. Since it contains a nonunit we have  $B > 1$ ; hence  $B \geq 2^k + 1$ . If  $A \neq 1$  then  $|A| \geq 2^k - 1$  and  $|M_G(F)| \geq (2^k - 1)(2^k + 1) = 4^k - 1 \geq |G| - 1$ , so we must have  $A = 1$ . Thus if  $F(u_1, u_2, \dots, u_k)$  is a nonunit with  $u_j = \pm i$ , we may assume that the  $F(u_1, \dots, u_k)$  with  $u_j = \pm 1$  are units. We have two possibilities for the  $F(u_1, \dots, u_k)$  in the product (10):

- (a) There is at least one nonunit  $F(u_1, \dots, u_k)$  with some  $u_j = \pm i$ .
- (b)  $F(u_1, \dots, u_k)$  is a unit whenever any of the  $u_j = \pm i$ .

With  $I$  denoting the ideal generated by the  $x_j^{2^{\alpha_j}} - 1$ , and splitting the  $x_1$ -dependence into even and odd exponents  $p(x_1) = \alpha(x_1^2) + x_1\beta(x_1^2)$ , we can write

$$F(x_1, \dots, x_k) = \sum_{\substack{0 \leq \varepsilon_2, \dots, \varepsilon_s \leq 3, \\ 0 \leq \varepsilon_1, \varepsilon_{s+1}, \dots, \varepsilon_k \leq 1}} a(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k)(x_1^2) x_1^{\varepsilon_1} x_2^{\varepsilon_2} \cdots x_k^{\varepsilon_k} \pmod I.$$

Since  $F(1, \dots, 1) = \sum a(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k)(1)$  is odd, we know that at least one of the  $a(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k)(1)$  is odd. Replacing  $F$  by  $x_1^{\delta_1} \cdots x_n^{\delta_n} F$  with  $0 \leq \delta_1, \delta_{s+1}, \dots, \delta_k \leq 1$  and  $0 \leq \delta_2, \dots, \delta_s \leq 3$ , and reducing mod  $I$ , to reshuffle the  $a(\varepsilon_1, \dots, \varepsilon_k)(x_1^2)$ , and replacing  $F$  by  $-F$  as necessary, we can assume that

$$F(1, \dots, 1) \equiv 1 \pmod 4, \quad a(0, \dots, 0)(1) \text{ is odd.} \quad (11)$$

Case (a): Suppose we have nonunits in the product (10) with complex  $u_j$ . Reordering and taking  $x_j \mapsto \pm x_1 x_j$  for  $2 \leq j \leq s$  and  $x_j \mapsto \pm x_j$  for  $s < j \leq k$  as necessary, we assume that the first of these is  $\gamma_1 = F(i, 1, \dots, 1)$ . If (after the transformations) there are other nonunits with complex entries in positions other than the first, by reordering and substituting  $x_j$  with  $x_j x_2$  as necessary for  $j \geq 3$ , we may assume that  $\gamma_2 = F(\pm i, i, \pm 1, \dots, \pm 1)$ . If there are still nonunits with  $u_j = \pm i$ ,  $j \geq 3$ , then, after reordering and substitutions, we have a nonunit  $\gamma_3 = F(\pm i, \pm i, i, \pm 1, \dots, \pm 1)$ . We repeat this,  $h$  times say, until there are no new nonunits with a complex  $u_j$ ,  $j > h$ . That is, for some  $1 \leq h \leq s$ , we have  $h$  nonunits  $\gamma_j = F(a_{j1}, \dots, a_{jk})$  with  $a_{jj} = i$ ,  $a_{j\ell} = \pm i$  for  $1 \leq \ell < j$  and  $a_{j\ell} = \pm 1$  for  $h < \ell \leq k$ , and  $F(u_1, \dots, u_k)$  is a unit whenever  $u_\ell = \pm i$  with  $h < \ell \leq s$  if  $h < s$ . Adjusting as above we can assume that (11) holds.



