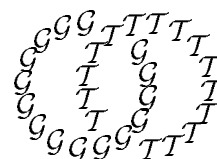


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Homotopy Lie algebras, lower central series and the Koszul property

STEFAN PAPADIMA
 ALEXANDER I SUCIU

*Institute of Mathematics of the Romanian Academy
 PO Box 1-764, RO-014700 Bucharest, Romania*

and

*Department of Mathematics, Northeastern University
 Boston, MA 02115, USA*

Email: stefan.papadima@imar.ro and a.suciu@neu.edu

Abstract

Let X and Y be finite-type CW-complexes (X connected, Y simply connected), such that the rational cohomology ring of Y is a k -rescaling of the rational cohomology ring of X . Assume $H^*(X, \mathbb{Q})$ is a Koszul algebra. Then, the homotopy Lie algebra $\pi_*(\Omega Y) \otimes \mathbb{Q}$ equals, up to k -rescaling, the graded rational Lie algebra associated to the lower central series of $\pi_1(X)$. If Y is a formal space, this equality is actually equivalent to the Koszulness of $H^*(X, \mathbb{Q})$. If X is formal (and only then), the equality lifts to a filtered isomorphism between the Malcev completion of $\pi_1(X)$ and the completion of $[\Omega S^{2k+1}, \Omega Y]$. Among spaces that admit naturally defined homological rescalings are complements of complex hyperplane arrangements, and complements of classical links. The Rescaling Formula holds for supersolvable arrangements, as well as for links with connected linking graph.

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1 Introduction and statement of results

1.1 A tale of two Lie algebras

In this paper, we focus on two Lie algebras, traditionally associated to a topological space. All spaces under consideration are connected, well-pointed, and have the homotopy type of a finite-type CW-complex (for short, finite-type CW-spaces). We use rational coefficients, unless otherwise specified.

Let X be a connected CW-space of finite type, with fundamental group $G = \pi_1(X)$. The *associated graded Lie algebra* of X is by definition

$$L_*(X) := \bigoplus_{n \geq 1} \text{gr}^n(\pi_1(X)) \otimes \mathbb{Q}. \quad (1)$$

Here $\text{gr}^n(G) = \Gamma_n G / \Gamma_{n+1} G$, where $\{\Gamma_n G\}_n$ denotes the *lower central series* of G , inductively defined by $\Gamma_1 G = G$, and $\Gamma_{n+1} G = (G, \Gamma_n G)$, the subgroup generated by all commutators $xyx^{-1}y^{-1}$, with $x \in G$ and $y \in \Gamma_n G$. The graded vector space $L_*(X) = \text{gr}^*(\pi_1(X)) \otimes \mathbb{Q}$ has the structure of a *Lie algebra with grading* (*grlie*), which means that the Lie bracket induced by the group commutator is of degree zero, and the usual Lie identities are satisfied.

Now let Y be a simply-connected CW-space of finite type. The (*rational*) *homotopy Lie algebra* of Y is by definition

$$E_*(Y) := \bigoplus_{n \geq 1} \pi_n(\Omega Y) \otimes \mathbb{Q}. \quad (2)$$

The Whitehead product on $\pi_*(Y)$ defines, via the boundary map in the path fibration over Y , a Lie bracket on $\pi_*(\Omega Y)$, called the Samelson product (see eg [52]). This makes $E_*(Y) = \pi_*(\Omega Y) \otimes \mathbb{Q}$ into a *graded Lie algebra* (*glie*), meaning that the Lie bracket is of degree zero, and the Lie identities are satisfied only up to sign, following the Koszul convention.

1.2 Rescaling

We now define the basic operation of *rescaling*, on algebras and Lie algebras, following [8].

Let A^* be a connected, graded, graded-commutative, \mathbb{Q} -algebra (*cga*). For each $k \geq 0$, we define a new *cga*, denoted by $A[k]$ and called the *k-rescaling* of A , by

$$A[k]^p = \begin{cases} A^q & \text{if } p = q(2k + 1), \\ 0 & \text{otherwise,} \end{cases} \quad (3)$$

with multiplication $A[k]^{q(2k+1)} \otimes A[k]^{q'(2k+1)} \rightarrow A[k]^{(q+q')(2k+1)}$ identified with the multiplication $A^q \otimes A^{q'} \rightarrow A^{q+q'}$. The Hilbert series of the two graded algebras are related, when defined, by $\text{Hilb}(A[k], t) = \text{Hilb}(A, t^{2k+1})$.

Let L_* be a Lie algebra with grading. For each $k \geq 1$, we define a new grlie, denoted by $L[k]$ and called the k -rescaling of L , by

$$L[k]_p = \begin{cases} L_q & \text{if } p = 2kq, \\ 0 & \text{otherwise,} \end{cases} \tag{4}$$

with Lie bracket $L[k]_{2kq} \otimes L[k]_{2kq'} \rightarrow L[k]_{2k(q+q')}$ identified with the Lie bracket $L_q \otimes L_{q'} \rightarrow L_{q+q'}$. Note that $L[k]$ is evenly graded, and therefore may be also viewed as a graded Lie algebra. When defined, $\text{Hilb}(L[k], t) = \text{Hilb}(L, t^{2k})$.

Definition 1.3 Let X be a connected, finite-type CW-space, and k a positive integer. A simply-connected, finite-type CW-space Y is called a (*homological*) k -rescaling of X if

$$H^*(Y, \mathbb{Q}) = H^*(X, \mathbb{Q})[k]. \tag{5}$$

Our main goal in this paper is to understand when the homological rescaling property (5) passes to homotopy groups and implies the following (*homotopy*) *Rescaling Formula*:

$$E_*(Y) = L_*(X)[k]. \tag{6}$$

1.4 The work of Cohen–Cohen–Xicoténcatl

One instance where the above formula holds is provided by the setup considered in [8] (see also [13]). Let $\mathcal{A} = \{H_1, \dots, H_n\}$ be an arrangement of hyperplanes in a complex vector space V . For each $k \geq 1$, Cohen, Cohen and Xicoténcatl construct a so-called *redundant* arrangement, $\mathcal{A}^k := \{H_1^{\times k}, \dots, H_n^{\times k}\}$, of codimension k subspaces in $V^{\times k}$. Their motivation was to better understand, in this way, previously discovered relations between the topology of the configuration spaces of \mathbb{C} , and the topology of the corresponding higher configuration spaces (Cohen–Gitler [10], Fadell–Husseini [16]). Indeed, if one starts with the braid arrangement, $\mathcal{B}_\ell = \{z_i - z_j = 0 \mid i < j\}$, in \mathbb{C}^ℓ , with complement $M(\mathcal{B}_\ell)$ equal to the configuration space of ℓ distinct points in \mathbb{C} , then it is immediate to see that $M(\mathcal{B}_\ell^k)$ is the configuration space of ℓ distinct points in \mathbb{C}^k .

Now let \mathcal{A} be an arbitrary hyperplane arrangement, with complement $X = M(\mathcal{A})$. Fix an integer $k \geq 1$, and set $Y = M(\mathcal{A}^{k+1})$. As shown in [8, Corollary 2.3], the homological rescaling property (5) is satisfied by X and Y , even

with \mathbb{Z} coefficients. Moreover, Theorem 1.3 from [8] implies that the homotopy rescaling formula (6) holds as well, provided \mathcal{A} is a *fiber-type* arrangement.

Essentially, the proof of [8, Theorem 1.3]—which also gives information over the integers—is based upon the following geometric idea. Assuming that \mathcal{A} is fiber-type amounts to saying that $M(\mathcal{A})$ has an iterated (split) fibered structure, with trivial monodromy action on homology, where all homotopy fibers are wedges of circles. It turns out that $M(\mathcal{A}^{k+1})$ follows the same pattern, with the circles replaced by $(2k + 1)$ -spheres, whence the homotopy rescaling.

1.5 Formality properties

We will use a different approach, based on rational homotopy theory, and D Sullivan’s notion of *formality* (see [50]). Formal spaces are characterized by the property that their rational homotopy type is a formal consequence of their rational cohomology algebra. As such, they lend themselves to various algebraic computations which may provide valuable homotopy information. For instance, the main result from [37], which holds for all formal spaces X , states: The (Bousfield–Kan) rationalization $X_{\mathbb{Q}}$ is a $K(\pi, 1)$ space if and only if $H^*(X, \mathbb{Q})$ is a Koszul algebra.

A fundamental result of Sullivan explains the relationship between the rational cohomology algebra of a formal space X and the associated graded Lie algebra, $L_*(X)$. More precisely, it says that the *grlie* $L_*(X)$ is determined by the cup-product map in low degrees, $H^1(X, \mathbb{Q}) \wedge H^1(X, \mathbb{Q}) \rightarrow H^2(X, \mathbb{Q})$ (see [36] for metabelian versions of this result).

Formal computations work well for arrangement complements, because of the following two results. First, all hyperplane arrangement complements are formal spaces, as follows from Brieskorn’s solution [7] of a conjecture of Arnold. Second, all redundant subspace arrangement complements are formal, as well. This is a consequence of recent work by Yuzvinsky [54], sharpening an earlier result of De Concini and Procesi [14]. Indeed, if the intersection poset of a subspace arrangement \mathcal{B} is a geometric lattice (which always happens for $\mathcal{B} = \mathcal{A}^k$, as noted in [8, Proposition 2.2]), then the complement $M(\mathcal{B})$ is a formal space, as noted in [54, Remark 7.3(ii)].

1.6 Koszulness and the rescaling formula

Let A^* be a connected, graded algebra (not necessarily graded-commutative), over a field \mathbb{k} . By definition, A is a *Koszul algebra* if $\mathrm{Tor}_{p,q}^A(\mathbb{k}, \mathbb{k}) = 0$, for

all $p \neq q$. This is a fundamental notion in homological algebra, going back to Priddy's analysis of the Steenrod algebra [39]; see Beilinson, Ginzburg and Soergel [3] as a basic reference.

Our first main result is the following.

Theorem A *Let X be a connected, finite-type CW-space, and let Y be a formal, simply-connected, finite-type CW-space. Let $L_*(X) = \text{gr}^*(\pi_1(X)) \otimes \mathbb{Q}$ be the associated graded Lie algebra of X , and let $E_*(Y) = \pi_*(\Omega Y) \otimes \mathbb{Q}$ be the homotopy Lie algebra of Y . Assume $H^*(Y, \mathbb{Q}) = H^*(X, \mathbb{Q})[k]$, for some $k \geq 1$. Then the following conditions are equivalent:*

- (1) *The graded vector spaces $E_*(Y)$ and $L_*(X)[k]$ have the same Hilbert series.*
- (2) *The graded Lie algebras $E_*(Y)$ and $L_*(X)[k]$ are isomorphic.*
- (3) *$H^*(X, \mathbb{Q})$ is a Koszul algebra.*

Remark 1.7 As shown by Shelton and Yuzvinsky [47], the cohomology algebra $H^*(M(\mathcal{A}), \mathbb{Q})$ is Koszul, provided \mathcal{A} is a fiber-type arrangement. (The converse is an open question.) Together with the discussion from Section 1.4 and Section 1.5, this shows that the implication (3) \implies (2) in the theorem above is a potential strengthening of Theorem 1.3 from [8], at least for \mathbb{Q} -coefficients. At the same time, the implication (2) \implies (3) offers a more illuminating approach to the homotopy rescaling formula (6), indicating the key role played by the Koszul property.

Remark 1.8 There is a well-known *Koszul duality formula* relating the Hilbert series of a finite type Koszul algebra to that of its quadratic dual: $\text{Hilb}(A, t) \cdot \text{Hilb}(A^!, -t) = 1$; see [3]. It is also known that this equality does not imply Koszulness; see [42]. From this point of view, the equivalence (1) \iff (3) from Theorem A may be interpreted as a seemingly new, necessary and sufficient test for Koszulness of **cga**'s; see Corollary 3.4.

Remark 1.9 If $H^{>d}(X, \mathbb{Q}) = 0$ (eg, if X has dimension d), then one knows from [48] that Y must be formal, as soon as $2k + 1 > d$; see Proposition 4.4.

Remark 1.10 The finite-type hypotheses from Theorem A (and subsequent results) are imposed by the topology–algebra dictionary from rational homotopy theory; see Bousfield and Gugenheim [4, Remark 11.5]. In this context, we should mention an interesting family of examples, related to braids on closed

oriented surfaces of positive genus, analyzed by Cohen–Xicoténcatl [13] and Cohen–Kohn–Xicoténcatl [11]. The pairs of spaces coming from this family satisfy the homotopy rescaling formula (6), without being of finite type. It is not clear whether, for these examples, one can derive (6) from our Theorem A, by passing to the limit over finite-type subcomplexes.

The key word in Theorem A is Koszulness. Our next result shows that this property is strong enough to derive (6) from (5), even without formality assumptions.

Theorem B *Let X and Y be finite-type CW–spaces (X 0–connected, and Y 1–connected), such that $H^*(Y, \mathbb{Q}) = H^*(X, \mathbb{Q})[k]$, for some $k \geq 1$. If $H^*(X, \mathbb{Q})$ is a Koszul algebra, then the graded Lie algebras $E_*(Y)$ and $L_*(X)[k]$ are isomorphic.*

In other words, the implication (3) \implies (2) from Theorem A does not need the formality of Y . On the other hand, the proof of Theorem B relies heavily on the formal case.

1.11 Coformality obstruction

There is also a notion of *coformality*, due to Neisendorfer and Miller [29], and which is the Eckmann–Hilton dual to the notion of formality. A simply-connected space is coformal if its rational homotopy type is determined by its homotopy Lie algebra. In view of Theorem A, the next result offers a topological obstruction to the homotopy rescaling formula.

Proposition 1.12 *Let X and Y be finite-type CW–spaces (X connected, Y formal and simply-connected), such that $H^*(Y, \mathbb{Q}) = H^*(X, \mathbb{Q})[k]$, for some $k \geq 1$. If $H^*(X, \mathbb{Q})$ is a Koszul algebra, then Y is a coformal space.*

The converse does not hold in general, see Example 4.10.

If \mathcal{A} is an affine, generic arrangement of n hyperplanes in \mathbb{C}^{n-1} ($n > 2$), then the Rescaling Formula fails for $X = M(\mathcal{A})$, due to the non-coformality of $Y = M(\mathcal{A}^{k+1})$; the absence of coformality is detected by higher-order Whitehead products, see Example 8.5.

