

ESSENTIAL NUMBER THEORY

A Diophantine problem about Kummer surfaces

William Duke

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Upper and lower bounds are given for the number of rational points of bounded height on a double cover of projective space ramified over a Kummer surface.

1. Introduction

Let $F(x) = F(x_0, \dots, x_n)$ with $n \geq 2$ be an integral form with $\deg F \geq 2$ and set $N_F(T) = \#\{x \in \mathbb{Z}^{n+1} \mid F(x) = z^2 \text{ for some } z \in \mathbb{Z}, \gcd(x_0, \dots, x_n) = 1 \text{ and } \|x\| \leq T\}$,

(1-1)

where $\|x\| = \max_j (|x_j|)$. The behavior of $N_F(T)$ for large T is of basic Diophantine interest. When $\deg F$ is even, $N_F(T)$ counts rational points of bounded height on a double cover of $\mathbb{P}_{\mathbb{Q}}^n$ ramified over the hypersurface given by $F(x) = 0$.

Assume that $\deg F$ is even and that $z^2 - F(x)$ is irreducible over \mathbb{C} . It follows from Theorem 3 on page 178 of [Serre 1989] that for any $\epsilon > 0$

$$N_F(T) \ll T^{n+1/2+\epsilon}. \tag{1-2}$$

As discussed after Theorem 3 in [Serre 1989], it is reasonable to expect that

$$N_F(T) \ll T^{n+\epsilon}. \tag{1-3}$$

Broberg [2003] improved $\frac{5}{2}$ to $\frac{9}{4}$ in (1-2) when $n = 2$. For $n \geq 3$, various improvements and generalizations of (1-2) are given in [Munshi 2009; Heath-Brown and Pierce 2012; Bonolis 2021], assuming that $F(x) = 0$ is nonsingular. Certain nonhomogeneous F are treated in [Heath-Brown and Pierce 2012].

In this note I will consider the problem of estimating $N_F(T)$ from above *and below* when $n = 3$ for a special class of quartic F , namely those for which $F(x) = 0$ define certain Kummer surfaces. These surfaces have singularities (nodes).

For our purpose we will define a Kummer surface in terms of an integral sextic polynomial $P(t)$. For fixed $a, b, c, d, e, f, g \in \mathbb{Z}$ with $a \neq 0$ let

$$P(t) = at^6 + bt^5 + ct^4 + dt^3 + et^2 + ft + g.$$

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Suppose that the discriminant of P is not zero. Define the symmetric matrices

$$S_0 = \begin{pmatrix} a & \frac{b}{2} & 0 & 0 \\ \frac{b}{2} & c & \frac{d}{2} & 0 \\ 0 & \frac{d}{2} & e & \frac{f}{2} \\ 0 & 0 & \frac{f}{2} & g \end{pmatrix} \quad (1-4)$$

and

$$S_1 = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -\frac{1}{2} \\ 0 & 0 & 1 & 0 \\ 0 & -\frac{1}{2} & 0 & 0 \end{pmatrix}, \quad S_2 = \begin{pmatrix} 0 & 0 & 0 & \frac{1}{2} \\ 0 & 0 & -\frac{1}{2} & 0 \\ 0 & -\frac{1}{2} & 0 & 0 \\ \frac{1}{2} & 0 & 0 & 0 \end{pmatrix}, \quad S_3 = \begin{pmatrix} 0 & 0 & -\frac{1}{2} & 0 \\ 0 & 1 & 0 & 0 \\ -\frac{1}{2} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}. \quad (1-5)$$