Since the  $F(\pm 1, u_2, \dots, u_k)$  are all units, with  $F(1, \dots, 1) = 1$ , and

$$a(0, \dots, 0)(1) = \frac{2}{|G|} \sum_{\substack{u_2, \dots, u_s = \pm i, \pm 1 \\ u_1, u_{s+1}, \dots, u_k = \pm 1}} F(u_1, \dots, u_k)$$

is odd, plainly the  $F(\pm 1, u_2, \dots, u_k)$  must all be 1. Applying Lemma 3.1, we may therefore assume that

$$F(x_1, \dots, x_k) = 1 + (x_1^2 - 1) \sum_{\substack{0 \leq \varepsilon_2, \dots, \varepsilon_s \leq 3, \\ 0 \leq \varepsilon_1, \varepsilon_{s+1}, \dots, \varepsilon_k \leq 1}} a(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k) x_1^{\varepsilon_1} x_2^{\varepsilon_2} \cdots x_k^{\varepsilon_k}.$$

Notice that the  $F(\pm i, u_2, \dots, u_k) \in \mathbb{Z}[i]$  will all have odd real part and even imaginary part. Moreover, writing  $\pi = (1 - i)$ , where  $\pi^2 \mid 2$ , we have  $u_j \equiv 1 \pmod{\pi}$  for any  $u_j = \pm 1$  or  $\pm i$ , and the  $F(\pm i, u_2, \dots, u_k)$  must all be congruent mod  $\pi^3$  in  $\mathbb{Z}[i]$ . Since  $|\pi|_2 = 2^{-1/2}$ , plainly two units  $\pm 1, \pm i$  in  $\mathbb{Z}[i]$  cannot be congruent mod  $\pi^3$  unless they are equal. If  $h \geq 2$  then we know that the  $F(\pm i, \pm 1, u_3, \dots, u_k)$  will all be units and so must be all 1 or all  $-1$ . Replacing  $F$  by  $x_1^2 F$  we can assume that they are all 1. Applying Lemma 3.1 we get

$$F(x_1, \dots, x_k) = 1 + (x_1^2 - 1)(x_2^2 - 1) \sum_{\substack{0 \leq \varepsilon_3, \dots, \varepsilon_s \leq 3, \\ 0 \leq \varepsilon_1, \varepsilon_2, \varepsilon_{s+1}, \dots, \varepsilon_k \leq 1}} a(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k) x_1^{\varepsilon_1} x_2^{\varepsilon_2} \cdots x_k^{\varepsilon_k}.$$

Likewise, if  $h \geq 3$  we have that  $F(\pm i, \pm i, \pm 1, u_4, \dots, u_k)$  are all units and congruent to 1 mod 4, so these must all equal 1. Applying the lemma and repeating up to  $F(\pm i, \dots, \pm i, \pm 1, u_{h+1}, \dots, u_k)$ , we deduce that

$$F(x_1, \dots, x_k) = 1 + \prod_{j=1}^h (x_j^2 - 1) \sum_{\substack{0 \leq \varepsilon_{h+1}, \dots, \varepsilon_s \leq 3, \\ 0 \leq \varepsilon_1, \dots, \varepsilon_h, \varepsilon_{s+1}, \dots, \varepsilon_k \leq 1}} a(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k) x_1^{\varepsilon_1} x_2^{\varepsilon_2} \cdots x_k^{\varepsilon_k}.$$