1.13 LCS formula for higher homotopy groups

Let X be a connected CW-space of finite type. Set $\phi_n := \text{rank gr}^n(\pi_1(X))$, for $n \geq 1$. Let $P_X(t) = \text{Hilb}(H^*(X; \mathbb{Q}), t)$ be the Poincaré series of X . The following *lower central series (LCS) formula* has received considerable attention:

$$\prod_{n \geq 1} (1 - t^n)^{\phi_n} = P_X(-t). \tag{7}$$

This formula was established for classifying spaces of pure braids by Kohno [22], and then for complements of arbitrary fiber-type arrangements by Falk and Randell [17]. A variant of the LCS formula holds for the more general class of hypersolvable arrangements, cf [20].

The LCS formula was related to Koszul duality in [47] and [37]. Let A be a connected, finite-type graded-commutative algebra, with associated holonomy Lie algebra $\mathcal{H}_*(A)$, defined in Section 2.2 below. Set $\phi_n := \dim_{\mathbb{Q}} \mathcal{H}_n(A)$, for $n \geq 1$. If A is a Koszul algebra, then

$$\prod_{n \geq 1} (1 - t^n)^{\phi_n} = \text{Hilb}(A, -t). \tag{8}$$

Our next result gives an LCS-type formula for the rational higher homotopy groups. For a 1-connected, finite-type CW-space Y , and an integer $n \geq 1$, set $\Phi_n := \text{rank } \pi_n(\Omega Y)$.

Theorem C *Let Y be a simply-connected CW-space of finite type. Assume that $H^*(Y, \mathbb{Q})$ is the k -rescaling of a Koszul algebra. Then $\Phi_n = 0$, if n is not a multiple of $2k$, and the following homotopy LCS formula holds:*

$$\prod_{r=1}^{\infty} (1 - t^{(2k+1)r})^{\Phi_{2kr}} = P_Y(-t). \tag{9}$$

1.14 Malcev completions and coalgebra maps

Let Y be a based, simply-connected CW-space of finite type. For an arbitrary connected, based CW-complex K , let $[K, \Omega Y]$ denote the group (under composition of loops) of pointed homotopy classes of based maps.

Now assume K is of finite type. Then K can be filtered by an increasing sequence of connected, finite subcomplexes, $\{K_r\}_{r \geq 0}$, with $K_0 = \text{base point}$, and $\bigcup_{r \geq 0} K_r = K$. The group $[K, \Omega Y]$ inherits a natural filtration, with r -th

term equal to $\ker([K, \Omega Y] \rightarrow [K_{r-1}, \Omega Y])$. As noted by Cohen and Gitler in [9], the filtered group $[\Omega S^2, \Omega Y]$ is a particularly interesting object. As a set, it equals $\prod_{n \geq 1} \pi_n(\Omega Y)$, thus reassembling all the homotopy groups of Y into a single, naturally defined group (the “group of homotopy groups” of ΩY).

In general, the filtered groups $[K, \Omega Y]$ are very difficult to handle. Even so, there are two analogues which are often easier to compute.

The first one is the Milnor–Moore group of degree 0 coalgebra maps,

$$\mathrm{Hom}^{\mathrm{coalg}}(H_*(K, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q})), \quad (10)$$

defined in [28, Section 8]. (When Y is a \mathbb{Q} -local space, this group is in fact isomorphic to $[K, \Omega Y]$; see [44].) There is a natural filtration on the group (10), with r -th term equal to

$$\ker(\mathrm{Hom}^{\mathrm{coalg}}(H_*(K), H_*(\Omega Y)) \longrightarrow \mathrm{Hom}^{\mathrm{coalg}}(H_*(K_{r-1}), H_*(\Omega Y))), \quad (11)$$

the kernel of the map induced by the inclusion $K_{r-1} \rightarrow K$; see [9].

The second one is the group $[K, \Omega Y]^\wedge := \varprojlim_r ([K_{r-1}, \Omega Y] \otimes \mathbb{Q})$, which comes endowed with the canonical inverse limit filtration, with r -th term equal to

$$\ker([K, \Omega Y]^\wedge \longrightarrow [K_{r-1}, \Omega Y] \otimes \mathbb{Q}). \quad (12)$$

In the above construction, we used the Malcev completion of a group G , denoted by $G \otimes \mathbb{Q}$; see Quillen [40, Appendix A]. The group $G \otimes \mathbb{Q} = \varprojlim_n ((G/\Gamma_n G) \otimes \mathbb{Q})$ comes equipped with the canonical (Malcev) inverse limit filtration.

If $K = \Omega S^m$, $m \geq 2$, then K has a cell decomposition (due to M. Morse and I. James), with one cell of dimension $r(m-1)$, for each $r \geq 0$ (see [27] and [52]). Setting K_r equal to the $r(m-1)$ -th skeleton, we obtain, by the above procedure, the filtered group $[\Omega S^m, \Omega Y]^\wedge$.

Theorem D *Let X and Y be finite-type CW-spaces (X connected and Y simply-connected), such that $H^*(Y, \mathbb{Q}) = H^*(X, \mathbb{Q})[k]$, for some $k \geq 1$. Assume that $H^*(X, \mathbb{Q})$ is a Koszul algebra. Then the next two properties are equivalent:*

- (1) X is a formal space.
- (2) The following filtered groups are isomorphic:
 - (a) $[\Omega S^{2k+1}, \Omega Y]^\wedge$, with the filtration (12);
 - (b) $\mathrm{Hom}^{\mathrm{coalg}}(H_*(\Omega S^{2k+1}, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q}))$, with the filtration (11);
 - (c) $\pi_1(X) \otimes \mathbb{Q}$, with the Malcev filtration.

This theorem lifts the Rescaling Formula (6) from the level of associated graded Lie algebras to the level of filtered groups; see Remark 7.7. The implication (1) \implies (2) from Theorem D follows from Theorem B, via a well-known formula (valid for any formal space X), relating the group $\pi_1(X) \otimes \mathbb{Q}$ to the Lie algebra $L_*(X)$, see (33), together with two general results (valid for an arbitrary 1-connected, finite-type CW-space Y), proved in Section 6.

The first result (Theorem 6.2) gives a description of the filtered group of homotopy classes, $[\Omega S^m, \Omega Y]^\wedge$, $m \geq 2$, in terms of the homotopy Lie algebra, $E_*(Y)$. The proof depends heavily on a formula of Baues [2], reviewed in Section 5.5. The Rescaling Formula may then be invoked to establish the isomorphism between the filtered groups (2a) and (2c) from Theorem D.

The second result (Proposition 6.10) gives a filtered isomorphism between the groups $[K, \Omega Y]^\wedge$ and $\text{Hom}^{\text{coalg}}(H_*(K), H_*(\Omega Y))$, for any connected, finite-type CW-complex K , filtered as in the beginning of Section 1.14.

1.15 Connection with the work of Cohen–Gitler–Sato

The two results mentioned above may be combined to obtain information about the filtered Milnor–Moore groups

$$\text{Hom}^{\text{coalg}}(H_*(\Omega S^2, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q})), \quad (13)$$

studied by Cohen–Gitler [9], Sato [43], and Cohen–Sato [12].

For instance, the Lie algebra with grading associated to the filtered group (13) is isomorphic to a certain rebracketing of the homotopy Lie algebra $E_*(Y)$. When Y is a k -rescaling of a space X with Koszul cohomology algebra, this rebracketing equals $L_*(X)[k]$. From these considerations, we recover several results from [9] and [12]; see Corollary 7.9 and Remark 7.10 for details.

Furthermore, we show in Proposition 7.2 that the Milnor–Moore group (13) is isomorphic to the Malcev completion of $\pi_1(X)$, provided Y is a k -rescaling of a formal space X , satisfying the assumptions of Theorem D. In the case when $X = M(\mathcal{B}_\ell)$ and $Y = M(\mathcal{B}_\ell^{k+1})$, this answers a question raised in [9]; see Remark 7.3. In the case when $X = \bigvee^n S^1$ and $Y = \bigvee^n S^{2k+1}$, this recovers a result of [43]; see Example 7.4.

1.16 Rescaling links in S^3

Using Sullivan’s minimal models, it is easy to see that any connected CW-space of finite type, X , admits a homological k -rescaling, for each $k \geq 1$. In general,

though, such a rescaling, Y , is not uniquely determined by condition (5), not even up to rational homotopy equivalence. See Section 4.1 for details.

Besides complements of hyperplane arrangements, there is another large class of spaces that admit a naturally defined rescaling: complements of classical links.

Let $K = (K_1, \dots, K_n)$ be a link of oriented circles in S^3 . Associated to K there is a *linking graph*, \mathbf{G}_K , with vertices corresponding to the components K_i , and (simple) edges connecting pairs of distinct vertices for which $\text{lk}(K_i, K_j) \neq 0$.

We define $K^{\otimes k}$ (the k -rescaling of K) to be the link of $(2k+1)$ -spheres in S^{4k+3} obtained by taking the iterated join (in the sense of Koschorke and Rolfsen [23]) of the link K with k copies of the n -component Hopf link. It turns out that the complement of $K^{\otimes k}$ is indeed the (unique up to rational homotopy) k -rescaling of the complement of K ; see Proposition 9.8.

Theorem E *Let $K = (K_1, \dots, K_n)$ be a link in S^3 , with complement X . Let $K^{\otimes k}$ be the k -rescaling of K , with complement Y . Then the Rescaling Formula holds for X and Y if and only if the linking graph of K is connected:*

$$E_*(Y) \cong L_*(X)[k] \iff \pi_0(\mathbf{G}_K) = 0.$$

This theorem (together with the next corollary), will be proved in Section 9.9. Key to the proof is the fact that \mathbf{G}_K is connected if and only if $H^*(X, \mathbb{Q})$ is Koszul, cf [26].

Corollary 1.17 *Let K be a link in S^3 with connected linking graph, and let Y be the complement of $K^{\otimes k}$. Then Y is both formal and coformal, and its homotopy Lie algebra is a semidirect product of free Lie algebras generated in degree $2k$,*

$$E_*(Y) = \mathbb{L}(x_1, \dots, x_{n-1}) \rtimes \mathbb{L}(x_n),$$

with ranks Φ_q vanishing if $2k \nmid q$, and

$$\prod_{r \geq 1} (1 - t^{(2k+1)r})^{\Phi_{2kr}} = (1 - t^{2k+1})(1 - (n-1)t^{2k+1}).$$

Moreover, $P_{\Omega Y}(t) = \frac{1}{(1-t^{2k})(1-(n-1)t^{2k})}$.

There is a rich supply of links with connected linking graph. This may be seen by combining the following two well-known facts. First, any graph on n vertices, weighted by integers, may be realized as the weighted linking graph of

some closed-up pure braid on n strands. Second, two links obtained by closing up pure braids which differ by a pure braid commutator have the same weighted linking graph. Geometrically defined examples of links with complete (hence, connected) linking graphs include algebraic links and singularity links of central arrangements of transverse planes in \mathbb{R}^4 .

1.18 Formality obstructions for classical links

Let Y be a k -rescaling of a connected space, X , having the homotopy type of a finite CW-complex. If k is large enough, we know from Theorem A (and Remark 1.9) that the only obstruction to the Rescaling Formula (6) is the Koszul property of $H^*(X, \mathbb{Q})$. If this property holds, we know from Theorem D that the only obstruction to upgrading the Rescaling Formula (6) to the *Malcev Formula*,

$$\pi_1(X) \otimes \mathbb{Q} \cong [\Omega S^{2k+1}, \Omega Y]^\wedge, \quad (14)$$

is the formality of X .

When X is the complement of a classical link with connected linking graph, we present, in Corollary 10.5, a sequence of obstructions to the formality of X , based on the *Campbell–Hausdorff invariants* of links, introduced in [33]. This leads to examples which show that, in general, the Koszulness of $H^*(X, \mathbb{Q})$ alone does not suffice to imply the Malcev Formula (14); see Example 10.7.

1.19 Organization of the paper

The paper is divided into three parts, each one subdivided into three sections:

Part I deals with the Rescaling Formula, and proves Theorems A, B, and C.

Part II deals with the Malcev Formula and Milnor–Moore groups, and proves Theorem D.

Part III contains applications to arrangements and links, and proves Theorem E.

A more detailed guide to the contents can be found at the beginning of each part. An announcement of the results of this paper appeared in [35].

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Part I

The rescaling formula

In this first part, we study homological rescalings of spaces, and prove Theorems A–C from the Introduction, using the algebraic models of Quillen and Sullivan as a key tool.

The main goal is to establish the homotopy Rescaling Formula, $E_*(Y) = L_*(X)[k]$, which allows one to reconstruct the homotopy Lie algebra of a simply-connected space Y from the Lie algebra associated to the lower central series of the fundamental group of a space X , provided $H^*(X, \mathbb{Q})$ is a Koszul algebra, and $H^*(Y, \mathbb{Q})$ is a k -rescaling of that algebra.

The Rescaling Formula is strong enough to imply the Koszulness of $H^*(X, \mathbb{Q})$, in the case when Y is formal. Furthermore, the formula can be used to determine the rational homotopy type of ΩY , solely from the Poincaré polynomial of X .

2 Algebraic models of spaces

We start by recalling some basic facts which allow, in the case of formal spaces, to describe the Lie algebras appearing in the Rescaling Formula in terms of cohomology algebras.

2.1 Quillen's functors and the homotopy Lie algebra

Let **DGA** be the category of differential graded algebras (dga's). The objects, (A, d) , are finite-type cga's, A^* , endowed with an algebra differential, d , of degree $+1$; the morphisms are the \mathbb{Q} -linear maps which preserve all the existing structure. Similarly, let **DGL** be the category of differential graded Lie algebras (dgl's). It has objects of the form (E, ∂) , where E_* is a finite-type glie and ∂ is a

degree -1 Lie differential; the morphisms are again required to preserve all the structure. For both categories, *quasi-isomorphisms* are morphisms inducing isomorphisms in homology, and *weak equivalences* are finite compositions (in both directions) of quasi-isomorphisms.

A fundamental tool in the rational homotopy theory of simply-connected spaces is provided by Quillen’s pair of adjoint functors, which relate differential graded Lie algebras and coalgebras; see [40]. For our purposes here, we will need a dual version; namely, the adjoint functors,

$$\mathcal{C}: \mathbf{DGL}_1 \longrightarrow \mathbf{DGA}_1 \quad \text{and} \quad \mathcal{L}: \mathbf{DGA}_1 \longrightarrow \mathbf{DGL}_1,$$

between the full subcategories consisting of simply-connected objects. (A \mathbf{dga} (A, d) is 1-connected if $A^1 = 0$; a \mathbf{dgl} (E, ∂) is 1-connected if E_* is strictly positively graded.) We briefly recall the construction of Quillen’s functors, and some of their relevant properties¹. For full details, we refer to Tanré [51, Ch. I].