For $x = (x_0, x_1, x_2, x_3)$ define the matrix

$$S_x = x_0 S_0 + x_1 S_1 + x_2 S_2 + x_3 S_3.$$

For a row vector v let $S(v) = v S v^t$ denote the quadratic form associated to a symmetric matrix S . It is easy to check that for any x we have the identity

$$x_0 P(t) = S_x(t^3, t^2, t, 1).$$

Define the associated quartic form F by

$$F(x) := 16 \det S_x. \quad (1-6)$$

Over \mathbb{C} the surface given by $F(x) = 0$ is a Kummer surface, a special determinantal quartic surface that is singular with sixteen nodes, including the points $(t^3, t^2, t, 1)$ where t is a root of $P(t) = 0$. The Jacobian variety of the genus two hyperelliptic curve $y^2 = P(t)$ is a double cover of the Kummer surface ramified over these nodes. For details on the geometry of Kummer surfaces; see, e.g., [Hudson 1990; Dolgachev 2012]. Some arithmetic aspects of Kummer surfaces are considered in [Cassels and Flynn 1996]. The construction of a Kummer surface using the S_j from (1-4) and (1-5) occurs in a slightly different form in [Baker 1907, page 69]; see also [Cassels and Flynn 1996, page 42].

Our main result is the following.

Theorem 1. *Suppose that $P(t) = at^6 + bt^5 + ct^4 + dt^3 + et^2 - 2t$ with integral a, b, c, d, e has nonzero discriminant and $a \neq 0$. Let F be defined in (1-6) and $N_F(T)$ in (1-1). Then for any $\epsilon > 0$*

$$T^2 \ll N_F(T) \ll T^{3+\epsilon}, \quad (1-7)$$

where the first implied constant depends only on P and the second depends only on P and ϵ .

Our approach to these estimates relies on the special form of the Kummer surfaces we consider. In particular, for the upper bound we use that in P we assume that $g = 0$. For the lower bound we use that $g = 0$ and $f = -2$. The upper bound coincides with that given in (1-3). An example of an equation to which Theorem 1 applies, when $P(t) = t^6 - 2t$, is

$$z^2 = x_3^2(x_1^2 + 8x_0x_2) + x_3(-16x_0^3 - 2x_1x_2^2) - 4x_0x_1^3 - 8x_0^2x_1x_2 + x_2^4.$$

Numerical calculations in this case show that we seem to have $N_F(T) \gg T^{3-\epsilon}$. It would be of interest to find the correct order of magnitude of $N_F(T)$ for some P .

Remark. Most research on $N_F(T)$ in (1-1) has concentrated on giving upper bounds for $N_F(T)$ for quite general F , where $F(x) = 0$ is usually assumed to be nonsingular. The proofs often make use of intricate estimates of character and exponential sums; for example, see [Heath-Brown and Pierce 2012]. In contrast, the proof of the upper bound of (1-7) is rather straightforward. Although it is likely not sharp, the lower bound of (1-7) is probably more interesting and certainly deeper. Its proof uses a remarkable and not well-known identity of Schottky to explicitly produce solutions to $F(x) = z^2$. Along somewhat similar lines, invariant theory was recently applied to asymptotically count integer points on quadratic twists of certain elliptic curves and give a class number formula for binary quartic forms [Duke 2021]. It is reasonable to hope that some other classical identities of algebraic geometry and syzygies of invariant theory, some of which are beautifully presented in [Dolgachev 2012], could have still undiscovered applications to the problem of finding lower bounds for counting functions like $N_F(T)$.

2. Proof of the theorem

Upper bound. The mechanism behind the proof of the upper bound in (1-7) is that a quadratic Diophantine equation in two variables has “few” solutions. The argument relies on the fact that for $P(t)$ of the assumed form (so that in particular $g = 0$), the associated F has the property that it is quadratic in one of its variables. It will become clear that similar arguments can be applied to other F with this property.

For a general $P(t)$ we have the explicit formula

$$\begin{aligned} F(x) = & x_0^4(16aceg - 4acf^2 - 4ad^2g - 4b^2eg + b^2f^2) \\ & - 2x_0^3(-8acgx_1 + 2adf x_1 - 4adgx_2 - 8aegx_3 + 2af^2x_3 + 2b^2gx_1 \\ & \qquad \qquad \qquad + bdfx_2 + 2bdgx_3) \\ & + x_0^2(-4aex_1^2 + 4afx_1x_2 + 16agx_1x_3 - 4agx_2^2 - 4bex_1x_2 - 2bf x_1x_3 \\ & + 2bf x_2^2 + 4bgx_2x_3 - 4cex_2^2 - 4cf x_2x_3 - 4cgx_3^2 + d^2x_2^2) \\ & - 2x_0(2ax_1^3 + 2bx_1^2x_2 + 2cx_1x_2^2 + dx_1x_2x_3 + dx_2^3 + 2ex_2^2x_3 + 2fx_2x_3^2 + 2gx_3^3) \\ & + (x_2^2 - x_1x_3)^2. \end{aligned}$$