If  $s > h$ , we further have that the  $F(\pm i, \dots, \pm i, u_{h+2}, \dots, u_k)$  are all units. If  $h \geq 2$  they will all be congruent to 1 mod 4 and so must all equal 1. If  $h = 1$  then they are all 1 or all  $-1$  and, by replacing  $F$  by  $x_1^2 F$  if necessary, we may assume they are all 1. Writing

$$F(x_1, \dots, x_k) = 1 + \prod_{j=1}^h (x_j^2 - 1) (f(x_{h+2}, \dots, x_k) + x_{h+1} g(x_{h+2}, \dots, x_k) \pmod{(x_{h+1}^2 + 1)}),$$

separating into real and imaginary parts and applying Lemma 3.1 to  $f$  and  $g$ , we get that  $f, g = 0 \pmod{I}$ . Repeating for each variable, we find that

$$F(x_1, \dots, x_k) = 1 + \prod_{j=1}^h (x_j^2 - 1) \prod_{j=h+1}^s (x_j^2 + 1) \sum_{0 \leq \varepsilon_1, \dots, \varepsilon_k \leq 1} a(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k) x_1^{\varepsilon_1} x_2^{\varepsilon_2} \cdots x_k^{\varepsilon_k}.$$

Suppose that there are  $t \geq 1$  conjugate pairs of nonunits  $F(a_{j1}, \dots, a_{jk}) = \gamma_j$ . Then plainly

$$\gamma_j = a_j + i b_j, \quad a_j \equiv 1 \pmod{2^s}, \quad b_j \equiv 0 \pmod{2^s}. \tag{12}$$

Trivially we have  $|\gamma_j|^2 \geq 5$ , and if  $t \geq r + s$  then

$$|M_G(F)| \geq 5^t \geq 5^r \cdot 5^s > 2^r \cdot 4^s - 1,$$

so we can assume that

$$t \leq r + s - 1. \quad (13)$$

If  $t \leq r$  then, using  $x_i \mapsto -x_i$  as necessary for  $\gamma_1$ , and for the subsequent  $\gamma_j$  reordering and using the transformation  $x_\ell \mapsto x_\ell x_j$  if  $u_j = -1$  to remove any  $u_\ell = -1$  with  $\ell > j$ , we can assume that the  $r$ -tuples  $(u_{s+1}, \dots, u_k)$  achieving the  $\gamma_j$  take the form

$$(1, \dots, 1), \quad (\pm 1, 1, \dots, 1), \quad (\pm 1, \pm 1, 1, \dots, 1), \quad \dots, \quad (\overbrace{\pm 1, \dots, \pm 1}^{t-1}, 1, \dots, 1)$$

(here we are focusing on the  $u_j$  with  $j > s$ , which recall are taking the values  $\pm 1$ ). In particular,  $F(u_1, \dots, u_k)$  will be a unit if  $u_j = -1$  for any  $s + t \leq j \leq k$ . (If  $s \geq 2$ , the units will all be 1; if  $s = 1$  we may need to take  $x_1^2 F$  to make the value when  $u_{s+t} = -1$  and hence the rest equal 1.) Successively applying the lemma again, we find

$$F(x_1, \dots, x_k) = 1 + \prod_{j=1}^h (x_j^2 - 1) \prod_{j=h+1}^s (x_j^2 + 1) \prod_{j=s+t}^k (x_j + 1) R$$

with

$$R = \sum_{0 \leq \varepsilon_1, \dots, \varepsilon_{s+t-1} \leq 1} a(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_{s+t-1}) x_1^{\varepsilon_1} x_2^{\varepsilon_2} \dots x_{s+t-1}^{\varepsilon_{s+t-1}}.$$

Hence we obtain

$$\gamma_j = a_j + i b_j, \quad a_j \equiv 1 \pmod{2^{s+r+1-t}}, \quad b_j \equiv 0 \pmod{2^{s+r+1-t}}.$$