First, we need some notation. Let $V = V^*$ be a graded vector space over \mathbb{Q} . For each integer r , denote by $s^r V$ the r -th suspension of V , with grading $(s^r V)^k = V^{k-r}$. Let $\sharp V = \text{Hom}_{\mathbb{Q}}(V, \mathbb{Q})$ be the dual of V , with grading $(\sharp V)_k = \text{Hom}_{\mathbb{Q}}(V^k, \mathbb{Q})$. Finally, let $\bigwedge V$ be the free \mathbf{cga} generated by V , and let $\mathbb{L}(V)$ be the free \mathbf{glie} generated by V . Note that $\bigwedge V$ has an additional grading, given by word length, and denoted by $\bigwedge^*(V) := \bigoplus_{q \geq 0} \bigwedge^q(V)$. Similarly, $\mathbb{L}(V)$ has an extra grading, given by bracket length, and denoted by $\mathbb{L}^*(V) := \bigoplus_{q \geq 1} \mathbb{L}^q(V)$.

The functor $\mathcal{C}: \mathbf{DGL}_1 \rightarrow \mathbf{DGA}_1$ is defined as follows. Let (E, ∂) be a simply-connected \mathbf{dgl} . Then:

$$\mathcal{C}(E, \partial) = (\bigwedge (s^\sharp E), d), \tag{15}$$

where the restriction of d to the free algebra generators is of the form $d = d_1 + d_2$. By duality, the linear part, $d_1: s^\sharp E \rightarrow s^\sharp E$, corresponds to the Lie differential, $\partial: E \rightarrow E$. Likewise, the quadratic part, $d_2: s^\sharp E \rightarrow s^\sharp E \wedge s^\sharp E$, comes from the Lie bracket, $[\cdot, \cdot]: E \wedge E \rightarrow E$. Furthermore, a \mathbf{dgl} map $\varphi: (E, \partial) \rightarrow (E', \partial')$ is sent to the \mathbf{dga} map $\mathcal{C}(\varphi): (\bigwedge s^\sharp E', d') \rightarrow (\bigwedge s^\sharp E, d)$, defined as the free \mathbf{cga} extension of the dual of φ . One knows that φ is a quasi-isomorphism if and only if $\mathcal{C}(\varphi)$ is a quasi-isomorphism.

The functor $\mathcal{L}: \mathbf{DGA}_1 \rightarrow \mathbf{DGL}_1$ is defined as follows. Let (A, d) be a simply-connected \mathbf{dga} . Denote by \bar{A} its augmentation ideal. Then:

$$\mathcal{L}(A, d) = (\mathbb{L}(s^{-1\sharp} \bar{A}), \partial), \tag{16}$$

where the restriction of ∂ to the free Lie generators is of the form $\partial = \partial_1 + \partial_2$. As before, the linear part ∂_1 corresponds by duality to d , and the (Lie)

¹We will abuse notation, and write $\mathcal{C}(E, \partial)$ for $\mathcal{C}((E, \partial))$, etc.

quadratic part ∂_2 comes from the dual of the algebra multiplication. A **dga** map, $\psi: (A, d) \rightarrow (A', d')$, is sent to the **dgl** map $\mathcal{L}(\psi): (\mathbb{L}(s^{-1}\sharp A'), \partial') \rightarrow (\mathbb{L}(s^{-1}\sharp \bar{A}), \partial)$, defined as the free **glie** extension of the dual of ψ . Again, ψ is a quasi-isomorphism if and only if $\mathcal{L}(\psi)$ is a quasi-isomorphism.

The functors \mathcal{C} and \mathcal{L} are weakly adjoint, ie, there exist adjunction morphisms,

$$\alpha: \mathcal{C}\mathcal{L}(A, d) \longrightarrow (A, d) \quad \text{and} \quad \beta: \mathcal{L}\mathcal{C}(E, \partial) \longrightarrow (E, \partial),$$

inducing isomorphisms in homology. From the definitions, the underlying **cga** of $\mathcal{C}\mathcal{L}(A, d)$ is $\bigwedge (s^\sharp \mathbb{L}^*(s^{-1}\sharp \bar{A}))$. The morphism α is given on generators by

$$\alpha|_{s^\sharp \mathbb{L}^{>1}} = 0, \quad \alpha|_{s^\sharp \mathbb{L}^1} = \text{id}_{\bar{A}}. \quad (17)$$

There is a similar description for β , which we won't use. See [51, Ch. I] for details.

Coming back to our setup from Theorem A, let us record the following first key observation. Let Y be 1-connected and of finite type, with cohomology algebra $B^* = H^*(Y, \mathbb{Q})$. If Y is formal, then it follows from [40] that

$$E_*(Y) = H_*\mathcal{L}(B, 0), \quad (18)$$

as graded Lie algebras.

2.2 Chen's holonomy Lie algebra and the associated graded Lie algebra

Let A^* be a (connected) **cga** of finite type. Set $A_q := \sharp A^q$, for $q \geq 1$. Define the reduced diagonal, $\nabla: A_2 \rightarrow A_1 \wedge A_1$, to be the dual of the algebra multiplication, $\mu: A^1 \wedge A^1 \rightarrow A^2$. The *holonomy (graded) Lie algebra* of A is defined by:

$$\mathcal{H}_*(A) := \mathbb{L}^*(A_1) / \text{ideal}(\text{im } \nabla), \quad (19)$$

with grading induced by bracket length. (We have used the standard identification between $\mathbb{L}^2(W)$ and $W \wedge W$.)

Here is our second key observation. Let X be a formal, connected space of finite type, with cohomology algebra $A^* = H^*(X, \mathbb{Q})$. It is well-known (see for instance [26]) that

$$L_*(X) = \mathcal{H}_*(A), \quad (20)$$

as Lie algebras with grading. For more on the holonomy Lie algebra, and on its derived series quotients, see [36].

2.3 The bigraded 1–model and the Koszul property

Another ingredient for the proof of Theorem A is supplied by a connection between the Koszulness of A , and a certain property of $\mathcal{H}(A)$. We review this connection, following [26] and [37].

Set $\mathcal{H} = \mathcal{H}(A)$. The free **cga** $\bigwedge^*(\sharp\mathcal{H})$ is simply the exterior algebra on the dual of \mathcal{H} , when assigning to $\sharp\mathcal{H}$ the (upper) degree 1. It becomes a **dga** with additional grading, when endowed with the classical differential, d_0 , that is used in defining Lie algebra cohomology:

$$d_0\omega(x_1 \wedge \cdots \wedge x_q) = \sum_{1 \leq i < j \leq q} (-1)^{i+j} \omega([x_i, x_j] \wedge x_1 \wedge \cdots \wedge \hat{x}_i \wedge \cdots \wedge \hat{x}_j \wedge \cdots \wedge x_q).$$

Note that $(\bigwedge^*(\sharp\mathcal{H}), d_0)$ is just the ungraded version of $\mathcal{C}(\mathcal{H}, 0)$. The (multiplicative) extra grading is obtained by assigning to $\sharp\mathcal{H}_q$ the (lower) degree $q - 1$, for $q \geq 1$.

Moreover, there is a canonical **dga** map (with respect to upper degrees),

$$\rho: (\bigwedge^*(\sharp\mathcal{H}), d_0) \longrightarrow (A^*, 0), \tag{21}$$

which sends $\sharp\mathcal{H}_q$ to zero, for $q > 1$, and coincides with the canonical identification, $\sharp\mathcal{H}_1 = A^1$, for $q = 1$. This is the so-called bigraded 1–modelling map of A ; see [26, Lemma 1.8(i)]. By [37, Proposition 4.4], we know that ρ is a quasi-isomorphism if and only if A is Koszul.

2.4 Bigraded Lie algebras

A *bigraded Lie algebra* (**bglie**) is a graded Lie algebra with an extra (upper) grading, which is preserved by the Lie bracket. Here are two basic examples that we will use.

Let E_* be a **glie**, with lower central series $\{\Gamma_n E\}_n$, inductively constructed by setting $\Gamma_1 E = E$, and $\Gamma_{n+1} E = [E, \Gamma_n E]$. The *associated bigraded Lie algebra*, $\text{gr}^* E_*$, is defined as:

$$\text{gr}^* E_* := \bigoplus_{n \geq 1} \text{gr}^n E_*, \quad \text{where} \quad \text{gr}^n E_* := \Gamma_n E_* / \Gamma_{n+1} E_*. \tag{22}$$

Let B^* be a 1–connected **cga**, and $\mathcal{L} = \mathcal{L}(B, 0) = (\mathbb{L}(s^{-1}\sharp\bar{B}), \partial_2)$ the corresponding Quillen minimal model; see Section 2.1. The Lie algebra \mathcal{L} is actually bigraded: the second (upper) grading is given by bracket length in \mathbb{L}^* . Furthermore, the differential ∂_2 preserves both gradings. Hence, the homology of \mathcal{L} is also a **bglie**, to be denoted by $H_*^*(\mathcal{L})$.

3 Homology, homotopy, and the Koszul property

In this section, we prove Theorems A, B, and C, as well as Proposition 1.12 from the Introduction. We start with a proof of Theorem A in the particular case when the space X is formal; the general case will be handled once Theorem B is proved.

3.1 Proof of Theorem A, when X is formal

We start from the assumption that Y is a k -rescaling of X , for some fixed $k \geq 1$. Set $A^* := H^*(X, \mathbb{Q})$ and $B^* := H^*(Y, \mathbb{Q}) = A[k]$. We know, from (18) and (20), that $E_*(Y) = H_*\mathcal{L}(B, 0)$, and $L_*(X)[k] = \mathcal{H}_*(A)[k]$. We will take a first step and prove the equivalence of (1) and (2) in our theorem, by constructing a **dgl** map, $\lambda: \mathcal{L}(B, 0) \rightarrow (\mathcal{H}(A)[k], 0)$.

To this end, we start by noting that the underlying **glie** of $\mathcal{L}(B, 0)$ is freely generated by the union of all $A_q := \sharp A^q$ (taken in degree $(2k+1)q-1$), for $q \geq 1$. This follows from the construction of \mathcal{L} explained in Section 2.1, given our assumption that $B = A[k]$, see (3).

At the same time, (19) and (4) readily imply that the **glie** $\mathcal{H}(A)[k]$ is generated by A_1 (taken in degree $2k$), with defining relations $\nabla(A_2) = 0$.

Sending A_1 identically to A_1 , and $A_{>1}$ to zero, defines a **glie** map

$$\lambda: \mathcal{L}(B, 0) \longrightarrow (\mathcal{H}(A)[k], 0). \quad (23)$$

Lemma 3.2 *The map λ commutes with differentials and induces a surjection in homology.*

Proof To check the first assertion, it is enough to verify it on generators. In other words, we must show that $\lambda\partial(A_q) = 0$, for all $q \geq 1$, where ∂ is the differential of \mathcal{L} (that is, the dual of the multiplication of \bar{A}). If $q > 2$, this follows at once, for degree reasons, given the definition of λ . If $q = 2$, we just have to note that ∂ and ∇ coincide on A_2 , and λ is the identity on A_1 . Finally, $\partial(A_1) = 0$, by construction. This last remark also takes care of the second assertion of the lemma. \square

We infer from the preceding lemma, via a dimension argument, that both property (1) and property (2) from Theorem A are equivalent to the fact that λ is a quasi-isomorphism. We may thus finish the proof of our theorem (in the particular case when X is formal), by verifying the following assertion.

Lemma 3.3 *The dgf map $\lambda: \mathcal{L}(B, 0) \rightarrow (\mathcal{H}(A)[k], 0)$ is a quasi-isomorphism if and only if A is a Koszul algebra.*

Proof From the general theory summarized in Section 2.1, we know that λ is a quasi-isomorphism if and only if $\alpha \circ \mathcal{C}(\lambda): \mathcal{C}(\mathcal{H}[k], 0) \rightarrow (B, 0)$ is a quasi-isomorphism, where $\mathcal{H} := \mathcal{H}(A)$, and $\alpha: \mathcal{C}\mathcal{L}(B, 0) \rightarrow (B, 0)$ is the adjunction map.

The underlying cga of $\mathcal{C}(\mathcal{H}[k], 0)$ is the exterior algebra generated by the union of all $\sharp\mathcal{H}_q$ (taken in degree $2kq + 1$), for $q \geq 1$; see Section 2.1 and (4). From the discussion in Section 2.3, we see that $\mathcal{C}(\mathcal{H}[k], 0)$ equals $(\bigwedge(\sharp\mathcal{H}), d_0)$, modulo some degree reindexing of the generators. At the same time, $(B, 0)$ equals $(A, 0)$, modulo rescaling, by hypothesis.

It remains to identify $\alpha \circ \mathcal{C}(\lambda)$ with the map ρ from (21), in order to be able to use [37, Proposition 4.4], and thus finish the proof of the lemma. This we do, by looking at the action of $\alpha \circ \mathcal{C}(\lambda)$ on the algebra generators, $\sharp\mathcal{H}_q$, for $q \geq 1$.

Since $\lambda: \mathbb{L}(s^{-1}\sharp\bar{B}) \rightarrow \mathcal{H}[k]$ is a Lie algebra map, it preserves bracket degree, and therefore $\mathcal{C}(\lambda)$ sends $\sharp\mathcal{H}_q$ to $s\sharp\mathbb{L}^q(s^{-1}\sharp\bar{B})$, for all $q \geq 1$. From the way the maps α and λ were defined in (17) and (23), we find $\alpha \circ \mathcal{C}(\lambda)(\sharp\mathcal{H}_q) = 0$, if $q > 1$, and $\alpha \circ \mathcal{C}(\lambda)|_{\sharp\mathcal{H}_1} = \text{id}$. Thus, $\alpha \circ \mathcal{C}(\lambda) = \rho$. □

The proof of Theorem A (with formality assumptions on both Y and X) is now complete. By examining the proof, we derive the following Koszulness test.

Corollary 3.4 *Let A be a finite-type, connected cga, and k a positive integer. Then: A is Koszul if and only if $\text{Hilb}(H_*\mathcal{L}(A[k], 0), t) = \text{Hilb}(\mathcal{H}_*(A)[k], t)$.*

3.5 Proof of Proposition 1.12

We keep the notation from Section 3.1. By [40], the rational homotopy type of the formal space Y is given by the weak equivalence type of its minimal Quillen model, $\mathcal{L}(B, 0)$. If A is a Koszul algebra, Lemma 3.3 may be used to infer that $\mathcal{L}(B, 0)$ has the same weak equivalence type as $(E_*(Y), 0)$, whence the coformality of Y .