For $P(t) = at^6 + bt^5 + ct^4 + dt^3 + et^2 - 2t$ we have that F has an expansion that is quadratic in x_3 :

$$\begin{aligned} F(x) &= x_3^2(x_1^2 + 8x_2x_0) \\ &\quad + x_3(-16ax_0^3 + 4bx_0^2x_1 + 8cx_0^2x_2 - 2dx_0x_1x_2 - 4ex_0x_2^2 - 2x_1x_2^2) \\ &\quad + 4b^2x_0^4 - 16acx_0^4 + 8adx_0^3x_1 - 4aex_0^2x_1^2 - 4ax_0x_1^3 + 4bdx_0^3x_2 \\ &\quad - 8ax_0^2x_1x_2 - 4bex_0^2x_1x_2 - 4bx_0x_1^2x_2 - 4bx_0^2x_2^2 + d^2x_0^2x_2^2 \\ &\quad - 4cex_0^2x_2^2 - 4cx_0x_1x_2^2 - 2dx_0x_2^3 + x_2^4. \end{aligned} \quad (2-1)$$

Thus given a solution x of $z^2 = F(x)$, upon completing the square we will get a solution (y, z) of

$$y^2 - (x_1^2 + 8x_2x_0)z^2 = k(x_0, x_1, x_2) \quad (2-2)$$

where

$$k(x_0, x_1, x_2) = 8x_0x_2^5 - 64a^2x_0^5 + \dots$$

is a homogeneous integral form of degree 6 that is not identically zero, and where

$$y = (x_1^2 + 8x_2x_0)x_3 + (8ax_0^3 - 2bx_0^2x_1 - 4cx_0^2x_2 + dx_0x_1x_2 + 2ex_0x_2^2 + x_1x_2^2). \quad (2-3)$$

The number of x_0, x_1, x_2 with $|x_0|, |x_1|, |x_2| \leq T$ where either

$$k(x_0, x_1, x_2) = 0 \quad \text{or} \quad x_1^2 + 8x_2x_0 = 0$$

is $\ll T^2$. For such x_0, x_1, x_2 , by (2-2) and (2-3) the total number of solutions of $F(x) = z^2$ with $|x_3| \leq T$ is $\ll T^3$.

For any other x_0, x_1, x_2 with $|x_0|, |x_1|, |x_2| \leq T$ we can apply the well-known estimate

$$d(k) \ll k^\epsilon$$

for the divisor function and [Hooley 1986, Lemma 1], which follows from [Hooley 1967, Lemma 5], to conclude that the total number of solutions of $F(x) = z^2$ with $|x_1|, |x_2|, |x_3|, |x_0| \leq T$ is $\ll T^{3+\epsilon}$.

Lower bound. The tool used to obtain the lower bound of (1-7) is an explicit parametrization of solutions given by an identity of Schottky. This identity has a form that is similar to many of those coming from syzygies connecting covariants and invariants of forms. However, Schottky's identity has a different origin and does not appear to come from invariant theory.

The Jacobian of S_0, S_1, S_2, S_3 as given in (1-4) and (1-5) is

$$J(x) = J_{S_0, S_1, S_2, S_3}(x) = \det \begin{pmatrix} \partial_1 S_0 & \partial_2 S_0 & \partial_3 S_0 & \partial_4 S_0 \\ \partial_1 S_1 & \partial_2 S_1 & \partial_3 S_1 & \partial_4 S_1 \\ \partial_1 S_2 & \partial_2 S_2 & \partial_3 S_2 & \partial_4 S_2 \\ \partial_1 S_3 & \partial_2 S_3 & \partial_3 S_3 & \partial_4 S_3 \end{pmatrix} = 2gx_3^3x_0 - 2ax_3x_0^3 + \dots$$