From (13) and (12) this is plainly also valid if  $t > r$ . Thus, we have

$$|M_G(F)| = |\gamma_1| \cdots |\gamma_t| \geq (2^{r+s+1-t} - 1)^{2t} > 2^{2t(r+s+1/2-t)} \geq 2^{2(r+s-1/2)} \geq 2^{r+2s}$$

for  $r \geq 1$ . If  $r = 0$  and  $t \geq 2$  then we have  $s \geq 2$ , and from (12) we obtain

$$|M_G(F)| \geq (2^s - 1)^{2t} > 2^{2t(s-1/2)} \geq 2^{4s-2} > 4^s.$$

Finally if  $t = 1$  and  $r = 0$  then, since  $F(i, 1, \dots, 1)$  and its conjugate are the only nonunits, we know that  $F(\pm i, -1, u_3, \dots, u_k)$  are all units and so equal 1. Hence we can add an extra factor  $(x_2 + 1)$  to get

$$|M_G(F)| \geq (2^{s+1} - 1)^2 > 2^{2s}.$$

Case (b): Since  $a(0, \dots, 0)(1)$  is odd, we know that  $a(0, \dots, 0)(-1)$  is odd. Since the  $F(\pm i, u_2, \dots, u_k)$  are all units and

$$a(0, \dots, 0)(-1) = \frac{1}{|G|/2} \sum_{\substack{u_1 = \pm i \\ u_2, \dots, u_s = \pm i, \pm 1 \\ u_{s+1}, \dots, u_k = \pm 1}} F(u_1, \dots, u_k)$$

is odd, plainly the  $F(\pm i, u_2, \dots, u_k)$  must all be 1 or all be  $-1$ . Replacing  $F$  by  $x_1^2 F$  we assume  $F(\pm i, u_2, \dots, u_k) = 1$ . Applying Lemma 3.1 to the real and imaginary parts we can assume that

$$F(x_1, \dots, x_k) = 1 + (x_1^2 + 1) \sum_{\substack{0 \leq \varepsilon_2, \dots, \varepsilon_s \leq 3, \\ 0 \leq \varepsilon_1, \varepsilon_{s+1}, \dots, \varepsilon_k \leq 1}} a(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k) x_1^{\varepsilon_1} x_2^{\varepsilon_2} \cdots x_k^{\varepsilon_k}.$$

Notice that all the  $F(\pm 1, u_2, \dots, u_k)$  satisfy  $F(\pm 1, u_2, \dots, u_k) \equiv F(1, \dots, 1) \equiv 1 \pmod{\pi^3}$ . Hence if  $s > 1$ , the units  $F(\pm 1, \pm i, u_3, \dots, u_k)$  are all 1. Applying the lemma and repeating we obtain

$$F(x_1, \dots, x_k) = 1 + \prod_{j=1}^s (x_j^2 + 1) \sum_{0 \leq \varepsilon_1, \dots, \varepsilon_k \leq 1} a(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k) x_1^{\varepsilon_1} x_2^{\varepsilon_2} \cdots x_k^{\varepsilon_k}.$$

Hence we have

$$M_G(F) = M_{\mathbb{Z}_2^k}(f),$$

where

$$f(x_1, \dots, x_k) = 1 + 2^s \sum_{0 \leq \varepsilon_1, \dots, \varepsilon_k \leq 1} A(\varepsilon_1, \dots, \varepsilon_k) x_1^{\varepsilon_1} \cdots x_k^{\varepsilon_k}.$$

Suppose that there are  $t$  elements  $f(\pm 1, \dots, \pm 1)$  that are not  $\pm 1$ . If  $t \geq k + s - 1$  then plainly  $|M_G(F)| \geq 3^t \geq 3^{k+s-1} > 2^{k+s}$  since  $k + s \geq 3$ , so we assume that  $t \leq k + s - 2$ . Sending  $x_j \mapsto -x_j$  we assume that one of them is  $f(1, \dots, 1) = \gamma_1$ . If  $t > 1$  then, reordering and mapping  $x_\ell$  to  $x_\ell x_j$  if we have  $\ell > j$  with  $u_\ell = u_j = -1$ , we can assume that the remaining values are  $\gamma_2 = f(-1, 1, \dots, 1)$ ,  $\gamma_3 = f(a_{31}, a_{32}, 1, \dots, 1)$ ,  $\dots$ ,  $\gamma_t = f(a_{t1}, \dots, a_{t(t-1)}, 1, \dots, 1)$ . If  $t \leq k$  then we will have  $f(u_1, \dots, u_k) = 1$  whenever  $u_j = -1$  for some  $t \leq j \leq k$ , and applying the lemma we find