3.6 Proof of Theorem B

As before, set $A^* = H^*(X, \mathbb{Q})$, $B^* = H^*(Y, \mathbb{Q})$; also, $\mathcal{H} = \mathcal{H}(A)$, $\mathcal{L} = \mathcal{L}(B, 0)$. Our assumptions, namely $B = A[k]$ and the Koszulness of A , imply that there is a glie isomorphism,

$$H_*(\lambda): H_*\mathcal{L} \xrightarrow{\cong} \mathcal{H}[k]_* \quad (24)$$

(see Lemmas 3.2 and 3.3).

We will prove Theorem B by showing that $L_*(X) = \mathcal{H}_*$ (as grlie's) and $E_*(Y) = H_*\mathcal{L}$ (as glie's). To this end, we will use two results from [26]: Theorems A'(i) and A(i), respectively.

Lemma 3.7 *If $A^* = H^*(X, \mathbb{Q})$ is a Koszul algebra, then $L_*(X) = \mathcal{H}_*(A)$ (as grlie's).*

Proof We know from Section 2.3 that the map $\rho: (\bigwedge^*(\# \mathcal{H}), d_0) \longrightarrow (A^*, 0)$ is a quasi-isomorphism. In particular, the bigraded minimal model of A is generated in (upper) degree 1. Hence, Lemma 1.9(ii) and Theorem A'(i) from [26] give the desired isomorphism. \square

To prove that $E_*(Y) = H_*(\mathcal{L})$, we need a rigidity result, at the associated graded level. For that, we first need the following.

Lemma 3.8 *The bigraded Lie algebra $H_*^*(\mathcal{L})$ is generated by $H_*^1(\mathcal{L}) = A_1$.*

Proof Recall that the map $\lambda: \mathcal{L} \rightarrow \mathcal{H}[k]$ restricts to the identity on A_1 (see Section 3.1). From the definition of the holonomy Lie algebra of A (see (19)), $\mathcal{H}[k]$ is generated by A_1 . Since $H_*(\lambda)$ is a Lie isomorphism, we are done. \square

Lemma 3.9 *The bglie's $\text{gr}^* E_*(Y)$ and $H_*^*(\mathcal{L})$ are isomorphic.*

Proof The desired isomorphism is given by Theorem A(i) from [26], provided two conditions are satisfied. In view of [26, Lemma 1.9(i)], we have to verify that $H_*^*(\mathcal{L})$ is generated by $H_*^1(\mathcal{L})$, and B^* is intrinsically spherically generated. The first condition follows from Lemma 3.8.

To verify the second condition, note that the algebra A^* is generated by A^1 , as a consequence of the Koszul property (see eg [3]). Therefore, the algebra $B^* = A[k]^*$ is homogeneously generated by the component $B^{2k+1} = A^1$. The conclusion follows from [32, Remark 4.8]. \square

To conclude the proof of Theorem B, we need to lift the isomorphism from Lemma 3.9 from the associated graded level to the Lie algebra level.

Set $\mathbb{L} = \mathbb{L}(s^{-1\sharp}\bar{B})$. It is well-known that $E_*(Y) = H_*(\mathbb{L}, \partial)$, where the $\text{dgl}(\mathbb{L}, \partial)$ is the Quillen minimal model of Y . Furthermore, the restriction of ∂ to $s^{-1\sharp}\bar{B}$ is of the form $\partial = \partial_2 + p$, where ∂_2 is the quadratic differential of $\mathcal{L} = \mathcal{L}(B, 0)$, and the perturbation p has the property that

$$p(s^{-1\sharp}\bar{B}) \subseteq \mathbb{L}^{\geq 3} \tag{25}$$

(see [40], [51]). Recall also from Section 3.1 that \mathbb{L} is freely generated by the union of all A_q , taken in degree $(2k + 1)q - 1$, for $q \geq 1$.

Lemma 3.10 $\partial|_{A_q} = \partial_2|_{A_q}$, for $q \leq 2$.

Proof We need to show that $p(A_q) = 0$, for $q \leq 2$. Since p lowers degree by 1, we have $\deg p(A_q) \leq 4k$, if $q \leq 2$. On the other hand, $\deg p(A_q) \geq 6k$, for all $q \geq 1$; see (25). □

From the Lemma (with $q = 1$), we see that the identity of A_1 extends to a glie map, $\eta: \mathbb{L}(A_1) \rightarrow H_*(\mathbb{L}, \partial)$. Again from the Lemma (with $q = 2$), we infer that η factors through a glie map,

$$\eta: \mathbb{L}(A_1)/\text{ideal}(\partial_2(A_2)) \longrightarrow H_*(\mathbb{L}, \partial) = E_*(Y). \tag{26}$$

Lemma 3.11 The glie map η from (26) is surjective.

Proof It is enough to show that the Lie algebra $H_*(\mathbb{L}, \partial)$ is generated by A_1 . Let

$$\iota: A_1 \longrightarrow H_*(\mathbb{L}, \partial)/[H_*(\mathbb{L}, \partial), H_*(\mathbb{L}, \partial)]$$

be the composite of the inclusion $A_1 \rightarrow H_*(\mathbb{L}, \partial)$ with the canonical projection. Note that ι is injective. This follows from simple bracket degree reasons, given the fact that $\partial(\mathbb{L}) \subseteq \mathbb{L}^{\geq 2}$.

By Lemma 3.9, the vector spaces $\text{gr}^1 H_*(\mathbb{L}, \partial) = H_*(\mathbb{L}, \partial)/[H_*(\mathbb{L}, \partial), H_*(\mathbb{L}, \partial)]$ (see definition (22)) and $H^1(\mathcal{L}) = A_1$ (see Lemma 3.8) are isomorphic. Consequently, the map ι identifies A_1 with $\text{gr}^1 H_*(\mathbb{L}, \partial)$, the vector space of Lie algebra generators of $H_*(\mathbb{L}, \partial)$. □

The next Corollary ends the proof of Theorem B.

Corollary 3.12 The glie's $E_*(Y)$ and $H_*(\mathcal{L})$ are isomorphic.

Proof By Lemma 3.9, the graded Lie algebras $E_*(Y) = H_*(\mathbb{L}, \partial)$ and $H_*(\mathcal{L}) = \mathcal{H}[k]_* = \mathbb{L}(A_1)/(\partial_2(A_2))$ are isomorphic as graded vector spaces. Therefore, the map η from (26) is a glie isomorphism. □

3.13 End of proof of Theorem A

We may now remove the formality assumption on X , made in Section 3.1, as follows. Given Theorem B and Lemma 3.3, all we have to show is that $H_*(\lambda)$ is an isomorphism, provided that the graded vector spaces $H_*\mathcal{L}(B, 0)$ and $L_*(X)[k]$ are isomorphic. Recall that one has a grlie surjection, $\mathcal{H}_*(A) \twoheadrightarrow L_*(X)$; see [26, Proposition 3.3]. Lemma 3.2 and a dimension argument combine to show that $H_*(\lambda)$ is an isomorphism, as needed. The proof of Theorem A is now complete.

3.14 Proof of Theorem C

By assumption, $H^*(Y, \mathbb{Q}) = A[k]^*$, with A^* Koszul. Set $\mathcal{H}_* = \mathcal{H}_*(A)$. We may write the homotopy Rescaling Formula (6) in the form:

$$E_*(Y) = \mathcal{H}[k]_*$$

(see Section 3.6). The vanishing claim from Theorem C follows at once. Furthermore, the above Rescaling Formula also implies that

$$\Phi_{2kr} = \dim_{\mathbb{Q}} E_{2kr}(Y) = \dim_{\mathbb{Q}} \mathcal{H}_r = \phi_r,$$

for all $r \geq 1$. Replacing t by t^{2k+1} in the algebraic LCS formula (8) gives the desired homotopy LCS formula (9).

3.15 Rationalized loop spaces

As is well-known, the loop space of a simply-connected, finite-type CW-space has the rational homotopy type of a weak product of Eilenberg–MacLane spaces of type $K(\mathbb{Q}, n)$. Thus, Theorem C yields the following.

Corollary 3.16 *Let Y be a finite-type, simply-connected CW-space. Suppose Y is a homological k -rescaling of a finite-type, connected CW-space X , with $H^*(X, \mathbb{Q})$ Koszul. Then:*

$$\Omega Y \simeq_{\mathbb{Q}} \prod_{r=1}^{\infty} K(\mathbb{Q}, 2kr)^{\Phi_{2kr}}, \quad (27)$$

where Φ_{2kr} is given by the homotopy LCS formula (9).

Consequently, the rational homotopy type of the loop space of Y is determined by the Poincaré polynomial of X . In particular, the Poincaré series of ΩY is given by

$$P_{\Omega Y}(t) = P_X(-t^{2k})^{-1}. \quad (28)$$

In fact, the Milnor–Moore theorem [28] insures that $H_*(\Omega Y, \mathbb{Q}) \cong U(L_*(X)[k])$, as Hopf algebras.

4 Homological rescalings: existence, uniqueness and simple examples

In this section, we discuss the existence and uniqueness of homological rescalings of spaces. As we shall see, rational homotopy theory guarantees the existence of such rescalings, but not their uniqueness, except when certain homological criteria are satisfied. We conclude with some simple cases where the (unique) rescaling can be constructed directly, via geometric methods. More examples will be given in Section 8 and 9.

4.1 Existence and non-uniqueness

Given a connected, finite-type CW–space X , and an integer $k \geq 1$, there exists a simply-connected, finite-type CW–space Y such that $H^*(Y, \mathbb{Q})$ is the k –rescaling of $H^*(X, \mathbb{Q})$. Indeed, $(H^*(X, \mathbb{Q})[k], d = 0)$ is a 1–connected dga, with Sullivan minimal model \mathcal{M} . Hence, there exists a finite-type, simply-connected CW–space Y such that $\mathcal{M}(Y) = \mathcal{M}$. In particular, $H^*(Y, \mathbb{Q}) = H^*(X, \mathbb{Q})[k]$.

By construction, Y is formal. Hence, Y is uniquely determined (up to rational homotopy equivalence) among spaces with the same cohomology ring. But there may be other, simply-connected, non-formal spaces, Z , with $H^*(Z, \mathbb{Q}) = H^*(Y, \mathbb{Q})$. In other words, the homological k –rescaling property (5) alone does not determine the rational homotopy type of Y , as the next example shows.

Example 4.2 Fix an integer $k \geq 1$, and consider the space $X = S^1 \vee S^1 \vee S^{2k+2}$. Plainly, the formal k –rescaling of X is $Y = S^{2k+1} \vee S^{2k+1} \vee S^{(2k+1)(2k+2)}$.

Now let $Z = (S^{2k+1} \vee S^{2k+1}) \cup_{\alpha} e^{(2k+1)(2k+2)}$, where the attaching map of the top cell is the iterated Whitehead product $\alpha = \text{ad}_x^{2k+2}(y) = [x, [\dots [x, y]]]$, and $x, y \in \pi_{2k+1}(S^{2k+1} \vee S^{2k+1})$ are the homotopy classes of the factors of the

wedge. It is readily seen that $\pi_1(Z) = 0$ and $H^*(Z, \mathbb{Q}) = H^*(X, \mathbb{Q})[k]$. Thus, Z is also a k -rescaling of X .

The Quillen minimal model of Z is $(\mathbb{L}(x, y, z), \partial)$, with $\deg x = \deg y = 2k$, $\deg z = (2k + 1)(2k + 2) - 1$, and $\partial x = \partial y = 0$, $\partial z = \text{ad}_x^{2k+2}(y)$. Clearly, the differential is not quadratic, and therefore Z is not formal.

4.3 Conditions for uniqueness

The above example notwithstanding, there are several commonly occurring situations where homological rescalings are unique (up to rational homotopy equivalence). We list two such situations.

Proposition 4.4 (Shiga-Yagita [48]) *If $H^{>d}(X, \mathbb{Q}) = 0$, then X has a unique k -rescaling, for all $k > (d - 1)/2$.*

Proof The result follows from [48, Theorem 5.4]. (N.B. There is no need to assume that $2k + 1$ is a prime number, as the authors of [48] do.) \square

Proposition 4.5 *If $H^*(X, \mathbb{Q}) = H^*(\prod_i^w K(\mathbb{Q}, n_i))$, then X has a unique k -rescaling, for all $k \geq 1$.*

Proof Suppose Y is a simply-connected space which has the rational cohomology of a (weak) product of Eilenberg-MacLane spaces, $K = \prod_i^w K(\mathbb{Q}, (2k + 1)n_i)$. Then Y admits a classifying map to K . By the Whitehead-Serre theorem, the map $Y \rightarrow K$ is a rational homotopy equivalence. \square

By the discussion in Section 4.1, once we know X has a unique k -rescaling, then such a rescaling, Y , must be a formal space (even if X itself is not formal).

4.6 Wedges of circles

Start with $X = S^1$. This is a formal space, with rationalization $X_{\mathbb{Q}} = K(\mathbb{Q}, 1)$. Hence, $H^*(X, \mathbb{Q})$ is a Koszul algebra. For each $k \geq 1$, the (unique up to \mathbb{Q} -equivalence) homological k -rescaling of X is $Y = S^{2k+1}$. Clearly $E_*(Y) = \mathbb{L}(x)$, with $\deg x = 2k$, and $\Omega S^{2k+1} \simeq_{\mathbb{Q}} K(\mathbb{Q}, 2k)$, a result that goes back to Serre's thesis.

Next, consider $X = \bigvee^n S^1$. Note that $\pi_1(X) = \mathbb{F}_n$, the free group on n generators. Clearly, X is formal. Moreover, $X_{\mathbb{Q}} = K(\mathbb{F}_n \otimes \mathbb{Q}, 1)$, and thus

$H^*(X, \mathbb{Q})$ is a Koszul algebra. For each $k \geq 1$, the (unique) k -rescaling of X is $Y = \bigvee^n S^{2k+1}$. By a well-known result of Magnus, $L_*(X) = \text{gr}^*(\mathbb{F}_n) \otimes \mathbb{Q}$ is the free Lie algebra on n generators. By Theorem A:

$$E_*(Y) = \mathbb{L}(x_1, \dots, x_n),$$

with $\deg x_i = 2k$, which is a particular case of the classical Hilton–Milnor theorem. The ranks of the homotopy Lie algebra of Y may be computed by means of Theorem C. We find: $\Phi_q = 0$ if q is not divisible by $2k$, and

$$\prod_{r \geq 1} (1 - t^{(2k+1)r})^{\Phi_{2kr}} = 1 - nt^{2k+1}.$$

By the Milnor–Moore theorem, $H_*(\Omega Y, \mathbb{Q}) = UE_*(Y) = T(x_1, \dots, x_n)$, the tensor algebra on x_i , and $P_{\Omega Y}(t) = \frac{1}{1-nt^{2k+1}}$, a result that goes back to Bott and Samelson [6].