In case $f = -2$ and $g = 0$ this is given in full by

$$\begin{aligned} J(x) = & 2(-ax_3x_0^3 + 3ax_0^2x_1x_2 - 2ax_0x_1^3 - bx_3x_0^2x_1 + bx_0^2x_2^2 + bx_0x_1^2x_2 - bx_1^4 \\ & - cx_3x_0x_1^2 + 2cx_0x_1x_2^2 - cx_1^3x_2 - dx_3x_1^3 + dx_0x_2^3 + ex_3x_0x_2^2 \\ & - 2ex_3x_1^2x_2 + ex_1x_2^3 - 2x_3^2x_0x_2 + 2x_3^2x_1^2 + 2x_3x_1x_2^2 - 2x_2^4). \end{aligned} \quad (2-4)$$

The surface defined by $J(x) = 0$ is a Weddle surface. A variant of the following identity connecting the Weddle and Kummer surfaces, which can be checked directly, is apparently due to Schottky [1889, page 241]. He obtained it via theta functions and used it to show that the Kummer and Weddle surfaces are birationally equivalent over \mathbb{C} . It is stated (in a somewhat different form) in [Baker 1907, page 152, Example 8].

Proposition 2. *For F in (1-6) (and in (2-1)) when $P(t) = at^6 + bt^5 + ct^4 + dt^3 + et^2 - 2t$, we have identically*

$$F(-S_3(x), -2S_2(x), 2S_1(x), S_0(x)) = J^2(x), \quad (2-5)$$

where $J(x)$ is given in (2-4).

Note the order of the parametrizing quadrics S_j . It is not obvious (to me) how to modify (2-5) so that it holds for a general $P(t)$ or even if that is possible without changing its basic form.

Proof of Theorem 1. Let \mathcal{S} be the set of six points $\alpha_j \in \mathbb{P}_{\mathbb{C}}^3$ represented by $(t_j^3, t_j^2, t_j, 1)$, where $P(t_j) = 0$ for $j = 1, \dots, 6$. Recall from the discussion around (1-6) that $S_i(\alpha_j) = 0$ for each i, j . In order to apply Proposition 2 to prove the lower bound of (1-7), we must first examine the map

$$\alpha \mapsto (-S_3(\alpha), -2S_2(\alpha), 2S_1(\alpha), S_0(\alpha)) \quad (2-6)$$

from $\mathbb{P}_{\mathbb{C}}^3 \setminus \mathcal{S}$ to $\mathbb{P}_{\mathbb{C}}^3$. Let V be the space spanned by $\{S_0, S_1, S_2, S_3\}$, which is clearly four dimensional. We need to control the degree of the map (2-6). Suppose that $\beta_1, \beta_2, \beta_3 \in \mathbb{P}_{\mathbb{C}}^3 \setminus \mathcal{S}$ are distinct and all have the same image in $\mathbb{P}_{\mathbb{C}}^3$ under the map (2-6). Then three independent $S, S', S'' \in V$ will vanish at the nine distinct points $\{\alpha_1, \dots, \alpha_6, \beta_1, \beta_2, \beta_3\}$. This is impossible by Bezout's theorem and shows that there are at most two points in $\mathbb{P}_{\mathbb{C}}^3 \setminus \mathcal{S}$ with the same image in $\mathbb{P}_{\mathbb{C}}^3$ under the map (2-6).

Therefore by Proposition 2, the lower bound of (1-7) will follow from

$$\#\{x \in \mathbb{Z}^4 : \gcd(x_1, x_2, x_3, x_4) = 1, |S_j(x)| \leq T, j = 1, 2, 3, 4\} \gg T^2.$$

This estimate is easily established since there is a ball in \mathbb{R}^4 centered at the origin of positive radius, all of whose points x satisfy $|S_j(x)| \leq 1$ for $j = 1, 2, 3, 4$. Thus a standard lattice point count gives the result. \square

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WILLIAM DUKE:

wdduke@ucla.edu

Mathematics Department, UCLA, Los Angeles, CA, United States

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