$$f(x_1, \dots, x_k) = 1 + 2^s \prod_{j=t}^k (x_j + 1) \sum_{0 \leq \varepsilon_1, \dots, \varepsilon_{t-1} \leq 1} A(\varepsilon_1, \dots, \varepsilon_{t-1}) x_1^{\varepsilon_1} \cdots x_{t-1}^{\varepsilon_{t-1}}.$$

Thus

$$\gamma_j \equiv 1 \pmod{2^{s+k-t+1}}$$

(with this trivially holding if  $k \leq t - 1$ ), and

$$|M_G(F)| \geq (2^{s+k+1-t} - 1)^t.$$

For  $t = 1$  this gives

$$|M_G(F)| \geq 2^{s+k} - 1 = |G| - 1,$$

and for  $t \geq 2$

$$|M_G(F)| \geq 2^{t(s+k+1/2-t)} \geq 2^{2s+2k-3} \geq 2^{s+k}. \quad \square$$

#### 4. Proof of Theorem 1.2

Using  $\Phi_j(x)$  to denote the  $j$ -th cyclotomic polynomial and recalling, see [Apostol 1970; Lehmer 1930], that for  $j > k$  the resultant satisfies  $|\text{Res}(\Phi_j, \Phi_k)| = q^{\varphi(k)}$  if  $j = kq^\alpha$  for some prime  $q$  and 1 otherwise,

we see that

$$M_{\mathbb{Z}_2 \times \mathbb{Z}_{2^n}}(1 + y + y^2) = M_{\mathbb{Z}_{2^n}}(\Phi_3(y))^2 = \left( \prod_{j=0}^n |\text{Res}(\Phi_3, \Phi_{2^j})| \right)^2 = 9.$$

Let  $G = \mathbb{Z}_2 \times \mathbb{Z}_{2^n}$ . Reducing mod  $x^2 - 1$ , we can write our  $F(x, y)$  in  $\mathbb{Z}[x, y]$  in the form

$$F(x, y) = A_0(y^2) + xA_1(y^2) + yA_2(y^2) + xyA_3(y^2).$$

Plainly,

$$M_G(F(x, y)) = M_{\mathbb{Z}_{2^n}}(F(1, y))M_{\mathbb{Z}_{2^n}}(F(-1, y)),$$

where each of these measures is a product of  $n + 1$  integers,

$$M_{\mathbb{Z}_{2^n}}(f(y)) = \prod_{j=0}^n N_j(f), \quad N_j(f) := \text{Res}(f, \Phi_{2^j}),$$

that is,

$$N_0(f) = f(1), \quad N_1(f) = f(-1), \quad N_2(f) = f(i)f(-i) = |f(i)|^2,$$

and, writing  $w_j := e^{2\pi i/2^j}$ , for any  $j = 3, \dots, n$ , we have

$$N_j(f) = \prod_{\substack{k=1 \\ k \text{ odd}}}^{2^j} f(w_j^k) = \prod_{\substack{k=1 \\ k \text{ odd}}}^{2^{j-1}} f(w_j^k) f(-w_j^k) = |R_j(f)|^2,$$

where

$$R_j(f) := \prod_{\substack{k=1 \\ k \equiv 1 \pmod{4}}}^{2^{j-1}} f(w_j^k) f(-w_j^k) \in \mathbb{Z}[i], \quad 3 \leq j \leq n.$$

Note  $N_j(f)$  and  $R_j(f)$  represent the norms of  $f(w_j^k)$  from  $\mathbb{Q}(w_j)$  to  $\mathbb{Q}$  and  $\mathbb{Q}(i)$  respectively, and since they are algebraic integers they will be in  $\mathbb{Z}$  and  $\mathbb{Z}[i]$ , respectively.