4.7 Products of circles

Another simple situation is that of tori. Let $X = (S^1)^{\times n}$ be the n -torus. Clearly, X is formal, and $X_{\mathbb{Q}} = K(\mathbb{Q}^n, 1)$; hence $H^*(X, \mathbb{Q})$ is Koszul. For each $k \geq 1$, the (unique) k -rescaling of X is $Y = (S^{2k+1})^{\times n}$. By Theorem A:

$$E_*(Y) = \mathbb{L}^{\text{ab}}(x_1, \dots, x_n) := \mathbb{L}(x_1, \dots, x_n)/([x_i, x_j] = 0),$$

the free abelian Lie algebra on generators in degree $2k$ (this also follows from [8], by noting that $X \simeq M(\mathcal{D}_n)$ and $Y \simeq M(\mathcal{D}_n^{k+1})$, where \mathcal{D}_n is the Boolean arrangement in \mathbb{C}^n). As above, we compute: $\Phi_q = 0$ if $2k \nmid q$, and

$$\prod_{r \geq 1} (1 - t^{(2k+1)r})^{\Phi_{2kr}} = (1 - t^{2k+1})^n.$$

Furthermore, $\Omega Y \simeq_{\mathbb{Q}} K(\mathbb{Q}, 2k)^{\times n}$. Hence: $H_*(\Omega Y, \mathbb{Q}) = \mathbb{Q}[x_1, \dots, x_n]$, the polynomial algebra on x_i , and $P_{\Omega Y}(t) = \frac{1}{(1-t^{2k})^n}$.

4.8 Surfaces

Let $X = \#^g S^1 \times S^1$ be a compact, orientable surface of genus g . Being a Kähler manifold, X is formal, see [15]. Moreover, $A = H^*(X, \mathbb{Q})$ is a Koszul algebra (see for instance [5, Example 2.2], or simply note that A has a quadratic Gröbner basis, which is a well-known sufficient condition for Koszulness). For each $k \geq 1$, the (unique) k -rescaling of X is $Y = \#^g S^{2k+1} \times S^{2k+1}$. Thus, we

may apply Theorem A to determine the homotopy Lie algebra of Y from the associated graded Lie algebra of $\pi_1 X$. Using a result of Labute [24], we obtain:

$$E_*(Y) = \mathbb{L}(x_1, \dots, x_{2g}) / ([x_1, x_2] + \dots + [x_{2g-1}, x_{2g}] = 0),$$

with $\deg x_i = 2k$. As above, we compute the ranks of $\pi_q(\Omega Y)$ to be: $\Phi_q = 0$ if $2k \nmid q$, and

$$\prod_{r \geq 1} (1 - t^{(2k+1)r})^{\Phi_{2kr}} = 1 - 2gt^{2k+1} + t^{4k+2}.$$

It follows that $P_{\Omega Y}(t) = (1 - 2gt^{2k} + t^{4k})^{-1}$.

4.9 Rescaling non-Koszul algebras

We conclude with a simple example showing that the converse to Proposition 1.12 does not hold. This example also shows that the Koszul condition is indeed necessary for Theorems B and C to hold.

Example 4.10 Let $X = S^1 \vee S^2$, with k -rescaling $Y = S^{2k+1} \vee S^{2(2k+1)}$. Of course, both X and Y are formal. Moreover, Y is coformal. Nevertheless, the algebra $A = H^*(X, \mathbb{Q})$ is not generated in degree 1, and thus, in particular, A is not Koszul. Finally, note that $\Phi_{4k+1}(Y) = 1$, even though $2k \nmid 4k+1$; in particular, $E_*(Y) \neq L_*(X)[k]$.

Part II

The Malcev formula

In this second part, we study groups of based homotopy classes of maps between loop spaces, and prove Theorem D from the Introduction, using techniques of Lazard, Quillen, and Baues.

The goal is to establish filtered group isomorphisms between the Malcev completion of $\pi_1(X)$, the completion of $[\Omega S^{2k+1}, \Omega Y]$, and the Milnor–Moore group of coalgebra maps from $H_*(\Omega S^{2k+1}, \mathbb{Q})$ to $H_*(\Omega Y, \mathbb{Q})$, all under the assumption that Y is a homological k -rescaling of a *formal* space X with Koszul cohomology algebra.

The Malcev Formula, $\pi_1(X) \otimes \mathbb{Q} \cong [\Omega S^{2k+1}, \Omega Y]^\wedge$, upgrades the Rescaling Formula to the level of filtered groups. Remarkably, this formula is strong enough to insure the formality of X . A parallel analysis gives information on the “group of homotopy groups”, $[\Omega S^2, \Omega Y]$, of Cohen and Gitler.

5 Malcev completions and Baues formula

This section contains our main technical tool for the proof of Theorem D: a formula (due to Baues [2]), identifying, under certain conditions, the Malcev completion of the group of based homotopy classes $[K, \Omega Y]$ with an algebraically defined exponential group.

5.1 Complete Lie algebras and Campbell–Hausdorff groups

Our results involve *Malcev completions* of groups. We will need the Lie algebra form of Malcev completion, so we start by reviewing the relevant material from [40, Appendix A] (see also [25, 46]).

By a *complete Lie algebra* (clie) we mean an (ungraded!) Lie algebra L , together with a complete, descending \mathbb{Q} -vector space filtration, $\{F_r L\}_{r \geq 1}$, satisfying $F_1 L = L$ and

$$[F_1 L, F_r L] \subset F_{r+1} L, \quad \text{for all } r. \quad (29)$$

Completeness of the filtration $\{F_r L\}_{r \geq 1}$ means that the induced topology on L is Hausdorff, and that every Cauchy sequence converges. In other words, the canonical map to the inverse limit, $\pi: L \rightarrow \varprojlim_r L/F_r L$, is a vector space isomorphism.

Let L and L' be two clie's. We say that a Lie algebra map, $f: L \rightarrow L'$, is a weak clie morphism if $f(F_r L) \subset F_r L'$, for r sufficiently large. If $f(F_r L) \subset F_r L'$ for all r , we simply say that f is a clie morphism.

To a complete Lie algebra L , one associates, in a functorial way, a filtered group, called the *exponential group of L* , and denoted by $\exp(L)$. The underlying set of $\exp(L)$ is just L , while the group law is given by the classical Campbell–Hausdorff multiplication from local Lie theory:

$$x \cdot y = x + y + \frac{1}{2}[x, y] + \frac{1}{12}[x, [x, y]] + \frac{1}{12}[y, [y, x]] + \cdots, \quad \text{for } x, y \in L. \quad (30)$$

(The convergence of the series follows from condition (29), together with the completeness of the filtration topology.) The Lie filtration, $\{F_r L\}_{r \geq 1}$, passes to a filtration by normal subgroups, $\{\exp(F_r L)\}_{r \geq 1}$, of $\exp(L)$.

Remark 5.2 In [40], Quillen uses a special kind of clie's, the so-called *Malcev Lie algebras* (mlie's). In his definition, condition (29) above is replaced by the stronger condition

$$[F_r L, F_s L] \subset F_{r+s} L, \quad \text{for all } r \text{ and } s,$$

which implies that $\text{gr}^*(L) := \bigoplus_{r \geq 1} F_r L / F_{r+1} L$ has a natural *grlie* structure. Additionally, Quillen assumes that the Lie algebra $\text{gr}^*(L)$ is generated by $\text{gr}^1(L)$. For example, any nilpotent Lie algebra L , with lower central series filtration $\{\Gamma_r L\}_{r \geq 1}$, is a Malcev Lie algebra. We have chosen to isolate, in our definition, the minimal conditions needed for the construction of the exponential group.

5.3 Malcev completion of groups

The Malcev completion functor of Quillen [40] sends a group G to the filtered group $G \otimes \mathbb{Q} := \exp(M_G)$, where M_G is the *Malcev Lie algebra of G* , constructed as follows.

Let $\mathbb{Q}G$ be the rational group algebra of G , endowed with the I -adic filtration $\{I^r \mathbb{Q}G\}_{r \geq 0}$, where I is the augmentation ideal. The inverse limit $\widehat{\mathbb{Q}G} = \varprojlim_r \mathbb{Q}G / I^r \mathbb{Q}G$ has a natural complete Hopf algebra structure. By definition, M_G is the Lie algebra of primitive elements in $\widehat{\mathbb{Q}G}$. If G is a nilpotent group, then M_G is a nilpotent Lie algebra, and $G \otimes \mathbb{Q}$ coincides with the usual Malcev completion of G . In general, $G \otimes \mathbb{Q} = \varprojlim_n ((G / \Gamma_n G) \otimes \mathbb{Q})$.

Example 5.4 Let \mathcal{H}_* be a *grlie*, generated by \mathcal{H}_1 . Denote by $\widehat{\mathcal{H}}$ the completion of \mathcal{H}_* with respect to the degree filtration. The elements of $\widehat{\mathcal{H}}$ are formal series of the form

$$s = \sum_{i \geq 1} s_i, \quad \text{with } s_i \in \mathcal{H}_i. \quad (31)$$

The Lie bracket on \mathcal{H}_* extends bilinearly to the completion, making $\widehat{\mathcal{H}}$ into a Malcev Lie algebra, with filtration given by formal series order:

$$F_r \widehat{\mathcal{H}} := \{s \mid s_i = 0 \text{ for } i < r\}. \quad (32)$$

Let X be a connected CW-space of finite type, with cohomology algebra $A^* = H^*(X, \mathbb{Q})$, and holonomy Lie algebra $\mathcal{H}_* = \mathcal{H}_*(A)$. If X is a formal space, then

$$\pi_1(X) \otimes \mathbb{Q} = \exp(\widehat{\mathcal{H}}_*) = \exp(L_*(X)^\wedge), \quad (33)$$

see for instance [26, Lemma 1.8].

5.5 The exponential formula of Baues

To state Baues’ formula, we start by recalling the setup from [2, Section VI.1].

Let C_* be a connected, cocommutative, graded \mathbb{Q} -coalgebra (cgc) of finite type. That is, C_* is the dual of a finite-type cga, with diagonal $\Delta: C_* \rightarrow C_* \otimes C_*$ dual to the multiplication. Set $C_+ = \bigoplus_{k>0} C_k$, and let $\bar{\Delta}: C_+ \rightarrow C_+ \otimes C_+$ be the reduced diagonal.

Let E_* be a glie of finite type, with Lie bracket denoted by $b: E_* \otimes E_* \rightarrow E_*$. Denote by $\text{Hom}(C_+, E_*)$ the \mathbb{Q} -vector space of degree 0 linear maps from C_+ to E_* . For $f, g \in \text{Hom}(C_+, E_*)$, define $[f, g] \in \text{Hom}(C_+, E_*)$ to be the composite

$$C_+ \xrightarrow{\bar{\Delta}} C_+ \otimes C_+ \xrightarrow{f \otimes g} E_* \otimes E_* \xrightarrow{b} E_* \tag{34}$$

Endowed with this bracket, $\text{Hom}(C_+, E_*)$ becomes an (ungraded) Lie algebra. Moreover, if $\dim_{\mathbb{Q}} C_* < \infty$, then $\text{Hom}(C_+, E_*)$ is a nilpotent Lie algebra, so we may speak of the exponential group $\exp(\text{Hom}(C_+, E_*))$.

Theorem 5.6 (Baues [2], Theorem VI.1.3) *Let K be a connected, finite complex, with homology coalgebra $H_*(K, \mathbb{Q})$, dual to the algebra $H^*(K, \mathbb{Q})$. Let Y be a simply-connected CW-space of finite type, with rational homotopy Lie algebra $E_*(Y) = \pi_*(\Omega Y) \otimes \mathbb{Q}$. There is then a group isomorphism*

$$[K, \Omega Y] \otimes \mathbb{Q} \cong \exp(\text{Hom}(H_+(K, \mathbb{Q}), E_*(Y))),$$

natural in both K and Y .

6 Groups of homotopy classes and the Milnor-Moore groups

In this section, we prove Theorem D from the Introduction. Let Y be a based, simply-connected CW-space of finite type. Our first aim is to establish a precise relationship between the group $[\Omega S^m, \Omega Y]^\wedge$ and the exponential group of $E_*(Y)\{m\}^\wedge$ (the completion of the “rebracketed” homotopy Lie algebra of Y). From this, half of Theorem D will follow.

Our second aim is to establish an isomorphism between filtered groups of the form $[K, \Omega Y]^\wedge$, and the Milnor–Moore groups $\text{Hom}^{\text{coalg}}(H_*(K, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q}))$. This will finish the proof of Theorem D.

6.1 Rebracketing the homotopy Lie algebra

Let E_* be a graded Lie algebra. For a fixed integer $m \geq 2$, consider the Lie subalgebra $E_*\{m\} = \bigoplus_{r \geq 1} E_{r(m-1)}$. Now modify the Lie bracket on $E_*\{m\}$ by making all the elements of odd degree commute, while leaving the other brackets unchanged. This modified bracket turns $E_*\{m\}$ into a *grlie* (with the usual signs in the Lie identities). The completion, $E_*\{m\}^\wedge$, consists of elements of the form

$$s = \sum_{i \geq 1} s_i, \quad \text{with } s_i \in E_{i(m-1)}, \tag{35}$$

with Lie bracket induced from the *grlie* bracket on $E_*\{m\}$. Endowed with the filtration

$$F_r E_*\{m\}^\wedge := \{s \mid s_i = 0 \text{ for } i < r\}, \tag{36}$$

$E_*\{m\}^\wedge$ becomes a *clie*.

Theorem 6.2 *Let Y be a based, simply-connected CW-space of finite type, with homotopy Lie algebra $E_* = E_*(Y)$. Then, for each $m \geq 2$, there is a filtered group isomorphism,*

$$[\Omega S^m, \Omega Y]^\wedge \cong \exp(E_*\{m\}^\wedge).$$

6.3 Proof of Theorem 6.2

By a well-known result of Milnor–Moore [28], the coalgebra $C_* = H_*(\Omega S^m, \mathbb{Q})$ is, in fact, a Hopf algebra, freely generated (as an algebra) by a primitive element, $v \in C_{m-1}$. Consequently, we have the following simple description of the coalgebra structure on C_* . If m is odd, then:

$$\Delta(v^k) = \sum_{r=0}^k \binom{k}{r} v^r \otimes v^{k-r}, \quad \text{for all } k. \tag{37}$$

If m is even, then:

$$\begin{cases} \Delta(v^{2k}) &= \sum_{r=0}^k \binom{k}{r} v^{2r} \otimes v^{2(k-r)}, \\ \Delta(v^{2k+1}) &= \sum_{r=0}^k \binom{k}{r} (v^{2r+1} \otimes v^{2(k-r)} + v^{2r} \otimes v^{2(k-r)+1}). \end{cases} \tag{38}$$

Now filter the complex $K = \Omega S^m$, by choosing K_r to be the $r(m-1)$ -th skeleton of K . It is readily seen that

$$H_*(K_r, \mathbb{Q}) = \mathbb{Q}\text{-span}\{v^i \mid i \leq r\}, \quad \text{for all } r \geq 0. \tag{39}$$

The inclusion $K_{r-1} \rightarrow K$ induces a homomorphism $\gamma_r: \text{Hom}(H_+(K), E_*) \rightarrow \text{Hom}(H_+(K_{r-1}), E_*)$, which obviously preserves Lie brackets². Since the filtration of K is exhaustive, we have $H_*(K) = \varinjlim_r H_*(K_r)$. Hence, the maps $\{\gamma_r\}_{r \geq 1}$ define an isomorphism of Lie algebras,

$$\gamma: \text{Hom}(H_+(K), E_*(Y)) \longrightarrow \varinjlim_r \text{Hom}(H_+(K_{r-1}), E_*(Y)). \tag{40}$$

The next three Lemmas finish the proof of Theorem 6.2.

Lemma 6.4 *Endowed with the inverse limit filtration $\{F_r\}_{r \geq 1}$ coming from the isomorphism (40), $\text{Hom}(C_+, E_*)$ becomes a complete Lie algebra.*

Proof From the way it was defined, $\{F_r\}_{r \geq 1}$ is a complete filtration. Since $K_0 = \text{point}$, $F_1 = \text{Hom}(C_+, E_*)$. It remains to check condition (29), using definition (34) of the Lie bracket.

Equations (37)–(39) together imply that

$$\overline{\Delta}(H_+(K_r)) \subset H_+(K_{r-1}) \otimes H_+(K_{r-1}), \quad \text{for all } r \geq 1. \tag{41}$$

Condition (29) now follows from (41). □

Lemma 6.5 *There is an isomorphism of filtered groups,*

$$[\Omega S^m, \Omega Y]^\wedge \cong \exp(\text{Hom}(C_+, E_*)).$$

Proof Recall that $K = \Omega S^m$, $C_* = H_*(K)$, and $E_* = E_*(Y)$. Baues’ formula (Theorem 5.6) implies that the filtered group $[K, \Omega Y]^\wedge$ is isomorphic to $\varinjlim_r \exp(\text{Hom}(H_+(K_{r-1}), E_*))$, both groups being endowed with the canonical inverse limit filtration.

By Lemma 6.4, the exponential group $\exp(\text{Hom}(C_+, E_*))$ is defined. From the definition of the filtration $\{F_r\}_{r \geq 1}$ on $\text{Hom}(C_+, E_*)$, the map γ_r sends F_r to 0. Hence, γ_r eventually preserves filtrations, and thus is a weak Lie morphism. Consequently, γ_r induces a map of exponential groups, $\exp(\gamma_r): \exp(\text{Hom}(C_+, E_*)) \rightarrow \exp(\text{Hom}(H_+(K_{r-1}), E_*))$. Passing to the inverse limit, we obtain a group isomorphism

$$\exp(\gamma): \exp(\text{Hom}(C_+, E_*)) \xrightarrow{\cong} \varinjlim_r \exp(\text{Hom}(H_+(K_{r-1}), E_*)).$$

By definition of the respective filtrations, the map $\exp(\gamma)$ is filtration-preserving. □

²Recall we are using \mathbb{Q} -coefficients, unless otherwise specified.

Lemma 6.6 *The filtered Lie algebra $\text{Hom}(C_+, E_*)$ is isomorphic to $E_*\{m\}^\wedge$.*

Proof Let $\{c_k\}_{k \geq 1}$ be an arbitrary sequence of non-zero rational numbers. The map sending $f \in \text{Hom}(C_+, E_*)$ to the formal series $s = \sum_{k \geq 1} c_k f(v^k) \in E_*\{m\}^\wedge$ is an isomorphism between the underlying vector spaces. Using (39), we see that the respective filtrations are preserved under this map. Finally, inspection of equations (37)–(38) shows that the Lie brackets are preserved as well, provided we take $c_k = \frac{1}{k!}$ (when m is odd), or $c_{2k} = c_{2k+1} = \frac{1}{k!}$ (when m is even). \square

6.7 Proof of Theorem D

We are now in position to prove Theorem D from the Introduction.

Proposition 6.8 *Let X and Y be finite-type CW-spaces (X connected and Y simply-connected), such that $H^*(Y, \mathbb{Q}) = H^*(X, \mathbb{Q})[k]$, for some $k \geq 1$. Assume that $H^*(X, \mathbb{Q})$ is a Koszul algebra. Then there is an isomorphism of filtered groups,*

$$[\Omega S^{2k+1}, \Omega Y]^\wedge \cong \exp(L_*(X)^\wedge).$$

Proof By Theorem 6.2, it is enough to verify that $E_*\{2k+1\}^\wedge \cong L_*(X)^\wedge$, as filtered Lie algebras. This follows directly from Theorem B. The main point is that the Rescaling Formula $E_*(Y) = L_*(X)[k]$ holds, thus implying that the constructions (35)–(36) and (31)–(32) define isomorphic filtered Lie algebras. \square

Corollary 6.9 *Let X and Y satisfy the assumptions from Proposition 6.8. Then there is an isomorphism of filtered groups,*

$$\pi_1(X) \otimes \mathbb{Q} \cong [\Omega S^{2k+1}, \Omega Y]^\wedge, \quad (42)$$

if and only if X is formal.

Proof In one direction, the formality of X gives a filtered group isomorphism, $\pi_1(X) \otimes \mathbb{Q} \cong \exp(L_*(X)^\wedge)$; see (33). We may conclude by applying the above Proposition.

Conversely, assume that the Malcev Formula (42) holds. By Proposition 6.8, this implies that $\pi_1(X) \otimes \mathbb{Q} \cong \exp(L_*(X)^\wedge)$. Using Lemma 3.7, we infer that $\pi_1(X) \otimes \mathbb{Q} \cong \exp(\widehat{\mathcal{H}}_*)$, where \mathcal{H}_* is the holonomy Lie algebra of $A^* = H^*(X, \mathbb{Q})$.

In terms of Sullivan models, this isomorphism translates to the fact that X has the same 1–minimal model as the $\text{dga } (A^*, 0)$. On the other hand, one knows from [37, Proposition 5.2] that both the minimal model of X and that of $(A^*, 0)$ are generated in degree 1. The formality of X follows at once. \square

The Corollary proves the implication (2) \implies (1) from Theorem D, and half of the other implication. The next Proposition ends the proof of Theorem D, once we apply it to the loop space $K = \Omega S^{2k+1}$, endowed with the Morse–James filtration.

Proposition 6.10 *Let K be a based CW–complex, endowed with an exhaustive filtration by connected, finite subcomplexes, $\{K_r\}_{r \geq 0}$, with $K_0 = *$, and let Y be a based, simply-connected CW–space of finite type. Then there is an isomorphism of filtered groups,*

$$[K, \Omega Y]^\wedge \cong \text{Hom}^{\text{coalg}}(H_*(K, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q})).$$

Proof From the definition of the filtration (11), we see that the Milnor–Moore group of coalgebra maps from $H_*(K, \mathbb{Q})$ to $H_*(\Omega Y, \mathbb{Q})$ is isomorphic to the group $\varprojlim_r \text{Hom}^{\text{coalg}}(H_*(K_{r-1}, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q}))$, filtered as an inverse limit.

Now recall that $[K, \Omega Y]^\wedge \cong \varprojlim_r ([K_{r-1}, \Omega Y] \otimes \mathbb{Q})$. Thus, it is enough to show that the “Hurewicz” homomorphism, $h: [K_{r-1}, \Omega Y] \rightarrow \text{Hom}^{\text{coalg}}(H_*(K_{r-1}), H_*(\Omega Y))$, defined by $h(f) = f_*$, gives rise to a natural isomorphism

$$h_{\mathbb{Q}}: [K_{r-1}, \Omega Y] \otimes \mathbb{Q} \longrightarrow \text{Hom}^{\text{coalg}}(H_*(K_{r-1}, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q})).$$

Since K_{r-1} is a finite complex, Theorem II.3.11 in Hilton–Mislin–Roitberg [19] gives a group isomorphism

$$[K_{r-1}, \Omega Y] \otimes \mathbb{Q} \cong [K_{r-1}, \Omega Y_{\mathbb{Q}}].$$

Thus, we may use Proposition 1 in Scheerer [44] to conclude that the Hurewicz map $h_{\mathbb{Q}}$ is a group isomorphism. \square

7 Groups of homotopy groups

We now apply the results from Section 6 to the study of the “group of homotopy groups” of a loop space, $[\Omega S^2, \Omega Y]$, and its close approximation, the Milnor–Moore group of coalgebra maps, $\text{Hom}^{\text{coalg}}(H_*(\Omega S^2, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q}))$.

7.1 Loop space of S^2

We start with a situation considered by Cohen and Gitler [9], in the context of configurations spaces.

Proposition 7.2 *Let X and Y be finite-type CW-spaces (X connected and Y simply-connected), such that $H^*(Y, \mathbb{Q}) = H^*(X, \mathbb{Q})[k]$, for some $k \geq 1$. Assume that $H^*(X, \mathbb{Q})$ is a Koszul algebra. If X is formal, then there is a group isomorphism*

$$\text{Hom}^{\text{coalg}}(H_*(\Omega S^2, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q})) \cong \pi_1(X) \otimes \mathbb{Q}. \tag{43}$$

Proof By Proposition 6.10 and Theorem 6.2, the group on the left side is isomorphic to $[\Omega S^2, \Omega Y]^\wedge = \exp(E_*\{2\}^\wedge)$, where $E_* = E_*(Y)$. By Theorem B and formula (20), there is an isomorphism of Lie algebras, $E_*\{2\}^\wedge \cong \widehat{\mathcal{H}}_*$, where \mathcal{H}_* is the holonomy Lie algebra of X . Even though this isomorphism does not preserve the filtrations on $E_*\{2\}^\wedge$ and $\widehat{\mathcal{H}}_*$, it does preserve the corresponding topologies. Thus, it induces an isomorphism between $\exp(E_*\{2\}^\wedge)$ and $\exp(\widehat{\mathcal{H}}_*) = \pi_1(X) \otimes \mathbb{Q}$. □

Remark 7.3 This Proposition answers a question posed by Cohen and Gitler in [9, Section 6], in the particular case when $X = M(\mathcal{B}_\ell)$ is the complement of the braid arrangement in \mathbb{C}^ℓ , and $Y = M(B_\ell^{k+1})$. As noted in the proof, the isomorphism (43) is not filtration-preserving. On the other hand, if one replaces S^2 by S^{2k+1} this drawback disappears, see Theorem D.

Example 7.4 When Y is a wedge of n copies of S^{2k+1} as in Section 4.6, we get:

$$\text{Hom}^{\text{coalg}}(H_*(\Omega S^2, \mathbb{Q}), H_*(\Omega(\bigvee^n S^{2k+1}), \mathbb{Q})) = \mathbb{F}_n \otimes \mathbb{Q}.$$

This recovers a result of Sato [43] (see also [9, Theorem 5.3] and [12, Theorem 2.7]).

When Y is a product of n copies of S^{2k+1} as in Section 4.7, we get:

$$\text{Hom}^{\text{coalg}}(H_*(\Omega S^2, \mathbb{Q}), H_*(\Omega((S^{2k+1})^{\times n}), \mathbb{Q})) = \mathbb{Q}^n.$$

7.5 Associated graded Lie algebras of exponential groups

Let L be a complete Lie algebra, with filtration $\{F_r\}_{r \geq 1}$ satisfying the stronger condition from Remark 5.2,

$$[F_r L, F_s L] \subset F_{r+s} L, \quad \text{for all } r \text{ and } s. \tag{44}$$

One knows from Lazard [25] that the exponential group $\exp(L)$, filtered by the normal subgroups $\{\exp(F_r)\}_{r \geq 1}$, determines the filtered Lie algebra L .

Condition (44) implies that the associated graded, $\text{gr}_F^*(L) := \bigoplus_{r \geq 1} F_r/F_{r+1}$, is a *grlie*, with Lie bracket induced from L . It also implies that

$$(\exp(F_r), \exp(F_s)) \subset \exp(F_{r+s}), \quad \text{for all } r \text{ and } s. \tag{45}$$

Hence, the associated graded, $\text{gr}_F^*(\exp(L)) = \bigoplus_{r \geq 1} \exp(F_r)/\exp(F_{r+1})$ is also a *grlie*, with Lie bracket induced from the Campbell–Hausdorff group commutator. Moreover, the two associated *grlie*’s are (functorially) isomorphic:

$$\text{gr}_F^*(L) \cong \text{gr}_F^*(\exp(L)). \tag{46}$$

See [25] for full details.

Example 7.6 Let E_* be a graded Lie algebra, and let $E_*\{m\}^\wedge$ be the *clie* constructed in Section 6.1. The filtration (36) of $E_*\{m\}^\wedge$ clearly satisfies condition (44).

Remark 7.7 Let X and Y be as in Theorem D, with X formal. The filtered group isomorphism

$$[\Omega S^{2k+1}, \Omega Y]^\wedge \cong \pi_1(X) \otimes \mathbb{Q}$$

established in that theorem passes to the associated graded, giving the *glie* isomorphism

$$E_*(Y)\{2k + 1\} \cong L_*(X)[k]. \tag{47}$$

Indeed, we know from Theorem 6.2 that the filtered group $[\Omega S^{2k+1}, \Omega Y]^\wedge$ is isomorphic to $\exp(E_*(Y)\{2k + 1\}^\wedge)$. Moreover, by Example 7.6, the complete Lie algebra $E_*(Y)\{2k + 1\}^\wedge$ satisfies condition (44). Likewise, we know from (33) that $\pi_1(X) \otimes \mathbb{Q} = \exp(L_*(X)^\wedge)$. Obviously, the *mlie* $L_*(X)^\wedge$ also satisfies (44). From the Lazard formula (46), we infer that the *grlie*’s $\text{gr}_F^*(E_*(Y)\{2k + 1\}^\wedge) = \bigoplus_{r \geq 1} E_{2kr}(Y)$ and $\text{gr}_F^*(L_*(X)^\wedge) = \bigoplus_{r \geq 1} L_r(X)$ are isomorphic. Formula (47) follows.

From the vanishing claim in Theorem C, we know that $E_*(Y)\{2k + 1\} = E_*(Y)$. Hence, formula (47) is actually equivalent to the Rescaling Formula (6).