Since  $|1 - w_j|_2 = 2^{-1/\varphi(2^j)}$ , for all  $j = 3, \dots, n$  we have  $N_j(F(\pm 1, y)) \equiv F(1, 1)^{2^{j-1}} \pmod{2}$ , and if  $M_G(F) < 2^{2n+2}$  we can assume  $F(1, 1)$  and all the  $N_j(F(\pm 1, y))$  are odd. Note that for all the  $j \geq 2$  we have

$$N_j(F(\pm 1, y)) = |a + ib|^2 = a^2 + b^2 \equiv 1 \pmod{4}.$$

If  $|M_G(F)| < 9$  then  $|M_{\mathbb{Z}_{2^n}}(F(1, y))|$  or  $|M_{\mathbb{Z}_{2^n}}(F(-1, y))|$  must be 1. Replacing  $x$  with  $-x$  as necessary we assume that

$$1 < |M_{\mathbb{Z}_{2^n}}(F(1, y))| < 9, \quad |M_{\mathbb{Z}_{2^n}}(F(-1, y))| = 1.$$

Since

$$F(1, 1) = A_0(1) + A_1(1) + A_2(1) + A_3(1)$$

is odd, we can assume that at least one of the  $A_i(1)$  is odd. Replacing  $F$  by  $xF$  or  $yF$  or  $xyF$  and reducing by  $x^2 - 1$  as necessary, we may assume that  $A_0(1)$  is odd. Replacing  $y$  by  $-y$  and  $F$  by  $-F$  as necessary, we may further assume that  $|F(1, 1)| \geq |F(1, -1)|$  and  $F(1, 1) > 0$ .

Since

$$\begin{aligned} F(1, -1) &= A_0(1) + A_1(1) - A_2(1) - A_3(1), \\ F(-1, 1) &= A_0(1) - A_1(1) + A_2(1) - A_3(1), \\ F(-1, -1) &= A_0(1) - A_1(1) - A_2(1) + A_3(1), \end{aligned}$$

we have

$$\begin{aligned} A_0(1) &= \frac{1}{4}(F(1, 1) + F(1, -1) + F(-1, 1) + F(-1, -1)), \\ A_1(1) &= \frac{1}{4}(F(1, 1) + F(1, -1) - F(-1, 1) - F(-1, -1)), \\ A_2(1) &= \frac{1}{4}(F(1, 1) - F(1, -1) + F(-1, 1) - F(-1, -1)), \\ A_3(1) &= \frac{1}{4}(F(1, 1) - F(1, -1) - F(-1, 1) + F(-1, -1)). \end{aligned}$$

Observe that

$$F(1, w_j^k)F(1, -w_j^k) = (A_0(w_j^{2k}) + A_1(w_j^{2k}))^2 - w_j^{2k}(A_2(w_j^{2k}) + A_3(w_j^{2k}))^2$$

and

$$F(-1, w_j^k)F(-1, -w_j^k) = (A_0(w_j^{2k}) - A_1(w_j^{2k}))^2 - w_j^{2k}(A_2(w_j^{2k}) - A_3(w_j^{2k}))^2$$

differ by

$$4(A_0(w_j^{2k})A_1(w_j^{2k}) - w_j^{2k}A_2(w_j^{2k})A_3(w_j^{2k})) \in 4\mathbb{Z}[w_{j-1}].$$

Hence  $R_j(F(1, y))$  and  $R_j(F(-1, y))$  differ by an element of  $4\mathbb{Z}[w_{j-1}]$  and, since both are in  $\mathbb{Z}[i]$ , we conclude that

$$R_j(F(1, y)) - R_j(F(-1, y)) \in 4\mathbb{Z}[i].$$

Since  $N_j(F(-1, y)) = 1$ , we have  $R_j(F(-1, y)) = \pm 1$  or  $\pm i$ , and either  $R_j(F(1, y)) = R_j(F(-1, y))$  and  $N_j(F(1, y)) = 1$ , or  $N_j(F(1, y)) \geq (4-1)^2 = 9$ .

Thus if  $|M_G(F)| < 9$  then we must have  $N_j(F(1, y)) = N_j(F(-1, y)) = 1$  for  $j = 3, \dots, n$  and  $M_G(F) = M_{\mathbb{Z}_2 \times \mathbb{Z}_4}(F)$ . By Theorem 1.1 and Lemma 1.3, we have  $|M_{\mathbb{Z}_2 \times \mathbb{Z}_4}(F)| \geq 7$  and  $M_{\mathbb{Z}_2 \times \mathbb{Z}_4}(F) \equiv 1 \pmod{4}$ , and so

$$M_G(F) = M_{\mathbb{Z}_2 \times \mathbb{Z}_4}(F) = -7.$$

Since  $N_j(f) \equiv 1 \pmod{4}$  for  $j \geq 2$  we must have  $|F(1, 1)F(1, -1)| = 7$  and  $N_2(F(1, y)) = 1$  and

$$F(1, 1) = 7, \quad F(1, -1), \quad F(-1, \pm 1) = \pm 1, \quad F(\pm 1, \pm i) = \pm 1 \text{ or } \pm i,$$

with  $R_j(F(1, y)) = R_j(F(-1, y)) = \pm 1$  or  $\pm i$  for  $j = 3, \dots, n$ .

We have

$$A_0(1) = \frac{1}{4}(F(1, 1) + F(1, -1) + F(-1, 1) + F(-1, -1)) = \frac{1}{4}(7 \pm 1 \pm 1 \pm 1)$$

and, since  $A_0(1)$  is odd, we must have  $F(1, -1) = F(-1, \pm 1) = -1$  and  $A_0(1) = 1$  and  $A_1(1) = A_2(1) = A_3(1) = 2$ . Hence

$$F(x, y) = 1 + 2x + 2y + 2xy + (y^2 - 1)(B_0(y^2) + xB_1(y^2) + yB_2(y^2) + xyB_3(y^2)).$$

Thus

$$\begin{aligned} F(1, i) &= 3 + 4i - 2(B_0(-1) + B_1(-1) + iB_2(-1) + iB_3(-1)), \\ F(-1, i) &= -1 - 2(B_0(-1) - B_1(-1) + iB_2(-1) - iB_3(-1)), \end{aligned}$$

and since  $F(\pm 1, i)$  are units with odd real part and difference in  $4\mathbb{Z}[i]$  they must both be 1 or  $-1$ . By replacing  $F$  by  $y^2 F$  as necessary, we may assume  $F(\pm 1, i) = -1$ . Solving, we obtain  $B_0(-1) = B_1(-1) = B_2(-1) = B_3(-1) = 1$  and

$$F(x, y) = -1 + (1+x)(1+y)(1+y^2) + (y^4-1)(C_0(y^2) + xC_1(y^2) + yC_2(y^2) + xyC_3(y^2)).$$

Therefore

$$F(1, w_3)F(1, -w_3) = (1 + 2i - 2C_0(i) - 2C_1(i))^2 - 4i(1 + i - C_2(i) - C_3(i))^2$$

and

$$F(-1, w_3)F(-1, -w_3) = (-1 - 2C_0(i) + 2C_1(i))^2 - 4i(C_2(i) - C_3(i))^2.$$

Since both are units and are members of  $1 + 4\mathbb{Z}[i]$ , these must both equal 1. However, their difference

$$4((i - 2C_0(i))(1 + i - 2C_1(i)) - i(1 + i - 2C_3(i))(1 + i - 2C_2(i))) \in 4(1 + i + 2\mathbb{Z}[i])$$

is not zero. □

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