7.8 The loop space of S^2 , again

Let Y be a simply-connected, finite-type CW–space. From Proposition 6.10 and Theorem 6.2, we know that the group $\text{Hom}^{\text{coalg}}(H_*(\Omega S^2, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q}))$, endowed with the Cohen–Gitler filtration (11) is isomorphic to $\exp(E_*(Y)\{2\}^\wedge)$,

where $E_*(Y)\{2\}^\wedge$ is filtered as in (36). By Example 7.6, this filtration satisfies condition (44).

Corollary 7.9 *Let Y be a simply-connected, finite-type CW-space. Then:*

- (1) *There is an isomorphism of grlie's,*

$$\text{gr}_F^*(\text{Hom}^{\text{coalg}}(H_*(\Omega S^2, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q}))) \cong E_*(Y)\{2\}.$$

- (2) *If Y is a k -rescaling of a finite-type CW-space X , with $H^*(X, \mathbb{Q})$ Koszul, then:*

$$E_*(Y)\{2\} = E_*(Y) = L_*(X)[k].$$

Proof (1) By (46), and the discussion above, the associated graded Lie algebra $\text{gr}_F^*(\text{Hom}^{\text{coalg}}(H_*(\Omega S^2, \mathbb{Q}), H_*(\Omega Y, \mathbb{Q})))$ is isomorphic to the graded Lie algebra $\text{gr}_F^*(E_*(Y)\{2\}^\wedge) = E_*(Y)\{2\}$.

(2) Follows from the definition of rebracketing and Theorem B. □

Remark 7.10 From Part (1) of the Corollary, we recover a result of Cohen and Sato [12] (see also [9, Theorem 5.1, Parts (3) and (8)]), at least over the rationals.

When $X = M(\mathcal{B}_\ell)$ and $Y = M(\mathcal{B}_\ell^{k+1})$, we recover from Parts (1) and (2) another result from [12] (see also [9, Theorem 6.1]):

$$\text{gr}_F^*(\text{Hom}^{\text{coalg}}(H_*(\Omega S^2, \mathbb{Q}), H_*(\Omega M(\mathcal{B}_\ell^{k+1}), \mathbb{Q}))) \cong \text{gr}^*(\pi_1 M(\mathcal{B}_\ell)) \otimes \mathbb{Q}[k].$$

Remark 7.11 In general, the filtered groups

$$[\Omega S^2, \Omega Y]^\wedge = \exp(E_*(Y)\{2\}^\wedge) \quad \text{and} \quad [\Omega S^2, \Omega Y] \otimes \mathbb{Q} = \exp(M_{[\Omega S^2, \Omega Y]})$$

do not coincide. (Here recall that M_G stands for the Malcev Lie algebra of a group G .)

For example, take $Y = S^{2k+1}$, $k \geq 1$. Suppose $[\Omega S^2, \Omega Y]^\wedge = \exp(M)$, where M is an mlie. From (46), we infer that the grlie's $E_*(Y)\{2\}$ and $\text{gr}^*(M)$ are isomorphic. In particular, $E_*(Y)\{2\}$ is generated by $E_1(Y)$, see Remark 5.2. But $E_1(Y) = 0$, since $E_*(Y)$ is a free Lie algebra on a generator of degree $2k$.

Part III

Arrangements and links

In this last part, we study homological rescalings in two particularly interesting geometrical settings: complements of complex hyperplane arrangements and

complements of classical links. Both classes of spaces admit naturally defined rescalings.

The Rescaling Formula holds for Koszul (in particular, for supersolvable) arrangements, as well as for links with connected linking graph. On the other hand, for generic arrangements the Rescaling Formula fails (due to the non-coformality of the rescaled complement, detected by higher-order Whitehead products), while for links, the Malcev Formula can fail (due to the non-formality of the link complement, detected by Campbell–Hausdorff invariants), even when the Rescaling Formula does hold.

8 Rescaling hyperplane arrangements

In this section, we discuss the Rescaling Formula in the case of complements of complex hyperplane arrangements with Koszul cohomology algebras, as well as the reasons why this formula fails for non-Koszul arrangements.

Let \mathcal{A} be a hyperplane arrangement in \mathbb{C}^ℓ , with complement $X = M(\mathcal{A})$. As explained in Section 1.4, a homological k -rescaling of X is provided by $Y = M(\mathcal{A}^{k+1})$, where \mathcal{A}^{k+1} is the corresponding redundant subspace arrangement in $\mathbb{C}^{(k+1)\ell}$. (By Proposition 4.4, this rescaling is unique up to \mathbb{Q} -equivalence, for $2k + 1 > \ell$.) Recall also from Section 1.5 that both X and Y are formal spaces.

8.1 Koszul arrangements

By Theorem A, the Rescaling Formula, $E_*(Y) = L_*(X)[k]$, applies precisely to the class of arrangements for which $H^*(X, \mathbb{Q})$ is a Koszul algebra. In fact, by Theorem D, the stronger Malcev Formula, $\pi_1(X) \otimes \mathbb{Q} = [\Omega S^{2k+1}, \Omega Y]^\wedge$, also applies in this case.

Presently, the only arrangements which are known to be Koszul are the fiber-type (or, supersolvable) arrangements, cf [47]. For such arrangements, the Rescaling Formula was first established in [8]. Koszulness is known to be equivalent to supersolvability, within the classes of hypersolvable and graphic arrangements (see [20] and [45], respectively). In general, though, it remains an open question whether there exists a non-supersolvable arrangement with Koszul cohomology algebra, see [53, Problem 6.7.1].

By Proposition 1.12, if $H^*(X, \mathbb{Q})$ is Koszul, then Y is coformal. We know from Example 4.10 that the converse is not true in general: a space X may have

a coformal rescaling, Y , even though the algebra $H^*(X, \mathbb{Q})$ is not generated in degree 1 (and thus not Koszul). But examples of this sort cannot occur among arrangement complements, since their cohomology algebras are always generated in degree 1. This leads us to pose the following.

Question 8.2 Let $X = M(\mathcal{A})$ and $Y = M(\mathcal{A}^{k+1})$. If Y is coformal, is $H^*(X, \mathbb{Q})$ Koszul?

Now let \mathcal{A} be a fiber-type arrangement, with exponents d_1, \dots, d_ℓ . As is well-known, the Poincaré polynomial of X factors as $P_X(t) = \prod_{i=1}^{\ell} (1 + d_i t)$, see [17]. Let $\Phi_n = \text{rank } \pi_n(\Omega Y)$. From Theorem C, we obtain: $\Phi_q = 0$ if $2k \nmid q$, and

$$\prod_{r \geq 1} (1 - t^{(2k+1)r})^{\Phi_{2kr}} = \prod_{i=1}^{\ell} (1 - d_i t^{2k+1}).$$

Therefore, the ranks of $\pi_*(Y)$ —and, hence, the rational homotopy type of ΩY —are determined solely by the exponents of \mathcal{A} . Furthermore,

$$P_{\Omega Y}(t) = \prod_{i=1}^{\ell} (1 - d_i t^{2k})^{-1}.$$

8.3 Generic arrangements

We now illustrate the failure of the Rescaling Formula in the case of arrangements of hyperplanes in general position. For simplicity, we shall treat only the affine case—the case of central, generic arrangements is similar.

Let \mathcal{A} be a generic affine arrangement of n hyperplanes in \mathbb{C}^ℓ , with $n > \ell > 1$. The cohomology algebra $A = H^*(X, \mathbb{Q})$ is the quotient of the exterior algebra on generators e_1, \dots, e_n in degree 1 by the ideal I generated by all monomials of the form $e_{i_1} \cdots e_{i_{\ell+1}}$. Since I is generated in degree $\ell + 1 > 2$, the algebra A is not quadratic, and hence, not Koszul. Consequently, if $Y = M(\mathcal{A}^{k+1})$, then, by Theorem A,

$$E_*(Y) \not\cong L_*(X)[k], \quad (48)$$

even as graded vector spaces.

To understand in a concrete fashion the reason for this failure, we first establish an analogue of a well-known theorem of Hattori [18], in the setting of redundant generic arrangements.

Let $\mathcal{A} = \{H_1, \dots, H_n\}$ be an arbitrary hyperplane arrangement in \mathbb{C}^ℓ , and let $\mathcal{A}^k = \{H_1^{\times k}, \dots, H_n^{\times k}\}$ be the associated subspace arrangement in $\mathbb{C}^{k\ell}$. Suppose that H_i is defined by $\{\alpha_i = 0\}$. Then recall from [8] that $H_i^{\times k}$ is defined by $\{\alpha_i^{\times k} = 0\}$, where $\alpha_i^{\times k}: \mathbb{C}^{k\ell} \rightarrow \mathbb{C}^k$ is the map which sends z to $(\alpha_i(z_{11}, \dots, z_{1\ell}), \dots, \alpha_i(z_{k1}, \dots, z_{k\ell}))$. For $z \in \mathbb{C}^{k\ell} \setminus H_i^{\times k}$, set $\tilde{\alpha}_i^{\times k}(z) = \alpha_i^{\times k}(z) / \|\alpha_i^{\times k}(z)\| \in S^{2k-1}$. Now define a map

$$f_{\mathcal{A}}^k : M(\mathcal{A}^k) \subset \mathbb{C}^{k\ell} \rightarrow (S^{2k-1})^{\times n} \subset \mathbb{C}^{kn}$$

$$z \mapsto (\tilde{\alpha}_1^{\times k}(z), \dots, \tilde{\alpha}_n^{\times k}(z)).$$

In [18], Hattori showed that the complement of a generic affine arrangement of n hyperplanes in \mathbb{C}^ℓ ($n \geq \ell$) is homotopy equivalent to the ℓ -skeleton of the n -torus $(S^1)^{\times n}$. The next Lemma extends this result to the corresponding redundant subspace arrangements.

Lemma 8.4 *Suppose \mathcal{A} is a generic affine arrangement of n hyperplanes in \mathbb{C}^ℓ , with $n \geq \ell$. Let $(S^{2k+1})_\ell^{\times n}$ be the $(2k+1)\ell$ -skeleton of the standard CW-decomposition of $(S^{2k+1})^{\times n}$. Then, for each $k \geq 1$, the map $f_{\mathcal{A}}^{k+1}: M(\mathcal{A}^{k+1}) \rightarrow (S^{2k+1})_\ell^{\times n}$ factors through a homotopy equivalence*

$$f_{\mathcal{A}}^{k+1}: M(\mathcal{A}^{k+1}) \xrightarrow{\simeq} (S^{2k+1})_\ell^{\times n}.$$

Proof Set $X = M(\mathcal{A})$ and $Y = M(\mathcal{A}^{k+1})$. From [18], we know that $X \simeq (S^1)_\ell^{\times n}$. From [8, Corollary 2.3], we find that Y has the same integral homology as the skeleton $T = (S^{2k+1})_\ell^{\times n}$.

Now, since Y is 1-connected, it admits a minimal cell decomposition, see [1]. In particular, Y is a CW-complex of the same dimension as T . Hence, by cellular approximation, the map $f_{\mathcal{A}}^{k+1}$ factors through T . Clearly, the map $f_{\mathcal{A}}^{k+1}: Y \rightarrow T$ induces an isomorphism in homology. The result follows from Whitehead's theorem. □

As is well-known, fat wedges of spheres are not coformal (see eg [51]). Thus, the reason formula (6) fails for an affine, generic arrangement \mathcal{A} (with $n > \ell > 1$) is that each of the redundant subspace arrangements, \mathcal{A}^{k+1} ($k \geq 1$), has non-coformal complement. If $\ell = n - 1$, the obstructions to coformality are precisely the higher-order Whitehead products, which, in this case, account for the deviation from equality in the Rescaling Formula.

Example 8.5 Let \mathcal{A} be an affine, generic arrangement of n hyperplanes in \mathbb{C}^{n-1} , $n > 2$. In this case, $X \simeq (S^1)_{n-1}^{\times n}$, and $Y \simeq (S^{2k+1})_{n-1}^{\times n}$. Obviously,

$L_*(X)[k] = \mathbb{L}^{\text{ab}}(x_1, \dots, x_n)$, with $\deg x_i = 2k$. Using a result of Porter [38] on homotopy groups of fat wedges, we find:

$$E_*(Y) = \mathbb{L}^{\text{ab}}(x_1, \dots, x_n) \amalg \mathbb{L}(w), \quad (49)$$

where w corresponds to the n -fold Whitehead product of x_1, \dots, x_n , and \amalg stands for the free product of Lie algebras. The deviation from equality in the Rescaling Formula first appears in $\deg w = (2k + 1)n - 2$, where we have $\dim E_{(2k+1)n-2}(Y) = 1$, but $\dim L_{(2k+1)n-2}(X)[k] = 0$. Note also that

$$P_{\Omega Y}(t) = \frac{1}{(1 - t^{2k})^n - t^{(2k+1)n-2}},$$

whereas the homotopy LCS formula would predict

$$P_{\Omega Y}(t) = \frac{1}{(1 - t^{2k})^n + (-1)^{n+1} t^{2kn}}.$$

9 Rescaling spherical links

In this section, we show how to perform geometrically the rescaling operation for links of circles in S^3 , and we prove Theorem E (and its corollary) from the Introduction.

9.1 Joins of links

A (*spherical*) p -link in S^{2p+1} ($p \geq 1$) is an ordered collection, $K = (K_1, \dots, K_n)$, of pairwise disjoint, smoothly embedded p -spheres in the $(2p+1)$ -sphere. In other words, a p -link is the image of a smooth embedding $K: \coprod^n S^p \rightarrow S^{2p+1}$. A 1-link is just a (classical) link.

Recall that the join of two spaces, X and Y , is the union, $X * Y = CX \times Y \cup X \times CY$, glued along $X \times Y$, where CX denotes the cone on X . For example, $S^p * S^{p'} = S^{p+p'+1}$. The join operation is functorial: to maps $f: X \rightarrow X'$ and $g: Y \rightarrow Y'$ there corresponds a map of joins, $f * g: X * Y \rightarrow X' * Y'$.

As noted by Koschorke and Rolfsen [23], the join construction has an analogue for links.

Definition 9.2 [23] Let $K = (K_1, \dots, K_n)$ be a p -link, and $K' = (K'_1, \dots, K'_n)$ be a p' -link (with the same number of components). Their *join* is the $(p + p' + 1)$ -link

$$K \otimes K' = (K_1 * K'_1, \dots, K_n * K'_n).$$

More precisely, if the two links are the images of embeddings $K: \coprod^n S^p \rightarrow S^{2p+1}$ and $K': \coprod^n S^{p'} \rightarrow S^{2p'+1}$, then their join is the image of the embedding $K \otimes K' = \coprod^n K_i * K'_i: \coprod^n S^p * S^{p'} \rightarrow S^{2p+1} * S^{2p'+1}$.

Example 9.3 Let $\text{Hopf}_n = \widehat{\Delta}_n^2$ be the n -component *Hopf link*, defined as the Artin closure of the full-twist braid on n strings (see Figure 1 for $n = 4$). As shown in [31], the Hopf link is the singularity link of $\mathcal{A}_n = \{z_1^n - z_2^n = 0\}$, the arrangement of n complex lines through the origin of \mathbb{C}^2 . It follows from [30] that $\text{Hopf}_n \otimes \text{Hopf}_n$ is the singularity link of the subspace arrangement $\mathcal{A}_n^2 = \{z_1^n - z_2^n = z_3^n - z_4^n = 0\}$.

9.4 Linking numbers

Let $K = (K_1, \dots, K_n)$ be a p -link in S^{2p+1} . Fix orientations on the ambient sphere S^{2p+1} , and on each component of K . It is known that all components of K have trivial normal bundle in S^{2p+1} ; see Kervaire [21, Theorem 8.2]. Denote by $T_i \cong S^p \times S^p$ the boundary of a tube, $K_i \times D^{p+1}$, around K_i . Let $X = S^{2p+1} \setminus \bigcup_{i=1}^n K_i$ be the complement of the link, and let $\bar{X} = S^{2p+1} \setminus \bigcup_{i=1}^n K_i \times \text{int } D^{p+1}$ be the exterior. We will need some classical definitions and results, related to the description of the cohomology ring of the complement in terms of linking numbers.

By standard duality arguments, we know that both $\tilde{H}_*(X, \mathbb{Z})$ and $\tilde{H}^*(X, \mathbb{Z})$ are finitely-generated, free abelian groups, concentrated in two degrees, p and $2p$. Canonical bases may be constructed as follows. The group $H_p(X, \mathbb{Z})$ is freely generated by the orientation classes of the meridional spheres. Denote by $\{a_1, \dots, a_n\}$ the Kronecker-dual basis of $H^p(X, \mathbb{Z})$. For each $i \neq j$, denote by $b_{ij} \in H^{2p}(X, \mathbb{Z})$ the Lefschetz dual of an (embedded) arc which connects, in the exterior of K , the tubes T_i and T_j . It is easy to check that $\{b_{ij}\}$ is a generating set for $H^{2p}(X, \mathbb{Z})$, with relations $b_{ij} + b_{ji} = 0$ and $b_{ij} + b_{jk} + b_{ki} = 0$. The description of the multiplication in $H^*(X, \mathbb{Z})$ involves *linking numbers*. For each $i \neq j$, denote by $l_{ij} := \text{lk}(K_i, K_j) \in \mathbb{Z}$ the orientation class of K_i in $H_p(S^{2p+1} \setminus K_j, \mathbb{Z})$, with respect to the meridional basis element. With the above notation, one has the following basic formula:

$$a_i \cup a_j = (-1)^{p+1} l_{ij} b_{ij}, \quad \text{for all } i \neq j. \tag{50}$$

To derive (50), it is enough to evaluate $a_i \cup a_j$ on $[T_r]$, for each r , and to prove that the result equals either $(-1)^{p+1} l_{ij}$ (if $r = j$), or $(-1)^p l_{ij}$ (if $r = i$), or 0 (otherwise). This, in turn, follows from standard cup-product computations in the torus $T_r = S^p \times S^p$, given the fact that l_{ij} is, by construction, the coefficient of the i -th longitude on the j -th meridian.

9.5 Linking numbers and joins

Viewing the link K as an embedding in \mathbb{R}^{2p+1} , the linking number l_{ij} equals the degree of the mapping

$$S^p \times S^p \rightarrow S^{2p}, \quad (x, y) \mapsto (K_i(x) - K_j(y)) / \|K_i(x) - K_j(y)\|,$$

see [41, Theorem 5.D.2] for $p = 1$, and [21, Lemma 5.1] for $p > 1$.

In view of this remark, we may apply Proposition 4.9 from Koschorke and Rolfen [23] to conclude that the linking numbers multiply under the join operation. More precisely, if $K = (K_1, \dots, K_n)$ is a p -link and $K' = (K'_1, \dots, K'_n)$ is a p' -link, then

$$\text{lk}(K_i * K'_i, K_j * K'_j) = \text{lk}(K_i, K_j) \text{lk}(K'_i, K'_j). \tag{51}$$

9.6 Rescaling links

We are now ready to define the rescaling operation for classical links, and show that the complement of the rescaled link is indeed the rescaling of the link complement.

Definition 9.7 Let $K = (K_1, \dots, K_n)$ be a link in S^3 . For each $k \geq 1$, the k -rescaling of K is the following $(2k + 1)$ -link in S^{4k+3} :

$$K^{\otimes k} = K \otimes \underbrace{\text{Hopf}_n \otimes \dots \otimes \text{Hopf}_n}_{k \text{ times}}. \tag{52}$$

For example, $\text{Hopf}_n^{\otimes k}$ is the singularity link of the redundant subspace arrangement \mathcal{A}_n^{k+1} ; thus, its complement is homotopy-equivalent to $M(\mathcal{A}_n^{k+1})$.

Proposition 9.8 Let X be the complement of a classical link, K . For each $k \geq 1$, the complement, Y , of the link $K^{\otimes k}$ is an (integral) k -rescaling of X . Moreover, Y is the unique (up to \mathbb{Q} -equivalence) k -rescaling of X ; in particular, Y is a formal space.

Proof Since $K^{\otimes k}$ has codimension $2(k + 1) > 2$, the complement Y is simply-connected. Let $a_i \in H^1(X, \mathbb{Z})$ and $\tilde{a}_i \in H^{2k+1}(Y, \mathbb{Z})$ be the standard basis elements (Kronecker dual to the meridional spheres). Since a_i has odd degree, and $H^*(X, \mathbb{Z})$ is torsion-free, we infer that $a_i^2 = 0$, for all i ; similarly, $\tilde{a}_i^2 = 0$. Since all the linking numbers of the Hopf link are equal to 1, and since both

K and $K^{\otimes k}$ are odd-dimensional links, we see from equations (50), (51), and (52) that

$$H^*(Y, \mathbb{Z}) = H^*(X, \mathbb{Z})[k], \quad \text{as graded rings.}$$

Therefore, Y is a k -rescaling of X , even integrally.

Since $H^{>2}(X, \mathbb{Z}) = 0$, the uniqueness up to rational homotopy (and thereby, the formality) of the rescaling follows from Proposition 4.4. \square

9.9 Proofs of Theorem E and Corollary 1.17

Results from [26] (Theorem B'(i) and the Corollary to Proposition 6.3) show that $H^*(X, \mathbb{Q})$ is a Koszul algebra if and only if the linking graph G_K is connected. Theorem E follows then from Theorem A, via Remark 1.9 and Proposition 9.8.

Assume now that G_K is connected. The coformality of Y is ensured by Proposition 1.12. The semidirect product structure of the Lie algebra $E_*(Y)$ follows, via the Rescaling Formula, from the corresponding semidirect product decomposition of $L_*(X) = \mathcal{H}_*$ (see Lemma 3.7), proved in [5, Theorem 4.2(i) and Lemma 4.1]. The other statements in Corollary 1.17 are direct consequences of Theorem C and the discussion in Section 3.15.

10 Formality and the Campbell-Hausdorff invariants

We start by reviewing the Campbell-Hausdorff invariants of links, introduced in [33]. We then explore the relationship between these invariants and the formality of the link complement. We conclude with an example showing that the Malcev Formula (14) from Theorem D may fail for a non-formal space X , even if $H^*(X, \mathbb{Q})$ is a Koszul algebra.

10.1 CH-invariants

Let $\mathbb{L}_n = \mathbb{L}^*(x_1, \dots, x_n)$ be the free Lie algebra on n generators x_i in degree 1, and let $\widehat{\mathbb{L}}_n$ be its completion with respect to bracket length, constructed as in Example 5.4. Let V be an n -dimensional \mathbb{Q} -vector space, with basis v_1, \dots, v_n . The *universal moduli space* for links of n components is the \mathbb{Q} -vector space

$$\text{Der}_n := \text{Hom}_{\mathbb{Q}}(V, F_2 \widehat{\mathbb{L}}_n),$$

with elements, $\partial \in \text{Der}_n$, written in formal series notation,

$$\partial = \sum_{i \geq 1} \partial_i, \quad \text{where } \partial_i \in \text{Hom}_{\mathbb{Q}}(V, \mathbb{L}_n^{i+1}).$$

The vector space Der_n comes endowed with a filtration, defined by

$$F_r \text{Der}_n = \{\partial \in \text{Der}_n \mid \partial_i = 0, \text{ for } i < r\}.$$

There is then a certain pro-unipotent \mathbb{Q} -group, U_n , which acts linearly on Der_n , so as to preserve the filtration $\{F_r \text{Der}_n\}_{r \geq 1}$.

Let \mathbb{F}_n be the free group on x_1, \dots, x_n . Let $\exp(\widehat{\mathbb{L}}_n)$ be the exponential group corresponding to the Malcev Lie algebra $\widehat{\mathbb{L}}_n$. The Campbell–Hausdorff representation, $\rho: \mathbb{F}_n \rightarrow \exp(\widehat{\mathbb{L}}_n)$, is defined by $\rho(x_i) = x_i$.

Now let $K = \{K_1, \dots, K_n\}$ be a link in S^3 , with a fixed ordering and orientation of the components. Attached to K there is a homomorphism $\partial = \partial_{K, \{l_i\}} \in \text{Der}_n$, constructed by Campbell–Hausdorff expansion from the longitudes $l_i \in \mathbb{F}_n$ of the link K :

$$\partial(v_i) := [x_i, \rho(l_i)] = \sum_{j=1}^n [x_i, l_{ij} x_j] + \dots \quad (53)$$

Note that the degree 1 part, ∂_1 , is determined solely by the linking numbers, l_{ij} , of K .

Definition 10.2 [33] The *Campbell–Hausdorff invariant of order r* of the link K is the U_n -orbit of ∂ in the quotient of Der_n by $F_r \text{Der}_n$:

$$p^r(K) = \overline{\partial} \in U_n \backslash \text{Der}_n / F_r \text{Der}_n.$$

It turns out that $p^r(K)$ depends only on K , and not on the choice of longitudes; in fact, $p^r(K)$ depends only on the concordance class of K ; see [33].

10.3 Relation with formality

The next results make precise the connection between the CH-invariants of a link and the formality of the link complement.

Lemma 10.4 *Let K be a link with connected linking graph. Choose any system of longitudes, $\{l_i\}$, and associate to it an element $\partial = \partial_{K, \{l_i\}} \in \text{Der}_n$, as in (53). If the complement, X , of K is a formal space, then ∂ and ∂_1 lie in the same U_n -orbit.*

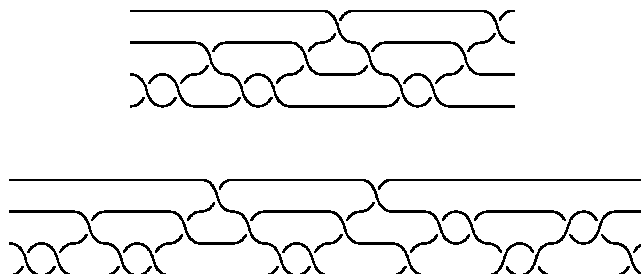


Figure 1: Braids Δ_4^2 and $\Delta_4^2\gamma$

Proof This follows from the proof of [34, Corollary 3.6]. The connectedness of G_K comes into play, via the Koszul property of the algebra $A^* = H^*(X, \mathbb{Q})$, to guarantee that the comultiplication map, $\nabla: A_2 \rightarrow A_1 \wedge A_1$, is injective. (See [34, Remark 3.4] for the connection between ∂_1 and ∇ .) The point is that the injectivity of ∇ , together with the formality of X , are actually sufficient for the proof of Corollary 3.6 from [34], which gives the desired conclusion. \square

Corollary 10.5 *Let K and K_0 be two links with the same connected weighted linking graph. If both link complements are formal, then $p^r(K) = p^r(K_0)$, for all $r \geq 1$.*

Proof This follows from the Lemma above, given the definition 10.2 of the CH-invariants, and the fact that ∂_1 is determined by the linking numbers. \square

10.6 A non-formal example

Using the Campbell–Hausdorff invariants, we exhibit examples of link complements with Koszul cohomology algebra, for which the Malcev Formula does not hold.

Example 10.7 Let $K_0 = \widehat{\Delta}_n^2$ be the n -component Hopf link. Since K_0 is the singularity link of the complex line arrangement \mathcal{A}_n , its complement, X_0 , is formal.

Assume $n \geq 3$. Pick any pure braid γ in $\Gamma_{r-1}P_{n-1} \setminus \Gamma_rP_{n-1}$, with $r \geq 3$, and let $K = \widehat{\Delta}_n^2\gamma$ be the link obtained by closing up the full-twist braid followed by γ . (See Figure 1 for a simple example, with $n = 4$ and $r = 3$.) Let X be the complement of K .

The links K and K_0 are not that easy to distinguish. Indeed, they both have:

- The same weighted linking graph: the complete graph on n vertices, with all linking numbers equal to 1. (This uses the fact that $r \geq 3$.) Thus, $H^*(X, \mathbb{Z}) \cong H^*(X_0, \mathbb{Z})$, as graded rings.
- The same Milnor $\bar{\mu}$ -invariants, of arbitrary length (they all vanish, except for the linking numbers).
- The same Vassiliev invariants, up to order $r - 2$; see [49, Theorem 1].
- The same associated graded *integral* Lie algebras; see [26, Corollary 6.2].

On the other hand, as shown in [34, Proposition 6.1], the Campbell–Hausdorff invariants of order r distinguish the two links:

$$p^r(K) \neq p^r(K_0).$$

Hence, by Corollary 10.5, the space X is non-formal.

Let Y be a homological k -rescaling of X (for example, the complement of $K^{\otimes k}$). We know that $H^*(X, \mathbb{Q})$ is a Koszul algebra, since \mathbf{G}_K is connected. Hence, by Theorem B, the Rescaling Formula holds: $E_*(Y) = L_*(X)[k]$. On the other hand, we also know that X is not formal. Hence, by Corollary 6.9, the Malcev Formula fails in this case:

$$\pi_1(X) \otimes \mathbb{Q} \not\cong [\Omega S^{2k+1}, \Omega Y]^\wedge \quad (\text{as filtered groups}).$$

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